#### ORIGINAL ARTICLE

### Intrathecal Delivery of Hepatocyte Growth Factor From Amyotrophic Lateral Sclerosis Onset Suppresses Disease Progression in Rat Amyotrophic Lateral Sclerosis Model

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#### Abstract

Hepatocyte growth factor (HGF) is one of the most potent survival-promoting factors for motor neurons. We showed that introduction of the HGF gene into neurons of G93A transgenic mice attenuates motor neuron degeneration and increases the lifespan of these mice. Currently, treatment regimens using recombinant protein are closer to clinical application than gene therapy. To examine its protective effect on motor neurons and therapeutic potential we administered human recombinant HGF (hrHGF) by continuous intrathecal delivery to G93A transgenic rats at doses of 40 or 200 µg and 200 µg at 100 days of age (the age at which pathologic changes of the spinal cord appear, but animals show no clinical weakness) and at 115 days (onset of paralysis), respectively, for 4 weeks each. Intrathecal administration of hrHGF attenuates motor neuron degeneration and prolonged the duration of the disease by 63%, even with administration from the onset of paralysis. Our results indicated the therapeutic efficacy of continuous intrathecal administration of hrHGF in transgenic rats and should lead to the consideration for further clinical trials in amyotrophic lateral sclerosis using continuous intrathecal administration of hrHGF.

**Key Words:** Amyotrophic lateral sclerosis, Continuous intrathecal delivery, Hepatocyte growth factor, Neurodegeneration, Superoxide dismutase-1 (SOD1), Transgenic rat.

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#### **INTRODUCTION**

Amyotrophic lateral sclerosis (ALS) is a fatal neuro-degenerative disease caused by selective motor neuron death (1). Approximately 10% of cases of ALS are inherited, usually as an autosomal dominant trait (2). In ~25% of familial cases, the disease is caused by mutations in the gene encoding cytosolic copper-zinc superoxide dismutase (SOD1) (3–5). The cause of ALS is still unclear, and clinical trials have as yet failed to identify any truly effective therapeutic regimens for ALS, with only riluzole providing a modest improvement in survival. Various substances have been shown to have therapeutic effects in a murine model of ALS. However, there have been a few reports of prolongation of survival with treatment starting around the time of disease onset (6–12).

We (13) and another group (14) developed a rat model of ALS expressing a human SOD1 transgene with 2 ALS-associated mutations: glycine to alanine at position 93 (G93A) and histidine to arginine at position 46 (H46R) (3, 5). Similar to its murine counterpart, this rat transgenic (Tg) ALS model reproduces the major phenotypic features of human ALS. Some experimental manipulations are difficult in Tg mice because of size limitations; however, this Tg rat model allows routine implantation of infusion pumps for intrathecal drug delivery. Intrathecal drug application is a well-established method for therapy and has been used in clinical trials in patients with ALS (15). This route of administration bypasses the blood-brain barrier, allowing rapid access to potential binding sites for the test compound in the spinal cord (16).

Hepatocyte growth factor (HGF) was first identified as a potent mitogen for mature hepatocytes and was first cloned in 1989 (17). Detailed studies indicated that HGF is expressed in the CNS (18) and is a novel neurotrophic factor (19, 20). HGF is one of the most potent survival-promoting factors for motor neurons, comparable to glial cell line-derived neurotrophic factor in vitro (21). Sun et al (22) reported that introduction of the HGF gene into neurons of G93A Tg mice attenuates motor neuron degeneration and increases the lifespan of these mice. Thus, HGF is a good candidate agent for treatment of ALS. Currently, treatment using recombinant protein is closer to clinical application than gene therapy. However, HGF has a very

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short half-life (23–25) and shows poor penetration into the CNS. Therefore, we examined the effects of continuous intrathecal delivery of human recombinant HGF (hrHGF) into Tg rats using implanted infusion pumps for selective and less invasive supply of HGF to the spinal cord.

#### **MATERIALS AND METHODS**

#### **Animal Preparation and Clinical Evaluation**

G93A Tg rats were genotyped by polymerase chain reaction (PCR) assay using DNA obtained from the tail as described (13). To examine the dose and effects of hrHGF on disease onset, we began administration of 40 or 200 µg of hrHGF (provided by H. Funakoshi and T. Nakamura, Osaka University, Osaka, Japan) or vehicle (0.1 M sulfoxide PBS) for 4 weeks to groups of eight 100-day-old Tg rats, when the pathologic changes of the spinal cord appeared, but the animals did not show weakness. All animals were killed at 130 days by deep anesthesia, and the spinal cords were examined. Because treatment of patients with ALS patients is initiated only after diagnosis based on clinical signs and symptoms, we tested the effects of hrHGF on survival with administration beginning at around the age of onset of paralysis. We administered 200 µg of hrHGF or vehicle alone to groups of eight 115-day-old G93A Tg rats for 4 weeks, and the animals were observed until their death. To analyze the mechanism of action of hrHGF administration beginning at onset of paralysis we treated groups of six 115day-old G93A Tg rats with 100 µg of hrHGF or with vehicle alone for 2 weeks (a dose comparable to 200 µg for 4 weeks). All rats were killed 2 weeks after commencement of administration of hrHGF, and their lumbar spinal cords were examined. Further groups of 3 G93A Tg rats and 3 non-Tg rats at 70, 100, and 130 days were used to measure the levels of rat HGF and c-Met. All rats were handled according to approved animal protocols of our institution and had free access to food and water throughout the experimental period and before and after pump implantation.

The onset of ALS was scored as the first observation of abnormal gait, evidence of limb weakness, or loss of extension of the hindlimbs when picked up at the base of the tail. We defined the appearance of paralysis as disease onset, although this is not a sensitive indicator and appears later than the decrease in activity (10). However, the appearance of paralysis is a suitable marker of disease onset because it is closer to the state at which patients will be diagnosed with the disease.

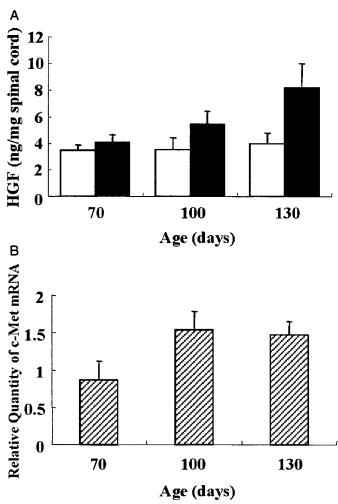
Footprints were collected every 3 days by letting the rats walk on a straight path after dipping their hind paws in black ink. We measured 3 strides within the area showing regular gait and calculated the means. Footprint measurements were made for rats that began treatment at 115 days. Examiners were blinded to which group each of the rats belonged in.

### Preparation of the Osmotic Pumps and Transplant Surgery

Osmotic pumps (model number 2004 or 2002; Durect Corporation, Cupertino, CA) were incubated in sterile saline

at 37°C for 40 hours to attain a constant flow rate before use. Pumps were filled to capacity with hrHGF solution or vehicle using a filling needle. An infusion tube was made by connecting a 1-cm length of polyethylene tubing (PE 60; Becton Dickinson, Franklin Lakes, NJ) to a small caliber tube 9 cm in length (PE 10; Becton Dickinson) using an adhesive (ARON ALPHA; Konishi Co., Osaka, Japan). The end of the infusion tube was connected to the shorter end of the flow moderator, the longer end of which was inserted into the pump.

Surgery for placement of the pump and intrathecal administration was performed as follows. Tg rats were anesthetized using diethyl ether and 1% halothane in a mixture of 30% oxygen and 70% nitrous oxide. The skin over the third to fifth lumbar spinal process was incised and the paravertebral muscles were separated from the vertebral lamina with scissors. The fifth lumbar vertebra was laminectomized, and the dura mater was exposed for insertion of the infusion tube. Particular care was taken not



**FIGURE 1.** Increased levels of rat hepatocyte growth factor (HGF) and c-Met expression in the spinal cords of G93A transgenic (Tg) rats (n = 3) and non-Tg rats (n = 3). **(A)** Levels of endogenous rat HGF expression. Open bars, non-Tg rats; closed bars, G93A Tg rats. **(B)** Levels of c-Met mRNA of G93A Tg rats compared with non-Tg rats.

to injure the dura mater during laminectomy. A small hole was bored through the dura mater with a 24-gauge needle, and a polyethylene tube (PE 10, Becton Dickinson) was inserted into the subarachnoid space approximately 3 cm rostrally. A subcutaneous pocket was made into which the osmotic pump and pump side tube were implanted. The infusion tube was attached to the fascia over the paravertebral muscles at the incision margin with silk string. A drop of adhesive (ARON ALPHA) was applied, and the incision was closed by suturing the muscles and skin.

### Measurement of Rat and Human HGF in the Lumbar Spinal Cord

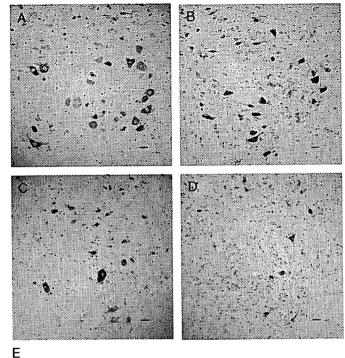
Slices of the fifth lumbar cord from 3 G93A Tg rats and 3 non-Tg rats at 70, 100, and 130 days as well as from 130-day-old G93A Tg rats treated with 40 or 200 µg of hrHGF or vehicle alone for 4 weeks starting at 100 days were homogenized in buffer (20 mM Tris-HCl, pH 7.5, 0.1% Tween-80, 1 mM phenylmethylsulfonyl fluoride, and 1 mM EDTA) and centrifuged at 15,000 rpm for 30 minutes. Supernatants were separated and the concentrations of rat endogenous HGF were measured using an enzyme-linked immunosorbent assay (ELISA) kit, which is specific for rat HGF without detecting human HGF (22) (Institute of Immunology, Tokyo, Japan). For measurement of human HGF in the treated rats we used a human HGF-specific ELISA kit (IMMUNIS, Institute of Immunology), which is not reactive with rat HGF (26, 27).

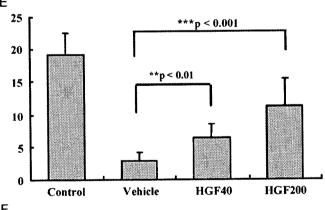
### Measurement of c-Met mRNA in the Lumbar Spinal Cord of Tg Rats

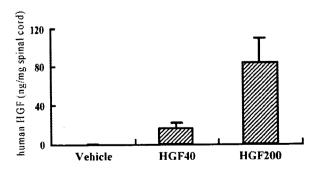
Aliquots of 1 µg of total RNA from the lumbar cords of rats were used as templates for synthesis of double-stranded cDNA. Real-time quantitative PCR was performed for c-Met and glyceraldehyde-3-phosphate dehydrogenase (GAPDH) [GAPDH forward primer, 5'-CCATCACTGC-CACTCAGAAGAC-3'; GAPDH reverse primer, 5'-TCA-TACTTGGCAGGTTTCTCCA-3'; GAPDH TaqMan probe, 5'(FAM)-ACCACGAGCACTGTTTCAATAGGACCC-(TAMRA)3'; c-MET forward primer, 5'-GTACGGTGTC-TCCAGCATTTTT-3'; c-Met reverse primer, 5'-AGAG-

FIGURE 2. Intrathecal administration of hepatocyte growth factor (HGF) to G93A transgenic (Tg) rats at 100 days showed a protective effect against motor neuron death. (A-D) Histologic evaluation of the anterior horn with Nissl staining at 130 days: (A) lumbar cord of non-Tg rats; (B) 200 μg of human recombinant HGF (hrHGF)-treated; (C) 40 μg of hrHGF-treated; and (D) vehicle-treated G93A Tg rats. Scale bar = 40 μm. (E) Quantitative morphometric evaluation of surviving motor neurons of the fifth lumbar anterior horn at 130 days. We counted neurons that were >40  $\mu m$  in diameter. Significantly larger numbers of motor neurons survived in hrHGF-treated  $\check{\text{G}}93A$  Tg rats (p < 0.01 and p < 0.001, 40 and 200 µg of hrHGF, respectively), compared with vehicle-treated G93A Tg rats (n = 8 in each group). (F) Levels of human HGF concentration in lumbar spinal cords of G93A Tg rats treated with 200 µg of hrHGF, 40 µg of hrHGF, and vehicle.

CACCACCTGCATGAAG-3'; TaqMan probe, 5'(FAM)-CGTGTTCCTACCCCCAATGTATCCGT- (TAMRA)3']. An ABI Prism 7700 Sequence Detection System (Applied Biosystems Perkin-Elmer, Foster City, CA) was used to monitor emission intensities using the above primer pairs and TaqMan fluorogenic probes. The c-Met mRNA level of G93A Tg rats relative to non-Tg rats was calculated using the Comparative C<sub>T</sub> Method (Applied Biosystems).







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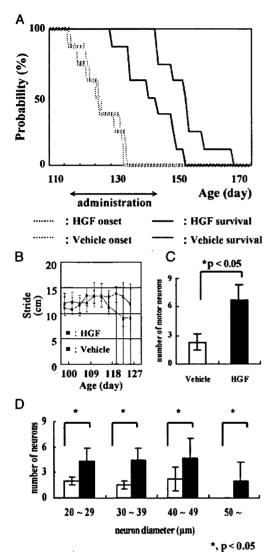


FIGURE 3. Intrathecal administration of hepatocyte growth factor (HGF) from 115 days (just before disease onset) retarded disease progression. (A) Survival periods were 143.25 ± 17.0 days in the vehicle-treated group (solid blue line) and 154.3  $\pm$  16.4 days in the 200  $\mu g$  of human recombinant HGF (hrHGF)-treated group (solid red line). Survival of hrHGF-treated animals was extended significantly (p = 0.0135), although there were no significant differences in onset (dotted lines, n = 8 in each group, p = 0.6346). (B) Footprint analysis demonstrated a delay in decline of stride length in G93A transgenic (Tg) rats treated with 200 µg of hrHGF relative to vehicle-treated G93A Tg rats (error bars, ± SD). (C, D) Quantitative morphometric evaluation of surviving motor neurons that were >40 µm in diameter (C) and neuron size distribution (D) in the fifth lumbar anterior horn of G93A Tg rats 2 weeks after administration from 115 days. Significantly larger number of motor neurons survived in the hrHGF-treated G93A Tg rats compared with vehicle-treated G93A Tg rats  $(6.7 \pm 1.6 \text{ vs } 2.3 \pm 0.9; p = 0.002, n = 6 \text{ in each}$ group) (C).

### Histopathologic and Immunohistochemical Analyses

To examine the dose and effects of hrHGF against disease onset, we began administration of 40 or 200 µg of hrHGF or vehicle alone to groups of eight 100-day-old Tg rats each for 4 weeks. At 130 days, G93A Tg rats were administered hrHGF or vehicle, and non-Tg rats were deeply anesthetized with diethyl ether and killed for histopathologic evaluation. To examine the effects of hrHGF administration beginning at onset of paralysis, 100 µg of HGF or vehicle alone was administered to groups of six 115-day-old Tg rats for 2 weeks. These animals were killed by deep anesthesia with diethyl ether 2 weeks after the operation. Under deep anesthesia these animals were perfused via the aorta with physiologic saline at 37°C and their lumbar spinal cords were removed. The fifth lumbar spinal cord tissue was embedded in OCT compound (Sakura Finetek Japan Co., Tokyo, Japan), frozen in an acetone/dry ice bath after fixation with 4% paraformaldehyde, and supplemented with 0.1 M cacodylate buffer (pH 7.3) containing 30% sucrose. Other spinal cord tissue specimens were frozen in dry ice and cut into frozen sections (12-µm-thick) and then washed with PBS. To evaluate the effects of HGF on motor neuron loss we compared the numbers of lumbar motor neurons in each group by counting as mentioned below. To evaluate the effects of HGF on apoptosis and to determine whether HGF receptors were activated, we compared the results of immunohistochemical staining of the lumbar cords for activated caspase-3, activated caspase-9 (Cell Signaling Technology, Inc., Beverly, MA), and phosphorylated c-Met (activated HGF receptor) (BioSource International, Camerillo, CA). The staining specificity of the antibodies was assessed by preabsorption of the primary antibody with excess peptide, omission of the primary antibody, or replacement of the primary antibody with normal rabbit IgG (22). We examined every seventh section from 42 serial sections of the fifth lumbar spinal cord. We counted neurons that had a clear nucleolus and were multipolar with neuronal morphology (13, 22), >40 µm in diameter, and located in a defined area of the anterior horn of the spinal cord. Cell counts were performed using ImageJ software (National Institutes of Health, Bethesda, MD) on images captured electronically (28).

#### Western Blotting

Lysates from the lumbar spinal cord of each rat were prepared in RIPA buffer (150 mM NaCl, 1% Nonidet P-40, 0.5% deoxycholate, 0.1% sodium dodecyl sulfate, and 50 mM Tris, pH 8.0). Equal amounts of proteins from the lysates (50 µg) were resolved by sodium dodecyl sulfate-polyacrylamide gel electrophoresis, transferred onto polyvinylidene diflouride membranes, and immunoblotted. The primary antibodies used were anti-caspase-3 (Sigma-Aldrich, St. Louis, MO), anti-caspase-9 (Stressgen Biotechnologies Corporation, Victoria, BC, Canada), anti-X-linked inhibitor of apoptosis protein (XIAP) (Cell Signaling Technology, Inc.), and anti-excitatory amino acid transporter 2 (EAAT2) antibodies (Chemicon International, Temecula, CA). After incubation of membranes with HRP-coupled

secondary antibodies, proteins were visualized using ECL or ECL Plus Western Blotting Detection Reagents (Amersham Biosciences Inc., Piscataway, NJ) and a Fluorochem image analyzer (LAS-3000 mini; Fuji Photo Film Co., Tokyo, Japan).

#### **Statistical Analysis**

The Kaplan-Meier and log-rank test were used for statistical analyses of differences in onset and survival between groups. For statistical analyses of differences in body weight, footprint, motor neuron cell count, and Western blotting we used analysis of variance and post hoc tests. The data are reported as means  $\pm$  SD.

#### RESULTS

## Measurement of the Levels of Rat HGF and c-Met Expression in Untreated Animals

Groups of 3 G93A Tg rats and non-Tg rats at 70, 100, and 130 days were used to measure the levels of rat HGF without any treatment. In the lumbar cords of untreated G93A Tg rats, the HGF concentrations increased with disease progression (Fig. 1A). At 70 days the level of rat HGF in the lumbar cords of G93A Tg rats was  $4.05 \pm 0.6$  ng/mg and was the same as that of non-Tg rats. Increases of 35% and 107% were observed in the rat HGF level at 100 and 130 days, respectively, compared with non-Tg rats.

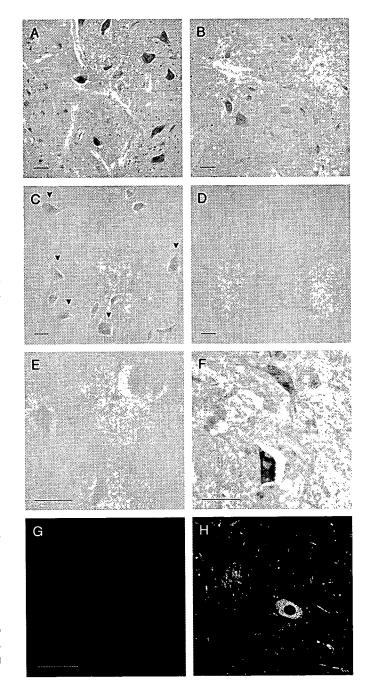
In addition, we measured the levels of c-Met mRNA in the lumbar spinal cords of Tg rats relative to non-Tg rats by real-time quantitative PCR. In the lumbar cords of G93A Tg rats the level of c-Met mRNA expression was the same as that in non-Tg rats at 70 days. However, a 55% increase in the level of c-Met mRNA expression compared with that of non-Tg rats was observed at 100 days and the higher level of expression was retained at 130 days (Fig. 1B).

# Administration of hrHGF to 100-Day-Old G93A Tg Rats for 4 Weeks

To examine the efficacy of hrHGF on motor neurons in the spinal cords of Tg rats against onset of disease we administered 40 and 200  $\mu$ g of hrHGF or vehicle alone to 100-day-old G93A Tg rats for 4 weeks (n = 8 in each group).

FIGURE 4. Sections of the fifth lumbar anterior horn from G93A transgenic (Tg) rats treated with human recombinant hepatocyte growth factor (hrHGF) (A, C, E, G) or vehicle (B, D, F, H) for 2 weeks starting at 115 days were stained with hematoxylin and eosin (A, B) and antibodies to phosphorylated c-Met (C, D), activated caspase-3 (E, F), and activated caspase-9 (G, H). Scale bar = 50  $\mu m$ . There were larger numbers of remaining large motor neurons in hrHGF-treated G93A Tg rats (6.7  $\pm$  1.6) (A) than in vehicle-treated G93A Tg rats  $(2.3 \pm 0.9)$  (B). Phosphorylated c-Met staining was more distinct in hrHGF-treated G93A Tg rats (C) than in vehicletreated G93A Tg rats (D). In contrast, activated caspase-3 staining was stronger in vehicle-treated G93A Tg rats (F) than in hrHGF-treated G93A Tg rats (E). Activated caspase-9 staining was detectable in vehicle-treated G93A Tg rats (H) compared with little reactivity in hrHGF-treated G93A Tg rats (G).

Animals were killed at 130 days, and their lumbar spinal cords were examined. Because administration of hrHGF for more than 30 days may induce antibodies against hrHGF, we did not treat rats for longer than this period. We confirmed elevation of human HGF concentrations in the lumbar cords of hrHGF-treated rats using a specific sandwich immuno-assay. The mean human HGF concentrations were 83.9  $\pm$  25.1, 15.6  $\pm$  5.4, and 0 ng/mg for rats treated with 200  $\mu$ g of hrHGF, 40  $\mu$ g of hrHGF, and vehicle, respectively (Fig. 2F). The endogenous rat HGF concentration is 4 to 5 ng/mg at this age (Fig. 1A). The human HGF concentration in the spinal cord of G93A Tg rats treated with 200  $\mu$ g of hrHGF



was increased by approximately 20-fold relative to the endogenous rat HGF. All vehicle-treated G93A Tg rats developed weakness in the hindlimbs with a mean onset of 118.8  $\pm$  4.3 days. Seven of 8 G93A Tg rats treated with 40  $\mu g$  of rhHGF developed the disease before 130 days. In contrast, only 3 of 8 animals treated with 200  $\mu g$  of rhHGF developed paralysis before this stage. At 130 days the average numbers of motor neurons in the ventral horn were as follows: non-Tg rats, 19.2  $\pm$  3.3; vehicle only, 2.9  $\pm$  1.3; 40  $\mu g$  of hrHGF, 6.3  $\pm$  2.1; and 200  $\mu g$  of hrHGF, 11.2  $\pm$  4.2. Significantly more motor neurons survived in hrHGF-treated (40  $\mu g$ , p < 0.01; 200  $\mu g$ , p < 0.001) than in vehicle-treated G93A Tg rats (Fig. 2A–E). hrHGF prevented motor neuron death in G93A Tg rats in a dose-dependent manner.

### Administration of hrHGF to 115-Day-Old G93A Tg Rats for 4 Weeks

We next examined the therapeutic potential of HGF when administration was started at around the age of onset of paralysis. We administered 200 µg of hrHGF or vehicle alone to 115-day-old G93A Tg rats for 4 weeks. There were no statistically significant differences (p = 0.6346) in onset between the groups (200  $\mu$ g of hrHGF, 126.8  $\pm$  13.1 days; vehicle, 126.3 ± 13.8 days) (Fig. 3A, dotted lines). In contrast, 200 µg of hrHGF extended mean survival by 11 days compared with vehicle-treated G93A Tg rats (p = 0.0135) (Fig. 3A, solid lines), although G93A Tg rats showed very rapid disease progression and died within 20 days of disease onset. The average periods from the onset to death were  $16.9 \pm 8.17$  and  $27.5 \pm 11.1$  days in vehicle (n = 8) and hrHGF (n = 8) groups, respectively. The latter represented an increase of 62.7% relative to vehicle-treated controls. Footprint analysis of stride length in 200 µg of hrHGF-treated G93A Tg rats showed significant improvement compared with vehicle-treated G93A Tg rats at 118 days (p = 0.0424) (Fig. 3B). Thus, despite the very rapid disease progression in this model and short treatment period of 4 weeks, hrHGF treatment improved motor performance and prolonged survival even with treatment beginning around the onset of paralysis.

Histologic evaluation of the lumbar spinal cord indicated that hrHGF treatment prevented the pathologic changes typical of Tg rats. Two weeks after commencement of administration at 129 days, vehicle-treated rats showed substantial loss of motor neurons (2.3  $\pm$  0.9) compared with hrHGF-treated rats (6.6 ± 1.6) (Figs. 3C, 4A, B). A significantly larger number of motor neurons survived in hrHGFtreated G93A Tg rats than in vehicle-treated G93A Tg rats (p = 0.002). Histologic evaluation of the lumbar spinal cord revealed much greater numbers of phosphorylated c-Metpositive cells (which were presumed to be motor neurons because of their large size, multipolar form, and localization in the anterior horn of the spinal cord) in hrHGF-treated G93A Tg rats compared with vehicle-treated G93A Tg rats at 2 weeks after the start of administration at 129 days (Fig. 4C, D). These observations indicated that the administered hrHGF was used in the spinal cord in G93A Tg rats. Consistent with the observation that apoptosis is involved in the pathogenesis of ALS (29-32), immunohistochemical

analyses indicated large numbers of cells positive for activated caspase-3 and caspase-9 in vehicle-treated rats (Fig. 4F, H), compared with little or no reactivity in hrHGF-treated rats (Fig. 4E, G). To assess the mechanisms of suppression of caspase-3 and caspase-9 activation in hrHGF-treated rats, we next examined the level of XIAP by Western blotting, as XIAP inhibits activation of these procaspases and its levels are decreased in ALS mice (31). Western blotting analysis revealed increased XIAP expression

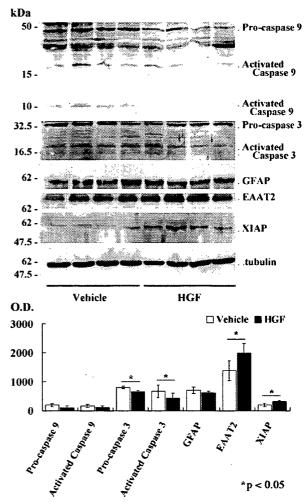


FIGURE 5. Caspase-3 and -9, glial fibrillary acidic protein (GFAP), excitatory amino acid transporter 2 (EAAT2), X-linked inhibitor of apoptosis protein (XIAP), and β-tubulin expression in the lumbar spinal cord. Western blotting of lumbar spinal cord lysates from G93A transgenic (Tg) rats treated with 100 μg of human recombinant hepatocyte growth factor (hrHGF) or vehicle for 2 weeks from 115 days. Western blotting analysis revealed increased levels of EAAT2 and XIAP expression in the spinal cords of hrHGF-treated G93A Tg rats compared with vehicle-treated G93A Tg rats (XIAP, p = 0.0099; EAAT2, p = 0.0417; n = 4). On the other hand, activated caspase-3 and -9 expression levels were decreased in hrHGF-treated G93A Tg rats. There were significant differences in caspase-3 expression between hrHGF- and vehicletreated G93A Tg rats (pro-caspase-3, p = 0.0031; activated caspase-3, 0.0154; n = 4). GFAP expression was equivalent in both groups.

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in the spinal cord of G93A Tg rats, and the increase in hrHGF-treated rats was only 60% of that in vehicle-treated G93A Tg rats. On the other hand, activated caspase-3 and 9 levels were decreased in hrHGF-treated G93A Tg rats (p = 0.0154 and p = 0.2364, 75% and 69% of vehicle-treated G93A Tg rats, respectively). These were all considered to be effects of HGF on motor neurons. Finally, we examined whether HGF improves the function of other cell types, such as astrocytes. There was a 60% increase in glial-specific glutamate transporter (EAAT2) in hrHGF-treated rats compared with vehicle-treated controls, although there was little difference in GFAP expression levels between the 2 groups (Fig. 5).

#### DISCUSSION

In this study, we demonstrated dose-dependent effects of hrHGF on motor neurons in the G93A Tg rat model of ALS, with administration starting at 100 days. Furthermore, we showed that hrHGF retards disease progression in this animal model treated from 115 days at the time of disease onset. There have been many studies of possible treatments in a mouse model of ALS (33, 34), but few agents have been shown to prolong survival with administration starting around disease onset (6-12). In this study, recombinant hrHGF retarded disease development even with administration beginning around the age onset of paralysis. Here, we showed the therapeutic effects of intrathecal delivery of a neurotrophic factor as a protein, rather than a transgene, on ALS beginning at the onset of paralysis. The average survival period of hrHGF-treated rats was 62.7% longer than that of vehicle-treated controls, comparable with the improved survival obtained by viral delivery of insulin-like growth factor-1 (6). We defined the appearance of paralysis as disease onset, although this is not a sensitive indicator and appears later than the decrease in activity (10). However, the appearance of paralysis is a clinically relevant marker of disease onset because it is closer to the state at which patients will be diagnosed with the disease.

We confirmed elevation of the human HGF concentration in the lumbar cords of hrHGF-treated G93A Tg rats using a specific sandwich immunoassay. Histologic evaluation of the lumbar spinal cord revealed greater numbers of phosphorylated c-Met-positive motor neurons in hrHGFtreated G93A Tg rats. This finding suggested that HGF receptors of motor neurons were activated well by administered hrHGF (35). These observations indicated that the administered hrHGF penetrated into the spinal cord and was utilized in the motor neurons of spinal cord. Previous studies demonstrated that many trophic factors have protective effects on motor neurons. In human trials of neurotrophic factors, such as brain-derived neurotrophic factors, glial cell line-derived neurotrophic factor, and insulin-like growth factor-1, the delivery (accessibility) of the protein to the motor neurons and glia in the spinal cord has been argued to be essential. Our results confirmed that chronic intrathecal administration with implanted infusion pumps supplied appropriate therapeutic doses to spinal cord motor neurons.

The HGF concentrations in cerebrospinal fluid are increased in many neurologic disorders, including ALS (26). In G93A Tg rats, the level of endogenous HGF in the spinal

cord showed significantly greater elevation when the pathologic changes began in the spinal cord and increased with progression of the disease compared with the level of endogenous HGF in the spinal cord of non-Tg rats. After onset, the level of endogenous HGF almost doubled relative to that in non-Tg rats (Fig. 1A). These results were compatible to observations in patients with sporadic as well as familial ALS (36, 37). The level of c-met RNA expression in the lumbar cord of G93A rats increased to 155% of the normal level from before onset, and this elevated expression was retained after onset of disease (Fig. 1B). Kato et al (36) demonstrated that autocrine and paracrine trophic support of the HGF-c-met system contributes to attenuation of the degeneration of residual spinal cord motor neurons in ALS, whereas disruption of the HGF-c-met system at an advanced stage of disease accelerates cellular degeneration (37). Administration of hrHGF delayed the pathologic changes in G93A Tg rats. This effect of HGF may be due to replenishment of the relative insufficiency of HGF in G93A Tg rats in the present study.

Consistent with the findings that apoptosis is involved in ALS (29-31), large numbers of cells immunopositive for activated caspase-3 and -9 were observed in vehicle-treated animals in contrast to little or no reactivity in hrHGF-treated rats. This result was verified by quantitative Western blotting analysis, which indicated that HGF could block caspase activation of apoptosis. Caspase-3 and -9 are the main factors involved in execution of the caspase cascade. The survivalprolonging effect of HGF may be explained by suppression of induction and activation of caspase-9, as this enzyme is involved in determining disease duration (31). These observations suggest that the mechanism of the therapeutic effect of HGF in G93A Tg rats includes inhibition of the caspase cascade or of the cell death mechanism preceding the caspase cascade. In addition, EAAT2 and XIAP expression levels were increased in the hrHGF-treated group compared with vehicle-treated controls, indicating that HGF affected not only motor neurons via inhibition of the caspase cascade but also other cell types, such as astrocytes, which support motor neurons by maintaining or reinforcing internal cell protective functions, such as EAAT2 and XIAP.

Our results demonstrate pathologic improvements and retarded progression of ALS in G93A Tg rats by intrathecal administration of hrHGF from around the time of disease onset. Because HGF and c-Met are thought to be regulated in cases of not only familial but also sporadic ALS in a manner similar to the Tg mouse model of ALS (36), our findings suggest the possibility of clinical use of HGF in both familial and sporadic ALS. The results indicating the efficiency of hrHGF administration even from the onset of paralysis should prompt further clinical trials in ALS.

#### **ACKNOWLEDGMENT**

We thank Rieko Kamii for technical assistance.

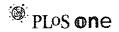
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### An *In Vitro* Model for Lewy Body-Like Hyaline Inclusion/ Astrocytic Hyaline Inclusion: Induction by ER Stress with an ALS-Linked SOD1 Mutation

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Neuronal Lewy body-like hyaline inclusions (LBHI) and astrocytic hyaline inclusions (Ast-HI) containing mutant Cu/Zn superoxide dismutase 1 (SOD1) are morphological hallmarks of familial amyotrophic lateral sclerosis (FALS) associated with mutant SOD1. However, the mechanisms by which mutant SOD1 contributes to formation of LBHI/Ast-HI in FALS remain poorly defined. Here, we report induction of LBHI/Ast-HI-like hyaline inclusions (LHIs) in vitro by ER stress in neuroblastoma cells. These LHI closely resemble LBHI/Ast-HI in patients with SOD1-linked FALS. LHI and LBHI/Ast-HI share the following features: 1) eosinophilic staining with a pale core, 2) SOD1, ubiquitin and ER resident protein (KDEL) positivity and 3) the presence of approximately 15–25 nm granule-coated fibrils, which are morphological hallmark of mutant SOD1-linked FALS. Moreover, in spinal cord neurons of L84V SOD1 transgenic mice at presymptomatic stage, we observed aberrant aggregation of ER and numerous free ribosomes associated with abnormal inclusion-like structures, presumably early stage neuronal LBHI. We conclude that the LBHI/Ast-HI seen in human patients with mutant SOD1-linked FALS may arise from ER dysfunction.

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#### INTRODUCTION

Amyotrophic lateral sclerosis (ALS) is a progressive neurodegenerative disorder in which both upper and lower motor neurons begin to degenerate in middle-aged persons. About 10% of ALS patients demonstrate autosomal dominant inheritance of this disease, a disorder known as familial ALS (FALS) [1–6]. About 20% of FALS cases are associated with mutations of the Cu/Zn-superoxide dismutase (SOD1) gene [7]. SOD1 is an abundant protein of approximately 153 amino acids that accounts for approximately 1% of total cytosolic protein. More than 100 different SOD1 mutations have been reported as risk factors in association with FALS.

The endoplasmic reticulum (ER) is responsible for the synthesis, initial post-translational modification, and proper folding of proteins, as well as for their sorting export and delivery to appropriate cellular destinations. A variety of conditions, such as loss of the intraluminal oxidative environment or loss of calcium homeostasis, can cause accumulation of misfolded proteins in the ER. To cope with such accumulation, there are three possible responses in eukaryotes. The first response is known as the unfolded protein response (UPR), in which IRE1a and ATF6 recognize aberrant proteins and increase the expression of ERresident chaperones such as GRP78/BiP and GRP94 to promote proper protein folding [8,9]. The second response involves suppression of translation mediated by the serine/threonine kinase PERK, which phosphorylates and inactivates the translation initiation factor eIF-2a to reduce the production of misfolded proteins [10,11]. The third response is ER-associated degradation (ERAD), in which misfolded proteins are expelled from the ER and targeted for degradation by cytoplasmic proteasomes [12,13]. Although these three protective responses can transiently control the accumulation of misfolded proteins within the ER, they can be overcome by sustained 'ER stress' [14-16]. 'ER stress' is involved in neuronal death and various neurodegenerative disorders, such as Charcot-Marie-Tooth disease, and is especially related to inclusion body diseases such as Alzheimer's disease, Parkinson's disease, Huntington's disease and ALS [17–23].

Histopathologic studies have revealed that neuronal Lewy bodylike hyaline inclusions (LBHI) and astrocytic hyaline inclusions (Ast-HI), are morphological hallmarks of mutant SOD1-linked FALS [24]. Neuronal LBHI and Ast-HI are ultrastructually identical and share various features, with both consisting of 15– 25 nm granule-coated fibrils, both showing immunoreactivity for

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SOD1, ubiquitin, and copper chaperone for SOD (CCS), and both appearing late in the course of the disease (i.e. at  $\sim 10$  to 30 years of age in humans [24-27]). Recently, Wate et al. reported that neuronal LBHI are immunoreactive for GRP78/BiP, a component of the UPR cellular response to ER stress [28].

In the present study, we show that ER stress in a neuroblastoma line expressing mutant SOD1 can provoke SOD1 aggregation in ER and formation of LBHI/Ast-HI-like hyaline inclusion bodies (LHIs), which show SOD1, ubiquitin, GRP78/BiP and ER resident protein (KDEL) immunopositivity similar to the shared cytopathological features of LBHI and Ast-HI. Induced neuroblastoma LHI furthermore consisted of 15-25 nm granule-coated fibrils, a hallmark of mutant SOD1-linked FALS, raising the possibility that these acutely induced aggregations represent a precursor to LBHI/Ast-HI seen in advanced FALS. In support of this possibility, we observe abnormal ER and numerous free ribosomes aggregated in the peri-nuclear region neuroblastoma cells expressing L84V SOD1 under ER stress condition and in spinal cord neurons in presymptomatic transgenic mice expressing L84V SOD1. Taken together, these findings suggest a model for early events in FALS cellular pathology, in which ER stress promotes the aggregation of mutant SOD1 and is involved in the development of LBHI/Ast-HI in patients with mutant SOD1 linked FALS.

#### **RESULT**

#### Aggregation and ubiquitination of mutant SOD1 under ER stress

To identify conditions which lead to the aggregation of mutant SOD1, we generated SK-N-SH human neuroblastoma cell lines that stably expressed FLAG-tagged human SOD1 encoding a leucine to valine substitution mutation (L84V) associated with FALS [29]. Western blot analysis confirmed that expression of endogenous and exogenous SOD1 was equal in the cell line (Fig. 1A). Reports that neuronal LBHI contain GRP78/BiP, an ER resident component of the UPR response, suggested that ER stress might be a factor in the aggregation of mutant SOD1 [28]. We therefore examined localization of wild-type and mutant SOD1 under normal conditions and under conditions of ER stress (Figure 1). Under normal conditions, wild-type and L84V SOD1 were distributed through the cytosol (Fig. 1B and D). However, following treatment with tunicamycin, an inhibitor of Nglycosylation which causes ER stress, small SOD1-positive aggregates (up to 3 µm in diameter) were seen in L84V SOD1expressing cells (22.3%, p<0.001; Fig. 1E and F). A much smaller percentage of wild-type SOD1 expressing cells (2.9%, n.s.) showed non-inducible SOD1 aggregation (Fig. 1C and F). To confirm whether ER stress is required for the aggregation of SOD1, we compared tunicamycin and thapsigargin as ER stress inducers with etoposide as a non-ER stress inducer (causing DNA damage). Exposure to 1 and 3 µg/ml tunicamycin (21.1% and 17.5%, respectively) or 0.3 and 1 µM thapsigargin (27.0% and 27.2%, respectively) significantly increased the number of cells containing SOD1 aggregates, in L84V SOD1 expressing neuroblastoma cells. Treatment with 100 and 300 µM etoposide did not lead to a significant increase in aggregates (Fig. 1G). Thus mutant SOD1 forms aggregates following treatments provoking ER stress, but not following treatment causing damage to the nucleus.

Since the SOD1-positive inclusions of FALS patients are known to be eosinophilic [26], we performed hematoxylin-eosin (HE) and anti-SOD1 antibody staining to determine whether the aggregates induced in the neuroblastoma line were also eosinophilic.

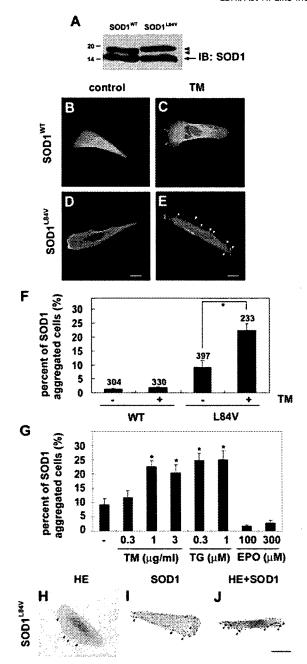


Figure 1. Eosinophilic aggregates of L84V SOD1 are induced by ER stress. (A) Western blotting analysis of the expression of SOD1 in SK-N-SH cells, which stably expressed FLAG tagged wild-type SOD1 or L84V mutant SOD1. Arrowheads and arrow indicate exogenous and endogenous SOD1, respectively. (B-D) Immunofluorescent analysis of SOD1 aggregates in SK-N-SH cells expressing wild-type SOD1 (B, C) or L84V SOD1 (D, E). Cells were incubated under control conditions (B, D) or with 1 µg/ml tunicamycin (C, E) for 24 h, and then were fixed and stained with an anti-SOD1 antibody. Tunicamycin induced aggregates of SOD1 (arrowheads) in L84V SOD1-expressing cells, but not in wildtype SOD1-expressing cells. Scale bar = 20 µm. (F) Quantification of (B-D). After the staining the cells with SOD1 aggregates were counted and scored. Numbers indicate the amounts of total counted cells. Asterisks show a significant difference from control, \*p<0.001. (G) SOD1 aggregates induced by tunicamycin and thapsigargin, but not by etoposide. SK-N-SH cells expressing L84V SOD1 were exposed to 0.3, 1 and 3  $\mu g/ml$  tunicamycin, 0.3 and 1  $\mu M$  thapsigargin and 100 and 300 µM etoposide. Asterisks show a significant difference from control, \*p<0.001. (H-J) Eosinophilic SOD1 aggregates induced by tunicamycin. Cells were treated as described in (E) and then stained with HE (H), anti-SOD1 antibody (I), or both (J). Scale bar = 20  $\mu$ m. doi:10.1371/journal.pone.0001030.g001

Figures 1H-J show that the aggregates induced by tunicamycin treatment were positive for both eosin and SOD1.

In patients with mutant SOD1-linked FALS, SOD1-positive aggregates are reported to be ubiquitinated by RING finger-type E3 ubiquitin ligases such as dorfin [30–33]. To investigate whether the SOD1 aggregates induced by ER stress were ubiquitinated, we performed double immunostaining with anti-SOD1 and anti-ubiquitin antibodies (Fig. 2 A–R). After treatment with either tunicamycin or ALLN, a specific proteasome inhibitor, wild-type and L84V SOD1-expressing cells were immunostained with anti-SOD1 and anti-ubiquitin antibodies. As a result, mutant SOD1 aggregates induced by either tunicamycin or ALLN were clearly colocalized with ubiquitin, suggesting the SOD1 were ubiquitinated. To further examine the ubiquitination of the mutant SOD1, a co-immunoprecipitation assay utilizing ubiquitin was performed (Fig. 2S). As expected, L84V SOD1-expressing cells

showed a positive ubiquitin ladder after ALLN treatment, but wild-type SOD1-expressing cells did not.

#### Aggregates of SOD1 show positive localization to the ER, but not to the mitochondria, lysosomes, or Golqi apparatus

Under normal conditions, SOD1 is diffusely distributed throughout the cytoplasm. In contrast, under the pathological condition, SOD1 aggregates are associated with specific organelles such as the mitochondria and/or ER [34–37]. Since the tunicamycininduced aggregates of mutant SOD1 were localized to the central and peripheral regions of the cytoplasm (Fig. 1E, H–J), we investigated the subcellular localization of these aggregates with organelle specific markers. Confocal microscopy analysis clearly showed colocalization of SOD1 and an ER retention signal

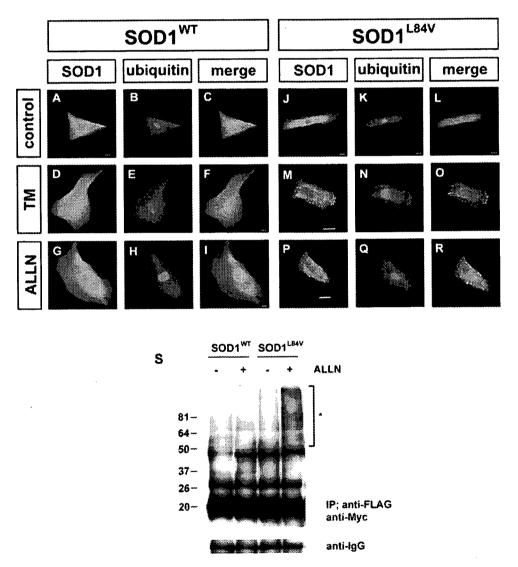


Figure 2. Ubiquitination of mutant SOD1 aggregates. (A–R) Colocalization assay with SOD1 and ubiquitin. SK-N-SH cells expressing wild-type SOD1 (A–I) or L84V SOD1 (J–R) were incubated with 1 μg/ml of tunicamycin (D–F, M–O), 4 μg/ml of ALLN (G–I, P–R), or no agents (A–C, J–L) for 24 h. Then the cells were fixed and stained with anti-SOD1 antibody (green; A, D, G, J, M, P) or anti-ubiquitin antibody (red; B, E, H, K, N, Q). Arrows indicate colocalization of SOD1 aggregates and ubiquitin. Scale bar = 20 μm. (S) Co-immunoprecipitation assay utilizing ubiquitin. SK-N-SH cells stably expressing wild-type and L84V SOD1 were transfected with a myc-tagged ubiquitin expression vector. After incubation with or without ALLN, cell lysates were prepared and assayed with anti-myc antibody of the immunoprecipitant with anti-FLAG antibody. Asterisk shows an ubiquitinated ladder that appeared after ALLN treatment of L84V SOD1-expressing cells. IgG bands are shown as loading controls. doi:10.1371/journal.pone.0001030.g002

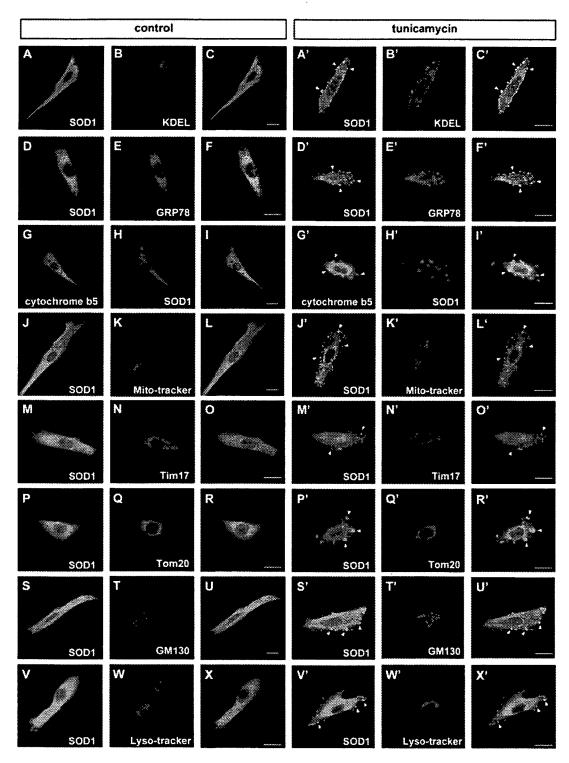
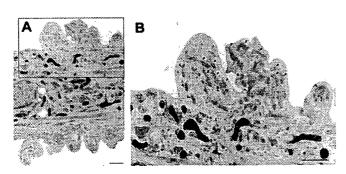


Figure 3. Positive translocation of SOD1 aggregates to ER, but not to the mitochondria, Golgi apparatus, or lysosomes. (A-I, A'-I') Stressdependent localization of SOD1 to the ER. L84V SOD1-expressing SK-N-SH cells were incubated for 24 h without (A-I) or with 1 µg/ml of tunicamycin (A'-l'). Then the cells were fixed and stained using an anti-SOD1 antibody (green; A, D, A', D') and an anti-KDEL antibody (red; B, B') or an anti-GRP78/BiP antibody (red; E, E'). GFP-cytochrome b5 were transfected to the cells and stained with anti-GFP (green; G, G') and anti-SOD1 (red; H, H') antibodies. Merged images (C, F, I, C' F', I'). The aggregates of SOD1 (arrowheads) are positive for KDEL, GRP78/BiP and cytochrome b5. (J-R, J'-R') Analysis of SOD1 localization to the mitochondria. L84V SOD1-expressing SK-N-SH cells were treated as described in above. The locations of the mitochondria and SOD1 were visualized in L84V SOD1-expressing SK-N-SH cells using 100 nM Mito-tracker (red; K, K'), an anti-Tim17 antibody (red; N, N') or an anti-Tom20 antibody (red; Q, Q') and an anti-SOD1 antibody (green; J, M, P, J', M', P'). Merged images (L, O, R, L', O', R'). (S–U, S'–U') Investigation of SOD1 localization to the Golgi apparatus. L84V SOD1-expressing SK-N-SH cells were treated as described in above. Then the cells were stained with anti-SOD1 antibody (green; S, S') and anti-GM130 antibody (red; T, T'). Merged images (U, U'). (V-X, V'-X') Analysis of the localization of SOD1 to the lysosomes. A GFP-tagged L84V SOD1 vector was transfected into L84V SOD1-expressing SK-N-SH cells. After 24 h of incubation with 1 µg/ml of tunicamycin, the cells were incubated for a further 30 min with 100 nM Lyso-tracker (red; W, W') to visualize the lysosomes. GFP channel (V, V') Merged images (X, X'). Scale bars = 20 µm. Arrowheads indicate aggregated SOD1. doi:10.1371/journal.pone.0001030.g003

(KDEL) containing protein and GRP78/BiP, suggesting SOD1 localization in ER (Fig. 3A-F, A'-F'). In order to confirm the SOD1 colocalization with ER, we utilized GFP conjugated cytochrome b5, a typical C-terminal anchored ER membrane protein. As expected, SOD1 showed the positive staining with cytochrome b5, indicating mutant SOD1 localization to ER (Fig. 3G-I, G'-I'). In the absence of stress, ER was located to the perinuclear region. However, treatment with tunicamycin seemed to cause its relocation to an abnormal region near the cell periphery. The aberrant distribution of ER following tunicamycin treatment was not observed in cells expressing wild type SOD1 (Fig. S1C', F' and I'). These results suggest deterioration of ER function and localization due to aggregation of mutant SOD1.

In light of previous reports identifying mutant SOD1 colocalization to the mitochondria [34,35,37], we also examined the potential colocalization of mutant SOD1 with mitochondria. In contrast to the results with markers for ER, the SOD1 aggregates induced by tunicamycin did not colocalize with the mitochondria marker Mitotracker, with Tim17 which marks the mitochondrial inner membrane nor Tom20 which marks the mitochondrial outer membrane (Fig. 3J'-R'). The localization of these SOD1 aggregates also did not correspond with the Golgi apparatus or the lysosomes, which were stained by anti-GM130 antibody and Lyso-tracker, respectively (Fig. 3S'-X').

Our previous results in figure 3C', F' and I' revealed aberrant redistribution of ER membranes in tunicamycin-treated mutant SOD1 expressing cells to the cell periphery region. To directly visualize the localization of ER, we performed electron microscopic analysis of tunicamycin-stressed cells expressing mutant SOD1. Figure 4A and B showed abnormal aggregates of rough ER, sac-like structures with surface ribosomes, associated with numerous free ribosomes. Mutant SOD1 localization to these



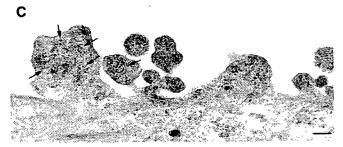


Figure 4. ER and SOD1 co-localization in peri-cytoplasmic membrane region. (A) Electron micrograph of L84V SOD1-expressing SK-N-SH cells after treatment with 1  $\mu$ g/ml of tunicamycin for 24 h as described in Materials and Methods. (B) Enlargement of part of (A). Arrowheads indicate abnormal ER aggregates, where mutant SOD1 is localized as in Fig. 3C' and 3E'. Scale bar=1  $\mu$ m. (C) SOD1 localization in peri-cytoplasmic membrane region. Cells were treated as described in (A) and immune electron micrograph was obtained as described in Materials and Methods. Arrows show SOD1 immunoreactive in ER. doi:10.1371/journal.pone.0001030.g004

peripheral aggregates was confirmed by immunoelectron microscopy (Fig. 4C), implying defective functional activities of ER and free ribosomes in cells expressing mutant SOD1.

#### LBHI/Ast-HI-like Inclusions are induced by ER stress.

Wate et al. [28] reported that neuronal LBHI in G93A SOD1 transgenic mice are immune reactive for GRP78/BiP, an ER resident component of the UPR response. As shown in figures 3A'-I' and 4C, mutant SOD1 localized to the ER following stress induction by tunicamycin. These SOD1 aggregates shared additional features with LBHI/Ast-HI, namely eosin positivity and ubiquitin immune reactivity. Those observations led us to consider whether ER stress would eventually induce the formation of full-fledged LBHI/Ast-HI. To test this hypothesis, we examined whether inclusion bodies containing mutant SOD1 developed in L84V SOD1-expressing cells subjected to ER stress. Consistent with this idea, eosinophilic hyaline inclusions (~10 to 20  $\mu m$  in diameter) with a pale core, which are similar to neuronal LBHI/ Ast-HI in the spinal cord of ALS patients harboring a SOD1 mutation, developed within 24 hrs of exposure to tunicamycin (Fig. 5A), but not in cells expressing wild type SOD1 (data not shown). In fact, the eosin-positive LBHI/Ast-HI-like hyaline inclusions (LHIs) were morphologically similar to the Ast-HI seen in the spinal cord of transgenic L84V SOD1 mice at the symptomatic stage (Fig. 5A and D). Furthermore, ultrastructual analysis revealed that the LHIs in neuroblastoma cells were composed of granule-coated fibrils (approximately 15-25 nm in diameter) and granular materials, which are the typical morpho-

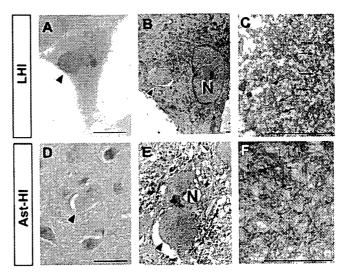


Figure 5. LHIs containing granule-coated fibrils are morphologically identical with Ast-HI from L84V transgenic mice. (A-F) Comparison of a LHI induced by ER stress in an L84V SOD1-expressing SK-N-SH cell (A-C) and Ast-HI in the spinal cord of a transgenic L84V SOD1 mouse (D-F). (A) An eosinophilic LHI in the cytoplasm of the SK-N-SH cell expressing L84V SOD1 cell was induced by treatment with 1  $\mu$ g/ml of tunicamycin for 24 h (scale bar =  $20 \mu m$ ). (B) Electron micrograph of a hyaline inclusion (arrow) obtained by the direct epoxy resin-embedding method after decolorization of the HE-stained section shown in (A). N, nucleus;  $\times 3000$  (scale bar = 1  $\mu$ m). (C) At a high magnification, the inclusion is composed of granule-coated fibrils (arrows) approximately 15-25 nm in diameter and granular materials. ×16000 (scale bar = 1 μm). (D) An eosinophilic Ast-HI from a transgenic L84V SOD1 mouse. (E) Electron micrograph of an Ast-HI obtained by the direct epoxy resin-embedding method mentioned in (B). N, nucleus; ×2000 (scale bar = 1  $\mu$ m). (F) Enlargement of (E). ×16000 (scale bar = 1  $\mu$ m). Note that the fibrils observed in (C) and (F) are ultrastructually identical. doi:10.1371/journal.pone.0001030.g005

logical hallmarks of mutant SOD1-linked FALS, and were identical with the Ast-HI found in L84V SOD1 mice (Fig. 5C, F; [38]). These results suggest that LBHI/Ast-HI in FALS patients might be provoked by ER stress as we observed for LHIs.

We further explored the molecular similarity between the LHI and LBHI/Ast-HI, using double-label immunocytochemistry. As shown in figure 6A-D, LHIs induced by tunicamycin are immunopositive for anti-SOD1 and anti-ubiquitin antibodies, consistent with the LBHI/Ast-HI features. In the spinal cord of G93A SOD1 mutant mice at the symptomatic stage, neuronal LBHI show GRP78/BiP immunoreactive, suggesting the involvement of ER resident protein [28]. Therefore, we examined whether LHIs also contain ER resident protein. As expected, LHI showed anti-KDEL positivity, indicating the involvement of ER resident proteins such as calreticulin, GRP 94, PDI and GRP78/BiP in LHI development (Fig. 6E and F). Furthermore, Ast-HI in spinal cord of L84V SOD1 transgenic mice at symptomatic stage also showed KDEL positive (Fig. 6G and H), meaning that the principle features of these inclusions in neuroblastoma cells and the LBHI/Ast-HI of FALS patients are the same and implying LHI and LBHI/Ast-HI might develop in similar procedure.

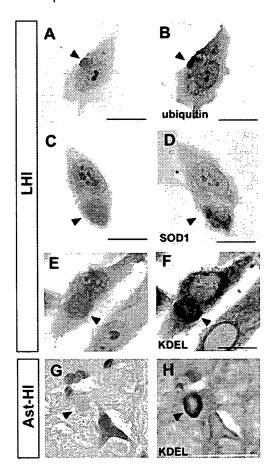


Figure 6. Positive immunoreactive against ubiquitin, SOD1 and KDEL of LHIs. (A–D) LHIs show immunoreactive against ubiquitin and SOD1. Eosinophilic LHIs in SK-N-SH cells (arrowheads in A and C) induced by tunicamycin were immunostained for ubiquitin (B) and SOD1 (D) after de-colorization. (E–H) KDEL immunoreactive in both LHI and Ast-HI. Eosinophilic LHI in SK-N-SH cells (arrowhead in E) and Ast-HI in spinal cord of L84V SOD1 mouse (arrowhead in G) were immunostained against anti-KDEL antibody after de-colorization (F, H). Scale bar = 20 μm

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# Abnormal ER aggregated around peri-nuclear region with numerous free ribosomes at presymptomatic stage of Ast-HI in L84V SOD1 mice.

To further explore the relationship of LHI to the development of LBHI/Ast-HI in FALS patients with mutant SOD1, we performed ultrastructual examination of transgenic L84V SOD1 mice, which show neuronal LBHI and Ast-HI at symptomatic stage (Fig. 5D-F, 6G-H; [35]). We examined the mice at the presymptomatic stage in the hope of detecting precursors to hyaline inclusion bodies. In spinal cord neurons of the presymptomatic L84V SOD1 transgenic mice, we observed aberrant aggregation of electron-dense rough ER around the peri-nuclear region with numerous free ribosomes, which were suspected to be producing mutant SOD1 (Fig. 7). This suggests that the aberrant SOD1 fibrils observed in spinal neurons of these mice at later

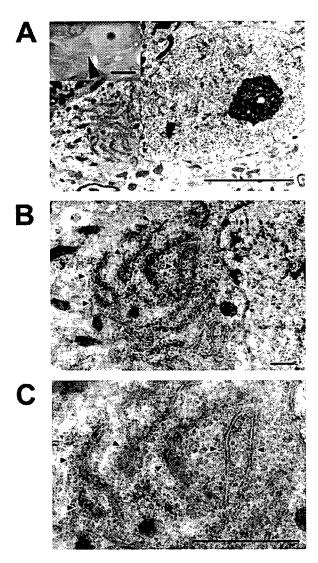


Figure 7. ER shows abnormal aggregation with numerous free ribosomes in L84V SOD1 mouse at presymptomatic stage. (A–C) Electron micrographs of a neuron obtained from an L84V SOD1 transgenic mouse containing ER aggregates. The inset in (A) shows a cytoplasmic inclusion-like structure (arrowhead) stained with toluidine blue. (A)  $\times 3500$  (scale bars = 20  $\mu$ m). (B)  $\times 8000$  (scale bar = 1  $\mu$ m). (C)  $\times 15000$  (scale bar = 1  $\mu$ m). Arrowheads indicate abnormal ER aggregates.

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stages might be produced by cooperative activity of ER and ribosomes. These inclusion-like structures with abnormal accumulation of ER seemed likely to represent a precursor to the later neuronal LBHI observed in this line. These results imply that the deterioration of ER function and the involvement of ER might be important for formation and developing neuronal LBHI/Ast-HI in mutant SOD1 harboring FALS patients.

#### **DISCUSSION**

Aggregated proteins or inclusions are a pathological hallmark and possible causative agent of several neurodegenerative disorders including ALS [39]. While LBHI/Ast-HI have been established as morphological hallmarks of mutant SOD1-linked FALS, little is known about the formation of these structures in neurons [6]. Several in vitro systems have been provided for analysis mutant SOD1 aggregation [35,36,40], however, the relationship between mutant SOD1 aggregation in vitro and pathological hyaline inclusions in vivo remains unclear. The LHI we observed in SK-N-SH cells expressing mutant SOD1 provide a direct link between in vitro and in vivo SOD1 aggregation. To our knowledge, this is the first study to show reproducible induction of LBHI/Ast-HI like structures meeting the criteria of inclusion bodies [24,26,31,38,41].

LBHIs/Ast-HIs in human FALS consist of a chaotic mixture of cytoplasmic proteins (such as SOD1, copper chaperone for SOD (CCS), peroxiredoxin 2, and glutathione peroxidase 1), cytoskeletal proteins (such as tubulin, tau protein, and phosphorylated- and nonphosphorylated neurofilament), nuclear proteins (such as neuron-specific enolase) and synaptic proteins (such as synaptophysin [24,38,41-43]). Recently, it has been published that GRP78/BiP, an ER resident chaperon protein, is also co-localized with LBHI of G93A SOD1 mice [28]. GRP78/BiP is molecular chaperone protein induced by IRE1 in response to aberrant protein folding and promotes proper protein folding. In this context, GRP78/BiP may be acting as part of the UPR response to resolve granule coated fibrils. Tobisawa et al. [35] reported increased protein levels of GRP78/BiP in motor neurons of mutant SOD1 transgenic mice, suggesting that the motor neurons in their model suffer from 'ER stress'. While the importance of ER stress or proteosome malfunction in formation of mutant SOD1 aggregates has been established [35,36,40], the mechanisms by which mutant SOD1 forms LBHI/Ast-HI in FALS remain poorly understood. In this study, we present three lines of evidence for the involvement of ER stress in early events in LBHI/Ast-HI formation. First, ER stress in neuroblastoma cells expressing mutant SOD1 results in SOD1- and ubiquitin-immunopositive LHIs, compatible with LBHI/Ast-HI, composed of granule-coated fibrils approximately 15-25 nm in diameter and granular materials (Figs. 5 and 6). Secondly, we observed similar structures in the spinal cord of L84V SOD1 transgenic mice at presymptomatic stages, including abnormal electron dense, i.e. stressed, ER and numerous free ribosomes. (Figs. 4 and 7). Third, positive staining against anti-KDEL antibody, which recognizes ER resident proteins such as calreticulin, GRP 94, PDI and GRP78/BiP, were observed in both the LHI and Ast-HI of L84V SOD1 transgenic mice at symptomatic stages (Fig. 6E-H). These findings support the hypothesis that ER stress induces LBHls/Ast-HIs creation in FALS patients with mutant SOD1. Taken together, these observations suggest that LHI in neuroblastoma cells and LBHI/Ast-HI in FALS patients might develop through

In this study, we presented evidences that ER stress causes aggregates of mutant SOD1 and formation of LHI which is compatible with LBHI/Ast-HI. However, other questions arise from these results. 1) Why did same stress induce the different

outcome of mutant SOD1 aggregation in the neuroblastoma? 2) Are the smaller aggregates competent to develop to LHIs? To answer these questions, we sought without success to identify the origin of the granule coated fibrils or SOD1 containing filamentous structure (e.g. less densely coated fibrils) in the smaller SOD1 aggregates localized to ER in L84V SOD1 expressing cells. Nevertheless, we found common features between the small aggregates in L84V SOD1 expressing SK-N-SH cells and neuronal LBHI-precursor in L84V transgenic mice, including regions of abnormal ER aggregation surrounded by abundant free ribosomes (Fig. 4B and Fig 7C). Furthermore, LHI and Ast-HI were immunopositive for the KDEL peptide present in ER-resident proteins, suggesting the involvement of ER itself in formation or development of LBHI/Ast-HI (Fig. 6E-H). We suggest that aberrant SOD1 fibril might be produced by cooperative activity of ER and ribosomes. To answer the questions, careful observation of LHI with time lapse analysis is needed.

It remains unclear why the major symptoms of ALS in patients with mutant SOD1-linked FALS do not develop until middle age, but we speculate that age-dependent changes in responses to ER stress might provide an answer. Under normal conditions, newly synthesized and misfolded proteins are refolded by chaperons such as GRP78, 94, calnexin, and calreticulin. This UPR response may be more robust in younger FALS patients and might be the reason the proteins aggregates are not observed in young patients even though mutant SOD1 is expressed. However, a decrease in protein folding or chaperone capability may occur with aging, and accumulation of misfolded proteins in the ER lumen may gradually lead to ER stress [44]. Consistent with this idea, Tobisawa et al. reported mutant SOD1 retention in the ER in COS7 cells [35] and Kikuchi et al. reported age-dependent increase of mutant SOD1 aggregation to ER in spinal cord of G93A SOD1 mice, suggesting ER dysfunction might be caused by mutant SOD1 [36]. Prolonged ER stress associated with insufficient degradation of misfolded proteins would subsequently activate apoptotic pathways. Nakagawa et al. reported that caspase-12, the ER resident caspase, is specifically cleaved and activated by ER stress, and that cells derived from mice lacking caspase-12 are resistant to ER stress [16]. In the spinal cords of G93A SOD1 mice, caspase-12 is activated in symptomatic period and can be inhibited by overexpression of XIAP (X-linked inhibitor of apoptosis protein [45,46]. Then, we analyzed activation of caspase-4 (the human orthologue of rodent caspase-12) following tunicamycin treatment. As expected, the SOD1 aggregates of the L84V SOD1-expressing neuroblastoma cells colocalized with caspase-4 (unpublished data), implying caspase-4 might contribute to cell death in our model system.

Although it can take longer than 30 years for LBHI/Ast-HI to develop in FALS patients, we could induce the formation of morphologically similar LHI within 24 hours in our simple model. Detection of the molecular targets for ER stress-induced hyaline inclusions of mutant SOD1 in our model might lead to the development of therapy that can prevent the progression of mutant SOD1-linked FALS. Ultimately, our study should contribute to the development of a simple system to analyze novel therapies for ALS.

#### **MATERIALS AND METHODS**

#### Transgenic Mice

Transgenic mice for mutant human SOD1<sup>L84V</sup> (C587BL/6 background) were created (M. Kato, et al. Transgenic mice with ALS-linked SOD1 mutant L84V. Abstract of the 31st Annual Meeting of Society for Neuroscience, San Diego, 2001). Mice were genotyped by PCR to detect the mutant SOD1 transgene using

the following primers: forward, TTGGGAGGAGGTAGT-GATTA; reverse, GCTAGCAGGATAACAGATGA. The onset of symptoms was at 5-6 months and the initial sign of the disease was usually weakness in their hindlimbs, while approximately 10% of the mice first showed weakness in their forelimbs.

#### Chemicals and antibodies

We used the following antibodies: anti-SOD1 polyclonal antibody (pAb; Chemicon, Temecula, CA); anti-ubiquitin pAb and anti-KDEL mAb (Stressgen, Victoria, BC. Canada); anti-Tim17 pAb and anti-Tom20 pAb (grateful gifts by Dr. Otera and Prof. Mihara [47,48]); Alexa Fluor 488-conjugated anti-sheep IgG, Alexa Fluor 588-conjugated anti-mouse IgG antibody, and Alexa Fluor 588conjugated anti-rabbit IgG antibody (Molecular Probes, Eugene OR); biotinylated anti-sheep IgG (Vector Laboratories, Burlingame, CA); anti-FLAG mAb (Sigma, woodlands, USA); anti-myc pAb and anti-GFP-mAb (Santa Cruz, Santa Cruz, CA); HRP-conjugated anti-sheep IgG (Jackson ImmunoResearch Laboratories Inc., West Grove, PA); and HRP-conjugated anti-mouse IgG and HRPconjugated anti-rabbit IgG antibody (Cell Signaling Technology, Beverly, MA). Tunicamycin was obtained from Sigma.

#### Cell culture and induction of ER stress

SK-N-SH human neuroblastoma cells were obtained from the Riken Cell Bank (Tsukuba, Japan), and were cultured in α-MEM (Invitrogen) containing 10% fetal bovine serum at 37°C under 5% CO<sub>2</sub>. These cells were transfected with pcDNA3.1-hSOD1 and pcDNA3.1-hL84V-SOD1 to cause overexpression of wild-type or L84V mutant SOD1, respectively. G418 resistant stable neuroblastoma cell lines expressing equal levels of endogenous and exogenous SOD1 were established. In all experiments, we used cultures that were at 70-80% confluence to avoid the influence of stress induced by overgrowth. On the day of stimulation, fresh medium was added more than 1 h before exposure to stress in order to ensure the same conditions for each culture.

#### Western blot analysis

SK-N-SH cells stably expressing wild-type or L84V SOD1 were washed with PBS, harvested, and lysed in TNE buffer containing 1 mM PMSF and 1% SDS. 10 μg of protein was subjected to 12% SDS-PAGE and transferred to a PVDF membrane (Millipore Corp.). The membrane was blocked with 5% skim milk and incubated with anti-SOD1 antibody (1:1500 dilution), followed by incubation with an HRP-conjugated secondary antibody. Proteins were visualized with an ECL detection system (Amersham-Pharmacia).

#### **Immunocytochemistry**

SK-N-SH cells stably expressing wild-type SOD1 or L84V SOD1 were treated with 1 µg/ml of tunicamycin for 24 h. Then the cells were fixed with Zamboni's solution (0.1 M phosphate-buffered saline (PBS; pH 7.4) containing 2% paraformaldehyde (PFA) and 21% picric acid), rinsed in 0.1 M PBS, and incubated for 30 min in 0.3% H<sub>2</sub>O<sub>2</sub> to eliminate endogenous peroxidases. Next, the cells were incubated overnight at 4°C with the primary antibody (a polyclonal sheep anti-SOD1 antibody; Calbiochem) at 1:1000 in 0.1 M PBS containing 0.3% Triton X-100 and 3% bovine serum albumin (BSA). After washing in 0.1 M PBS, cells were incubated for 30 min with the secondary antibody (biotinylated anti-sheep IgG) (Vector Laboratories). After amplification with avidin-biotin complex from the ABC kit (Vector Laboratories), reaction products were visualized with 0.05 M Tris-HCl buffer (TBS; pH 7.6) containing 0.02% diaminobenzidine tetrahydrochloride (DAB) and 0.01% hydrogen peroxide. Finally, the cells were counterstained with Mayer's hematoxylin and eosin (HE).

#### Co-immunoprecipitation assay utilizing ubiquitin

Lysates of pcDNA3.1-myc-tagged ubiquitin (a kind gift from Dr. Niwa and Prof. Sobue [32])-transfected SK-N-SH cells stably expressing wild-type SOD1 or L84V SOD1 were prepared using TNE buffer (10 mM Tris-HCl, (pH 7.4), 150 mM NaCl, and 1 mM EDTA) containing 1 mM phenylmethylsulphonyl fluoride (PMSF), 2 µg/ml aprotinin, and 1% Nonidet P-40 after treatment with or without 4 µg/ml ALLN for 12 h. Then, 1 µg of anti-FLAG antibody was added to 400 µg of lysate, followed by incubation at 4°C for at least 3 h. Protein G-Sepharose (10 µl gel) was then added and incubation was done with rotation at 4°C for 1 h. The immunoprecipitate was subjected to SDS-PAGE and transferred to a polyvinylidene fluoride (PVDF) membrane. The membrane was blocked with 5% skim milk and then was incubated with anti-Myc antibody (1:1000 dilution), followed by incubation with an HRP-conjugated secondary antibody. Proteins were visualized with an ECL detection system (Amersham-Pharmacia).

#### Immunofluorescence and chemifluorescence

SK-N-SH cells expressing wild-type SOD1 or L84V SOD1 were incubated with or without tunicamycin or ALLN, rinsed in 0.02 M PBS, and fixed in Zamboni's fixative. Then the cells were incubated overnight at 4°C with an anti-SOD1 antibody (1:1000 dilution) and either anti-KDEL (1:500 dilution), anti-GM130 (1:500 dilution) or anti-ubiquitin (1:500 dilution) antibody in 0.02 M PBS containing 0.3% Triton X-100 and 3% BSA. Next, the cells were treated with fluorescent dye (Alexa Fluor 488)conjugated donkey anti-sheep IgG (SOD1; 1:1000 dilution), fluorescent dye (Alexa Fluor 568)-conjugated goat anti-mouse IgG (KDEL, GM130; 1:1000 dilution), and goat anti-rabbit IgG (ubiquitin: 1:1000) as the secondary antibodies for 1 h at RT in 0.02 M PBS containing 3% BSA. Examination was done under a Zeiss LSM 510 microscope. For detection of SOD1 colocalization with cytochrome b5, pCMV b5-EGFP vector was transfected to the cells (kind gift from Dr. Otera and Prof. Mihara; [49]). The GFP signal was enhanced by anti-GFP antibody staining (1:100). In order to determine the localization of SOD1 in living cells, SK-N-SH cells expressing wt and L84V SOD1 were transfected with a pcDNA3.1-GFP-tagged wt and L84V SOD1 plasmid, respectively. After treatment with tunicamycin for 24 hr, the cells were further incubated with Mito-tracker or Lyso-tracker (Molecular Probes) for 30 min to visualize the mitochondria or lysosomes, respectively. Then the cells were rinsed at least three times in 0.1 M PBS and fixed with Zamboni's solution for examination under a LSM 510 confocal microscope (Zeiss, Osaka, Japan).

#### Electron microscopy

SK-N-SH cells stably expressing L84V SOD1 were exposed to 1 μg/ml tunicamycin for 24 h and then fixed at room temperature (RT) for 1 h in 0.1 M phosphate buffer (PB) containing 2.5% glutaraldehyde (GA) and 2% paraformaldehyde. Subsequently, the cells were post-fixed in 1% OsO4 at RT for 1 h, dehydrated in a graded ethanol series, and embedded in epoxy resin (Quetol 812; Nisshin EM Co.). Areas containing cells with aggregates were block-mounted in epoxy resin by the direct epoxy-resin embedding method and cut into 90-nm sections. The sections were counterstained with uranyl acetate and lead citrate, and then examined using an H-7100 electron microscope (Hitachi).

#### Immune Electron microscopy

As with immunocytocemistry methods above, after fixation with Zamboni solution containing 0.1% GA, the cells with anti-SOD1 antibody were developed with DAB. Then, they were post-fixed in 1% OsO4 in 0.1 M PB at RT for 30 min after 1% GA in 0.1M PB re-fixation. The samples were dehydrated in a graded ethanol series and then embedded in Quetol 812. Areas containing cells with aggregate morphology were block-mounted and cut into 90-nm sections. The sections were counterstained with uranyl acetate and lead citrate, and then examined with an H-7100 electron microscope.

# Analysis of inclusion bodies (light microscopy and electron microscopy)

Sections of SK-N-SH cells containing eosinophilic hyaline inclusion bodies and spinal cord sections from transgenic SOD1 L84V mice were decolorized, rehydrated, rinsed in 0.1 M PBS, and then blocked for 1 h in 0.1 M PBS containing 0.3% Triton X-100 and 3% BSA. Next, the sections were incubated overnight at 4°C with the primary antibody (polyclonal sheep anti-SOD1 antibody at 1:500) in 0.1 M PBS containing 0.3% Triton X-100 and 3% BSA. After washing in 0.1 M PBS, sections were incubated for 30 min with the secondary antibody (biotinylated anti-sheep IgG). Subsequently, incubation was performed for 30 min in 3% H<sub>2</sub>O<sub>2</sub> to eliminate endogenous peroxidases. After amplification with avidin-biotin complex (ABC kit, Vector Laboratories), visualization of reaction products was done with 0.05 M TBS (pH 7.6) containing 1.25% DAB and 0.75% hydrogen peroxide.

For electron microscopy, samples of SK-N-SH cells expressing L84V SOD1 and spinal cords from transgenic SOD1 L84V mice were decolorized, rehydrated, and rinsed in 0.1 M PBS. The samples were further fixed and dehydrated. Then the samples were embedded directly in epoxy resin, sectioned, counterstained, and examined as described under electron microscopy section.

#### SUPPORTING INFORMATION

**Figure S1** Cytosolic localization of SOD1 in wt SOD1 expressing cells under ER stress. (A-F, A'-F') Analysis of localization of SOD1 on ER. WT SOD1-expressing SK-N-SH

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cells were incubated for 24 h without (A-F) or with 1 ug/ml of tunicamycin (A'-F'). Then the cells were fixed and stained using an anti-SOD1 antibody (green; A, D, A', D') and an anti-KDEL antibody (red; B, B') or an anti-GRP78 antibody (red; E, E'). GFPcytochrome b5 were transfected to the cells and stained with anti-GFP (green; G, G') and anti-SOD1 (red; H, H') antibodies. Merged images (C, F, I, C' F', I'). (J-R, J'-R') Analysis of SOD1 localization to the mitochondria. WT SOD1-expressing SK-N-SH cells were treated as described in above. The locations of the mitochondria and SOD1 were visualized in WT SOD1-expressing SK-N-SH cells using 100 nM Mito-tracker (red; K, K'), an anti-Tim17 antibody (red; N, N') or an anti-Tom20 antibody (red; Q, Q') and an anti-SOD1 antibody (green; J, M, P, J', M', P'). Merged images (L, O, R, L', O', R'). (S-U, S'-U') Investigation of SOD1 localization to the Golgi apparatus. L84V SOD1-expressing SK-N-SH cells were treated as described in above. Then the cells were stained with anti-SOD1 antibody (green; S, S') and anti-GM130 antibody (red; T, T'). Merged images (U, U'). (V-X, V'-X') Analysis of the localization of SOD1 to the lysosomes. A GFPtagged WT SOD1 vector was transfected into WT SOD1expressing SK-N-SH cells. After 24 h of incubation with 1 ug/ml of tunicamycin, the cells were incubated for a further 30 min with 100 nM Lyso-tracker (red; W, W') to visualize the lysosomes. GFP channel (V, V') Merged images (X, X'). Scale bars = 20 um. Found at: doi:10.1371/journal.pone.0001030.s001 (3.70 MB TIF)

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#### **Author Contributions**

Conceived and designed the experiments: SY YK TK SK MT. Performed the experiments: SY YK TK MT. Analyzed the data: SY YK TK MT JH MK MA YI SK MT. Contributed reagents/materials/analysis tools: SY YK TK MK MA YI. Wrote the paper: SY YK TK SK MT.

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### Workshop: Recent Advances in Motor Neuron Disease

# Development of a rat model of amyotrophic lateral sclerosis expressing a human SOD1 transgene

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Mutations in copper-zinc superoxide dismutase gene (SOD1) have been linked to some familial cases of ALS. We report here that rats that express a human SOD1 transgene with two different ALS-associated mutations (G93A and H46R) develop striking motor neuron degeneration and paralysis. By comparing the two transgenic rats with different SOD1 mutations, we demonstrate that the time course in these rats was similar to human SOD1-mediated familial ALS. As in the human disease and transgenic ALS mice, pathological analysis shows selective loss of motor neurons in the spinal cords of these transgenic rats. In addition, typical neuronal Lewy body-like hyaline inclusions as well as astrocytic hyaline inclusions identical to those in human familial ALS are observed in the spinal cords. The larger size of this rat model as compared with the ALS mice will facilitate studies involving manipulations of spinal fluid (implantation of intrathecal catheters for chronic therapeutic studies; CSF sampling) and spinal cord (e.g., direct administration of viral- and cell-mediated therapies).

**Key words:** astrocytic hyaline inclusions, amyotrophic lateral sclerosis, Lewy body-like hyaline inclusions, mutation, rat, *SOD1*, transgenic.

#### INTRODUCTION

ALS is a fatal neurodegenerative disease caused by the selective death of motor neurons. Approximately 10% of the cases of ALS are inherited, usually as an autosomal dominant trait. In 25% of familial cases, the disease is caused by mutations in the gene encoding cytosolic

copper-zinc superoxide dismutase (SODI).<sup>23</sup> Nearly 100 different mutations in the SODI gene have been identified in familial ALS.<sup>4</sup> Why the mutations cause motor neuron degeneration has not been fully elucidated.

In familial ALS kindred with mutations in the SOD1 gene, the age of onset of weakness varies greatly but the duration of illness appears to be characteristic to each mutation. For example, in patients with the L84V mutation, the average life expectancy is less than 1.5 years after the onset of symptoms, 5.6 whereas patients harboring the H46R mutation have an average life expectancy of 18 years after the disease onset. 2.7 In view of the evidence supporting the idea that familial ALS variants of SOD1 enzymes acquire toxic properties, the variations in the duration of illness in different kindred might arise because each mutation imparts different degrees of toxicity to the mutant protein. 8

To date, several SOD1 mutants of transgenic mice have been generated.9-12 These mice exhibit the ALS-like clinical features and have importantly advanced our understanding of the pathogenesis of neuronal cell death induced by mutant SOD1 protein. They have also facilitated therapeutic trials. However, some types of experimental manipulations have been difficult in the ALS mice because of their innate size limitations. It has been almost impossible, for example, to analyze CSF from the ALS mice, even at single time points. It has also been very difficult to use therapies that involve administration of compounds into the CSF. There is only a single report of pump-mediated delivery of therapies to the CSF of the ALS mice, and that approach was intraventricular rather than intrathecal;13 it is likely that intrathecal administration will produce significantly better therapeutic levels of compounds at the spinal cord level than will the intraventricular approach.14 It has also been difficult to obtain sufficient tissue to perform extensive biochemical analyzes, such as investigations of posttranscriptional modifications of proteins like SOD1 itself during disease progression. For these reasons and in order

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to reproduce the different degrees of toxicity to the mutant protein by mutations, we have developed a rat model of ALS by expressing a human *SOD1* transgene with two ALS-associated mutations: H46R and G93A.<sup>15</sup>

## CONSTRUCTION OF TRANSGENIC MICE EXPRESSING MUTANT HUMAN SODI

We elected to make transgenic rats with two mutations in the *SODI* genes: histidine 46 to arginine (H46R) and glycine 93 to alanine (G93A). In patients we have encountered with these mutations, the phenotypes are quite different. For H46R patients, progression is extremely slow<sup>2,7</sup> whereas patients with the *SODI* <sup>G93A</sup> mutation demonstrate a more fulminant, classical clinical course. Moreover, the transgenic ALS mouse with this G93A mutation has been widely distributed and studied throughout the world. 9

To generate the transgenic rats with the H46R and the G93A mutations, we first obtained human genomic PAC clones encompassing the entire human SOD1 gene; we then subcloned this gene within an 11.5 kb EcoRI-BamHI fragment. Site-directed mutagenesis was used to generate clones with either the H46R or the G93A mutations. The mutated 11.5 kb EcoRI-BamHI fragments were microinjected into fertilized eggs from Sprague Dawley (SD) rats (Japan SLC, Hamamatsu, Japan). Twenty-five potential transgenic H46R pups were obtained. From these, five founders with the H46R mutant transgene were identified using PCR and Southern blotting. Fifty-two potential transgenic G93A pups were obtained. From these, seven founders with the G93A mutant transgene were identified. Levels of accumulated mutant SOD1 were measured for almost all founders by quantitative protein immunoblotting of spinal cord extracts using antibody against a peptide sequence that is identical in human and rat SOD1.

The transgenic rats expressing the higher levels of each human SOD1 mutant (lines G93A-39 and H46R-4) have developed motor neuron disease (Fig. 1). Clinically apparent weakness, denoted by dragging of one hindlimb without limb tremor, was evident somewhat later. The mean age of onset of this clinical weakness for the G93A-39 line was 122.9 days (n = 14); for the H46R-4 line, the age of onset was 171.7 days (n = 11) (Fig. 1). Simultaneously with the onset of clinical weakness, the affected rats showed prominent weight loss. Although the initial clinical manifestation of weakness was unilateral leg paralysis, this progressed and became bilateral in both lines of rats. In the early stages of the illness, another distinctive abnormality was increased tone in the tail musculature, resulting in an elevated, segmentally spastic tail posture. As the disease progressed, the rats exhibited marked muscle wasting in the hindlimbs, typically dragging themselves about the

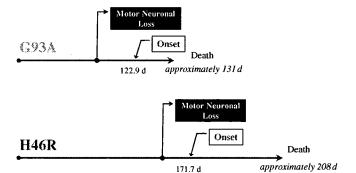


Fig. 1 Progression of mutant superoxide dismutasemediated disease. From the presymptomatic stage, the anterior horns of the same rats revealed decreased numbers of large, multipolar neuronal cells (motor neurons) with proliferation of small non-neuronal cells with morphological characteristics of astrocytes and microglia. The ages of onset and death for the G93A-39 and H46R-4 rats are indicated.

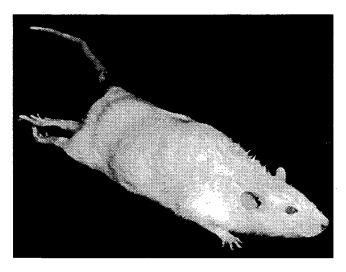


Fig. 2 An affected transgenic rat from the H46R-4 line demonstrates hindlimb weakness and abnormal posturing with segmental spasticity of the tail.

cage using the forelimbs (Fig. 2). Thereafter, the forelimbs also became weak, in association with further weight loss. At end-stage, the affected rats could not drink water and died. The mean duration of the disease in the G93A-39 and H46R-4 lines were 8.3 days (n = 14) and 37.2 days (n = 11), respectively. All rats were handled according to approved animal protocols in our institution.

### HISTOPATHOLOGICAL AND IMMUNOHISTOCHEMICAL ANALYZES

The H46R and G93A transgenic rats exihibit the same histopathological changes as those in human familial ALS patients with SOD1 gene mutations. Therefore, at present,