

Table 3. Clinical chemistry and immunological tests (Blood collection tube containing clot activator, inert barrier material, and thrombin)

Analytes	Units	CLIA limits	Blood+Saline			Blood+HBV			
			+Saline (Control)	+Dex	IF*	+Saline	IF*	+Dex	IF*
Total protein	g/dL	± 10%	5.0	4.8	none	14.0	↑	5.0	none
Albumin	g/dL	± 10%	3.3	3.2	none	4.3	↑	3.2	none
Total bilirubin	mg/dL	± 0.4mg/dL or ± 20%	0.1	0.1	none	Impossible	×	0.1	none
AST	IU/L	± 20%	9	11	↑	Impossible	×	11	↑
ALT	IU/L	± 20%	6	8	↑	Impossible	×	7	none
γ-GTP	IU/L	± 20%	8	7	none	Impossible	×	7	none
LDH	IU/L	± 20%	113	110	none	260	↑	117	none
LAP	IU/L	ND, ± 20%	61	62	none	59	none	63	none
CK	IU/L	± 30%	48	47	none	55	none	49	none
ChE	IU/L	ND, ± 20%	239	240	none	225	none	244	none
Urea nitrogen	mg/dL	± 2 mg/dL or ± 9%	5.9	6.1	none	0.2	↓	5.8	none
Creatinine	mg/dL	± 0.3 mg/dL or ± 15%	0.39	0.40	none	1.90	↑	0.5	none
Uric acid	mg/dL	± 17%	2.6	2.6	none	2.6	none	2.7	none
Total cholesterol	mg/dL	± 10%	127	123	none	337	↑	121	none
Cholesterol ester	mg/dL	ND, ± 20%	95	93	none	245	↑	92	none
Free cholesterol	mg/dL	ND, ± 20%	32	30	none	92	↑	29	none
Triglyceride	mg/dL	± 25%	89	79	none	84	none	70	none
phospholipid	mg/dL	ND, ± 20%	161	160	none	232	↑	157	none
Free fatty acid	mEQ/L	ND, ± 20%	0.07	0.08	none	0.09	↑	0.14	↑
HDL-C	mg/dL	ND, ± 20%	40	41	none	40	none	43	none
Lipoproteins	mg/dL	± 30%	14	9	↓	13	none	4	↓
K ⁺	mEQ/L	± 0.5 mmol/L	2.4	2.4	none	2.5	none	2.7	none
Ca ²⁺	mg/dL	± 0.25 mmol/L	6.1	6.1	none	6.0	none	6.1	none
Inorganic phosphate	mg/dL	ND, ± 20%	1.9	1.9	none	2.3	↑	2.2	none
CRP	mg/dL	ND, ± 20%	≤ 0.02	≤ 0.02	none	0.08	↑	≤ 0.02	none
Ferritin	ng/mL	ND, ± 20%	3.1	2.6	none	2.7	none	2.6	none
Haptoglobin	mg/dL	ND, ± 20%	77	82	none	121	↑	83	none
IgG	mg/dL	± 25%	736	729	none	670	none	748	none
IgM	mg/dL	ND, ± 20%	89	85	none	60	↓	87	none
HBs antigen	IU/mL	positive or negative	< 0.05	< 0.05	none	< 0.05	none	< 0.05	none
HBs antibody	mIU/mL	positive or negative	< 10.0	< 10.0	none	< 10.0	none	< 10.0	none
HCV antibody		positive or negative	0.0	0.0	none	0.0	none	0.0	none

AST: aspartate aminotransferase, ALT: alanine aminotransferase, γ-GTP: γ-glutamyltranspeptidase, LDH: lactate dehydrogenase, LAP: leucine aminopeptidase, CK: creatine kinase, ChE: cholinesterase, HDL-C: high density lipoprotein cholesterol, CRP: C-reactive protein, HBs: hepatitis B surface, HCV: hepatitis C virus. * IF means interference. (↑) overestimation, (↓) underestimation, (none) no interference.

Table 4. Coagulation fibrinolysis examination

Analytes	Units	CLIA limits	Blood+Saline			Blood+HbV			
			+Saline (Control)	+Dex	IF*	+Saline	IF*	+Dex	IF*
APTT	second	± 15%	38.5	41.2	none	Impossible	×	40.8	none
PT	second	± 15%	12.2	12.2	none	Impossible	×	11.9	none
fibrinogen	mg/dL	± 20%	157	149	none	Impossible	×	161	none
ATIII	%	ND, ± 20%	69	67	none	82	↑	70	none
vWF antigen	%	ND, ± 20%	48	34	↓	Impossible	×	43	none
vWF activity	%	ND, ± 20%	52	24	↓	Impossible	×	21	↓
Total PAI-1	ng/mL	ND, ± 20%	6	15	↑	3	↓	12	↑
FDP	μg/mL	ND, ± 20%	≤ 2	≤ 2	none	≤ 2	none	≤ 2	none
D-dimer	μg/mL	ND, ± 20%	0.22	0.2	none	≤ 0.10	↓	0.18	none

APTT: Activated partial thromboplastin time, PT: Prothrombin time, ATIII: Antithrombin III, PAI-1: plasminogen activator inhibitor-1, FDP: fibrinogen degradation products. * IF means interference. (↑)overestimation, (↓)underestimation, (none) no interference.

Table 5. Blood sugar tests

Analytes	Units	Blood+Saline			Blood+HbV			
		+Saline (Control)	+Dex	IF*	+Saline	IF*	+Dex	IF*
Glucose	mg/dL	65	66	none	57	none	69	none

Analytes	Units	Blood+Saline		Blood+HbV	IF
Hb _{A1C}	%	4.8		4.8	none

Hb_{A1C}: glycated hemoglobin. * IF means interference. (↑)overestimation, (↓)underestimation, (none) no interference.

な測定値が得られた。遊離コレステロール、フェリチン、ALT、ASTの干渉作用は試験管によるため、検体数を増やして確認する必要がある。採血管の種類に依らず共通して干渉作用のある項目は、遊離脂肪酸とリボプロテインの2項目であった。血液にDexを添加した検体でもリボプロテインの低下を認めるため、脂質粒子として血漿中に存在するリボプロテインが、Dex添加により凝集して一部が沈降することで低値となったものと考えられる。遊離脂肪酸は血液にHb小胞体とDexを共存させた検体でのみ増大し、血液にHb小胞体あるいは血液にDexを添加した検体では干渉を認めなかった。このことから、Hb小胞体にDexを添加することにより遊離脂肪酸が増大したと考えられ、遊離脂肪酸についてはDexを添加しない方が正確な測定値が得られている。

凝固線溶検査ではHb小胞体の存在によりATIIIで上昇、トータルPAI-1で低下を示し、その他FDP、D-ダイマーを除くすべての検査で測定不能と判断された (Table 4)。一方、Hb小胞体浮遊血液にDexを添加し遠心分離で除去した血漿では、vWF活性の低下とトータルPAI-1の上昇を除き干渉作用を回

避できた。この2項目では、Hb小胞体の有無に依らず血液にDexを添加した場合にも同程度のvWF活性の低下とトータルPAI-1の上昇を認めることから、Dexの添加が干渉作用の原因と考えられる。これらの項目については他の方法を模索する必要がある。糖尿病関連検査に関してはグルコース、Hb_{A1C}ともにHb小胞体の影響を受けずに測定可能であった (Table 5)。本研究では、一回の測定である多くの項目でHb小胞体の干渉作用を回避できる効果が示された。生化学・免疫学検査や凝固線溶検査は人工酵素運搬体の安全性を評価する上でも重要な検査項目であり²⁰⁾、今後はこの方法で測定検体を増やし、統計的に信頼性を解析すると共に、Hb小胞体の投与が想定される各種病態における血液検体における評価も必要に応じて実施する必要がある。

4. 結論

Hb小胞体が混在する血液検体では、通常の遠心により血液検査に適した血漿を得ることができず、多くの項目で測定が干渉される。一方、Dex (分子量400~500 kDa)の添加 (終濃度: 2.6g/dl)により、従来通りの遠心で血清または血漿が得られる。

生化学・免疫学検査, 凝固線溶検査, 糖尿病関連検査の大部分の検査項目について干渉作用なく測定できることが確認され, Hb小胞体投与後の血液検査が容易になると考える。ただし, Dex添加の影響により生化学検査ではリポ蛋白質 (A) の低下, 凝固検査ではvWF活性の低下, トータルPAI-1の上昇が見られているので, これらの項目については注意を要す。

謝辞

本研究は, 厚生労働科学研究費補助金 (医薬品・医療機器等レギュラトリーサイエンス総合研究事業) によって行われた。記して謝意を表す。

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Hemoglobin-vesicle, a cellular artificial oxygen carrier, that fulfils the physiological roles of the red blood cells structure

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Abstract Hb-vesicles (HbV) are artificial O₂ carriers encapsulating concentrated Hb solution (35 g/dL) with a phospholipid bilayer membrane (liposome). The concentration of the HbV suspension is extremely high ([Hb] = 10 g/dL) and it has an O₂ carrying capacity that is comparable to that of blood. HbV is much smaller than RBC (250 vs. 8000 nm), but it recreates the functions of RBCs; (i) the slower rate of O₂ unloading than Hb solution; (ii) colloid osmotic pressure is zero; (iii) the viscosity of a HbV suspension is adjustable to that of blood; (iv) HbV is finally captured by and degraded in RES; (v) co-encapsulation of an allosteric effector to regulate O₂ affinity; (vi) the lipid bilayer membrane prevents direct contact of Hb and vasculature; (vii) NO-binding is retarded to some extent by an intracellular diffusion barrier, and HbV does not induce vasoconstriction. (viii) Both RBC and HbV can be a carrier of not only O₂ but also exogenous CO. However, HbV has limitations such as a shorter functional half-life when compared with RBCs. On the other hand, the advantages of HbV are that it is pathogen-free and blood-type-antigen-free; moreover, it can withstand long-term storage of a few years, none of which can be achieved by the RBC transfusion systems.

1 Introduction

Biconcave RBCs deform to a parachute-like configuration to flow through a narrower capillary. This profile is believed to be effective to increase the surface-to-volume ratio and stir the intracellular viscous Hb solution to facilitate the gas exchange. On the other hand, physicochemical analyses have revealed that O₂ unloading of Hb is significantly retarded by compartmentalization in RBC. Why has

nature selected such an inefficient cellular structure for gas transport? Interestingly, some of the answers to this question have been revised by the research of blood substitutes. They are, (i) retardation and targeting of O_2 unloading at microcirculation to avoid autoregulatory vasoconstriction; (ii) reduction of a high colloidal osmotic pressure, COP, of an Hb solution to zero, to increase blood Hb concentration; (iii) rheology control of blood, a RBCs dispersion, to a non-Newtonian viscous fluid; (iv) prevention of extravasation or excretion through renal glomeruli; (v) preservation of the chemical environment in cells, such as the concentrations of electrolytes and enzymes; (vi) prevention of direct contact of toxic Hbs and endothelial cell lying, and (vii) modulation of reactions with NO as an endothelium derived relaxation factor, EDRF. These observations reassure the importance of the cellular structure of RBCs to design Hb-based oxygen carriers.

Hb-vesicles (HbV) are artificial O_2 carriers encapsulating concentrated Hb solution (35 g/dL) with a phospholipid bilayer membrane [1]. Concentration of the HbV suspension is extremely high ([Hb] = 10 g/dL, [lipids] = 6 g/dL, volume fraction, ca. 40 vol%) and it has an oxygen carrying capacity that is comparable to that of blood. In this review paper, we summarize the characteristics of HbV that can fulfill some of the physiological roles of the cellular RBC structure.

2 Structural stability and suspension properties

Many people think liposomes are unstable capsules. However, it depends on the lipids and the composition. In the case of RBCs, the sophisticated cytoskeleton network structure stabilizes the cellular structure. However, hypotonic hemolysis easily occurs. We confirmed that HbV are more resistant than RBCs to hypotonic shock, freezing by liquid N_2 and thawing, enzymatic attack (phospholipase A_2) [2], and a shear stress ($1500 s^{-1}$). Moreover, it can be stored at room temperature over two years [3]. In spite of such high stability, we confirmed with animal experiments that HbV are eventually captured by reticuloendothelial system (RES) and degraded promptly within 2 weeks without decomposing (hemolysis) in blood circulation [4]. Phospholipid vesicles for the encapsulation of Hb would be beneficial for heme detoxification through their preferential delivery to the RES, a physiological compartment for degradation of senescent RBCs, even at doses greater than putative clinical doses [5].

Colloid osmotic pressure (COP) is an important factor to determine blood volume in the body. Hb solution (5 g/dL) showed the COP of 16 Torr [6]. Polymerization of Hb reduces COP depending on the resulting molecular weight. PEG-conjugated Hb shows the largest COP, which is about 3 times higher than blood (ca. 25 Torr) due to the highly hydrated PEG chains [7]. On the other hand, both HbV and washed RBCs showed 0 Torr because of the suspension of large particles: the number of total particles of HbV is less than 1/100 of the number of Hb molecules at the same Hb concentration [6]. COP acts in opposition to hydrostatic

pressure to balance the distribution of fluid between blood and interstitial compartments [7]. COP is a colligative property, depending proportionally on the concentration of protein exerting the force and specifically on the macromolecular properties of that protein. Solutions with high COP cause significant transcapillary filtration of water in the direction from the interstitial space into the vascular lumen. An increase in blood volume is advantageous to increase cardiac output for resuscitation, though the composition of other components of blood and tissue will also be compromised. HbV, on the other hand, does not have an oncotic effect, and the particle should be suspended in a plasma expander (plasma substitute, water-soluble polymer). The COP of the resulting suspension is identical to that of the suspending medium. When HbV is suspended in 5% rHSA, the suspension shows 20 Torr at any Hb concentration. HbV can create a suspension of $[\text{Hb}] = 10 \text{ g/dL}$ at the physiologic COP, that cannot be attained easily by other chemically modified Hb solutions.

The HbV suspended in rHSA ($[\text{Hb}] = 10 \text{ g/dL}$) was nearly Newtonian [8]. Other plasma substitute polymers -hydroxyethyl starch (HES), dextran (DEX), and modified fluid gelatin (MFG)- induced HbV flocculation, possibly by depletion interaction, and rendered the suspensions as non-Newtonian with a shear-thinning profile. These HbV suspensions showed a high storage modulus (G') because of the presence of flocculated HbV. However, HbV suspended in rHSA exhibited a very low G' . The viscosities of HbV suspended in DEX, MFG, and high-molecular-weight HES solutions responded quickly to rapid step changes in shear rates of $0.1\text{-}100 \text{ s}^{-1}$ and a return to 0.1 s^{-1} , indicating that flocculation is both rapid and reversible. The HbV suspension viscosity was influenced by the presence of plasma substitutes. The HbV suspension provides a unique opportunity to manipulate rheological properties for various clinical applications.

3 The rate of O_2 -unloading, and NO- and CO-bindings

The O_2 -release from flowing HbVs was examined using an O_2 -permeable, fluorinated ethylenepropylene copolymer tube (inner diameter, $28 \mu\text{m}$) exposed to a deoxygenated environment [9]. Measurement of O_2 release was performed using an apparatus that consisted of an inverted microscope and a spectrophotometer, and the rate of O_2 release was determined based on the visible absorption spectrum in the Q band of Hb. HbVs and human RBCs were mixed in various volume ratios at $[\text{Hb}] = 10 \text{ g/dl}$, and the suspension was perfused at the centerline flow velocity of 1 mm/s through the narrow tube. The mixtures of cell-free Hb solution and RBCs were also tested. Because HbVs were homogeneously dispersed, increasing the volume of the HbV suspension resulted in a thicker marginal RBC-free layer. Irrespective of the mixing ratio, the rate of O_2 release from the HbV/RBC mixtures was similar to that of RBCs alone. On the other hand, the addition of 50 vol% of acellular Hb solution to RBCs significantly enhanced the rate of deoxygenation.

This difference between the HbV suspension and the acellular Hb solution should mainly be due to the difference in the particle size (250 vs. 7 nm) that affects their diffusion for the facilitated O_2 transport. It has been suggested that faster O_2 unloading from the HBOCs is advantageous for tissue oxygenation. However, this concept is controversial with regard to the recent findings, because an excess O_2 supply would cause autoregulatory vasoconstriction and microcirculatory disorders. We confirmed that HbVs do not induce vasoconstriction and hypertension. This is not only owing to the reduced inactivation of NO (see below) but also possibly due to the moderate O_2 release rate that is similar to RBCs.

One of the important roles of the RBC structure is the retardation of NO-binding. However, the mechanism has been controversial, whether, (i) an unstirred layer surrounding the cell should be the diffusion barrier, (ii) cytoskeletal cell membrane can be the diffusion barrier, or (iii) the highly concentrated Hb solution can be the barrier. To clarify the mechanism, we analyzed HbVs with different intracellular Hb concentrations, $[Hb]_{in}$, and different particle sizes using stopped-flow spectrophotometry [10]. In the case of different $[Hb]_{in}$ (1- 35 g/dl), NO-binding is retarded at a higher $[Hb]_{in}$, on the other hand, CO-binding did not show such retardation. In the case of different particle diameter of HbV at constant $[Hb]_{in}$, 35 g/dl, NO-binding is retarded with a larger particle, while the CO-binding did not show such changes. The computer simulations can recreate these tendencies. The two-dimensional concentration changes of free-NO and unbound free-hemes in one HbV at the $[Hb]_{in}$ was 1 g/dl showed that NO diffuses rapidly into HbV and the reaction proceeds quite homogeneously. On the other hand, HbV at $[Hb]_{in} = 35$ g/dl showed heterogeneous distribution. The concentration gradients of both NO and heme change from the interior surface to the core. The intrinsically fast NO-binding induces an intracellular diffusion barrier in a highly concentrated Hb solution, but not for the slow CO-binding. We can estimate the apparent binding rate constant of a particle encapsulating a 35-g/dl Hb with 8000-nm diameter, and they are similar to the reported values for RBCs. The mechanism of retardation of NO-binding is controversial, but from these data, we estimate that (i) rapid NO-binding reaction induces intracellular diffusion barrier, (ii) cellular membrane cannot be a barrier for gas diffusion, and (iii) a higher $[Hb]_{in}$ and larger size are the factors for retarding NO-binding. However, we have to admit that NO-binding of HbV is much faster than that of RBC. The absence of vasoconstriction for HbV cannot be explained with these data. We believe that small Hb would permeate across the endothelium to reach to the site where NO is produced and transferred to the smooth muscle. It was recently reported that dextran conjugated Hb permeates through the endothelium. However, much larger HbV would remain in the lumen and does not bind NO in the endothelium.

4 Resuscitation from hemorrhagic shock with HbV

The first attempt of HbV to restore the systemic condition after hemorrhagic shock was conducted using anesthetized Wistar rats. After 50% blood withdrawal, the rats showed hypotension and considerable metabolic acidosis and hyperventilation. They received HbV suspended in rHSA, shed autologous blood (SAB), or rHSA alone. The HbV group restored mean arterial pressure, similar to the SAB group, which was significantly higher than the rHSA group. No remarkable difference was visible in the blood gas variables between the resuscitated groups. However, two of eight rats in the rHSA group died before 6 h [11]. After removing the catheters and awakening, the rats were housed in cages for up to 14 days. The HbV group gained body weight; the reduced Hct returned to the original level in 7 days, indicating elevated hematopoiesis. Both groups showed transient elevation of AST and ALT at 1 day. Splenomegaly was significant in the HbV group at 3 days because of the accumulation of HbV. However, it subsided within 14 days. Histopathological observation indicated that a significant amount of HbV accumulated in the spleen macrophages, and complete disappearance within 14 days. These results indicate that HbV is useful as a resuscitative fluid for hemorrhagic shock. Its performance is comparable to that of SAB. Similar experiments using beagles have shown one-year survival after resuscitation with HbV.

The above elevations of AST and ALT after resuscitation with HbV or RBC indicate the systemic reperfusion injury. Recent reports on cytoprotective effects of exogenous CO urged us to test infusion of CO-bound HbV and RBC in hemorrhagic-shocked rats to improve tissue viability [12]. Using the similar model, hemorrhagic shocked Wistar rats received CO-HbV, CO-RBC, O₂-HbV, or O₂-RBC suspended in 5% rHSA. All groups showed prompt recovery of blood pressure and blood gas parameters, and survived for 6 h of observation period. Plasma AST, ALT and LDH levels were elevated at 6 h in the O₂-HbV and O₂-RBC groups. They were significantly lower in the CO-HbV and CO-RBC groups. Blood HbCO levels (26–39%) decreased to less than 3% at 6 h while CO was exhaled through the lung. Both HbV and RBC gradually gained the O₂ transport function. Collectively, both CO-HbV and CO-RBC showed a resuscitative effect and reduced oxidative damage to organs. Adverse and poisonous effects of CO gas were not evident for 6 h in this experimental model. Further study is necessary to clarify the neurological impact of a longer observation period, though the results suggest a possible new clinical indication.

In conclusion, HbV can mimic the functions of RBCs. However, the half-life of HbV is much shorter than that of RBCs, and limits their use. On the other hand, the advantages of HbV are that it is pathogen-free and blood-type-antigen-free; moreover, it can withstand long-term storage of a few years, none of which can be achieved by the RBC transfusion systems. We continue further development of HbV aiming at the eventual realization and contribution to the clinical medicine.

Acknowledgments This work is supported by Health and Labour Sciences Research Grants (Health Science Research Including Drug Innovation), Ministry of Health, Labour and Welfare, Japan (H.S., H.H., E.T., K.K.), and Grants in Aid for Scientific Research from the Japan Society for the Promotion of Science (B19300164) (H.S.).

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Static Structures and Dynamics of Hemoglobin Vesicle (HbV) Developed as a Transfusion Alternative

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ABSTRACT Hemoglobin vesicle (HbV) is an artificial oxygen carrier that encapsulates solution of purified and highly concentrated (ca. 38 g dL⁻¹) human hemoglobin. Its exceptionally high concentration as a liposomal product (ca. 40% volume fraction) achieves an oxygen-carrying capacity comparable to that of blood. We use small-angle X-ray scattering (SAXS) and dynamic light scattering (DLS) to investigate the hierarchical structures and dynamics of HbVs in concentrated suspensions. SAXS data revealed uni-lamellar shell structure and internal density profile of the artificial cell membrane for Hb encapsulation. The SAXS intensity of HbV at scattering vector $q > 0.5 \text{ nm}^{-1}$ manifests dissolution states of the encapsulated Hbs in the inner aqueous phase of the vesicle having ca. 240 nm diameter. The peak position as well as the height and width of static structure factor of Hb before and after encapsulation are almost identical, demonstrating the preserved protein-protein interactions in the confined space. To overcome multiple scattering from turbid samples, we employed thin layer-cell DLS combined with the so-called brute-force and echo techniques, which allows us to observe collective diffusion dynamics of HbVs without dilution. A pronounced slowdown of the HbV diffusion and eventual emergence of dynamically arrested state in the presence of high-concentration plasma substitutes (water-soluble polymers), such as dextran, modified fluid gelatin, and hydroxyethyl starch, can be explained by depletion interaction. A significantly weaker effect of recombinant human serum albumin on HbV flocculation and viscosity enhancement than those induced by other polymers is clearly attributed to the specificity as a protein; its compact structure efficiently reduces the reservoir polymer volume fraction that determines the depth of the attractive potential between HbVs. These phenomena are technically essential for controlling the suspension rheology, which is advantageous for versatile clinical applications.

KEYWORDS: Hemoglobin vesicle (HbV), transfusion alternative, phospholipid bilayer membrane, small-angle X-ray scattering (SAXS), dynamic light scattering (DLS)

Introduction

Phospholipid vesicles, often called liposomes, encapsulating or embedding functional drugs, have long been investigated for their practical use as drug-delivery systems. They in part have already been approved for antifungal or anticancer therapy.¹ Hemoglobin vesicle (HBV), or liposome encapsulated Hb, is an artificial oxygen carrier that encapsulates a purified and highly concentrated Hb solution in a phospholipid vesicle.^{2,7} The safety and an oxygen-carrying capacity of HBV as a transfusion alternative have enthusiastically been evaluated in animal tests.^{7,9} Its exceptionally high concentration ($[Hb] = 10 \text{ g dL}^{-1}$; [lipids] = ca. 6 g dL^{-1}) compared to any other conventional liposomal products offers sufficient oxygen-carrying capacity comparable to that of blood. HBVs are now widening their uses, aimed at other novel clinical applications, such as the oxygenation of ischemic tissues and the *ex vivo* perfusion system.¹⁰

Physiological significance of the cellular structure of the red blood cell (RBC) is underlined by the retardation of entrapment of endogenous vasorelaxation factors (NO and CO),^{11,12} preservation of chemical environments, screening of high colloid osmotic pressure (COP) of a concentrated Hb solution, and so forth. By mimicking RBCs, HBV is equipped with the hierarchically organized cellular structures despite its far smaller size.^{2,3,7,9} Owing to this notable feature, serious side effects of molecular Hb that causes strong vasoconstriction can be avoided.^{13,14} Successful fabrication of the HBV fine particle was previously confirmed by indirect mathematical calculation of lamellarity and intracellular Hb concentration using the particle size and the total concentrations of the components in a dispersion, or microscopic observation of a dried sample.^{15,16} However, statistically valid, full structural characterization of HBV in a dispersion state remains to be done. In particular, microscopic internal structures of the phospholipid bilayer membrane whose composition is precisely optimized for Hb encapsulation and long-term preservation, and the dissolution state of the concentrated Hbs confined into the inner aqueous phase of the vesicle are of great interest. To access such structural details, we used small-angle x-ray scattering (SAXS).^{17,18}

On the one hand, the preservation or adjustment of COP is one of the important requirements for a transfusion alternative to sustain the blood circulation. In the human body, human serum albumin (HSA), most abundant plasma protein in our blood stream (dissolved at ca. 5 g dL^{-1}), preserves COP of blood (ca. 20 Torr).¹⁹ Since HBV suspended in saline solution does not contribute to COP of blood, HBV must be co-injected with solution of HSA or other plasma substitutes (water soluble polymers) for its clinical use. However, an addition of nonadsorbing polymers to a suspension of colloidal particles generally induces an aggregation tendency of colloidal particles, as known to occur for various particle systems, such as polystyrene beads, silica, liposomes, and RBCs.^{20,21} At high enough polymer volume fraction, it

often causes phase separation. A theoretical description on this intriguing phenomenon was first given by Asakura and Osawa,^{26,27} exclusion or depletion of small particles or polymer molecules from the region closely spaced large colloid particles lead to an effective attractive potential between the two large particles, increasing the overall disorder.^{26,31} This entropic driven force is of fundamental importance as well as technologically implicative, as highlighted by the practical use of nonadsorbing polymers as rheological modifiers for colloidal products. This is indeed valid for medical applications of HBVs; as we have shown, the combination of HBV and different plasma substitute solutions provide an opportunity to manipulate the suspension rheology.^{32,33}

From a physiological and medical point of view, high viscosity fluid is often advantageous for sustaining peripheral blood flow, giving shear stress on the vascular wall to facilitate the production of vasorelaxation factors, such as nitric oxide and prostacyclin.³²⁻³⁶ The HBV suspended in solution of recombinant HSA (rHSA) behave nearly as a Newtonian fluid,^{3,32,38} whereas other polymers, such as hydroxyethyl starch (HES), dextran (DEX), and modified fluid gelatin (MFG) medically used worldwide in medical treatments,³⁹⁻⁴¹ lead to non-Newtonian nature and hyperviscosity.³² This is possibly due to HbV flocculation induced by depletion interaction. To gain deeper insights into the underlying mechanism, we used thin layer-cell dynamic light scattering (TC-DLS)^{42,43} combined with brute-force⁴⁴ and echo^{43,45} techniques. This enables us to observe collective diffusion in a concentrated HBV suspension and its mixtures with plasma substitutes without dilution, overcoming the interference from multiple scattering of turbid samples. The results are not only implicative in interdisciplinary fields of soft-condensed matter physics and bio-chemistry, but of practical importance for a new class of forthcoming medical applications.

Experimental section

Materials. The HBV was prepared under sterile conditions, according to the previously reported procedures.^{15,46,47} Hbs are purified from outdated donated blood provided by the Japanese Red Cross Society (Tokyo, Japan). The Hb solutions for encapsulation were prepared from this stock solution by adjusting the concentration to 38 g dL^{-1} . The encapsulated purified Hb contained 14.7 mM pyridoxal 5'-phosphate (PLP; Sigma) as an allosteric effector in a molar ratio of PLP/Hb = 2.5. The lipid bilayer membrane for the Hb encapsulation comprises 1,2-dipalmitoyl-*sn*-glycero-3-phosphatidylcholine, cholesterol, and 1,5-bis-*O*-hexadecyl-*N*-succinyl-L-glutamate, mixed in the molar ratio of 5/5/1 (Nippon Fine Chemical Co. Ltd., Osaka, Japan) and 1,2-distearoyl-*sn*-glycero-3-phosphatidylethanolamine-*N*-poly(ethylene glycol) (NOF Corp., Tokyo, Japan) at 0.3 mol% of the total lipid. The HBVs were suspended in a physiologic saline solution at $[Hb] = 10 \text{ g dL}^{-1}$ (lipids) = ca. 6 g dL^{-1}) and were deoxygenated for storage with N_2 bubbling in vials.⁴⁸

The plasma substitutes used in this study are listed in Table 1. Recombinant human serum albumin (rHSA, $M_w = 67$ kDa, 25 g dL⁻¹) was a gift from Nipro Corp. (Osaka, Japan). A dextran (DEX) solution ($M_w = 40$ kDa, 10 g dL⁻¹ in a physiological saline solution) was purchased from Kobayashi Pharmaceutical Co. Ltd. (Osaka, Japan). Solutions of hydroxyethyl starch (HES) with different molecular weights were assayed; an HES₇₀ solution (Saline-HES, $M_w = 68$ kDa, 6 g dL⁻¹ in a physiological saline solution) was purchased from Kyorin Pharmaceutical Co. Ltd. (Osaka, Japan). An HES₁₃₀ solution (Voluven, $M_w = 130$ kDa, 6 g dL⁻¹ in a physiological saline solution) was a gift from Fresenius Kabi AG (Homburg v.d.H., Germany). An HES₂₀₀ solution (Hexتمد, $M_w = 670$ kDa, 6 g dL⁻¹ in a physiological Ringer lactate solution) was obtained from Hospira, Inc. (Lake Forest, IL). A modified fluid gelatin (MFG) solution (Gelofofusin, $M_w = 30$ kDa, 4 g dL⁻¹ in a physiological saline solution) was a gift from B. Braun Melsungen AG (Melsungen, Germany). As a standard for testing the accuracy of our dynamic light scattering (DLS) experiments, a pseudo-monodisperse microsphere with a nominal diameter of 0.209 μ m with a 0.011 μ m standard deviation (Polysciences, Inc., USA) was used.

For optimized experimental conditions, the concentration of the HBV dispersions was further adjusted for small-angle X-ray scattering (SAXS) and dynamic light scattering (DLS) experiments by adding a saline solution (Otsuka Pharmaceutical Co. Ltd., Osaka, Japan) or solutions of plasma substitute, and these samples were immediately used for experiments.

and their model systems to examine their static structures. We used a SAXSess camera (Anton-Paar, Graz, Austria) attached to a PW3830 sealed-tube anode X-ray generator (PANalytical, Netherlands). The generator was operated at 40 kV and 50 mA. A Göbel mirror and a block collimator provide a focused monochromatic X-ray beam of Cu-K α radiation ($\lambda = 0.1542$ nm) with a well-defined shape. A thermostated sample holder unit (TCS 120, Anton Paar) was used to control the sample temperature. The 2D scattering patterns recorded by an imaging-plate (IP) detector (a Cyclone, Perkin Elmer, USA) were integrated into one-dimensional scattered intensities $I(q)$ as a function of the magnitude of the scattering vector $q = (4\pi/\lambda)\sin(\theta/2)$ using SAXSQuant software (Anton Paar), where θ is the total scattering angle. For all experiments, we monitored attenuated primary beam at $q = 0$ using a semi-transparent beam stop. All the measured intensities were semi-automatically calibrated for transmission by normalizing a zero- q primary intensity to unity. The background scattering contributions from capillary and solvent were corrected. The absolute intensity calibration was made by using water intensity as a secondary standard.⁴⁰

Dynamic light scattering (DLS). We used dynamic light scattering (DLS) to study the size and size distribution using a laboratory-built goniometer, equipped with single-mode fiber optics and an ALV single-photon detector (ALV-Laser Vertriebgesellschaft, Langen, Germany) for detection of a

time-dependent scattered intensity. A 8-mm cylindrical sample cell was immersed in a temperature-controlled index match bath filled with decalin solvent. The light source was a Verdi V5 diode laser from Coherent, with a wavelength of 532 nm with a maximum output of 5 W. The data acquisition was performed with an ALV 5000 multiple tau-digital correlator. The ALV-5000/E software package was used to calculate the intensity time-correlation functions.

Thin-layer cell dynamic light scattering (TC-DLS). In order to overcome the problems of multiple scattering from turbid samples caused by typically sub-micron particle size and their high concentration, we used a modified thin-layer cell dynamic light scattering (TC-DLS) technique.^{42,43} The TC-DLS apparatus was constructed based on an apparatus originally designed for static light scattering (SLS) on turbid colloidal dispersions,^{50,51} which was equipped with a flat cell with variable thickness of 15, 50 and 100 μ m, a single-mode fiber, and the ALV-5000 correlator (ALV-Laser GmbH, Langen Germany) for autocorrelation measurements of the time-dependent scattered light intensity via the fiber and the photomultiplier. A 10mW HeNe laser with a wavelength of 632.8 nm was used as a light source. The current setting allows the measurements of the scattered light between 15° and 45°.

Brute-force and echo DLS experiments for arrested media. We used brute-force ensemble averaging technique⁴⁴ in combination with echo DLS^{43,45} in the TC configuration to achieve a multi-speckle observation. The method allows us to pursue arrested slow dynamics of the HBV particles in

assumption is violated, that is, when equivalence of time-averaging with ensemble-averaging is not fulfilled, conventional DLS approaches based on a time-averaging of the intensity fluctuations of a single speckle become no longer valid. In order to achieve a proper ensemble averaging, we used for short time correlation times, the brute-force ensemble averaging, in which we monitored intensity fluctuations from many independent speckles, performing repeated short-time measurements for several hundreds of different sample cell configurations. For the measurements of the long-time tail of intensity correlation functions in the correlation time range of $\tau > 10$ sec, we used echo technique; if the intensity correlation function is measured with the sample cell precisely rotated, it allows to explore many independent sample configuration during one evolution of the rotation, avoiding a significantly longer time-averaging. In such an experiment, the ensemble averaged correlation function can be measured with relatively short averaging time. The echo peaks appear at multiples of $1/f$, where f is the frequency of the rotation, and the peak height slowly decays, reflecting the slow dynamics of the particles.

Table 1. Plasma Substitute Solutions and Their Physicochemical Properties.

Plasma substitute	M_w (kDa)	c (g dL ⁻¹)	COP (Torr)	R_g (nm)
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1	rHSA	67	5.0	19	2.84 ^a
2	HES ₇₀	68	6.0	34	5.96 ^a
3	HES ₁₀₀	130	6.0	35	6.83 ^a
4	HES ₆₇₀	670	6.0	27	12.95 ^a
5	DEX	40	10.0	44	4.96 ^a
6	MFG	30	4.0	44	5.50 ^a

rHSA, recombinant human serum albumin; DEX, dextran; HES, hydroxyethyl starch; MFG, modified fluid gelatin; COP, colloid osmotic pressure. ^a R_g for plasma substitutes except rHSA was obtained with Guinier plot of SAXS data obtained at $c = 1 \text{ g dL}^{-1}$, and that for rHSA was calculated by using Guinier plot¹⁷ against the form factor $P(q)$ obtained as an output of GIFT^{53,54} procedure for $c = 1 \text{ g dL}^{-1}$.

Results and Discussions

Particle characterization of HbV in a dilute dispersion.

The intensity autocorrelation function, $g_2(t)$, measured in the homodyne mode is connected to the normalized field correlation function, or the dynamic structure factor (DSF), $g_1(t)$, via the relation

$$g_2(t) = 1 + \beta [g_1(t)]^2 \quad (1)$$

attains nearly its theoretical maximum of $\beta - 1$. In Figure 1, we present particle characterization of the HbV in a dilute dispersion ($[\text{Hb}] + [\text{Lipids}] = 0.01 \text{ g dL}^{-1}$) as obtained by DLS. The effects of structure factor, $S(q)$, as well as hydrodynamic function, $H(q)$, are expected to be negligible at such low concentration. As a control, we tested pseudo-monodisperse microsphere with a nominal diameter of $0.209 \mu\text{m}$ (Polysciences, Inc., USA) to check the accuracy and the experimental broadening of our DLS experiments. Monodisperse spherical particles exhibits a single-exponential decay of $g_1(t)$

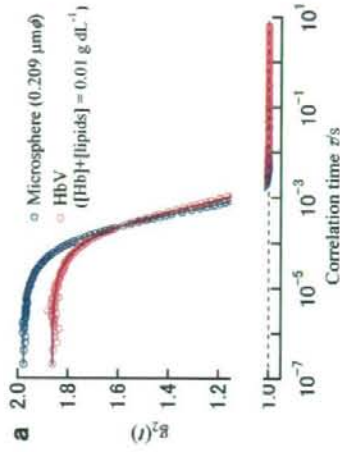
$$g_1(t) = \exp(-Dq^2t) \quad (2)$$

where the D is the diffusion constant. D can be related to the hydrodynamic radius R_H of the hard sphere via the Stokes-Einstein relation

$$D = \frac{k_B T}{6\pi\eta R_H} \quad (3)$$

where k_B is Boltzmann constant, T is temperature, and η is solvent viscosity. The intensity correlation functions, $g_2(t)$, of both diluted dispersions of the HbV and the microsphere exhibit single-step relaxation behavior and a rapid convergence to the baseline (unity). For particle systems having a rather narrow size distribution that typically show single-step relaxation behavior, the second-order cumulant analysis⁵⁵ is often employed. The technique can be used as a convenient and fast method for obtaining a

semi-quantitative measure of the mean size and the width of its distribution. In Figure 2b, we display the cumulant plot, namely, a natural logarithm of the field correlation function, $\ln[g_1(t)]$, of the same DLS data shown in Figure 2a. Despite a smaller intercept for the HbV ($\beta = 0.85$), which is probably due to absorption of the hemoprotein, the slower decay of $g_1(t)$ for the HbV reflecting larger averaged size is apparent in this plot. The $g_1(t)$ of the microsphere shows almost ideal linear behavior up to its long-time tail, indicating a quite narrow relaxation time distribution, whereas that of HbV represents still small but clear deviation from the straight line at large t , implying wider relaxation time distribution due to its size distribution. The cumulant analysis yielded $R_H = 14.3 \text{ nm}$ and 34.8% width of size distribution for HbV, and $R_H = 107 \text{ nm}$ and 6.6% width for the pseudo-monodisperse microsphere



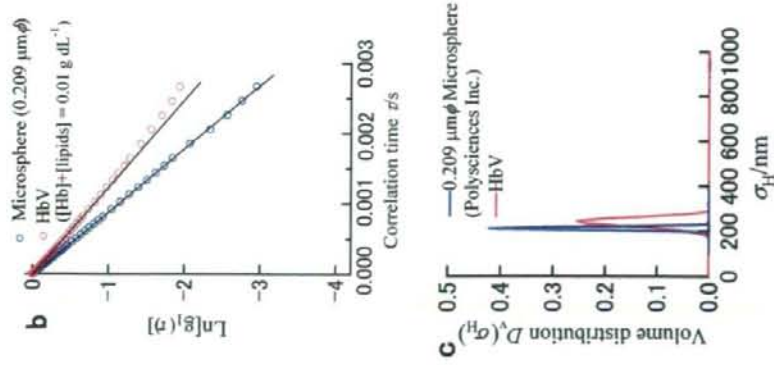


Figure 1: The particle characterization of HbV in a dilute dispersion as obtained by DLS. Intensity correlation functions, $g_2(t)$, of a dilute HbV dispersion ($[\text{Hb}] = 6.3 \times 10^{-3} \text{ g dL}^{-1}$) and a 0.027 wt% dispersion of pseudo-monodisperse microsphere with a nominal averaged diameter of 0.209 μm measured at 25 °C (a), the cumulative fit to the same DLS data (b), and the particle size distribution of the HbV and the microsphere, obtained by using optimized regularization technique (ORT).⁵⁶

For further quantitative discussion, we also carried out a more detailed mathematical analysis. We evaluated the size-distributions by using optimized regularization technique (ORT),⁵⁶ which relies on

(indirect) inverse Laplace transformation of the experimental field correlation function, $g_2(t)$, into the relaxation-rate distribution functions. Assuming a linear combination of single exponential functions, $g_2(t)$ of polydisperse systems may be given by

$$g_2(t) = \int_{-\infty}^{\infty} G(\Gamma) \exp(-\Gamma t) d\Gamma \quad (4)$$

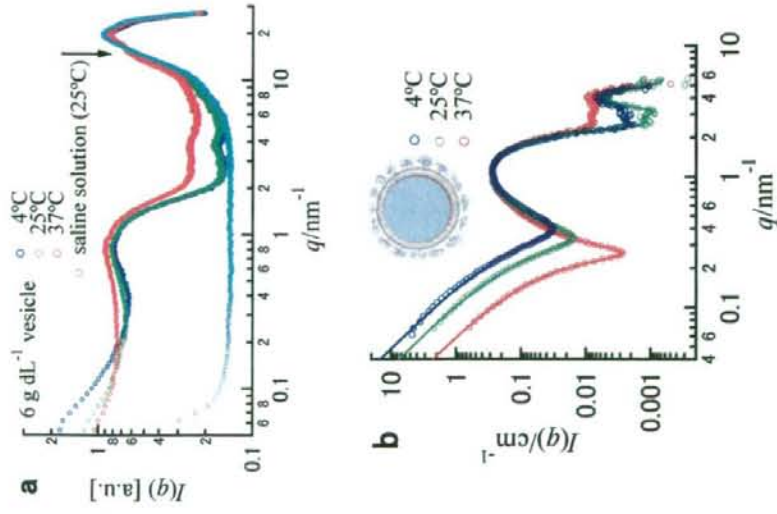
The exponent Γ is proportional to the diffusion constant D as $\Gamma = Dq^2$. For the actual ORT evaluation, eq.(4) is replaced with

$$g_2(t) = \int_{-\infty}^{\infty} D(r) W(r) \exp(-t/r) / r^2 dr \quad (5)$$

where $D(r)$ is the distribution function, $W(r)$ is the weighting function, and $\Gamma = 1/r$. $W(r) = 1$ gives intensity distribution, and for volume or mass distribution, $W(r) = r^3$. We present the deduced volume distribution function of hydrodynamic diameter $D_h(\sigma_h)$, where $\sigma_h = 2R_h$. The ORT analysis provided a spike-like size distribution for the microsphere, which confirms negligibly small experimental broadening of our DLS experiments for the present purpose. The analysis on the HbV dispersion yielded an averaged hydrodynamic diameter, σ_h , of 238 nm and a narrow size distribution with a 20 nm standard deviation in the volume distribution. The data demonstrate well controlled geometry of the HbV particles as molecular assembly.

Structural characterization of the phospholipid bilayer membrane for Hb encapsulation. Figure 2 shows the X-ray scattered intensities $I(q)$ for a 6 g dL^{-1} dispersion of the vesicles without Hb encapsulation at different temperatures, prepared as a counterpart model system of HbV. The raw small and wide angle scattering (SWAXS) data as obtained, shown in Figure 2a, confirm the absence of the so-called α -gel peak at $q \sim 15 \text{ nm}^{-1}$, corresponding to interchain spacing of $d = 0.42 \text{ nm}$, at all investigated temperatures. Although the α -gel-liquid crystalline phase transition temperature of DPPC is known to be 41 °C, this confirms a melted state of the hydrophobic chains in the lipid bilayers and high lateral fluidity of lipids even at 4 °C owing to the presence of cholesterol.

The (collimation corrected) absolute intensities after the background subtraction, $I(q)$, are displayed in Figure 2b as a function of temperature. As is well known, multilamellar stacks give equidistant peaks due to their structure factor. However, the low- q part ($q < 0.3 \text{ nm}^{-1}$) of $I(q)$ exhibits neither an inter-bilayer interference peak nor its faint signature like an undulation. This finding indicates that as we designed, the structure of vesicle is, at least for the most part, uni-lamellar.



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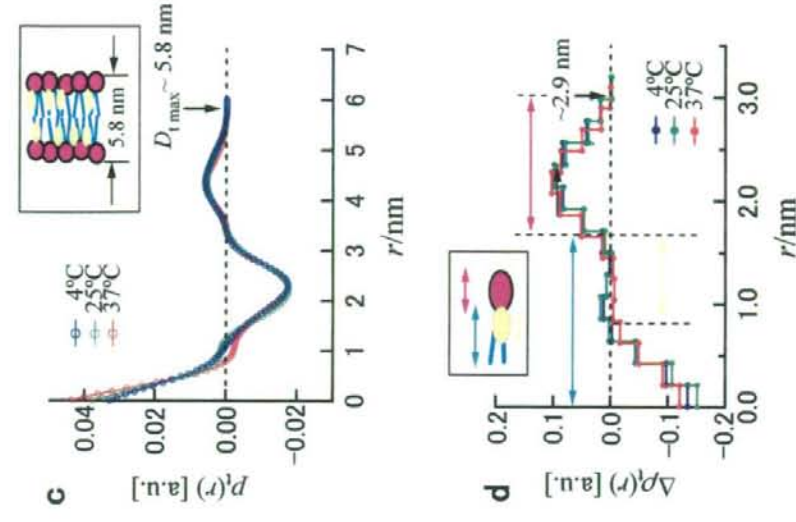


Figure 2: Structural characterization of a phospholipid bilayer membrane for Hb encapsulation as obtained by SAXS. Small and wide angle X-ray scattering (SWAXS) intensity in $0.05 \leq q/\text{nm}^{-1} \leq 27$ (a), collimation-corrected SAXS intensities on absolute scale (b), the thickness pair-distance distribution function, $p(r)$ (c), and electron density profile deconvoluted from $p(r)$ (d) of a 6 g dL⁻¹ dispersion of the vesicle for Hb encapsulation as a function of temperature. In the insets of panel c and d, the structure of the lipid bilayer is schematically shown. A light blue line and a pink ellipse respectively represent the hydrophobic chain and hydrophilic headgroup of the lipids, and a yellow ellipse stands for cholesterol.

The quantitative analysis of the bilayer thickness and internal density fluctuation is possible, while the maximum diameter of the vesicle (ca. 240 nm) by far exceeds the maximum resolution of our SAXS experiments. The theoretical descriptions of scattering functions from a multilamellar stack of bilayers can be summarized as follows.⁵⁷ Assuming monodisperse bilayers, the scattered intensity $I(q)$ can be written as the product of the form factor $P(q)$ of the bilayers and the structure factor $S(q)$ describing their spatial arrangement similarly to that of globular particle systems:

$$I(q) \propto P(q)S(q) \quad (6)$$

When the thickness of the bilayer is sufficiently smaller than the lengthscale of the remaining two other dimensions as well as the radius of curvature of the bilayer, the form factor $P(q)$ in eq.(6) can be replaced with the product of the so-called Lorenz factor, $1/q^2$, and the thickness form factor or scattering function, $P_1(q)$.⁵⁸

$$P_1(q) = (2\pi A/q^2)P_1(q) \quad (7)$$

where A is the area of the basal plane. Substituting $P_1(q)$ for $P(q)$, $I(q)$ in eq.(6) can be rewritten in the convenient form

$$I(q) \propto P_1(q)S(q)/q^2 \quad (8)$$

The thickness scattering function $P_1(q)$ is the cosine transformation of the thickness distance distribution

Fourier transformation (IFT)⁵⁹ to deduce $P_1(r)$ from the experimental $P_1(q)$.⁵⁸

$$P_1(q) = 2 \int_0^{\infty} P_1(r) \cos(qr) dr \quad (9)$$

In the case of the absence of interlamellar interference peaks and their signature (no bilayer stacking as to be expected for vesicle solutions), we can assume $S(q) = 1$ in eq.(6). Figure 2c presents the calculated thickness PDFF, $P_1(r)$, of the vesicle designed for Hb encapsulation. We have already shown that the surface modification with low density poly(ethylene glycol) (PEG) is quite efficient for long-term storage of the HbV, inhibiting intervesicular aggregation and fusion.^{48, 60} However, due to the low density of PEG for surface modification of the vesicle as the concentration of DSPE-PEG is as low as 0.3 mol% of the total lipids, we were not able to observe the effect of PEG in $P_1(r)$. Nevertheless, $P_1(r)$ deduced without using any geometrical model reveals the thickness of the bilayer excluding PEG layer to be ca. 5.8 nm, which is read out from the distance (r -value) where $P_1(r)$ goes to zero, as highlighted in Figure 2c. Recently, we studied static structure and molecular dynamics in PEG-lipid (DSPE-PEG) micellar solutions with different molecular weights of PEG, M_{PEG} , by means of SAXS and dielectric relaxation spectroscopy (DRS).⁶¹ The thickness of the hydrated PEG layer was estimated to be 8-9 nm for $M_{PEG} = 5000$. Due to different density and configuration, the extension of polymer chains in solution

may not necessarily be identical in the micelle and on the surface of the vesicle, it is apparent that the actual total bilayer thickness involving the surface PEG layer must be far greater than 5.8 nm.

The positive-negative-positive behavior of $P_1(r)$ when going from $r = 0$ to higher- r values is directly connected to the occurrence of positive and negative internal electron density layers within the bilayer. Theoretically, $P_1(r)$ is given by the convolution square of the electron density profile $\Delta\rho(r)$ perpendicular to the midplane of the bilayers, which is given by

$$P_1(r) = 2 \int_0^{\infty} \Delta\rho(r') \Delta\rho(r' + r) dr' \quad (10)$$

To extract more intuitive pictures of the internal structure of the bilayer, we performed a deconvolution procedure of the experimental $P_1(r)$ into $\Delta\rho(r)$ using a convolution square-root technique.⁶²⁻⁶⁴ In Figure 2d, we display $\Delta\rho(r)$ obtained from the deconvolution analysis of $P_1(r)$ shown in Figure 2c. The innermost (the smallest- r) negative density part apparently reflects hydrophobic chain of the lipids. The following plateau having nearly zero electron density fluctuation, or an identical electron density with that of solvent water including salt, indicates localized cholesterol molecules on the hydrophobic/hydrophilic interface.⁶⁵ A terminal hydroxyl group is expected to be oriented toward the hydrophilic site of the lipids. The positive density layer seen in $1.7 \leq r/\text{nm} \leq 2.9$ is attributed to the hydrophilic headgroup of the lipids. We note that the forward SAXS intensity is generally very sensitive forward intensities and different positions of the minimum in $I(q)$ at different temperatures as shown in Figure 2b sensitively reflect different contrast as a function of temperature. IFT and deconvolution analyses⁶²⁻⁶⁴ given in Figures 2c and 2d demonstrate the stable bilayer structure, which does not significantly depend on temperature.

Hierarchical structures of HbV and the solution state of the encapsulated Hbs. The HbV's are hierarchically organized cellular-type artificial oxygen carrier that encapsulates a concentrated Hb solution in phospholipid vesicles, one HbV particle containing ca. 30000 Hb molecules. Figure 3 shows the SAXS intensity, $I(q)$, of the concentrated HbV dispersion ($[Hb] = 10 \text{ g dl}^{-1}$, $[\text{solutes}] = 16 \text{ g dl}^{-1}$; volume fraction, ca. 40%). We successfully measured more than five orders of magnitude of the scattered intensity (ca. 3.5×10^5 time-different maximum and minimum intensities), covering the extremely wide q -range of $0.06 - 10 \text{ nm}^{-1}$, which was quite essential for exploring the internal structures of HbV at the molecular level.

First, we checked the concentration dependence of $I(q)$ by diluting the dense stock HbV dispersion ($[Hb] = 10 \text{ g dl}^{-1}$) with saline solution, and found virtually no concentration dependence of the shape of the scattering functions. This means that the effect of inter-particle interactions or static structure factor

1 $S(q)$, which is given as the Fourier transform of the total correlation function $[g(r)-1]$ and whose first
 2 peak position is expected to be $q \approx 0.025 \text{ nm}^{-1}$ when hard-sphere (HS) interaction and a 120nm radius
 3 are assumed, is not visible on the experimental $I(q)$ in our accessible q -range ($q > 0.06 \text{ nm}^{-1}$). Consistent
 4 with the DLS results, the forward intensity of the HBV dispersion exhibits a typical feature of some
 5 extent polydisperse spherical particles. In Figure 3, we added a theoretical scattering function calculated
 6 by accounting for the **averaged diameter** ($\sim 240 \text{ nm}$) and the size distribution obtained by DLS (green
 7 line, adjusted in height to the experimental intensity). Suppose that the HBV has homogeneous electron
 8 density distribution inside the particle, $I(q)$ should rapidly decrease proportional to q^{-4} , according to the
 9 theoretical curve for homogeneous spheres. However, we clearly observed an enhanced excess scattering
 10 in the regime $2 \leq q/\text{nm}^{-1} \leq 10$. This high- q excess component manifests the internal electron density
 11 fluctuations of the HBV particle, reflecting the shorter lengthscale structures of the encapsulated Hb
 12 solution and the lipid bilayer.

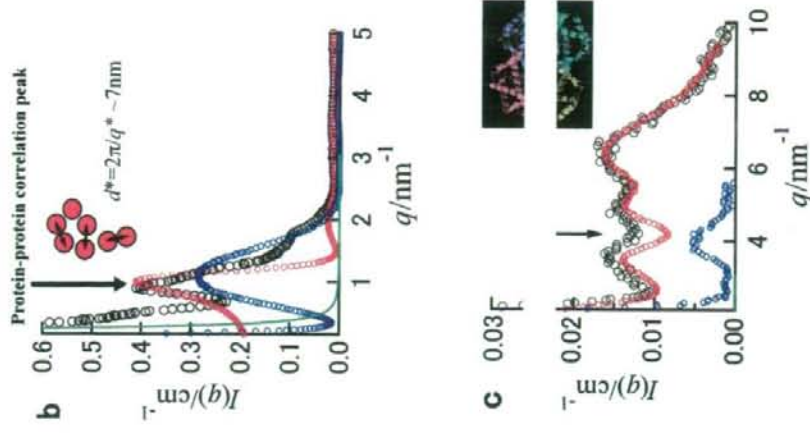
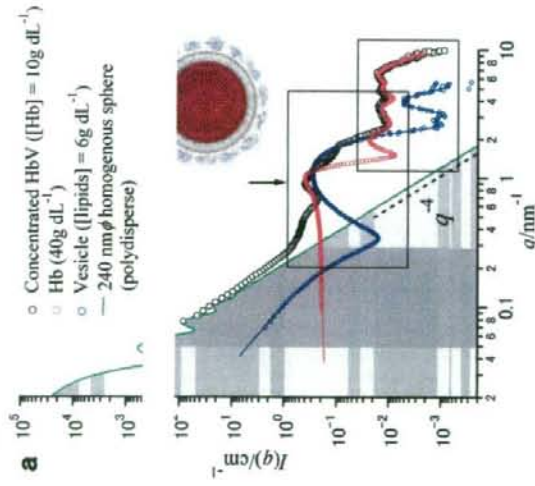


Figure 3: X-ray scattered intensity $I(q)$ in a log-log plot of the concentrated HBV dispersion ($[Hb] = 10$
 g dL⁻¹) at 25 °C in $0.06 \leq q/\text{nm}^{-1} \leq 10$ is shown on absolute scale. A schematic picture of HBV is inserted
 into the panel a as well as a simulated scattering curve of a solution of polydisperse spheres according to
 the DLS results. In the panels b and c, the enlarged views of the high- q range are displayed in a lin-lin
 plot to visualize the significant features of $I(q)$ for protein-protein correlation peak and microscopic
 intramolecular structure of the encapsulated Hbs. Molecular structure of Hb is inserted in the panel c.

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If we carefully look at the two specific q -ranges indicated by the two squares placed in Figure 3a, which are magnified in Figures 3b and 3c, we are able to access the information on the protein-protein interactions in the confined space of the HBV and the microscopic intra molecular structure of Hb molecules. As shown in Figures 3b and 3c, we found that the high- q part of $I(q)$ of the HBV is well approximated by a superposition of the experimental scattering functions of the concentrated Hb solution with identical concentration (ca. 38 g dL⁻¹) and of the phospholipids bilayer membrane (empty vesicles). Generally, for globular colloidal particle systems like proteins and micelles, the position, height, and width of the first peak of $S(q)$ strongly depends on the interparticle interactions determined e.g. by the radius, volume fraction, effective charge of the particles, a screening effect of electrostatic interaction, surface adhesion, and so forth.^{51, 61, 66-69} The details of the concentration and temperature dependence of the Hb-Hb interactions in relation to the biological functions of Hb will be reported elsewhere. Importantly, we found that the protein-protein correlation peak position as well as the height and width of the first peak of $S(q)$ of the encapsulated Hbs are almost identical to those of a normal Hb solution with identical concentration (Figure 3b). The finding suggests that confinement effects into a ca. 240 nm space are not very significant for the interparticle interaction potential of Hbs.

Figure 3c confirms the perfect coincidence of the scattering functions for the encapsulated and normal (bulk) Hbs in $2.5 \leq q/\text{nm}^{-1} \leq 10$, where the small difference between those of HBV and the normal Hb solution seen in $3 \leq q/\text{nm}^{-1} \leq 5$, highlighted by an arrow, can clearly be explained in terms of the contribution from the encapsulating vesicle. This implies unbiased intramolecular structures of Hb before and after its encapsulation into HBV.

Particle diffusion dynamics in a concentrated HBV dispersion. One of the most significant specificities of the HBV compared to any other conventional liposomal products is its extremely high concentration ([Hb] = 10 g dL⁻¹; [lipids] = 6 g dL⁻¹). This comes essentially from the requirement for achieving an oxygen-carrying capacity comparable to that of blood. As a result, the HBV dispersion for practical medical use is totally turbid due to high concentration and the sub-micron particle size. DLS techniques are often successfully used for observing diffusion processes of particle systems, thus being efficient for characterizing microscopic motion and inter particle interactions of particles. However, conventional DLS techniques that rely on a singly scattered signal are no longer applicable for such a dense, turbid system because of multiple scattering. In addition, absorption of hemoprotein is also a specific problem for the HBV system to be overcome. Therefore, we needed to efficiently reduce the scattering volume of the sample to observe microscopic motions of the HBV particles without diluting the samples. To overcome the obstacles, we used a modified thin-layer cell dynamic light-scattering (TC-DLS) technique.^{42,43} As shown in the inset of Figure 4a, the concentrated HBV ([Hb] = 10 g dL⁻¹)

dispersion inserted into the thin-layer cell (50 μm thick) is transparent as if it were a red cellophane sheet. The opposite side can be seen through the TC window, as 'HBV' written on a paper is readable without mistiness, and thus DLS experiments in the forward direction become possible. The total scattering angle in air was fixed to 41° for all the experiments, which corresponds to 29° in water, resulting in $q = 6.75 \times 10^3 \text{ nm}^{-1}$.

Figure 4 shows the concentration dependence of the normalized intensity correlation functions, $[g_s(t) - 1]/\beta$, of the concentrated HBV dispersions measured at $q = 6.75 \times 10^3 \text{ nm}^{-1}$, which is lower than an anticipated HBV-HbV correlation peak position $q \sim 0.025 \text{ nm}^{-1}$ (See Figure 5). With increasing concentration from [Hb] = 1.0 g dL⁻¹ to 5.0 g dL⁻¹, the short-time decay of $g_s(t)$ becomes faster, as expected for the corrective diffusion of all repulsively interacting hard-sphere (HS) systems. At the same time, we found the stretch of the long-time tail of $g_s(t)$, which may seem to indicate the occurrence of the gradual slowdown of the long-time behavior. With the further increase to [Hb] = 10 g dL⁻¹, in turn, the short-time decay of $g_s(t)$ becomes slower, which is opposite to what is anticipated for a repulsively interacting system, and may imply the existence of weak attractive (adhesive) potential between HBVs. We may need to perform further experimental works to seek to understand the details of the interaction potentials between the HBVs. Nevertheless, the present TC-DLS data confirm that $g_s(t)$ for the most concentrated stock HBV dispersion ([Hb] = 10 g dL⁻¹) rapidly converges to the baseline (unity) showing single-step behavior, which demonstrates, from a viewpoint of the particle diffusion dynamics, that the HBV dispersion is an ergodic system. The finding well supports good dispersion stability and proved long term preservation, owing to the applied surface modification of the HBV with poly(ethylene glycol) (PEG).

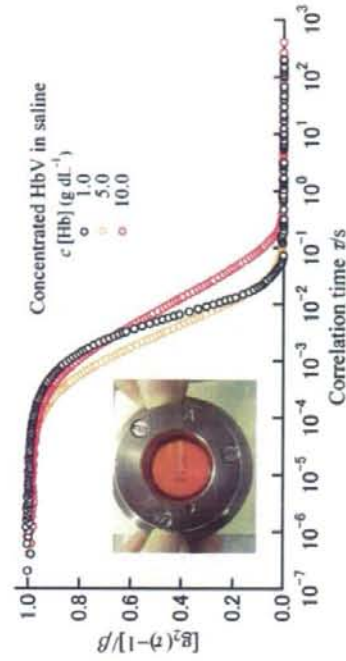


Figure 4: The normalized intensity correlation functions, $[g_2(r)-1]/\beta$, of the concentrated dispersions of the HbV at $[Hb] = 1.0, 5.0,$ and 10 g dL^{-1} , as obtained by a modified thin-layer cell dynamic light-scattering (TC-DLS) technique at $q = 6.75 \times 10^3 \text{ nm}^{-1}$.

The effect of plasma substitutes on HbV-HbV interactions. Since the HbV does not contribute to colloid osmotic pressure (COP) of blood, upon anticipated actual medical treatments, the HbV must be dispersed with aqueous solution of plasma substitutes (water-soluble polymers) to adjust COP. Recently, Sakai et al. reported the rheological properties of the HbV dispersion ($[Hb] = 10 \text{ g dL}^{-1}$) in a series of plasma substitute solutions with various molecular structures and molecular weights, such as recombinant human serum albumin (rHSA), dextran (DEX), modified fluid gelatin (MFG), and hydroxyethyl starch (HES).¹⁷ They found that among these polymers, only HbV suspended in rHSA behaves nearly as a Newtonian fluid. In contrast, other plasma substitutes, such as HES, DEX, and MFG, induce non-Newtonian behavior of the HbV dispersions in particular for high molecular weight HES. Microchannel flow experiments indicated that this is due to flocculation of HbV in the presence of plasma substitutes. To gain insights into the mechanism of the HbV flocculation, we use different DLS techniques in this section.

Simulated static structure factors with depletion interaction potential. The depletion interaction potential^{26,28} for hard sphere having the diameter σ in the presence of nonadsorbing polymers with the radius of gyration R_g can be approximated in the range of $\sigma < r < \sigma + 2R_g$ as²⁹

$$u(r) = -\eta_p k_B T \left[\frac{3}{2} \frac{1 + \xi}{\xi} \left(\frac{r}{\sigma} - 1 - \xi \right) \right] \quad (11)$$

where r is the center-of-mass to center-of-mass distance between the two particles, $\xi = 2R_g/\sigma$ is the size ratio, corresponding to the dimensionless range of the depletion attraction, η_p is the reservoir polymer volume fraction, which can be calculated from the volume fraction of the large particles ϕ and the size ratio ξ .³⁰ From Eq.11, one can easily find that the depth of the depletion potential is closely related to the two key parameters of ξ and η_p .³¹ The stronger attractive force between the two large particles is induced for smaller ξ and larger η_p . We simulated the pair correlation functions, $g(r)$, and the resulting static structure factors, $S(q)$, for the model systems of a very diluted HbV suspended in a series of plasma substitutes using depletion interaction potential and SMSA closure relation.^{30,31}

In Figure 5, we present $g(r)$ and $S(q)$ calculated assuming the diameter $\sigma = 240 \text{ nm}$ and volume fraction $\phi = 0.001$ to account for the HbV. To give ξ , the radius of gyration of the plasma substitutes determined by SAXS at $c = 1.0 \text{ g dL}^{-1}$ (unpublished results) was used, 5.5 nm (MFG), 4.96 nm (DEX), 2.84 nm (rHSA), 5.96 nm (HES₇₀), 6.83 nm (HES₁₃₀), and 12.95 nm (HES₂₆₇₀). The solution structures

of these plasma substitutes, involving the Flory radius, screening length, persistence length, and lengthscale-dependent fractal dimensions of the polymer chains will be reported elsewhere. We selected half of the original concentration of commercially available plasma substitutes; 2.5 g dL⁻¹ (rHSA), 5.0 g dL⁻¹ (DEX), 2.0 g dL⁻¹ (MFG), 3.0 g dL⁻¹ (HES₇₀), 3.0 g dL⁻¹ (HES₁₃₀), and 3.0 g dL⁻¹ (HES₂₆₇₀). In these calculations, we rely on the depletion potential and SMSA closure. According to the theoretical phase diagram,^{30,31} the selected concentration of DEX seems to be already in the two phase region even at such a dilute concentration of the vesicle (to distinguish, $S(q)$ and $g(r)$ for DEX are given using a dashed line), while theoretically, others are still in one-phase fluid region.

In terms of the increased osmotic compressibility and coordination number manifested respectively in the $S(q)$ and $g(r)$, we estimated the effect of the different plasma substitutes on the flocculation tendency of the HbV particles to be in the order of $DEX > MFG > HES_{2670} > HES_{70} > HES_{130} > rHSA$. We will examine the validity of this prediction using DLS technique in the next sub-section.

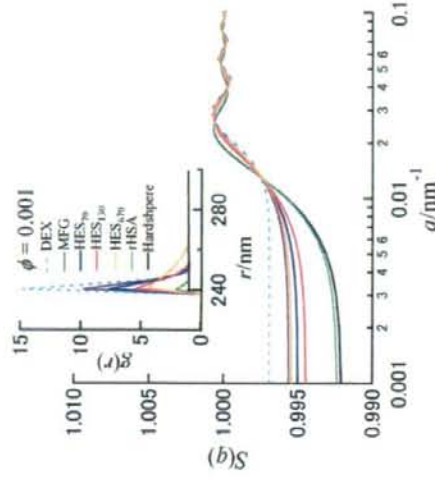


Figure 5: Simulated static structure factors $S(q)$ and pair correlation functions $g(r)$ of the model systems accounting for a dilute HbV suspended in the series of plasma substitutes. $g(r)$ and $S(q)$ were calculated using a depletion interaction potential for monodisperse hard spheres in the presence of nonadsorbing polymers and SMSA closure relation. We chose the diameter $\sigma = 240 \text{ nm}$ and volume fraction $\phi = 0.001$ to account for HbV in a dilute suspension, and the diameter $2R_g$ for small particles (polymers), where radius of gyration the plasma substitutes determined by SAXS at $c = 1.0 \text{ g dL}^{-1}$ (unpublished results) was used for R_g . We assumed the situation that HbVs are suspended in plasma substitutes having half of the