

serous fluid prior to a bleeding and (2) a quantitative determination of the amount of excess aqueous solution that oozed out from a hemostat were important to a rigorous evaluation of hemostat efficacy. We successfully evaluated the efficacy of a fibrin-based hemostat hydrogel by using our method. Hydrogels, which are three-dimensional cross-linked polymer networks that swell, but are insoluble in water, have various functional properties, such as the ability to absorb a significant amount of water and flexibility similar to a natural tissue. These properties have provided many potential applications particularly in biotechnological and medical fields [7–10]. The evaluation of the hydrogel properties on biointerfaces is needed subject for the development of better materials. The method proposed in the present study enabled the quantitative, accurate, and easy evaluation of the efficacy of local hemostatic hydrogel which acts as tissue-adhesive agent on biointerfaces. Although further work concerning the generalization of the method proposed in the present study is required, the results obtained indicate that the novel methods can be used for quantitative evaluation of the efficacy of surgical biomaterials.

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Note

Particle size-dependent triggering of accelerated blood clearance phenomenon

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ABSTRACT

A repeat-injection of polyethylene glycol-modified liposomes (PEGylated liposomes) causes a rapid clearance of them from the blood circulation in certain cases that is referred to as the accelerated blood clearance (ABC) phenomenon. In the present study, we examined whether polymeric micelles trigger ABC phenomenon or not. As a preconditioning treatment, polymeric micelles (9.7, 31.5, or 50.2 nm in diameter) or PEGylated liposomes (119, 261 or 795 nm) were preadministered into BALB/c mice. Three days after the preadministration [³H]-labeled PEGylated liposomes (127 nm) as a test dose were administered into the mice to determine the biodistribution of PEGylated liposomes. At 24 h after the test dose was given, accelerated clearance of PEGylated liposomes from the bloodstream and significant accumulation in the liver was observed in the mice preadministered with 50.2–795 nm nanoassemblies (PEGylated liposomes or polymeric micelles). In contrast, such phenomenon was not observed with 9.7–31.5 nm polymeric micelles. The enhanced blood clearance and hepatic uptake of the test dose (ABC phenomenon) were related to the size of triggering nanoassemblies. Our study provides important information for developing both drug and gene delivery systems by means of nanocarriers.

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1. Introduction

PEGylated liposomes possessing a long-circulating characteristic have been widely used for delivery systems of both drugs and genes. PEG provides a steric barrier to nanocarriers for avoiding interaction with plasma proteins including opsonins and the cells of mononuclear phagocyte system (MPS) (Allen and Hansen, 1991; Sakakibara et al., 1996; Lasic, 1996). However, our recent reports demonstrated that the intravenous injection of PEGylated liposomes might significantly alter a pharmacokinetic behavior of them injected thereafter (Ishida et al., 2006a,c; Wang et al., 2007). A

repeat-injection of PEGylated liposomes causes a rapid clearance of them from the blood circulation in certain cases. This phenomenon, referred to as the accelerated blood clearance (ABC) phenomenon, is considered to be related with anti-PEG IgM secretion from splenic B cells (Ishida et al., 2006a,c). Anti-PEG IgM, produced in response to an injected dose of PEGylated liposomes, selectively binds to them injected secondary (Wang et al., 2007).

However, the immune response against polymeric micelles was not known at all. Polymeric micelles are formed from block copolymers typically consisting of hydrophilic and hydrophobic polymer blocks (Kwon and Kataoka, 1995). They are of particular interest as a drug carrier because of their small particle sizes, efficiency in entrapping a satisfactory amount of hydrophobic drugs within the inner core, stability in the circulation, and their ability of sustained release of the drugs. Polymeric micelles were also considered as a less immune response carrier (Yokoyama et al., 1991; Gaucher et al., 2005).

In this study, we examined whether the preadministration of polymeric micelles possessing PEG chains alters the biodistribution of PEGylated liposomes or not. Moreover, we investigated the

Abbreviations: ABC phenomenon, accelerated blood clearance phenomenon; [³H]-CHE, [³H] cholesterylhexadecyl ether; MPEG-DSPE, 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-n-[methoxy(polyethylene glycol)-2000]; MPS, mononuclear phagocyte system; PEG-PBLA, poly(ethylene glycol)-b-poly(β -benzyl L-aspartate); PEGylated liposomes, polyethylene glycol-modified liposomes.

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particle size-dependency for triggering the phenomenon by use of PEGylated liposomes and polymeric micelles.

2. Materials and methods

2.1. Materials

Dipalmitoylphosphatidylcholine (DPPC), cholesterol and 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*n*-[methoxy(polyethylene glycol)-2000](MPEG-DSPE) were kindly gifted from Nippon Fine Chemical Co., Ltd. (Takasago, Hyogo, Japan). [^3H]cholesterylhexadecyl ether ([^3H]-CHE) was purchased from Amersham Pharmacia (Buckinghamshire, UK). All other reagents were analytical grade.

2.2. Animal

Five-week-old male BALB/c mice were purchased from Japan SLC Inc. (Shizuoka, Japan). The animals were cared for according to the animal facility guidelines of the University of Shizuoka. All animal experiments were approved by the Animal and Ethics Review Committee of the University of Shizuoka.

2.3. Preparation of polymeric micelles

Three block copolymers were used for polymeric micelle preparations. Their structures and compositions are summarized in Table 1. Poly(ethylene glycol)-*b*-poly(β -benzyl L-aspartate) (PEG-PBLA) was synthesized by polymerization of β -benzyl L-aspartate *N*-carboxy anhydride from an amino terminal of α -methyl- ω -aminopoly(oxethylene), as reported previously (Yokoyama et al., 1992). Two partially esterified block copolymers, PEG-P(Asp(pentyl)) and PEG-P(Asp(nonyl)), were prepared by esterification of PEG-*b*-poly(aspartic acid) block copolymer by a reported method (Yamamoto et al., 2007). In brief, aspartic acid residues of PEG-*b*-poly(aspartic acid) block copolymer was activated with 1,8-diazabicyclo[5.4.0]undecene, followed by reaction with corresponding alkyl bromides, pentyl bromide and nonyl bromide.

Polymeric micelles were prepared from these three block copolymers by a dialysis method (Yamamoto et al., 2007). Block copolymers were dissolved in DMF at a concentration of 7.5 mg/ml. These polymer solutions were dialyzed against distilled water by the use of a dialysis membrane (Spectra/Por 6, molecular weight cut-off: 1000, Spectrum Japan, Tokyo, Japan). After overnight dialysis, the micelle solutions were concentrated by ultrafiltration (Millipore ultrafiltration membrane PBHK, molecular weight cut-off: 100,000, Nihon Millipore, Tokyo, Japan). By dynamic light scattering, weight-averaged diameters of the obtained polymeric micelles were found to be 50.2, 31.5, and 9.7 nm for PEG-PBLA, PEG-P(Asp(pentyl)), and PEG-P(Asp(nonyl)), respectively.

2.4. Preparation of PEGylated liposomes

PEGylated liposomes composed of DPPC and cholesterol with MPEG-DSPE (10:5:1 as molar ratio) were prepared as described previously (Maeda et al., 2004). In brief, lipids dissolved in chloroform were evaporated to form thin lipid film. Then liposomes were formed by hydration with 10 mM phosphate-buffered 0.3 M sucrose solution (pH 7.4). Then liposomes were sized by five times extrusion through a polycarbonate membrane filter with 100, 400 or 800 nm pores (Nucleopore, Maidstone, UK). For a biodistribution study, a trace amount of [^3H]-CHE (74 kBq/mouse) was added to the initial chloroform solution. Particle size of PEGylated liposomes

was measured by dynamic light scattering.

2.5. Biodistribution of PEGylated liposomes

Mice were received intravenous injection of polymeric micelles (2.9 mg/kg), PEGylated liposomes (2.0 μmol phospholipids/kg, 2.4 mg total lipids/kg) or phosphate-buffered sucrose. At three days later [^3H]-labeled test-dose PEGylated liposomes (5.0 μmol phospholipids/kg) were injected into them via a tail vein. Twenty-four hours after the test-dose administration, the mice were sacrificed for the collection of the blood from the carotid artery. Then the blood treated with heparin was centrifugally separated to obtain the plasma. After the blood was withdrawn, the heart, the lung, the liver, the spleen and the kidney were removed and weighed. The radioactivity in plasma and each organ was determined with a liquid scintillation counter (LSC-3100, Aloka, Tokyo, Japan). Distribution data are presented as % dose per wet tissue. The total amount in plasma was calculated based on the average body weight of the mice, where the average plasma volume was assumed to be 4.27% of the body weight based on the data on total blood volume.

2.6. Statistics

Variance in a group was evaluated by the *F*-test, and differences in biodistribution data, by Student's *t*-test.

3. Results and discussion

At first, we used PEGylated liposomes with 119, 261 or 795 nm diameter as a preconditioning dose. Fig. 1 shows the biodistribution of test-dose PEGylated liposomes (127 nm). The amount of the PEGylated liposomes in the plasma was significantly decreased and that in the liver was significantly increased in the mice preadministered with the PEGylated liposomes. ABC phenomenon was caused by all liposomes tested. Fig. 2 shows the biodistribution of test-dose PEGylated liposomes in the mice preadministered with polymeric micelles (9.7, 31.5 or 50.2 nm) at 3 days before. The mice prereceived

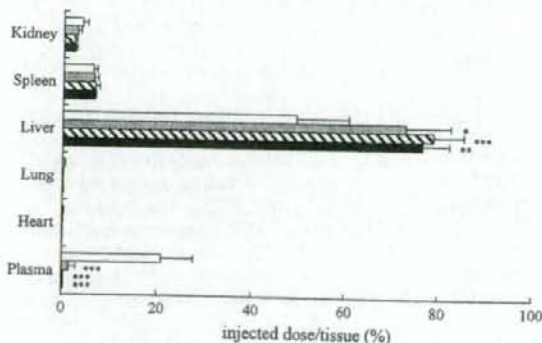
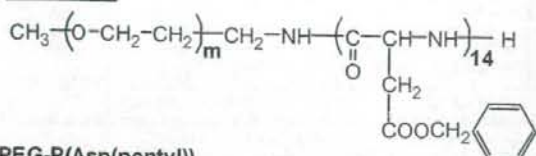


Fig. 1. Biodistribution of test-dose PEGylated liposomes after preadministration of various sized ones. BALB/c mice were intravenously injected with PEGylated liposomes (2.0 μmol phospholipids/kg) with 119, 261 or 795 nm size. Three days later [^3H]-labeled test-dose PEGylated liposomes (5.0 μmol phospholipids/kg) were administered via a tail vein. Twenty-four hours later, the mice were sacrificed and the radioactivity in the plasma and each organ was determined ($n=5$). Data are presented as a percentage of the injected dose per tissue and S.D. Data represent phosphate-buffered sucrose (open bar), 119 nm (gray bar), 261 nm (hatched bar), and 795 nm (closed bar) PEGylated liposomes, respectively. Significant differences against phosphate-buffered sucrose group are shown with asterisks: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

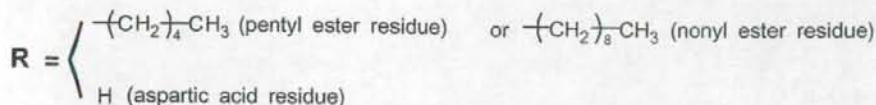
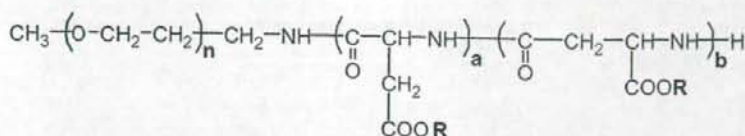
Table 1
Composition of block copolymers

PEG-PBLA



PEG-P(Asp(pentyl))

and PEG-P(Asp(nonyl))



Copolymer	Molecular weight (M.W.)	M.W. of PEG block	Number of Asp units (a + b)	Esterification degree (%) ^a	Diameter (nm) ^b
PEG-PBLA	15,000	12,000	14	100	50.2
PEG-P(Asp(pentyl))	9,000	5,000	22	75	31.5
PEG-P(Asp(nonyl))	10,000	5,000	22	72	9.7

^a Esterification degree (%) = (number of ester residues) / (number of ester residues) + (number of aspartic acid residues) × 100. This degree was determined by ¹H NMR measurements.

^b Weight-weighted average diameter determined by dynamic light scattering.

50.2 nm polymeric micelles showed a significant decrease of test-dose PEGylated liposomes in the plasma and a significant increase in hepatic uptake. However, the readministration of both 9.7 and 31.5 nm polymeric micelles did not change plasma concentration and hepatic uptake of test-dose PEGylated liposomes. It appears that ABC phenomenon was not caused by readministration with smaller-sized polymeric micelles (31.5 nm or less), while it was triggered by readministration with larger-sized polymeric micelles

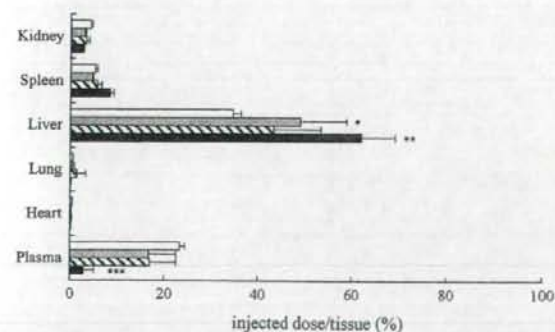


Fig. 2. Biodistribution of test-dose PEGylated liposomes after readministration of various size polymeric micelles. BALB/c mice were intravenously injected with polymeric micelles (2.9 mg/kg) with 9.7, 31.5 or 50.2 nm size. Three days later [³H]-labeled PEGylated test-dose liposomes (5.0 μmol phospholipids/kg) were administered via a tail vein. Twenty-four hours later, the mice were sacrificed and the radioactivity in the plasma and each organ was determined (n = 5). Data are presented as a percentage of the injected dose per tissue and S.D. Data represent phosphate-buffered sucrose (open bar), 9.7 nm (gray bar), 31.5 nm (hatched bar), and 50.2 nm (closed bar) polymeric micelles, respectively. Significant differences against phosphate-buffered sucrose group are shown with asterisks: *p < 0.05; **p < 0.01; ***p < 0.001.

(50.2 nm or more). These results indicate that ABC phenomenon was triggered by preconditioning with not only PEGylated liposomes but also PEG-containing polymeric micelles. Furthermore, the size of nanoassemblies presenting PEG moiety on their surface is one of important factors to induce the ABC phenomenon. In case of large particles, they would be recognized easily by immune cells and activate immune systems, presumably in spleen (Ishida et al., 2006b). By contrast, small particles might avoid the recognition by immune cells. In the point of the molecular weight of PEG moiety, we previously reported that elongation of PEG chain length did not show any difference for inducing ABC phenomenon (Ishida et al., 2005). Consequently, the larger particles may produce anti-PEG IgM (Wang et al., 2007) that triggers enhanced blood clearance and hepatic uptake of test-dose PEGylated liposomes, although further investigation should be required to prove this assumption.

4. Conclusions

This study is the first report to demonstrate that the preconditioning with polymeric micelles sized at around 50 nm, which are most widely used to deliver anti-cancer drug, causes the ABC phenomenon. Furthermore, it is clarified that the size of nanoassemblies is one of important factors for ABC phenomenon. Since nanocarriers are now progressing in the field of DDS, this study points out the important information about unexpected immune reactions against nanocarriers.

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Thermal modulation of intracellular drug distribution using thermoresponsive polymeric micelles

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Dedicated to Professor Teiji Tsuruta on the occasion of his 88th birthday (Beiju).

Abstract

Intracellular distribution of free doxorubicin (DOX) or DOX-loaded in polymeric micelles with thermoresponsive outer shells of poly(*N*-isopropylacrylamide) or its copolymers in cultured human breast cancer cells (MCF-7) were investigated by fluorescence and confocal laser scanning microscopy. Free DOX accumulated rapidly and selectively in cell nuclei, independent of temperature changes. In contrast to free drugs, the intracellular distribution of DOX-loaded in the thermoresponsive polymeric micelles was significantly affected by temperature changes across lower critical solution temperature (LCST) of the micelles. Above the micelle LCST, DOX delivered by the micelles was localized uniformly inside of MCF-7 cells. By contrast, the amount of DOX delivered to MCF-7 cells drastically decreased below the micelle LCST due to minimal interaction of the micelles with cell membrane surfaces. These results clearly showed that the mechanism of the intracellular drug localization was different between free drugs and DOX-loaded in the micelles. The thermoresponsive micelles aggressively interacted with the cells and carried DOX into the cells *via* triggered phase transition of the outer shells. In addition, much lower accumulation of free DOX was observed in the resistant cells compared to its parent sensitive MCF-7 due to the resistant mechanism. Of interest, DOX accumulation in the resistant cells was almost in the same level as with MCF-7 (sensitive) cells for the micelle system above the LCST.

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Keywords: Poly(*N*-isopropylacrylamide); Thermoresponse; Polymeric micelles; Doxorubicin; Intracellular drug distribution

1. Introduction

Selective anti-cancer drug delivery to solid tumor tissues using drug carriers has been an extremely

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attractive application for cancer chemotherapy without severe toxic side effects. For this purpose, several types of drug carriers, such as water-soluble polymers [1,2], liposomes [3,4], and polymeric micelles [5], have been actively investigated.

Amphiphilic block copolymers form core-shell multi-molecular assemblies called polymeric micelles in aqueous media [6,7]. Highly hydrated outer shells of polymeric micelles provide their reliable structural stability in aqueous environments. Hydrophobic inner cores can incorporate a large amount of hydrophobic drug with maintaining their water-solubility due to the presence of the hydrophilic outer shells. Furthermore, nano-ordered diameter range of polymeric micelles (10–200 nm) can allow long circulation in the blood stream avoiding the body's defense systems (reticuloendothelial system, RES) and thus, utilize the enhanced permeability and retention (EPR) effect [8,9] at solid tumor sites for tumor targeting. We have previously reported that polymeric micelles composed of poly(ethylene oxide)-*b*-poly(L-aspartate) block copolymers containing an anticancer drug, doxorubicin (DOX), selectively accumulated at solid tumor sites by the passive targeting mechanism, the EPR effect [10,11].

Recently, polymeric micelles with stimuli-responsive drug release mechanisms as a novel concept for anticancer drug delivery have been designed for applications in effective cancer chemotherapy [12–14]. The different drug release kinetics stimulated by physico-chemical signals (e.g., heat, pH, and ultrasound) may lead to maximal cytotoxic action at tumor sites, resulting in locoregional drug accumulation while reducing drug accumulation in normal tissues to inhibit undesirable side effects. These drug carrier systems combining two or more targeting methodologies is defined as multi-targeting systems. In order to accomplish these intelligent drug targeting systems, we have developed polymeric micelles possessing thermoresponsive outer shells [15–17]. Our strategy of cancer chemotherapy using the thermoresponsive polymeric micelles is as follows. Polymeric micelles with drugs circulate in the blood avoiding the RES uptake, and accumulate selectively at solid tumor tissues *via* the EPR-mediated targeting below the micelle LCST. And then the thermoresponsive outer shells of the micelles shrink and change into hydrophobic by local heating at the target sites upon the LCST. This alternation of micelle properties may induce selective drug actions at the heated target site. Simultaneously,

this strategy can achieve temporal drug delivery control by local temperature increases.

Poly(*N*-isopropylacrylamide) (PIPAM) is well-known to undergo sharp coil-to-globule transitions at 32 °C in water [18]. This phase transition temperature is called a lower critical solution temperature (LCST). This polymer changes from water-soluble and hydrophilic state (coil) below its LCST to water-insoluble and hydrophobic state (globule: aggregation) above the LCST. Previously, we have already reported successful preparations of thermoresponsive polymeric micelles constructed with two block copolymers, PIPAM-*b*-poly(butyl methacrylate) [15] and PIPAM-*b*-poly(D,L-lactide) [16]. In our previous works, the DOX-loaded thermoresponsive micelles demonstrated successful controlled ON-OFF drug release and subsequent expression of *in vitro* cytotoxicity with applied temperature changes [15,17].

Here, we mainly focus on investigation of intracellular drug delivery and interactions of the thermoresponsive polymeric micelles into/with cultured human breast cancer (MCF-7) cells by fluorescence and laser scanning confocal microscopy in order to understand cytotoxic mechanisms modulated by temperature changes as well as to optimize drug carrier design for the multi-targeting systems.

2. Materials and methods

2.1. Materials

N-Isopropylacrylamide (IPAM) was kindly provided by Kohjin (Japan) and recrystallized from *n*-hexane. D,L-Lactide (LA, TCI, Japan) was purified by recrystallization from ethyl acetate. Butyl methacrylate (BMA, Tokyo Kasei Co. Ltd., Japan), *N,N*-dimethylacrylamide (DMAM, Wako Pure Chemicals, Japan) and 3-mercaptopropionic acid (Aldrich) were distilled under reduced pressure. Triethylamine and xylene were purchased from Wako Pure Chemicals and purified by standard methods. Benzoylperoxide (BPO, Kanto Chemical Co., Japan), *N*-ethylacetamide (TCI), *N,N*-dimethylacetamide (Wako Pure Chemicals), thionyl chloride (Wako Pure Chemicals), diethyl ether (Wako Pure Chemicals), 2-mercaptoethanol (Wako Pure Chemicals) and tin(II)2-ethylhexanoate (Wako Pure Chemicals) were used as received. Doxorubicin hydrochloride (DOX-HCl) was obtained from Merck Co., Japan.

2.2. Synthesis of PIPAM-*b*-PBMA block copolymer

Hydroxyl-terminated poly(*N*-isopropylacrylamide) (PIPAM-OH) and carboxyl-terminated poly(butyl methacrylate) (PBMA-COOH) were prepared by telomerization using 2-mercaptoethanol (ME) and 3-mercaptopropionic acid as a chain transfer agent, respectively [15]. A molecular weight of the PIPAM-OH was determined by gel permeation chromatography (GPC; Tosoh, SC-8020, calibrated with polystyrene standards, elution rate: 1.0 ml/min) at 45 °C using DMF containing 10 mM LiCl as eluent. The terminal carboxyl functionality of the PBMA-COOH was determined by non-aqueous potentiometric titration using 0.01 N CH₃ONa dissolved in a mixture of methanol and dioxane. Diblock copolymers of PIPAM and PBMA (PIPAM-*b*-PBMA) were obtained by reaction between a hydroxyl group of the PIPAM-OH and a carboxyl group of the PBMA-COOH by activation with thionyl chloride. The detailed synthetic and purification procedures of the block copolymers were reported in our previous paper [15].

2.3. Synthesis of P(IPAM-*co*-DMAM)-*b*-PLA block copolymer

Hydroxyl-terminated thermoresponsive polymers, poly(IPAM-*co*-DMAM) (P(IPAM-DMAM)-OH) was synthesized by radical copolymerization (20 mol% DMAM against total monomers) using ME [17]. Diblock copolymers were synthesized by ring opening polymerization of D,L-lactide (LA) from the hydroxyl end-group of the P(IPAM-DMAM)-OH as reported in our previous paper [17]. Briefly, the P(IPAM-DMAM)-OH polymers were dissolved in xylene. D,L-lactide and tin(II)2-ethylhexanoate as a catalysis were added to the polymer solution. Polymerization proceeded at 150 °C for 24 h under a nitrogen atmosphere. The obtained polymers were precipitated twice in an excess of diethyl ether, and then dried in vacuo. A molecular weight of the P(IPAM-DMAM)-*b*-PLA block copolymers was determined by GPC in the same conditions as for the PIPAM-*b*-PBMA. The composition of the block copolymers was determined with a ¹H NMR spectrometer (400 MHz, Varian).

2.4. Preparation of DOX-loaded polymeric micelles

The formation of micelle structures and the DOX loading were simultaneously carried out by a dialysis

method. The PIPAM-*b*-PBMA block copolymers (19 mg) and DOX-HCl (19 mg) were dissolved separately in 1.5 ml of *N*-ethylacetamide. The DOX solution was added to the polymer solution after addition of triethylamine (TEA) (6.0 μl, 1.3 molar equivalents versus DOX-HCl). The solution was dialyzed against distilled water at room temperature for 48 h (MWCO: 12,000–14,000, Spectra/Por 4, Spectrum Medical Industries). For the P(IPAM-DMAM)-*b*-PLA block copolymers, DOX-HCl (100 mg) was dissolved in 50 ml *N,N*-dimethylacetamide (DMAc) and added by TEA (1.5 molar equivalents versus DOX-HCl), followed by stirring for 10 min. P(IPAM-DMAM)-*b*-PLA block copolymers (100 mg) were dissolved in 50 ml DMAc. The DOX solution and the polymer solution were mixed at room temperature, followed by dialysis against distilled water using the dialysis membrane (MWCO: 12,000–14,000, Spectra/Por4) at room temperature. The obtained DOX-loaded polymeric micelles were ultrafiltered using a filtration membrane of 200,000 molecular weight cut-off (ultrafilter Q2000, ADVANTEC MFS, INC.) at 4 °C to remove un-incorporated DOX. The UV absorbance at 485 nm was measured to estimate quantities of the incorporated DOX (V-530, Japan Spectroscopic Co., Japan) using molar extinction coefficient of DOX-HCl at 485 nm in distilled water.

2.5. Characterization of polymeric micelles

Optical transmittance of the 0.5 wt% polymeric micelle solutions (the PIPAM/PBMA micelles in water, and the P(IPAM-DMAM)/PLA micelles in phosphate buffer saline (PBS)) at various temperatures were measured at 600 nm with a UV-vis spectrometer. A sample cell was thermostated with a Peltier-effect cell holder (EHC-477, Japan Spectroscopic). Heating rate was 0.1 °C/min. The LCST of the micelle solutions was defined as the temperature inducing a 50% decrease in optical transmittance. Hydrodynamic diameters of the micelles were measured by dynamic light scattering (DLS) using a DLS-7000 instrument (Otsuka Electronics Co., Japan) equipped with He-Ne laser (633 nm).

2.6. Cell culture

Human breast cancer MCF-7 and its DOX-resistant (MCF-7/DOX) cell lines (kindly supplied by National Cancer Institute, USA) were grown as a monolayer in 75 cm² tissue culture flask containing

RPMI 1640 supplemented with 5% fetal bovine serum (FBS), 100 units/ml penicillin and 100 µg/ml streptomycin. All cell lines were cultured at 37 °C with 5% CO₂.

2.7. Intracellular distribution of DOX

Cells were plated at a density of 4×10^4 cells/well onto a glass slide with an aminoalkyl group-grafted surface (S-9215, Matsunami Glass, Japan) fitted with a culture vessel (flexiPERM, VIVASCIENCE, Germany). Cells were incubated in RPMI 1640 supplemented with 5% FBS, 100 units/ml penicillin and 100 µg/ml streptomycin. At 14 h after plating, cells were exposed to either free DOX (30 µg/ml) or the DOX-loaded micelles (incorporated DOX 30 µg/ml) in the cell culture medium and incubated at below (29 °C for the PIPAM/PBMA micelles, 37 °C for the P(IPAM-DMAM)/PLA micelles) or above (37 °C for the PIPAM/PBMA micelles, 42.5 °C or the P(IPAM-DMAM)/PLA micelles) the LCSTs of the micelles. After incubation for various periods, cells were gently rinsed with PBS to remove the micelles adhered to the cell membranes (non-incorporated micelles) at the temperature below the micelle LCST (25 °C). The cells on glass slides were fixed at room temperature with 4% paraformaldehyde for 30 min, and then washed with PBS containing 0.02% NaN₃. Nuclei of the treated cells were stained with Hoechst 33258 (10 µg/ml, Molecular Probes, USA) for 10 min at room temperature. Finally, the cells were washed three times with PBS containing 0.02% NaN₃ and observed by fluorescence microscopy (TE2000-U, Nikon, Japan) and laser scanning confocal microscopy (Leica TCS NT, Leica, Germany).

3. Results and discussion

3.1. Synthesis and characterization of the block copolymer

A molecular weight of the PIPAM-*b*-PBMA block copolymers were estimated from characterization of each polymer chain. Results are summarized in Table 1. For the P(IPAM-DMAM)-*b*-PLA block copolymers, molecular weights and polydispersities (PDI) were determined by GPC, and its molar ratios of IPAM, DMAM, and LA units were determined with a ¹H NMR spectrum using CDCl₃ as a solvent. Results are summarized in Table 2. Chemical structures of the block copolymers are shown in Fig. 1.

3.2. Characterization of polymeric micelles

Core-shell type micelle formation through self-association of the amphiphilic diblock copolymers and doxorubicin (DOX) loading were successfully achieved by dialysis of the polymer/drug mixtures in organic solvents against water at a temperature below the LCST of shell-forming polymer segments. For the PIPAM/PBMA micelles, a high DOX loading content (9.6 wt%) was obtained. The hydrodynamic diameters of the DOX-loaded PIPAM/PBMA micelles showed a relatively large distribution (338 nm, Fig. 2(a)). In general, particle size of mono-dispersed (not aggregated) polymeric micelle is known as 10–200 nm [5]. This larger particle size of the PIPAM/PBMA micelles was considered to be caused by the aggregation of individual polymeric micelles. On the other hand, a relatively low DOX incorporation (4.4 wt%) was obtained for the P(IPAM-DMAM)/PLA micelles. A possible explanation for a lower DOX content in the P(IPAM-DMAM)/PLA micelle is as the follows; (a) The PBMA inner cores possess higher hydrophobicity than the PLA cores due to hydrophobic butyl side chains, and (b) the crystalline structure of PLA inhibits the DOX entrapment in the inner cores. The averaged diameter of DOX-loaded P(IPAM-DMAM)/PLA micelles was 135 nm in a typical range of dispersed polymeric micelles (Fig. 2(b)). This result was probably due to that successful structural stabilization of thermoresponsive micelles was achieved by introduction of hydrophilic comonomer, DMAM into PIPAM main chains. For passive targeting using particles, their nano-ordered sizes (5–200 nm) are a very important factor for long circulation in the blood stream, avoiding from RES uptake [4], and allowing for selective tumor targeting due to the EPR effect of solid tumors. The prepared P(IPAM-DMAM)/PLA micelles have appropriate particle sizes with highly dispersed, non-aggregating properties, indicating their utility as targeted carrier systems.

The LCST of the DOX-loaded micelles were investigated by a turbidity method. In our previous works, it was clearly demonstrated that the hydrophilic or hydrophobic contribution to the LCST of PIPAM was particularly high when such groups were located at termini of the PIPAM chains [19,20]. The obtained hydroxyl-terminated PIPAM (PIPAM-OH) exhibited higher LCST of 34.5 °C in water than IPAM homopolymers prepared by conventional radical polymerization (32 °C). This

Table 1
Characterization of PIPAM-*b*-PBMA and the DOX-loaded micelles

Mw (PIPAM-OH) ^a	Mn (PBMA-COOH) ^b	LCST (°C) ^c	DOX content (wt%)
6100	8900	32.5	9.6

^a Mw of PIPAM was determined by GPC in DMF with 10 mM LiCl.

^b Mn of PBMA was estimated by end-group assay.

^c Determined by transmittance changes in water.

result was considered that large hydrophilic contribution was enhanced by introduction of the terminal hydroxyl group in PIPAM due to stronger hydrogen bonding with water. However, the micelles comprising PIPAM-*b*-PBMA block copolymers showed the same LCST of unmodified IPAM homopolymers, irrespective of hydrophobic PBMA co-introduction. We have previously reported that thermoresponsive polymeric micelles comprising AB block copolymers of the PIPAM segment and a hydrophobic segment showed an LCST of 32.5 °C, identical to that of the IPAM homopolymer due to a clearly phase-separated micelle structure between the hydrophilic outer shells and the hydrophobic inner cores [19,21]. Furthermore, we have successfully adjusted the LCST of polymeric micelles comprising P(IPAM-DMAM)-*b*-PLA block copolymers to a temperature (39.5 °C) which is slightly higher than the body temperature by introduction of DMAM into the PIPAM main chain. This P(IPAM-DMAM)/PLA micelle system can be useful for multi-targeting methodology in conjunction with the localized hyperthermia at 42 °C, tumors are attacked by both selective cytotoxic activity of drugs (control of timing and duration) and selective hyperthermia [22].

3.3. Intracellular drug distribution

Subcellular distributions of DOX as free drug or loaded in the polymeric micelles inside of the cul-

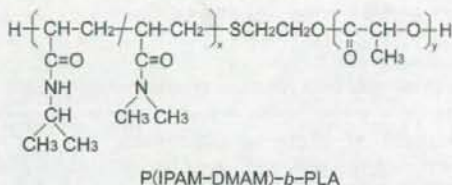
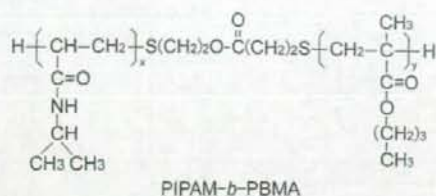


Fig. 1. Chemical structures of block copolymers.

tured MCF-7 cells were observed by fluorescence microscopy detecting DOX fluorescence (red color). The nuclei of MCF-7 cells were selectively visualized (blue color) by Hoechst 33258 stain (Fig. 3(a and g)). Un-incorporated free DOX was almost exclusively found in nuclei (Fig. 3(b–f)) for incubation periods of 1 h to 24 h. The accumulation of free DOX progressed at a very high rate and saturated at 3 h incubation. For longer incubation periods, nuclei red color did not change. This behavior was identical to results reported in other papers [23]. Then, it was shown that subcellular distribution of DOX was not affected by temperature change from 37 °C (Fig. 4(a)) to 29 °C (Fig. 4(b)). On the other hand, DOX-loaded in the PIPAM/PBMA micelle system (LCST: 32.5 °C) was allowed to be clearly detected at 3 h and later. The intensity of the DOX color kept increasing by 24 h at a slower rate than free DOX (Fig. 3(h–l)). For the micelles, DOX images were shown to be uniformly distributed in whole inside of cells at 37 °C (above the micelle LCST) after thoroughly rinsing the cells to remove the micelles adhered to the cell surfaces below the LCST (25 °C). These results suggested that drug

Table 2
Characterization of P(IPAM-DMAM)-*b*-PLA and the DOX-loaded micelles

P(IPAM-DMAM)- <i>b</i> -PLA (P(IPAM-DMAM)-OH) ^a		Composition (molar ratio) ^b			LCST (°C) ^c	DOX content (wt%)
Mw ^a	PDI ^a	IPAM	DMAM	LA		
19600 (14800)	1.42 (1.26)	47	21	33	39.5	4.4

^a Determined by GPC in DMF with 10 mM LiCl.

^b Estimated by ¹H NMR spectrum.

^c Determined by transmittance changes in PBS.

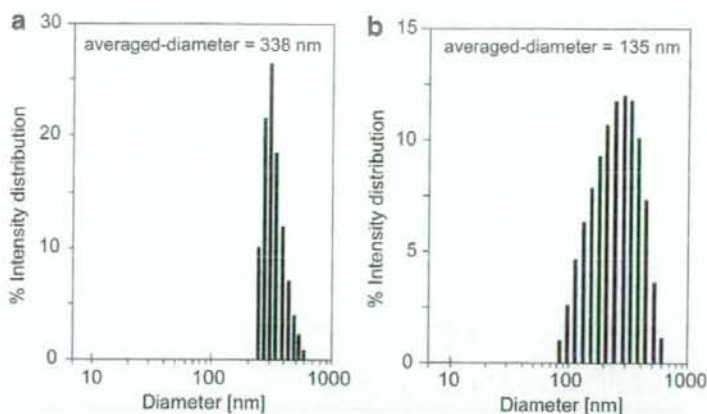
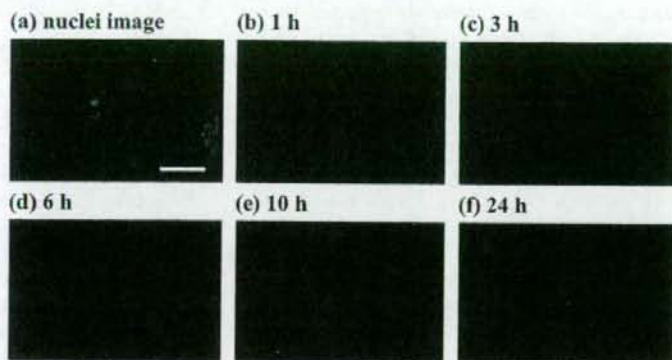


Fig. 2. Diameter distributions of (a) the DOX-loaded PIPAM/PBMA micelles and (b) the DOX-loaded P(IPAM-DMAM)/PLA micelles.

free DOX



DOX-loaded micelles (Temp. > LCST)

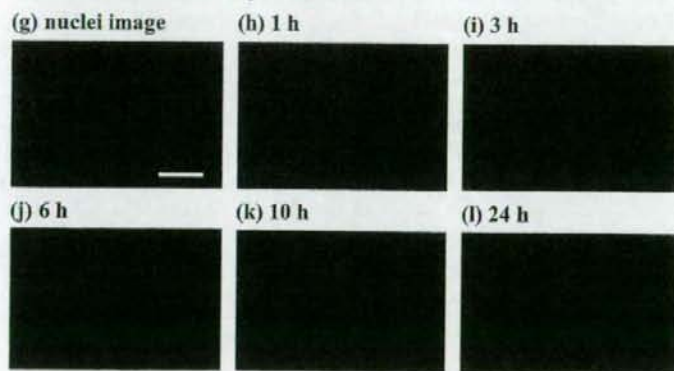


Fig. 3. Intracellular localization of free DOX and DOX-loaded in the PIPAM/PBMA micelles in MCF-7 cells. (a) nuclei stained with Hoechst 33258. Incubation for (b) 1 h, (c) 3 h, (d) 6 h, (e) 10 h and (f) 24 h with 30 µg/ml free DOX at 37 °C., (g) nuclei stained with Hoechst 33258. Incubation for (h) 1 h, (i) 3 h, (j) 6 h, (k) 10 h and (l) 24 h with 30 µg/ml DOX-loaded in the PIPAM/PBMA micelles at 37 °C. Bar represents 50 µm.

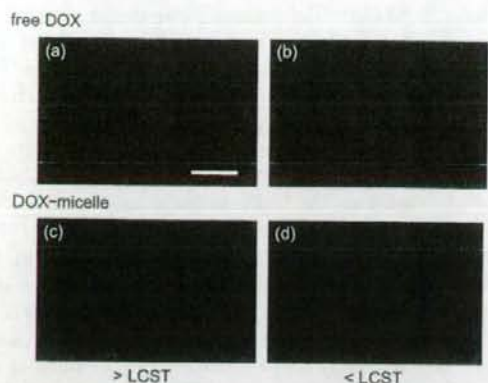


Fig. 4. Temperature effects on intracellular localization of DOX in MCF-7 cells.: Incubation for 6 h with 30 $\mu\text{g/ml}$ free DOX at (a) 37 $^{\circ}\text{C}$ and (b) 29 $^{\circ}\text{C}$. Incubation for 24 h with 30 $\mu\text{g/ml}$ DOX loaded in the PIPAM/PBMA micelles at (c) 37 $^{\circ}\text{C}$ and (d) 29 $^{\circ}\text{C}$. Bar represents 50 μm .

delivery mechanism into the cells was different between free DOX and the DOX-loaded in the polymeric micelles. It is considered that DOX entered the cells in a micelle form, since its uniform distribution was totally different from free DOX distribution shown above. We have previously investigated temperature-modulated cellular uptake of fluorescein-labeled thermoresponsive micelles (averaged diameter: 30 nm) using cultured bovine endothelium cells by confocal laser scanning microscopy and flow cytometry [24]. Only above the micelle LCST, the micelles were significantly observed inside of the cells and localized around the nuclei. Furthermore, we have successfully achieved ON-OFF controlled intracellular uptake of the micelles via heating-cooling cycles across the micelle LCST. The drugs located at the nuclei using the micelles were probably due to accumulation of the released DOX from the micelles. In our previous report, the PIPAM/PBMA micelles exhibited approximately 90% DOX released against total loading content in PBS after 24 h above the LCST [15]. Of interest, as compared with images obtained above the LCST of the micelle (37 $^{\circ}\text{C}$), the amount of DOX delivered to the cells drastically decreased below the LCST (29 $^{\circ}\text{C}$) (Fig. 4(c and d)). This significant temperature effect indicated that hydrophilic PIPAM outer shells below its LCST lowered interactions with cell surfaces due to their highly hydrated shells. Upon the temperature raise above the micelle LCST, the outer shells switches their

properties from hydrophilic to hydrophobic, and then the hydrophobic interactions between the micelles and the cell were considered to increase. Consequently, adhesion of the polymeric micelles was promoted, followed by significant enhancement of cellular uptake. Above the LCST, PIPAM-brush interfaces actively interact with biocomponents such as cells and proteins, whereas the surfaces changed into hydrated state with inhibiting these interactions below the LCST. Indeed, we have already demonstrated the PIPAM-grafted interfaces with a hydrophilic/hydrophobic switchable character for various applications including novel aqueous chromatography systems to separate bioactive compounds [25,26] and thermally regulated cell adhesion and detachment controlled solely by temperature changes [27,28].

We further investigated intracellular drug delivery via the thermoresponsive polymeric micelles using doxorubicin-resistant MCF-7 cell line (MCF-7/DOX). Free DOX was demonstrated much lower intracellular distribution in the resistant cells than its parent sensitive MCF-7 (Fig. 4(a) and Fig. 5(a)). This decrease is considered to result from efflux pump activity of the resistant cells. Interestingly, for the PIPAM/PBMA polymeric micelles above the micelle LCST, strong DOX fluorescence was observed in the DOX-resistant cells, irrespective of drug efflux activity. The extent of DOX intensity decreased only slightly compared with the DOX-

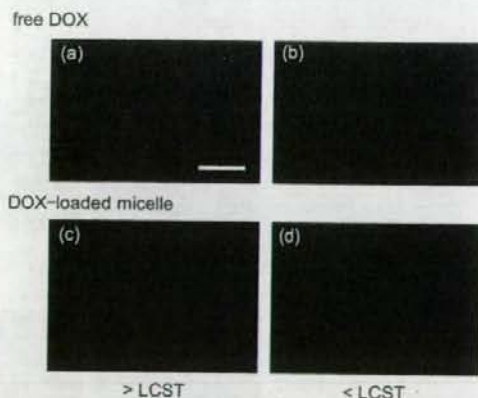


Fig. 5. Differences in intracellular localization between free DOX and DOX-loaded in the polymeric micelles in MCF-7 DOX resistant cells. Incubation for 6 h with 30 $\mu\text{g/ml}$ free DOX at (a) 37 $^{\circ}\text{C}$ and (b) 29 $^{\circ}\text{C}$. Incubation for 24 h with 30 $\mu\text{g/ml}$ DOX-loaded in the PIPAM/PBMA micelles at (c) 37 $^{\circ}\text{C}$ and (d) 29 $^{\circ}\text{C}$. Bar represents 50 μm .

sensitive cells (Fig. 4(c) and Fig. 5(c)). At the temperature below the LCST, the fluorescence intensity of DOX using the polymeric micelles was very small in this MCF-7/DOX. These results indicated that accumulation of free DOX was greatly inhibited in the resistant cell due to its resistant mechanism, and that a very large amount of drugs were delivered into the DOX-resistant cells utilizing thermal triggered micelle system. Consequently, we might accomplish to deliver anti-cancer drugs into MCF-7/DOX cells efficiently to overcome multi-drug resistant activity, and a novel strategy of cancer chemotherapy can be developed using the thermoresponsive micelle drug carrier system.

Biodegradable characters of the core-forming segments are preferable since the polymers obtained after the degradation (their molecular weights are below the critical value of ca. 40,000) can be rapidly excreted from the body through the renal excretion [29]. In addition, the thermoresponsive polymeric micelles with controlled higher LCST than human body temperature can be utilized for tumor hyperthermic treatment. Hyperthermia is known that exposure of high temperatures (around 42 °C) damages and kills cancer cells without biological damage to normal cells [22]. Therefore, we designed and prepared the P(IPAM-DMAM)/PLA micelles which possess both biodegradable properties of PLA inner cores and the controlled LCST value of 39.5 °C for the combination with the localized hyperthermic therapy. Intracellular distribution of free DOX at 37 °C and 42.5 °C was found to be

effective the same as those in the experiment mentioned above (Fig. 3(b–f)). Free DOX was selectively found in cell nuclei, and its red color was saturated at 6 h because no change was seen at 10 h and 24 h (data not shown). No temperature effect was observed between 37 °C and 42.5 °C. For the DOX-loaded in the P(IPAM-DMAM)/PLA micelles, the DOX accumulation in MCF-7 is much slower than free drug, it increased by 24 h incubation in a time-dependent manner (Fig. 6). In addition, the significant temperature effect of DOX accumulation across its LCST was clearly demonstrated using the thermoresponsive micelles. After 24 h incubation, a much larger amount of DOX in the cell above the LCST was detected than that below the LCST (Fig. 6(f and h)). In the P(IPAM-DMAM)/PLA micelle system, we also successfully controlled the critical temperature of intracellular drug delivery higher body temperature by LCST regulation of shell-forming polymer chains *via* hydrophilic DMAM introduction. Therefore, efficient tumor treatments can be obtained using thermoresponsive micelle drug carrier system in conjunction with local heating: tumor tissues are attacked by both selective cytotoxic activity of drugs and selective hyperthermia (42 °C). These phenomena of drug distribution using the thermoresponsive micelles were scarcely affected on differences in chemical components of block copolymers between two types of the micelles.

In order to confirm intracellular localization of free DOX and DOX loaded in the micelles, we

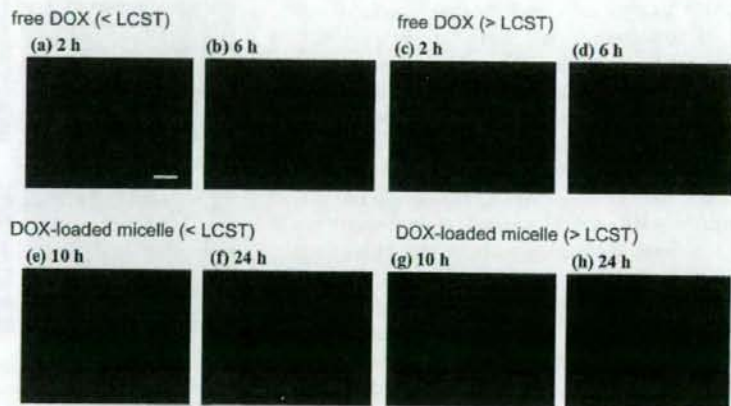


Fig. 6. Intracellular localization of free DOX and DOX-loaded in the P(IPAM-DMAM)/PLA micelles in MCF-7 cells. Incubation with 30 $\mu\text{g}/\text{ml}$ free DOX for 6 h at (a) 37 °C and (b) 42.5 °C. Incubation with 30 $\mu\text{g}/\text{ml}$ DOX-loaded in the P(IPAM-DMAM)/PLA micelles for 10 h at (c) 37 °C and (d) 42.5 °C, for 24 h at (e) 37 °C and (f) 42.5 °C. Bar represents 50 μm .

observed confocal images of MCF-7 cells exposed to each DOX. Free DOX was found to accumulate in only the cell nuclei (Fig. 7(a)). Kiyokami et al. previously reported the mechanism of selective DOX accumulation in the cell nuclei [30]; cytoplasmic DOX-binding proteasomes selectively transport DOX from the cytoplasm to the nucleus. By contrast, subcellular distribution of DOX using the micelles was observed in whole cells above its LCST as shown in Fig. 7(b). DOX accumulated in the cell nuclei using the thermoresponsive micelles probably due to accumulation of released DOX from the micelles. The P(IPAM-DMAM)/PLA micelles previously demonstrated 10% released against total loading DOX after 2 days in PBS above the LCST [17]. These suggested that DOX was mainly delivered into the cells in micelle form, and was enhanced intracellular release through triggered phase transition of the micelle outer shells. Fig. 8 illustrates active drug targeting using the thermoresponsive polymeric micelles. We have previously demonstrated a thermal cytotoxic regulation using the DOX-loaded thermoresponsive micelles against cultured cell lines [15,17]. Expression of drug activity is initiated by external supply of heat. A delivery way of drug mediated by the temperature changes is considered the following two modes; (a) Drugs are extracellularly released from the polymeric micelles and diffuse into the cells, and (b) the polymeric micelles are taken up by the cells, and drugs are intracellularly released. It can be determined by a balance between the drug release rate and the frequency of cellular uptake which way plays a major role.

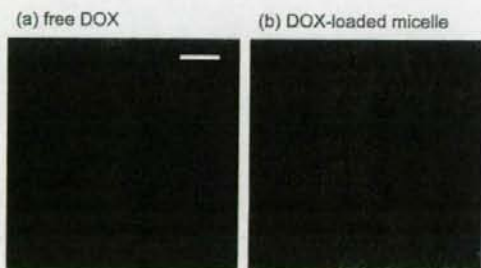


Fig. 7. Confocal images of free DOX and DOX-loaded in the P(IPAM-DMAM)/PLA micelles in MCF-7 cells. (a) Incubation with 30 $\mu\text{g/ml}$ free DOX for 6 h at 42.5 $^{\circ}\text{C}$, and (b) incubation with 30 $\mu\text{g/ml}$ DOX-loaded in the P(IPAM-DMAM)/PLA micelles for 24 h at 42.5 $^{\circ}\text{C}$. Bar represents 20 μm .

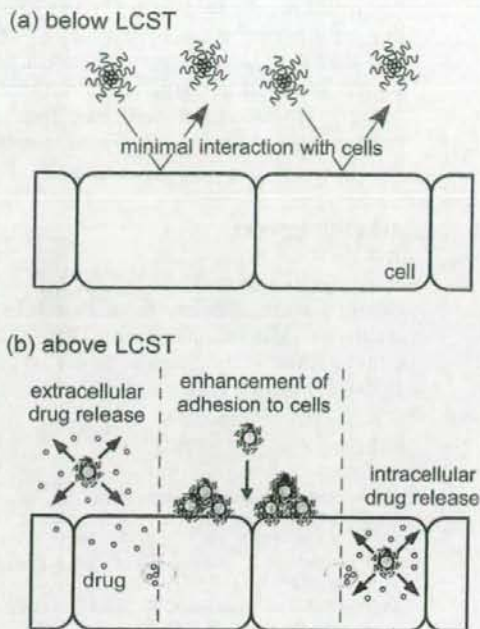


Fig. 8. Schematic mechanism of drug delivery to the target cells using the thermoresponsive polymeric micelles.

4. Conclusion

In this paper, we demonstrated the thermal modulation of intracellular drug distributions using the polymeric micelles with thermoresponsive outer shells comprising PIPAM or its copolymers. Unincorporated free DOX accumulated rapidly and selectively in cell nuclei without any temperature effects. By contrast, the distributions of DOX-loaded in the thermoresponsive micelles inside of the MCF-7 cells showed a significant temperature effect. DOX delivered by the micelles distributed uniformly in whole cells above the micelle LCST, while slight DOX accumulation was showed in the cell below the LCST. Interestingly, the DOX-loaded polymeric micelles exhibited a completely different behavior from free DOX in DOX-resistant MCF-7 cells. Although free DOX was detected slightly in the DOX resistant cells, the DOX-loaded in the micelles accumulated considerably above the LCST, irrespective of drug resistant activity. The thermal modulations of intracellular drug delivery using the thermoresponsive micelles also successfully achieved around human body temperature *via*

controlled micelle LCST (39.5 °C) for the combination with hyperthermic therapy. These results suggest that our thermoresponsive micelle system has a great potential in regulation of intracellular drug delivery against cancer cells including multi-drug resistant cells as well as for a multi-targeting cancer therapy.

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Synthesis and Characterization of a Temperature-responsive Amphiphilic Block Copolymer Containing a Liquid Crystalline Unit

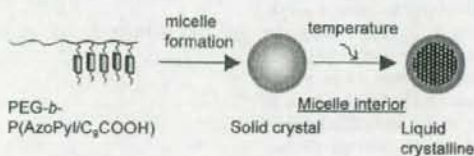
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To create a stimuli-responsive micelle, we synthesized a novel temperature-responsive amphiphilic block copolymer, poly(ethylene glycol)-*block*-poly[6-[4-(4-pyridylazo)phenoxy]hexyl methacrylate] [PEG-*b*-P(AzoPyl)], by undertaking the RAFT polymerization of an AzoPyl monomer with a PEG macro-RAFT reagent. This block copolymer possesses a liquid crystalline unit derived from a hydrophobic AzoPyl/carboxylic acid complex. The PEG-*b*-P(AzoPyl)/decanoic acid (C₉COOH) complex formed a micelle structure (weight-average diameter = 68 nm). DSC results confirmed that the PEG-*b*-P(AzoPyl)/C₉COOH micellar solution exhibited thermodynamic phase-transition behavior.

Stimuli-responsive polymeric materials such as polymeric micelles¹ and liposomes² can serve as drug carriers for drug-delivery systems, which release loaded drugs by means of external stimuli. The drug release triggered by the external stimuli at tumor sites is a very important technology for targeted cancer chemotherapy, resulting in both a high local drug concentration at the tumor sites and a suppression of toxic side effects at the normal organs and tissues. In this study, we applied liquid crystalline polymers (LCPs)—functioning as a temperature-responsive moiety—to the interior of polymeric micelles. This study uses as its liquid crystalline (LC) moiety an azopyridyl group that exhibits the ability to control the LC phase-transition characteristics by means of a simple complexation of carboxylic acids without any synthetic modifications. Introduction of the LC unit to the interior of the polymeric micelle allows for the phase transition of the micelle inner core between the LC phase and the solid crystalline phase. Compared to the rigid solid state of the core, the highly fluid character of the LC phase may enhance incorporation of hydrophobic drugs into the core. Moreover, it is possible to stably retain the incorporated drugs by lowering the temperature below the phase-transition temperature, since the solid inner core is expected to inhibit the drug release from the inner core. Therefore, highly efficient and stable drug incorporation in polymeric micelles is feasible by the use of LCPs.

For this purpose, we synthesized poly(ethylene glycol)-*block*-poly[6-[4-(4-pyridylazo)phenoxy]hexyl methacrylate] (PEG-*b*-P(AzoPyl)) by undertaking the RAFT polymerization of 6-[4-(4-pyridylazo)phenoxy]hexyl methacrylate (AzoPyl) (Figure 1). AzoPyl served as a monomer with poly(ethylene glycol)-4-cyano-4-[(thiobenzoyl)sulfanyl]pentanoate (PEG dithiobenzoate) serving as a macro-RAFT reagent. AzoPyl³ and PEG dithiobenzoate⁴ were prepared according to a previously reported method. AzoPyl and PEG dithiobenzoate were dissolved in a mixture of anhydrous THF and DMSO, to which an AIBN

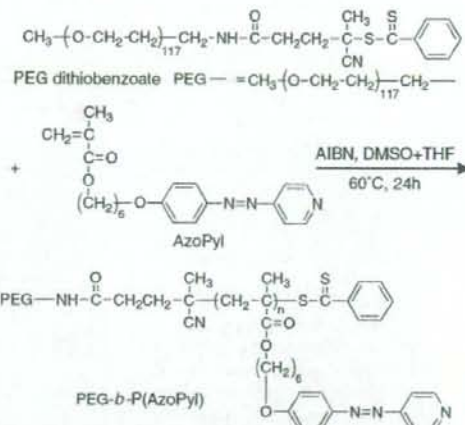


Figure 1. RAFT polymerization of AzoPyl with PEG dithiobenzoate.

DMSO solution was added. After five freeze-pump-thaw cycles, the solution was sealed in a vacuum, and the mixture was stirred at 60 °C for 24 h. The reaction mixture was poured into diethyl ether, and the precipitated polymer was dried in vacuo. Polymerization conditions are summarized in Table S1.⁷

RAFT polymerization was first tried in a dry DMSO solution.⁵ However, the solubility of PEG dithiobenzoate in DMSO was low. To dissolve PEG dithiobenzoate well, we selected THF, which is a common solvent for a normal radical polymerization of AzoPyl homopolymers.³ However, the monomer conversion in THF after 24 h was only 10% from ¹H NMR. In order to solve this low-conversion problem and this solubility problem, we used a mixture of DMSO and THF. As expected, the monomer conversion of the polymerization in the DMSO/THF mixture increased up to 53%. The molecular weight (MW) of the obtained polymer 5-16 was 11300 (MW of PEG dithiobenzoate: 5500; degree of polymerization: 16). These findings indicate that the DMSO/THF mixture was a proper solvent system for the preparation of PEG-*b*-P(AzoPyl) by RAFT polymerization.

As shown in Figure S2⁷ the GPC measurement of 5-16 shows that the peak not only completely shifted to an area of high molecular weight but also remained narrow (PDI = 1.09), indicating that this RAFT polymerization proceeded well.

We carried out an ATRP of the AzoPyl as well. Reaction conditions are described in the Supporting Information section.⁷

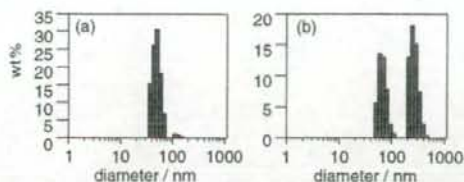


Figure 2. Size distributions of (a) the PEG-*b*-P(AzoPyl) (5-11) micelle and (b) the PEG-*b*-P(AzoPyl)/C₉COOH (5-11) micelle as determined by DLS.

However, no peak of the AzoPyl unit in the ¹H NMR spectrum was observed, whereas peaks of the PEG initiator were found. A basic pyridyl group in the AzoPyl unit was expected to disturb the activity of the ATRP catalyst.

The capability of micelle formation is an important function for drug carriers. To confirm the existence of micelle formation, we used PEG-*b*-P(AzoPyl) (5-11, MW: 9400; degree of polymerization: 11), which had a composition similar to that of 5-16. Hydration of 5-11 was carried out by means of sonication.⁶ To introduce the LC phase-transition function into the micellar core, PEG-*b*-P(AzoPyl) was complexed with decanoic acid (C₉COOH). DLS results of the PEG-*b*-P(AzoPyl) micellar solution indicate that two different-size types of particles, a 51 nm micelle and a 110 nm micelle, were distributed in the solution (Figure 2a). We considered that the smaller diameter was derived from a spherical micelle structure, and that the larger diameter was derived from a secondary associate of the spherical micelles. The PEG-*b*-P(AzoPyl)/C₉COOH micelle solution exhibited a similar distribution, 68 and 270 nm (Figure 2b). These results confirm that PEG-*b*-P(AzoPyl)/C₉COOH exhibited micelle-forming behavior similar to that of PEG-*b*-P(AzoPyl). Other DLS data are summarized in Table S2.⁷

Using DSC, we analyzed the thermal properties of PEG-*b*-P(AzoPyl)/C₉COOH (5-11) (Figures 3a and 3c). DSC curves of bulk PEG-*b*-P(AzoPyl) showed only endothermic and exothermic peaks associated with the melting and crystallization of the PEG unit, respectively (Figures 3b and 3d). In contrast, small exothermic peaks from 55 to 42 °C were observed in a cooling process that the PEG-*b*-P(AzoPyl)/C₉COOH complex underwent (Figure 3, inset). All DSC curves on the cooling process showed the same results in three-repeating measurements. It was reported that the AzoPyl homopolymer, complexed with C₉COOH, had its isotropic-smectic phase transition around 53 °C in cooling.³ These results indicate that the AzoPyl/C₉COOH complex system exhibited the LC phase-transition behavior even in the PEG-*b*-P(AzoPyl) amphiphilic block copolymer. On the other hand, we observed no endothermic peak caused by the LC phase transition in heating. Probably, the endothermic peak of the LC phase transition overlapped the large peak of the PEG.

Observation of phase-transition behavior in a micellar interior is very attractive for stimuli-responsive polymeric micelles. Both the heating process and the cooling process of a PEG-*b*-P(AzoPyl)/C₉COOH micellar solution exhibited a small endothermic peak at 54 °C and a small exothermic peak at 43 °C (Figure S3⁷). In micellar solutions, the PEG chains are completely hydrated and unable to be crystallized; thus, the outer shell does not exhibit the phase transition. From these facts, we con-

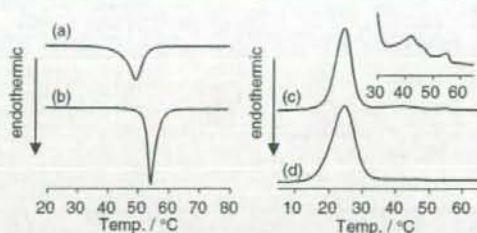


Figure 3. DSC curves (second scan) of bulk PEG-*b*-P(AzoPyl)/C₉COOH (5-11) ((a), (c)) and PEG-*b*-P(AzoPyl) (5-11) ((b), (d)). Here, (a) and (b) were in a heating process whereas (c) and (d) were in a cooling process. Inset shows exothermic peaks of PEG-*b*-P(AzoPyl)/C₉COOH from 30 to 65 °C in an expanded scale.

sider that the endothermic peak observed at 54 °C indicates phase transition in the micellar interior of PEG-*b*-P(AzoPyl)/C₉COOH. On the other hand, the micelle's exothermic peak at 43 °C corresponded to the bulk sample's peaks ranging from 55 to 42 °C, indicating that the exothermic peak of the micelle might have derived from the LC phase transition of AzoPyl/C₉COOH. These DSC results of the micellar solution samples imply that the PEG-*b*-P(AzoPyl)/C₉COOH micelle solution exhibited the phase-transition behavior in the micellar inner cores.

This polymeric micelle with the LC inner core may be very useful as a component of drug carriers. Furthermore, the nanosized LC inner core of the micelle is very interesting in regard to the physicochemical sciences. Regarding PEG-*b*-P(AzoPyl)/carboxylic acid complex micelles, future studies should investigate (1) control of drug incorporation, (2) drug release by temperature, and (3) possible evaluations of the LC phase transition in micellar inner cores.

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Preparation and in vivo imaging of PEG-poly(L-lysine)-based polymeric micelle MRI contrast agents

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ABSTRACT

A polymeric micelle drug carrier system was applied to the targeting of an MRI (magnetic resonance imaging) contrast agent. A block copolymer, PEG-*b*-poly(L-lysine), was used for conjugation of gadolinium ions through chelating moieties, DOTA. The DOTA moieties were successfully conjugated to all primary amine groups of the lysine residues. The obtained block copolymer, PEG-*b*-poly(L-lysine-DOTA), formed a polymeric micelle. The polymeric micelle structure was maintained even after partial gadolinium chelation (~40%) to the DOTA moieties. The prepared polymeric micelle MRI contrast agent was injected into a mouse tail vein at a dose of 0.05 mmol Gd/kg. The polymeric micelle-based MRI contrast agent exhibited stable blood circulation. A considerable amount (6.1 ± 0.3% of ID/g of the polymeric micelle) was found to accumulate at solid tumors 24 h after intravenous injection by means of the EPR effect. An MRI analysis revealed that the signal intensity of the tumor was enhanced 2.0-fold by the use of this contrast agent.

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1. Introduction

Various types of nano-sized drug carriers including linear synthetic polymers, dendrimers, proteins, liposomes, and polymeric micelles have been investigated for anti-cancer drug targeting to solid tumor sites for improvements in cancer chemotherapy [1,2]. Among these nano-sized carrier systems, polymeric micelles have been studied with a focus on encapsulation of hydrophobic drugs [3]. Most typically, polymeric micelles are constituted of block copolymers having a hydrophilic chain such as PEG and a hydrophobic chain. The hydrophobic chain can form a hydrophobic inner core that incorporates hydrophobic drugs. A strong advantage of the polymeric micelles is their high structural stability in the bloodstream and their very small size, being in a range of 10–100 nm. This size range is preferable for the passive targeting of solid tumors by means of the EPR (enhanced permeability and retention) effect [3]. Successful tumor-targeting-carrier systems include the adriamycin-incorporated polymeric micelle system [1], which involves a metal complex drug-incorporated (e.g., cisplatin-incorporated) polymeric system [4–6].

The recent study reported that several nano-sized-carrier system and, in particular, diagnostic imaging agents rest on drug-targeting methodology. Magnetic resonance imaging (MRI) is a non-invasive imaging modality for diagnosis. Owing to rapid developments in temporal and spatial resolution, the value of MRI has grown greater in recent decades. Nowadays, much attention is given to MRI contrast

agents both of low molecular weight and of macromolecule status for their ability to improve MRI signals.

Paramagnetic metal complexes, such as the gadolinium (III) ion-DTPA complex, are widely used in clinical diagnosis. Such gadolinium complexes enhance T₁-weighted images by shortening the T₁-relaxation time of the water protons. Linear polymers such as dextran [7,8], poly(L-lysine) [9,10], poly(glutamic acid) [11–13], and poly[N-(2-hydroxypropyl)methacrylamide] [14] have been investigated as possible for carriers of the gadolinium complexes. Dendrimers, which possess well-defined structures and accurate molecular weights, have also attracted much attention as carriers of MRI contrast agents because dendrimers' biodistribution were depend on the generations [15–17].

Polymeric micelle-based MRI contrast agents also have a potential as MRI contrast agents. Because the polymeric micelle is an associate of many block copolymer chains, block copolymers with well-controlled molecular weight can be excreted through kidney filtration after dissociation of the polymeric micelles into block copolymer chains. Therefore, a low risk of chronic toxicity is expected to present itself and is expected to stem from polymeric micelles' complete excretion over a long time period. On the other hand, polymeric micelles can exhibit a preferential pharmacokinetic profile in a defined time period required for the targeting of tumors. In a previous report, we prepared a polyion complex micelle from charged block copolymers and counter ionic polymers [18]. This polymeric micelle MRI contrast agent possessed the characteristic of changeable T₁-relaxivity: The polymeric micelle exhibited a lower T₁-relaxivity than did block copolymer chains having dissociated from the micelle. This changeable character can be utilized as a tumor-specific MRI contrast

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with a high MRI contrast (in dissociate form) at tumor tissues and a low MRI contrast (in micelle form) in the bloodstream. In the previous report, we proved this changeable character in vitro, but did not examine in vivo tumor targeting.

Several works on polymeric micelles as MRI contrast agents were reported recently [19–22]. The combination of drug targeting and imaging probes, such as MRI contrast agents, relative to polymer micelles systems has strengthened the effectiveness of chemotherapy [23]. Poly(L-glutamic acid)-*b*-polylactide and polysuccinimide derivatives were synthesized as a polymeric micelle-based MRI contrast agent [20,21]. Several studies have examined polymeric micelle-based MRI contrast agents, but so far, significant enhancements of MR images at solid tumors through accumulation of the polymeric micelles have not been obtained. One of the challenges that confront the use of MRI contrast agents to detect solid tumors is the selective delivery of the contrast agents to solid tumors by means of the EPR effect. From our experience of anti-cancer drug targeting with polymeric micelle carriers, we assume that an important key for successful tumor targeting is suppression of the incorporated drug's interactions with cells and proteins, in particular, hydrophobic interactions. In our previous report, we showed that polymeric micelles exhibiting lower levels of hydrophobic interactions provided higher levels of antitumor activities, possibly through more efficient passive targeting [24]. If this assumption is applied to MRI contrast agents, the micelle outer shell with a biologically inert character is preferable. Therefore, we have chosen a micelle design with the inert poly(ethylene glycol) outer shell and the contrast species (Gd ion)-containing inner core. Furthermore, we have chosen a negatively charged inner core, not a positively charged one, since positive charges are known to induce strong interactions with the reticuloendothelial system (RES) [25]. Consequently, strong interactions with the RES drastically lower the targeting efficiency relative to solid tumors.

In this report, we synthesized negatively charged block copolymers based on a poly(ethylene glycol)-*b*-poly(L-lysine) system to obtain a long-circulating polymeric micelle MRI contrast agent. This negatively charged block copolymer was found to form a polymeric micelle structure without an addition of positively charged macromolecules. Blood circulation, biodistribution, and excretion of the contrast agents were evaluated. MR images of mice were taken after an injection of the contrast agent, and these images were compared before the injection. According to the findings, polymeric-micelle MRI contrast agent appears to be a strong tool for polymeric-micelle-based drug targeting and for visualizing the location of the carriers at the solid tumor tissues.

2. Materials and methods

2.1. Materials

For the current study, α -methoxy- ω -aminopropyl-poly(ethylene glycol) (PEG-NH₂, $M_w = 5200$) was purchased from NOF Corporation, Tokyo, Japan, and benzene-based lyophilization was carried out before use. A chelating agent active ester, 1,4,7,10-tetraazacyclododecane-1,4,7,10-tetraacetic acid mono (*N*-hydroxysuccinimide ester) (DOTA-OSu), was purchased from Macrocyclics, Texas, USA. Deuterium solvents were purchased from Sigma-Aldrich, Tokyo, Japan. Dehydrated DMF and gadolinium chloride hexahydrate (GdCl₃·6H₂O) were purchased from Wako Pure Chemicals Industries, Ltd., Tokyo, Japan. We used all these commercial reagents as purchased. The dialysis membrane Spectrapor 6 (molecular weight cut off (MWCO)=1000) was purchased from Spectrum Laboratories Inc., Tokyo, Japan. ¹H NMR spectra were recorded on a Varian UNITY INOVA 400 MHz NMR spectrometer. To measure gadolinium ion contents in the block copolymer, we used inductively coupled plasma (ICP) with an SPS7800 apparatus (SII NanoTechnology Inc., Tokyo, Japan). Dynamic

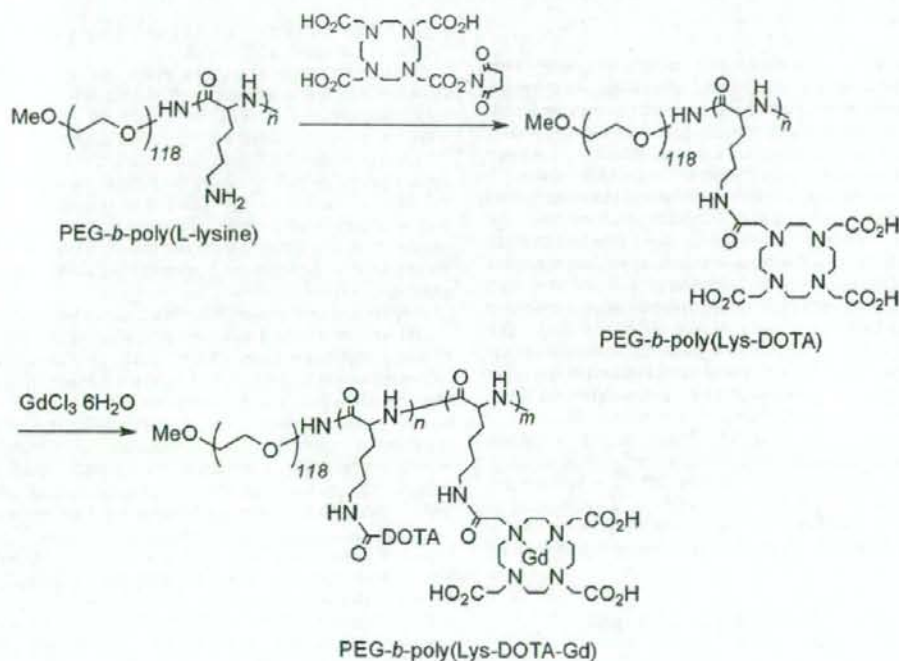


Fig. 1. Synthesis of PEG-P(Lys-DOTA-Gd).