streptoavidin-biotin peroxidase method and a Histofine SAB-PO kit (Nichirei, Tokyo, Japan), visualized using diaminobenzidine (DAB) and intensified with osmic acid. Control sections, in which the primary antibody was omitted, were processed in parallel. Sections were counterstained with hematoxylin for 10 sec.

To identify the cytoarchitecture and cortical layers of BA9,  $^{34}$  sections usually adjacent to or within 20  $\mu m$  from the immunostained sections were stained with hematoxylin.

#### Areal density and cell size measurement

PV-, CR-, and CB-IR neurons were analyzed by two investigators (TS and AO). The methods of image and quantitative analysis were identical for each investigation.

Immunoreactive cells were plotted at 4× magnification using a Nikon microscope (Eclipse E800) equipped with an Olympus digital camera (DP 50). Using the software Viewfinder Lite ver.1.0 and Studio Lite ver.1.0 (Pixera Japan, Kanagawa, Japan), we obtained a series of contiguous images of the cortex from the pia to the gray/white matter border, from which a single composite image was formed using Adobe Photoshop CS.

Sections stained with hematoxylin for identification were analyzed using Image-J software ver.1.34 to measure cortical and laminar thicknesses and to count the number of cells in each layer. Cortical layers were distinguished on the basis of the differences in the distribution, size and shape of their neurons.<sup>34</sup> At each position in which data were acquired, immunoreactive cells were counted for each layer. The density of neuronal profiles was expressed as mean values (± SE) per mm² per layer from a total of two 1000-µm-wide cortical traverses, each from the pial surface to the white matter border. Cortical traverses were located in an area devoid of damage and blood vessels and where the pial surface was parallel to the white matter border.

We used a semiautomated threshold to identify and outline all stained cells within the composite images. The threshold of the light intensity level was selected for each image so that the glia and neurons were well outlined. Neurons were identified by the presence of a stained cytoplasm and by their generally larger shape. Glia were differentiated from neurons by their more rounded and darker appearance, and smaller shape.

For each case and section, the somal size of each cell counted was measured using Image-J software, and each IR-neuron was classified into two classes according to their size. The size range was determined using the mean and SD of the size of the cells of the control subjects as follows: medium (within mean +1 SD), large (larger than mean +1 SD).

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#### Statistical analysis

The relative density of labeled neurons from the two cortical traverses was averaged for each cortical layer in each case, and the results were analyzed by two-way ANOVA followed by the Bonferroni or Tamhane test using layers and diagnoses as variables. Following this analysis, the mean densities of PV-, CB-, and CR-IR neurons in each cortical layer for each of the two patient groups were compared with those of the control group by one-way ANOVA, which enabled us to determine disease and laminar specificity.

The demographic and histological variables listed in Table 1, for example age and sex, were considered to be confounders, and were therefore included in the analysis as covariates if they differed between each group at the 10% significance level (ANOVA or  $\chi^2$  test) or if they could also be shown empirically to predict densities at the 10% significance level (Spearman's rank correlation). All statistical analyses were carried out using SPSS 12.0 software (SPSS Japan Inc., Tokyo, Japan.).

#### RESULTS

#### Identification of adjustment variables

Because no significant group differences were detected for the demographic or clinical variables at the 10% significance level (ANOVA or  $\chi^2$  test) (Table 1), these variables were not included in the analysis as covariates.

#### Neurons and glia

Significant reductions in neuronal density were detected by two-way anova in the BPD subjects (P = 0.038) and SCZ (P = 0.002) subjects. The neuronal density determined at each layer comparison showed reductions in layer 3 (22%, P < 0.001), layer 4 (31%, P < 0.001), and layer 5/6 (28%, P = 0.006) in the SCZ subjects, and in layer 4 (28%, P = 0.031) in the BPD subjects, and even after Abercrombie correction changes in the same direction were estimated. However, no significant differences in the somal size of neurons were observed. There was no change in glial density in any of the layers in the psychiatric disorder groups compared with that in the control group, and no change in glial size was observed.

#### **CBP-IR** neurons

CB-IR neurons were present predominantly in layer 2 and the superficial layer 3. The majority of these cells corresponded to non-pyramidal neurons, and showed intense immunoreactivity, and the minority were pyramidal in shape with a low immunoreactivity (Fig. 1). CR-IR neurons also appeared to be non-pyramidal neurons that

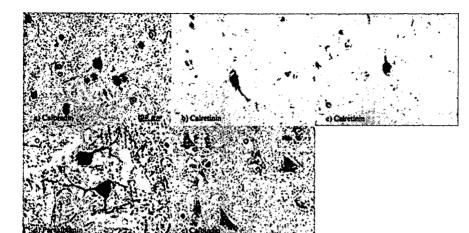


Fig. 1 Cells labeled by immunoreactivity to calbindin in layer 2 (a), calretinin in layer 1 (b) and layer 3 (c), parvalbumin in layer 3 (d), and labeled by low immunoreactivity to calbindin in layer 3 (e) of control subjects (bar =  $20 \mu m$ ).

Table 2 Densities (mean ± SE cells/mm²) of calcium-binding-protein-immunoreactive neurons in BA9 in schizophrenia (SCZ), bipolar disorder (BPD), and control (CON) groups

Calcium-binding protein	Cortical layer	Diagnosis							
		CON (n = 5)		BPD	(n=5)	SCZ (n = 7)			
		Medium	Large	Medium	Large	Medium	Large		
Calbindin	1	3.40 ± 1.69	0.51 ± 0.51	0	0	$0.84 \pm 0.60$	0		
	2	$64.48 \pm 7.61$	$11.32 \pm 4.01$	$46.20 \pm 5.47$	$2.35 \pm 1.13$	32.29 ± 7.33*	$0.30 \pm 0.30$		
	3	$32.14 \pm 13.03$	$2.21 \pm 0.67$	$13.27 \pm 5.09$	$0.54 \pm 0.41$	$13.80 \pm 3.98$	$0.71 \pm 0.29$		
	4	$15.54 \pm 9.81$	$3.27 \pm 2.87$	$3.53 \pm 2.17$	$0.36 \pm 0.36$	2.91 ± 1.24	$0.28 \pm 0.28$		
	5/6	$7.34 \pm 4.14$	$1.29 \pm 1.29$	$2.07 \pm 1.05$	$0.38 \pm 0.25$	$2.82 \pm 1.51$	$0.07 \pm 0.07$		
Calretinin	1	$18.98 \pm 5.09$	$3.51 \pm 1.37$	$20.15 \pm 7.02$	$2.25 \pm 0.95$	$4.03 \pm 1.92$	$1.03 \pm 1.03$		
	2	$56.38 \pm 6.90$	$5.52 \pm 1.63$	$56.46 \pm 9.07$	17.11 ± 3.76*	$29.47 \pm 7.94$	$2.78 \pm 1.44$		
	3	$22.74 \pm 4.81$	$4.26 \pm 1.23$	$21.08 \pm 2.92$	$7.01 \pm 1.80$	$10.52 \pm 2.86$	1.29 ± 0.59		
	4	$7.59 \pm 3.21$	$1.87 \pm 0.88$	$6.18 \pm 2.94$	$0.68 \pm 0.68$	$4.80 \pm 2.13$	1.11 ± 0.96		
	5/6	$1.64 \pm 0.74$	$0.12 \pm 0.12$	$1.93 \pm 0.72$	$0.34 \pm 0.14$	$0.68 \pm 0.19$	$0.17 \pm 0.17$		
Parvalbumin	1	$1.82 \pm 0.91$	0	$2.40 \pm 1.61$	0	$0.65 \pm 0.65$	0		
	2	$36.33 \pm 6.06$	$1.51 \pm 0.69$	$28.79 \pm 3.19$	$3.03 \pm 1.17$	$19.41 \pm 5.31$	0		
	3	$41.15 \pm 3.92$	$9.28 \pm 2.39$	$35.81 \pm 3.89$	$9.48 \pm 3.64$	$30.53 \pm 3.47$	$3.33 \pm 1.12$		
	4	$57.60 \pm 6.84$	$12.48 \pm 3.79$	$60.25 \pm 4.10$	$11.57 \pm 3.33$	$45.10 \pm 3.59$	$2.87 \pm 1.37$		
	5/6	$20.82 \pm 2.63$	$2.00 \pm 0.89$	$20.43 \pm 2.99$	$4.36 \pm 1.70$	$12.92 \pm 1.67$	$0.62 \pm 0.24$		

<sup>\*</sup>P < 0.05.

were present in all the layers, but were predominantly present in the superficial layers such as layers 2 and 3 (Fig. 1). PV-IR neurons were mainly distributed from the intermediate to inferior layers, and consisted of some morphologically distinctive neurons, including small ovoid-type and large multipolar neurons (Fig. 1). A plexus of PV-IR material was also distributed throughout the neuropil of layers 3, 4, and 5/6 and consisted of stained processes and puncta, which have been identified as terminals principally found on dendritic spines. Summaries of the mean densities and sizes for each neuronal subpopulation in each layer are shown in Table 2 and Figure 2.

CBP-IR neurons were classified into medium and large classes according to size at the data acquisition points using mean + 1 SD of the size of the cells of the control subjects as follows: CB-IR neurons, 318  $\mu$ m<sup>2</sup>; CR-IR neurons, 231  $\mu$ m<sup>2</sup>; PV-IR neurons, 533  $\mu$ m<sup>2</sup>.

#### Density

Neuronal density was reduced in the SCZ and BPD subjects, and this variable was included in ANOVA as a covariate for evaluating CBP-IR neuron density; however, no significant correlations were obtained between neuronal density and CBP-IR neuron density, and therefore CBP-IR neuron density was analyzed by ANOVA. Before the classification according to cell size, no significant differences were detected by two-way ANOVA between the control group and the psychiatric disorder groups, but there was a trend toward reductions in CB-IR (P = 0.061), CR-IR (P = 0.061) and PV-IR (P = 0.093) neuron densities in the SCZ group. The total CBP-IR neuron density in each layer was estimated, and the CB-IR neuron density in layer 2 (57%, P = 0.007), and PV-IR neuron density in layer 4 (32%, P = 0.031) in the SCZ group were reduced compared with

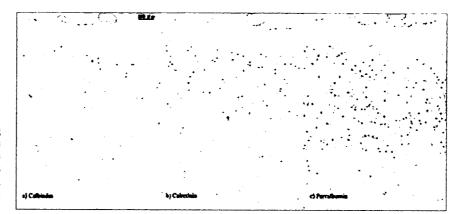


Fig. 2 Composite image showing calcium-binding protein-immunoreactive neurons. The composite images were made up of a series of contiguous images obtained individually at  $\times 4$  magnification, that were merged to form a single large image. (bar =  $200 \mu m$ )

those in the control group. In the BPD group, no significant difference was noted. After classifying the cells by size, medium CB-IR neuron density was found to be reduced in layer 2 in the SCZ subjects (50%, P = 0.018), and large-CR-IR neuron density in layer 2 in the BPD subjects (68%, P = 0.015) was increased compared with those in the control subjects (Table 2). No differences in the density of any PV-IR neuron types were detected in the BPD or SCZ subjects, but trends toward decreases in large-PV-IR-neuron density in layer 4 (77%, P = 0.075) and in medium-PV-IR-neuron density in layer 5/6 (38%, P = 0.089) in the SCZ subjects were noted.

#### Abercrombie correction

After Abercrombie correction, the estimated CBP-IR neuron density ratios indicated the same changes as those described above. These were a reduction in medium-class CB-IR neuron density ratio in layer 2 in the SCZ subjects (P=0.024), a trend toward a reduction in large-class PV-IR neuron density ratio in layer 4 in the SCZ subjects (P=0.075), and an increase in large-class CR-IR neuron density ratio in layer 2 in the BPD subjects (P=0.017). However, there were no significant changes in the ratios of the total counts of PV-IR neurons in layer 4, and of medium-class PV-IR neurons in layer 5/6.

#### **DISCUSSION**

#### **IR** neurons

In this study, we found significant reductions in the density of CB-IR neurons in layer 2 and PV-IR neurons in layer 4 of the PFC in SCZ subjects (in the between-layer comparison). In addition, when CBP-IR neurons were divided into two classes according to their size, a reduced density of medium-class CB-IR neurons in layer 2 in the SCZ subjects was also observed. We found no significant changes in either of the two types of PV-IR density, but there was a

trend toward a reduction in large-PV-IR neuron density in layer 4 in the SCZ subjects. These results confirm those of previous studies on the PFC, which showed reductions in the density of CB-IR neurons<sup>28,29</sup> or PV-IR cells<sup>28,31</sup> and suggested no significant changes in CR-IR neuron density in the SCZ subjects.<sup>31,26</sup> This study supports the evidence that there is a deficit in GABAergic neurotransmission in SCZ.

No significant changes in CBP-IR neuron density between layers in the BPD subjects were found, which is consistent with the results of a report showing no changes in CBP-IR neuron density33 in the dorsolateral PFC in BPD subjects. However, when IR cells were classified by size, there was an increased density of large CR-IR neurons in layer 2 in the BPD subjects, and also notably a non-significant but 28% reduction in CB-IR neuron density in the BPD subjects compared with the case of the control subjects (Table 2), which confirms the findings of a previous study.28 These results suggest alterations in the cellular organization of CR-IR and CB-IR cells, and it is possible that an increase in CR-IR neuron density may be secondary to a reduction in CB-IR neuron density, or vice versa. Because we found no differences in neuronal density in layer 2 in the SCZ or BPD subjects, our findings on CBP-IR neurons may not depend on a reduction in cell number but rather on a decrease in protein expression.

Because non-suicidal subjects with psychiatric disorders were compared with control subjects, the findings in this study are free from additional effects of suicidal symptoms and actions. Suicide is the most serious outcome of mental disorders, and suicide cases usually present emotional instability and other severe symptoms immediately before death. Most previous studies compared psychiatric sample groups including suicide subjects, who amounted to half the total number of subjects or more, with control groups without any cases of suicide. When suicidal subjects were excluded, influence of mental state before suicide and of suicide actions would be avoided. The deficits in the

subpopulations of GABAergic neurons that were observed in this study may reflect cytoarchitectural abnormalities which constitute vulnerability to SCZ or BPD, but as our data are still preliminary, so a definite conclusion should be left to more rigorous and large-scale studies.

In addition, the distribution of the CBP-IR neurons classified in two types according to their size was examined, and a speculation about differences in IR-neuron activity between groups is possible. Because neuronal somal size is considered to be correlated with the extent of a cell's dendritic arborization,<sup>37,38</sup> a reduced neuronal somal size suggests diminished neuronal activity, and the changes in cellular organization also indicate alterations in neurotransmission. In this regard, the reductions of medium-class CB-IR neurons and large-class PV-IR neurons suggest deficits in neurotransmission between proximal neurons and between distal neurons, respectively, in SCZ in PFC (BA9), and the increase in large CR-IR neuron density may be related to the potentiation of GABAergic transmission in BPD.

#### Methodological and confounding factors

We determined two-dimensional, not three-dimensional, cell density. The chief problem with two-dimensional counting is that there is a possibility of overcounting and creating a bias. However, this bias can be corrected using formulae.39 Although unbiased three-dimensional counting is superior when there are group differences in cell size, two-dimensional counting methods, which use large sampling frames, provide more accurate estimates of cell density than their three-dimensional counterparts if the confounding effect of cell size is correctly adjusted for using Abercrombie correction.<sup>39</sup> Another potential advantage of two-dimensional counting is that three-dimensional counting, which uses large sampling frames, makes inappropriate assumptions on complete spatial randomness for neurons, which could bias results.40 The results of this analysis did not differ from those carried out on unadjusted data.

Because it is difficult to perform immunocytochemistry with three types of antibody at a time in thick sections which are typically used in three-dimensional counting, we used the antibody for each CBP in three separate sections. The thicknesses of these serial sections were all 4  $\mu$ m, 16  $\mu$ m in total, such that the size is smaller than the diameter of one neuron. Therefore, it is safely assumed that all three CBP-IR neurons are distributed in the column in which a single neuron exists.

In this study, the influence of major potential confounders on measures of CBP-IR neurons was examined. No significant differences at the 10% significance level (ANOVA or  $\chi^2$  test) were detected for the demographic or clinical

variables shown in Table 1. There were also no significant differences and correlation in some other potential confounders such as postmortem interval and time from fixation to specimen making (data not shown). Therefore our data are presumably specific to each diagnostic group and not artifacts of these confounders.

Our present data suggest that there are GABAergic dysfunctions in schizophrenia and bipolar disorder. However, because GABAergic neurons are subdivided by other coexisting proteins such as somatostatin, vasointenstial polypeptide (VIP), and cholecystokinin (CCK), it is necessary to investigate these alternate subtypes of GABAergic neurons to comprehensively determine the nature of GABAergic abnormalitites in these disorders.

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Behavioral/Systems/Cognitive

# Neocortical Inhibitory Terminals Innervate Dendritic Spines Targeted by Thalamocortical Afferents

Yoshiyuki Kubota, Sayuri Hatada, Satoru Kondo, Fuyuki Karube, and Yasuo Kawaguchi

Division of Cerebral Circuitry, National Institute for Physiological Sciences, Okazaki, Aichi 444-8787, Japan

Fast inhibition in the cortex is gated primarily at GABAergic synapses formed by local interneurons onto postsynaptic targets. Although GABAergic inputs to the somata and axon initial segments of neocortical pyramidal neurons are associated with direct inhibition of action potential generation, the role of GABAergic inputs to distal dendritic segments, including spines, is less well characterized. Because a significant proportion of inhibitory input occurs on distal dendrites and spines, it will be important to determine whether these GABAergic synapses are formed selectively by certain classes of presynaptic cells onto specific postsynaptic elements. By electron microscopic observations of synapses formed by different subtypes of nonpyramidal cells, we found that a surprisingly large fraction (33.4  $\pm$  9.3%) of terminals formed symmetrical synaptic junctions onto a subset of cortical spines that were mostly coinnervated by an asymmetrical terminal. Using VGLUT1 and VGLUT2 isoform of the glutamate vesicular transporter immunohistochemistry, we found that the double-innervated spines selectively received thalamocortical afferents expressing the VGLUT2 but almost never intracortical inputs expressing the VGLUT1. When comparing the volumes of differentially innervated spines and their synaptic junction areas, we found that spines innervated by VGLUT1-positive terminal were significantly larger than spines innervated by VGLUT1-positive terminal and that these spines had larger, and more often perforated, synapses than those of spines innervated by VGLUT1-positive afferent. These results demonstrate that inhibitory inputs to pyramidal cell spines may preferentially reduce thalamocortical rather than intracortical synaptic transmission and are therefore positioned to selectively gate extracortical information.

Key words: cortex; spine; synapse; thalamocortical afferent; VGLUT; nonpyramidal cell

#### Introduction

Cortical microcircuit is composed of excitatory pyramidal and inhibitory nonpyramidal cells with different kinds of afferent fibers, intracortical and thalamocortical fibers and so on. Information processing in the cerebral cortex is highly regulated by inhibitory input from GABAergic interneurons, which are a diverse population, that appropriately gate information flow during periods of enhanced network activity (Kawaguchi and Kubota, 1993, 1997; Somogyi et al., 1998; Kawaguchi and Kondo, 2002; Thomson et al., 2002; Klausberger et al., 2003, 2004, 2005; Markram et al., 2004; Yoshimura and Callaway, 2005; Yoshimura et al., 2005). Recent advances have demonstrated that, in addition

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Correspondence should be addressed to Dr. Yoshiyuki Kubota, Division of Cerebral Circuitry, National Institute for Physiological Sciences, 5-1 Myodaiji-Higashiyama, Okazaki, Aichi 444-8787, Japan. E-mail: yoshiy@nips.ac.jp.

 Kondo's present address: Department of Cell Biology, School of Medicine, Tokyo Medical and Dental University, Tokyo 113-8519, Japan.

F. Karube's present address: Laboratory for Cortical Systems Neuroscience, Department of Anatomy, Histology, and Embryology, Debrecen University, 4012 Debrecen, Hungary.

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to being diverse in their physiological and morphological properties, neocortical interneurons are highly selective in choosing postsynaptic targets (Támas et al., 1997, 2003; Kawaguchi and Kubota, 1998; Watts and Thomson, 2005). Whereas some axon terminals of interneurons provide inhibition to somatic and proximal dendritic regions of neocortical pyramidal neurons, other GABAergic axons target distal dendritic processes, including spines. Approximately 25% of GABAergic axon terminals contact spines (Beaulieu et al., 1992), and some GABA-targeted spines also receive excitatory (asymmetrical) glutamatergic synaptic input (Jones and Powell, 1969; Kisvárday et al., 1987; Dehay et al., 1991; Nusser et al., 1996; Meskenaite, 1997; Knott et al., 2002; Támas et al., 2003). Because dendritic spines are electrically compact and usually innervated by a single excitatory synapse, inhibitory inputs onto spines are in a unique position to gate (or "veto") the impact of individual excitatory inputs to cortical neurons (Dehay et al., 1991). GABAergic synapses occur on only a minority of dendritic spines. Whether these spines are targeted by specific excitatory afferents, intracortical or thalamocortical fibers, has not yet been determined (but see Dehay et al., 1991; Kuroda et al., 2004). By reconstruction of successive ultrathin sections, we analyzed the synaptic inputs on spines in the cortex as well as the targets innervated by nonpyramidal cells. The results suggested that diverse types of GABA cells make a symmetrical synapses with spines that is also innervated by an asymmetrical input and that inhibitory innervation on spines occurs only on those that are also targeted by thalamocortical excitatory inputs, not by intracortical afferents.

#### Materials and Methods

In vitro intracellular filling

Experiments were performed on young Wistar rats (19–23 d postnatal) in accordance with National Institute of Physiological Sciences Animal Care and Use Committee guidelines. Sections of frontal cortex were cut to a thickness of 300  $\mu$ m and immersed in a buffered solution (30–31°C) containing the following (in mm): 124.0 NaCl, 3.0 KCl, 2.4 CaCl<sub>2</sub>, 1.2 MgCl<sub>2</sub>, 26.0 NaHCO<sub>3</sub>, 1.0 NaH<sub>2</sub>PO<sub>4</sub>, and 10.0 glucose (aerated with a mixture of 95% O<sub>2</sub> and 5% CO<sub>2</sub>). Whole-cell access was obtained in neurons targeted visually using differential interference contrast optics and a 40× water immersion objective. The pipette solution consisted of the following (in mm): 120 potassium methylsulfate, 5.0 KCl, 0.5 EGTA, 1.7 MgCl<sub>2</sub>, 4.0 Na2ATP, 0.3 NaGTP, 8.5 HEPES, and 17 biocytin. The recording was usually performed for 10–20 min.

#### Histology and immunohistochemistry

Slice histology. Tissue slices were fixed by immersion in 4% paraformal-dehyde, 1.25 or 0.05% glutaraldehyde, and 0.2% picric acid in a 0.1 m phosphate buffer (PB) at room temperature (RT) and were then exposed to microwave irradiation (15 s) and postfixed overnight at 4°C. Tissue was then embedded in 2.5% agar and 0.25% agarose gel and resectioned into 50-µm-thick slices

Each slice (a set of 50  $\mu$ m sections after resectioning) was further treated by one of the following two procedures.

(1) Some slices were incubated with avidin-biotin-peroxidase complex (Vector Laboratories, Burlingame, CA) in Tris-HCl-buffered saline (TBS) with or without 0.04% Triton X-100 (TX), and reacted with 3,3-diaminobenzidine tetrahydrochloride (DAB) (0.05%) and H<sub>2</sub>O<sub>2</sub> (0.003%).

(2) Other slices were processed for fluorescence immunohistochemistry to identify the neurochemical markers vasoactive intestinal peptide (VIP) and calretinin. The slices were incubated with the primary antibodies: VIP developed in rabbit (1:2000, catalog #20077; DiaSorin, Stillwater, MN) and calretinin (1:1000, catalog #6B3; Swant, Bellinzona, Switzerland) in TBS containing 2% bovine serum albumin, 10% normal goat or horse serum, and 0.5% (or 0.04%) TX. The slices were incubated in fluorescent secondary antibodies, followed by incubation with Alexa 350 streptavidin (1:200, catalog #S-11249; Invitrogen, Carlsbad, CA) in TBS. After examination for fluorescence, the slices were incubated with avidin—biotin—peroxidase complex and reacted with DAB and H<sub>2</sub>O<sub>2</sub>.

Slices were then postfixed in 1% OsO<sub>4</sub> in PB, dehydrated, and flat embedded on silicon-coated glass slides in Epon. Recovered neurons were drawn using a drawing tube or reconstructed three dimensionally using the Neurolucida system (MicroBrightField, Williston, VT) with a 60× objective lens. After light microscopic (LM) reconstruction, stained cells were photographed using a 100× objective and serially sectioned

into 90 nm thickness using an ultramicrotome (Reichert Ultracut S). Ultrathin sections mounted on one-hole grids were stained with lead citrate. The thickness of ultrathin sections was calibrated by a color laser three-dimensional (3D) profile microscope (VK-9500; Keyence, Osaka, Japan). Electron micrographs were taken with a Hitachi H-7000 electron microscope (EM), using tilting of up to 60°. EM images of the labeled terminals and associated structures were captured using a CCD camera and reconstructed three dimensionally (Visilog; Noesis, France).

VGLUT immunohistochemistry. Three male Wistar rats (6 weeks old, 140-160 g) were used in accordance with National Institute of Physiological Sciences Animal Care and Use Committee guidelines. Animals were anesthetized with an overdose of Nembutal and perfused through the heart with normal saline, followed by 300 ml of 4% paraformaldehyde containing 0.2% picric acid and 0.1% glutaraldehyde in PB. The animals were left for 3 h at room temperature for postfixation. Brains were then removed, and oblique horizontal sections (50  $\mu$ m thick) were cut on a vibratome along the line of the rhinal fissure for EM or coronal sections (50  $\mu$ m thick) for LM observation. Tissue sections were put in glass tubes containing 15% sucrose in PB for 1 h and then in 25% sucrose and 10% glycerol in PB for 2 h, frozen with liquid nitrogen, and then thawed at room temperature. The sections were then incubated in PB containing 1% sodium borohydrate for 30 min and in TBS containing 1% H<sub>2</sub>O<sub>2</sub> for 30 min before incubation with primary antiserum against vesicular glutamate transporters VGLUT1 or VGLUT2 (generous gifts from Dr. T. Kaneko, Kyoto University, Kyoto, Japan) in TBS containing 10% NGS and 2% BSA in 0.05 M TBS overnight at 4°C. Then the sections were incubated in biotin-conjugated secondary antiserum followed by ABC complex and staining with DAB. The stained sections were postfixed for 60 min in 1% OsO4 in PB and dehydrated in graded ethanol with 1% uranyl acetate at the 70% ethanol dehydration state. Sections were flat embedded on silicon-coated glass slides in Epon. VGLUT-positive tissues were obtained from frontal cortex area 1 (supplemental Fig. 1, available at www.jneurosci.org as supplemental material). Tissues were then serially resectioned to 70 nm thickness using a ultramicrotome. Cortical areas were delineated using standard cytoarchitectonic criteria (Paxinos and Watson, 1998). VGLUT1- and VGLUT2-positive terminals were selected randomly during observation under electron microscopy.

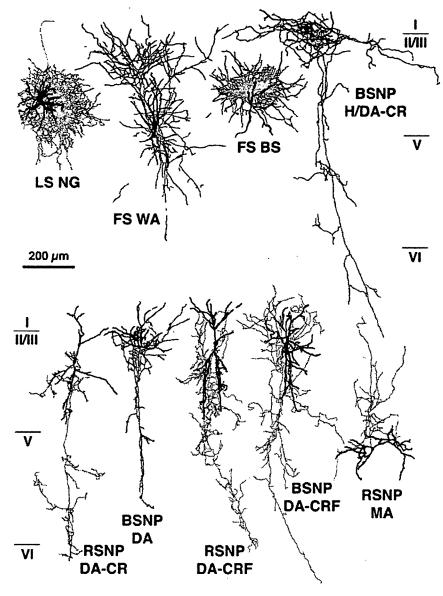
Frontal cortex is also called agranular cortex, which is described as being five layered, without a conventional granular layer IV (Donoghue and Wise, 1982). Although we cannot observe conventional cortical six-layered cytoarchitecture in motor cortex with light microscopic observation, optical dissector analysis reveals the existence of layer IV at the bottom of layer III (Skoglund et al., 1997). It corresponds with the middle VGLUT2-positive band. The VGLUT2-positive fiber-rich band in agranular cortex was continuous with that

Table 1. Analysis of cortical spines for double innervation using VGLUT immunoreaction

Layers	VGLUT1-innerv	ated spines			VGLUT2-innervated spines					
		Double innervation with					Double innervation with			
	Single	Symmetrical terminal	Asymmetrical terminal			Single	Symmetrical	Asymmetrical terminal		
	innervation		VGLUT1+	VGLUT1	Total	innervation	terminal	VGLUT2+	VGLUT2-	Total
1	79	1	1	2	83	77	15	0	0	92
	95.2%	1.2%	1.2%	2.4%	100%	83.7%	16.3%	0%	0%	100%
11/111	63	0	2	0	65	59	10	0	0	69
	96.9%	0%	3.1%	0%	100%	85.5%	14.5%	0%	0%	100%
IV	35	1	2	0	38	94	9 <sup>a</sup>	0	1	104
	92.1%	2.6%	5.3%	0%	100%	90.4%	8.7%	0%	1.0%	100%
٧	59	0	0	0	59	81	6 <sup>b</sup>	0	1	88
	100%	0%	0%	0%	100%	92.0%	6.8%	0%	1.1%	100%
VI	39	0	0	0	39	65	3	0	0	68
	100%	0%	0%	0%	100%	95.6%	4.4%	0%	0%	100%
Total	280	2	5	2	289	376	43	0	2	421
	96.9%	0.7%	1.7%	0.7%	100%	89.3%	10.2%	0%	0.5%	100%

<sup>\*</sup>One double-innervated spine head received two symmetrical inputs.

<sup>&</sup>lt;sup>b</sup>One double-innervated spine received two symmetrical inputs on the head and neck.



**Figure 1.** Cortical nonpyramidal cells used in this study. Nine nonpyramidal cells were studied for synaptic target structures using 3D reconstructions of successive EM sections. Red indicates axons, and black indicates soma and dendrites. WA, Wide arbor cell; BS, basket cell; MA, Martinotti cell; RSNP, regular spiking nonpyramidal.

in layer IV of the somatosensory cortex. Therefore, we could identify the middle VGLUT2-dense band as layer IV tentatively. This layer classification was also supported by the colocation of the layer IV marker retinoid orphan receptor  $\beta$  (ROR $\beta$ ) (Schaeren-Wiemers et al., 1997) (our unpublished observation).

VGLUT-positive synapse volume density. Serial ultrathin sections of 70 nm thickness from VGLUT-positive tissue were imaged with electron microscopy (15,000×), and synapses were counted using a stereological method. Sets of serial ultrathin sections for stereological analysis were randomly chosen from those synapses included in Table 1. The area of images was 15.47  $\mu m^2$  (3.33 × 4.65  $\mu m$ ). We counted 297 VGLUT1-positive synapses in 47 sets of serial ultrathin sections (15.3  $\pm$  3.4; 7–23 successive sections; total volume was 955.4  $\mu m^3$ ) and 171 VGLUT2-positive synapses in 50 sets (16.4  $\pm$  3.8; 9–26 successive sections; total volume was 964.9  $\mu m^3$ ) from layers I–VI. To estimate the relative volume density of cortical VGLUT1 and VGLUT2 recipient spines, the density from all layers were averaged.

Postembedding immunohistochemistry Electron microscopic observations and postembedding GABA immunohistochemistry. Two male Wistar rats (6 weeks, 140-160 g) were used in accordance with National Institute of Physiological Sciences Animal Care and Use Committee guidelines. Fixative was 4% paraformaldehyde containing 0.2% picric acid and 0.5% glutaraldehyde in PB. Sections were immunohistochemically stained with DAB using primary antiserum against VGLUT1 or VGLUT2. Sections were then flat embedded on silicon-coated glass slides in Epon. VGLUTpositive tissues were serially sectioned in 90 nm thickness. For GABA postembedding immunohistochemistry, ultrathin sections on nickel mesh grids (#200) were washed with TBS containing 0.1% TX and incubated with rabbit antiserum for GABA (1:5000, A-2052; Sigma, St. Louis, MO) in TBS containing 0.1% TX overnight at RT, followed by colloidal gold 15 nmconjugated anti-rabbit IgG (1:100, catalog #GAR15; BBInternational, Cardiff, UK) overnight at RT in TBS containing 0.1% TX and final staining with 1% uranyl acetate, followed

Postembedding GABA, al subunit immunohistochemistry with tissue embedded in Lowicryl postembedding immunohistochemistry. Freeze substitution and low-temperature embedding in Lowicryl resin was performed as described previously (Wu et al., 2005). Briefly, slices of frontal cortex of adult rat brains fixed with 4% paraformaldehyde, 0.05% glutaraldehyde, and 0.2% picric acid in 0.1 M PB were frozen by plunging into liquid propane (-185°C) in a cryofixation unit (EM CPC; Leica, Wein, Austria). Freeze substitution and low-temperature embedding in Lowicryl HM20 were performed. Ultrathin sections (90 nm) were stained with a homemade antiserum against GABA, receptor α1 subunit 29-57 (1:1000) (supplemental Fig. 2, available at www.ineurosci.org as supplemental material) using 15 nm colloidal goldconjugated secondary antiserum (1:50, catalog #GAR15; BBInternational). We also performed double-postembedding immunohistochemistry with combined antiserums against GABAA receptor β2/3 subunit (1:250; mouse, bd17; generous gift from Dr. J.-M. Fritschy, University of Zurich, Zurich, Switzerland) using a 15 nm colloidal gold-conjugated secondary anti-

serum (1:50; catalog #GAM15; BBInternational) and against AMPA receptor GluR2/3 subunits (1:100, rabbit, catalog #AB1506; Chemicon, Temecula, CA) using a 10 nm colloidal gold-conjugated secondary antiserum (1:30, catalog #GAR10; BBInternational).

by lead citrate.

Three-dimensional reconstruction of successive ultrathin sections Slice recording cells. Slices containing stained neurons were cut into serial ultrathin sections (90 nm thickness) and mounted on Formvar-coated single-slot grids (NOTCH-NUM grids,  $1\times 2$  mm slot, SynapTek; Pelco, Redding, CA) with Formvar membrane. Electron microscopic images of the labeled terminals and associated structures were captured using a CCD camera (Megaplus 1.4i; Eastman Kodak, Rochester, NY) and reconstructed using a 3D reconstruction system with the software developed by Noesis as an extension of their Visilog program.

VGLUTs-positive boutons. Serial ultrathin sections of VGLUT-positive tissue were mounted on Formvar-coated single-slot grids, the labeled terminals and associated structures were photographed, and image files were made

from EM films with scanner (GT-9800F; Epson, Suwa, Japan). The structures were reconstructed using a 3D reconstruction system with the software Reconstruct (http://www.synapses.bu.edu/tools/index.htm).

#### Statistics

We used Mann–Whitney U test for statistical analysis to compare the spine head volume and area of synaptic junction area between spines innervated by VGLUT1 and VGLUT2 afferents and  $\chi^2$  test to compare the proportion of perforated synapses between cortical spines innervated by VGLUT1- and VGLUT2-positive terminals.

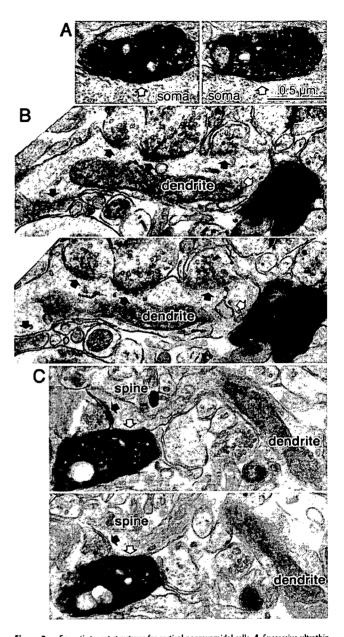
#### Results

#### Target structure of cortical nonpyramidal cells

We recorded 591 nonpyramidal cells filled biocytin using in vitro slice preparation. The morphologies of 526 neurons (89%) were recovered after fixation and immunohistochemical processing. Many of the reconstructed cells were used for morphological analysis previously (Karube et al., 2004; Kawaguchi et al., 2006). Nine interneurons with morphologies and axonal arborizations typical of different subtypes of nonpyramidal cells (Fig. 1) were selected based on our previous analysis (Karube et al., 2004; Kawaguchi et al., 2006) and resectioned into ultrathin (90 nm) sections for 3D reconstruction of axonal boutons and their postsynaptic structures, including spines. Reconstructed neurons included two fast-spiking (FS) cells, a late-spiking (LS) cell, a Martinotti cell, a horizontal and descending arbor (H/DA) cell, and four intracolumner descending axon cells (DA) (also called double-bouquet cells) expressing either calretinin (CR) (n = 2)or corticotrophin-releasing factor (CRF) (n = 2) (Fig. 1), which are nonoverlapping subpopulations of H/DA and DA cells (Karube et al., 2004). We classified the target structure as dendritic shaft on the basis of existence of microtubule and/or mitochondria (Fig. 2B, C) and cylindrical shape of its 3D reconstructed image and as spine on the basis of lack of those structures and spine shape of its 3D image. In all cells, we analyzed axon terminals in layers II/III and IV but not in layer I. In H/DA and DA cells, we also analyzed their descending axonal arborizations in layers V and VI. Because there were no distinct differences in target selection between the superficial layers (layers II/III and IV) and deeper layers (layers V and VI) (supplemental Fig. 3, available at www.jneurosci.org as supplemental material), we combined all data obtained from layers II/III through VI. Whereas only six neurons had synaptic boutons innervating postsynaptic somata [including the LS neurogliaform (NG) cell, FS cells, calretinin-positive H/DA and DA cells, and a burst spiking nonpyramidal (BSNP) DA cell] (Figs. 2A, 3A, 4), all cells made synaptic junctions onto dendritic shafts (Figs. 2B, 3B, 4) and spines (Fig. 2C, 3C, 4). Surprisingly, spines made up  $33.4 \pm$ 9.3% (range of 25–50%) of all synaptic targets, and 75.4  $\pm$  11.7% (range of 55-91%) of targeted spines were also innervated by an asymmetrical excitatory synapse (Fig. 4). These results indicate that spines comprise a major postsynaptic target for cortical nonpyramidal cells in the rat cortex. We could not find an asymmetrical synaptic innervation on approximately one-quarter of the targeted spines. We think, however, that missing another synapse on the same spine may be mostly caused by parallel orientation of the junction area and sectioning direction (Karube et al., 2004). This oversight attributable to the angle effect would occur more frequently in the case of smaller objects. Most spines targeted by the nonpyramidal cells probably receive an asymmetrical synapse.

### The source of afferent excitatory input to spines

We next investigated the source of afferent excitatory input onto spines coinnervated by GABAergic interneurons. Fixed sections



**Figure 2.** Synaptic target structures for cortical nonpyramidal cells. **A**, Successive ultrathin sections showing a symmetrical synapse (white arrow) of the LS NG cell onto a postsynaptic soma. **B**, Successive ultrathin sections showing a symmetrical synapse (white arrow) from an RSNP DA-CRF cell onto a dendritic shaft with frequent asymmetrical inputs (black arrows). **C**, Successive ultrathin sections showing a symmetrical synapse (white arrow) from a BSNP DA-CR cell onto a spine head, which is also innervated by an asymmetrical synapse (black arrow). Scale bar in **A** also applies to **B** and **C**.

of the frontal cortex of young adult rats were immunohistochemically stained with VGLUT1 or VGLUT2 antiserums (Fujiyama et al., 2001). These two isoforms of the glutamate vesicular transporter are useful because thalamocortical fibers exclusively express the VGLUT2 isoform, whereas cortical neurons (and hence corticocortical fibers) generally express VGLUT1 or only a limited number for both VGLUT1 and VGLUT2 (De Gois et al., 2005). As expected, fibers immunoreactive for VGLUT1 and VGLUT2 were differentially distributed throughout the cortical layers. Whereas VGLUT1-positive fibers were distributed throughout all cortical layers, VGLUT2-positive fibers were localized densely in the upper parts of layer I, in layer IV, and in the

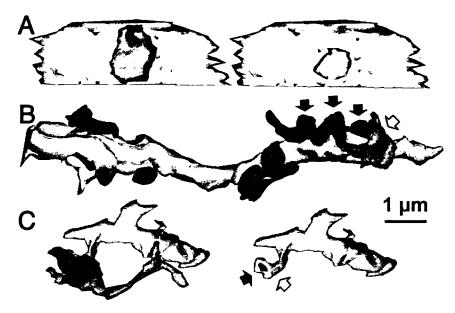
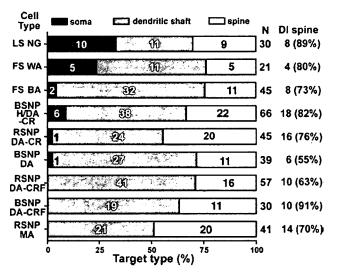


Figure 3. 3D reconstructed image of the synaptic structure of cortical nonpyramidal cells. A, 3D reconstructed image of the synaptic structure shown in Figure 2 A. The axonal terminal of the LS NG cell (red) innervates the soma (green). Right image shows 3D image of targeted soma after removing the bouton. The synaptic junction is shown (pink). B, 3D reconstructed image of synaptic structure shown in Figure 2 B. Arrows indicate the same axon terminal boutons shown in Figure 2 B. Note that no spines are observed in the target dendrite (green). Red is an axon terminal of RSNP DA-CRF cell. Blue structures are boutons forming asymmetrical synapses. Yellow structures are boutons forming symmetrical synapses. Yellow structures are boutons forming symmetrical synapses. Which is also innervated by an asymmetrical synaptic terminal (blue). Right image without boutons shows two synaptic junctions (pink and dark blue). Scale bar in A also applies to B and C.



**Figure 4.** Summary of target structures of nonpyramidal cell boutons. All types of nonpyramidal cells targeted spine heads, most of which were double-innervated (DI) spines. MA, Martinotti cell.

lower half of layer V (Fig. 5). The VGLUT2-immunoreactive pattern in layer IV reflects the known distribution of thalamocortical fibers (Groenewegen, 1988; Berendse and Groenewegen, 1991; Agmon et al., 1993; Jones, 1998), and intense VGLUT2 staining was observable in layer IV of the somatosensory barrel cortex (Fig. 5B) in which VGLUT2-positive fibers shared an identical distribution pattern with the layer IV marker ROR $\beta$  (Schaeren-Wiemers et al., 1997), and the similar colocalization was also found in frontal cortex (our unpublished observation). A com-

bined study using in situ hybridization of VGLUT2 mRNA and retrograde tracer found that 90% of VGLUT2 input to prefrontal cortex arises from thalamus, whereas the remaining 10% comes from other areas such as claustrum and hypothalamus (Hur and Záborszky, 2005). The distribution patterns of excitatory boutons suggest that most VGLUT2immunoreactive fibers originate from thalamocortical neurons (Fujiyama et al., 2001; Hur and Záborszky, 2005). The vast majority of VGLUT1-positive terminals in the neocortex likely arise from cortical pyramidal neurons, all of which express VGLUT1 mRNA (Fremeau et al., 2001). This conclusion is further supported by data showing that lesions of the specific thalamic nuclei (which provide excitatory input to the cortex) do not lower cortical VGLUT1 immunoreactivity (Fujiyama et al., 2001). To our knowledge, all intracortical afferent terminals express VGLUT1, either alone or, in a minority of terminals, along with VGLUT2. Therefore, all VGLUT1-expressing terminals in our present study are assumed to be intracor-

To identify the source of excitatory input to spines innervated by both excitatory and inhibitory synapses, we used elec-

tron microscopy to analyze successive ultrathin sections stained for either VGLUT1 or VGLUT2. We analyzed randomly selected spine heads innervated by VGLUT1- or VGLUT2-positive axon terminals in successive ultrathin sections (Figs. 6, 7). In total, we selected 705 spine heads distributed in all cortical layers (Table 1). Spines were innervated by excitatory boutons expressing VGLUT1 (n = 284) (Fig. 6, 8 B) or VGLUT2 (n = 421) (Figs. 7, 8 A). Because VGLUT1- and VGLUT2-innervated spine heads were selected for comparison, the relative number of selected VGLUT1- and VGLUT2-positive terminals do not reflect the relative density of these excitatory afferents.

Although only a small population of spine heads was double innervated by a symmetrical, presumably GABAergic, terminal, excitatory inputs to these spines were almost exclusively VGLUT2-positive, indicating a thalamocortical origin (Table 1). Forty-three of the 421 spine heads innervated by VGLUT2positive synapses (10.2%) also received a symmetrical synaptic input. Interestingly, a higher proportion of double-innervated spines were found in the superficial layers than were observed in deeper layers (Table 1), which may indicate that they are innervated by nonspecific thalamocortical connections (Jones, 1998). In most cases, double-innervated spines were contacted by a single symmetrical synapse, but double innervation by two symmetrical inputs was observed in two spines (Fig. 8A,F). Only rarely (including 7 of 284 spines innervated by VGLUT1-positive terminals and 2 of 421 spines innervated by VGLUT2-positive terminals) were two excitatory synapses found on the same spine head (Table 1). The vast majority of spine heads innervated by VGLUT1-positive terminals had no other synaptic input (96.8%) (Fig. 6, 8B, G; Table 1), and double innervation of VGLUT1receptive spines was only observed in 9 of 284 cases: five were contacted by a second VGLUT1-positive synapse (1.8%), two received a second VGLUT1-negative asymmetrical synapse (0.7%), and two others were innervated by a symmetrical synapse (0.7%) (Table 1).

We also measured the density of VGLUT1- and VGLUT2-positive synapses in frontal cortex using a stereological method using most of the sample from layers I-VI used for Table 1. We combined all data of VGLUT1 or VGLUT2 to obtain overall proportion of recipient spines of VGLUT1- and VGLUT2-positive axon terminals. The volume density was 0.311/ μm<sup>3</sup> for VGLUT1 synapses (297 synapses/ 955.429 μm<sup>3</sup>) and 0.172/μm<sup>3</sup> for VGLUT2 synapses (166 synapses/964.925 μm<sup>3</sup>). VGLUT1-positive synapses were found to be almost double the volume density of VGLUT2 synapses. Dendritic synapses were very rare compared with spinous synapses. Of all synapses, we found only 29 (9.8%) synapse onto dendritic shafts for VGLUT1-positive bouton and only five (2.9%) synapses for VGLUT2 bouton.

## GABAergic nature of symmetrical synaptic terminals on the spine

We next confirmed that symmetrical synapses on spines comprise GABAergic terminals. Successive ultrathin sections (90 nm) of VGLUT2-immunostained cortex from young adult rats were labeled for GABA by postembedding immunohistochemistry using 15 nm colloidal gold-conjugated secondary antiserum (Kawaguchi and Kubota, 1998). The densities of colloidal gold particles were clearly different between GABA-positive (88.6 ± 64.2 particles/ $\mu$ m<sup>2</sup>; n = 35) and GABA-negative (0.4  $\pm$  1.1 particles/ $\mu$ m<sup>2</sup>; n = 66) boutons. We examined 33 doubleinnervated spine heads, and, in all cases, the secondary non-VGLUT2-positive input was a GABA-positive synaptic bouton that was associated with a symmetrical synaptic junction (Fig. 8C). Two spine heads of 33 were innervated by two GABApositive synaptic terminals. We found no spine heads in which VGLUT2-positive asymmetrical terminals were found with GABA-negative symmetrical synaptic boutons. These data suggest that symmetrical synaptic junctions onto spines receiving thalamocortical (VGLUT2-positive) excitatory input are GABAergic.

We next confirmed that GABA, receptors are localized to symmetrical synaptic junctions in double-innervated spines. Freeze substitution and low-temperature embedding in Lowicryl resin was used in ultrathin sections (90 nm) that were labeled for an antiserum against the GABA<sub>A</sub> receptor α1 subunit 29-57 (supplemental Fig. 2, available at www.jneurosci.org as supplemental material) using a 15 nm colloidal gold-conjugated secondary antiserum. We identified gold-particle labeling of the synaptic junctions of symmetrical synapses onto spines (Nusser et al., 1996) (mean of 2.95 ± 1.16 particles per 100 nm of postsynaptic density; n = 16) (Fig. 8D). We also performed doublepostembedding immunohistochemistry with antiserums against the GABA<sub>A</sub> receptor  $\beta$ 2/3 subunits (using a 15 nm colloidal goldconjugated secondary antiserum) and against the AMPA receptor GluR2/3 subunits (using a 10 nm colloidal gold-conjugated secondary antiserum). We identified gold-particle double label-

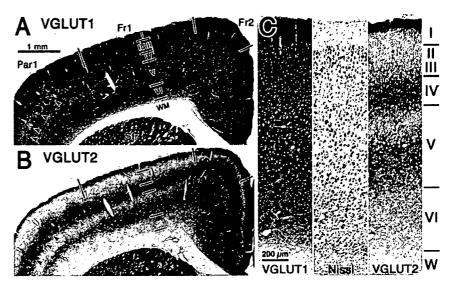


Figure 5. VGLUT-immunoreactive fiber distributions in successive neocortical coronal sections. A, VGLUT1-positive fibers were distributed throughout all cortical layers. B, VGLUT2-positive fibers were dense in upper layer I, layer IV, and in the lower half of layer V. Barrel cortex was identifiable in both sections shown in A and B (arrowheads). Cortical areas were divided into frontal cortex area 1 (Fr1), frontal cortex area 2 (Fr2), and parietal cortex area 1 (Par1) according to Paxinos and Watson (1998). Scale bar in A apples to B. C, Higher-magnification photographs showing cell architecture by Nissl staining and VGLUT1- and VGLUT2-immunoreactive fiber distributions in successive neocortical coronal sections. Scale in the Nissl and VGLUT2 micrographs is the same as in the VGLUT1 micrograph. Str, Striatum; WM and W, white matter.

ing of synaptic junctions onto double-innervated spines (mean count was  $2.65 \pm 0.65$  particles per 100 nm postsynaptic density for GABA<sub>A</sub> receptor  $\beta 2/3$  subunits and  $4.24 \pm 1.67$  particles per 100 nm postsynaptic density for AMPA receptor GluR2/3 subunits; n=7) (Fig. 8 E). These data suggest that symmetrical synapses onto spines form functional inhibitory synapses expressing GABA<sub>A</sub> receptors containing  $\alpha 1$  subunits and/or  $\beta 2/3$  subunits, whereas associated asymmetrical synapses express AMPA receptors.

# Comparison of the head volume and junctional area between spines innervated by VGLUT1- and VGLUT2-positive boutons

VGLUT2-positive fibers in the cortex are almost exclusively of thalamic origin (Fujiyama et al., 2001; Hur and Záborszky, 2005). Although a few VGLUT2-positive neurons are found in the cortex, they usually coexpress VGLUT1 as well (De Gois et al., 2005). Our data show that asymmetrical inputs onto double-innervated spine heads are almost exclusively VGLUT2-positive axon terminals and that VGLUT1-positive terminals almost never share postsynaptic spines with other synapses. Therefore, the vast majority of double-innervated spines likely receive thalamocortical inputs

Because spine size is related to synaptic efficacy and plasticity (Takumi et al., 1999; Matsuzaki et al., 2001, 2004; Okamoto et al., 2004; Zhou et al., 2004), we compared physical characteristics of VGLUT1- and VGLUT2-innervated spine. First, we compared the head volume between spines innervated by VGLUT1- and VGLUT2-positive boutons and found that spine heads associated with VGLUT2-positive terminals were significantly larger than those associated with VGLUT1-positive inputs (p < 0.001) (Fig. 8A, B, F, G, 9A; Table 2). We also compared the area of synaptic junctions formed by VGLUT1- and VGLUT2-positive boutons and found that synaptic junctions associated with VGLUT2 terminals were significantly larger than those associated with VGLUT1-positive inputs (p < 0.0001) (Figs. 8A, B, F, G, 9B; Table 2). Because there was no significant difference in the volume

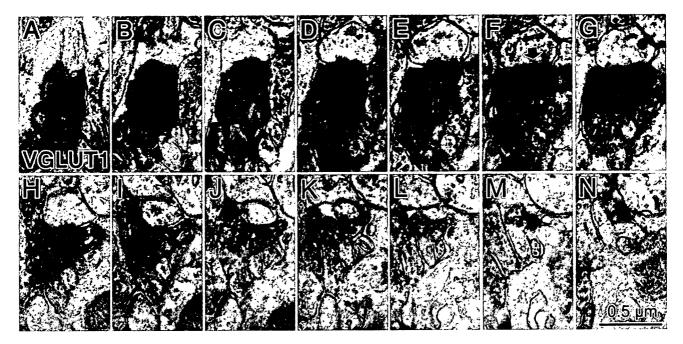


Figure 6. Cortical spine innervated by a VGLUT1-positive terminal. A—N, Successive ultrathin sections of an entire spine head that was innervated by a VGLUT1-positive axon terminal. A single asymmetrical synapse was observed (arrow in F).

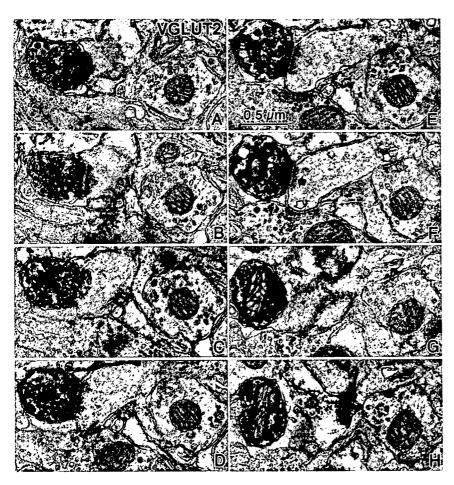


Figure 7. Cortical spine innervated by a VGLUT2-positive terminal. A—H, Successive ultrathin sections of a spine head innervated by a VGLUT2-positive axon terminal (black arrow in F). Two additional symmetrical synapses contacted this spine head (white arrows in F).

of single- and double-innervated VGLUT1-receptive or VGLUT2-receptive spine heads (Fig. 9A; Table 2), the increased volume of VGLUT2-receptive spines cannot be explained simply by their greater tendency for double innervation. Likewise, we also found no significant difference in the area of synaptic junctions when comparing VGLUT1-positive synapses onto single- and double-innervated spines or VGLUT2-positive inputs onto single- or double-innervated spines (Fig. 9B; Table 2).

During the process of 3D reconstruction, we observed many perforated synapses associated with VGLUT1- and VGLUT2-positive terminals. A recent study demonstrated that perforated synapses have enlarged synaptic areas and more AMPA receptor expression than nonperforated synapses in CA1 pyramidal neurons of the hippocampus, suggesting that perforated synapses provide stronger synaptic drive to postsynaptic neurons (Nicholson et al., 2006). When we compared spines with perforated and nonperforated synapses, we observed four morphologically distinct synaptic shapes among presynaptic boutons: nonperforated, perforated, U-shaped, and twinjunctional synapses (Fig. 9C; Table 3). We also checked whether symmetrical synapses contacted with double-innervated spines were perforated and did not find any of those (n = 46). All symmetrical synapses were nonperforated synapses.

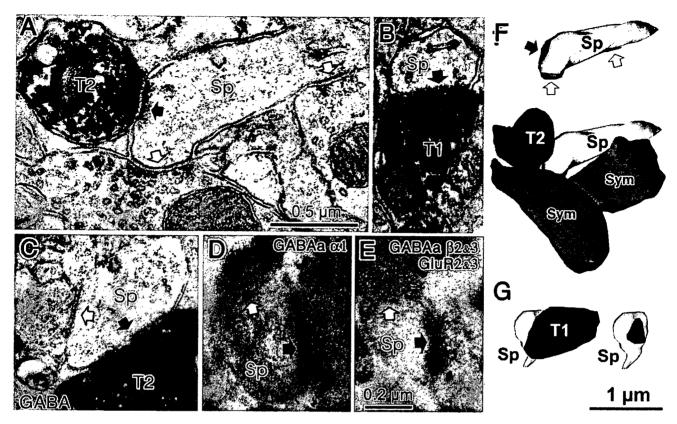


Figure 8. Synaptic innervations of cortical spines. A, A cortical spine (Sp) was coinnervated by a VGLUT2-positive (T2) asymmetrical synapse (black arrow) and two symmetrical synaptic terminals (white arrows) and was shown in Figure 7F. B, A cortical spine (Sp) was innervated by a VGLUT1-positive (T1) asymmetrical synapse (black arrow) and was shown in Figure 6F. C, A spine head (Sp) was innervated by both a VGLUT2-positive (T2) asymmetrical synapse (black arrow) and a GABA-positive (gold particle labeled) symmetrical synaptic terminal (white arrow). D, A spine head (Sp) innervated by an asymmetrical synapse (black arrow) was also innervated by a symmetrical synaptic terminal (white arrow). Earger gold particles (15 nm) labeled GABA, β2/3 subunits localized along the synaptic junction of the symmetrical synapse and smaller particle (10nm) labeled glutamate receptor GluR2/3 subunits of the associated asymmetrical synaptic junction. Scale bars: A applies to B and C; E applies to D. F, 3D reconstructed image of the spine and presynaptic terminals shown in A. Bottom image is the VGLUT2-positive bouton (T2, black) contacting the spine head (Sp, light gray) with a synaptic junction. This spine is also innervated by two symmetrical inputs (Sym, dark gray). Top image shows synaptic junctions (black arrow for VGLUT2 synapse and white arrows for symmetrical synapses) on the spine head. G, 3D reconstructed image of the spine and presynaptic terminal shown in B. The VGLUT1-positive bouton (T1, black) contacts the spine head (SP, light gray) with a synaptic junction. Left image is with the VGLUT1 bouton (black), and right image shows the synaptic junction only (black).

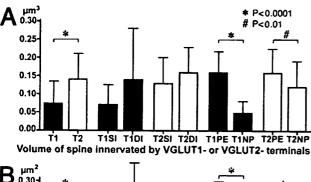
We analyzed spines with perforated, U-shape, and twinjunctional asymmetrical synapses together as "perforated synapses" because they appear quite similar under EM observation and may simply reflect variable morphologies of mature synaptic contacts. In serial ultrathin sections, perforated synapses were identified when there were two or more splits in the synaptic junction (Fig. 10). The ratio of perforated to nonperforated synapses in VGLUT2-positive terminals was significantly greater than that in VGLUT1-positive terminals (p < 0.001,  $\chi^2$  test), indicating that more than half (54.4%) of thalamocortical inputs (VGLUT2-positive) make perforated synapses onto spines, whereas only one-quarter (22.4%) of corticocortical inputs (VGLUT1-positive) make perforated synapses. When we compared the volume of spine heads innervated by perforated and nonperforated VGLUT1- and VGLUT2-positive terminals, we found that spines receiving perforated inputs were significantly larger than spines receiving nonperforated inputs (VGLUT1, p <0.0001; VGLUT2, p < 0.01) (Figs. 9A, C, 10; Table 2). Furthermore, we found that the area of synaptic junctions made by perforated VGLUT1- or VGLUT2-positive terminals were larger than that by nonperforated junctions of similar presynaptic origin (p < 0.0001) (Fig. 9B, C; Table 2). These data demonstrate that, regardless of afferent input type (VGLUT1 or VGLUT2),

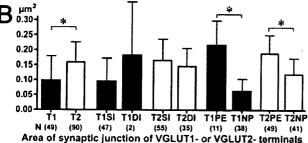
spines and synaptic junctions are larger when receiving input from perforated rather than nonperforated synapses.

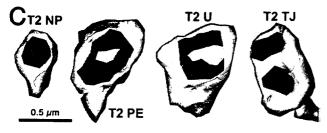
#### Discussion

Our data demonstrate that cortical interneurons of all subtypes provide robust input to the dendritic shafts and spines of other cortical neurons (Fig. 11). Furthermore, GABA-positive synapses onto spines are associated with postsynaptic GABA<sub>A</sub> receptor expression and are targeted specifically to spines that also receive excitatory thalamocortical input. Because inhibition by GABA<sub>A</sub> receptors may generate shunting inhibition, inhibitory inputs onto electrically compact spines may permit interneurons to preferentially gate thalamocortical afferent input to the cortex but allow, or even facilitate, synaptic integration of excitatory inputs at other dendritic locations (Gulledge and Stuart, 2003).

Pyramidal neurons, which comprise the majority (~80%, 1:0.25 is the pyramidal cell/nonpyramidal cell ratio) of neocortical neurons (Beaulieu et al., 1992), are the source of the vast majority of spines in the neocortex and have a much greater total dendritic length than nonpyramidal neurons [~10 vs 3 mm, respectively (Karube et al., 2004; Morishima and Kawaguchi, 2006)]. Because only a minority of nonpyramidal neurons [~25%, including Martinotti cells (Kubota et al., 1994)] have







**Figure 9.** *A, B,* Summary of spine head volume (*A*) and synaptic junction area (*B*) of VGLUT1- and VGLUT2-innervated spines. Mean and SD of volume of different spines types innervated by VGLUT1 and VGLUT2. \*p < 0.0001; \*p < 0.01. T1, VGLUT1, T2, VGLUT2; SI, single-innervated spine; DI, double-innervated spine; PE, spine innervated by perforated synapse; NP, spine innervated by nonperforated synapse. *C,* 3D reconstructed images of VGLUT2-innervated spines. Spines with nonperforated (NP), perforated (PE), U-shape (*U*), or twin-junctional (TJ) synapse.

high spine densities on their dendrites [which is only  $\sim 25\%$  of the density found on typical pyramidal neurons (Kawaguchi et al., 2006)], we can estimate the ratio of spine heads that originate from nonpyramidal cells in cortex by multiplying these proportions:  $0.25 \times 0.3 \times 0.25 \times 0.25$ , giving the total relative proportion of nonpyramidal spines as 0.00469. Therefore, < 0.5% of all spines in the cortex belong to nonpyramidal cells, whereas > 99.5% of spines are on pyramidal neurons. Our data show that double-innervated spines make up  $\sim 10\%$  of all VGLUT2-innervated spines in cortex. Because the density of VGLUT2-positive synapses is approximately half of the density of VGLUT1-positive synapses, VGLUT2-positive synapses comprise approximately one-third of all cortical excitatory terminals.

We can therefore calculate the proportion of double-innervated spines to be ~3% of all cortical excitatory synapses. Furthermore, we can assume that most, if not all, double-innervated spines are associated with pyramidal cells because nonpyramidal neuron spines comprise a much smaller population (<0.5% of all spines) than the population of double-innervated spines. Indeed, double-innervated spines have been reported previously to occur in pyramidal cell dendrites (Porter and White, 1986). Additional investigation will be required to determine whether double-innervated spines occur preferentially in different cellular compartments (basal vs apical dendrites, tufts, etc.).

There are some discrepancies between our data regarding the proportion of GABAergic terminals targeting spines (33.4%) and previous results from monkey visual cortex estimating that ~25% of GABAergic targets are spines (Beaulieu et al., 1992). This discrepancy may reflect variability among species or cortical areas. Alternatively, the difference may be attributable to differences in the targets of subtypes of GABAergic neuron. Although the nine neurons used in this study contain mostly the nonpyramidal cell subtype, some of minor ones were not included. For instance, chandelier cells, arcade cells, or small basket cells were not included in the present results. Therefore, we can assume that some discrepancy may be derived from a sampling bias in terms of nonpyramidal cell subtypes.

Our data demonstrate a thalamocortical origin for the vast majority of asymmetrical synapses onto double-innervated spine heads. Because the frontal cortex receives input from several thalamic nuclei, it will be important to determine whether spinous inhibition is associated with specific subsets of thalamocortical inputs. In layer IV of cat visual cortex, 2 of 31 (~6%) spines contacted by a genicurocortical thalamic afferent are double innervated by a symmetrical synapse (Dehay et al., 1991). A similar result was reported in rat prefrontal cortex for afferents from the mediodorsal nucleus of the thalamus (Kuroda et al., 2004). Although their sampling number is small, together, these results suggest that only a small fraction of thalamocortical boutons form synaptic connections onto double-innervated spines. This corresponds well with our finding that 9.3% of VGLUT2innervated spines in layer IV have a second GABAergic innervation (Table 1). Together, these data suggest that shunting inhibition is important for a subpopulation of thalamocortical inputs. In the rat medial prefrontal cortex, approximately half of VGLUT2-positive afferents arise from various thalamic relay nuclei (the specific thalamocortical connection), whereas the other half derive from midline and interlaminar nuclei (the nonspecific thalamocortical connection) (Hur and Záborszky, 2005). Our observation of double-innervated spines throughout all cortical layers suggests that at least some of these spines are innervated by nonspecific inputs, whereas data from Dehay et al. (1991) and Kuroda et al. (2004) show directly that specific thalamocortical

Table 2. Spine volume and synaptic junction area of VGLUT1- and VGLUT2-innervated spines

Data are mean ± SD	All spine	SI spine	OI spine	PE spine	NP spine
T1				· · · · · · · · · · · · · · · · · · ·	
Spine volume (µм³)	$0.073 \pm 0.060$	0.070 ± 0.056	0.139 ± 0.146	0.159 ± 0.059	0.048 ± 0.032
Synapse area (سب <sup>2</sup> )	$0.098 \pm 0.081$	$0.095 \pm 0.078$	$0.182 \pm 0.170$	0.217 ± 0.081	$0.064 \pm 0.032$
п	49	47	2	11	38
T2					
Spine volume (µм³)	$0.143 \pm 0.071$	$0.130 \pm 0.071$	0.159 ± 0.068	0.161 ± 0.067	0.121 ± 0.070
Synapse area (μм²)	$0.158 \pm 0.067$	$0.164 \pm 0.071$	$0.148 \pm 0.060$	0.189 ± 0.062	0.120 ± 0.053
n	90	55	35	49	41

Table 3. Spine subtypes with the different synaptic junction type

Layer	VGLUT1					VGLUT2				
	NP	PE					PE			
		PE	U	LT.	Total	NP	PE	U	ŢJ	Total
1	9	4	1	0	14	19	5	3	1	28
11/111	2	2	0	0	4	5	5	4	1	15
IV	2	1	0	0	3	1	4	0	0	5
٧	20	2	1	0	23	7	9	3	1	20
VI	5	0	0	0	5	9	6	6	1	22
		11 (22.4%)					49 (54.4%)			
Total	38	9	2	0	49	41	29	16	4	90
	77.6%	18.4%	4.1%	0%	100%	45.6%	32.2%	17.8%	4.4%	100%

NP, Nonperforated synapse; PE, perforated synapse; U, U-shaped synapse; TJ, twin-junctional synapse.

fibers synapse onto double-innervated spines. However, the relative contribution of specific and nonspecific thalamocortical afferents onto double-innervated spines remains to be determined.

We confirmed that symmetrical synaptic inputs onto VGLUT2-receptive double-innervated spines are GABAergic. Conversely, we did not perform GABA immunohistochemistry on VGLUT1associated double-innervated spine heads attributable to their low frequency of occurrence (0.7% of VGLUT1-receptive spines). However, because VGLUT2assocated spines were always GABAergic and because a proportion of symmetrical synapses onto spines are not GABAergic in origin [for instance, dopaminergic terminals form synapses onto spines in the frontal cortex (Carr and Sesack, 2000)], we can assume that some symmetrical synaptic junctions onto spines receiving intracortical (VGLUT1-positive) excitatory input are non-GABAergic neuromodulatory inputs.

Our results indicate that GABA inhibitory input to the cortex frequently occurs in distal segments of target cells, including spine heads, in which inhibition would be important in regulating the integration of synaptic inputs and may be able to preferentially gate thalamic input, and the involvement in double-innervated spine arrangements is a general property of all nonpyramidal cell subtypes. Because decreased inhibition onto dendrites promotes epileptic seizures (Cossart et al., 2001), gating of thalamocortical synapses with synaptic inhibition may be important in regulating excitatory dendritic drive. Strong thalamic input during whisker stimulation increases the number of double-innervated spines in somatosensory cortex (Knott et al., 2002). This may indicate that inhibitory GABAergic input on the double-innervated spines is dynamic and can adapt to changes in the level of thalamic input.

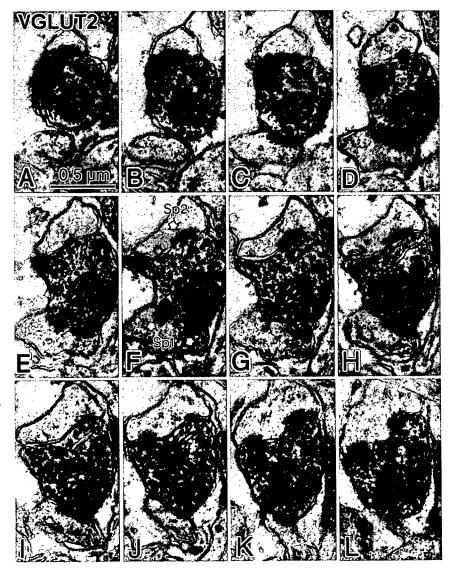
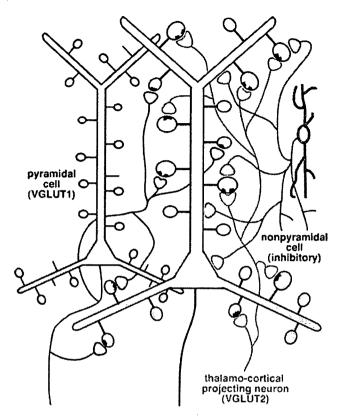


Figure 10. Electron micrograph showing a perforate synapse (Sp1) corresponding with 3D image "T2 PE" shown in Figure 9C. A–I., Successive ultrathin sections of an entire spine head (Sp1) that was innervated by a VGLUT2-positive axon terminal. An asymmetrical synapse was observed, and the middle of the successive ultrathin sections postsynaptic junction was split in two parts (E–G) and again merged together, indicating a typical perforated synapse. A second synapse (Sp2) formed by the VGLUT2-positive axon terminal (onto different postsynaptic target) is also a perforated synapse because the postsynaptic density is split into two parts in J–L.



**Figure 11.** Schematic summary of the GABAergic input to dendritic spines. Most VGLUT1-positive axon terminals originate from cortical cells (purple) and innervate spines of cortical pyramidal neurons (gray) that receive no secondary synaptic input. VGLUT2-positive axon terminals (green) originate from the thalamus and innervate larger spine heads of pyramidal cells (gray) that exhibit a second, GABAergic synaptic input (orange) in  $\sim$ 10% of cases.

Thalamic afferents to double-innervated spines are likely high-efficacy synapses given the large size of their postsynaptic spines and AMPA receptor localization at the synaptic junction. Therefore, one potential physiological significance of GABA innervation to these spines may be to decouple strong thalamic inputs to cortical pyramidal neurons via local shunting inhibition at the spine itself. Given that interneuron subgroups tend to project to different dendritic sites on pyramidal neurons (Buhl et al., 1994; Kawaguchi and Kubota, 1998; Somogyi et al., 1998; Támas et al., 2003; Markram et al., 2004), and our data showing that spinous inhibition to pyramidal neurons is provided by many different classes of interneuron, it remains to be determined whether different thalamic inputs are inhibited by specific classes of interneurons, perhaps in a location-dependent manner within the dendritic tree. This question should be investigated in the near future.

Finally, our data finding significant differences in the size of spines innervated by VGLUT1- and VGLUT2-positive terminals correspond with data from the amygdala, in which spines innervated by thalamic afferents are larger than those innervated by cortical afferents (Humeau et al., 2005). Recent imaging studies indicate that spines become enlarged after the induction of long-term potentiation but shrink when synapses undergo long-term depression (Matsuzaki et al., 2004; Okamoto et al., 2004; Zhou et al., 2004) and that only larger spines incorporate AMPA receptors (Takumi et al., 1999; Matsuzaki et al., 2001; Nicholson et al., 2006). Our results demonstrate that spines receiving VGLUT2-positive inputs, presumably thalamocortical terminals, are ap-

proximately twice as large as those receiving VGLUT1-positive inputs, corticocortical terminals (Fig. 9), and that these terminals express AMPA receptors (Fig. 8 E). This suggests that thalamocortical inputs are stronger, more reliable, and less plastic than corticocortical inputs (Crair and Malenka, 1995; Stratford et al., 1996; Gil et al., 1999). Recent data from dual recordings of thalamocortical connections in vivo show thalamocortical synapses have low efficacy in depolarizing cortical neurons (Bruno and Sakmann, 2006), a result that may reflect electrotonic differences in synapse localization or the impact of feedforward inhibition onto thalamocortical receptive spines.

Together, these findings add new depth to our understanding of cortical circuitry and suggest that similar mechanisms may allow GABAergic or other neuromodulatory inputs to regulate specific subsets of excitatory inputs to the cortex. A full understanding of how axon terminals identify appropriate subsets of spines from the many available targets will provide critical insight into how information is processed in cortical microcircuits.

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## Case Report

# Pick's disease with Pick bodies: An unusual autopsy case showing degeneration of the pontine nucleus, dentate nucleus, Clarke's column, and lower motor neuron

Tatsuro Oda,¹ Kuniaki Tsuchiya,² Tetsuaki Arai,³ Takashi Togo,⁴ Hirotake Uchikado,⁴ Rohan de Silva,⁵ Andrew Lees,⁵ Haruhiko Akiyama,³ Chie Haga,³ Kenji Ikeda,⁶ Motoichiro Kato,⁻ Yuji Kato,⁻ Tsunekatsu Hara,⁵ Mitsumoto Onaya,¹ Koji Hori,⁻ Hiroshi Teramoto¹ and Itaru Tominaga¹

<sup>1</sup>Department of Psychiatry, National Hospital Organization Shimofusa Psychiatric Medical Center, Chiba, <sup>2</sup>Department of Laboratory Medicine and Pathology, Tokyo Metropolitan Matsuzawa Hospital, <sup>3</sup>Department of Psychogeriatrics, Tokyo Institute of Psychiatry, Tokyo, <sup>4</sup>Department of Psychiatry, Yokohama City University School of Medicine, Yokohama, Japan, <sup>5</sup>Reta Lila Weston Institute of Neurological Studies, Royal Free & University College Medical School, London, UK, <sup>6</sup>Department of Psychiatry, Jikei Hospital, Okayama, <sup>7</sup>Department of Neuropsychiatry, Keio University School of Medicine, and <sup>8</sup>Department of Psychiatry, Komagino Hospital, Tokyo, Japan

We report a 51-year-old female with Pick's disease with Pick bodies (PDPB) showing a brainweight of 530 g. This case was considered to be a very rare case of PDPB, in which the lesion developed in the temporal and frontal lobes and later spread to the parietal lobe, occipital lobe, brainstem, cerebellum and spinal cord. This case showed very atypical clinicopathological findings. Clinically, bulging eyes and myoclonus were observed. Neuropathologically, Pick bodies were widely distributed beyond the usual distribution areas to the parietal cortices, occipital cortices, dentate nuclei, motor neuron nuclei in the brain stem, and spinal cord. The atypical clinical symptoms and the widespread neuropathological abnormalities observed in this case seem to represent an extremely extended form of PDPB.

**Key words:** bulging eyes, myoclonus, Pick body, Pick's disease, tau, topography.

#### INTRODUCTION

Pick's disease is a neurodegenerative disorder with a long history; this entity was reported by Arnold Pick in 1892 and

established as a disorder unit by Onari and Spatz in 1926.3 Neuropathologically, this disease is characterized by a specific lobar atrophy pattern, white matter gliosis, and the presence of highly disease-specific intracytoplasmic inclusions, namely; Pick bodies. In Onari and Spatz's study of Pick's disease, although the absence of Pick bodies in more than 50% of autopsy cases of Pick's disease was already known in the early period, its neuropathological diagnosis did not recognise the importance of the presence or absence of Pick bodies. Following this period, Constantinidis et al. classified Pick's disease into three groups according to the presence or absence of Pick bodies and ballooned neurons,4 but this classification has not been widely accepted. However, recent studies have elucidated localized cerebral atrophy resembling Pick's disease in other diseases, for example, in dementia lacking distinctive histological features, corticobasal degeneration (CBD) and amyotrophic lateral sclerosis with dementia.5-7 This increased the awareness of the importance of the presence of Pick bodies in the neuropathological diagnosis of Pick's disease. In 1994, Kertesz et al. considered that localized cerebral degeneration and clinical signs overlapping one another are important and proposed the Pick complex as a concept, including Pick's disease with Pick bodies (PDPB), generalized variant of Pick's disease, frontal lobe dementia, primary progressive aphasia and CBD.8 In the same year, the Lund and Manchester Groups pro-

termed "Pickscher Atrophie" by Gans in 1922.1,2 It was

Correspondence: Tatsuro Oda, MD, National Hospital Organization Shimofusa Psychiatric Medical Center, 578 Heta-cho, Midori-ku, Chiba 266-0007, Japan. Email: oda@chiba-net.or.jp

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posed the concept of FTD, 9 neuropathologically classifying FTD into three types (frontal lobe degeneration type, Pick type, and motor neuron disease type), and described that swollen neurons and the presence of Pick bodies are neuropathological characteristics of the Pick type, although they included cases lacking these characteristics but showing severe white matter gliosis in the Pick type. However, subsequent studies on tauopathy showed that Pick bodies are very characteristic intracytoplasmic inclusions consisting of, predominantly, three microtubule-binding repeat tau isoforms, and can be definitely differentiated from other intracytoplasmic inclusions.10 At present, the only cases showing Pick bodies are called PDPB or Pick body disease, 11 which are differentiated from other diseases showing lobar localized atrophy. We report an extremely atypical case that clinically showed myoclonus and neuropathologically disclosed severe cerebral atrophy, involving all cerebral lobes and a wide distribution of Pick bodies beyond the usual distribution areas.

#### **CLINICAL SUMMARY**

The patient was a female born in 1942. No predisposition to neurodegenerative disorders or psychiatric disorders was noted in her family. After graduation from junior high school, the patient worked in a factory, was married at the age of 22 years, and gave birth to two daughters.

From the age of 39 years, she began to develop headaches. At that time, she was suspected of having memory impairment. At the age of 40 years she consulted the Department of Psychiatry, Hospital A. No abnormalities were noted on CT brain scan, but retarded mental development or mental defects were suspected. She was reported to have either suffered from domestic violence at the hand of her husband or a traffic accident, but the details are unknown. She was admitted to Hospital B. She responded happily to visits by her family for a short period after admission, but gradually became absent-minded and showed decreasing responses to the environment. After half a year, she was transferred to Hospital C. There were no marked neurological findings on admission. She could only reply to questions regarding her name and on a few matters about her family, and replied "I don't know" to many questions without changing facial expression. "Stehende Redensarten" of "I have two children. They are in sixth and third grades" was also noted. She did not talk voluntarily or make requests. She developed incontinence of feces and urine, and marked wandering and repeated routine behavior, including frequently going to the toilet. Head CT revealed moderate brain atrophy, particularly of the left temporal lobe, and Pick's disease was suggested. From the age of 41 years, she exhibited abnormal behavior resembling Klüver-Bucy syndrome, including pica, such as coprophagia, labial tendency, and sexual deviations such as having male patients touch her genitalia. At the age of 42 years, meaningful speech completely disappeared. At the age of 43 years, an urethrovaginal fistula was found, and she had frequent episodes of fever caused by urinary tract infections. She gradually became bedridden and speechless.

At the age of 45 years, she needed full support, and meals were taken orally with assistance. A diagnosis of ovarian cyst was made. From the age of 47 years, myoclonic involuntary movements appeared in the trunk and lower extremities. At the age of 48 years, she was transferred to Hospital D. She was 145 cm in height and weighed 33 kg. An apallic state was noted, and her limbs were flexed and contracted. She had a facial expression with her eyes open, as if in amazement, which resembled the bulging eyes observed in Machado-Joseph disease. The patient occasionally moved her head from side to side and followed people with her eyes. Neurologically, the pupils were circular, and no laterality was noted in the pupil size. The light reflex was quick and ocular motions were generally normal. Orbicularis oculi and mandibular reflexes were exaggerated, and the deep tendon reflex was increased in the right upper extremity, which remained relatively movable. A swallowing disorder was present, and the patient was in a state of tube feeding. Myoclonus was remarkable. On changing her body position, she made her body rigid with a low groan, and repeated extension-flexion motions of the trunk in an irregular manner. No abnormalities were found in hematological or biochemical examinations on admission. Severe cerebral atrophy and the enlargement of ventricles were noted on head CT, but the occipital lobe was relatively intact. EEG revealed 4-7 c/s irregular low voltage activity. No abnormalities were observed in prion protein polymorphism. From the age of 49 years, the white blood cell count decreased gradually to 1260/mm at the age of 50 years. Hematological findings included granulocytopenia (granulocyte count ≤10%). No abnormalities were revealed by marrow taps of the sternum. She was weakened by repeated episodes of fever from infections, and died of sepsis at the age of 51 years, 10 years and 8 months after the disease onset (Fig. 1).

## MATERIALS AND METHODS

An autopsy was performed 3 h after death. The brain was fixed with 20% formalin, and examined after 2 months. The tissue blocks were embedded in paraffin, sectioned at a thickness of  $10\,\mu\text{m}$ , and stained with HE, KB, Bodian, Gallyas or Holzer. Part of the brain was fixed in 4% paraformaldehyde (PFA) in  $0.1\,\text{mol/L}$  phosphate buffer (pH 7.4) for 2 days. Following cryoprotection in 15% sucrose in  $0.01\,\text{mol/L}$  phosphate buffered saline (pH 7.4), sections