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RESPIRATORY INFECTIONS

# Serum Indicators for the Diagnosis of Pneumocystis Pneumonia\*

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Background: The diagnosis of pneumocystis pneumonia (PCP) is difficult because it requires microscopic examination to identify pneumocystis from induced sputum or BAL fluid.

Study objective: To evaluate the usefulness of four serum markers—lactate dehydrogenase (LDH).

Study objective: To evaluate the usefulness of four serum markers—lactate dehydrogenase (LDH),  $(1\rightarrow 3)$   $\beta$ -D-glucan ( $\beta$ -D-glucan), KL-6, and C-reactive protein (CRP)—in the diagnosis of PCP. Design: Case-control retrospective study.

Patients and measurements: We reviewed the medical records of 295 consecutive patients who underwent BAL for the diagnosis of PCP. Differential cell counts in BAL fluid and serum levels of LDH,  $\beta$ -D-glucan, KL-6, and CRP were examined. Oxygenation index was determined using arterial oxygen tension and inspiratory oxygen concentration.

Results: Based on the microscopic examination of BAL fluid, 57 patients were PCP positive and 238 patients were PCP negative. There were no significant differences in cell count or differentials in BAL fluid between the positive and negative cases. Serum levels of LDH,  $\beta$ -D-glucan, and KL-6 were significantly higher in PCP-positive patients (p < 0.01). Receiver operating characteristic curves suggest that  $\beta$ -D-glucan was the most reliable indicator. The cut-off level of  $\beta$ -D-glucan was estimated to be 31.1 pg/mL, with which the positive and negative predictive values were 0.610 and 0.980, respectively. In PCP-positive patients, the oxygenation index was decreased and correlated with LDH. Both LDH and  $\beta$ -D-glucan levels were correlated with the proportion of neutrophils in BAL fluid.

Conclusions: Serum  $\beta$ -D-glucan is a reliable marker for the diagnosis of PCP. Since BAL procedure is invasive, measuring  $\beta$ -D-glucan should be considered as a primary modality for a diagnosis of PCP, especially for patients with severe respiratory failure. (CHEST 2007; 131:1173–1180)

Key words: BAL; (1→3)-β-D-glucan; KL-6; lactate dehydrogenase; pneumocystis pneumonia

Abbreviations: β-D-glucan =  $(1\rightarrow 3)$  β-D-glucan; CRP = C-reactive protein; FIO<sub>2</sub> = fraction of inspired oxygen; GMS = Grocott-Gomori methenamine stain; LDH = lactate dehydrogenase; NPV = negative predictive value; PCP = pneumocystis pneumonia; PCR = polymerase chain reaction; PPV = positive predictive value; ROC = receiver operating characteristic

P neumocystis pneumonia (PCP) remains one of the most frequent opportunistic infections in immunocompromised patients, including those with HIV infection. PCP may be difficult to diagnose owing to nonspecific symptoms and signs, concurrent use of prophylactic drugs, and simultaneous infection with various organisms. Since pneumocystis cannot be cultured, the diagnosis of PCP requires microscopic examination in order to identify *Pneumocystis jirovecii* from a clinically relevant source such as specimens of induced sputum, BAL fluid, or lung tissue.

Sputum induction with hypertonic saline solution should be the initial procedure to diagnose PCP, especially in patients with AIDS. The induced sputum has a diagnostic yield of 50 to 90%. However, the diagnostic yield is unsatisfactory, particularly in the case of a non-AIDS immunocompromised patient. If the initial specimen of induced sputum is negative, then bronchoscopy with BAL, which is invasive particularly for those with respiratory failure, should be performed.<sup>2</sup>

Serum testing for the diagnosis of PCP has not yet been established. Although an elevated serum lactate

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dehydrogenase (LDH) level has been noted in patients with PCP, it is likely to be a reflection of the underlying lung inflammation and injury rather than a specific marker for the disease.<sup>3</sup>

(1→3) β-D-glucan (β-D-glucan), which is known to compose a portion of the cell wall of most fungi, has been used as a serologic marker for the diagnosis of organic mycosis, such as candidiasis and aspergillosis.4 There have been several reports<sup>5,6</sup> describing the usefulness of  $\beta$ -D-glucan for the diagnosis of PCP based on data from small numbers of patients. KL-6 antigen, a high-molecular-weight mucin-like glycoprotein, is strongly expressed on type 2 alveolar pneumocytes and bronchiolar epithelial cells, and the serum KL-6 level is a sensitive indicator of various types of interstitial pneumonitis. KL-6 levels in both plasma and epithelial lining fluid appear to be elevated in patients with acute lung injury.8 There have been two reports<sup>9,10</sup> describing increased serum KL-6 levels in patients complicated with PCP. The usefulness of these newly identified serum markers in the diagnosis of PCP remains to be evaluated in a larger cohort of patients.

The primary goal of the present study was to evaluate the sensitivity and specificity of the serum markers LDH, β-D-glucan, KL-6, and C-reactive protein (CRP) in the diagnosis of PCP. We also examined whether the serum markers reflect oxygenation impairment and activity of lung inflammation during PCP.

#### MATERIALS AND METHODS

#### Patient Selection

We retrospectively evaluated data from 295 consecutive patients who underwent BAL for the diagnosis of PCP at Keio University Hospital (Tokyo, Japan) during the period from April 1998 until October 2005.

#### Data Collection

We reviewed the medical records of all the patients evaluated for demographic, BAL, and serum data. The following data were

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collected: age, sex, and underlying disease. The BAL data included the recovery of the fluid, which is the volume ratio of the saline solution recovered to the saline solution instilled, the cell count and differentials, plus lymphocyte surface markers. In sera, the levels of LDH,  $\beta\text{-D-glucan}$ , KL-6, and CRP were examined.  $\beta\text{-D-glucan}$  was measured with a kinetic turbidimetric assay using  $\beta\text{-glucan}$  test WAKO (Wako Pure Chemical Industries; Tokyo, Japan). KL-6 was measured by electrochemiluminescence immunoassay using KL-6 antibody, which recognizes a sialylated sugar chain on the KL-6 molecule. The oxygenation index was determined from the arterial oxygen tension and fraction of inspired oxygen (Fio\_2) values. Serologic and blood gas data were subjected to analysis only when obtained within 24 h prior to BAL.

#### BAL Procedure

The diagnosis of PCP was established by the identification of organisms in BAL fluid. Informed consent to conduct BAL was obtained from either the patient or surrogate. In most cases, BAL was targeted toward affected lung segments as noted on chest CT and performed with 50 mL of 0.9% saline solution per lavage. Usually, three lavages were performed, and the lavage fluid was immediately placed on ice.

#### BAL Fluid Processing for Analysis

The BAL fluid was pooled, filtered through sterile gauze to remove mucous strands, and centrifuged at 200g for 5 min at 4°C. The cell pellets were used for the differential counts on Wright-Giemsa-stained preparations. For the detection of *P jirovecii*, a 10-mL aliquot of BAL fluid was centrifuged at 1,875g for 10 min, and a smear was microscopically examined for the presence of *P jirovecii* with Grocott-Gomori methenamine stain (GMS) and Calcofluor white stain (Fungifluor; Polysciences; Warington, PA), following the recommendations of the manufacturer. 11

#### Statistical Methods

Data are presented as the median score with interquartile range in parentheses. Differences in variables between the PCP-positive and PCP-negative patients were compared by the nonparametric Mann-Whitney U test since the data were not normally distributed. Box-and-whisker plots represent the 10th, 25th, 50th, 75th, and 95th percentile of values. To evaluate the sensitivity and specificity of each serum marker, receiver operating characteristic (ROC) curves were constructed for each marker. The areas under the ROC curves were compared in a nonparametric approach.  $^{12}$  The relationships between variables were analyzed by the Spearman rank-order correlation test. Statistical significance was defined as p < 0.05.

#### RESULTS

During the study period, PCP was diagnosed in 57 patients based on microscopic findings in BAL specimens. A total of 238 patients were PCP negative, 16 of whom were empirically treated with trimethoprim/sulfamethoxazole or pentamidine due to persistent suspicion about PCP or failure of the preceding treatment. Data from these 16 patients were excluded from the analysis because it was

Table 1—Patient Characteristics\*

Characteristics	PCP Positive (n = 57)	PCP Negative (n = 222)	p Valuet
Age, yr	42.5 (34.0–58.0)	58.0 (47.0-68.0)	< 0.0001
Male/female gender, No	36/21	117/105	
Underlying disease, No			
Hematologic malignancy	14	88	
HIV	13	3	
Collagen vascular disease	11	40	
Interstitial lung disease	5	32	
Organ transplantation	5	11	
Lung cancer	4	19	
Other	5	29	
PaO <sub>2</sub> /FiO <sub>2</sub> ‡	241.5 (143.2–323.4)	311.0 (207.6–376.4)	0.009

<sup>\*</sup>Data are presented as median (interquartile range) unless otherwise indicated.

thought that they may have had PCP. All of the PCP-positive patients were treated initially with trimethoprim/sulfamethoxazole, and 13 of them subsequently received pentamidine due to failure or adverse effects of the trimethoprim/sulfamethoxazole treatment.

The background characteristics and oxygenation indices of the patients analyzed are shown in Table 1. The patients with PCP were significantly younger than those without PCP (p < 0.0001). The common underlying diseases of the patients with PCP included hematologic malignancy, HIV infection, and collagen vascular disease. The oxygenation index in the patients with PCP was significantly lower than in those without PCP (p = 0.009).

The underlying diseases of the 16 patients excluded from analyses include hematologic malignancies (n = 6), collagen vascular diseases (n = 4), lung cancer (n = 3), other malignancies (n = 2), and Crohn disease (n = 1). Most of these 16 patients

were immunocompromised due to preceding antineoplastic or corticosteroid therapy. No evidence of PCP was observed in any of these patients. The most probable diagnoses of those 16 patients include alveolar hemorrhage (n=3), radiation pneumonitis (n=2), drug-induced pneumonitis (n=2), pneumonia due to *Pseudomonas aeruginosa* (n=2), cytomegalovirus pneumonia (n=2), and invasive pulmonary aspergillosis (n=1). The rest of the patients were treated as having pneumonia due to an unidentified pathogen. Even if the 16 patients were included in the analysis, the results were not changed (data not shown).

The recovery rate, cell counts, and differentials of BAL fluid are summarized in Table 2. Neither the recovery rate nor total cell count of BAL fluid were different between the PCP-positive and PCP-negative patients. There were no differences in the proportions of macrophages, lymphocytes, neutrophils, and eosinophils in BAL fluid between the

Table 2—BAL Cell Counts and Differentials\*

	PCP Positive	PCP Negative	
Parameters	(n = 57)	(n = 222)	p Valuet
BAL			
Fluid recovery, %	45.0 (32.3-54.5)	40.0 (30.0-50.0)	0.13
Cell concentration, × 10 <sup>5</sup> /mL	5.9 (3.0-11.9)	8.7 (4.4-15.0)	0.054
Macrophages, %	36.5 (18.3–57.0)	39.8 (22.6-60.5)	0.52
Lymphocytes, %	28.5 (13.5-53.0)	32.1 (13.2-56.9)	0.73
Neutrophils, %	9.0 (3.0–35.1)	6.7 (1.8–26.7)	0.41
Eosinophils, %	0.4 (0.0-1.8)	0.5 (0.0-2.1)	0.33
CD4 <sup>+</sup> /CD8 <sup>+</sup> ratio	0.53 (0.33-1.45)	1.00 (0.54–1.80)	0.039
Peripheral blood			
WBC count, × 10 <sup>3</sup> /μL	6.7 (3.6–9.3)	6.6 (4.2–9.9)	0.64
Neutrophils, %	88.0 (76.9-94.0)	79.0 (64.9-89.4)	0.003
Lymphocytes, %	6.0 (3.8–11.3)	10.0 (4.0–18.9)	0.011

<sup>\*</sup>Data are presented as median (interquartile range).

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<sup>†</sup>Mann-Whitney U test.

<sup>‡</sup>Oxygenation index.

 $<sup>\</sup>dagger$  Mann-Whitney U test.

PCP-positive and PCP-negative patients. The CD4 $^+$ /CD8 $^+$  ratio of the lymphocytes in the BAL fluid was significantly decreased in patients with PCP compared to those without PCP (p = 0.039). Peripheral leukocyte counts are also shown in Table 2. In peripheral blood, WBC counts did not differ between the PCP-positive and PCP-negative patients. However, in patients with PCP, the proportion of neutrophils in circulating WBC was greater than in those without PCP (p = 0.003). The proportion of lymphocytes in peripheral blood was decreased in the PCP-positive patients compared to that in the PCP-negative patients (p = 0.011; Table 2).

We measured serum markers (LDH,  $\beta$ -D-glucan, KL-6, and CRP) and compared the levels between the PCP-positive and PCP-negative patients. The serum LDH levels were significantly higher in the PCP-positive patients than in the PCP-negative patients, despite the underlying disease (p < 0.0001; Fig 1, top left, A). Serum  $\beta$ -D-glucan levels were also significantly increased in the PCP-positive patients (p < 0.0001; Fig 1, top right, B). Figure 1, bottom left, C shows that the serum KL-6 levels were significantly increased in the PCP-positive patients compared to the PCP-negative patients (p = 0.004). Among the PCP-negative patients, there were 19 patients with serum KL-6 levels > 1,000 U/mL, and

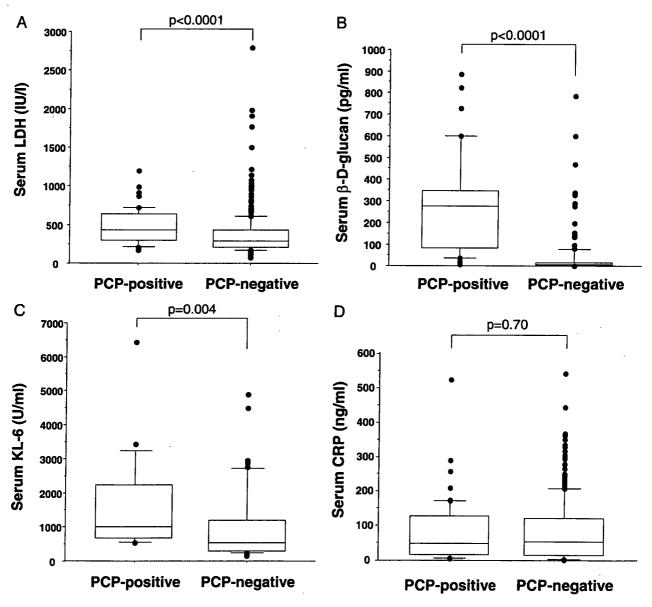


FIGURE 1. Serum markers of LDH (top left, A),  $\beta$ -D-glucan (top right, B), KL-6 (bottom left, C), and CRP (bottom right, D) in patients with PCP and those without PCP. The box-and-whisker plots show the 25th and 75th percentiles, the median (horizontal line within the box), and the 10th and 90th percentiles (whiskers). Serum levels of LDH,  $\beta$ -D-glucan, and KL-6 were significantly higher in PCP patients (p < 0.01).

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17 of these patients had either idiopathic interstitial pneumonia or interstitial lung disease associated with collagen vascular disease. In addition, the median KL-6 level in the PCP-negative patients was 543 U/mL, which was higher than the normal limit (< 500 U/mL). This suggests that the highly elevated KL-6 in these patients could be associated with the underlying disease rather than being a false-positive finding. There was no significant difference in serum CRP levels between the PCP-positive and PCP-negative patients (p = 0.70; Fig 1, bottom right, D).

ROC curves constructed for each serum marker are presented in Figure 2. The areas under the curve values were 0.935 for β-D-glucan, 0.730 for KL-6, and 0.704 for LDH. The area under the ROC curve for β-D-glucan was significantly larger than that for the other two markers (p < 0.001), suggesting that β-D-glucan should be the most reliable for the diagnosis of PCP among the serum markers evaluated. Based on the ROC curves, the cut-off levels of β-D-glucan and LDH were estimated as 31.1 pg/mL and 268 IU/L, respectively. With this cut-off point, the sensitivity and specificity of β-D-glucan were 92.3% and 86.1%, respectively. The positive predictive value (PPV) and negative predictive value (NPV) of  $\beta$ -D-glucan were 0.610 and 0.980, respectively. The sensitivity of LDH was 86.0%, and the specificity was 45.3%. The PPV and NPV of LDH were 0.237 and 0.942, respectively.

There were 14 PCP-negative patients with serum levels of  $\beta$ -D-glucan > 31.1 pg/mL, the cut-off level; because of elevated serum Aspergillus galactoman-

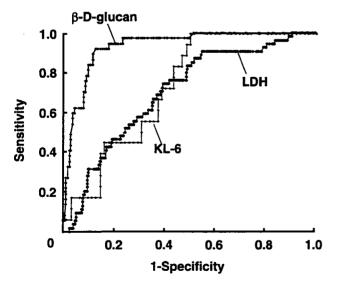


FIGURE 2. ROC curves for LDH,  $\beta$ -D-glucan, and KL-6. The areas under the curve values are 0.935 for  $\beta$ -D-glucan, 0.730 for KL-6, and 0.704 for LDH. There were significant differences in the area under the curve value between for  $\beta$ -D-glucan and for the other two markers (p < 0.01).

nan antigen levels, deep-seated aspergillosis was diagnosed in 7 of them. Although it was not clear whether the rest of the PCP-negative patients with elevated  $\beta$ -D-glucan had deep-seated mycosis, cytomegalovirus pneumonia was diagnosed in three patients and alveolar hemorrhage in two patients. We concluded that latent deep-seated mycosis, especially aspergillosis, could be the main cause of the false-positive finding.

We evaluated the correlation between the markers in sera that were sampled from the patients with PCP. There was a significant correlation between  $\beta$ -D-glucan and LDH levels in serum (p = 0.014,  $R^2$  = 0.180; Fig 3, top left, A). No significant correlation was observed between the serum levels of LDH and KL-6 (p = 0.11). There was no significant correlation between the levels of KL-6 and  $\beta$ -D-glucan (p = 0.13).

In PCP-positive patients, we also examined the correlation between the oxygenation index and the levels of serum markers. Figure 3, top right, B shows a significant inverse correlation between the oxygenation index and serum LDH level (p = 0.001,  $R^2 = 0.244$ ). Neither KL-6 nor  $\beta$ -D-glucan were correlated with the oxygenation index (data not shown). Serum LDH might reflect lung tissue injury, which resulted in a statistical correlation between serum LDH and oxygenation impairment during PCP.

The correlation between serum markers and differential cell counts in BAL fluid was evaluated as well. The proportion of neutrophils in BAL fluid did not differ between the patients with and without PCP; however, in the PCP-positive patients, the serum LDH level was significantly correlated with the neutrophil proportion in BAL fluid (p = 0.004,  $R^2 = 0.175$ ; Fig 3, center left, C). In the patients with PCP, the proportion of neutrophils in BAL fluid was also correlated with the serum level of  $\beta$ -D-glucan  $(p = 0.008, R^2 = 0.216; Fig 3, center right, D)$ . No correlation was observed between the neutrophil proportion in BAL fluid and other serum markers examined (data not shown). The neutrophil proportion in BAL fluid was significantly correlated with the oxygenation index (p = 0.001,  $R^2$  = 0.235; Fig 3, bottom, E). Neither lymphocyte nor eosinophil proportions were correlated with any of the serum markers examined (data not shown).

#### DISCUSSION

In the present study, we evaluated the roles of the serum markers LDH,  $\beta$ -D-glucan, KL-6, and CRP in the diagnosis of PCP. Although there have been several reports<sup>1,3,5,6,9,10,13</sup> describing the levels of

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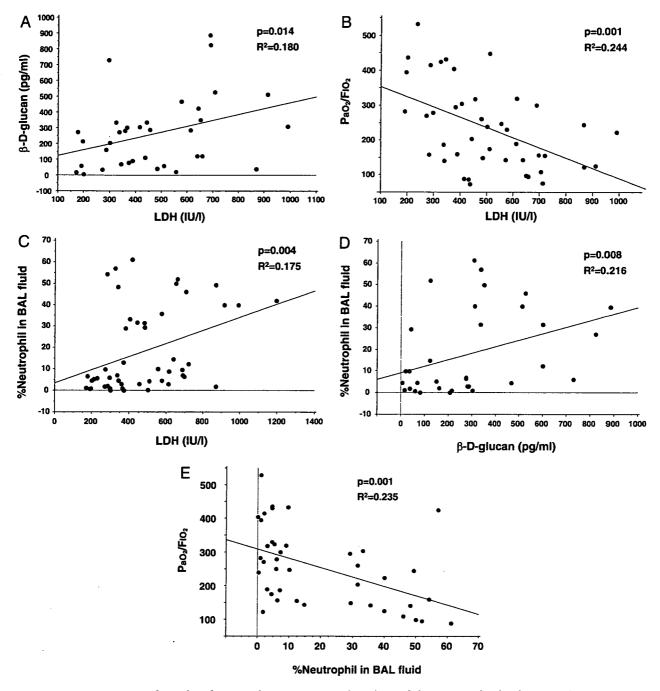


FIGURE 3. Relationships between the parameters evaluated. Top left, A: Serum levels of LDH and  $\beta\text{-D-glucan}$  are positively correlated (p < 0.02). Top right, B: Serum LDH level is inversely correlated with oxygenation index (p < 0.01). Center left, C: Serum LDH level is significantly correlated with neutrophil percentage in BAL fluid (p < 0.01). Center right, D: Serum  $\beta\text{-D-glucan}$  level is significantly correlated with neutrophil percentage in BAL fluid (p < 0.01). Bottom, E: The proportion of neutrophils in BAL fluid is inversely correlated with oxygenation index (p < 0.01).

serum markers in PCP patients, the diagnostic significance of serum markers, including the newly identified  $\beta$ -D-glucan and KL-6, remains to be evaluated in a larger cohort of patients with a variety of underlying diseases.

The results of this study revealed that  $\beta$ -D-glucan is the most reliable indicator for detecting *P jirovecii* 

infection, whereas only the LDH level was correlated with oxygenation impairment. Although these two serum markers correlate to each other, the findings suggested that LDH might be a good marker for organ damage rather than a specific marker for the disease. This result is compatible with the report by Quist and Hill<sup>3</sup> that described elevated

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serum LDH levels in all the PCP patients examined, although it is likely to be a reflection of the underlying lung inflammation.

β-D-glucan is one of the major components of the yeast cell wall and has been used for the diagnosis of invasive deep mycosis, such as candidiasis and aspergillosis.<sup>4</sup> Matsumoto and colleagues<sup>14</sup> reported that a major component of the cyst wall of *Pneumocystis carinii* is β-D-glucan. Yasuoka and coworkers<sup>5</sup> reported that significant levels of β-D-glucan were detected in sera from six of seven patients with PCP. Shimizu and colleagues<sup>6</sup> evaluated β-D-glucan levels in 15 PCP patients and found that 13 exhibited a significant increase in serum β-D-glucan. In the present study, we observed significantly elevated serum levels of KL-6 in the patients in whom *P tirovecii* was identified.

We observed significantly elevated serum levels of KL-6 in the patients with PCP. The serum KL-6 level is known to be a sensitive indicator of various interstitial lung diseases and acute lung injury. 7,8 There have been reports<sup>9,10</sup> describing elevated serum KL-6 in a small number of patients with PCP. In this study, we observed that the KL-6 level was increased in the patients with PCP. KL-6 may not be as reliable as  $\beta$ -D-glucan for the diagnosis of PCP, possibly because KL-6 is too sensitive to underlying interstitial lung disease. Thirty-two of the PCPnegative patients had interstitial lung disease as an underlying disease, and 40 patients had collagen vascular disease. Since the median KL-6 level in the PCP-negative patients was also higher than the normal limit, we speculate that, in most cases, the increased KL-6 levels in the patients without PCP may have been due to an underlying lung disease, including interstitial lung disease, that was either idiopathic or associated with collagen vascular dis-

In the patients with PCP, whereas the proportion of neutrophils in BAL fluid was not increased compared with that in PCP-negative patients, it was significantly correlated with serum levels of LDH and  $\beta$ -D-glucan. It has been reported that *P carinii* enhances tumor necrosis factor- $\alpha$  release from alveolar macrophages through a  $\beta$ -glucan-mediated mechanism. In this study, the neutrophil proportion in BAL fluid was also correlated with the oxygenation index, which is compatible with the findings of a previous report. If It is conceivable that  $\beta$ -D-glucan released from pneumocystis might cause subsequent neutrophil accumulation in the lung, leading to lung tissue damage and oxygenation impairment.

The limitation of this study is that we were unable to follow the time course of the serum markers after the diagnosis, partly because the chest radiographs and arterial blood gases were usually referred for evaluation of the disease course or treatment effect. Since most of the patients with PCP fortunately responded to the treatment with trimethoprim/sulfamethoxazole or pentamidine and recovered, it also remains uncertain whether these serum markers are predictive of a prognosis in patients with PCP. Another limitation is the number of AIDS patients included was small. Since the induced sputum has a high diagnostic yield in patients with AIDS, the importance of  $\beta\text{-D-glucan}$  and other serum indicators in patients with AIDS still remains to be elucidated. We believe that, except for these limitations, the results of the present study could be generalized.

Differences in the levels of three serum markers were found between the patients with PCP and those without PCP, although each marker reflects a different phenomenon. Whereas KL-6 responds to damage of type 2 pneumocytes and bronchial epithelium,  $\beta$ -D-glucan is a marker for a deep-seated fungal infection. In addition, an elevated serum LDH level, which we observed in PCP-positive patients, generally indicates damage in various organs. Although serum  $\beta$ -D-glucan appears to be the most reliable marker for *P jirovecii* infection, combined measurement of these three parameters may enable the rapid and noninvasive diagnosis of PCP, considering that most PCP patients have a variety of underlying diseases and simultaneous infections.

In the present study, GMS and Calcofluor white stain were used for P jirovecii detection, whereas polymerase chain reaction (PCR) assays have been shown to have greater sensitivity and specificity. It has been reported that PCR of BAL fluid can detect asymptomatic colonization of *P jiroveci* particularly in patients receiving corticosteroid therapy or immunocompetent patients with lung disease. 17,18 Although PCR assay may have an advantage in diagnostic sensitivity, we were concerned that positive PCR findings may indicate asymptomatic colonization of *P jirovecii* rather than clinically significant PCP, because most of the patients we evaluated were immunocompromised or had lung disease. Both GMS and Calcofluor white stain have been reported to have PPV and NPV > 90%. 19 Direct fluorescence monoclonal antibody stain was another sensitive method, although it has been shown that Calcofluor white stain has equal sensitivity in the detection of P jirovecii especially in BAL specimens.<sup>11</sup> We concluded that the microscopic method used to detect P jirovecii should have sufficient sensitivity and specificity.

In conclusion, after analyzing the diagnostic significance of serum markers in a larger group of patients, we found that  $\beta$ -D-glucan is a reliable marker for the diagnosis of PCP. Serum LDH, which

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may not be as dependable as  $\beta$ -D-glucan in terms of the diagnosis of PCP, reflects lung tissue injury, resulting in its statistical correlation with oxygenation impairment during PCP. Since the BAL procedure is invasive, especially for patients with severe respiratory failure, measuring  $\beta$ -D-glucan should be considered as a primary modality for a noninvasive and accurate diagnosis of PCP.

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# PROFILES OF A PATHOGENIC ENTAMOEBA HISTOLYTICA-LIKE VARIANT WITH VARIATIONS IN THE NUCLEOTIDE SEQUENCE OF THE SMALL SUBUNIT RIBOSOMAL RNA ISOLATED FROM A PRIMATE (DE BRAZZA'S GUENON)

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Abstract: A pathogenic Entamoeba histolytica-like variant (JSK2004) with genetic variations and a novel isoenzyme pattern was isolated from a De Brazza's guenon. A homology of 99.1% was found between the clones of E. histolytica (HM-1:IMSS) and JSK2004 in the 1,893 nucleotide bases of the small subunit rRNA (SSU-rRNA) gene. The DNA of the pathogenic amoeba species was also extracted from two sterile liver abscesses during the autopsies of an Abyssinian colobus and a Geoffroy's spider monkey occurring in the same institution in which JSK2004 was isolated, and the homology of the nucleotide sequences in the SSU-rRNA gene of the DNAs was identical to that of JSK2004.

Key words: Entamoeba histolytica-like variant, pathogenic isolate, primate, SSU-rRNA gene, De Brazza's guenon.

#### **BRIEF COMMUNICATION**

Entamoeba histolytica (pathogenic) and Entamoeba dispar (nonpathogenic) are parasitic amoebic species in humans and nonhuman primates, and they show significant genetic similarity.<sup>2,12</sup> Since it is difficult to morphologically differentiate the latter from the former, the detection of species-specific hexokinase (HK) and phosphoglucomutase (PGM) isoenzyme bands9; the detection of proteins by monoclonal antibodies<sup>6</sup>; and the detection of DNAs by a polymerase chain reaction (PCR)4,10 have been used for identification. Previously, a pathogenic E. histolytica variant was detected with isoenzyme bands characteristic of both E. histolytica PGM and E. dispar HK. This exceptional isoenzyme pattern [zymodeme XIII (Z-XIII)] was detected in human cases in South Africa and Tanzania; however, the genetic profile of this variant has not been described.9 In this study, we isolated a pathogenic E. histolytica-like strain (JSK2004) from a De Brazza's guenon (Cercopithecus neglectus). This strain did not satisfy the criteria for zymodeme classification; however, the isoenzyme bands were characteristic of both E. histolytica HK and E. dispar PGM that were inverse patterns of Z-XIII.

Prior to the isolation of JSK2004, the DNA of

the pathogenic amoeba species was extracted from two sterile liver abscesses during the autopsies of an Abyssinian colobus (Colobus guereza) and a Geoffroy's spider monkey (Ateles geoffroyi). DNA was also extracted from the feces, including cysts, of a De Brazza's guenon without distinct symptoms and was identified as that of E. histolytica by using the E. histolytica II kit (TechLab, Blacksburg, Virginia 24060, USA); JSK2004 was isolated from the same individual. The above-mentioned three primate species were born and bred for several generations in Japan. The infection source could not be definitively identified. The specimens were subjected to PCR and multiplex PCR by using two primer sets targeting the 30-kDa proteins10 and the small subunit rRNA (SSU-rRNA) genes4 of E. histolytica and E. dispar, respectively. The expected 101-base pair (bp) fragments of the E. histolytica gene were produced by PCR. However, no fragment was produced by the multiplex PCR (data not shown).

A JSK2004 axenic culture was established in TYI-S-33 medium<sup>1</sup>; subsequently, four clones (JSK2004 cl1 to cl4) were obtained by the classical methods of Diamond.<sup>1</sup> Each clone was confirmed to possess the same genetic polymorphism<sup>5</sup> and zymodeme<sup>9</sup> profiles. We sequenced 1,893 bases of the SSU-rRNA gene of one clone (JSK2004 cl2). The gene was PCR-amplified using the *Entamoeba* species-specific primer set [Entam1 (forward: 5'-GTT GAT CCT GCC AGT ATT ATA TG-3') and Entam2 (reverse: 5'-CAC TAT TGG AGC TGG AAT TAC-3')]<sup>11</sup> and two primer sets [Ent2F (forward: 5'-GTA ATT CCA GCT CCA ATA GTG-3') and Ent2R (reverse: 5'-ACA CCA CTT ACT ATC CTT AAT-3'), Ent3F (forward: 5'-GTT ATC TAA

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TTT CGG TTA GAC-3'), and Ent3R (reverse: 5'-ATC CTT CCG CAG GTT CAC CTA-3')] that were designed from the SSU-rRNA gene sequence of an E. histolytica reference strain [HM-1:IMSS cl6 (HM-1)] (GenBank accession no. X64142). The amplification was performed in a reaction mixture (50 μl) containing 100 ng DNA, 1.0 U LATaqDNA polymerase (Takara Bio, Inc., Shiga, Japan), 0.4 μM of each primer, and 0.25 mM dNTPs. The following cycling parameters were used: 1) Taq activation at 94°C for 3 min; 2) 35 cycles of denaturation at 94°C for 40 sec, annealing at 51°C for 40 sec, and extension at 72°C for 1 min; and 3) extension at 72°C for 5 min. The PCR products were sequenced using the ABI PRISM BigDye Terminator Cycle Sequencing Ready Reaction Kit (Applied Biosystems, Foster City, California 94404, USA) on an ABI PRISM 310 Genetic Analyzer.

A comparison of the SSU-rRNA sequences of JSK2004 cl2, HM-1, and SAW1734R clAR (SAW1734; an *E. dispar* reference strain; GenBank accession no. Z49256) indicated that 14 nucleotide bases of the SSU-rRNA sequence of JSK2004 cl2 differed from those of HM-1, and of these 14 bases,

seven were similar to those of SAW1734 (Fig. 1). Similarly, the SSU-rRNA gene sequences of JSK2004 cl1, cl3, and cl4 corresponded to that of JSK2004 cl2. The SSU-rRNA sequences of the three other *E. histolytica* axenic strains with different zymodemes [Z-IIα-(SAW1627), Z-XIV (SAW755R clB), and Z-XIX (KU-2)]<sup>5</sup> and two *E. dispar* axenic strains (CYNO 09:TPC and AS16IR) (Z-I)<sup>8</sup> corresponded to those of HM-1 (Z-II) and SAW1734 (Z-I), respectively.

The total DNA from the liver abscess of the Abyssinian colobus and Geoffroy's spider monkey were PCR-amplified using two primer sets, (Ent2F and Ent2R) and [Ent4F (forward: 5'-ATG GCC AAT TTA TTT AAA TGA-3') and Ent4R (reverse: 5'-CAG ATT AAG AAA CAA TGC TTC-3'), designed from the SSU-rRNA gene sequence of JSK2004 cl2], for identifying the *E. histolytica*-like variant (JSK2004). The PCR was performed as follows: 5 min at 94°C; 35 cycles of 40 sec at 94°C, 40 sec at 55°C, 1 min at 72°C; and a final step of 5 min at 72°C. The PCR products from the total DNA from the liver abscesses of an Abyssinian colobus and Geoffroy's spider monkey were obtained

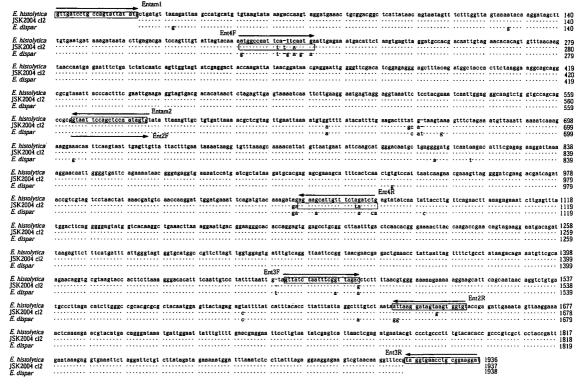


Figure 1. Profile of the nucleotide sequence of the small subunit rRNA of the Entamoeba histolytica-like variant (JSK2004 cl2) from a De Brazza's guenon. E. histolytica: Entamoeba histolytica (HM-1:IMSS cl6); E. dispar: Entamoeba dispar (SAW1734R clAR).

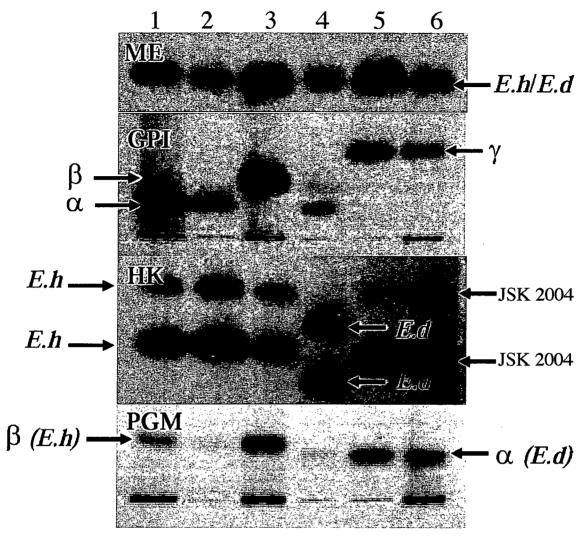


Figure 2. Isoenzyme patterns of four enzymes in the freeze-thawed lysate of the *Entamoeba histolytica*-like variant (JSK2004) where ME: malic enzyme; GPI: glucose phosphate isomerase; HK: hexokinase; and PGM: phosphoglucomutase. Lane 1: a clinical isolate (xenic) (Z-II); Lane 2: HM-1:IMSS cl6 (axenic) (Z-II); Lane 3: SAW1453 (xenic) (Z-XIV); Lane 4: SAW1734R clAR (axenic) (Z-I); Lane 5: JSK2004 (axenic); Lane 6: JSK2004 (xenic); Lanes 1, 2, and 3: *Entamoeba histolytica* (E.h); Lane 4: *Entamoeba dispar* (E.d); Lanes 5 and 6: JSK2004;  $\alpha$ ,  $\beta$ , and  $\gamma$ :  $\alpha$ ,  $\beta$ , and  $\gamma$  bands.

using two primer sets [(Ent2F and Ent2R) and (Ent4F and Ent4R)]. The authenticity of the PCR products was confirmed by nucleotide sequencing of the 878-bp and 1,088-bp fragments, and the SSU-rRNA gene sequences corresponded to that of JSK2004 cl2 (data not shown).

The isoenzyme patterns of four enzymes of the JSK2004 strain and its four clones were identical and novel and showed the following bands: 1) malic enzyme (ME), band with the same mobility as those of *E. histolytica* and *E. dispar*; 2) HK, fast-

running double bands with the same mobility as those of E. histolytica HK; 3) PGM, band ( $\alpha$  band) of E. dispar-PGM type; and 4) glucose phosphate isomerase, a previously unidentified band that corresponded to the  $\gamma$  band (Fig. 2).

The ability of the axenic-cultured JSK2004 to form liver abscesses and its infectious capacity in the large intestine were examined by inoculating 1 × 10<sup>6</sup> amoebae/head into the left hepatic lobes of female Syrian hamsters (3–4 wk old)<sup>3</sup> and the cecums of female C3H/HeJ mice (5–6 wk old).<sup>7</sup> Liver

abscess formation was confirmed in all of the three examined hamsters; JSK2004 established persistent infection in three mice for more than 6 mo. The homologies of 1,893 nucleotide bases of the SSU-RNA gene between JSK2004 cl2 and E. histolytica and E. dispar were 99.10% and 98.47%, respectively. Hence, the genetic homology between E. histolytica and E. dispar was 98.10%; JSK2004 cl2 is located at a position that is intermediate between the two species. The pathogenic E. histolytica-like variant (JSK2004) that is located at an intermediate position between E. histolytica and E. dispar and contains an E. dispar-PGM type isoenzyme and variations in the SSU-rRNA gene is expected to be a useful reference for phylogenetic studies.

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# An Evolutionarily Conserved Mechanism for MicroRNA-223 Expression Revealed by MicroRNA Gene Profiling

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#### SUMMARY

Many microRNAs (miRNAs) are evolutionarily conserved and have intriguing expression patterns. Tissue and/or time-specific expressions of some miRNAs are presumably controlled by unique cis-acting regulatory elements that coevolved with the miRNA sequences. Exploiting bioinformatics, we identified several miRNAs whose primary transcripts could be regulated by conserved genomic elements proximal to their transcription start sites. Such miRNAs include microRNA-223 (miR-223), which is reportedly controlled by a unique regulatory mechanism during granulopoiesis. Here, we define a mechanism distinct from that previously proposed to regulate miR-223 expression. We find that the mir-223 gene resembles a "myeloid gene" and might be driven by the myeloid transcription factors, PU.1 and C/EBPs. This mechanism is specified by the conserved proximal cis-regulatory element and might be common among different species. Hence, it needs to be considered that two distinct mechanisms that would play critical roles in myeloid functions and differentiation are actually concerned with the regulation of miR-223.

#### INTRODUCTION

The miRNAs are a growing class of tiny noncoding RNAs (Lagos-Quintana et al., 2001; Lau et al., 2001; Lee and Ambros, 2001) that regulate the expression of genes by hybridizing the target sites with complementary sequences that are followed by translational repression, mRNA cleavage, or destabilization (Bartel, 2004; Yekta

et al., 2004). Hundreds of miRNAs have been found in various organisms, and many miRNAs are evolutionarily conserved, suggesting their potential roles in essential physiological events (Bartel, 2004). Some miRNAs have limited expression patterns with strict tissue, cell, and temporal specificities, while others demonstrate ubiquitous or constitutive expression (Pasquinelli et al., 2005). Therefore, studies of regulatory mechanisms governing expression of specific miRNAs would contribute to better understanding of their physiological roles.

Despite growing knowledge on miRNA biology, little is known about the transcriptional regulation of miRNAs. However, cumulative evidence suggests that many miRNAs are transcribed by RNA polymerase II (Lee et al., 2004). This is consistent with spatiotemporally limited expression of miRNAs and indicates that numerous lineage-specific transcription factors would take part in transcriptional regulation of miRNAs. It is now widely accepted that most miRNAs are transcribed from their own promoters, even though a few primary transcripts have been fully defined to date. These primary miRNA transcripts, called pri-miRNAs, are generally thought to be much longer than the conserved stem loops currently used to define miRNA genes, as suggested by several observations. For example, clustered miRNAs are generated from a single primary transcript (Lagos-Quintana et al. 2001; Lau et al. 2001). Furthermore, some RT-PCR experiments amplified large fragments of the pri-miRNAs (Lee et al. 2002). Identification of full-length primary transcripts with regulatory genomic elements would therefore be a critical step for studying the transcriptional mechanisms for every single miRNA.

The hematopoietic system is a paradigm for the differentiation of distinct cell lineages from multipotent progenitors (Orkin and Zon, 2002). Although lineage commitment decisions in hematopoiesis involve a variety of modulators that are influenced by each other in an unusually complex manner, the central role of transcription factors in this bioprocess has been highlighted to date (Sieweke and Graf,

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1998; Zhu and Emerson, 2002). The current concept favors the emerging hematopoietic lineages being controlled by a unique combination of transcription factors, each of which may appear singly in different lineages (Sieweke and Graf, 1998), rather than the lineages being regulated by single master transcription factors. Thus, diverse combinations of transcription factors specify the fate of distinct cell types by selection and maintenance of a lineage-specific gene-expression program.

Previous studies demonstrated that some miRNAs, such as miR-142, miR-181, and miR-223, are preferentially expressed in the hematopoietic system (Chen et al., 2004; Chen and Lodish, 2005). For example, miR-181 is highly expressed in lymphoid tissues and cells (Chen et al., 2004). Ectopic expression of miR-181 in murine hematopoietic progenitors resulted in significant increase of B lineage fraction, underscoring possible miRNA involvement in lymphopoiesis (Chen et al., 2004). Furthermore, a very recent study demonstrated the mechanism that specifies myeloid expression of miR-223 and proposed a unique "minicircuitry" comprised of miR-223 and transcription factors, NFI-A and CAAT enhancer-binding protein α (C/EBPα; Fazi et al., 2005). As shown previously, miR-223 is highly conserved, and its myeloid-specific expression is also well characterized in both human and mouse (Chen et al., 2004; Fazi et al., 2005), suggesting that the regulatory system is expected to be phylogenetically conserved.

Here, we demonstrate a comprehensive strategy for understanding miRNA gene expression and make use of transcriptome data, an integrated database of genome sequences or transcription factors, and bioinformatics; in addition, we follow experimental validation. With this approach, we identified dozens of cDNAs encoding miRNA precursor sequences. These cDNAs are actually considered as pri-miRNAs, and some of them are characterized with genomic information that implicates for their regulatory mechanisms.

As a result of this screening, we defined a regulatory mechanism for myeloid expression of miR-223, which is distinct from the previously proposed machinery but would be common among different species. We thus found that miR-223 originates in a relatively long noncoding RNA (pri-miR-223) driven by the conserved proximal genomic element in which possible binding sites for myeloid transcription factors, PU.1 and C/EBP, are present. Our analyses revealed an essential role of PU.1 in transcription of pri-miR-223. Moreover, C/EBP was shown to strongly enhance the promoter activity, especially in combination with PU.1. Therefore, the conserved proximal cis-acting regulatory element of pri-miR-223 shows general myeloid promoter characteristics, consistent with preferential expression of miR-223 in the myeloid lineage. This evolutionarily conserved promoter is distinct from the previously characterized promoter (Fazi et al., 2005). Importantly, our reassessment revealed that this conserved promoter is probably active in the induction of miR-223 during All-trans retinoic acid (ATRA)-induced

differentiation of the APL cell line, NB4 cells, which is the main experimental system adopted in the previous study (Fazi et al., 2005). As a result of our study on miR-223 regulation and our reassessment of some parts in the previous report, it needs to be considered how two distinct mechanisms regulate the expression of miR-223.

#### RESULTS

### Genome-Wide Screening and Characterization of Primary miRNA Transcripts

It is now widely accepted that many miRNAs are transcribed by RNA polymerase II (Lee et al., 2004; Kim, 2005). Therefore, we hypothesized that primary transcripts of some miRNAs could appear as mRNA-like RNAs. Recent fruits of FANTOM3, the project of mouse transcriptome analyses, published approximately 103,000 non-redundant cDNA sequences from various tissues (Caminci et al., 2005). Based upon the above hypothesis, we made use of this library for genome-wide screening of mRNA-like primary miRNAs. As an initial approach, we searched for cDNAs encoding full-length miRNA precursor sequences and reasoned that such cDNAs represent primary transcripts of "exonic" miRNAs (Kim and Nam, 2006).

Based on the homology, 102,802 cDNAs of the FANTOM3 database were exhaustively aligned with the 337 registered murine miRNA precursor sequences by BLASTN program (Figure 1A). We identified 42 pairings of cDNA-pre-miRNAs with a perfect match (Table 1). The majority of these miRNA precursors is present on paired cDNAs with +/+ direction, while a few miRNA precursors are on paired cDNAs with opposite direction (+/-; Table 1). Although these antisense transcripts could be involved in important gene-regulatory events as reported recently (Katayama et al., 2005), we excluded them from the candidates for this round of screening.

As is well known, 5' genomic regions proximal to transcription start sites often act as critical *cis*-regulatory elements for gene transcription (Lipman, 1997; Venkatesh and Yap, 2004). Moreover, such proximal *cis*-acting regulatory elements are often highly conserved among different species. To identify 5' proximal *cis*-regulatory elements for each pri-miRNA candidate, we then extracted upstream genomic regions of 38 cDNAs paired with pre-miRNAs with a +/+ direction (Figure 1A). A BLAT search of a 250 bp upstream sequence of each cDNA screened out 12 pri-miRNA candidates whose 5' proximal genomic regions are highly conserved among mouse, rat, dog, and human (Table 2). Putative binding motifs for transcription factors of these 12 regions were then explored by conducting the TESS program (Table 2).

Our screening strategy here demonstrated that available cDNA libraries might be sources of information on mRNA-like primary transcripts of miRNAs. Furthermore, subsequent genome-based profiling of pri-miRNA indicated that some miRNA sequences might have coevolved with genomic elements residing close to their transcription start sites. Such genomic elements contain several consensus

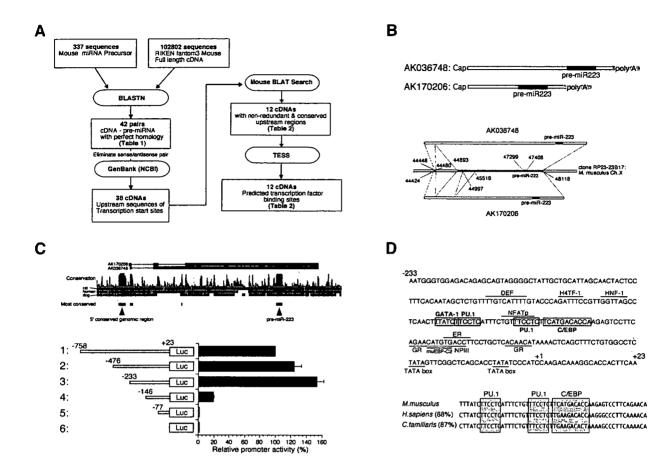


Figure 1. Mouse Pri-miR-223 Identified by Genome-Wide Screening of Pri-miRNAs

(A) Schematic for identification of cDNAs encoding known pre-miRNA sequences with conserved upstream genomic regions in FANTOM3.

(B) Two cDNAs, AK036748 and AK170206, encoding murine miR-223 precursor sequences (upper panel) and their gene structures (lower). The numbers denote the corresponding position at the *Mus musculus* genomic clone, RP23-23B17.

(C) Conservation of pri-miR-223 5' proximal genomic region (upper). A luciferase reporter assay was performed in RAW264.7 cells with indicated reporters (lower). 1 indicates ppri-mmiR-223<sup>-758</sup>-Luc, 2 indicates ppri-mmiR-223<sup>-476</sup>-Luc, 3 indicates ppri-mmiR-223<sup>-233</sup>-Luc, 4 indicates ppri-mmiR-223<sup>-146</sup>-Luc, 5 indicates ppri-mmiR-223<sup>-77</sup>-Luc, and 6 indicates pGL3 basic.

(D) Upper panel: The 5' proximal promoter region of mouse pri-miR-223 with putative binding motifs for several transcription factors. The transcription start site of AK036748 is fixed as +1. Lower panel: Highly conserved genomic site that contains PU.1- and C/EBP-binding motifs.

motifs for intriguing transcription factors (Table 2), suggesting that these conserved genomic regions would act as *cis*-acting regulatory elements for pri-miRNAs and that miRNA expression might be regulated by such transcription factors.

### Identification of Pri-miR-223 Candidates in FANTOM3

Of particular interest, AK170206 screened out as pri-miR-223 is derived from the cDNA library of CD11c+ dendritic cells (Table 1), consistent with previous studies reporting myeloid-specific expression of miR-223 (Chen et al., 2004). Furthermore, AK170206 could be regulated by myeloid transcription factors, PU.1 and C/EBP, as the conserved upstream genomic region contains binding motifs for these transcription factors (Table 2). Thus, this case may represent consistency between the tissue specificity and potential regulatory transcription factors.

Although another cDNA clone, AK036748, is also a candidate of pri-miR-223 (Table 1), we found that this clone is practically transcribed from the same gene locus for AK170206 (Figure 1B). Of note, the AK036748 clone is actually expressed in vivo with strict myeloid specificity, and ectopic expression of its splicing variant resulted in the generation of functional mature miR-223, indicating that AK036748 and AK170206 are probably mouse primiR-223 in vivo (Figures S1 and S2).

Since the conserved genomic region found by our genome profiling was supposed to be included in the proximal promoter of pri-miR-223, the 5' flanking genomic region was amplified from mouse genome, which was followed by progressive deletions, and a set of reporter vectors was generated as shown in Figure 1C. Relative reporter activity in miR-223-expressing monocytic cell line, RAW264.7, was examined for each reporter vector using a luciferase reporter assay (Figure 1C). Transcriptional

miRNAs	Lengtha	Strandb	Clone	Location <sup>c</sup>	Tissue Origin
mmu-let-7d	103	+/+	AK160312	1006–1108	Wolffian duct includes surrounding
		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			region
mmu-let-7i	85	+/+	AK052706	109–193	kidney
mmu-mir-100	80	+/+	AK045205	1522–1601	parthenogenote
mmu-mir-101a	. 83	+/+	AK021368	207–289	eyeball
mmu-mir-124a-1	85	+/+	AK044422	736–820	retina
mmu-mir-130a	64	:,_+/+	AK033350	467–530	testis
mmu-mir-133b	119	+/+	AK132542	3750–3868	head (brain, eyeball, and pituitary gland
mmu-mir-144	66	+/+	AK158085	357–422	inner ear
mmu-mir-191	74	+/ <del>+</del>	AK076537	788–861	head (brain, eyeball, and pituitary gland)
mmu-mir-196b	85	+/+	AK054058	368-452	oviduct
mmu-mir-202	72	+/+	AK144366	157–228	testis
mmu-mir-205	68	+/+	AK014513	1438–1505	skin
mmu-mir-21	92	4/4	AK043091	2481–2572	cerebellum
mmu-mir-214	110		AK051872	1754–1863	eyeball
mmu-mir-22	95 🖟 🐪	+/+	AK008813	133–227	stomach
mmu-mir-22	95	不养意法	AK018628	242–336	cecum
mmu-mir-22		+/+	AK021103	151–245	corpus striatum
mmu-mir-223	110	4/+2/5	AK036748	2437–2546	bone (os femoris)
mmu-mir-223	110	+/+	AK170206	1937–2046	NOD-derived CD11c +ve dendritic cells
mmu-mir-331	96	17/4	AK031192	1073–1168	forelimb
mmu-mir-339	96	74/4 3/C	AK019591	2509–2604	testis
mmu-mir-377	68	14. +/+	AK077315	913–980	pituitary gland
mmu-mir-423	109	17.	AK038056	266–374	thymus
mmu-mir-425	85	14-11	AK076537	1246–1330	head (brain, eyeball, and pituitary gland)
mmu-mir-433	124	+/4	AK018276	194–317	olfactory brain
mmu-mir-451	72	+/+	AK158085	522–593	innerear
mmu-mir-546	121	+/+>	AK049271	3088–3208	ES cells
mmu-mir-678	84	+/+	AK077456	1829-1912	whole body
mmu-mir-678	84	+/+	AK144412	1827–1910	spinal cord
mmu-mir-678	84	4/+	AK145829	1829–1912	liver
mmu-mir-678	84	+/+	AK146754	1890–1973	kidney
mmu-mir-678	84	+/+	AK146966	1926–2009	heart
	84	+/+	AK159186	1835–1918	osteoclast-like cell
mmu-mir-678	فالإخبيان الأعلام والمراد	ed majoritation	AK133839	260–368	whole body
mmu-mir-686	109	+/+	AK163187	431–539	cerebellum
mmu-mir-698	109	+/+ /-	AK078938	53–82	cecum
mmu-mir-705	82	<del></del>	AK154922	4698–4779	NOD-derived CD11c +ve dendritic cells
mmu-mir-705	82	+/+		in a stronger transport of	
mmu-mir-707	73	<u>.</u>	AK030571 AK081202	360–432	pituitary gland corpus striatum

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miRNAs	Length <sup>a</sup>	Strand <sup>b</sup>	Clone	Location <sup>c</sup>	Tissue Origin	
mmu-mir-29b-2	81	- 14/-14 33	AK081202	1871–1791	corpus striatum	
The state of the s			AK052709	2901–2812	kidnev	
mmu-mir-135a-	1		AK082091	1112-1024	Ridiley .	

The method used for extraction of these pre-miRNA/cDNA pairings is described in Experimental Procedures.

activity was readily detected in the presence of regions that had been deleted for up to -146 bp of mouse primiR-223, although significant reduction was observed when the region from -233 bp to -146 bp was removed (Figure 1C). Strikingly, the promoter activity was completely abolished to the basal level when the region from -146 bp to -77 bp was deleted (Figure 1C), indicating that this region contains the essential promoter domain for mouse pri-miR-223 transcription. Notably, this region is actually the highly conserved region identified in the initial genome profiling and contains two binding motifs for PU.1: one nonconventional binding site for C/EBP (Grove and Plumb, 1993) and one site for GATA-1 (Figure 1D).

Together, our data suggest that the highly conserved genomic region that contains consensus motifs for hematopoietic transcription factors is probably the core promoter of mouse pri-miR-223.

# Myeloid Transcription Factors Act on the Conserved Promoter of Pri-miR-223

To examine whether the myeloid transcription factors physically interact with the conserved promoter region in vivo, we performed chromatin immunoprecipitation (ChIP). DNA fragments containing mouse pri-miR-223 promoter as well as mouse Cathepsin C promoter were immunoprecipitated from genomic DNA of WEHI-3 by  $\alpha$ -PU.1 and  $\alpha$ -C/EBP $\beta$  antibodies, suggesting that these myeloid transcription factors are actually interacting with the corresponding promoter sites (Figure 2A).

The action of those transcription factors on the promoter was then examined. Single expression of PU.1 weakly activated mouse pri-miR-223 promoter in miR-223-negative NIH3T3 (Figure 2B). C/EBP $\beta$  alone stimulated mouse pri-miR-223 promoter activity, but such activation was weakened in a dose-dependent manner (Figure 2B). However, coexpression of PU.1 and C/EBP $\beta$  induced potent promoter activity, and C/EBP $\beta$  enhanced it in a dose-dependent manner (Figure 2C), indicating that the combinatorial action of PU.1 and C/EBP confers stable and high-level activity of mouse pri-miR-223 promoter.

We also examined action of GATA-1 on mouse primiR-223 promoter and observed a repression of the endogenous activity of pri-miR-223 promoter by this transcription factor (Figure 2D). Notably, however, such repression was observed even in the absence of the consensus-binding motif (data not shown), implying that GATA-1-mediated suppression of mouse pri-miR-223 promoter activity would be due to mechanisms without its DNA binding, as previously reported elsewhere (Nerlov et al., 2000).

Together these data suggest that the activity of mouse pri-miR-223 promoter is highly induced in the presence of myeloid transcription factors, PU.1 and C/EBP, whereas the erythroid transcription factor GATA-1 represses it, which is consistent with myeloid-specific expression of miR-223.

## Critical Roles of Myeloid Transcription Factors in Mouse Pri-miR-223 Transcription

To test whether the putative binding sites for myeloid transcription factors in pri-miR-223 promoter are essential for its transcriptional activity, we introduced various mutations into those binding motifs (Figure 3A) and examined effects of those mutations on the transcriptional activity using a luciferase reporter assay in the RAW264.7 cell line. Although the promoter activity declined significantly with every single mutation (mutations 1, 2, and 3; Figure 3A), the mutation in the downstream PU.1-binding motif (mutation 2) resulted in a drastic decrease of transcriptional activity (Figure 3A), suggesting a dominant role of the corresponding site in transcriptional control of mouse pri-miR-223. Nonetheless, the most striking effect was observed when mutations were introduced in both of the two binding sites for PU.1 (mutation 4) and the transcriptional activity was completely abolished by this combination of mutations (Figure 3A), indicating that these two PU.1-binding sites are essential for induction of mouse pri-miR-223 promoter activity. Mutations in all three sites (mutation 7) logically resulted in the complete extinction of promoter activity, too (Figure 3A). Of note, physical binding of PU.1 to those putative binding sites was also confirmed (Figures S3A and S3B).

These results demonstrate that transcription of mouse pri-miR-223 is optimally induced in the presence of both of two PU.1-binding sites in the conserved promoter, therefore implying a critical role of PU.1 in pri-miR-223 transcription.

To further confirm the role of PU.1 in pri-miR-223 transcription, we investigated the effect of dominant-negative PU.1 (DN-PU.1) expression on pri-miR-223 promoter

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<sup>&</sup>lt;sup>a</sup> Length of each predicted miRNA precursor in miRBase is shown.

<sup>&</sup>lt;sup>b</sup> Coding direction of miRNA: cDNA pairing is shown as miRNA strand/cDNA strand.

<sup>&</sup>lt;sup>c</sup> Position of each pre-miRNA sequence is shown as corresponding nucleotide numbers of pairing cDNA sequence.

cDNAs (miRNAs)	Chromosome, Strand, Position <sup>a</sup>	Transcription Factors and Consensus S	Sequences		
AK021368	4(-)	ZF5 (1 <sup>b</sup> ) [GGCGCG] IL-6 RE-BP (1) [CTGGAA]			
(mmu-mir-101A)	100854121-100854208	C/EBPβ (1) [CTGGRAA]	Sp1 (1) [GGCGGG]		
AK144366	7(–)	GR (1) [TGTGCC]	RAP1 (1) [CANCONNICA]		
(mmu-mir-202)	139809438-139809493	TDEF (1) [CACGTG]	CAC-binding protein (1) [GGGGTG]		
		C-Myb (1) [GGTGAG]			
		NF-1/L (1) [TTGGCA]	NF-1 (-like proteins) (1) [CTTGGC]		
AK018628	11(+) Sp1 (1) [GTGGGTGTGGc (GTGGGcGTGGT) (GGGCGG)]				
AK008813	75277745-75277835	TEF-2 (2) [GGGTGTGG]	AML1 (1) [TGTGGT]		
(mmu-mir-22)	ing kang menga <del>da salam dalah dapat sebagai</del> Antah di Sanah Sanah Sanah dalah dalah sebagai sebagai sebagai sebagai sebagai sebagai sebagai sebagai sebagai	SpRunT-1(1) [TGTGGTC]	Core-binding factor (1)[TGTGGTCA		
		C/EBPAlpha (1) [CGTTGCNNNGCAAcC			
		AP-2 (1) [GCCCAGCA]	CP1 (1) [GATTGG]		
		CACCC-binding factor (1) [GGGTGG]	AP-1 (1) [TGCGTCA]		
		XPF-1 (1) [CAGCTG]	E4F1 (1) [CGTNACG]		
AK170206	X(+)	DEF (1) [TTGTCAgTTT]	H4TF-1 (1) [GATTTC]		
AK036748	92442511–92442611	HNF-1 (1) [GGTTAG]	BBF1 (1) [ACTTTA]		
(mmu-mir-223)		GATA-1 (1) [ITATCT]	PU.1 (2) [TTCCTC]		
		NF-ATp (1) [TGTTTCCT (TTTCCTC)]	C/EBPα (1) [TCATGACACCAt]		
AK044422	14(+)	Sp1 (3) [GGGCAG (GGGGGAGGGG) (GGGGC(C/G)GGGC)]			
(mmu-mir-124a-1)	63542041-63542225	bziP911 (1) [gGTGACGTGTGC]	E4F1 (1) [GTGACGT]		
		ATF (1) [TGACGT]	bZIP910 (1) [TGACGTG]		
		SZIP911 (1) [TGACGTGT]	HiNF-C (1) [GAGGGcGGGG]		
		BGP1 (1) [GGGGGGG(7-16)]	Pur-1 (1) [AGGGGGGGGGTG]		
		NF-S (1) [YGTCAGC]	E47 (1) [CAGATG]		
		PU.1 (1) [CTTCTC]	C-MyC (1) [TCTCTTA]		
		TII (1) [AATAATCC]	Kr (1) [tTAATCCGTT]		
		C-ETs-2 (1) [AAGGAA]	N-OCT-3 (1) [MATNNWAAT]		
		MEF-2 (1) [TTATTTTTAA(YTWWAAAtAF			
		AnTp (1) [TAATTaTTAAA]	SEF4 (1) [RTTTTTR]		
		Dof2 (1) [AAAAAGGAgC]			
AK018276	12(+)	PU.1 (4) [TTCCTC]	GR (6) [TCTTCT]		
mmu-mir-433)	110039132-110039250				
AK049271	10(+)	HINF-C (1) [GAGgGCGGGG]	CAC-binding protein (1) [GGGGTG]		
(mmu-mir-546)	126381790–126381867	CACCC-binding factor (1) [GGGTGG]	PuF (1) [GGGTGGG]		
		Sp1 (2) [GGTGGG (gGGGGAGGGGa)]			
		TTF-1 (1) [GCNCTNNAG]	Adf-1 (1) [GCYGYYGYCGY]		
		HFH-8 (1) [TGTTTATNYR]	ANF (1) [cTTTATCTGG]		
		GATA-1, -2, -3 (1) [TTATCT]	MAZ (1) [GGGAGGG]		
		NF-Atp (1) [GGAGCC]	IL-6 RE-BP (1) [CTGGAA]		
			in the birth to tacking		
1440000		C/EBPβ (1) [CTGGRAA]	AMI 1 (1) ITCTCCT		
AK133839 mmu-mir-686)	_14( <del>-</del> ) <sub>                                    </sub>	Sp1 (1) [GAGGgGTGGT]	AML1 (1) [TGTGGT]		

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	Chromosome, Strand,			
cDNAs (miRNAs)	Position <sup>a</sup>	Transcription Factors and Consensus Sequences		
AK163187	4(+)	L-6 RE-BP (1) [CTGGGA] Zmhox1a (1) [GCTGcCCGTC]		
(mmu-mir-698)	124244095-124244140			
AK030571	7(+)	N-Oct-3 (1) [MATNNWAAT] GCF (2) [NNGCGGGGCN]		
(mmu-mir-707)	44717300-44717355			

Predicted transcription factors that bind to highly conserved, upstream regions of cDNA sequences.

activity. Two kinds of DN-PU.1 constructs with deletions of distinct regions in the transactivation domain were prepared (Figure S3C). We then examined actions of DN-PU.1 on mouse pri-miR-223 promoter activity using a luciferase reporter assay and observed that the expression of

PU.1<sup>ΔAD</sup>, but not PU.1<sup>ΔGD</sup>, significantly repressed the promoter activity, demonstrating that PU.1 plays a significant role in mouse pri-miR-223 transcription and that the acidic domain of PU.1 is critical for transcriptional control of mouse pri-miR-223 (Figure 3B).

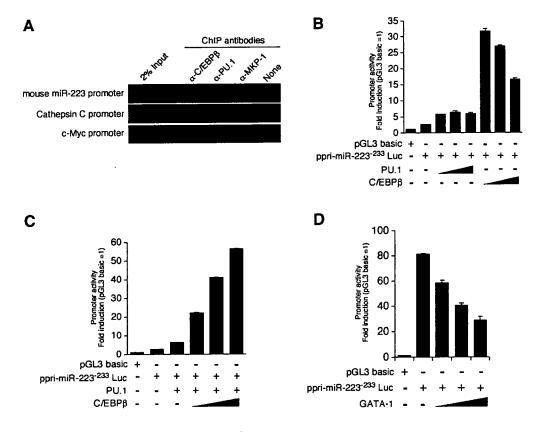


Figure 2. PU.1 and C/EBPβ Activate Mouse Pri-miR-223 Promoter

(A) Interactions of PU.1 and C/EBPβ with the core promoter region of mouse pri-miR-223 were examined in WEHI-3 cells using ChIP assay as described in Experimental Procedures. As controls, Cathepsin C promoter (positive control) and c-Myc promoter (negative control) were assayed. Two percent of input DNA was used as a positive control of PCR. The antibody against MKP-1 was used as negative control for ChIP.

(B) A graded amount (1[0.5  $\mu$ g], 1/3, and 1/9) of each expression vector together with reporter vectors (Firefly Luc (FL): 0.2  $\mu$ g; Renilla Luc (RL): 0.05  $\mu$ g) was transfected into NIH3T3 cells and assayed for a luciferase reporter assay.

(C) A graded dose (1[0.3μg], 1/3, and 1/9) of C/EBPβ expression vector together with PU.1 expression plasmid (0.2 μg) and reporter vectors (FL: 0.2 μg; RL: 0.05 μg) were transfected in NIH3T3 and examined for the pri-miR-223 promoter activity by a luciferase reporter assay.

(D) A graded amount (1[0.5µg], 1/3, and 1/9) of mouse GATA-1 expression vector together with reporter vectors (FL: 0.2 µg; RL: 0.05 µg) was transfected into RAW264.7 cells and examined for pri-miR-223 promoter activity by a luciferase reporter assay. All data are represented as mean ± standard deviation (SD) from triplicate assays.

<sup>&</sup>lt;sup>a</sup>The position of conserved genomic regions.

<sup>&</sup>lt;sup>b</sup> Denotes number of binding sites.