

Figure 1. Structure of the  $\text{PEG}_x(\text{HSA}-\text{FeP})$  molecule.

**Preparation of  $\text{PEG}_x(\text{HSA}-\text{FeP})$  Solid Membranes.** The phosphate-buffered saline (PBS) solutions of  $\text{PEG}_x(\text{HSA}-\text{FeP})$  ( $X = 2$  or  $5$ ) were prepared with IMT and  $\text{PEG}_x$  (pH 7.4,  $[\text{HSA}] = 5$  wt %,  $\text{FeP}/\text{HSA} = 4/1$  (mol/mol)) according to our previously reported methods (19). The binding number of the  $\text{PEG}_x$  chain on the HSA-FeP surface was determined by the MALDI-TOF MS spectra (19). The PBS solution was dialyzed using a Spectra/Por 1 regenerated cellulose dialysis membrane (MWCO: 6–8 kDa, Spectrum Laboratories, Inc.) against the pure water to remove any included electrolytes. The obtained aqueous solution of  $\text{PEG}_x(\text{HSA}-\text{FeP})$  (CO adduct complex, 0.5 mL) was spread on a glass plate [ $30 \times 40$  mm, thickness: 0.12–0.17 mm, Matsunami Glass Ind., Ltd. (Osaka, Japan)] and evaporated at an ambient temperature in the dark for 12 h, which produced a red-colored transparent solid membrane.

For the scanning electron microscopy measurements, the samples on the glass plate were sputtered with Pd–Pt using a Hitachi E-1030 ion sputter. The SEM observations were performed using a Hitachi S-4500S field emitted scanning electron microscope.

Furthermore, 0.5 mL of an aqueous solution of hyaluronic acid (HA, 0.2, 0.4, 0.6, 0.8, 1.0 wt %) was added to the  $\text{PEG}_2(\text{HSA}-\text{FeP})$  solution (CO adduct complex, 0.5 mL,  $[\text{HSA}] = 5$  wt %). The mixture was poured into a poly(styrene) balance dish ( $44 \times 44 \times 15$  mm) and dried at room temperature in the dark. After 12 h, the formed membrane was gently peeled off and characterized.

The water content of the solid membrane was measured by thermogravimetric-differential thermal analysis using a Rigaku TG8120 instrument at a heating rate of  $10^\circ\text{C}/\text{min}$ .

**$\text{O}_2$ -Binding Parameters.** The  $\text{O}_2$  binding to  $\text{PEG}_x(\text{HSA}-\text{FeP})$  is expressed by eq 1,



where the  $\text{O}_2$  binding equilibrium constant  $K = k_{\text{on}}/k_{\text{off}}$ .

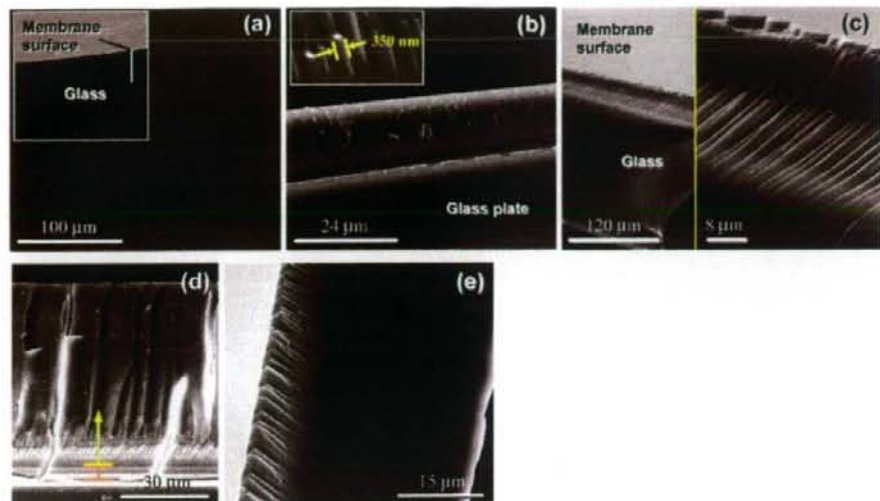
The  $\text{PEG}_x(\text{HSA}-\text{FeP})$  membrane or  $\text{PEG}_2(\text{HSA}-\text{FeP})/\text{HA}$  hybrid membrane on the glass plate was placed in a 1-cm quartz cuvette for the spectral measurements, which was sealed tightly with a rubber septa. The  $\text{O}_2$ -binding affinity (gaseous partial pressure at which 50% of FeP was dioxygenated,  $P_{1/2} = 1/K$ ) was determined by the spectral changes at various  $\text{O}_2/\text{N}_2$  pressures (17, 19, 20). The UV-vis absorption spectra were recorded within the range of 350–700 nm. The half-lifetime of the autooxidation of the  $\text{FeP}(\text{O}_2)$  complex was determined by the time-course of the absorption change at 426 nm. The association and dissociation rate constants for  $\text{O}_2$  ( $k_{\text{on}}$ ,  $k_{\text{off}}$ ) to the  $\text{PEG}_x(\text{HSA}-\text{FeP})$  membrane or  $\text{PEG}_2(\text{HSA}-\text{FeP})/\text{HA}$  hybrid membrane were measured by a competitive rebinding technique using a Unisoku TSP-1000WK laser flash photolysis instrument (17, 19, 20).

Figure 2. Photograph of the  $\text{PEG}_2(\text{HSA}-\text{FeP})$  solid membrane on the glass plate.

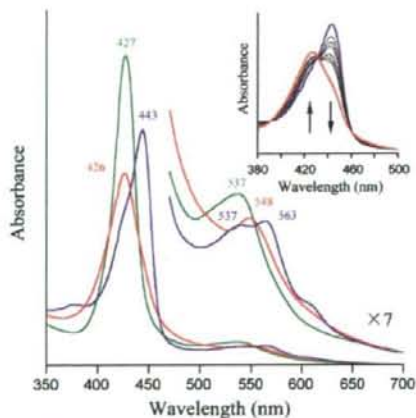
## RESULTS AND DISCUSSION

**Structure of  $\text{PEG}_x(\text{HSA}-\text{FeP})$  Membrane.** The PEGylation of HSA-FeP ( $\text{FeP}/\text{HSA} = 4/1$  (mol/mol)) was readily accomplished under mild conditions using the commercially available IMT and maleimide-terminated PEG (19). The average number of the PEG chain on the HSA-FeP surface was modulated to 6.0; the viscosity and colloid osmotic pressure of the PBS solution of this molecule ( $[\text{HSA}] = 5$  wt %) satisfied the clinical requirements of a red blood cell substitute (19). The solution was subsequently desalted by dialysis against pure water and spread on the glass plate. After drying overnight at room temperature, a red-colored transparent solid membrane was formed (Figure 2). The HSA-FeP without the PEG modification did not produce such a homogeneous thin film, only affording a brittle membrane with many cracks.

Scanning electron microscopy observations of the  $\text{PEG}_2(\text{HSA}-\text{FeP})$  membrane showed a uniform thickness of  $15 \mu\text{m}$  and a very smooth surface [Figure 3a,b)]. From a careful inspection of the side-view, we found that the membrane consists of two parts: (i) the surface layer with a thickness of  $10 \mu\text{m}$  made of a highly oriented fibrous component, and (ii) the bottom layer with a thickness of  $5 \mu\text{m}$  made of an amorphous phase (Figure 3b). Cutting the glass plate with a lateral force, the  $\text{PEG}_2(\text{HSA}-\text{FeP})$  membrane was extended and produced long fibers with a width of 350 nm (Figure 3c). The condensed solution ( $[\text{HSA}] = 15$  wt %) provided a thick membrane with a thickness of  $70 \mu\text{m}$ , which also has the amorphous bottom layer of  $5 \mu\text{m}$  (Figure 3d). Interestingly, the membrane prepared on the poly(styrene) dish was mainly composed of the amorphous layer; the surface fibrous phase was less than 20% (Figure 3e). On the other hand, water evaporation of the  $\text{PEG}_3(\text{HSA}-\text{FeP})$  solution ( $[\text{HSA}] = 5$  wt %) on the glass surface provided a homogeneous membrane, which is made of the fibrous component. The precise mechanism of the fiber formation during the water evaporation process is still not clear, but our results show that the structure of the  $\text{PEG}_x(\text{HSA}-\text{FeP})$  membrane is very dependent on the surface feature of the substrate and the chain length of the PEG.



**Figure 3.** SEM images of the PEG<sub>2</sub>(HSA-FeP) membrane on the glass plate. (a) Top-view of the very smooth surface without any crack. (b) Side-view of the membrane section, showing a surface layer made of fibrous component and a bottom layer made of an amorphous phase. (c) Extended nanofiber structure of the surface layer. (d) Thick membrane with a 5 μm amorphous bottom layer (indicated by orange bars) on the glass surface. (e) Membrane prepared on the poly(styrene) surface.



**Figure 4.** UV-vis absorption spectral changes of the PEG<sub>2</sub>(HSA-FeP) membrane on the glass plate at 25 °C (blue line: under N<sub>2</sub>, red line: under O<sub>2</sub>, green line: under CO). The inset shows the spectral changes at various O<sub>2</sub>-partial pressures ( $P_{O_2}$ : 0, 10, 19, 30, 76, 114, 152, 760 Torr from blue to red line).

**O<sub>2</sub>-Binding Properties.** The UV-vis absorption spectrum of the PEG<sub>2</sub>(HSA-FeP) membrane showed an absorption maxima ( $\lambda_{max}$ ) at 427 and 537 nm, indicating the formation of the CO-coordinate low-spin state of FeP (Figure 4) (17, 19–21). This suggested that the ferrous carbonyl complex was retained during the water evaporation for 12 h at an ambient temperature. Light irradiation to the membrane using a 500-W halogen lamp under a 100% O<sub>2</sub> for 20 min led to the CO dissociation and O<sub>2</sub>-adduct complex formation of FeP ( $\lambda_{max}$ : 426, 548 nm). By flowing N<sub>2</sub> to the membrane in the quartz cuvette, the UV-vis absorption spectrum shifted to that of a high-spin ferrous complex with an intramolecularly coordinated 2-methylimidazolyl group ( $\lambda_{max}$ : 443, 537, 563 nm). These spectral changes were repeatedly observed and found dependent on the O<sub>2</sub>-partial pressure (0 Torr  $\leftrightarrow$  760 Torr), which

demonstrated that the reversible dioxygenation of FeP took place in the membrane. The half-lifetime of the autoxidation ( $\tau_{1/2}$ ) of the FeP(O<sub>2</sub>) to Fe<sup>3+</sup>P was 40 h at 37 °C, which is 3-fold longer than the value in the PBS solution.

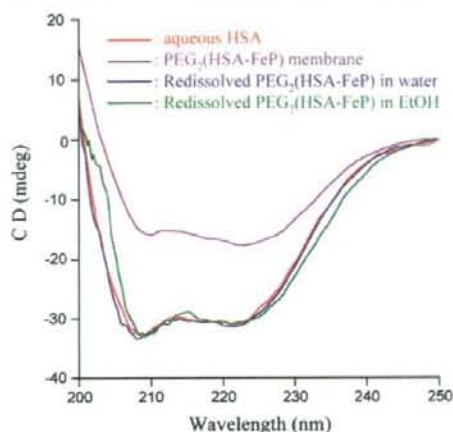
The O<sub>2</sub>-binding affinity of the PEG<sub>2</sub>(HSA-FeP) membrane ( $P_{1/2}$  = 40 Torr, 25 °C) determined from the UV-vis absorption spectral changes at various O<sub>2</sub>/N<sub>2</sub> pressures (Figure 4 inset) was 4-fold lower (high  $P_{1/2}$ ) than that of the monomeric PEG<sub>2</sub>(HSA-FeP) in water (Table 1). Since the O<sub>2</sub> coordination to the Fe porphyrin is an exothermic reaction, the O<sub>2</sub>-binding affinity of the membrane decreased at 37 °C ( $P_{1/2}$  = 61 Torr). In contrast, PEG<sub>2</sub>(HSA-FeP) membrane showed the identical O<sub>2</sub>-binding affinity to that in aqueous PBS solution ( $P_{1/2}$  = 11 Torr, 25 °C).

In order to elucidate the O<sub>2</sub>-binding kinetics of the PEG<sub>2</sub>(HSA-FeP) membrane, flash photolysis experiments were carried out. The time course of the absorption change after the laser pulse irradiation to the PEG<sub>2</sub>(HSA-FeP) membrane in the quartz cuvette exhibited two first-order kinetics. We previously reported that the binding processes of O<sub>2</sub> to HSA-FeP and PEG<sub>2</sub>(HSA-FeP) in aqueous media were fitted to a double-exponential expression, giving two different association rate constants for the fast and slow reactions ( $k_{on}$  and  $k'_{on}$ ) (19, 22). It has been interpreted that the O<sub>2</sub> recombination to FeP in the protein could be affected by the each nanoscopic environment around the accommodation site, for example, a steric hindrance of the amino acid residue and difference in polarity. The  $k'_{on}$  was used to be approximately one-third of the  $k_{on}$  (17, 19, 22). Nevertheless, the ratio of  $k'_{on}/k_{on}$  observed in the PEG<sub>2</sub>(HSA-FeP) membrane was less than one-tenth (Table 1). We then theorized that this can be attributed to the O<sub>2</sub> diffusion in the two parts of the membrane. In the major surface layer of the fibrous component, the diffusion of the O<sub>2</sub> could be slower than in water, and it could be much slower in the amorphous bottom phase. The following results support our assumption. (i) The thickness ratio of the two layers (10 μm/5 μm = 2.0) corresponds well to the molar ratio of the  $k_{on}$  and  $k'_{on}$  (= 2.1). (ii) The absorption decay accompanied with the O<sub>2</sub> rebinding

**Table 1.** O<sub>2</sub>-Binding Parameters of Solid Membranes of PEG<sub>x</sub>(HSA-FeP) at 25 °C

system	$k_{on}$ (Torr <sup>-1</sup> s <sup>-1</sup> )	$k'_{on}$ (Torr <sup>-1</sup> s <sup>-1</sup> )	$k_{off}$ (s <sup>-1</sup> )	$k'_{off}$ (s <sup>-1</sup> )	$P_{1/2}$ (Torr) <sup>a</sup>
PEG <sub>5</sub> (HSA-FeP) solution <sup>b</sup>	20	7.5	$1.7 \times 10^2$	70	11 [32]
PEG <sub>5</sub> (HSA-FeP) solution <sup>b</sup>	20	10	$1.7 \times 10^2$	90	11 [31]
PEG <sub>5</sub> (HSA-FeP) membrane	5.7	0.54	$2.3 \times 10^2$	22	40 [61]
PEG <sub>5</sub> (HSA-FeP) membrane	3.1		34		11 [33]
PEG <sub>2</sub> (HSA-FeP)/HA membrane	4.3	0.22	$1.4 \times 10^2$	7	32 [60]
Hb solution (T-state) <sup>c</sup>	4.8		$1.8 \times 10^2$		40
Hb/maltose membrane <sup>d</sup>	$1.2 \times 10^{-13}$		$8.3 \times 10^{-11}$		760

<sup>a</sup> At 37 °C in brackets [ ]. <sup>b</sup> In PBS solution (pH 7.4), ref 19. <sup>c</sup> In 50 mM potassium phosphate buffer (pH 7.0) at 20 °C, ref 24. <sup>d</sup> Reference 23.

**Figure 5.** CD spectra of PEG<sub>2</sub>(HSA-FeP) at various conditions at 25 °C.

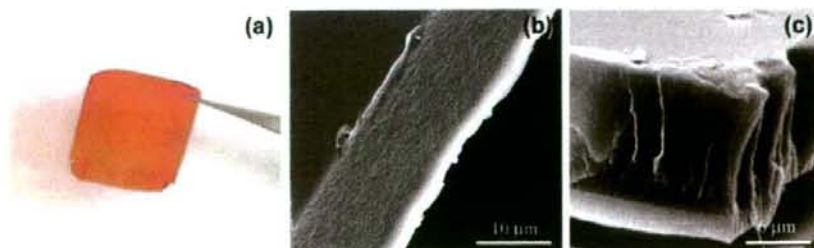
to the PEG<sub>5</sub>(HSA-FeP) membrane, which consists of the homogeneous fibrous layer, was fitted by single exponential, giving only one association rate constant  $k_{on}$ .

The low O<sub>2</sub>-binding affinity of the solid membrane of PEG<sub>2</sub>(HSA-FeP) compared to that in the PBS solution is kinetically due to the low association rate constants (Table 1). Even though this result was in significant contrast to the fact that the similar solid membrane of human Hb with maltose (Hb/maltose = 6/4, wt/wt) could not bind O<sub>2</sub> under the same conditions, its O<sub>2</sub>-binding affinity was extremely low ( $P_{1/2}$  = 760 Torr) (23). Since the quaternary structure of Hb was restrained in the solid form, its tense-state conformation cannot change to a relaxed-state with a high O<sub>2</sub>-binding affinity (24). In the PEG<sub>x</sub>(HSA-FeP) membrane, the protein scaffold was solidified by a bulk water evaporation; however, it would not dramatically influence the dioxygenation of FeP, because the O<sub>2</sub>-binding equilibrium is not synchronized with the quaternary structure of the HSA matrix.

**PEG<sub>x</sub>(HSA-FeP) in Organic Solutions.** We have found that the PEG modification enables HSA-FeP to dissolve in

organic solvents. The red-colored PEG<sub>x</sub>(HSA-FeP) membrane was homogeneously soluble not only in water but also in ethanol and chloroform. The CD spectrum of the membrane showed a different pattern compared to that in aqueous media (Figure 5). The intensity ratio of the double minimum peaks at 208 and 222 nm ( $J_{208}/J_{222}$ ) of rHSA or PEG<sub>x</sub>(HSA-FeP) is normally 1.1 (25, 26), while the membrane showed 0.9. Interestingly, the redissolved aqueous and ethanol solutions both showed the same spectra as the original aqueous HSA. This result implies that the change of the secondary structure in the protein in the solid and liquid states is reversible. The ethanolic PEG<sub>x</sub>(HSA-FeP) also exhibited the same absorption changes upon exposure to O<sub>2</sub> and N<sub>2</sub>. These organic solutions can also be cast on a glass surface to form identical PEG<sub>x</sub>(HSA-FeP) membranes.

**PEG<sub>2</sub>(HSA-FeP) Membrane with Hyaluronic Acid.** An attempt to isolate the PEG<sub>x</sub>(HSA-FeP) membrane from the glass surface unfortunately failed, because it was rather fragile, when peeled off. We then added a supporting polymer to the protein solution and prepared the solid membrane on a glass plate or a poly(styrene) dish. Hyaluronic acid (HA), which is known as a glycosaminoglycan component of connective tissues, hyaline body and extracellular matrix, was selected as the biocompatible polymer support (27). We also expected that the high water retention capability of HA may have a positive effect on retarding the proton-driven oxidation of the FeP(O<sub>2</sub>) complex. Water evaporation of the PEG<sub>2</sub>(HSA-FeP)/HA mixture [0.5 mL/0.5 mL, total [HSA] = 2.5 wt %, total [HA] = 0.2 wt %] on the glass plate or poly(styrene) dish produced the red-colored uniform solid membranes. It could not be isolated from the glass plate but was easily peeled off from the poly(styrene) surface, providing a free-standing thin film of the PEG<sub>2</sub>(HSA-FeP)/HA hybrid [Figure 6a]. The formation of the flexible film was quite dependent on the HA content. When the total concentration of HA is 0.2–0.5 wt %, we could readily obtain the membrane from the plastic surface. The SEM observations of the film showed that it was made of a homogeneous layer with a relatively coarse structure (Figure 6b). In contrast, the film cast on the glass plate consists of the two-layered form: a major coarse phase and bottom dense phase (Figure 6c). This implies

**Figure 6.** PEG<sub>2</sub>(HSA-FeP)/HA membrane. (a) Photograph of the free-standing flexible film, which is prepared on the poly(styrene) surface. (b) SEM of the isolated film. (c) SEM of the membrane prepared on the glass surface.

that the membrane structure of the PEG<sub>2</sub>(HSA-FeP)/HA is also influenced by the surface properties of the substrate.

The UV-vis absorption spectral pattern reversibly changed from that of the five-N-coordinate high-spin ferrous complex under an N<sub>2</sub> atmosphere ( $\lambda_{\text{max}}$ : 445, 540, 566 nm) to that of the O<sub>2</sub>-adduct complex under an O<sub>2</sub> atmosphere ( $\lambda_{\text{max}}$ : 426, 545 nm). The O<sub>2</sub>-binding parameters of the PEG<sub>2</sub>(HSA-FeP)/HA membrane showed a tendency similar to the PEG<sub>2</sub>(HSA-FeP) film; the low O<sub>2</sub>-binding affinity ( $P_{1/2} = 32$  Torr, 25 °C) is mainly due to the slow association rate constant (Table 1). The half-life of the O<sub>2</sub>-adduct complex was 40 h at 37 °C; we could not see any water retention effect of HA that could prolong the stability of FeP(O<sub>2</sub>). The water content of the PEG<sub>2</sub>(HSA-FeP) membrane and PEG<sub>2</sub>(HSA-FeP)/HA membrane were determined by the differential thermal analyses to be 6.5 wt % and 6.6 wt %, respectively.

## CONCLUSIONS

The stable solid membranes of a PEGylated artificial hemoprotein have been prepared, and their O<sub>2</sub>-binding properties were physicochemically characterized in relation to the layer morphology. The red-colored thin film is soluble again in water and organic solvents (ethanol, chloroform) without any deformation of the secondary structure of the protein. The addition of hyaluronic acid as the polymer support gave the free-standing flexible film. These O<sub>2</sub>-binding albumin membranes are a red blood cell substitute with a micrometer-thickness that can be preserved anywhere (e.g., on a shelf and in an ambulance) and reproduced as a saline solution at anytime (e.g., at the scene of a disaster). Furthermore, it would be of great medical importance for a variety of clinical treatments, such as O<sub>2</sub>-enriched coating agents for medical devices or artificial organs and an O<sub>2</sub>-transporting adhesive plaster for wound healing.

## ACKNOWLEDGMENT

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## Genetic Engineering of the Heme Pocket in Human Serum Albumin: Modulation of O<sub>2</sub> Binding of Iron Protoporphyrin IX by Variation of Distal Amino Acids

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**Abstract:** Complexing an iron protoporphyrin IX into a genetically engineered heme pocket of recombinant human serum albumin (rHSA) generates an artificial hemoprotein, which can bind O<sub>2</sub> in much the same way as hemoglobin (Hb). We previously demonstrated a pair of mutations that are required to enable the prosthetic heme group to bind O<sub>2</sub> reversibly: (i) Ile-142 → His, which is axially coordinated to the central Fe<sup>2+</sup> ion of the heme, and (ii) Tyr-161 → Phe or Leu, which makes the sixth coordinate position available for ligand interactions [I142H/Y161F (HF) or I142H/Y161L (HL)]. Here we describe additional new mutations designed to manipulate the architecture of the heme pocket in rHSA–heme complexes by specifically altering distal amino acids. We show that introduction of a third mutation on the distal side of the heme (at position Leu-185, Leu-182, or Arg-186) can modulate the O<sub>2</sub> binding equilibrium. The coordination structures and ligand (O<sub>2</sub> and CO) binding properties of nine rHSA(triple mutant)–heme complexes have been physicochemically and kinetically characterized. Several substitutions were severely detrimental to O<sub>2</sub> binding: for example, Gln-185, His-185, and His-182 all generated a weak six-coordinate heme, while the rHSA(HF/R186H)–heme complex possessed a typical bis-histidyl hemochrome that was immediately autoxidized by O<sub>2</sub>. In marked contrast, HSA(HL/L185N)–heme showed very high O<sub>2</sub> binding affinity ( $P_{1/2}^{O_2}$ : 1 Torr, 22 °C), which is 18-fold greater than that of the original double mutant rHSA(HL)–heme and very close to the affinities exhibited by myoglobin and the high-affinity form of Hb. Introduction of Asn at position 185 enhances O<sub>2</sub> binding primarily by reducing the O<sub>2</sub> dissociation rate constant. Replacement of polar Arg-186 with Leu or Phe increased the hydrophobicity of the distal environment, yielded a complex with reduced O<sub>2</sub> binding affinity ( $P_{1/2}^{O_2}$ : 9–10 Torr, 22 °C), which nevertheless is almost the same as that of human red blood cells and therefore better tuned to a role in O<sub>2</sub> transport.

### Introduction

In the human circulatory system, iron(III) protoporphyrin IX (hemin) released from methemoglobin (metHb) is captured by a specific glycoprotein, hemopexin (Hpx, 60 kDa), which binds it with very high affinity ( $> 10^{13} \text{ M}^{-1}$ ).<sup>1,2</sup> Nevertheless, due to the extremely low abundance of Hpx in the blood stream ( $\sim 17 \mu\text{M}$ ), human serum albumin (HSA, 66.5 kDa, 640  $\mu\text{M}$ ) acts as a depot of hemin under pathological conditions of trauma and severe hemolysis.<sup>3</sup> HSA is the most prominent plasma protein and has a remarkable ability to bind a broad range of insoluble endogenous and exogenous compounds, such as fatty acids,

hemin, bilirubin, bile acids, thyroxine, and a wide variety of drugs.<sup>4,5</sup> This heart-shaped carrier protein is composed of three structurally similar domains (I–III), each of which contains two subdomains (A and B).<sup>6,7</sup> Recent crystallographic studies revealed that the hemin is bound within a narrow D-shaped hydrophobic cavity in subdomain IB (Figure 1a).<sup>8,9</sup> The central iron atom is weakly coordinated by Tyr-161 and the porphyrin propionate side chains interact with a triad of basic amino acid

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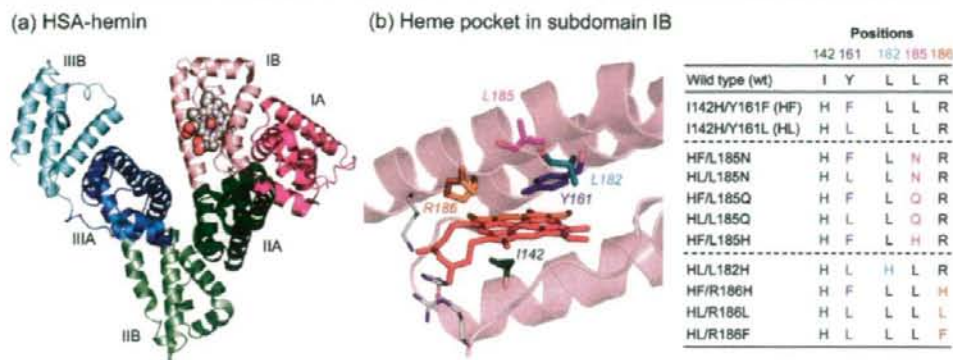
<sup>†</sup> JST.

<sup>||</sup> Imperial College London.

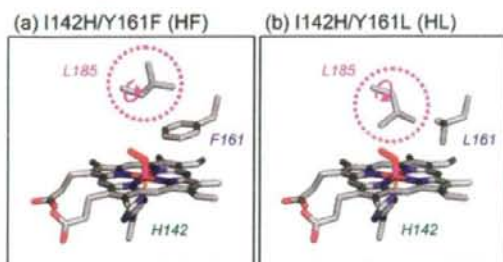
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**Figure 1.** (a) Crystal structure of HSA-hemin complex (1O9X) from ref. 9. Hemin is shown in a space-filling representation. (b) Heme pocket structure in subdomain IB and positions of amino acids where site-specific mutations were introduced. The essential double mutations to confer O<sub>2</sub> binding capability to the heme group are I142H and Y161F (or Y161L). Abbreviations of the triple mutants are shown in the table.



**Figure 2.** Structural models of the heme pocket in dioxygenated (a) rHSA(HF)-heme and (b) rHSA(HL)-heme: distal-side steric effect of Leu-185 on O<sub>2</sub> and CO association.<sup>20</sup>

residues at the entrance (Arg-114, His-146, and Lys-190) (Figure 1b). In terms of the general hydrophobicity of the  $\alpha$ -helical heme pocket, subdomain IB of HSA has broadly similar features to the globin-wrapping heme in Hb and myoglobin (Mb). If the HSA-based O<sub>2</sub> carrier is realized, it has the potential of acting not only as a red blood cell (RBC) substitute but also as an O<sub>2</sub>-providing therapeutic reagent. However, the reduced ferrous HSA-heme would be immediately autoxidized by O<sub>2</sub>, because HSA lacks the proximal histidine that in Hb and Mb allows the prosthetic heme group to bind O<sub>2</sub>.<sup>10</sup> On the basis of the detailed structure of the heme binding site of HSA, we introduced a His into the Leu-142 position by site-directed mutagenesis that provides axial coordination to the central Fe<sup>2+</sup> atom of the heme, and we replaced the coordinated Tyr-161 by Phe or Leu, neither of which can interact with the Fe<sup>2+</sup> ion (Figure 2).<sup>11</sup> This mutagenic approach produced the recombinant HSA(I142H/Y161F)-heme [rHSA(HF)-heme] and HSA(I142H/Y161L)-heme [rHSA(HL)-heme] complexes; these artificial hemoproteins can bind and release O<sub>2</sub> at room temperature, although the O<sub>2</sub> binding affinity of rHSA-heme is at least an order of magnitude lower than that of Hb( $\alpha$ ) (R-state).<sup>11</sup> To develop this promising O<sub>2</sub>-carrying plasma protein as a blood substitute,

further work is required to regulate the O<sub>2</sub> binding affinity suitable for Hb, Mb, and human RBC.

In Hb and Mb, His-64 on the distal side of the heme has been conserved during evolution and plays an important role for tuning their ligand affinities. A neutron diffraction study of MbO<sub>2</sub> clearly showed that the N-H bond of the distal His-64 is restrained from optimal alignment for strong hydrogen bonding with the coordinated O<sub>2</sub>.<sup>12</sup> Olson et al.<sup>13a</sup> reported that the substitution of Gly for His-64 in Mb and Hb( $\alpha$ ) caused a significant decrease in the O<sub>2</sub> binding affinity due to an  $\sim$ 100-fold increase in the O<sub>2</sub> dissociation rate constant. A number of systematic investigations of site-directed mutants of Hb and Mb have shown that the overall polarity and packing of the distal residues are key factors in regulating the rate and equilibrium constants for ligand bindings.<sup>13</sup>

In addition to mutagenic analyses of heme binding sites on proteins, the value of using synthetic iron porphyrins as Hb and Mb active-site models has also been amply demonstrated.<sup>14,15</sup> Tetrakis( $\alpha,\alpha,\alpha,\alpha$ -*o*-pivalamido)phenylporphyrato-iron(II) "picket-fence porphyrin" of Collman et al.<sup>16</sup> was a pioneering molecule, which forms an O<sub>2</sub> adduct complex at room temperature that is quite stable and shows a high O<sub>2</sub> binding affinity. The polar secondary amide groups in the four fences were believed to contribute to the high O<sub>2</sub> affinity. Moementau and Lavalette<sup>17</sup> first demonstrated the distal polarity effect on the O<sub>2</sub> binding to the "hanging-base porphyrins". The presence of the amide groups in the strapped handle over the porphyrin macrocycle yielded a 9-fold higher O<sub>2</sub> binding affinity compared to the ether-bond analogue; it was due to an 8-fold reduction in the dissociation rate constant. This polarity effect of the substituent

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was also well illustrated by our "double-sided porphyrins" having ester fences with a 23-fold lower O<sub>2</sub> binding affinity relative to the picket-fence porphyrin.<sup>18</sup>

In view of these investigations, we reasoned that systematic variation of the steric hindrance and local polarity of the heme pocket in subdomain IB of HSA would allow us to modulate the O<sub>2</sub> binding affinity of rHSA-heme. In this study, we designed and generated nine rHSA(triple mutant)-heme complexes, in which the specific third mutation was introduced into three different positions near the O<sub>2</sub> binding site. The effects of the engineered distal amino acids on the O<sub>2</sub> and CO binding properties of the prosthetic heme group have been physicochemically and kinetically characterized. We now present a new chemistry of albumin-based artificial hemoproteins that would serve as an entirely synthetic O<sub>2</sub> carrier with a controllable ligand binding affinity.

### Experimental Section

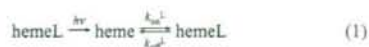
**Materials and Apparatus.** All materials were reagent-grade and were used as purchased without further purification. Iron(III) protoporphyrin IX (hemin) chloride was purchased from Fluka. UV-vis absorption spectra were obtained on an Agilent 8453 UV-visible spectrophotometer equipped with an Agilent 89090A temperature control unit. Kinetic measurements for the O<sub>2</sub> and CO bindings were carried out on a Unisoku TSP-1000WK time-resolved spectrophotometer with a Spectron Laser Systems SL803G-10 Q-switched Nd:YAG laser, which generated a second-harmonic (532 nm) pulse of 6-ns duration (10 Hz).<sup>11b</sup> A 150 W xenon arc lamp was used as the probe light source. The gas mixture with the desired partial pressure of O<sub>2</sub>/CO/N<sub>2</sub> was prepared by a Kofloc Gasblender GB-3C. MCD spectra were measured by a Jasco J-820 circular dichromometer.

**Preparations of rHSA Triple Mutants and Their Heme Complexes.** The designed rHSA triple mutants were prepared according to our previously reported techniques.<sup>11</sup> The third mutation (L185N, L185Q, L185H, L182H, R186H, R186L, or R186F) was introduced into the HSA coding region in a plasmid vector encoding the double mutants [rHSA(I142H/Y161F) [rHSA(HF)] or rHSA(I142H/Y161L) [rHSA(HL)]]<sup>11</sup> by use of the Stratagene QuikChange mutagenesis kit. All mutations were confirmed by DNA sequencing. The plasmid was then digested by *NotI* and introduced into yeast (*Pichia pastoris* GS115) by electroporation. The expression protocols and media formulations were as previously described.<sup>11b</sup> Briefly, the clones were grown in BMGY medium and transferred to BMMY medium for induction with methanol in baffled shaking flasks at 30 °C, 200 rpm. The obtained proteins were harvested from the growth medium by precipitation with ammonium sulfate and purified by a Cibacron Blue column of Blue Sepharose 6 Fast Flow (Amersham Pharmacia Biotech). The rHSA triple mutants were finally subjected to gel filtration on an ÄKTA Prime Plus FPLC system with a Superdex 75 preparative-grade column (Amersham Pharmacia Biotech). The protein concentration was assayed by measuring the absorbance at 280 nm ( $\epsilon_{280} = 3.4 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) and by SDS-PAGE.

The ferric rHSA(mutant)-hemin complexes [hemin:rHSA(mutant) molar ratio of 1:1] were prepared by established procedures.<sup>9,11</sup> The resulting samples were analyzed by SDS-PAGE to confirm a pure preparation. The 50 mM phosphate buffered solution (pH 7.0, 3 mL) of rHSA(mutant)-hemin ([hemin] = 10  $\mu\text{M}$ ) in a 10-mm path length optical quartz cuvette sealed with a rubber septum was purged with Ar for 40 min. A small excess amount of degassed aqueous sodium dithionite was added by a microsyringe to the sample under an Ar

atmosphere to reduce the central ferric ion of the hemin, to give the ferrous rHSA(mutant)-heme complexes.

**O<sub>2</sub> and CO Binding Parameters.** The O<sub>2</sub> or CO recombination with the heme after nanosecond laser flash photolysis of hemeO<sub>2</sub> or hemeCO occurs according to eq 1 with the association rate constant ( $k_{\text{on}}^{\text{L}}$ ) and dissociation rate constant ( $k_{\text{off}}^{\text{L}}$ ).<sup>11,16c,19</sup>



where L = O<sub>2</sub> or CO. The CO association rate ( $k_{\text{on}}^{\text{CO}}$ ) was simply measured by following the absorption at 425 nm after laser pulse irradiation to rHSA(mutant)-hemeCO at 22 °C.<sup>11</sup> The O<sub>2</sub> association rate constant ( $k_{\text{on}}^{\text{O}_2}$ ) and O<sub>2</sub> binding equilibrium constant  $K^{\text{O}_2}$  [= ( $P_{1/2}^{\text{O}_2}$ )<sup>-1</sup>] can be determined by a competitive rebinding technique by use of gas mixtures with different partial pressures of O<sub>2</sub>/CO/N<sub>2</sub> at 22 °C.<sup>11,16c,19</sup> The relaxation curves that accompanied the O<sub>2</sub> or CO recombination were analyzed by single- or double-exponential profiles with Unisoku Spectroscopy & Kinetics software. The O<sub>2</sub> dissociation rate ( $k_{\text{off}}^{\text{O}_2}$ ) was calculated from  $k_{\text{on}}^{\text{O}_2}/K^{\text{O}_2}$ .

The CO dissociation rate constant ( $k_{\text{off}}^{\text{CO}}$ ) was measured by displacement with NO for rHSA(mutant)-hemeCO at 22 °C.<sup>11b</sup> The time course of the UV-vis absorption change that accompanied the CO dissociation was fitted to two single exponentials. The CO binding constants [ $K^{\text{CO}} = (P_{1/2}^{\text{CO}})^{-1}$ ] were calculated from  $k_{\text{on}}^{\text{CO}}/k_{\text{off}}^{\text{CO}}$ . Fresh solutions of rHSA(mutant)-heme were normally made up for each set of experiments.

**Magnetic Circular Dichroism Spectroscopy.** MCD for the 50 mM potassium phosphate buffered solutions (pH 7.0) of rHSA(mutant)-hemin or -heme complex (10  $\mu\text{M}$ ) were measured under Ar and CO atmospheres with a 1.5 or 1.65 T electromagnet at 22 °C.

### Results and Discussion

**Design of Distal Pocket with Asn, Gln, and His.** We recently compared the O<sub>2</sub> and CO binding properties of the rHSA(double mutant)-heme complexes [rHSA(HF)-heme and rHSA(HL)-heme] and found evidence for a noteworthy distal-steric effect on ligand binding.<sup>11b</sup> The rHSA(HF)-heme complex binds O<sub>2</sub> and CO about 4–6 times more tightly than rHSA(HL)-heme, primarily because of enhanced association rate constants. Structurally, this affect appears to be due to the concerted effects of the residues at positions 161 and 185 on ligand binding. In the rHSA(HF)-heme complex, the bulky aromatic side chain of Phe-161 is presumed to prevent rotation of the neighboring Leu-185, thereby providing easy access to the O<sub>2</sub> binding site in the distal pocket (Figure 2a). In contrast, the substitution of Phe-161 by the smaller Leu-161 may allow rotation of the isopropyl group of Leu-185, which reduces the volume of the distal side (Figure 2b) and hinders association of O<sub>2</sub> and CO ligands with the heme iron atom. On the basis of these findings, we reasoned that other modifications of the heme pocket architecture would allow us to further modulate its O<sub>2</sub> binding properties.

One approach to enhancing the O<sub>2</sub> binding affinities of rHSA(HF)-heme and rHSA(HL)-heme would be to introduce a histidine into an appropriate position on the distal side of the heme. The N<sub>ε</sub> atom of His may act as a proton donor to form an H-bond with the coordinated O<sub>2</sub>. However, another important requirement in this molecular design is to prevent the formation

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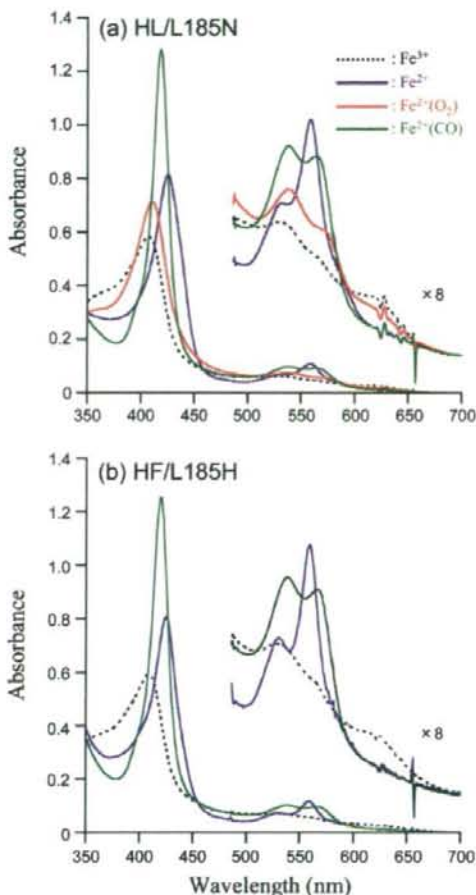
(20) The pictures were produced on the basis of crystal structure coordinates of rHSA(wt)-hemin (1O9X, ref 9) by use of PyMOL: DeLano, W. L. The PyMOL Molecular Graphics System; DeLano Scientific: San Carlos, CA, 2002.

of a six-coordinate low-spin ferrous complex. The bis-histidyl hemochromes are normally autoxidized by  $O_2$  via an outer-sphere mechanism as well as by inner-sphere pathways involving the metal-coordinated  $O_2$ .<sup>21–23</sup> The distal amino acid must therefore be located relatively far ( $>4 \text{ \AA}$ ) from the central iron.

Our modeling experiments suggested that the favorable position for the distal His insertion was Leu-185, which is in the final helix in subdomain IB and forms part of the top of the distal pocket (Figure 1). Leu-182 and Arg-186 were also considered likely to be good candidate positions for the introduction of an amide-containing side chain designed to stabilize  $O_2$  binding (see below). In elegant studies on Mb, Rohlfis and co-workers showed that Gln, which has a primary amide group potential to form an H-bond, was able to substitute effectively for the stabilizing role of the distal histidine (His-64).<sup>13b,c</sup> Thus, we decided to vary the polarity of the distal side of the heme in rHSA(HF) and rHSA(HL) by replacing Leu-185 with Asn, Gln, and His by site-directed mutagenesis. The Asn residue should behave similarly to Gln, although a rMb-(H64N) mutant has never been reported. The His-185 mutation was only done for rHSA(HF), because His-185 could be long enough to bind to the sixth coordinate position of the heme if allowed the greater freedom of movement that would occur in the rHSA(HL) background. As a result, five triple mutants [rHSA(HF/L185N), rHSA(HL/L185N), rHSA(HF/L185Q), rHSA(HL/L185Q), and rHSA(HF/L185H)] were cloned and their heme complexes were prepared.

**Ferrie States of L185N, L185Q, and L185H Mutants.** The site-specific mutations with Asn, Gln, and His were successfully introduced into the Leu-185 position of rHSA(HF) or rHSA(HL), and the proteins were purified to homogeneity as determined by SDS-PAGE. The rHSA(mutant)-heme complexes produced from these proteins were stable for several months at  $4 \text{ }^\circ\text{C}$  without precipitation.

The UV-vis absorption spectra of the five rHSA(triple mutant)-heme complexes are essentially the same regarding their general features (Figure 3, Table 1). When analyzed by MCD spectroscopy to evaluate the redox state, spin state, and axial ligand environment, all the ferric rHSA(triple mutant)-hemins showed a characteristic MCD with similar S-shaped patterns in the Soret band region, though their intensities were dependent on the nature of the distal amino acid (Figure 4). Vickery et al.<sup>24</sup> previously reported that the Soret MCD intensity of the ferric Mb with different anions at the sixth coordinate position was correlated with the amount of low-spin component. rHSA(HL)-heme showed almost the same band as ferric Mb, in which one water axially coordinates to the sixth position of the heme to produce the aquo complex.<sup>11,24,25</sup> In contrast, rHSA(HF/L185H)-heme showed 3-fold greater intensity at 405 nm. This is probably caused by the coexistence of a low-spin six-coordinate heme. Introduction of Asn or Gln at position 185



**Figure 3.** UV-vis absorption spectral changes of (a) rHSA(HL/L185N)-heme and (b) rHSA(HF/L185H)-heme complexes in 50 mM potassium phosphate buffered solution (pH 7.0,  $22 \text{ }^\circ\text{C}$ ).

gave intermediate effects, though mutants with Q185 yielding a slightly more intense peak at 405 nm. Overall, our MCD results for these five rHSA(triple mutant)-heme complexes imply that the introduction of the distal nitrogenous residue at the 185 position tends to increase the ferric low-spin nature.

**Ferrous States of L185N, L185Q, and L185H Mutants and  $O_2$  Binding.** rHSA(triple mutant)-hemins were easily reduced to form the ferrous complexes by adding a small excess of aqueous sodium dithionite under an Ar atmosphere. rHSA(HF/L185N)-heme, rHSA(HL/L185N)-heme, and rHSA(HL/L185Q)-heme each showed a visible absorption band at 558–559 nm with a small shoulder at 530 nm (Figure 3a; Figure S1, Supporting Information), that was similar to the spectra observed for rHSA(HF)-heme, rHSA(HL)-heme,<sup>11b</sup> deoxyMb,<sup>27</sup> and synthetic chelated protoheme.<sup>26</sup> The spectral patterns clearly indicated the formation of a five-N-coordinate high-spin complex. In contrast, in the spectra of rHSA(HF/L185Q)-heme and

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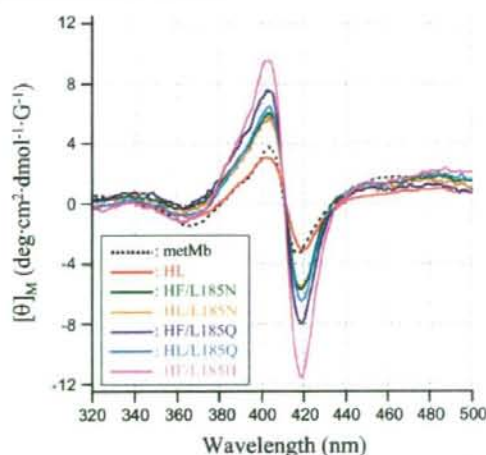
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**Table 1.** UV-vis Absorption Spectral Data of rHSA(mutant)-Heme Complexes<sup>a</sup>

hemoproteins	$\lambda_{\text{max}}$ (nm)			
	Fe <sup>3+</sup>	Fe <sup>2+</sup>	Fe <sup>2+</sup> O <sub>2</sub>	Fe <sup>2+</sup> CO
rHSA(HF)-heme <sup>b</sup>	402, 533, 620	425, 532(sh), 559	411, 538, 576	419, 538, 565
rHSA(HL)-heme <sup>b</sup>	402, 533, 620	426, 531(sh), 559	412, 537, 573	419, 538, 565
rHSA(HF/L185N)-heme	406, 528, 618	425, 530(sh), 559	411, 540, 575	419, 539, 566
rHSA(HL/L185N)-heme	407, 530, 620	425, 530(sh), 559	411, 537, 575	419, 537, 564
rHSA(HF/L185Q)-heme	406, 530, 620	424, 528, 558		419, 538, 566
rHSA(HL/L185Q)-heme	406, 530, 620	425, 530(sh), 558	411, 537, 574 <sup>c</sup>	419, 537, 566
rHSA(HF/L185H)-heme	407, 528, 620	424, 528, 558		419, 538, 566
rHSA(HL/L182H)-heme	410, 532, 624	425, 530, 559		419, 539, 567
rHSA(HF/R186H)-heme	411, 533, 565	424, 529, 560		420, 539, 568
rHSA(HL/R186L)-heme	406, 530, 620	426, 531(sh), 559	411, 539, 576	419, 539, 567
rHSA(HL/R186F)-heme	405, 532, 621	426, 531(sh), 559	410, 535, 571	419, 538, 568
Mb <sup>d</sup>	409, 503, 548(sh), 632	434, 557	418, 544, 581	423, 541, 579
chelated heme <sup>e</sup>	408, 540, 565	427, 530, 558	414, 543, 575	420, 540, 569

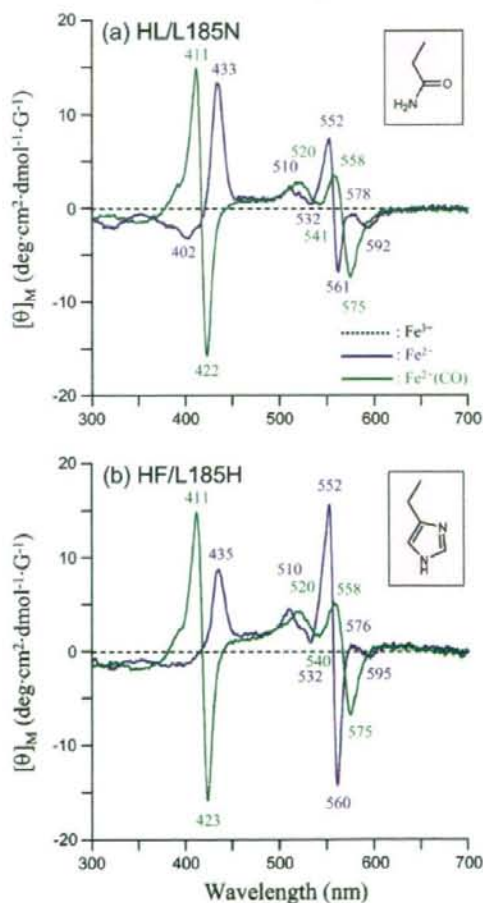
<sup>a</sup> In 50 mM potassium phosphate buffered solution (pH 7.0) at 22 °C. <sup>b</sup> Reference 11b. <sup>c</sup> At 5 °C. <sup>d</sup> Horse muscle myoglobin (Sigma); ref 11b. <sup>e</sup> In DMF/H<sub>2</sub>O (7/3) at 15 °C; ref 26.

**Figure 4.** MCD spectra of rHSA(185-mutant)-hemin complexes in 50 mM potassium phosphate buffered solution (pH 7.0, 22 °C).

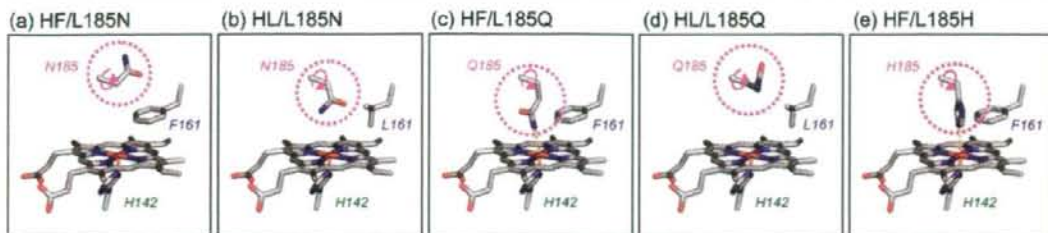
rHSA(HF/L185H)-heme, the  $\beta$  band at 528 nm appeared relatively sharp (Figure 3b), which suggests partial formation of a six-coordinate heme complex. This is consistent with the finding that the ferric state of these two mutant complexes had the highest peaks in the MCD.

The Soret MCD spectra of ferrous rHSA(HF/L185N)-heme, rHSA(HL/L185N)-heme, and rHSA(HL/L185Q)-heme under Ar atmosphere are dominated by an intense positive peak at 433 nm and a small trough at 402 nm as expected for the Faraday C-terms for high-spin ferrous porphyrins like deoxyMb (Figure 5a).<sup>24,25</sup> In contrast to these three mutant complexes, rHSA(HF/L185Q)-heme and rHSA(HF/L185H)-heme show weaker intensity in the Soret band region and greater intensity in the visible region (Figure 5b).

On the basis of all the UV-vis absorption and MCD spectral results, we concluded that the reduced ferrous heme is axially coordinated by His-142 at the core of the heme pocket in rHSA-(mutant) and forms a five-N-coordinate high-spin ferrous complex under an Ar atmosphere in the case of HF/L185N, HL/L185N, and HL/L185Q mutants (Figure 6a,b,d). In addition to the His-142 ligation, Gln-185 and His-185 partially interact with the sixth coordinate position of the central Fe<sup>2+</sup> ion of the

**Figure 5.** MCD spectral changes of (a) rHSA(HL/L185N)-heme and (b) rHSA(HF/L185H)-heme complexes in 50 mM potassium phosphate buffered solution (pH 7.0, 22 °C).

heme in the HF/L185Q and HF/L185H mutants in spite of the bulky aromatic ring of Phe-161 (Figure 6c,e). We postulated that rHSA(HL/L185Q)-heme would also form a six-coordinate



**Figure 6.** Structural models of the heme pocket in rHSA(triple mutant)-heme complexes: distal-side effects of engineered amino acids at position 185.

low-spin complex, because the small Leu-161 should allow additional room for rotation of Gln-185. However, it yielded a five-coordinate high-spin ferrous complex (Figure S1). This suggests that the flexible Gln-185 may interact with neighboring amino acids (Figure 6d) and underscores the difficulty in accurately predicting the impact of amino acid substitutions.

Upon exposure of the rHSA(HF/L185N)-heme and rHSA(HL/L185N)-heme solutions to  $O_2$ , the UV-vis absorptions immediately changed to that of the  $O_2$  adduct complex at 22 °C (Figure 3a, Table 1).<sup>11,26,27</sup> However, the rHSA(HL/L185Q)-heme complex bound  $O_2$  only at 5 °C (Figure S1a) and was observed to autoxidize rapidly at 22 °C. This rapid oxidation may suggest that the distal side of the heme has an open structure, which allows easy access of water to the heme, thereby facilitating autoxidation.<sup>28</sup> The rHSA(HF/L185Q)-heme and rHSA(HF/L185H)-heme complexes, both of which exhibit side-chain interactions with the sixth coordinate position of the heme, were immediately oxidized by  $O_2$  even at low temperature (5 °C).

After introduction of CO gas, all the hemoproteins produced stable carbonyl complexes with identical absorption spectral patterns (Figures 3 and S1a, Table 1).<sup>11,26,27</sup> In every case the carbonyl rHSA(triple mutant)-heme complexes exhibited the same S-shaped MCDs, which correspond to the A-term bands for the diamagnetic low-spin protoheme with CO and axial His coordinations (Figures 5 and S1b).<sup>24,25</sup> This result implies that in the carbonyl complexes the Asn-185 and Gln-185 residues do not act as a proximal base instead of His-142.

**$O_2$  and CO Binding Parameters of L185N Mutants.** By use of laser flash photolysis, analysis of the kinetics of ligand binding to the double mutants rHSA(HF)-heme and rHSA(HL)-heme revealed that the asymmetric iron protoporphyrin IX molecule is accommodated in subdomain IB in two different orientations ( $180^\circ$  rotational isomers).<sup>11</sup> As a result, there exist two geometries of the axial His-142 coordination to the central  $Fe^{2+}$  ion of the heme (species I and II). In species I, the proximal His coordinates to the heme without strain, while in species II, the ligation involves some distortion, resulting in weaker  $O_2$  binding. The bending strain in the proximal His- $Fe^{2+}$  bond in species II increases the dissociation rate constant and decreases the association rate for CO, whereas it increases the  $O_2$  dissociation rate without changing the kinetics of the  $O_2$  association.<sup>16c,19</sup> Consequently, the entire absorption decay accompanying the CO recombination with rHSA(HF)-heme or rHSA(HL)-heme was composed of two single exponentials, but the rebinding process of  $O_2$  followed a simple monophasic

decay. In rHSA(triple mutant)-hemes, this alternative geometry of the heme plane would also arise in the same manner.

We again used laser flash photolysis to characterize the  $O_2$  and CO binding properties of the rHSA(triple mutant)-heme complexes. As expected, the binding behavior of  $O_2$  for rHSA(HF/L185N)-heme and rHSA(HL/L185N)-heme was broadly similar to that of the double mutants. However, detailed analysis reveals that the absorption decay accompanied by  $O_2$  rebinding to the heme was composed of two very similar phases (Figure S2, Supporting Information). Numerous investigations of the synthetic iron porphyrins have demonstrated that the "distal-side steric effect" is the only factor that influences the association rate constant for  $O_2$ .<sup>16c,19</sup> The double-exponential profiles for  $O_2$  association are therefore likely to indicate that there are two distinct conformations of the distal Asn-185 above the heme. The amplitude ratio of the two phases was approximately 1:1 for rHSA(HL/L185N)-heme, suggesting that half of the Asn residue may turn toward the inside of the heme pocket and the other turns to the outside (Figure 6b). These two conformers of the distal Asn-185 residue also influence the association rate for CO. If we were to take this minimal effect into account, the CO rebinding process would have to be analyzed as four phases. However, (i) it would be too complicated to comprehend the fundamental aspects of the ligand binding properties of rHSA(triple mutant)-heme, and (ii) the observed distal-side effect is less significant compared to the major proximal-side steric effect in this system. Hence, the absorbance decays after laser pulse irradiation to rHSA(HF/L185N)-hemeCO and rHSA(HL/L185N)-hemeCO were fitted by biphasic kinetics. The ratio of the amplitude of the dominant fast phase (species I) and minor slow phase (species II) was approximately 7:3 for rHSA(HF/L185N)-heme and 3:2 for rHSA(HL/L185N)-heme. These values were within the same range observed in the rHSA(double mutant)-heme complexes.<sup>11</sup> Concomitantly, the  $O_2$  association rate of rHSA(HF/L185N)-heme or rHSA(HL/L185N)-heme was determined as one value by weighted averaging of the  $k_{on}^{O_2}$  values for the two phases (Table 2).

In general,  $k_{on}^{CO}$  is a simple indicator of the bending strain in the proximal His coordination to the central  $Fe^{2+}$  ion.<sup>16c,19</sup> rHSA(HF)-heme, rHSA(HL)-heme, rHSA(HF/L185N)-heme, and rHSA(HL/L185N)-heme exhibited similar  $k_{on}^{CO}$  values in species I (0.008–0.013  $s^{-1}$ ) and they are identical to that of Hb( $\alpha$ ) (R-state) (0.009  $s^{-1}$ ) (Table 3).<sup>29</sup> This result indicated that the axial His-142 ligation to the heme in these artificial hemoproteins has the same features as that of Hb.

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**Table 2.** O<sub>2</sub> Binding Parameters of rHSA(mutant)-Heme Complexes<sup>a</sup>

hemoproteins	$k_{on}^{O_2}$ ( $\mu\text{M}^{-1}\text{s}^{-1}$ )	$k_{off}^{O_2}$ ( $\text{ms}^{-1}$ )		$P_{1/2}^{O_2}$ (Torr)	
		I	II	I	II
rHSA(HF)-heme <sup>b</sup>	20	0.10	0.99	3	31
rHSA(HL)-heme <sup>b</sup>	7.5	0.22	1.70	18	134
rHSA(HF/L185N)-heme	26	0.10	1.03	2	24
rHSA(HL/L185N)-heme	14	0.02	0.29	1	14
rHSA(HL/R186L)-heme	25	0.41	8.59	10	209
rHSA(HL/R186F)-heme	21	0.29	7.01	9	203
Hb( $\alpha$ )(R-state) <sup>c</sup>	33 <sup>d</sup>	0.013 <sup>e</sup>		0.24	
Mb <sup>f</sup>	14	0.012		0.51	
RBC <sup>g</sup>				8	

<sup>a</sup> In 50 mM potassium phosphate buffered solution (pH 7.0) at 22 °C. I or II indicates species I or II. <sup>b</sup> Reference 11. <sup>c</sup> Human hemoglobin  $\alpha$ -subunit. <sup>d</sup> In 0.1 M phosphate buffer (pH 7.0, 21.5 °C); ref 30. <sup>e</sup> In 10 mM phosphate buffer (pH 7.0, 20 °C); ref 31. <sup>f</sup> Sperm whale myoglobin, in 0.1 M potassium phosphate buffer (pH 7.0, 20 °C); ref 13b. <sup>g</sup> Human red cell suspension, in isotonic buffer (pH 7.4, 20 °C); ref 32.

**Table 3.** CO Binding Parameters of rHSA(mutant)-Heme Complexes<sup>a</sup>

hemoproteins	$k_{on}^{CO}$ ( $\mu\text{M}^{-1}\text{s}^{-1}$ )		$k_{off}^{CO}$ ( $\text{s}^{-1}$ )		$P_{1/2}^{CO}$ (Torr)	
	I	II	I	II	I	II
rHSA(HF)-heme <sup>b</sup>	6.8	0.72	0.009	0.061	0.0011	0.068
rHSA(HL)-heme <sup>b</sup>	2.0	0.27	0.013	0.079	0.0053	0.240
rHSA(HF/L185N)-heme	7.7	1.09	0.008	0.043	0.0008	0.032
rHSA(HL/L185N)-heme	6.8	1.60	0.008	0.039	0.0010	0.020
rHSA(HL/R186L)-heme	5.0	0.57	0.011	0.165	0.0018	0.234
rHSA(HL/R186F)-heme	7.9	1.12	0.010	0.148	0.0010	0.107
Hb( $\alpha$ )(R-state) <sup>c</sup>	4.6 <sup>d</sup>		0.009 <sup>e</sup>		0.0016 <sup>f</sup>	
Mb <sup>g</sup>	0.51		0.019		0.030	

<sup>a</sup> In 50 mM potassium phosphate buffered solution (pH 7.0) at 22 °C. I or II indicates species I or II. <sup>b</sup> Reference 11. <sup>c</sup> Human hemoglobin  $\alpha$ -subunit. <sup>d</sup> In 50 mM potassium phosphate buffer (pH 7.0, 20 °C); ref 33. <sup>e</sup> In 0.1 M phosphate buffer (pH 7.0, 20 °C); ref 29. <sup>f</sup> Calculated from  $(k_{on}^{CO}/k_{off}^{CO})^{-1}$ . <sup>g</sup> Sperm whale myoglobin, in 0.1 M potassium phosphate buffer (pH 7.0, 20 °C); ref 13b.

**Effect of Asn-185 Residue on O<sub>2</sub> Binding Affinity.** The O<sub>2</sub> and CO binding parameters for the rHSA(HF)-heme and rHSA(HF/L185N)-heme complexes did not show any significant differences. The bulky benzyl side chain of Phe-161 may retard rotation of the polar amide group of Asn-185 and thereby maintain the polarity and size of the distal pocket (Figure 6a). In contrast, there are marked differences in the comparison of the O<sub>2</sub> and CO binding parameters for rHSA(HL)-heme and rHSA(HL/L185N)-heme. First, the presence of Asn rather than Leu at position 185 resulted in 2- and 3–6-fold increases in the association rate constants for O<sub>2</sub> and CO, respectively. As described above, the kinetics of O<sub>2</sub> binding to rHSA(HL/L185N)-heme actually consist of two phases. The Asn may partly rotate upward, which provides a somewhat greater space for the distal pocket. This presumably increases the association rate constants. Second, Asn-185 induced 18- and 10-fold increases in the O<sub>2</sub> binding affinity for species I and II, respectively (Table 2); these increases were predominantly due to the 6–11-fold diminution of the  $k_{off}^{O_2}$  values. This corresponds to a free energy difference of  $-1.8\text{ kcal mol}^{-1}$  at 22 °C that may be attributable to a H-bond interaction with the bound O<sub>2</sub>. This is consistent with the observation that, in HbO<sub>2</sub> and MbO<sub>2</sub>, the distal His-64 stabilizes the coordinated O<sub>2</sub> by  $-0.6\sim-1.4\text{ kcal mol}^{-1}$  due to the H-bonding.<sup>13</sup> Unfortunately, attempts to measure the stretching frequency of the bound O<sub>2</sub> molecule in rHSA(HL/L185N)-heme by infrared spectroscopy failed because the O<sub>2</sub> adduct complex was not sufficiently stable.

Nevertheless, it is noteworthy that the high O<sub>2</sub> binding affinity ( $P_{1/2}^{O_2}$ : 1 Torr) for rHSA(HL/L185N)-heme is now close to that of natural Hb( $\alpha$ ) (0.24 Torr)<sup>30,31</sup> and Mb (0.5 Torr)<sup>13</sup> (Table 2).

**Replacement of L182 or R186 by His.** Leu-182 and Arg-186 were also considered to be good candidates for introduction of the distal His, so we prepared the rHSA(HL/L182H) and rHSA(HF/R186H) triple mutants (Figure 1). Modeling trials demonstrated that neither of these introduced histidines is coplanar with the Fe–O–O moiety. Rather, they are positioned off to the side, so that there may be an oblique interaction with the coordinated O<sub>2</sub> and the heme center.

The rHSA(HL/L182H)-hemin complex and its reduced form showed spectra similar to those of rHSA(HF/L185H)-heme. In contrast, the color of the ferric rHSA(HF/R186H)-hemin solution was bright red, and the UV–vis absorption spectrum clearly showed the formation of a bis-histidine-coordinated low-spin ferric complex (Figure 7a). The MCD intensity of the S-shaped curve in the Soret band region (Figure 8) was higher than that observed with rHSA(HF/L185H)-hemin (Figure 4). The chemical reduction of the Fe<sup>3+</sup> complex results in very sharp  $\beta$ ,  $\alpha$  bands in the visible absorption spectrum (529, 560 nm) (Figure 7a). In MCD, we observed the loss of the strong C-terms in the Soret band and the appearance of intense A-terms corresponding to the  $\alpha$  band (Figure 7b). They all resembled those of the typical bis-histidyl hemochrome, for example, cytochrome *b<sub>5</sub>*,<sup>34</sup> soluble guanylcyclase,<sup>35a</sup> and bis-imidazole-bound protoheme,<sup>22,26,35b</sup> as well as Hpx.<sup>1b</sup> It can be concluded that rHSA(HF/R186H)-heme produced a strong six-coordinate low-spin ferrous complex under an Ar atmosphere. Unfortunately, the ferrous forms of both rHSA(HF/R186H)-heme and rHSA(HL/L182H)-heme were readily autoxidized upon the addition of O<sub>2</sub> gas. It is known that bis-histidyl hemochromes are rapidly oxidized by O<sub>2</sub> via an outer-sphere mechanism.<sup>21</sup> We have demonstrated that this also applies to our artificial hemoprotein, the rHSA(mutant)-heme system.

**O<sub>2</sub> and CO Binding Parameters for R186L and R186F Mutants.** We have clearly shown that the O<sub>2</sub> binding equilibrium and kinetics of rHSA-heme complexes may be significantly enhanced by site-directed mutagenesis. In fact, the O<sub>2</sub> binding affinity of the rHSA(HL/L185N)-heme complex (1 Torr) was shown to be similar to those of Mb and the high-affinity R-state of Hb( $\alpha$ ). However, for saline solutions of artificial rHSA-heme complexes to provide effective lung-to-tissue O<sub>2</sub> transport in vivo, the affinity should be reduced to render it more similar to the affinity of human RBC ( $P_{1/2}^{O_2}$ : 8 Torr).<sup>32</sup> This requires an O<sub>2</sub> binding affinity that is intermediate between the values observed for rHSA(HL)-heme and rHSA(HL/L185N)-heme.

Both site-directed mutagenesis and synthetic porphyrin approaches have previously shown that an effective way to diminish the O<sub>2</sub> binding affinity of the heme is to introduce a

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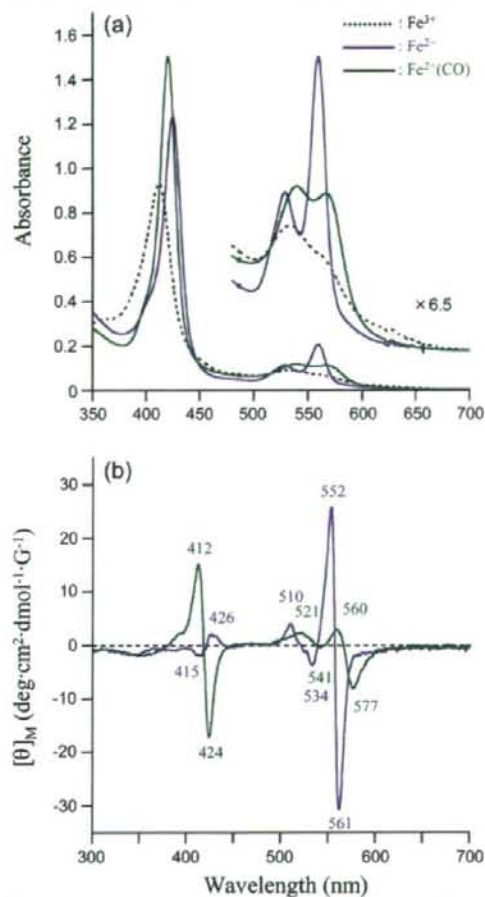
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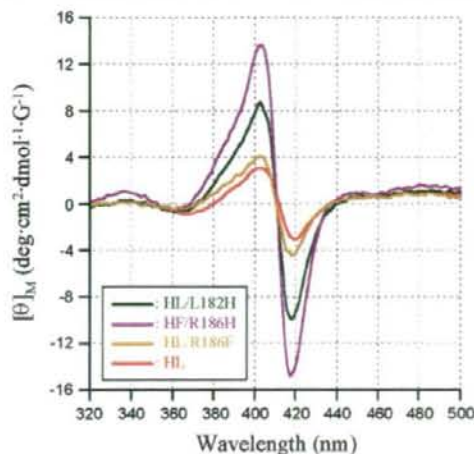


**Figure 7.** (a) UV-vis and (b) MCD spectral changes of rHSA(HF/R186H)-heme complex in 50 mM potassium phosphate buffered solution (pH 7.0, 22 °C).

hydrophobic amino acid (or substituent) around the O<sub>2</sub> binding site.<sup>13,14,17-19</sup> We expected that increasing the hydrophobicity of the distal side of the heme pocket by insertion of a nonpolar residue would reduce the O<sub>2</sub> binding affinity of the rHSA-heme complex. The most suitable position for this introduction could be at Arg-186, which is the entrance of the heme pocket and is rather close to the central Fe<sup>2+</sup> ion.

Thus, we designed new triple mutants rHSA(HL/R186L) and rHSA(HL/R186F) in an effort to prepare rHSA-based artificial hemoproteins having the same O<sub>2</sub> binding affinity as human RBC (Figure 9). An important structural factor in these mutants is Y161L, which is likely to allow rotation of the isopropyl group of Leu-185 above the O<sub>2</sub> coordination site.

MCD spectra in the Soret band region of ferric rHSA(HL/R186L)-hemin and rHSA(HL/R186F)-hemin both showed very low intensity, essentially the same as that observed for rHSA(HL)-hemin (Figure 8). The reduced ferrous form demonstrated the characteristic UV-vis absorption and MCD spectra of the five-coordinate high-spin complex under an Ar atmosphere (Table 1; Figure S3, Supporting Information).



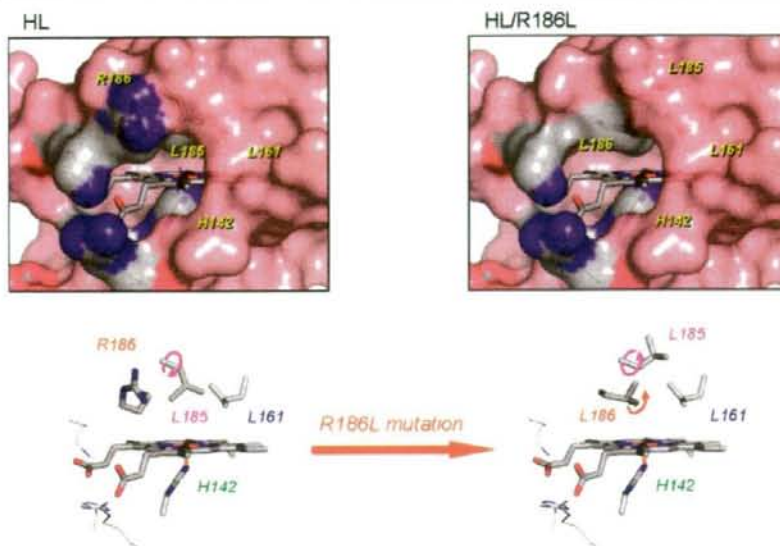
**Figure 8.** MCD spectra of rHSA(182-mutant)-hemin and rHSA(186-mutant)-hemin complexes in 50 mM potassium phosphate buffered solution (pH 7.0, 22 °C).

Upon bubbling of O<sub>2</sub> gas through the solutions, the spectral patterns were shifted to that of the O<sub>2</sub> adduct complex. The distinct features of all the spectra were quite similar to those of rHSA(HL)-heme.

Following laser flash photolysis, the absorption decays associated with O<sub>2</sub> recombination with rHSA(HL/R186L)-heme and rHSA(HL/R186F)-heme were monophasic, which suggests that the distal space in the pocket is uniform, in contrast to the L185N mutants. The kinetics for CO rebinding were still composed of double exponentials, consistent with the existence of two different geometries of the axial His-142 coordination to the central Fe<sup>2+</sup> ion of the heme.

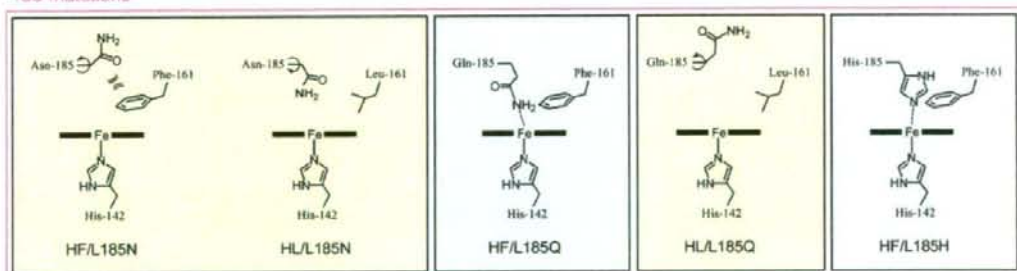
We previously showed that rHSA(HF) binds O<sub>2</sub> with significantly higher affinity than rHSA(HL) and reasoned that the presence of Leu rather than Phe at position 161 allowed a downward rotation of the adjacent L185 side chain that restricted access to the O<sub>2</sub> binding site on the heme group and reduced the affinity by a factor of 6 (Table 2).<sup>11b</sup> Strikingly, however, insertion of Leu or Phe at position 186 in the presence of Leu-161 [as in rHSA(HL/R186L)-heme and rHSA(HL/R186F)-heme complexes] yielded  $k_{on}^{O_2}$  and  $k_{on}^{CO}$  values that were 3-4-fold higher than those of rHSA(HL)-heme. The presence of a hydrophobic residue at position 186 may restrict the mobility of Leu-185 and thereby enhance access to the O<sub>2</sub> binding site (Figure 9).

Overall, the O<sub>2</sub> and CO binding parameters of rHSA(HL/R186L)-heme and rHSA(HL/R186F)-heme were more similar to those of rHSA(HF)-heme. In species I, for example, the  $k_{off}^{CO}$  values were almost identical, which again implies unhindered axial coordination structures of His-142 to the heme; as a result, the CO binding affinities of these triple mutants ( $P_{1/2}^{CO}$  0.0010-0.0018 Torr) were close to that of the rHSA(HF)-heme complex. In contrast, the O<sub>2</sub> dissociation rate constants of rHSA(HL/R186L)-heme and rHSA(HL/R186F)-heme were 3-4-fold higher than found for rHSA(HF)-heme, which modestly reduced the O<sub>2</sub> binding affinities (higher  $P_{1/2}^{O_2}$ ). This could be due to the increase in the hydrophobicity in the distal pocket. Crucially, the O<sub>2</sub> binding affinities of rHSA(HL/

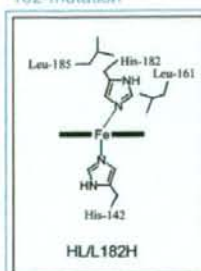


**Figure 9.** Structural models of rHSA(HL)-heme and rHSA(HL/R186L)-heme complexes. Introduction of R186L mutation may induce upward rotation of the L185 residue.

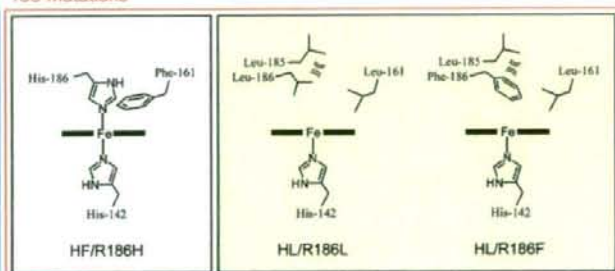
#### 185-mutations



#### 182-mutation



#### 186-mutations



**Figure 10.** Schematic illustrations of the engineered distal amino acids in the heme pocket of rHSA(triple mutant)-heme: (yellow) five-coordinate high-spin ferrous complex; (blue) five-coordinate high-spin complex including six-coordinate low-spin ferrous complex; (pink) six-coordinate low-spin ferrous complex.

R186L)-heme ( $P_{1/2}O_2$  10 Torr) and rHSA(HL/R186F)-heme ( $P_{1/2}O_2$  9 Torr) are essentially indistinguishable from that of human RBC ( $P_{1/2}O_2$  8 Torr). These results show that there are several different combinations of mutations that can confer the RBC-like  $O_2$  binding affinity to the prosthetic heme group.

Another possibility, yet to be explored, is that insertion of a proximal His into the 186 position would construct a distal pocket on the opposite side of the porphyrin plane (the Ile-142 side), that would provide somewhat different  $O_2$  binding properties of the heme.

## Conclusions

Transport of O<sub>2</sub> by rHSA-heme complexes could be of great clinical importance, not only as a blood alternative but also as an O<sub>2</sub>-providing therapeutic fluid. Such a synthetic compound has the potential advantage of not having to be matched to the recipient's blood type; moreover, it could be prepared in controlled facilities without viral contamination.

We have previously demonstrated that rHSA-heme complexes can be engineered to bind O<sub>2</sub> reversibly;<sup>11</sup> however, these complexes did not display optimal O<sub>2</sub> binding affinities. By use of structure-based mutagenesis of HSA combined with chemical modification of the synthetic iron-porphyrin, we have attempted to modify the heme pocket architecture so as to refine the O<sub>2</sub> binding properties of rHSA-heme complexes. By focusing on modifications on the distal side of the heme binding pocket in rHSA, we have successfully engineered distinct rHSA(triple mutant)-heme complexes with a broad range of O<sub>2</sub> binding affinities. Schematic illustrations of the engineered distal amino acids in the heme pocket of the different rHSA mutants are shown in Figure 10. These include mutants such as rHSA(HL/L185N) with affinities that mimic the high affinity of Hb( $\alpha$ ) ( $P_{1/2}^{O_2}$  0.24 Torr) and others [e.g., rHSA(HL/R186L)] with affinities similar to that of human RBC ( $P_{1/2}^{O_2}$  8 Torr).

The highest affinity mutants rHSA(HL/L185N) and rHSA(HF/L185N) both contain Asn-185, which has a short amide side chain that significantly enhances the O<sub>2</sub> binding affinity, particularly when the neighboring amino acid is Leu-161. The N-H bond of the Asn-185 may face the terminal oxygen atom of the Fe-O<sub>2</sub> moiety, providing an amide dipole that stabilizes the O<sub>2</sub> binding to the heme. This interpretation is consistent with the findings of Chang et al.,<sup>36</sup> who first demonstrated that the dipole-dipole interaction between the Fe-O<sub>2</sub> and amide group can produce kinetic and thermodynamic control of the dioxygenation of the model hemes. In contrast, introduction of the larger Gln and His side chains at position 185 partly provided a six-coordinate heme character and therefore did not stabilize O<sub>2</sub> binding.

In a different approach, substitution of the polar Arg-186 at the entrance of the heme pocket with Leu or Phe caused a useful reduction in the O<sub>2</sub> binding affinity, yielding  $P_{1/2}^{O_2}$  values that are very close to that of the human RBC and therefore well adapted for O<sub>2</sub> transport in vivo (Table 2). The impact of these substitutions may be due to their interaction with the adjacent residue, L185, which results in enhanced access to the O<sub>2</sub> binding site.

Other mutations were deleterious to O<sub>2</sub> binding but nevertheless produced complexes that might have other uses. For example, rHSA(HF/R186H)-heme formed a typical bis-histidyl Fe<sup>3+</sup> or Fe<sup>2+</sup> complex. In the circulation, free heme is known to participate in the Fenton reaction to produce the highly toxic hydroxyl radical. However, it is sequestered by Hpx, in which the bis-histidyl coordination tightly fixes the heme with the highest binding affinity of any known protein.<sup>12</sup> In the same manner, rHSA(HF/R186H) has a bis-histidine clamp for heme and might conceivably be exploited as an antioxidant reagent to protect the body from oxidative damage after blood heme overload.

On the other hand, it would be of great importance to study the NO binding property of rHSA(mutant)-heme for practical medical applications. Some of the Hb-based blood substitutes leak through the vascular endothelium and capture the endothelial-derived relaxing factor, NO, that elicits an acute increase in blood pressure by vasoconstriction.<sup>37</sup> Our rHSA(mutant)-heme would bind NO in the same way as Hb, but it would not induce such hypertension, because the albumin carrier has low permeability through the muscle capillary pore.<sup>38</sup>

Ultimately, to fully understand the structural basis of the effects of the various mutations on O<sub>2</sub> binding, it will be necessary to examine the structural details of the heme binding pocket. Crystal structural analysis of the rHSA(mutant)-heme complexes is now underway. Structural information should enhance our ability to design mutations that will further optimize the O<sub>2</sub> binding properties of these complexes.

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**Supporting Information Available:** UV-Visible absorption and MCD spectra of rHSA(HL/L185Q)-heme, absorption decay of O<sub>2</sub> rebinding to rHSA(HL/L185N)-heme after laser flash photolysis, and MCD spectra of rHSA(HL/R186F)-heme complex. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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## Hemoglobin Vesicles to Treat Critical Ischemia

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### Abstract

The initial purpose for developing artificial oxygen carriers was to replace blood transfusions in order to avoid their adverse effects such as immunologic reactions, transmission of infectious diseases, limited availability and restricted storage conditions. With the advent of new generations of artificial oxygen carriers, a shift of paradigm evolved that considers the artificial oxygen carriers as oxygen therapeutics re-distributing oxygen delivery in the favor of tissues in need. This function may find a particular application in tissues rendered hypoxic due to arterial occlusive diseases. This review, based on a large series of intravital microscopy studies in a hamster skin flap model, outlines the optimal design of hemoglobin vesicles (HbVs) given for the above intention. In summary, the HbV should be of a large diameter, and oxygen affinity, colloid osmotic pressure and viscosity of the HbV solution should be high.

**Keywords** artificial oxygen carrier, intravital microscopy, skin flap, hypoxia, hemodilution

### Introduction

The initial drive to develop artificial oxygen carriers was to reduce the need of blood transfusions in order to circumvent their drawbacks such as immunologic reactions, blood-borne transmitted diseases, limited availability and restricted storage time<sup>1,2</sup>. The first generation artificial blood substitutes included modified hemoglobin (Hb) molecules and perfluorocarbons. The Hb-based oxygen carriers (HBOCs) were designed to mimic the physiological function of red blood cells in terms of oxygen uptake, transport and release. Accordingly, their physicochemical properties were targeted to that of human blood.

However, on a clinical level, the artificial blood substitutes have failed to meet the expectations so far. Clinical trials yielded serious adverse effects, which were mainly related to an unwanted vasoconstrictor response to the Hb molecules<sup>3,4</sup>. There was an urge to invent new HBOCs, which was achieved by introducing a variety of structural modifications of the hemoglobins and the rheological properties of the solvent<sup>5,6,7</sup>. This evolution was paralleled by a shift of paradigm regarding the function of artificial oxygen carriers: they were no longer seen as mere oxygen transporters and suppliers but rather as oxygen therapeutics in a sense that they would influence the distribution of RBC-bound oxygen in favor of the tissues in need<sup>8,9</sup>.

This new paradigm went along with the idea of using artificial oxygen-carrying solutions as drugs instead of blood substitutes, thus revealing new therapeutic strategies. One of them consists in the treatment of local tissue hypoxia caused by arterial occlusion. Although occlusive vascular diseases account for the highest causes of death and some of the highest rates of morbidity and health care costs in the industrialized countries, little emphasis has been put on translational research focused on this indication for artificial oxygen carriers. Symptomatically, this is not either the issue in any of the ongoing clinical trials<sup>10</sup>. Some experimental experience with this therapeutic strategy exists mostly for first generation HBOCs and perfluorocarbons, both of which yielded beneficial effects in acute ischemic hypoxia in cerebral<sup>6,11</sup>, myocardial<sup>12,13</sup> and peripheral<sup>14</sup> tissues. On the other hand, a clinical study testing the effect of diaspirin cross-linked Hb on acute ischemic stroke revealed that treatment with this first generation HBOC was an independent predictor of a worse outcome<sup>15</sup>.

In collaboration with Waseda University, we have investigated the efficacy of hemoglobin vesicles (HbVs), a second generation HBOC, in critically ischemic skin flaps. Our goal was to ameliorate hypoxia by improving oxygen delivery with the infusion of HbV solutions. This treatment is supposed to serve as an ancillary measure until adequate

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perfusion is re-established surgically or spontaneously by neovascularization. We favored the use of an HBOC because unlike perfluorocarbons, HBOCs do not require high pressure oxygen ventilation, which may be toxic if applied over the prolonged period of time that may be necessary to bridge the ischemic condition<sup>17</sup>. This article reviews our experimental experience with HbVs used for this purpose. Our studies were focused on investigating the effects of the various physicochemical properties of the HbV solutions in order to optimize their design.

### Standardized model and HBOC preparation allow for comparable and reproducible findings

In our experiments we used a hamster skin flap model that was derived from the well-established dorsal skinfold chamber, a model that allows for monitoring the hemodynamics and tissue oxygenation on a microscopic level (Fig. 1)<sup>18,19</sup>. The model simulates critical ischemia in peripheral tissue after acute vascular obstruction of the anatomical, axial blood supply, which renders this tissue dependent on a collateral vascularization. In our model, the

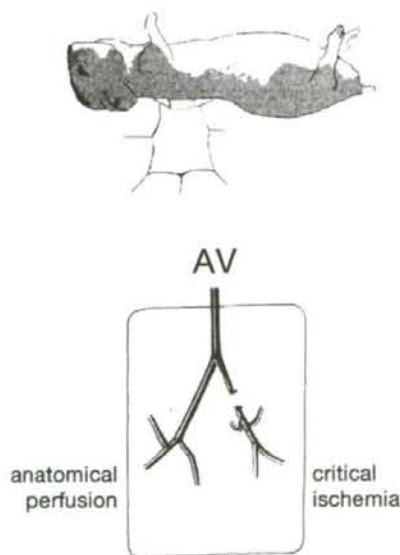


Fig. 1. Schematic view of animal model (above). A flap is dissected from the epilated back skin of anesthetized hamsters and mounted on a platform for intravital microscopy. Schematic view of preparation (below). The flap is nourished by one artery and vein, which bifurcate into two branches. After transecting the right branch, the corresponding tissue is perfused via collateral vessels connecting the two vascular beds and becomes critically ischemic. Redrawn from Erni et al<sup>18</sup>.

critically ischemic tissue showed hypoxia<sup>20</sup>, hypoxia-related inflammation<sup>21</sup> and increased rates of cell death<sup>22</sup>.

The HbVs were produced and provided by Waseda University<sup>23</sup>. They consisted of isolated, purified human Hb originating from outdated RBC concentrates. The Hbs were encapsulated with a double-layer phospholipid membrane that was coated with polyethylene-glycol in order to avoid agglutination. The diameter of the HbVs was approximately 250 nm. The oxygen affinity (P50) was regulated by adding the co-encapsulated allosteric effector pyridoxal 5'-phosphate.

### To improve microcirculation: a prerequisite

Because the reason for hypoxia in critical ischemia related to arterial occlusive diseases is hypoperfusion, the effect of the oxygen-carrying solution on the microcirculation is of utmost importance. Any further reduction in microcirculatory blood flow may be deleterious. Partly severe vasoconstriction was observed after application of first generation HBOCs<sup>1,2,24,25</sup>, which was mainly due to the NO-scavenging effect of plasma-bound Hb<sup>10</sup> but also due to NO-independent mechanisms<sup>26</sup>. The vasoconstrictor effect of the Hb compounds were largely dependent on their size<sup>26</sup>; its increase was therefore one of the first goals to be accomplished with the second generation HBOCs<sup>27</sup>. No vasoconstriction, neither in normally perfused nor in ischemic tissues, and no arterial hypertension were observed in any of the animals receiving HbVs<sup>20,24,25,27-30</sup> which are considered the largest HBOCs.

More than trying to avoid vasoconstriction, the primary goal should be to increase microcirculatory blood flow in the ischemic tissue, since blood flow determines oxygen delivery. In this context, homogenous distribution of blood flow, best expressed by functional capillary density (FCD), is crucial to avoid hypoxic tissue areas in spite of adequate total volumetric flow<sup>31,32</sup>. It has been postulated that the ischemic tissue may benefit from the small size of the artificial oxygen carrier that is still able to penetrate the vasculature through stenoses that are no longer accessible by red blood cells. Although this phenomenon is intellectually appealing and has been described *in vivo*<sup>33</sup>, the scientific proof of its biological efficacy is lacking. On the contrary, HbVs were completely inefficient if they were given in a solution that failed to improve microcirculatory blood flow in the ischemic tissue (Fig. 2)<sup>29</sup>, thus emphasizing the importance of the rheological formula of the oxygen-carrying solution. Similar observations have been made in other conditions of compromised microcirculation, where re-establishing microcirculatory blood flow and FCD rather than increasing oxygen-carrying capacity were important in preventing hypoxia<sup>34,35</sup>.

In this context, high colloidal osmotic pressure (COP) and



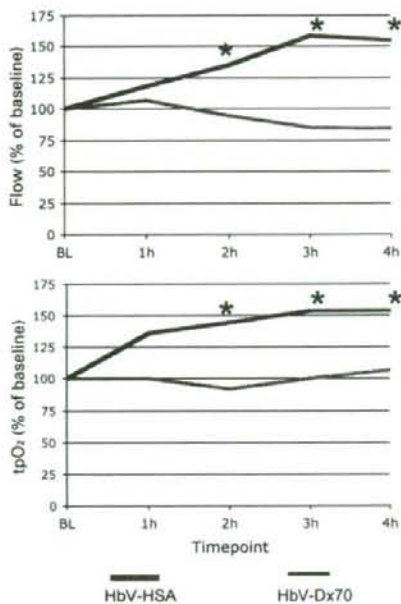


Fig. 2 Mean arteriolar blood flow and partial oxygen tension in the critically ischemic tissue before and after 50% isovolemic blood exchange with HbV dissolved in 8% human serum albumin (HbV-HSA) or 6% dextran 70 (HbV-Dx70). \* $p < 0.05$  vs. Baseline and HbV-HSA. HbVs are not able to improve tissue oxygenation if blood flow is not increased. Redrawn from Erni et al.<sup>29</sup>

high viscosity proved to be beneficial to both volumetric blood flow and FCD<sup>2,5, 20-22, 25, 32, 34, 36</sup>. High COP leads to blood volume expansion and subsequent increase in preload, cardiac output and mean arterial blood pressure. Furthermore, hyperoncotic solutions may attenuate fluid extravasation and edema formation in the ischemic tissue, thus relieving edema-related impairment of oxygen diffusion<sup>29,30</sup>.

The effects of the viscosity of the HBOC solution appear to be manifold. Whereas we and others have repeatedly demonstrated that the reduction in total blood viscosity during hemodilution is beneficial particularly in conditions of compromised microcirculation<sup>19, 20, 22, 25, 30, 31, 40</sup>, it seems to be advantageous to enhance viscosity in the plasma phase<sup>5, 21, 22, 25, 41, 42</sup>. To prevent from decreasing plasma viscosity below a certain level is crucial in maintaining capillary perfusion pressure, which is explained by the influence of plasma viscosity on NO-mediated, shear stress-induced arteriolar vasodilation<sup>41, 42-45</sup>. However, raising plasma viscosity to supraphysiological levels did not reveal any arteriolar vasodilation in our model, whereas microcirculatory blood flow and capillary perfusion were improved<sup>20, 22, 25, 30</sup>. Since this

was accompanied by a decrease in capillary diameter, the viscosity-related microcirculatory improvement may have been achieved by a decrease in capillary intraluminal pressure due to a reduction in post-capillary resistance, which in turn is predominantly influenced by the leukocyte-endothelium interaction<sup>46</sup>. It has been reported that leukocyte adhesion, which is the first step in this cascade of events, may be attenuated by increasing shear stress on the endothelium<sup>47</sup>, a scenario that is most conceivable considering the increased plasma viscosity after HbV infusion<sup>21, 22</sup>. The assumption that HbV solutions improve capillary perfusion by reducing post-capillary leukocyte adhesion and activation was further evidenced by a decrease in inflammatory markers such as endothelial leakage, tumor necrosis factor (TNF)-alpha, interleukin (IL)-6 and tissue leukocyte counts<sup>21, 22</sup>.

The influence of the oxygen-carrying solution on such inflammatory pathways may not be under-estimated, in particular in view of the ischemia-reperfusion effect that takes place after improving the oxygenation of this tissue. Due to the reduced arterial perfusion pressure, the influence of post-capillary resistance on capillary flow is more relevant if the tissues are indirectly perfused via the collateralized vasculature. Various authors have reported that artificial oxygen carriers may have the potential to attenuate ischemia-reperfusion injury<sup>48, 49</sup>, in particular if they are supplemented with antioxidants<sup>50</sup>.

The principle of improving oxygen delivery by augmenting microcirculatory blood flow is based on the maintenance of adequate tissue perfusion either by the remaining blood flow in an incompletely occluded arterial axis or by functional collateral vessels. Collateral vascularization varies between species, individuals, organs and even within organs<sup>51-53</sup>. Most of the existing experimental studies that report a beneficial effect of artificial oxygen carriers on critical ischemia due to arterial occlusion, including those cited in this review, relied on the presence of a functional collateral vasculature. Its importance was highlighted by Rebel et al who found that hemodilution with an oxygen-carrying solution after cerebral artery occlusion was able to increase oxygen delivery in the collateralized cortex but not in the caudate nucleus, which is an end artery territory<sup>54</sup>. The growth of the collateral vasculature, a process termed arteriogenesis, is triggered by a chronic impairment of axial, anatomical blood supply<sup>55</sup>. The collateral vascularization acts as a lifeline when it comes to a complete shut down of the anatomical perfusion, and the development of therapies that aim at enhancing collateral vascularization has gained great scientific interest in the recent years<sup>56</sup>. It is not unlikely that artificial oxygen carriers

will be playing a pivotal role in the therapeutic concept of oxygenating critically ischemic tissue via a collateralized vasculature in the near future.

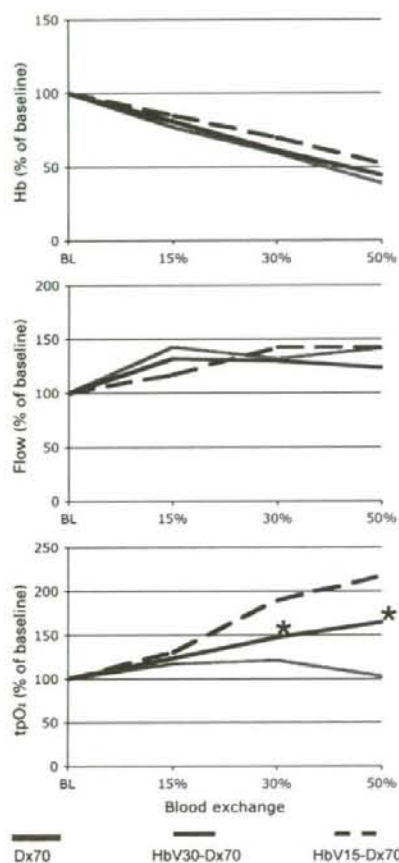


Fig. 3. Mean arterial Hb concentration, and mean arteriolar blood flow and partial oxygen tension in the critically ischemic tissue before and after stepwise isovolemic hemodilution with 6% dextran 70 (Dx70) and HbV dissolved in Dx70. The P50 of HbVs was 30 mmHg (HbV30-Dx70) or 15 mmHg (HbV15-HbV). \* $p < 0.05$  vs. other groups. In spite of decreasing Hb concentrations, tissue oxygen tension increases with every step of hemodilution with HbV solutions but not with Dx70. Tissue oxygenation is better for HbV with higher oxygen affinity. Redrawn from Contaldo et al<sup>20</sup>.

#### To make the HBOC increase oxygen delivery to the tissue in need

If the vesicles did not contain Hb, oxygenation and tissue survival in the critically ischemic tissue could not be improved although rheological properties and

microcirculatory improvements were similar to the oxygen-carrying vesicle (HbV) solutions<sup>20</sup>. The oxygenation in the critically ischemic tissue was correlated with the HbV concentration in the circulating blood but not with the total Hb concentration (RBC-bound Hb plus HbV-bound Hb)<sup>30</sup>. Furthermore, the contribution of HbV-bound oxygen to the total oxygen extracted by the ischemic tissue was estimated to be less than 10% even at high HbV concentrations<sup>20</sup>. These data suggest that the HbV solution acts rather by promoting the release of RBC-bound oxygen to the ischemic tissue in terms of an oxygen therapeutic than by enhancing the oxygen-carrying capacity in terms of a RBC substitute. The HbVs circulate in the plasma phase, thus interfering with the oxygen delivery from the RBCs to the tissue<sup>30,36</sup>. According to the Stokes-Einstein equation, the diffusivity of oxygen is inversely proportional to the size of the plasma-bound oxygen-carrying compound and the viscosity of the plasma suspension. Furthermore, oxygen diffusivity is negatively affected by the oxygen affinity of the oxygen carrier, and high oxygen affinity shifts oxygen release towards the downstream direction<sup>23,25,37</sup>. In our studies, we have repeatedly demonstrated that increasing the size and oxygen affinity of the oxygen carrier as well as the plasma viscosity exerts a positive effect on the oxygenation in the critically ischemic tissue<sup>21,22,38</sup>. This strongly suggests that the benefit was obtained by the maintaining oxygen content high in the blood entering the critically ischemic tissue, which is achieved by impeding unnecessary oxygen loss to the normoxic tissue in the upstream vasculature, whereas the increased oxygen retention is over-ruled by the high oxygen tension gradient between blood and tissue being present in a hypoxic environment. The result is a net re-distribution of oxygen in favor of the critically ischemic tissue, which is the more efficient the more oxygen is kept intraluminally upstream. In our model, the upstream oxygen loss was estimated at 40-50%<sup>18,36</sup>. It may be even higher in species with higher arterial oxygen saturation (SaO<sub>2</sub> is approximately 80% in our model), or in tissues with a higher rate of oxygen extraction.

Equipped with the above-mentioned physicochemical requirements, the HbVs were able to improve microcirculation, oxygenation and tissue survival, and to attenuate hypoxia-related inflammation in the critically ischemic tissue without necessitating the microcirculatory benefit obtained by hemodilution and volume expansion (Fig. 4.)<sup>21</sup>. This was accomplished in terms of a topline infusion, which is a more appropriate mode of application in the clinical setting because blood exchange and adverse effects related to hypervolemia can be avoided.

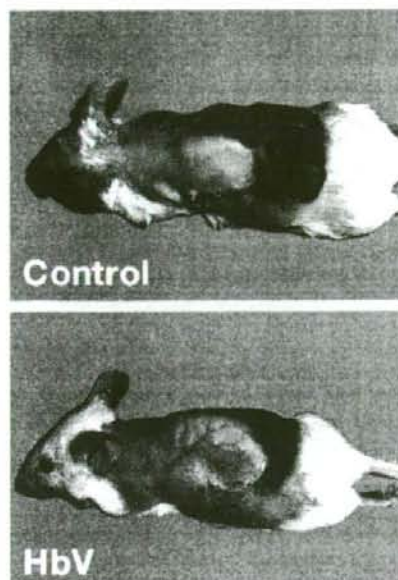


Fig. 4. Cranially based bilateral skin flaps were raised on the dorsum of mice. In the untreated control animals, about the distal two thirds of the flap surface necrotized due to critical ischemia (above). Flap survival was markedly improved after topload infusion of HbV dissolved in saline (Hb concentration 10g/dl, 25% total blood volume (below).

### Summary

Based on a large set of experimental data, the optimal profile of an HBOC solution determined to improve oxygenation, functionality and integrity of critically ischemic tissue can be outlined as follows:

- 1) The hemoglobin compound should be of a large diameter in order to prevent vasoconstriction due to extravasation.
- 2) The viscosity of the solution should be high in order to promote shear stress-related vasodilation and to diminish leukocyte-endothelium interactivity.
- 3) The oxygen affinity of the HBOC should be high in order to improve oxygen distribution, which is also positively influenced by increasing the size of the Hb compounds and the viscosity of the Hb solutions.

Furthermore, the efficacy of HBOC solutions are greatly dependent on a functional collateral vascularization of the ischemic tissue.

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