

# Pharmacokinetic Changes of Irinotecan by Intestinal Alkalinization in an Advanced Colorectal Cancer Patient

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**Abstract:** The prevention of irinotecan (CPT-11)-induced diarrhea, a well-known adverse reaction to the drug, by treatment with intestinal alkalinization has been carried out in patients with colorectal cancer in Japan. Under acidic conditions, CPT-11 and its active metabolite, SN-38, exists preferably as the lactone form, whereas both exist as the carboxylate form under basic conditions. It has been suggested that the lactone forms of both CPT-11 and SN-38 are diffused passively across the intestinal mucosal membranes, whereas the carboxylate forms are actively transported. The intestinal uptake rate of both forms appears to be pH sensitive under physiological conditions, but it remains unclear whether intestinal alkalinization treatment affects the pharmacokinetics of CPT-11 and SN-38. This study was designed to evaluate the pharmacokinetics of CPT-11 and SN-38 in a colorectal cancer patient with or without alkalinization treatment. We found that intestinal alkalinization significantly decreased the plasma levels of CPT-11 and SN-38. In particular, the AUC of SN-38 was markedly decreased to 56 from 107 ng·h/mL. Intestinal alkalinization was effective in preventing CPT-11-induced diarrhea, but this treatment changed the pharmacokinetics of CPT-11 and SN-38 in the body.

**Key Words:** CPT-11, SN-38, pharmacokinetics, intestinal alkalinization, therapeutic drug monitoring

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Irinotecan hydrochloride (CPT-11), which inhibits mammalian DNA topoisomerase I, is an antitumor agent possessing a wide antitumor spectrum, including small cell lung cancer, non-small cell lung cancer, colon cancer, and malignant lymphoma.<sup>1–4</sup> Following administration, CPT-11 is hydrolyzed to its active metabolite SN-38, predominantly by hepatic carboxylesterase.<sup>5</sup> SN-38 appears to have antitumor activities at least 1000 times as potent as CPT-11 against tumor cells in vitro. The metabolism of CPT-11 and SN-38 is complex and has an impact on the interindividual variability in the

pharmacokinetics and toxicity.<sup>6–8</sup> In the liver, a proportion of SN-38 is subsequently conjugated to SN-38 glucuronide by UDP-glucuronyltransferase. Furthermore, some of the SN-38G is deconjugated to SN-38 by enterobacterial  $\beta$ -glucuronidase in the colon.

CPT-11 and SN-38 have a labile  $\alpha$ -hydroxy- $\gamma$ -lactone in their molecules, which undergoes reversible hydrolysis in a pH-dependent manner. Under acidic conditions, the lactone form is the predominant form but is unstable at physiological or higher pH.<sup>7–9</sup> Under equilibrium conditions, hydrolysis occurs to cleave the lactone ring, yielding the carboxylate form. The carboxylate form of SN-38 is less potent in inhibiting topoisomerase I and, as a consequence, has much weaker antitumor activity than the lactone form. The lactone forms of CPT-11 and SN-38 are diffused passively across the intestinal mucosal membranes, whereas the carboxylate forms are actively transported. The rates of intestinal uptake for CPT-11 and SN-38 have been shown to be sensitive to luminal pH under physiological conditions.<sup>10–12</sup>

Delayed diarrhea and myelosuppression are recognized as dose-limiting toxicities (DLT) of CPT-11. To avoid CPT-11-induced diarrhea, enteric alkalinization has been attempted. Under basic conditions, CPT-11 and SN-38 are mostly present in the carboxylate form.<sup>11,13</sup> The rate of uptake of the carboxylate form by cultured cell lines in vitro was found to be lower than that of the lactone form. Because of the pH-dependent changes in the lactone and carboxylate forms of CPT-11 and SN-38, it has been suggested that intestinal alkalinization affects the intestinal reabsorption of CPT-11 and SN-38 excreted in bile, ie, enterohepatic circulation. However, the effect of alkalinization on the pharmacokinetics of CPT-11 and SN-38 remains unclear. We report here the effects of intestinal alkalinization on the pharmacokinetics of CPT-11 and SN-38 in a patient with advanced colon cancer.

## PATIENT AND METHODS

The patient was a 61-year-old man, who was diagnosed as having advanced colorectal cancer, Stage 3b, at an age of 59, and he had undergone lower anterior resection and radiation therapy during a prior hospitalization. The Eastern Cooperative Oncology Group performance status was 1. Six months after the operation, a metastatic liver tumor was found by computed tomography (CT), and first-line chemotherapy combined with 5-FU and leucovorin was carried out. However, bone and pulmonary metastases were found by follow-up x-ray and CT after 3 courses. The patient was admitted to our

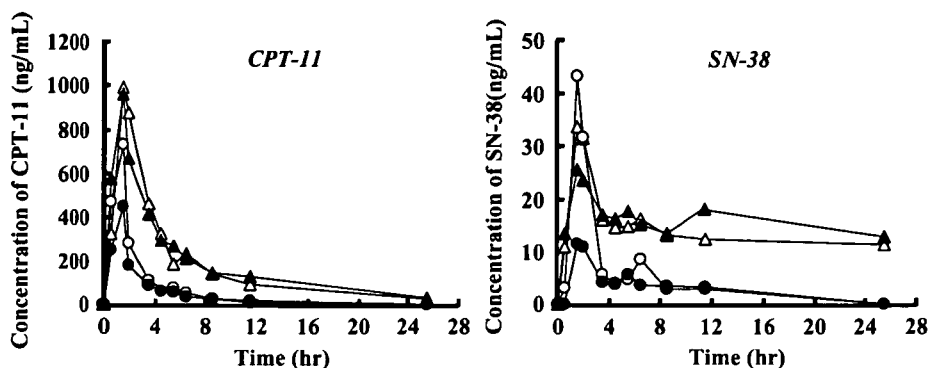
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**FIGURE 1.** The plasma concentration-time profiles of CPT-11 and SN-38 following IV administration of CPT-11 (100 mg/m<sup>2</sup>). Control: lactone form (○), carboxylate form (△). Alkalinization: lactone form (●), carboxylate form (▲).

hospital for second-line chemotherapy. In the second-line chemotherapy, a CPT-11 dose of 100 mg/m<sup>2</sup> was administered once every 3 weeks as a 90-minute IV infusion. A standard antiemetic combination of a corticosteroid and a 5-HT<sub>3</sub> antagonist was given via IV infusion before the administration of CPT-11. This patient was treated with intestinal alkalinization to prevent CPT-11-induced diarrhea. This treatment protocol was reported previously by Takeda et al.<sup>10</sup> In brief, the alkalinization treatment began concurrently on the first day of CPT-11 infusion and was continued for 4 days. Intestinal alkalinization treatment involved administering sodium bicarbonate and magnesium oxide at (0.5 g each orally) for 4 doses per day, ursodeoxycholic acid (100 mg) at 3 doses per day, and basic water (pH greater than 7.2) continuously at a total of 1500 to 2000 mL per day. The National Cancer Institute Common Toxicity Criteria (NCI-CTC, Version 2.0) were used for the side effect evaluation of CPT-11.

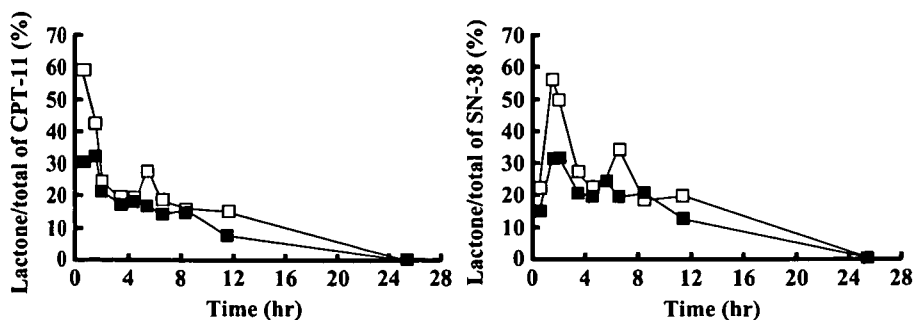
Blood samples were obtained at 30 and 90 minutes after the start of infusion. Additional samples were then obtained at 0.5, 2, 3, 4, 5, 7, 10, and 24 hours after the end of the infusion. The plasma concentrations of CPT-11 and SN-38 were measured using HPLC, as reported previously.<sup>14</sup> The pharmacokinetic parameters of CPT-11 and SN-38 were calculated by noncompartment analysis using the WinNonlin (Version 3.1) program.

**RESULTS AND DISCUSSION**

The pharmacokinetics of CPT-11 and its active metabolite SN-38 were evaluated during the first (without alkalinization) and second courses (with alkalinization). Intestinal alkalinization significantly changed the pharmaco-

kinetic behavior of CPT-11 and SN-38 (Fig. 1). The plasma concentrations of both the CPT-11 and SN-38 lactone forms were higher in the control (without alkalinization) than with alkalinization. The maximum plasma concentration of the lactone form of CPT-11 decreased from 730.3 to 452.2 ng/mL. The maximum plasma level of the lactone form of SN-38 was also decreased from 43.1 to 11.5 ng/mL. The AUC of the CPT-11 lactone form was 1648 ng·h/mL in the control and 1075 ng·h/mL with alkalinization. The AUC of the SN-38 lactone form was 107 ng·h/mL in the control and 56 ng·h/mL with alkalinization. Thus, this case study revealed that the plasma concentrations of CPT-11 and SN-38 were decreased by alkalinization treatment. Because intestinal alkalinization appeared to affect the pharmacokinetics of CPT-11, and markedly that of SN-38, intestinal alkalinization may decrease not only CPT-11-induced intestinal toxicity, such as diarrhea, but also the antitumor effect of CPT-11.

Figure 2 shows the ratio of the lactone form to the total amount of drug (lactone plus carboxylate) in the plasma as a function of time. The lactone form ratio of CPT-11 at 30 minutes after the infusion was 59% in the control and 30% with alkalinization. Similarly, that of SN-38 at the end of infusion was 56% in the control and 31% with alkalinization, suggesting that alkalinization caused a decrease in the ratio of the lactone form. The ratio of the CPT-11 lactone form, again, increased to 29% at 5.5 h (4 h after the infusion) in the control, at which point intestinal reabsorption would occur via the enterohepatic circulation. Alkalinization resulted in the disappearance of the reabsorption peak of both CPT-11 and SN-38, and the alkaline condition in the intestinal tract decreased the reabsorption of these drugs. Because the uptake rate of CPT-11 and SN-38 lactone under acidic conditions is several



**FIGURE 2.** The ratio of the lactone form to total drug for CPT-11 and SN-38 in plasma as a function of time for a patient who received CPT-11 (100 mg/m<sup>2</sup>): control (□), alkalinization (■).

times greater than that of the respective carboxylate under alkaline conditions, the AUC of the CPT-11 and SN-38 lactone may be decreased. Furthermore, the conversion rate of hydrolysis of SN-38 has been reported to be pH dependent.<sup>9</sup> However, the impact of alkalization on the hydrolysis and the AUC of SN-38 remains unclear. The main non-hematologic side effect of CPT-11 treatment is related to its gastrointestinal toxicity, ie, diarrhea. This patient suffered grade 0 toxicity during both the control administration and with alkalization. However, the frequency of diarrhea was 3 times during the control administration, whereas it was not observed with alkalization.

The incidence of diarrhea, a specific dose-limiting toxicity of CPT-11, is relatively high.<sup>10</sup> Various preventive treatments have been attempted to suppress the diarrhea.<sup>15-19</sup> Hange-shashin-to, a herbal medicine that contains the  $\beta$ -glucuronidase inhibitor baicalin, has been described recently to be a potent inhibitor of delayed-type diarrhea caused by CPT-11 in humans.<sup>15,16</sup> Keher et al reported that neomycin ameliorates diarrhea and has no effect on the systemic exposure of CPT-11 and SN-38.<sup>8</sup> Intestinal alkalization has been implemented in a clinical setting to prevent diarrhea, but there may be the possibility of the attenuation of the antitumor effect as well as the reduction of diarrhea.

This case study indicates that intestinal alkalization for the preventative treatment of diarrhea should be reviewed carefully. Clinical research to reexamine the effect of intestinal alkalization on the pharmacokinetics/pharmacodynamics of CPT-11 is being planned in our hospital.

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# Alteration in Gene Expression Profile by Full-Length Hepatitis B Virus Genome

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## Key Words

Hepatitis B virus · Hepatitis B virus-expressing cell · DNA array assay · Corroboration assay · Hepatocarcinogenesis

## Abstract

Persistent expression of hepatitis B virus (HBV) proteins is thought to be involved in virus-related hepatocarcinogenesis. Here, we compared the gene expression profile of cells persistently expressing the full-length HBV with that of negative control cells to comprehensively investigate virus-mediated changes in the gene expression of the host cells. RNA samples from both virus-expressing and negative control cells were used for the DNA array assay. DNA array assay and subsequent corroboration assays revealed that expression of 14 of 1,176 genes (1.2%) was altered in response to virus expression. The upregulated genes included CD44, high mobility group protein-1, thymosin beta-10 and 27-kD heat shock protein, while the downregulated genes included NM23-H1, all of which are thought to be associated with the development or progression of carcinoma in the liver or other organs. Furthermore, virus expression resulted in the decrease of two apoptosis-inducing molecules, caspase-3 and BAX, which may also contribute to carcinogenesis

through prolonged survival of the host cell. Thus, expression of the virus genome caused carcinogenesis-related changes in host cell gene expression. HBV expression may change the host cell to a malignant phenotype through alterations in the expression levels of a set of genes.

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## Introduction

Hepatitis B virus (HBV) is a major causative agent of acute and chronic liver diseases. Chronic HBV infection eventually results in more serious liver diseases, such as cirrhosis and hepatocellular carcinoma (HCC) [1, 2]. HBV is a circular, partially double-stranded DNA virus of approximately 3.2 kb in length that encodes four kinds of viral proteins, i.e. preS/S, precore/core, polymerase and X proteins. Among these HBV proteins, the role of the X protein (HBx) in HBV-mediated pathogenesis has been studied most extensively. HBx displays tumorigenic transforming activity *in vitro* [3] and *in vivo* [4]. Also, HBx is thought to considerably modify cellular apoptotic processes under various apoptosis-inducing stimuli [5–7]. HBx acts as a transcription activator for many cellular and virus promoters and enhancers [reviewed in ref. 8]. In

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addition, HBx affects various cellular signal transduction pathways [9–13]. Furthermore, the biologic activities of HBx may be induced by its direct binding to cellular target proteins [14–21].

Another HBV protein, preS/S protein, may also act as a transcriptional transactivator. Both pre-S1 [22] and pre-S2/S [23] proteins activate transcription of a particular gene, suggesting that these proteins, as well as HBx, substantially contribute to modifications of the host cellular function. Therefore, it is important to determine the phenotypic changes in the host cell due to expression of the full-length HBV genome.

Recent advances in DNA array technology make it possible to simultaneously examine the expression levels of hundreds to thousands of genes. In the present study, we compared the gene expression profile of cells persistently expressing full-length HBV (HB611 cells) [24] with that of negative control cells using a DNA array assay, and comprehensively investigated the alterations in the gene expression of host cells in response to the expression of complete HBV proteins.

## Materials and Methods

### Cell Culture

HB611 cells were established from a human hepatoblastoma cell line, Huh-6, by transfection with the plasmid 3HBneo carrying a 3-tandem repeat of the full length of HBV adr4 strain [24]. HB611 cells are capable of transcribing the pregenome RNA and other viral mRNAs from the integrated HBV DNA through regulation by their own promoter/enhancer, followed by constitutive production of viral proteins and the release of Dane-like particles into the culture medium [24]. Huh-6 neo cells were generated by transfection with only the neomycin-resistant gene and used as a negative control. Both HB611 and Huh-6 neo cells were kindly provided by Prof. K. Matsuura (Institute for Molecular and Cellular Biology, Osaka University Graduate School of Medicine, Osaka, Japan). These cells were grown in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum, 100 IU/ml penicillin, 100 µg/ml streptomycin, 250 ng/ml amphotericin B and 200 µg/ml G418 sulfate (Gibco BRL/Life Technologies, Inc., Tokyo, Japan) at 37 °C under an atmosphere of 5% CO<sub>2</sub>-95% air.

### DNA Array Analysis

In the present study, a commercially available DNA array system (Atlas Human Array 1.2, Clontech Laboratories, Inc., Palo Alto, Calif., USA) was used for the analysis. This is a broad-coverage DNA array system that is capable of simultaneously analyzing the 1,176 genes examined in this study. The DNA array procedures were conducted according to the manufacturer's instructions. Briefly, total RNA was extracted from both HB611 and Huh-6 neo cells in a confluent state with TRIZOL reagent (Gibco BRL/Life Technologies), and the mRNA was selected using an oligo-dT column (Roche Diagnostic Co. Ltd., Tokyo, Japan). The mRNA sample was treated with

RNase-free DNase I (Promega Co., Madison, Wisc., USA), followed by extraction with phenol-chloroform-isoamyl alcohol (25:24:1) and precipitation with ethanol. The resulting mRNA sample (1 µg) was used for the DNA array analysis. After cDNA synthesis, parallel hybridization with cDNA samples derived from HB611 and Huh-6 neo cells was performed using two identical membranes loaded with the gene probes. Finally, the arrays were exposed for 24 h using the bioimaging analyzer BAS-2500 (Fuji Photo Film Co. Ltd., Tokyo, Japan). Quantitation of the signal intensity of each gene was performed using Atlas Image software (Clontech Laboratories).

### Reverse Transcription-Polymerase Chain Reaction Analysis

For the reverse transcription (RT)-polymerase chain reaction (PCR) assay, total RNA was extracted from both the HB611 and Huh-6 neo cells with TRIZOL reagent (Gibco BRL/Life Technologies), as described above. After RNase-free DNase I (Promega) treatment, cDNA was synthesized using mutated Moloney murine leukemia virus reverse transcriptase (ReverTra Acc, Toyobo, Co. Ltd., Osaka, Japan) and oligo (dT)<sub>20</sub> primer (Toyobo). Table 1 shows the primers for the PCR analysis used in this study. An aliquot of the cDNA product was subjected to PCR reaction (94 °C for 30 s, 55 °C for 1 min and 72 °C for 2 min), followed by a final extension at 72 °C for 10 min. PCR reaction for 34, 31, 28 and 25 cycles was performed in each experiment, and the appropriate cycles for the comparison of the gene expression level between HB611 and Huh-6 neo cells were determined for each gene. As an internal control, β-actin mRNA was also examined. The PCR product was separated using agarose gel electrophoresis and visualized with ethidium bromide staining under an ultraviolet lamp.

### Western Blot Analysis

For the Western blot analysis, cells in a confluent state were lysed and separated using SDS-PAGE. After transfer onto a nitrocellulose membrane (Hybond-P, Amersham Pharmacia Biotech Co. Ltd., Buckinghamshire, UK), the membrane was blocked with 5% milk. The membrane was then incubated with the primary antibody, followed by further incubation with immunoglobulin coupled with horseradish peroxidase as a secondary antibody. Finally, the proteins were detected by chemiluminescence (Supersignal, Pierce Chemical, Rockford, Ill., USA). The following antibodies were used in this study: anti-27-kD heat shock protein (HSP-27; Upstate Biotechnology, Lake Placid, N.Y., USA), anti-NM23-H1 (Santa Cruz Biotechnology, Inc., Santa Cruz, Calif., USA), anti-caspase-3 (MBL Co. Ltd., Nagoya, Japan) and anti-BAX (Santa Cruz Biotechnology).

## Results

### Results of the DNA Array Analysis

To investigate the changes in the gene expression profile caused by transfection of the full-length HBV DNA, DNA array analysis was performed using mRNA samples derived from HB611 and Huh-6 neo cells. Genes with a HB611 to Huh-6 neo signal intensity ratio of 3 or greater were regarded as upregulated, whereas genes with an HB611 to Huh-6 neo signal intensity ratio of 0.33 or less were regarded as downregulated. Figure 1 shows the com-

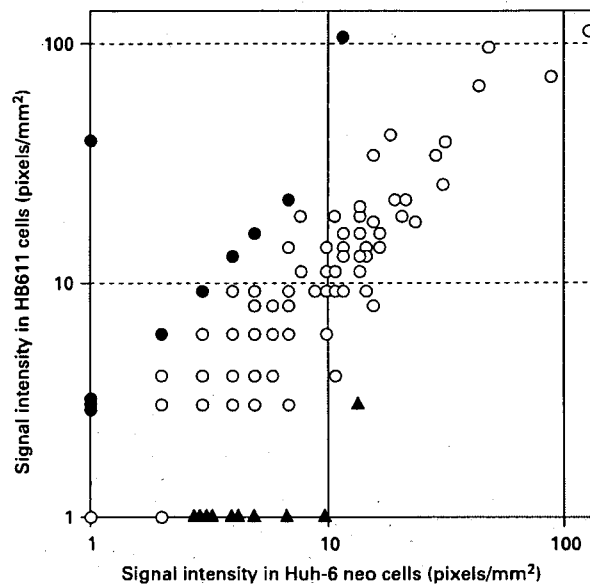
**Table 1.** Sequences of primers for PCR amplification used in this study

Genes		Primer sequence (5' to 3')
CD44	sense	CATCTACCCCAGCAACCCTA
	antisense	CTTCTGCCACACCTTCTTC
HMG-I	sense	AGTGAGTCGAGCTCGAAGTC
	antisense	GTCTCTTAGGTGTTGGCACT
TB-10	sense	GCAAATCGCCAGCTTCGATA
	antisense	AATCCCTCCAGGATCTTAGG
$\alpha_1$ -AT	sense	GGGTCAACTGGGCATCACTA
	antisense	CCATGAAGAGGGGAGACTTG
$\alpha_1$ -AG	sense	AGAGTACCAGACCCGACAGG
	antisense	CTCTCCTTCTCGTGCTGCTT
IGFBP-1	sense	GAGAGCACGGAGATAACTGAGG
	antisense	AACCACTGTACCTCTCGGAAGC
BTEB2	sense	ACTTACTTTCCCCCGTCACC
	antisense	CAGCCTTCCCAGGTACACTT
TOPO-II $\alpha$	sense	TGTCACCATTGCAGCCTGTA
	antisense	GTCGAGAAGGGTATAATAGG
HIF-1 $\alpha$	sense	TGTAATGCTCCCCTCACCCAACGAA
	antisense	GTGACCCTGATAATCCGAGTCCACT
ROR1	sense	CCTCATGACAGAGTGCTGGA
	antisense	GAGGACCTGTTGGCTGGTAG
$\beta$ -Actin	sense	ACACTGTGCCATCTACGAGG
	antisense	AGGGGCCGGACTCGTCATACT

parison of the gene expression levels between HB611 and Huh-6 neo cells. The expression of 10 genes was significantly enhanced, whereas the expression of 10 genes was reduced due to persistent expression of HBV. Thus, 20 of the 1,176 genes (1.7%) examined in this study were initially judged to be altered by HBV expression in the DNA array analysis.

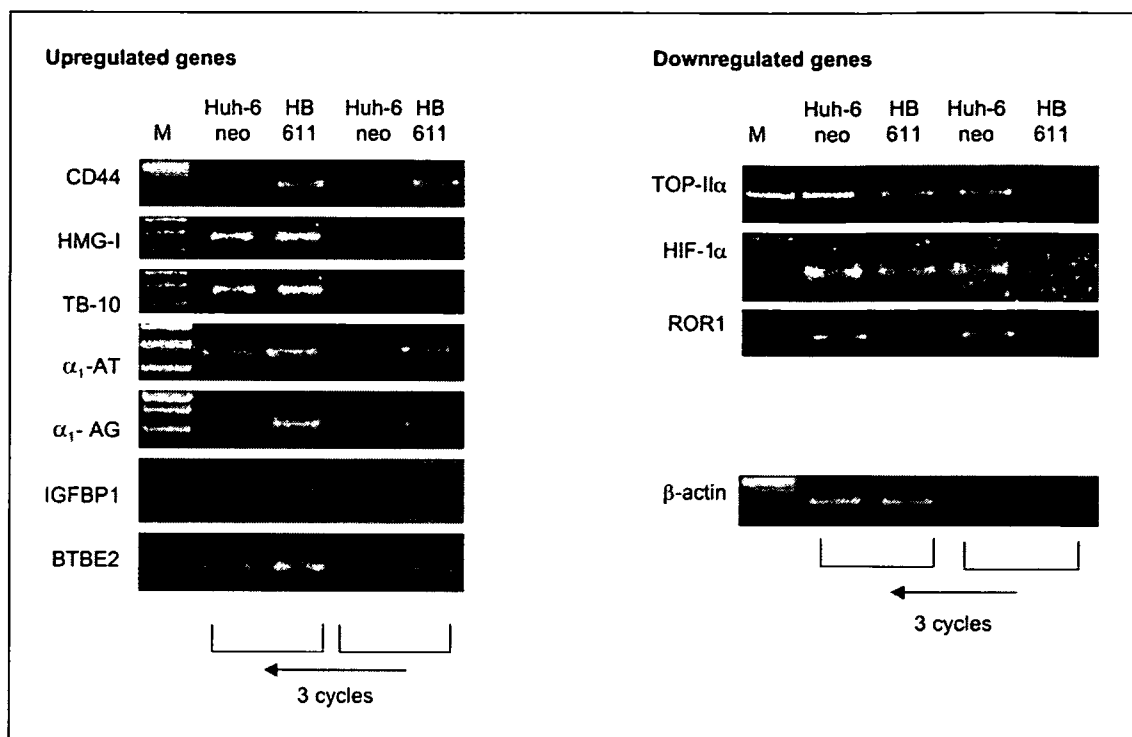
#### Results of Corroboration Assays by RT-PCR and Western Blot

For the 20 genes initially regarded to be HBV responsive in the DNA array analysis, RT-PCR analysis (for 14 genes) or Western blot analysis (for 6 genes) was further performed for corroboration. The RT-PCR results are shown in figure 2. In the RT-PCR assay, 7 genes, i.e. CD44, high mobility group protein-I (HMG-I), thymosin beta-10 (TB-10), alpha-1-antitrypsin ( $\alpha_1$ -AT), alpha-1-acid glycoprotein 1 ( $\alpha_1$ -AG), insulin-like growth factor-binding protein 1 (IGFBP-1) and basic transcription ele-

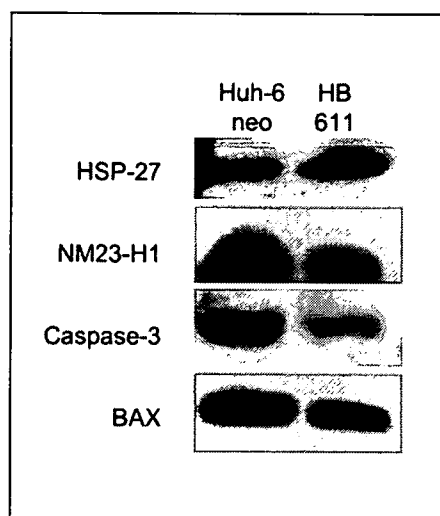


**Fig. 1.** Comparison of gene expression levels between Huh-6 neo and HBV-expressing HB611 cells in DNA array analysis. The signal intensities of each gene were quantitated with Atlas Image software (Clontech Laboratories). ● = Genes upregulated by HBV expression, with a HB611 to Huh-6 neo signal intensity ratio  $\geq 3$ ; ▲ = genes downregulated by HBV expression, with a HB611 to Huh-6 neo signal intensity ratio  $\leq 0.33$ ; ○ = genes whose expression levels were not altered by HBV expression.

ment-binding protein 2 (BTEB2), were upregulated, whereas 3 genes, i.e. DNA topoisomerase II alpha (TOPO-II $\alpha$ ), hypoxia-inducible factor 1 alpha (HIF-1 $\alpha$ ) and protein-tyrosine kinase transmembrane receptor ROR1, were downregulated due to expression of HBV proteins. In addition, Western blot analysis revealed that HBV expression induced 1 upregulated gene, HSP-27, and 3 downregulated genes, NM23-H1, caspase-3 and BAX (fig. 3). Expression of the remaining 6 genes was not different between HB611 and Huh-6 cells by RT-PCR assay (4 genes) or by Western blot (2 genes), and these genes were regarded as 'false positives' of the DNA array analysis. The specificity of our DNA array analysis was 70% (14 of 20 genes). The high specificity indicates that the DNA array analysis used in this study was a reliable experimental method to simultaneously examine the expression levels of many genes. The 14 HBV-responsive genes identified in this study are summarized in table 2.



**Fig. 2.** RT-PCR assay for the detection of HBV-responsive genes. Total RNA samples were extracted from Huh-6 neo and HB611 cells and used for RT-PCR assay. The left panel represents upregulated genes (8 genes), whereas the right panel represents downregulated genes (6 genes). The right bottom panel shows the  $\beta$ -actin mRNA as an internal control. M = 100-bp ladder.



**Fig. 3.** Western blot analysis for the detection of HBV-responsive genes. Total cellular lysates were extracted from Huh-6 neo and HB611 cells and subjected to Western blot analysis to examine HSP-27, NM23-H1, caspase-3 and BAX expression levels.

## Discussion

In the present study, we identified 14 genes whose expression levels were altered by expression of the full-length HBV DNA through the screening of 1,176 genes using the DNA array method. Among these HBV-responsive genes, there were 6 genes, CD44, NM23-H1, BAX, HMG-I, TB-10 and HSP-27, which have been suggested to be closely associated with the development or progression of HCC or other kinds of carcinomas. CD44 is a cell surface glycoprotein that possesses functions in cell-cell and cell-matrix adhesions. High levels of CD44 expression are related to the invasive and metastatic potential of HCC [25, 26]. HBx induces metastatic potential by modifying CD44-dependent migratory behavior, as determined using HBx-overexpressing cultured cells [27]. NM23-H1, a nucleotide diphosphate kinase, is an antimetastatic molecule [28]. In HCC, the reduced expression of NM23-H1 is closely correlated with the presence of intrahepatic metastasis [29] and higher recurrence rates after surgical resection [30]. HMG-I is involved in the regula-

tion of chromatin structure and function, and regulates transcription activity by interacting with several transcription factors. Elevated expression of HMG-I is frequently observed in various carcinomas, such as thyroid tumors [31], colorectal carcinomas [32] and pancreatic duct cell carcinomas [33]. In addition, the forced expression of the HMG-I gene in cultured epithelial cells leads to a malignant and metastatic phenotype of the cells [34]. TB-10 is an acidic polypeptide originally isolated from the calf thymus, and belongs to the  $\beta$  class of the thymosin family. Overexpression of TB-10 is frequently detected in colon, breast, ovarian and uterine carcinomas and germ cell tumors [35]. HSP-27, a member of the heat shock protein family, is thought to have an important role in the regulation of intracellular homeostasis. HSP-27 expression might be associated with a poor clinical outcome in prostate [36] and breast cancers [37], suggesting that overexpression of HSP-27 is linked to the aggressiveness of malignant tumor cells. Transient transfection of HBx into cultured cells enhances expression of HSP-27 [38].

The levels of two acute-phase response proteins,  $\alpha_1$ -AT and  $\alpha_1$ -AG, were also increased by HBV expression in the present study. Serum  $\alpha_1$ -AT and  $\alpha_1$ -AG levels tend to be higher in patients with HCC than in patients with chronic hepatitis without HCC [39]. Furthermore, a high level of serum  $\alpha_1$ -AT might also be significantly correlated with shorter survival [40].

Thus, a series of previous studies in either a clinical setting or laboratory investigations suggest that enhanced expression of CD44, HMG-I, TB-10, HSP-27,  $\alpha_1$ -AT and  $\alpha_1$ -AG, and reduced expression of NM23-H1 might be involved in the acceleration of carcinogenesis in the liver or other organs. These findings suggest that each of these alterations in gene expression levels have an important role in the course of carcinogenesis, although the precise mechanisms through which cells would gain a malignant phenotype have not been fully clarified. It is noteworthy that such carcinogenesis-related changes in gene expression levels are caused by expression of the full-length HBV genome, suggesting that persistent expression of HBV might accelerate hepatocarcinogenesis accompanied by alterations in gene expression levels of the host liver cell.

Furthermore, HBV expression suppressed the expression levels of two important apoptosis-inducing molecules, caspase-3 and BAX. Caspase-3, a member of the cysteine protease family, activates its target molecules by proteolytic cleavage and has a crucial role in cellular apoptosis. Transfection of the HBx gene into cultured cells inhibits caspase-3 activity and results in the resistance of

**Table 2.** HBV-responsive genes determined by DNA array screening and the corroboration assays

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*Genes upregulated by HBV expression (n = 8)*  
(HB611 to Huh-6 neo ratio  $\geq 3$ )

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CD44 antigen (M59040)  
High mobility group protein-1 (M23619)  
Thymosin beta-10 (M92381)  
27-kD heat shock protein (X54079)  
Alpha-1-antitrypsin precursor (X02920)  
Alpha-1-acid glycoprotein 1 precursor (X02544)  
Insulin-like growth factor-binding protein 1 (M31145)  
Basic transcription element-binding protein 2 (D14520)

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*Genes downregulated by HBV expression (n = 6)*  
(HB611 to Huh-6 neo ratio  $\leq 1/3$ )

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Metastasis inhibition factor NM23 (X17620)  
Caspase-3 (U13737)  
Apoptosis regulator BAX (L22474)  
DNA topoisomerase II alpha (J04088)  
Hypoxia-inducible factor 1 alpha (U22431)  
Protein-tyrosine kinase transmembrane receptor ROR1 (M97675)

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Another 6 genes were initially judged to be HBV-responsive, but were subsequently found to be false positive by RT-PCR or Western blot analysis for corroboration. Numbers in parentheses represent the GenBank accession numbers.

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cellular apoptosis under various stimuli [7]. In the present study, we demonstrated that HBV expression reduced caspase-3 transcription and expression levels. BAX is a proapoptotic member of the BCL-2 family. Downregulation of BAX is observed in HCC tissues with overexpression of the tumor suppressor p53 [41]. In light of this, the HBV-mediated suppression of caspase-3 and BAX might result in prolonged survival of the host cell and contribute to carcinogenesis in the liver.

In the present study, 5 additional HBV-responsive genes were identified. IGFBP-1 takes part in the regulation of the function of insulin-like growth factor (IGF) by binding to IGF [42]. The modulatory effect of IGFBP-1 on the mitogenic activity of IGF, however, has not been fully clarified in the liver cell. TOPO-II $\alpha$  is a nuclear enzyme that changes the topology of DNA and is essential for chromosome segregation at mitosis. High expression levels of TOPO-II $\alpha$  are reported in lung cancer [43], in contrast to the suppression of TOPO-II $\alpha$  by HBV expression observed in the present study. HIF-1 $\alpha$  is involved in the transcriptional regulation of a variety of genes related to angiogenesis [44]. HBV expression, however, sup-



presses HIF-1 $\alpha$  levels, despite the fact that angiogenesis has a key role in the progression of malignant cells. As for ROR1, an orphan cell surface receptor with strong homology to the tyrosine kinase domain of growth factor receptors [45], and BTEB2, a transcription factor involved in phenotypic changes of smooth muscle cells [46], their functions in the liver have not yet been clarified. Thus, the biologic significance of HBV-mediated alterations in these 5 genes remains unclear, especially with respect to carcinogenesis.

In conclusion, our DNA array results suggest that HBV expression induces the host cell to adopt a malignant phenotype through alterations in the expression levels of a set of carcinogenesis-related genes. These findings may serve as a first step toward the comprehensive understanding of HBV-mediated development or progression of HCC. It remains unclear, however, which parts of the HBV region are responsible for the change in the expression level of each HBV-responsive gene. Also, the detailed functions of these HBV-responsive genes in the acceleration of carcinogenesis should be clarified.

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# Differentiation, Maturation, and Survival of Dendritic Cells by Osteopontin Regulation

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**Dendritic cells (DCs) are antigen-presenting cells with the ability to induce primary immune responses necessary in innate immunity and adaptive immunity. Osteopontin (OPN) is a secreted acidic phosphoprotein containing an arginine-glycine-aspartate sequence and has been suggested to play an important role in early cellular immune responses. The interaction between DCs and OPN has not been clarified. We hypothesized that there is an important interaction between DCs and OPN, which is an indispensable extracellular matrix component in early cellular immune responses. Human monocyte-derived DCs synthesized OPN especially during the differentiation from monocytes to immature DCs. By blocking of OPN with anti-OPN antibody, cultured DCs became smaller and expressed lower levels of costimulatory molecules and major histocompatibility complex class II antigens than untreated DCs. Furthermore, DCs treated with anti-OPN antibody easily underwent apoptosis. These results suggest that human DCs can produce OPN and that OPN may play a role in the differentiation, maturation, and survival of DCs by autocrine and/or paracrine pathways.**

Dendritic cells (DCs) play critical roles in innate immunity and adaptive immunity (4). Immature DCs reside in peripheral tissues, where they serve as sentinels for foreign antigens and microbial pathogens. Upon activation, immature DCs undergo maturation and migrate to the lymph nodes. During maturation, DCs acquire an enhanced capacity to form and accumulate peptides, major histocompatibility complex (MHC) class II molecules, costimulatory molecules (such as CD40, CD80, and CD86), and antigens of unknown functions (such as CD83 and DC-LAMP) (10). Mature DCs can prime naïve T cells and initiate primary T-cell-mediated immune responses (4). In addition, there is increasing evidence that DCs in situ induce antigen-specific unresponsiveness or tolerance in central lymphoid organs and in peripheral tissues (4, 31). Thus, DCs play a crucial role during the initiation and regulation of immune responses. Recently, we and others reported that DCs are essential for granuloma formation against bacterial antigens in animal models (12, 33, 36).

Osteopontin (OPN), also known as early T-lymphocyte activation-1 (Eta-1), is a phosphoprotein that contains arginine-glycine-aspartate (RGD). Although OPN is classified as an extracellular matrix (ECM) protein, OPN has only recently been shown to be an important component of early cellular immune responses (18). OPN has various functions in chemotaxis for immune cells, tumor metastasis, neovascularization, and host defense, including control of nitric oxide production, control of infection, and control of cell adhesion (3, 5, 9, 21, 25). These mechanisms are regulated by posttranslational modifications, such as cleavage by thrombin, addition of a

glucose chain, and phosphorylation. Various immunological disorders are associated with high levels of OPN expression (8, 15). Analyses of OPN-deficient mice revealed that OPN plays an important immunological role in granuloma formation (23), acid-fast bacillus disease (21), and carcinoma metastasis (5). The role of OPN in inflammation suggests that ECM-related proteins may function as pleiotropic cytokines to regulate immune responses. Activated macrophages, lymphocytes, and natural killer (NK) cells produce OPN in response to various stimuli (23). However, there are no reports of the effects of OPN on DCs, with the exception of a single report of the migratory effect of OPN on cutaneous Langerhans cells and DCs in a mouse allergic cutaneous hypersensitivity model (34). The direct effect of OPN on the development and activation of DCs has not been clarified. Thus, we sought to characterize the functional interaction between OPN and DCs by examining the effects of OPN on differentiation, maturation, and function of human monocyte-derived immature and mature DCs. We report here that human monocyte-derived dendritic cell (MO-DC) can produce OPN that enhances differentiation, maturation, and survival of DCs by autocrine and/or paracrine pathways.

## MATERIALS AND METHODS

**Reagents.** Recombinant human granulocyte-macrophage colony-stimulating factor (GM-CSF) was kindly provided by Kirin Brewery (Tokyo, Japan). Recombinant human interleukin-4 (IL-4) was purchased from R&D Systems (Minneapolis, Minn.). Anti-OPN monoclonal antibody (MAb) (mouse immunoglobulin G1 [IgG1]) was from IBL (Gunma, Japan). Phycoerythrin (PE)-conjugated anti-human HLA-DR antibody, Fc receptor, and fluorescein isothiocyanate (FITC)-conjugated anti-human CD14 were from Sigma (St. Louis, Mo.). Anti-human CD86 antibody was purchased from BD PharMingen (San Diego, Calif.), and anti-human CD83 and isotype control IgG were from Immunotech (Marseille, France). Lipopolysaccharide (LPS) (*Escherichia coli*) (catalog no. L4391),  $\beta$ -D-glucan (barley) (catalog no. G6513), and lipoteichoic acid (LTA) (*Staphylococcus aureus*) (catalog no. L2515) were from Sigma.

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**Generation of DCs and macrophages from purified human CD14<sup>+</sup> monocytes.** Mo-DCs were obtained as previously described (29) but with a minor modification. Peripheral blood mononuclear cells (PBMCs) were isolated from heparinized blood samples from healthy volunteers by standard density gradient centrifugation with Lymphoprep (Axis-Shield, Oslo, Norway). PBMCs at the interface were pelleted and washed twice with phosphate-buffered saline (PBS). CD14<sup>+</sup> monocytes were isolated from mononuclear fractions through positive selection with microbeads coated with anti-CD14 antibody and Midi-Macs separation columns (Miltenyi Biotec, Bergisch Gladbach, Germany). Purity was checked by flow cytometry with anti-CD14 MAb and was >95%.

For Mo-DC generation, purified CD14<sup>+</sup> monocytes were cultured in complete medium (CM), which consisted of RPMI 1640 medium supplemented with NaHCO<sub>3</sub>, L-glutamine (Nipro, Osaka, Japan), 10% fetal calf serum (FCS), 10 mg of streptomycin per ml, 10,000 U of penicillin G per ml, 55 mM 2-mercaptoethanol, and HEPES, at a concentration of  $5 \times 10^5$  or  $2.5 \times 10^5$  cells/ml in 24-well flat-bottom microplates (Becton Dickinson, Franklin Lakes, N.J.). GM-CSF (800 U/ml) and IL-4 (500 U/ml) were added to the CM to generate Mo-DCs. Cells were incubated at 37°C in a 5% CO<sub>2</sub> atmosphere. On day 5, the cultured cells progressed to immature DCs as confirmed by fluorescence-activated cell sorting (FACS) analysis of surface markers and by morphology. To generate mature DCs, immature DCs were harvested on day 5, washed with PBS, and seeded ( $5 \times 10^5$  cells/ml/well) in fresh CM supplemented with GM-CSF (800 U/ml) and IL-4 (500 U/ml), and stimulated with LPS (1 µg/ml), β-D-glucan (5 µg/ml), LTA (20 µg/ml), or CD40L (1 µg/ml) for 48 h.

Monocyte-derived macrophages (Mo-Mφs) were generated as described previously (16). CD14<sup>+</sup> monocytes were cultured (37°C, 5% CO<sub>2</sub>) in CM supplemented with M-CSF (10<sup>4</sup> U/ml) for 5 days. During culture, monocytes underwent morphological changes characteristic of macrophages differentiated from monocytes, such as an increasing size and adherence. Purity was >95% as verified by FACS analysis with an anti-CD14 MAb and an anti-human Fc receptor MAb and by morphology and enhanced phagocytosis of latex particles.

**Cytology.** DCs generated *in vitro* were cytocentrifuged for 5 min at 500 × g (Cytospin 3; Shandon, Astmoor, United Kingdom) and stained with Diff-Quick (Kokusai Shiyaku, Kobe, Japan). Mature DCs were larger, double the size of monocytes in diameter with long cytoplasmic projections (dendrites), eccentric multilobulate lateral nuclei, and abundant cytoplasm. Immature DCs had small cytoplasmic projections or no projections at all.

**Flow cytometry.** Cells were washed twice with PBS supplemented with 2% FCS and resuspended in PBS supplemented with 2% FCS. Cells were incubated with Abs at saturating concentrations for 30 min at 4°C and then washed with PBS two more times. Cells were stained with the following Abs: FITC-conjugated anti-CD14 antibody (Sigma), PE-conjugated anti-HLA-DR antibody (Sigma), anti-CD83 antibody (Immunotech), and anti-CD86 antibody (PharMingen). Rabbit FITC-conjugated anti-mouse immunoglobulin (DakoCytomation, Kyoto, Japan) was used as a secondary antibody. Cell surface antigen expression was evaluated by single- or double-immunofluorescence staining, and analysis was performed with a FACScan analyzer and CellQuest software (Becton Dickinson).

**Measurement of OPN.** The concentration of OPN in PBMC culture supernatants was measured with a human OPN enzyme immunoassay (EIA) kit (Immuno-Biological Laboratories Co., Ltd., Gunma, Japan) according to the manufacturer's instructions.

**Detection of apoptosis.** Apoptosis was detected by staining with an annexin V-FITC kit (Immunotech) according to the manufacturer's protocol. Cells were harvested, washed twice with PBS, and labeled with annexin V-FITC and propidium iodide (PI) for 10 min on ice. Annexin V and PI staining was examined with a FACScan analyzer and CellQuest software (Becton Dickinson).

**Statistical analysis.** Student's paired *t* test was used to determine the significance of different mean values, and a *P* value of <0.05 was taken to indicate statistical significance.

## RESULTS

**Production of OPN by human monocytes and immature DCs.** A previous study revealed that OPN is produced by activated macrophages and T cells and that expression of OPN is induced by GM-CSF signaling in hematopoietic cells (17). We hypothesized that Mo-DCs produce OPN and that there is some functional interaction between OPN and GM-CSF, a cytokine necessary for generation of DCs. We analyzed changes in OPN production by Mo-DCs during maturation

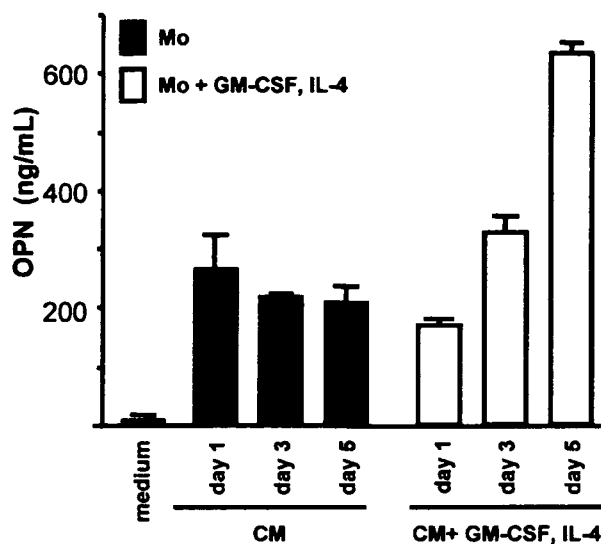


FIG. 1. OPN production by human monocytes and immature DCs. Human CD14<sup>+</sup> monocytes (Mo) were incubated for 5 days in the presence and absence of GM-CSF (800 U/ml) and IL-4 (500 U/ml). Twenty-four, 72, and 120 h after incubation, OPN in the culture supernatant was quantified with an EIA kit. OPN production by monocyte-derived maturing DCs increased during the culture period, whereas production by monocytes did not change. Data are shown as the means ± standard errors of the means (error bars) from three independent experiments.

from monocytes to DCs. Immature Mo-DCs were generated by culturing CD14<sup>+</sup> monocytes ( $5 \times 10^5$  cells/ml/well) in CM supplemented with GM-CSF (800 U/ml) and IL-4 (500 U/ml) for 5 days. Monocytes cultured in CM without GM-CSF and IL-4 for 5 days were used as a control. Control cells showed no morphological changes and still expressed CD14 antigen after culture (data not shown). In contrast, immature Mo-DCs lost CD14 surface antigen and were twice as large as monocytes in diameter, as previously described (30).

As shown in Fig. 1, monocytes and cells maturing to immature DCs produced OPN. OPN was detected at levels as high as 200 ng/ml in culture supernatants of monocytes cultured in the absence of GM-CSF and IL-4. However, a meaningful increase in OPN production was not observed during the culture period. In contrast, for immature DCs incubated with GM-CSF and IL-4, a significant increase in OPN production during the culture period was observed. The supernatants on day 5 contained more than 600 ng of OPN per ml, which is triple that of the monocytes. This suggests that human monocytes can produce OPN and that OPN production is enhanced by maturation to immature DCs.

**OPN production by immature DCs decreased during their maturation.** Immature Mo-DCs obtained by 5-day culture of CD14<sup>+</sup> monocytes with GM-CSF (800 U/ml) and IL-4 (500 U/ml) were collected, washed with PBS, and cultured for another 48 h with or without stimulants, such as LPS (1 µg/ml), LTA (20 µg/ml), and β-D-glucan (5 µg/ml). Pathogen-associated molecular patterns (PAMPs), such as LPS and LTA, stimulate maturation of DCs. This leads to enhanced antigen processing, increased MHC class II expression, and induction of costimulatory molecules, such as CD80 and CD86.

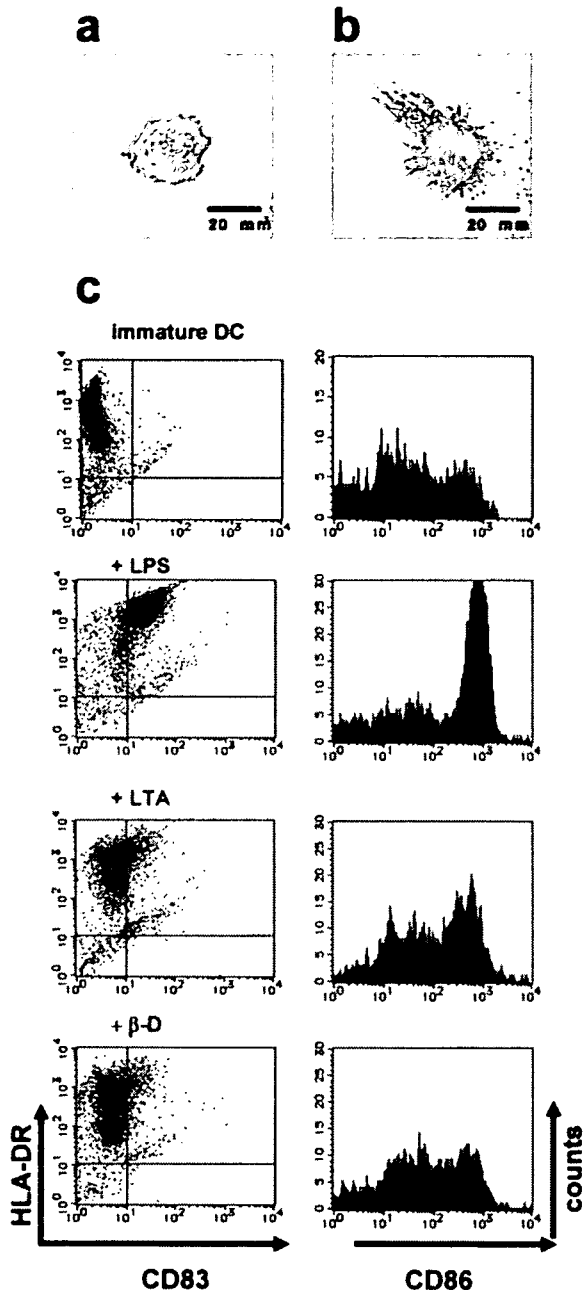


FIG. 2. Changes in morphology and immunophenotype of immature and mature monocyte-derived DCs. (a) Immature DCs. After magnetic cell sorting, CD14<sup>+</sup> monocytes that were incubated in CM with GM-CSF (800 U/ml) and IL-4 (500 U/ml) for 5 days acquired the morphological characteristics of immature DCs (magnification,  $\times 200$ ). (b) Mature DCs. Immature DCs were stimulated with LPS (1  $\mu\text{g/ml}$ ) for 48 h to generate mature DCs. LPS induced maturation of DCs with characteristic dendrites (magnification,  $\times 200$ ). (c) Flow cytometric analysis of CD86, HLA-DR, and CD83 on immature DCs and mature DCs treated with PAMPs. Immature DCs were stimulated with various PAMPs and harvested, and expression of CD83, CD86, and HLA-DR antigens was examined by flow cytometry. DCs stimulated with LPS (1  $\mu\text{g/ml}$ ) showed increased expression of CD83, CD86, and HLA-DR. In comparison, LTA (20  $\mu\text{g/ml}$ ) and  $\beta$ -D-glucan ( $\beta$ -D) (5  $\mu\text{g/ml}$ ) also triggered DC maturation, although they did not lead to full maturation. The results shown are from a single experiment using cells from a single donor and are representative of three independent experiments that gave similar results.

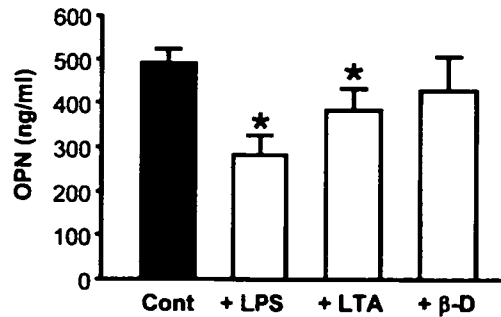


FIG. 3. OPN production by immature DCs and mature DCs treated with PAMPs. Immature DCs were stimulated with LPS (1  $\mu\text{g/ml}$ ), LTA (20  $\mu\text{g/ml}$ ), or  $\beta$ -D-glucan ( $\beta$ -D) (5  $\mu\text{g/ml}$ ) for 48 h to generate mature DCs or left alone as a control (Cont). Maturation of DCs was confirmed by morphology and expression of surface markers (Fig. 2c). OPN production by immature and mature DCs was measured with an EIA kit. Immature human DCs synthesized OPN, and production decreased during maturation. Production of OPN by LPS-treated mature DCs decreased to half that of immature DCs. LTA-stimulated mature DCs also produced less OPN than immature DCs.  $\beta$ -D-glucan-stimulated DCs showed a tendency to produce less OPN, but the difference was not statistically significant. The results shown are means  $\pm$  standard errors of the means (error bars) from a single experiment using cells from a single donor and are representative of three experiments that gave similar results. Values that were significantly different ( $P < 0.05$ ) from the control value (asterisk) are indicated.

As shown in Fig. 2c, LPS (1  $\mu\text{g/ml}$ ) and LTA (20  $\mu\text{g/ml}$ ) enhanced surface expression of CD83, CD86, and HLA-DR, although  $\beta$ -D-glucan (5  $\mu\text{g/ml}$ ) did not up-regulate expression of costimulatory molecules and HLA-DR as strongly as LPS did. OPN levels in culture supernatants were measured by EIA. Human Mo-DCs that matured in response to stimulation by LPS (1  $\mu\text{g/ml}$ ) or LTA (20  $\mu\text{g/ml}$ ) produced significantly less OPN than immature DCs did ( $P < 0.05$ ) (Fig. 3). Analysis of surface markers of immature DCs stimulated with  $\beta$ -D-glucan (5  $\mu\text{g/ml}$ ) revealed that the maturation signal in our study system was insufficient to obtain fully mature DCs (Fig. 2c), and the significant decrease in OPN production that occurs with DC maturation was not observed (Fig. 3).

Maturation of DCs was confirmed by analysis of surface expression of CD83 and HLA-DR and by morphology. These results suggest that OPN production decreases when DCs mature fully. To confirm this, we used 1  $\mu\text{g}$  of CD40L per ml as a nonpathogenic stimulant to obtain fully mature DCs. Immature DCs were incubated for 48 h in CM with 1  $\mu\text{g}$  of CD40L per ml. OPN was produced in CD40L-treated mature DCs; however, the mature DCs produced significantly less OPN than the nonstimulated immature DCs (Fig. 4a). These results suggest that OPN production decreases when DCs mature fully in response to various stimulants.

Activated macrophages are known to produce OPN (23). We generated monocyte-derived macrophages, and activated macrophages were obtained by stimulation with LPS (1  $\mu\text{g/ml}$ ) and CD40L (1  $\mu\text{g/ml}$ ) as described above for activated DCs. OPN production increased significantly in activated macrophages (Fig. 4b). These results suggest that OPN production during maturation or activation is regulated differently in DCs and macrophages.

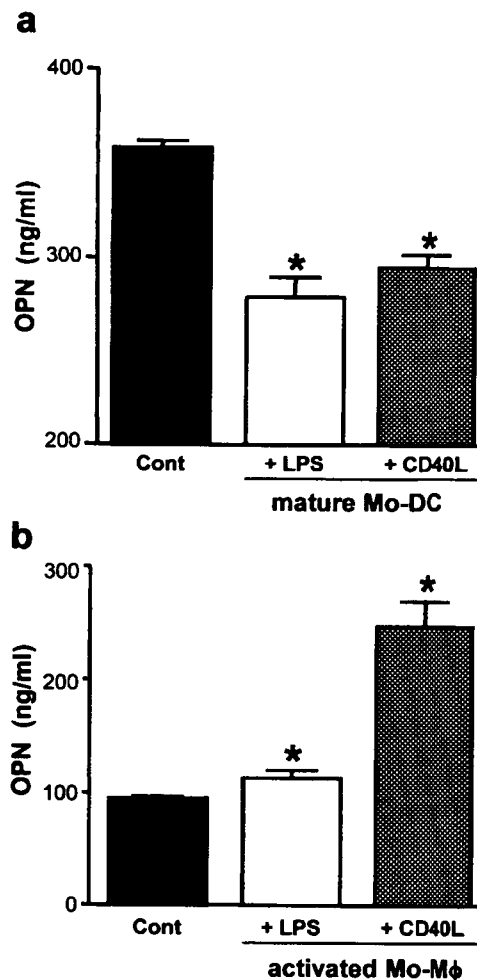


FIG. 4. Comparison of OPN production by mature DCs and activated macrophages. (a) OPN production by LPS- or CD40L-stimulated mature DCs. Immature DCs ( $5 \times 10^6$  cells/ml/well) were incubated with LPS ( $1 \mu\text{g/ml}$ ) or CD40L ( $1 \mu\text{g/ml}$ ) to obtain mature monocyte-derived DC (Mo-DC). LPS- or CD40L-treated DCs acquired the morphology characteristic of mature DCs and showed increased expression of HLA-DR, CD86, and CD83 (data not shown). Both LPS- and CD40L-induced mature DCs produced OPN. However, production of OPN by LPS- and CD40L-induced mature DCs was lower than that induced by control (Cont) immature DCs. Data shown are the means  $\pm$  standard errors of the means (error bars) from three independent experiments. (b) OPN production by LPS- or CD40L-stimulated monocyte-derived macrophages (Mo-Mφ). To obtain Mo-Mφ, human monocytes were incubated with M-CSF ( $10^4$  U/ml) for 120 h. After incubation, these cells were CD14 positive and had a great deal of cytoplasm (data not shown). Activated Mo-Mφ were obtained by stimulation with LPS ( $1 \mu\text{g/ml}$ ) or CD40L ( $1 \mu\text{g/ml}$ ). After 48 h, the supernatant was analyzed for OPN levels. Activated Mo-Mφ, especially CD40L-stimulated Mo-Mφ, synthesized more OPN than did unstimulated Mo-Mφ. Results shown are the means  $\pm$  standard errors of the means (error bars) from three independent experiments. Values that were significantly different ( $P < 0.05$ ) from the control value (asterisk) are indicated.

**OPN may be involved in DC viability and differentiation from monocytes.** In this study, we observed production of OPN by human monocytes and Mo-DCs. Because OPN production was increased during differentiation from monocytes to immature DCs, we hypothesized that OPN enhances differentiation

and maturation of Mo-DC, especially during the early stage of differentiation. To examine the function of OPN, purified CD14<sup>+</sup> monocytes were cultured with GM-CSF (800 U/ml) and IL-4 (500 U/ml) with or without a neutralizing OPN MAb at concentrations of 1, 3, and 5  $\mu\text{g/ml}$ . Mo-DCs from cultures with or without anti-OPN Ab lost CD14 surface antigen, indicating that the cells had differentiated from monocytes to immature DCs. Looking at the morphology of the cells, the cells appeared to have differentiated into immature DC-like cells even when cultured with anti-OPN Ab. Immature DCs treated with 3 or 5  $\mu\text{g}$  of anti-OPN Ab per ml were smaller than control immature DCs; however, they still possessed morphological features characteristic of immature DCs and differed in appearance from the 5-day culture of monocytes used as a control (Fig. 5a and b). There were no morphological differences between immature DCs treated with anti-OPN Ab (1  $\mu\text{g/ml}$ ) and untreated immature DCs. During incubation with or without anti-OPN Ab, cell viability was maintained at over 80%. We then analyzed expression of HLA-DR, CD83, and CD86 by immature DCs treated with anti-OPN Ab or not treated with the Ab. As expected, immature DCs did not express CD83, which is a reliable marker of DC maturation. Surface expression of CD86 and HLA-DR was lower in immature DCs treated with anti-OPN Ab than in untreated control immature DCs (Fig. 5c).

To examine whether OPN mediates maturation of immature DCs to mature DCs, final maturation was induced by stimulation with LPS ( $1 \mu\text{g/ml}$ ) for 2 days in the presence or absence of anti-OPN Ab (Fig. 6). Maturation and activation were evaluated on the basis of morphology and expression of HLA-DR, CD83, and CD86. LPS stimulation without anti-OPN Ab caused immature DCs to mature (Fig. 6a). Stimulation in the presence of anti-OPN Ab caused immature DCs to develop poor dendrites and little cytoplasm (Fig. 6b). HLA-DR and CD86 expression was lower in maturing DCs not treated with anti-OPN Ab than in mature control DCs (Fig. 6c). CD83 expression was higher in all viable mature DCs than in immature DCs, and there was no significant difference in the mean fluorescence level of CD83 in control untreated DCs and DCs treated with anti-OPN Ab. These results suggest that final maturation and activation as judged by increased expression of CD86 and HLA-DR were inhibited by anti-OPN Ab. Even 1  $\mu\text{g}$  of anti-OPN Ab per ml showed a sufficient inhibitory effect. Furthermore, DCs exposed to 5  $\mu\text{g}$  of anti-OPN Ab per ml contracted, and more than 50% of the cells underwent apoptosis.

**Effects of OPN on viability and survival of DCs.** Several reports have suggested that OPN may play a role in cell survival. OPN has been shown to inhibit apoptosis in smooth muscle cells, endothelial cells (14), epithelial cells (22), and pro-B cells (28). In one study, LPS stimulation caused DCs treated with anti-OPN Ab (5  $\mu\text{g/ml}$ ) to contract, and cell recovery was low. However, the roles of OPN in regulation of survival and death of DCs have not been elucidated. We attempted to determine whether OPN is involved in the viability of DCs with annexin V and PI staining. The effects of anti-OPN Ab on survival of monocytes, immature DCs, and LPS-stimulated mature DCs were examined. Annexin V staining revealed that anti-OPN Ab at concentrations of 1 and 5  $\mu\text{g/ml}$  significantly reduced the viability of monocytes from more than

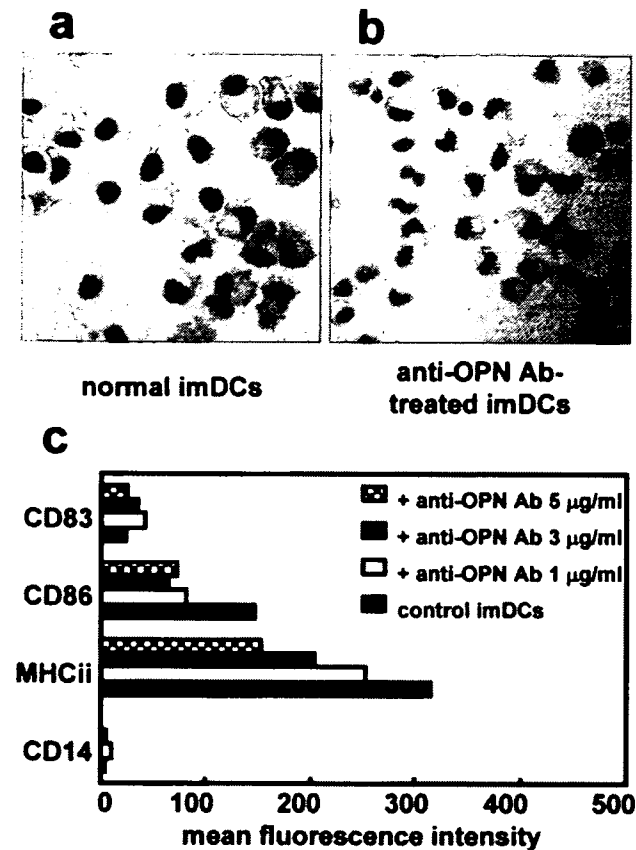


FIG. 5. OPN mediates differentiation from monocytes to immature DCs. To obtain immature DCs and immature DCs treated with anti-OPN antibody, monocytes were cultured with GM-CSF (800 U/ml) and IL-4 (500 U/ml) in the presence or absence of anti-OPN Ab (1, 3, or 5  $\mu\text{g/ml}$ ) for 120 h. Cells were collected, cytocentrifuged, stained with Diff-Quick, and morphological changes were observed by light microscopy. Surface expression of HLA-DR (MHCii), CD83, and CD86 on DCs generated in the presence of anti-OPN Ab (1, 3, or 5  $\mu\text{g/ml}$ ) were analyzed by flow cytometry. (a) Morphology of immature DCs (imDCs) (Diff-Quick staining) (original magnification,  $\times 400$ ). (b) Morphology of immature DCs generated with 5  $\mu\text{g}$  of anti-OPN Ab per ml (Diff-Quick staining) (original magnification,  $\times 400$ ). Immature DCs treated with anti-OPN Ab were smaller than control immature DCs. (c) Surface expression of HLA-DR (MHCii), CD83, and CD86 on immature DCs and immature DCs treated with anti-OPN Ab. Immature DCs treated with anti-OPN Ab produced lower levels of HLA-DR and CD86 than control immature DCs. The inhibitory effect of anti-OPN Ab on DC differentiation occurred in a dose-dependent manner. Results are representative of three independent experiments.

90 to 80% (data not shown). As shown in Fig. 7, immature DCs treated with anti-OPN Ab (1 and 5  $\mu\text{g/ml}$ ) underwent apoptosis at a rate of 15 to 20%. More than 50% of mature DCs treated with a high dose of anti-OPN Ab and LPS underwent apoptosis; only 16.7% of cells underwent apoptosis at an Ab concentration of 1  $\mu\text{g/ml}$ . These results suggest that OPN is necessary for DC maturation and survival.

## DISCUSSION

OPN is a phosphorylated acidic glycoprotein that is expressed in a variety of tissues as a component of the ECM.

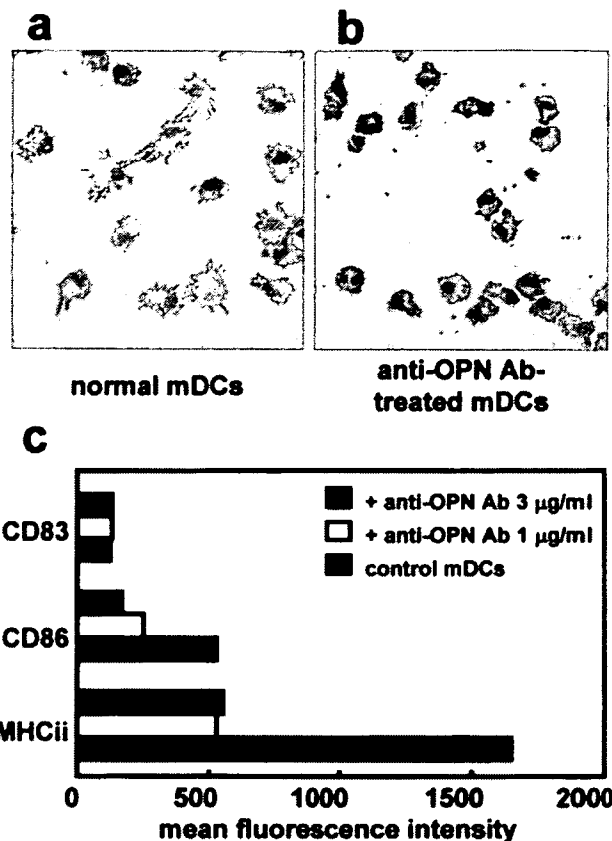


FIG. 6. OPN mediates maturation from immature DCs to mature DCs. To induce DC maturation, immature DCs were incubated with LPS (1  $\mu\text{g/ml}$ ) for another 48 h with or without anti-OPN Ab (1, 3, or 5  $\mu\text{g/ml}$ ) for 120 h. Cells were collected, cytocentrifuged, stained with Diff-Quick, and morphological changes were observed by light microscopy. Surface expression of HLA-DR (MHCii), CD83, and CD86 on DCs generated in the presence of anti-OPN Ab (1, 3, or 5  $\mu\text{g/ml}$ ) were analyzed by flow cytometry. (a) Control mature DCs (mDCs) obtained by stimulation with LPS (1  $\mu\text{g/ml}$ ) acquired the morphology characteristic of DCs. (b) Maturing DCs treated with anti-OPN (1  $\mu\text{g/ml}$ ) Ab also showed dendritic morphology. However, the majority of cells remained in the immature state as judged by morphology. (c) Changes in surface expression of HLA-DR (MHCii), CD83, and CD86 on DCs after maturation. Mature DCs expressed high levels of MHC class II and CD86, whereas maturing DCs treated with anti-OPN Ab expressed lower levels of HLA-DR and CD86, whereas the inhibitory effect of anti-OPN Ab on DC maturation was dose dependent. Results are representative of three independent experiments.

Expression of OPN by many types of cells, including macrophages, T cells, NK cells, endothelial cells, smooth muscle cells, and epithelial cells, has been reported (7, 24, 26). Several studies have suggested that OPN plays a role in regulation of inflammatory cell accumulation at sites of inflammation and repair (23). OPN expression has been reported under both physiological and pathological conditions, such as tuberculosis (20) and sarcoidosis (24). OPN-knockout mice develop inadequate antimicrobial immunity to a broad range of pathogens. One study revealed that these mice have defects in their ability to clear *Listeria monocytogenes* after systemic infection (3), and another showed that these mice have increased susceptibility to infection by mycobacteria (21). O'Regan and colleagues (25) reported abnormal granuloma formation in the lungs of OPN-deficient mice. Furthermore, OPN expression in humans also contributes to resistance to mycobacterial infection (19). Therefore, OPN not only participates in the maintenance or

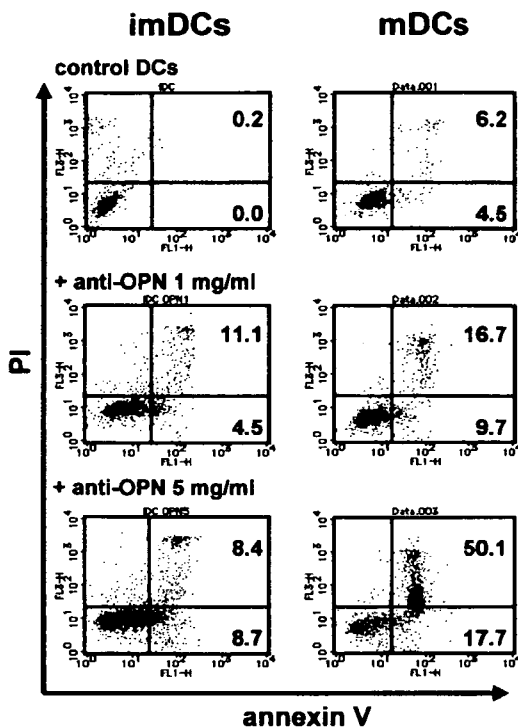


FIG. 7. Effect of OPN on apoptosis of DCs. Immature DCs (imDCs) generated from monocytes incubated in the presence or absence of anti-OPN Ab (1, 3, or 5  $\mu$ g/ml) were collected on day 5 and analyzed for apoptosis by annexin V and PI staining. Anti-OPN Ab treatment increased apoptosis of immature DCs from 0.2% of immature control DCs to 8.4% of immature DCs treated with Ab (5  $\mu$ g/ml). Immature DCs were collected and resuspended in new CM in the presence or absence of anti-OPN Ab and stimulated with LPS (1  $\mu$ g/ml) for 48 h to obtain mature DCs (mDCs), and then mature DCs were collected and analyzed for apoptosis by annexin V and PI staining. Approximately 6.2% of induced mature DCs induced by LPS stimulation underwent apoptosis. Anti-OPN Ab treatment raised the rate of apoptosis to 50.1% in a dose-dependent manner. The results shown were obtained from a single experiment and are representative of three independent experiments that gave similar results.

reconfiguration of tissue integrity during inflammatory processes but also plays a role in cell-mediated immunity. However, the precise role of OPN in immune responses is still unclear.

DCs play a crucial role during the initiation and regulation of immune responses. They are essential for the containment of infections that induce cellular immune responses (12, 27, 33, 36). We recently reported that DCs play a key role in the initiation of cell-mediated immune granuloma formation (12).

Recently, Ahn and colleagues (1) reported that monocyte-derived DCs express the *OPN* gene. Both DCs and OPN appear to be indispensable for granulomatous inflammation; however, there is little information concerning the interaction between OPN and DCs. Monocytes are precursors of myeloid DCs and are recruited to the sites of inflammation where they differentiate into DCs or macrophages. In the present study, we found that OPN is synthesized by monocytes as well as by immature and mature Mo-DCs. Secreted OPN enhances differentiation and maturation of DCs from monocytes to mature DCs with high levels of expression of MHC class II and co-

stimulatory molecules that are necessary for antigen presentation. Furthermore, OPN is important in DC survival. On the basis of these findings, we believe that OPN has an indispensable role in differentiation and survival of DCs. The abnormal cell-mediated immunity and antimicrobial immunity in OPN-deficient mice may be due to impaired function of DCs that failed to mature fully and could not be activated.

The signaling pathways involving OPN are not well understood. However, both RGD-dependent (e.g.,  $\alpha$ V $\beta$ 3 integrin) and -independent (e.g., CD44) signaling pathways can serve as receptors for OPN. Although CD44 is a major receptor for hyaluronan (2), it also acts as a receptor for OPN and has multiple bob-RGD binding sites (13). One study showed that anti-CD44 Ab interfered with OPN binding to CD44 on the surfaces of DCs, which partially impaired function and maturation of DCs (11, 32, 35). Lin and colleagues (17) reported that OPN contributes to the survival-promoting activities of cytokines, such as GM-CSF and IL-3, and its signaling pathway occurs through the interaction between CD44 and OPN. We confirmed that anti-CD44 Ab treatment induced apoptosis of Mo-DCs, as observed with anti-OPN Ab-treated DCs (data not shown). For Mo-DCs, the interaction between CD44 and OPN may be important for survival.

It was reported that OPN can inhibit apoptosis of endothelial cells (14), epithelial cells (22), and pro-B cells (28). These reports suggest that OPN acts as a cell survival factor and protects cells from apoptosis. In the present study, we clearly showed that Mo-DCs undergo apoptosis easily in the absence of OPN. Our results are consistent with previous findings for several other cell types (14, 22, 28), suggesting that OPN might be a fundamental factor for cell survival regardless of cell lineage.

DCs undergo apoptosis after finishing antigen presentation, which may be the physiological means of terminating the immune response and preventing prolonged activation of T cells to avoid excessive inflammation (6). Our data suggest that maturation of DCs in response to various factors reduces production of OPN, which may promote apoptosis of DCs. The role of locally synthesized OPN in the survival of DCs appears to be beneficial for maintaining homeostasis at the inflammation site.

In summary, we showed that human Mo-DCs synthesize OPN and that OPN acting in an autocrine and/or paracrine manner contributes to maturation and activation of DCs. Furthermore, OPN promotes survival of DCs as it does for other inflammatory cells. Further characterization of OPN function and the mechanisms of interaction between OPN and inflammatory cells, including DCs, may improve our understanding of inflammatory processes.

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特集 肝と酸化ストレス

1

酸化ストレスの基礎

(4) 酸化ストレスとシグナル伝達

佐々木 裕\*

Key words : 酸化ストレス, シグナル伝達, アポトーシス, レドックスレベル, 活性酸素種

要旨

酸素を利用するエネルギー(ATP)産生には、内因性の活性酸素種(ROS)の発生が伴う。一方、紫外線、放射線などの外因性の刺激も細胞内にROSを産生する。このようなROSの産生が生体内の消去系を凌駕したときに、ROSは酸化ストレスとなり細胞応答を惹起する。酸化ストレスの量が少なく一時的な場合は、細胞の活性化や増殖がもたらされる。一方、量が多く持続的な場合は、増殖抑制や細胞死がもたらされる。近年、酸化ストレスによる細胞応答を担うシグナル伝達が明らかになってきた。それらの知見に基づくシグナル伝達の制御は、病態の改善のみならず治療にも結びつくものとして、その研究の発展が期待される。

ROSには、スーパーオキシド( $O_2^-$ )、過酸化水素( $H_2O_2$ )、ヒドロキシラジカル( $\cdot OH$ )などのほかに、一酸化窒素(NO)などの窒素酸化物が含まれる。そのなかでも $O_2^-$ はもっとも一般的で大量に産生されるが、反応性は弱く細胞内の蛋白質や脂質の修飾に関与する可能性は低い。一方、反応性の高い $\cdot OH$ は生体内では酸素より直接生成されないものの、 $H_2O_2$ が $Cu^+$ や $Fe^{2+}$ などの遷移金属により還元を受けることで産生される。また生体内の $H_2O_2$ はほとんど $O_2^-$ から生じるので、金属イオンが存在する場合、 $O_2^-$ 発生時に $\cdot OH$ が作られることになる。

はじめに

好氣的条件下で生物は酸素を $H_2O$ に変換する過程で多量のエネルギー(ATP)を産生しているが、その過程には生理的な内因性の活性酸素種(reactive oxygen species; ROS)の発生が必然的に伴っている。一方、外因性の刺激である紫外線、放射線、抗がん剤、あるいは炎症性サイトカインは細胞内にROSを産生する。

このようなROSに対して生体内にはもともと消去系が存在し、還元物質であるグルタチオン(GSH)系とチオレドキシシン(TRX)系が重要な役割を担っている。GSHが細胞内でmMのオーダーで存在するのに対して、TRXは $\mu M$ オーダーでしか存在しないために、細胞内抗氧化剤としてはGSHのほうが優位である。しかしながらTRXはGSHの濃度が低下したときに誘導されるほか、NF $\kappa$ Bなどの転写因子の還元に関してはGSHの数千倍以上の特異性を示している。このような消去系を産生系が凌駕したとき、すなわち、酸化還元バランスが破綻

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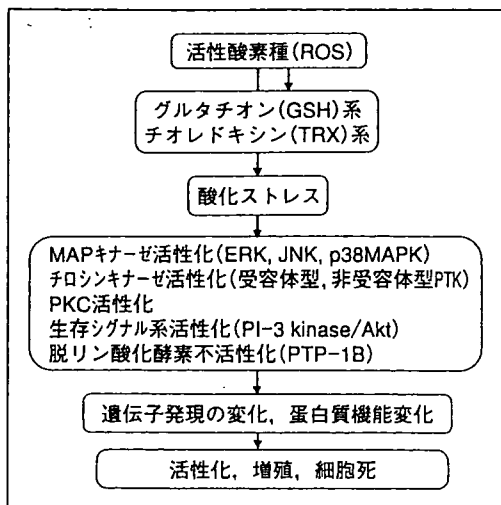


図1 酸化ストレスによる細胞内シグナル伝達と細胞応答

活性酸素種(ROS)に対して生体内にはもともと消去系が存在し、還元物質であるグルタチオン(GSH)系とチオレドキシソ(TRX)系が重要な役割を担っている。このような消去系を産生系が凌駕したとき、すなわち、酸化還元バランスが破綻したときにROSは酸化ストレスとなり、さまざまな細胞応答が惹起される。

ROS : reactive oxygen species  
ERK : extracellular signal regulated-kinase  
JNK : c-Jun N-terminal kinase  
PTP-1B : protein tyrosine phosphatase-1B  
PKC : protein kinase C

したときにROSは酸化ストレスとなり、さまざまな細胞応答が惹起される(図1)。

酸化ストレスの量が少なく一時的な場合は、ポジティブな細胞応答としての細胞の活性化や増殖がもたらされる。一方、量が多く持続的な場合は、酸化ストレスが重篤なDNA障害や蛋白変性をもたらすことから、ネガティブな細胞応答としての増殖抑制や細胞死がもたらされる。酸化ストレスはレドックス状態(酸化還元状態)を介して種々の細胞内シグナル伝達を修飾することで、このような細胞応答を誘導している(図2)。言い換えれば、酸化ストレスによりもたらされる細胞応答は、修飾されたシグナ

ル伝達の総和を反映していると考えられる。

## I. 酸化ストレスとMAPK super-family

この項のポイント

- 酸化ストレスは増殖因子受容体の活性化や脱リン酸化酵素の不活性化を介して、ERKを活性化する。
- ERKの活性化が急峻で一過性な場合は細胞増殖が、緩徐で持続的な場合はアポトーシスがもたらされる。
- 酸化ストレスは細胞内レドックスレベルの変化を介して、ストレス応答性MAPKを活性化しアポトーシスを誘導する。

MAPK(mitogen-activated protein kinase)カスケードは一連の蛋白質リン酸化酵素より構成され、細胞増殖、分化、ストレス応答、さらにはアポトーシスを担う重要な細胞情報伝達経路である。カスケードの最下流に存在するMAPKは構造上の違いから、三つのsuperfamily、すなわちERK(extracellular signal regulated-kinase、古典的なMAPK)、JNK(c-Jun N-terminal kinase)、p38 MAPKに分類される。

### 1. 酸化ストレスとERK

ERKは増殖因子のシグナルを伝達するものとして認識されてきたが、近年、細胞の生存や細胞死にも関与することが明らかになってきた。酸化ストレスはEGF(epidermal growth factor)受容体などの受容体型のチロシキナーゼ(protein tyrosine kinase; PTK)をリン酸化し、ERKを活性化する。

その機序として、①受容体に存在するシステイン残基を修飾することで酸化ストレスが増殖因子様の働きをする、②蛋白脱リン酸化酵素(protein tyrosine phosphatase; PTP)を不活性化することで受容体型PTKを活性化す

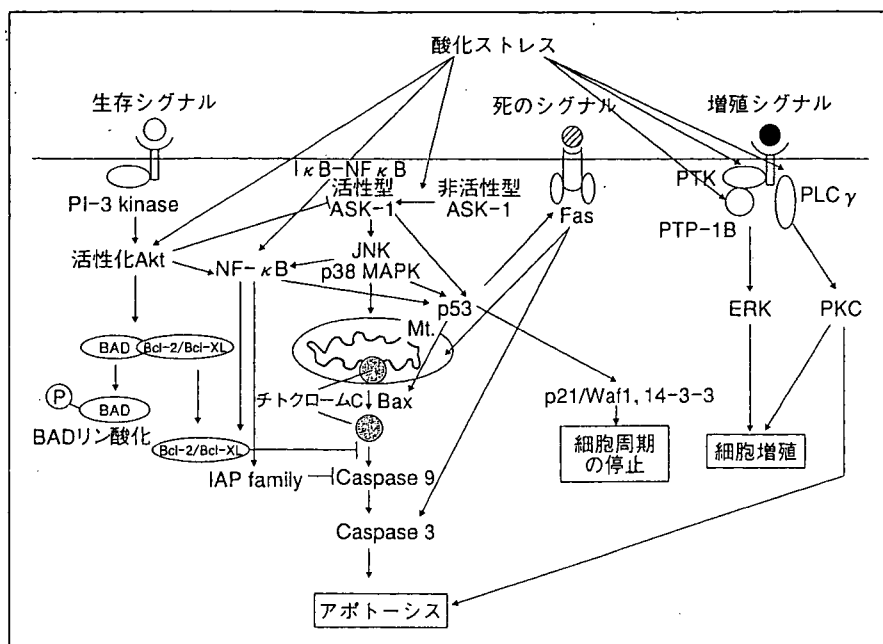


図2 細胞応答を担うシグナル伝達と酸化ストレス

プログラムされた細胞死であるアポトーシスは、死のシグナルと生存シグナルのバランスにより決定されている。とりわけ肝細胞では死のシグナルはミトコンドリアをも経由し、チトクロームCの放出を介して死のシグナルを増幅している。一方、酸化ストレスは細胞内レドックスレベルに変化をもたらし、さまざまな細胞内シグナル伝達を修飾する。最終的には、それらのバランスによりアポトーシス、細胞周期の停止、細胞増殖などの細胞応答が発現する。

Mt.: ミトコンドリア, ERK: extracellular signal regulated-kinase  
 JNK: c-Jun N-terminal kinase, PTK: protein tyrosine kinase  
 PKC: protein kinase C

る、などが考えられている。とくに酸化ストレスによるシステイン残基の可逆的な修飾を受ける酵素としてPTP-1Bが挙げられる。本酵素の活性中心のシステインが酸化修飾を受けると活性が失われるために、PTKの活性上昇がもたらされる<sup>1)</sup>。このような酸化ストレスによるERKの活性化が急峻でかつ一過性の場合には細胞の生存に、緩徐で持続的な場合はアポトーシスに働くと考えられている。

## 2. 酸化ストレスとストレス応答性MAPK

酸化ストレスはMAPKKK(MAPK kinase kinase)ファミリーの一つであるASK-1(apoptosis signal-regulating kinase 1)の

活性化を介して、ストレス応答性MAPKであるJNKやp38MAPKを活性化しアポトーシスを誘導する<sup>2,3)</sup>。重要な点は、酸化ストレスによるこれらの酵素の活性化を細胞内レドックスレベルが修飾していることである。すなわち、還元型TRXはASK1のN末端側に結合し活性を抑えるが、酸化的条件下ではTRXは酸化型に変わりASK1から離脱し、ASK1の活性化がもたらされる<sup>4)</sup>。このようにTRXはレドックスセンサーとしての役割を有し、ASK1の活性を調節している。

さらにJNK活性についても同様にレドックスレベルが制御している。すなわち、GST(glutathione S-transferase)はストレス非存