non-Japanese patients. This was evident by the comparable maximum effect  $(E_{max})$  and plasma concentrations of bortezomib required to achieve 50% of  $E_{max}$  (EC<sub>50</sub>), calculated using the simple  $E_{max}$  model. In conclusion, bortezomib showed remarkable efficacy with acceptable toxicities in Japanese patients with relapsed or refractory MM. The unique PK/PD profiles of bortezomib revealed by this study warrant further investigations, including more relevant administration schedules.<sup>41</sup>

Based on the results of the Japanese phase I/II study<sup>41</sup> and those of the preceding United States studies,<sup>27,33</sup> in 2006, bortezomib was approved by the Ministry of Health, Labor, and Welfare of Japan for the treatment of relapsed or refractory MM.

### Pulmonary complications in Japanese patients with MM

In addition to one fatal case in the above-described phase I/II study in Japan, 41 severe pulmonary complications were reported in a retrospective study carried out before the approval of bortezomib for general use in Japan. 42 In this study, 4 of 13 patients with relapsed or refractory MM developed severe pulmonary complications, and 2 of them died of respiratory failure. Considering possible ethnic differences in terms of susceptibility to the pulmonary toxicities of bortezomib, the Japanese Society of Hematology and the Japanese Society of Clinical Hematology sent urgent questionnaires to the members of both societies to explore the details of pulmonary complications.<sup>43</sup> Clinical details were available for 46 patients who had been treated with personally imported bortezomib. Seven patients (15%) were revealed to have developed pulmonary complications, and three of them died of respiratory failure. Of the seven patients who developed pulmonary complications, six had undergone SCT. Multivariate analysis revealed that the concomitant use of corticosteroids might reduce the risk of pulmonary complications and that prior SCT might increase the risk. Because these observations were based on a retrospective analysis of a limited Japanese cohort; however, further investigations are needed. In addition, physicians should be cautious of possible ethnic differences in toxicity profiles of bortezomib, and the use of personally imported anticancer agents during the conduct of a phase I or I/II trial before the official approval for general use is not recommended.

### Clinical trials of bortezomib for non-Hodgkin lymphoma (NHL)

In addition to MM, bortezomib has been tested against various subtypes of NHL.

Clinical trials of bortezomib for mantle cell lymphoma (MCL)

MCL accounts for approximately 5%-6% of all NHL cases. It is generally an incurable subtype of B-cell NHL, and has one of the poorest prognoses of all NHLs. Thus, novel therapies have been desired for MCL. MCL is characterized by overexpression of cyclin D1, resulting from the t(11;14)(q13;q32) translocation. NF-κB is constitutively expressed in MCL cells, and increased proteasome degradation of p27 and p53 mutations is associated with poor survival in MCL patients. Preclinical studies of bortezomib have demonstrated its activity in MCL cells and MCL xenograft models. Single-center and national multicenter studies have shown the antitumor activity of bortezomib in MCL patients. Table 3 summarizes the results of phase II studies of bortezomib alone in patients with relapsed or refractory MCL.

To confirm these results, an international multicenter phase II study was conducted.<sup>48</sup> Bortezomib 1.3 mg/m<sup>2</sup> was administered on days 1, 4, 8, and 11 of a 21-day cycle, for up to 17 cycles. Response and progression were determined using International Workshop Response Criteria. 49 In total, 155 patients were treated. The median number of prior therapies was one (range, one to three). ORR in 141 assessable patients was 33%, including 8% CR/unconfirmed CR (CRu). The median duration of response was 9.2 months, and the median TTP was 6.2 months. The median OS has not been reached after a median follow-up of 13.4 months. The toxicity profiles of bortezomib were similar to previous experience in MM trials. The most common AEs of grade 3 or greater were peripheral neuropathy (13%), fatigue (12%), and thrombocytopenia (11%). Death from causes that were considered to be treatment-related was reported for 3% of patients. These results confirm the activity of bortezomib in relapsed or refractory MCL, with predictable and manageable toxicities. Bortezomib provides significant clinical activity in MCL, and may represent a new treatment option for this population with a usually very poor outcome. Studies of bortezomib-based combinations in MCL are underway.

Table 3. Summarized results of phase II studies of bortezomib alone in patients with relapsed or refractory mantle-cell lymphoma

Dose and schedule of bortezomib	n	CR/CRu	PR	ORR	Reference
1.5 mg/m <sup>2</sup> days 1, 4, 8, 11 q3w	29	6/0	6	41%	Gov et al.44
1.5 mg/m <sup>2</sup> days 1, 4, 8, 11 q3w	10	0/1	4	50%	O'Connor et al.45
1.3 mg/m <sup>2</sup> days 1, 4, 8, 11 q3w	24	1/0	6	29%	Strauss et al.46
1.3 mg/m <sup>2</sup> days 1, 4, 8, 11 q3w	29	0/1	12	46%	Belch et al.47
1.3 mg/m², days 1, 4, 8, 11 q3w	141	9/2	36	33%	Fisher et al. 48

n, Number of patients; CR, complete response; CRu, CR, unconfirmed; PR, partial response; ORR, overall response rate

Clinical trials of rituximab and bortezomib for follicular lymphoma (FL)

Randomized phase II study of rituximab and bortezomib

Preclinical data with combined rituximab and bortezomib suggest additive cytotoxic activity. This randomized phase II study investigated the ORR, with weekly or twice-weekly administrations of bortezomib, in patients with relapsed or refractory FL, or marginal zone B-cell lymphoma (MZBCL).50 Patients were randomized to bortezomib 1.3 mg/m<sup>2</sup> twice weekly on days 1, 4, 8, and 11 of a 21-day cycle (arm A) or bortezomib 1.6 mg/m<sup>2</sup> weekly on days 1, 8, 15, and 22 of a 35-day cycle (arm B) for up to 15 weeks (five and three cycles in arms A and B, respectively). Starting from day 1, rituximab at 375 mg/m<sup>2</sup> was administered weekly for 4 weeks in both arms. The scheme of this randomized phase II study is shown in Fig. 2. Seventy-four of the 81 patients who were randomized (35 arm A and 39 arm B) were evaluable for response. Table 4 summarizes the main results. ORR was 51% in arm A, and 54% in arm B. Thirty patients showed progressive disease (17 in arm A and 13 in arm B). The protocol treatment was well tolerated in both arms; grade 3 or greater AEs were seen in 54% in arm A and in 18% in arm B. It was concluded that weekly and twice-weekly bortezomib plus rituximab are active and well-tolerated treatments for patients with relapsed or refractory indolent B-cell NHL. The more convenient weekly regimen offers efficacy similar to that of the twiceweekly regimen, with less toxicity.<sup>50</sup> Based on the results of this randomized phase II study, an international phase III

Table 4. Summarized results of a randomized phase II study comparing two combination schedules of bortezomib and rituximab in relapsed or refractory patients with follicular lymphoma or marginal zone B-cell lymphoma

туптрионта			
Response (%)	Twice-Weekly, bortezomib + rituximab (arm A)	Once-Weekly, bortezomib + rituximab (arm B)	
n	35	39	
Overall response	51	54	
CR/CRu	12	13	
Partial response	40	41	
Stable disease	31	33	
Progressive disease	17	13	
Grade 3/4 toxicity	54	18	

n, Number of patients; CR, complete response; CRu, CR, unconfirmed

study comparing bortezomib plus rituximab versus rituximab alone for relapsed or refractory indolent B-NHL is underway, using a weekly administration schedule.

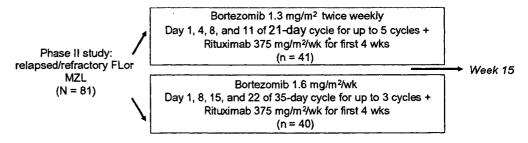
Clinical trials for diffuse large B-cell lymphoma (DLBCL)

Several phase III studies indicate that rituximab plus CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisolone) (R-CHOP) is a new standard in the treatment of previously untreated DLBCL.<sup>51-54</sup> The results of the following phase I/II study of R-CHOP plus bortezomib for untreated DLBCL were presented at the annual meeting of the American Society of Clinical Oncology (ASCO) in 2007.

Phase I/II study of R-CHOP plus bortezomib for untreated DLBCL<sup>55</sup>

Patients with previously untreated DLBCL (n=40) received CHOP chemotherapy every 3 weeks, rituximab (375 mg/m<sup>2</sup>) each cycle of CHOP) plus bortezomib at 0.7 mg/m<sup>2</sup> (arm 0; n = 4), 1.0 mg/m<sup>2</sup> (arm 1; n = 8), or 1.3 mg/m<sup>2</sup> (arm 2; n = 28) including phase I and all phase II) on days I and 4 of each cycle. Median age (n = 40) was 58 years (range, 21–86 years), and 35 subjects (88%) had stage III/IV disease at study entry, and 29 (73%) had elevated serum lactate dehydrogenase (LDH). Patients generally had unfavorable baseline international prognostic index (IPI) scores of 2 in 16 subjects (40%) and 3-5 in 19 subjects (48%). Median follow-up was 21 months (range, 9-35 months). The protocol treatment was generally well tolerated. Peripheral neuropathy occurred in 22 subjects (55%), with 45% grade 1,5% grade 2, and 5% grade 3. Grade 4 hematologic toxicity included thrombocytopenia (15%) and leukopenia (15%). Four subjects (3 over age 75 years and all with high-risk IPI) died prior to the first response assessment. Intent to treat (ITT) ORR (n = 40) was 90%, with 68% CR/CRu. For the evaluable subset (n = 36), ORR was 100%, with CR/CRu 75%. The Kaplan-Meier estimate (n = 40) of 2-year progressionfree survival was 72%. Of all 19 enrolled (ITT) patients in the high-intermediate or high-risk IPI groups, 14 (74%) were alive without progression at last assessment. The authors concluded that bortezomib can be administered with acceptable toxicity profiles in conjunction with R-CHOP chemotherapy, and that efficacy findings with this combination regimen in newly-diagnosed DLBCL are encouraging and warrant further studies.55

Fig. 2. Scheme of a randomized phase II study regarding the combination schedule of bortezomib plus rituximab in relapsed or refractory follicular lymphoma (FL). MZL, marginal zone lymphoma. (Modified from de Vos et al. 50 Proc ASH 2005, no.17)



In addition to the above-described United States phase I/II study, the results of a randomized phase II study of bortezomib plus R-CHOP for untreated B-cell NHL including DLBCL, using a different dose and schedule of bortezomib, conducted by the Groupe d'Etude des Lymphomes de l'Adulte (GELA), were presented at the ASCO meeting in 2007. The authors concluded that R-CHOP plus bortezomib is a highly effective regimen for untreated B-NHL. Because the higher doses of bortezomib in combination with R-CHOP led to severe neuropathy, however, the combination of bortezomib with vinca alkaloids should be cautiously evaluated in future trials, in view of overlapping neurotoxicity.

### Bortezomib for peripheral T-cell malignancies

At the annual meeting of the American Society of Hematology in 2006, Zinzani and colleagues<sup>57</sup> reported the preliminary results of a phase II study of bortezomib in relapsed or refractory T-cell malignancies. The target populations of this phase II study were previously pretreated patients with peripheral T-cell lymphoma (PTCL) with only skin involvement and cutaneous T-cell lymphoma (CTCL). Bortezomib was given at 1.3 mg/m<sup>2</sup> IV on days 1, 4, 8, and 11 every 21 days for up to six cycles. Fifteen patients were enrolled and 12 (10 CTCL and 2 PTCL) were evaluable for response. ORR was 67% (2 CR + 6 PR), and the responding patients were 7 with CTCL and 1 with PTCL. These preliminary data suggest that bortezomib is active for CTCL and PTCL with skin involvement.<sup>57</sup> Considering the promising results of preclinical studies of bortezomib for adult T-cell leukemialymphoma, 58.59 further investigations are warranted, to clarify the role of bortezomib in the treatment of peripheral T-cell malignancies.

### Bortezomib for solid tumors and other proteasome inhibitors

In addition to hematologic malignancies, many clinical trials are underway of bortezomib in combination with other anticancer agents against solid tumors.<sup>60</sup> Considering the remarkable therapeutic results of bortezomib as a single agent or in combination with other anticancer agents, and the encouraging results of carfilzomib (PR-171),<sup>24</sup> the use of proteasome inhibitors will continue to be one of the important development strategies in clinical oncology.

Acknowledgments The physicians, nurses, laboratory technicians, clinical research coordinators, and patients who participated in the Japanese phase I/II study for relapsed or refractory MM are acknowledged.

### References

- Kisselev AF, Goldberg AL (2001) Proteasome inhibitors: from research tools to drug candidates. Chem Biol 8:739-758
- Maki CG, Huibregtse JM, Howley PM (1996) In vivo ubiquitination and proteasome-mediated degradation of p53. Cancer Res 56:2649-2654

- Clurman BE, Sheaff RJ, Thress K, et al. (1996) Turnover of cyclin E by the ubiquitin-proteasome pathway is regulated by cdk2 binding and cyclin phosphorylation. Genes Dev 10:1979-1990
- Tatebe H, Yanagida M (2000) Cut8, essential for anaphase, controls localization of 26S proteasome, facilitating destruction of cyclin and Cut2. Curr Biol 10:1329-1338
- Cayrol C, Ducommun B (1998) Interaction with cyclin-dependent kinases and PCNA modulates proteasome-dependent degradation of p21. Oncogene 17:2437-2444
- Pagano M, Tam SW, Theodoras AM, et al. (1995) Role of the ubiquitin-proteasome pathway in regulating abundance of the cyclin-dependent kinase inhibitor p27. Science 269:682-685
- Adams J, Palombella VJ, Sausville EA, et al. (1999) Proteasome inhibitors: a novel class of potent and effective antitumor agents. Cancer Res 59:2615-2622
- Adams J, Palombella VJ, Elliott PJ. (2000) Proteasome inhibition: a new strategy in cancer treatment. Invest New Drugs 18:109– 121
- Hideshima T, Chauhan D, Schlossman R, et al. (2001) The role of tumor necrosis factor alpha in the pathophysiology of human multiple myeloma: therapeutic applications. Oncogene 20:4519

  –4527
- Hideshima T, Richardson P, Chauhan D, et al. (2001) The proteasome inhibitor PS-341 inhibits growth, induces apoptosis, and overcomes drug resistance in human multiple myeloma cells. Cancer Res 61:3071-3076
- Mitsiades N, Mitsiades CS, Poulaki V, et al. (2002) Molecular sequelae of proteasome inhibition in human multiple myeloma cells. Proc Natl Acad Sci USA 99:14374-14379
- Hideshima T, Mitsiades C, Akiyama M, et al. (2003) Molecular mechanisms mediating antimyeloma activity of proteasome inhibitor PS-341. Blood 101:1530-1534
- Oken MM. (1994) Standard treatment of multiple myeloma. Mayo Clin Proc 69:781-786
- Oken MM. (1998) Management of myeloma: current and future approaches. Cancer Control 5:218-225
- Myeloma Trialists' Collaborative Group. (1998) Combination chemotherapy versus melphalan plus prednisone as treatment for multiple myeloma: an overview of 6633 patients from 27 randomized trials. J Clin Oncol 16:3832–3842
- Takenaka T, Itoh K, Suzuki T, et al. (2004) Phase III study of ranimustine, cyclophosphamide, vincristine, melphalan, and prednisolone (MCNU-COP/MP) versus modified COP/MP in multiple myeloma: a Japan Clinical Oncology Group study, JCOG 9301. Int J Hematol 79:165-173
- Barlogie B, Smith L, Alexanian R. (1984) Effective treatment of advanced multiple myeloma refractory to alkylating agents. N Engl J Med 310:1353-1356
- Attal M, Harousseau JL, Facon T, et al. (2003) Single versus double autologous stem-cell transplantation for multiple myeloma. N Engl J Med 349:2495–2502
- Attal M, Harousseau J, Stoppa J, et al. (1996) A prospective, randomized trial of autologous bone marrow transplantation and chemotherapy in multiple myeloma. N Engl J Med 335:91-97
- Child JA, Morgan GJ, Davies FE, et al. (2003) High-dose chemotherapy with hematopoietic stem-cell rescue for multiple myeloma. N Engl J Med 348:1875-1883
- Barlogie B, Kyle RA, Anderson KC, et al. (2006) Standard chemotherapy compared with high-dose chemoradiotherapy for multiple myeloma: final results of phase III US Intergroup Trial S9321.
   J Clin Oncol 24:929-936
- Buzaid AC, Durie BG. (1988) Management of refractory myeloma: a review. J Clin Oncol 6:889-905
- Paccagnella A, Chiarion-Sileni V, Soesan M, et al. (1991) Second and third responses to the same induction regimen in relapsing patients with multiple myeloma. Cancer 68:975-980
- 24. Stewart KA, O'Connor OA, Alsina M, et al. (2007) Phase I evaluation of carfilzomib (PR-171) in hematological malignancies: responses in multiple myeloma and Waldenstrom's macroglobulinemia at well-tolerated doses. Proc Am Soc Clin Oncol 25: no. 8003
- Orlowski RZ, Stinchcombe TE, Mitchell BS, et al. (2002) Phase I trial of the proteasome inhibitor PS-341 in patients with refractory hematologic malignancies. J Clin Oncol 20:4420-4427
- Aghajanian C, Soignet S, Dizon DS, et al. (2002) A phase I trial
  of the novel proteasome inhibitor PS341 in advanced solid tumor
  malignancies. Clin Cancer Res 8:2505–2511

- Richardson PG, Barlogie B, Berenson J, et al. (2003) A phase 2 study of bortezomib in relapsed, refractory myeloma. N Engl J Med 348:2609–2617
- Jagannath S, Barlogie B, Berenson J, et al. (2004) A phase 2 study of two doses of bortezomib in relapsed or refractory myeloma. Br J Haematol 127:165-172
- Blade J, Samson D, Reece D, et al. (1998) Criteria for evaluating disease response and progression in patients with multiple myeloma treated by high-dose therapy and haemopoietic stem cell transplantation. Br J Haematol 102:1115-1123
- Richardson PG, Barlogie B, Berenson J, et al. (2005) Clinical factors
  predictive of outcome with bortezomib in patients with relapsed,
  refractory multiple myeloma. Blood 106:2977-2981
- Jagannath S, Barlogie B, Berenson JR, et al. (2005) Bortezomib in recurrent and/or refractory multiple myeloma: initial clinical experience in patients with impaired renal function. Cancer 103:1195-1200
- Lonial S, Waller EK, Richardson PG, et al. (2005) Risk factors and kinetics of thrombocytopenia associated with bortezomib for relapsed, refractory multiple myeloma. Blood 106:3777-3784
- Richardson P, Sonneveld P, Schuster MW, et al. (2005) Bortezomib or high-dose dexamethasone for relapsed multiple myeloma. N Engl J Med 352:2487-2498
- 34. Richardson PG, Sonneveld P, Schuster Met al. (2005) Bortezomib continues demonstrate superior efficacy compared with high-dose dexamethasone in relapsed multiple myeloma: updated results of the APEX trial. Blood (ASH Annual Meeting Abstracts) 106: no. 2547
- Kane RC, Farrell AT, Sridhara R, et al. (2006) United States Food and Drug Administration approval summary: bortezomib for the treatment of progressive multiple myeloma after one prior therapy. Clin Cancer Res 12:2955-6290
- Richardson PG, Sonneveld P, Schuster MW, et al. (2007) Safety and efficacy of bortezomib in high-risk and elderly patients with relapsed multiple myeloma. Br J Haematol 137:429-435
- Greipp PR, San Miguel J, Durie BG, et al. (2005) International staging system for multiple myeloma. J Clin Oncol 23:3412-3420
   Jagannath S, Durie BG, Wolf J, et al. (2005) Bortezomib therapy
- Jagannath S, Durie BG, Wolf J, et al. (2005) Bortezomib therapy alone and in combination with dexamethasone for previously untreated symptomatic multiple myeloma. Br J Haematol 129:776-783
- 39. Harousseau JL, Nagler A, Sonneveld P, et al. (2007) Effect of the combination of pegylated liposomal doxorubicin and bortezomib on time to progression (TTP) and overall survival of patients with relapsed/refractory multiple myeloma compared with bortezomib alone. Proc Am Soc Clin Oncol 25: no. 8002
- Orlowski RZ, Voorhees PM, Garcia RA, et al. (2005) Phase 1 trial
  of the proteasome inhibitor bortezomib and pegylated liposomal
  doxorubicin in patients with advanced hematologic malignancies.
  Blood 105:3058-3065
- 41. Tobinai K, Watanabe T, Ogawa Y, et al. (2006) Pharmacokinetic (PK) and pharmacodynamic (PD) profiles of bortezomib (B) in patients (pts) with relapsed multiple myeloma (MM): a phase I/II study in Japan. Blood (ASH Annual Meeting Abstracts) 108: no. 5106
- Miyakoshi S, Kami M, Yuji K, et al. (2006) Severe pulmonary complications in Japanese patients after bortezomib treatment for refractory multiple myeloma. Blood 107:3492-3494
- 43. Gotoh A, Ohyashiki K, Oshimi K, et al. (2006) Lung injury associated with bortezomib therapy in relapsed/refractory multiple myeloma in Japan: a questionnaire-based report from the "Lung Injury by Bortezomib" joint committee of the Japanese Society of Hematology and the Japanese Society of Clinical Hematology. Int J Hematol 84:406-412
- Goy A, Younes A, McLaughlin P, et al. (2005) Phase II study of proteasome inhibitor bortezomib in relapsed or refractory B-cell non-Hodgkin's lymphoma. J Clin Oncol 23:667-675
- O'Connor OA, Wright J, Moskowitz C, et al. (2005) Phase II clinical experience with the novel proteasome inhibitor bortezomib in patients with indolent non-Hodgkin's lymphoma and mantle cell lymphoma. J Clin Oncol 23:676-684

- 46. Strauss SJ, Maharaj L, Hoare S, et al. (2006) Bortezomib therapy in patients with relapsed or refractory lymphoma: potential correlation of in vitro sensitivity and tumor necrosis factor alpha response with clinical activity. J Clin Oncol 24:2105-2012
- Beich A, Kouroukis CT, Crump M, et al. (2007) A phase II study of bortezomib in mantle cell lymphoma: the National Cancer Institute of Canada Clinical Trials Group trial IND.150. Ann Oncol 18:116-121
- Fisher RI, Bernstein SH, Kahl BS, et al. (2006) Multicenter phase II study of bortezomib in patients with relapsed or refractory mantle cell lymphoma. J Clin Oncol 24:4867-4874
- Cheson BD, Horning SJ, Coiffier B, et al. (1999) Report of an international workshop to standardize response criteria for non-Hodgkin's lymphomas. NCI Sponsored International Working Group. J Clin Oncol 17:1244-1253
- de Vos S, Dakhil S, McLaughlin P, et al. (2005) Bortezomib plus rituximab in patients with indolent non-Hodgkin's lymphoma (NHL): a phase 2 study. Blood (ASH Annual Meeting Abstracts) 106: no. 17
- Coiffier B, Lepage E, Briere J, et al. (2002) CHOP chemotherapy plus rituximab compared with CHOP alone in elderly patients with diffuse large-B-cell lymphoma. N Engl J Med 346:235-242
- 52. Feugier P, Van Hoof A, Sebban C, et al. (2005) Long-term results of the R-CHOP study in the treatment of elderly patients with diffuse large B-cell lymphoma: a study by the Groupe d'Etude des Lymphomes de l'Adulte. J Clin Oncol 23:4117-4126
- 53. Habermann TM, Weller EA, Morrison VA, et al. (2006) Rituximab-CHOP versus CHOP alone or with maintenance rituximab in older patients with diffuse large B-cell lymphoma. J Clin Oncol 24:3121-3127
- 54. Pfreundschuh M, Trumper L, Osterborg A, et al. (2006) CHOP-like chemotherapy plus rituximab versus CHOP-like chemotherapy alone in young patients with good-prognosis diffuse large-B-cell lymphoma: a randomised controlled trial by the MabThera International Trial (MInT) Group. Lancet Oncol 7:370, 201
- Leonard JP, Furman RR, Cheung YK, et al. (2007) CHOP-R + bortezomib as initial therapy for diffuse large B-cell lymphoma (DLBCL). Proc Am Soc Clin Oncol 25: no. 8031
- 56. Mounier N, Ribrag V, Haioun C, et al. (2007) Efficacy and toxicity of two schedules of R-CHOP plus bortezomib in front-line B lymphoma patients: a randomized phase II trial from the Groupe d'Etude des Lymphomes de l'Adulte (GELA). Proc Am Soc Clin Oncol 25: no. 8010
- 57. Zinzani PL, Tani M, Musuraca G, et al. (2006) Phase II study of proteasome inhibitor bortezomib (Velcade®) in patients with relapsed/refractory T-cell lymphoma: preliminary results. Blood (ASH Annual Meeting Abstracts) 108: no. 2462
- Tan C, Waldmann TA. (2002) Proteasome inhibitor PS-341, a potential therapeutic agent for adult T-cell leukemia. Cancer Res 62:1083-1086
- Satou Y, Nosaka K, Koya Y, et al. (2004) Proteasome inhibitor, bortezomib, potently inhibits the growth of adult T-cell leukemia cells both in vivo and in vitro. Leukemia 18:1357-1363
- Rajkumar VS, Richardson PG, Hideshima T, et al. (2005) Proteasome inhibition as a novel therapeutic target in human cancer. J Clin Oncol 23:630-639
- Berenson JR, Yang HH, Sadler K, et al. (2006) Phase I/II trial assessing bortezomib and melphalan combination therapy for the treatment of patients with relapsed or refractory multiple myeloma. J Clin Oncol 24:937-944
- Kropff M, Bisping G, Schuck E, et al. (2007) Bortezomib in combination with intermediate-dose dexamethasone and continuous low-dose oral cyclophosphamide for relapsed multiple myeloma. Br J Haematol 138:330-337
- Suvannasankha A, Smith GG, Juliar BE, et al. (2006) Weekly bortezomib/methylprednisolone is effective and well tolerated in relapsed multiple myeloma. Clin Lymphoma Myeloma 7:131-134

# Phase I and II pharmacokinetic and pharmacodynamic study of the proteasome inhibitor bortezomib in Japanese patients with relapsed or refractory multiple myeloma

Yoshiaki Ogawa,<sup>1,4</sup> Kensei Tobinai,<sup>2</sup> Michinori Ogura,<sup>3</sup> Kiyoshi Ando,<sup>1</sup> Takahide Tsuchiya,<sup>1</sup> Yukio Kobayashi,<sup>2</sup> Takashi Watanabe,<sup>2</sup> Dai Maruyama,<sup>2</sup> Yasuo Morishima,<sup>3</sup> Yoshitoyo Kagami,<sup>3</sup> Hirofumi Taji,<sup>3</sup> Hironobu Minami,<sup>4</sup> Kuniaki Itoh,<sup>4</sup> Masanobu Nakata<sup>4</sup> and Tomomitsu Hotta<sup>1</sup>

'Department of Hematology and Oncology, Tokai University School of Medicine, 143, Shimokasuya, Isehara, Kanagawa, 259-1193; <sup>2</sup>Hematology and Stem Cell Transplantation Division, National Cancer Center Hospital, 5-1-1, Tsukiji, Chuo-ku, Tokyo, 104-0045; <sup>3</sup>Department of Hematology and Cell Therapy, Aichi Cancer Center, 1-1, Kanokoden, Chikusa-ku, Nagoya, Aichi, 464-8681; <sup>4</sup>Division of Oncology and Hematology, National Cancer Center Hospital East, 6-5-1, Kashiwanoha, Kashiwa, Chiba, 277-8577, Japan

(Received July 22, 2007/Revised September 6, 2007/Accepted September 9, 2007/Online publication October 29, 2007)

The purpose of this phase I and II study was to evaluate the safety, pharmacokinetics, pharmacodynamics, and efficacy of bortezomib in Japanese patients with relapsed or refractory multiple myeloma. This was a dose-escalation study designed to determine the recommended dose for Japanese patients (phase I) and to investigate the antitumor activity and safety (phase II) of bortezomib administered on days 1, 4, 8, and 11 every 21 days. Thirty-four patients were enrolled. A dose-limiting toxicity was febrile neutropenia, which occurred in one of six patients in the highestdose cohort in phase I and led to the selection of 1.3 mg/m<sup>2</sup> as the recommended dose. Adverse events ≥ grade 3 were rare except for hematological toxicities, although there was one fatal case of interstitial lung disease. The overall response rate was 30% (95% confidence interval, 16-49%). Pharmacokinetic evaluation showed a biexponential decline, characterized by a rapid distribution followed by a longer elimination, after dose administration, whereas the area under the concentration-time curve increased proportionately with the dose. Bortezomib was effective in Japanese patients with relapsed or refractory multiple myeloma. A favorable tolerability profile was also seen, although the potential for pulmonary toxicity should be monitored closely. The pharmacokinetic and pharmacodynamic profiles of bortezomib in the present study warrant further investigations, including more relevant administration schedules. (Cancer Sci 2008; 99: 140-144)

ultiple myeloma, one of the B-cell lymphatic tumors, is a malignant hematopoietic tumor with poor prognosis for which a cure cannot ever be expected. The peak age of onset is high at 65-70 years, and its onset in patients younger than 40 years is rare. The median survival of patients with multiple myeloma is approximately 6-12 months if untreated, but it is prolonged to approximately 3 years with the administration of chemotherapy; the 5-year survival rate has been reported to be approximately 25% and the 10-year survival rate is <5%.(1,2) As initial therapy for multiple myeloma, melphalan + prednisolone therapy and vincristine + doxorubicin + dexamethasone therapy have been used as global standards. (3.4) High-dose chemotherapy combined with autologous hematopoietic stem-cell transplantation is reported to be significantly superior to multiagent chemotherapy in terms of response rate and progression-free survival, (5) and is considered to be a standard therapy primarily for patients who are 65 years old or younger. However, no consensus has been reached on the standard therapy for relapsed or chemotherapyrefractory multiple myeloma patients. (6-8) Multiple myeloma is

an intractable disease with poor prognosis that continues to relapse, and the duration to relapse becomes shorter in patients who repeatedly receive treatment. There are no available treatment options in which durable efficacy can be expected after relapse, and therefore effective therapeutic choices with new mechanisms of action have been long awaited.

Bortezomib is a novel small molecule that is a potent selective and reversible inhibitor of the proteasome, and has been approved for the treatment of recurrent or refractory multiple myeloma in the USA and Europe. The pharmacokinetics (PK) of bortezomib were reported in a phase I study in which it was administered in combination with gemcitabine twice weekly for 2 weeks followed by a 10-day rest period, (9) and in another phase I study in which it was administered once weekly for 4 weeks followed by a 13-day rest period. (10) Both studies were conducted in patients with advanced solid tumors and not patients with multiple myeloma. Therefore, the present phase I and II study was designed to assess the PK and pharmacodynamic (PD) effects of bortezomib in multiple myeloma patients, particularly in a Japanese population. In addition, efficacy and safety were evaluated to determine the recommended dose (RD).

### **Patients and Methods**

Eliqibility. The main eligibility criteria were: confirmed multiple myeloma according to the South-west Oncology Group diagnostic criteria; (11) had received at least previous standard front-line therapy (including melphalan and predonisone, vincristine, doxorubicin, and dexamethasone chemotherapy, and high-dose chemotherapy with autologous stem cell transplantation); had documentation of relapse or refractoriness to the last line of therapy and required therapy because of progressive disease at enrolment. Progressive disease was defined as at least one of the following: more than 25% increase in monoclonal immunoglobulin in the serum or urine; development of new osteolytic lesions or soft tissue tumors, or worsening of existing lesions; hypercalcemia (corrected serum calcium value of >11.5 mg/dL); relapse from complete response (CR); the presence of measurable disease lesions; Karnofsky performance status ≥ 60; 20-74 years of age; adequate bone marrow function (absolute neutrophil count ≥  $1000/\text{mm}^3$ , platelets  $\geq 75~000/\text{mm}^3$ , and hemoglobin  $\geq 8~\text{g/dL}$ ),

To whom correspondence should be addressed. E-mail: yoshioga@is.icc.u-tokai.ac.jp

hepatic function (aspartate aminotransferase and alanine aminotransferase levels ≤2.5 times the upper limit of institutional normal range, total bilirubin ≤1.5 times the upper limit of institutional normal range), renal function (creatinine clearance ≥ 30 mL/min), and cardiac function (left ventricular ejection fraction ≥ 55% by echocardiography without New York Heart Association class III to IV congestive heart failure) in the previous 2 weeks; and had received no systemic chemotherapy or radiotherapy in the previous 4 weeks. This study was approved by the Institutional Review Board of each participating hospital. All patients gave written informed consent and the study was conducted in accordance with Good Clinical Practice for Trials of Drugs and the Declaration of Helsinki.

Study design. The RD was determined based on the occurrence of dose-limiting toxicity (DLT) in Japanese patients and in the dose-escalating phase I of the study. The safety and efficacy of bortezomib at the RD were assessed in phase II. In phase I, three patients were enrolled in the 0.7 mg/m²-dose group, and six patients each in the 1.0 and 1.3 mg/m²-dose groups. DLT was defined as ≥grade 3 non-hematological toxicity or grade 4 hematological toxicity for which the relation to bortezomib could not be ruled out. The RD was defined as a dose level with a DLT incidence closest to but lower than the estimated (expected) value of 30%. Bortezomib was administered for up to six cycles.

Drug administration. Bortezomib, supplied by Janssen Pharmaceutical (Tokyo, Japan) in vials containing 3.5 mg, was administered by intravenous push over 3-5 s on days 1, 4, 8, and 11, followed by a 10-day rest period, with this 3-week period comprising one cycle. There was an interval of at least 72 h between doses.

Response and safety assessments. Patients were monitored for response after every two treatment cycles by quantitation of serum immunoglobulins, serum protein electrophoresis and immunofixation (IF), and collection of a 24-h urine specimen for total protein, electrophoresis, and IF. Response was evaluated using the European Group for Blood and Marrow Transplantation criteria, (12) after cycles 2, 4, and 6.

Adverse events were assessed and graded according to the National Cancer Institute Common Toxicity Criteria version 2.0 from the first dose until 28 days after the last dose of bortezomib.

Pharmacokinetic and pharmacodynamic analysis. Plasma bortezomib concentrations and blood 20S proteasome activity were measured in phase I. Blood samples were collected before each dose, at 5, 15, and 30 min, and 1, 2, 4, 6, 8, 12, 24, and 48 h after treatment on days 1 and 11. The measurement of plasma bortezomib concentration was conducted at Advion BioSciences (Ithaca, NY, USA) using liquid chromatography/tandem mass spectrometry (LC/MS/MS). The measurement of blood 20S proteasome activity was conducted at Millennium Pharmaceuticals (Cambridge, MA, USA) using the synthetic fluorescence substrate method validated for the chymotrypsin-like activity/trypsin-like activity ratio. (14)

### Results

Patients and dose escalation. The study was conducted from May 2004 to January 2006, and 34 patients were enrolled. Patient characteristics are shown in Table 1. All patients had secretory-type myeloma, and the breakdown was 20 patients (59%) with IgG type, eight patients (24%) with IgA type, three patients (9%) with light-chain type, and three patients (9%) with IgA and light-chain type. Most patients had received prior therapy with steroids, alkylating agents, and/or vinca alkaloids. Ten patients (29%) had received stem cell transplantation including high-dose therapy. The median number of lines of prior therapy was two (range: one to eight). Osteolytic lesions were observed in 30 patients (88%) and soft-tissue tumors were observed in seven (21%). The median number of treatment

Table 1. Patient characteristics

Patient characteristic		n	%
Patients	34		
Sex			
Female	12		35
Male	22		65
Age (years)			
Median		60	
Range		34-72	
Durie-Salmon stage			
1	0		
fl .	15		44
III	19		56
Time since diagnosis (years)			
Median		3.4	
Range		1.0-13	.7
Karnofsky performance status			
100	15		44
90-80	18		53
70-60	1		3
Serum interleukin-6 (pg/mL)			
Mean		4.2	
Range		0.5-30	.2
Cytogenetics			
Karyotype abnormal	4		12
del(13)(q14)	7		21
t(11; 14)	4		12
Prior therapy			
Chemotherapy	34		100
Steroids	34		100
Alkylating agents	33		97
Vinca alkaloids	27		79
Anthracyclines	22		65
Thalidomide	8		24
Interferon	7		21
Radiation therapy	6		18
Autologous hematopoietic stem cell transplantation	10		29

cycles was four (range: one to six), and the median duration of treatment was 79 days (range: 1–152 days). Ten patients (29%) completed all six cycles. The reasons for discontinuation of therapy in 25 patients were progressive disease in 11 patients, patient's own request in six patients, serious adverse events in four patients, DLT in two patients, and others in three patients. Three patients were enrolled in the 0.7 mg/m² group and six in the 1.0 mg/m² group, and no DLT were observed at any dose level. In the 1.3 mg/m² group, DLT (grade 3 febrile neutropenia) occurred in one of the six patients. Therefore, 1.3 mg/m² was determined to be the RD in subsequent phase II, in which 18 patients were enrolled.

Adverse events. The safety analysis dataset consisted of all patients who received at least one dose of bortezomib (34 patients). Adverse events observed in ≥20% of patients are shown in Table 2. The events observed at a high frequency (≥50%) were lymphopenia, neutropenia, leukopenia, thrombocytopenia, anemia, asthenia, diarrhea, constipation, nausea, anorexia, and pyrexia. At least one ≥grade 3 adverse event was observed in 88% of the patients. Major ≥grade 3 adverse events were hematological toxicities including lymphopenia, neutropenia, leukopenia, thrombocytopenia, and anemia. Grade 4 hematological toxicities included neutropenia in six patients (18%), three of which experienced this adverse event during cycle 1. At least grade 3 non-hematological toxicities occurred in fewer than 10%, and no DLT during cycle 1 were observed. Grade 4 non-hematological toxicities included hematuria, blood amylase

Table 2. All adverse events occurring in at least 20% of patients (n = 34)

Dose (mg/m²)	0	.7	1	.0		1.3		All	<del></del>	
No. of Patients NCI-CTC grade	(n = 3)		(n=6)		(n	(n = 25)		(n = 34)		%
	1/2	3/4	1/2	3/4	1/2	3/4	1/2	3/4		
Adverse event										
Hematologic										
Lymphopenia	3	0	4	2	8	17	15	19	34	100
Neutropenia	1	1	2	4	7	16	10	21	31	91
Leukopenia	2	0	6	0	11	12	19	12	31	91
Thrombocytopenia	1	0	4	0	12	11	17	11	28	82
Anemia	2	0	2	3	10	8	14	11	25	74
Nonhematological										
Asthenia <sup>†</sup>	3	0	3	0	15	0	21	0	21	62
Diarrhea	1	0	2	0	15	1	18	1	19	56
Constipation	2	0	3	0	14	0	19	0	19	56
Nausea	2	0	2	0	14	0	18	0	18	53
Anorexia	3	0	2	0	14	0	18	0	18	53
Pyrexia	0	0	4	0	14	0	18	0	18	53
Peripheral neuropathy <sup>t</sup>	0	0	3	0	12	1	15	1	16	47
AST increased	1	0	1	0	11	2	13	2	15	44
LDH increased	1	0	1	0	12	1	14	1	15	44
Vomiting	1	0	O	0	9	1	10	1	11	32
Rash	0	0	1	0	10	0	11	0	11	32
ALP increased	0	0	2	0	8	0	10	0	10	29
Headache	0	0	1	0	8	0	9	0	9	27
ALT increased	1	0	· 1	0	7	0	9	0	9	27
Hyperglycaemia	0	0	2	0	5	0	. 7	0	7	21
Hyponatremia	1	0	0	1	5	0	6	1	7	21
Renal impairment	1	0	1	0	5	0	7	0	7	21
CRP increased	0	0	1	0	6	0	7	0	7	21
· Weight decreased	0	0	0	. 0	7	0	7	0	7	21

<sup>&</sup>lt;sup>1</sup>Including fatigue and malaise. <sup>3</sup>Including peripheral sensory neuropathy, peripheral motor neuropathy, and hypoesthesia. ALP, alkaline phosphatase; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CRP, C-reactive protein; LDH, lactate dehydrogenase; NCI-CTC, National Cancer Institute Common Toxicity Criteria.

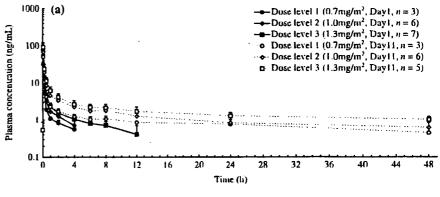
increase, and blood uric acid increase in one patient (3%) each. Hematuria was attributed to prostate cancer and judged as not related to bortezomib. The underlying disease was considered to be involved in the blood uric acid increase; this event was judged unlikely to be related to bortezomib. At the occurrence of grade 4 blood arrylase increase, blood arrylase isozymes were pancreatic-type in 86% and salivary-type in 14%. There were no gastrointestinal symptoms, such as abdominal pain, associated with arrylase increase. Abdominal echography revealed no finding suggesting pancreatitis or pancreatolithiasis, and the relevant events recovered 5 days after the onset. The causality of the grade 4 blood arrylase increase with bortezomib was evaluated as 'probable', and therefore treatment was continued at a reduced dose from 1.3 to 1.0 mg/m<sup>2</sup>.

One case of interstitial lung disease (ILD) that resulted in a fatal outcome was observed in phase II. The patient with grade 5 ILD had developed the event on day 10 in cycle 2 after receiving seven doses of bortezomib in total. Pyrexia, non-productive cough, hypoxia, and dyspnea were observed as early symptoms, and antibiotics, antimicrobials, steroid pulse therapy, and oxygen inhalation were initiated to treat it. However, respiratory failure worsened, so the patient was put on a ventilator, and the study was discontinued. After the onset of ILD, bronchoalveolar lavage was conducted, but the causative pathogen could not be identified. The available examinations for  $\beta$ -D-glucan, cytomegalovirus antigenemia, influenza virus, and urinary antigen of Legionella were found to be negative. The diagnosis from the pathological findings was diffuse alveolar damage. A retrospective

analysis of the pretreatment computed tomography (CT) images indicated that the patient had subtle interstitial shadows in the basal region of both lungs. In response, the protocol was amended to exclude patients with abnormal pretreatment bilateral interstitial shadows on CT. No cases of fatal pulmonary toxicity were observed thereafter.

Efficacy. Thirty-three patients were evaluable for efficacy, excluding one ineligible patient who had another malignancy (prostate cancer). Objective responses were observed in 10 of 33 patients (30%; 95% confidence interval 16–49%), including five IF-positive complete responses (CR <sup>IF+</sup>) and five partial responses. Of the 10 responders, five patients had one line of prior therapy, two patients had three lines of prior therapy, and three patients had four or more lines of prior therapy. It is noteworthy that one patient who had received eight lines of prior therapy, including high-dose chemotherapy with autologous stem-cell transplantation. showed CRIF+. Of the 10 patients who had received prior autologous hematopoietic stem cell transplantation, two patients showed CRIFF, and three patients showed PR. With respect to osteolytic lesions, which is one of the efficacy endpoints, partial regression in five patients, partial disappearance in one patient, and regression of soft-tissue tumors in two patients were observed

Pharmacokinetics and pharmacodynamics. The mean plasma bortezomib concentration—time profiles on days 1 and 11 obtained from 16 patients enrolled in phase I are shown in Fig. 1a. PK parameters obtained using non-compartmental analysis are shown in Table 3. The plasma bortezomib concentration—time



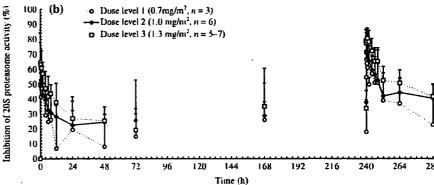


Fig. 1. (a) Plasma bortezomib concentrations (mean + SD). (b) Inhibition of blood 20S proteasome activity (mean + SD).

Table 3. Pharmacokinetic parameters (non-compartmental analysis)

		Dose (mg/m²)				
Parameter	Day	0.7	1.0	1.3		
		(n=3)	(n = 6)	$(n = 5-7)^{\dagger}$		
C <sub>o</sub> (ng/mL)	1	73.75 ± 7.89	144.92 ± 179.31	185.84 ± 57.65		
• -	11	130.68 ± 71.97	147.19 ± 72.33	187.03 ± 54.31		
AUC	1	14.04 ± 0.70	28.58 ± 24.86	46.50 ± 19.89		
(ng · h/mL)	11	112.01 ± 47.74	108.39 ± 52.32	186.60 ± 49.79		
Half life (h)	1	3.31 ± 0.88	6.81 ± 8.81	16.11 ± 20.75		
	11	64.59 ± 30.29	32.46 ± 12.91	57.39 ± 24.92		
Clearance	1	83.35 ± 10.52	105.41 ± 75.66	51.97 ± 18.99		
(L/h)	11	11.77 ± 4.67	19.63 ± 14.50	12.10 ± 3.73		
V, (L)	1	406.92 ± 154.03	520.08 ± 349.87	894.41 ± 682.35		
• • •	11	978.51 ± 263.13	731.69 ± 242.35	957.81 ± 350.40		
V., (L)	1	186.46 ± 85.02	288.90 ± 260.74	507.75 ± 558.30		
	11	812.60 ± 202.03	540.03 ± 218.72	763.81 ± 271.64		
C <sub>o</sub> ratio	11/1	1.789 ± 0.973	1.848 ± 1.133	1.103 ± 0.249		
AUC ratio	1 1/1	7.940 ± 3.247	5.363 ± 2.970	5.142 ± 0.543		

'Day 1, n=7; day 11, n=5. Values are mean  $\pm$  SD. AUC, area under the concentration–time curve from time zero to infinity; AUC ratio, AUC on day 11/AUC on day 1;  $C_0$ , plasma concentration at the end of administration;  $C_0$  ratio,  $C_0$  on day 11/ $C_0$  on day 1;  $V_2$ , the apparent volume of distribution during the terminal phase;  $V_{sv}$  the apparent volume of distribution at steady state.

profiles showed a biphasic elimination profile, characterized by rapid distribution followed by a longer elimination at all dose levels. At any dose level, the elimination half-life  $(t_{1/2})$  on day 11 was prolonged, and systemic clearance (CL) was lower compared with day 1. Therefore, delayed elimination of bortezomib from plasma associated with repeated administrations was observed, and the plasma bortezomib concentration after administration ( $C_0$ , estimated value) and area under the plasma concentration-time curve (AUC) showed higher values on day 11 compared with day 1. AUC showed dose dependency, whereas  $C_0$  did not.

The inhibition of blood 20S proteasome activity is shown in Fig. 1b. The 20S proteasome inhibition recovered over time at all dose levels, but was prolonged compared with the temporal decrease in plasma bortezomib concentration, and the inhibition was still observed before treatment on days 4, 8, and 11.

### Discussion

In the present study, bortezomib was generally well tolerated in the 25 Japanese patients whose treatments were started at the RD of 1.3 mg/m<sup>2</sup>. Hematological toxicities, gastrointestinal toxicities, and peripheral neuropathies observed in our patients were similar to those reported for patients in clinical studies from the USA and Europe. (15,16) Most could be managed without interventions or with the usual symptomatic therapy. Grade 4 neutropenia was observed in 18% of patients, but treatment could be continued with dose reduction. The response rate obtained in the present study was comparable to that reported by Richardson et al. in a pivotal phase III study. (16) In addition, patients who had received heavy prior therapy also showed a consistent response. Therefore, 1.3 mg/m<sup>2</sup> is considered appropriate as an initial dose of bortezomib in Japanese patients. There was a fatal pulmonary disorder event (ILD) in one patient treated with the 1.3 mg/m<sup>2</sup> dose in which a causal relationship with bortezomib could not be ruled out. Hence, special care should be taken prior to initiating treatment with bortezomib to evaluate patients (e.g. chest X-ray or chest CT scan) and during and after bortezomib treatment if they develop subjective symptoms such as dyspnea, cough, and fever.

The assessment of PK and PD in multiple myeloma patients treated with bortezomib twice weekly for 2 weeks was conducted for the first time in Japanese patients. A decrease in CL associated with increased exposures and subsequently longer t<sub>1/2</sub> values were observed after repeated administration and dose escalation. The relatively large volume of distribution suggests that bortezomib may be distributed extensively into the extravascular tissues. It can be postulated that CL values on day 1 are apparent values observed due to rapid tissue distribution, whereas

saturation of proteasome binding sites and tissue distribution occur after multiple dosing, and the CL value on day 11 may be a better representation of the true value.

It was also found that the blood 20S proteasome inhibition at each dose level recovered over time, but was prolonged compared with the temporal decrease in plasma bortezomib concentration. Similarly to CL, this could be due to the large distribution volume of bortezomib and its slow return from tissues to plasma.

Delayed elimination and enhanced proteasome inhibition were observed with repeated administration and dose increase, but no clear tendency in the incidence or degree of adverse reactions was observed. However, the PD results of the present study in Japanese patients demonstrate that the inhibition of 20S proteasome activity does not recover even after 72 h, which is specified as a minimum interval for bortezomib dosing.

#### References

- Oken MM. Standard treatment of multiple myeloma. Mayo Clin Proc 1994;
   69: 781-6.
- 2 Oken MM. Management of myeloma: current and future approaches. Cancer Control 1998; 5: 218-25.
- 3 Paccagnella A, Chiarion-Sileni V, Soesan M et al. Second and third responses to the same induction regimen in relapsing patients with multiple myeloma. Cancer 1991; 68: 975-80.
- 4 Attal M, Harousscan J, Stoppa J et al. A prospective, randomized trial of autologous bone marrow transplantation and chemotherapy in multiple myeloma. N Eng J Med 1996; 335: 91-7.
- 5 Child JA, Morgan GJ, Davies FE et al. High-dose chemotherapy with hematopoietic stem-cell rescue for multiple myeloma. N Engl J Med 2003; 348: 1875-83.
- 6 Barlogie B, Smith L, Alexanian R. Effective treatment of advanced multiple myeloma refractory to alkylating agents. N Engl J Med 1984; 310: 1353-6.
- 7 Alexanian R, Barlogie B, Dixon D. High-dose glucocorticoid treatment of resistant myeloma. Ann Intern Med 1986; 105: 8-11.
- 8 Buzaid AC, Durie BG. Management of refractory myeloma: a review. J Clin Oncol 1988; 6: 889-905.
- 9 Appleman LJ, Ryan DP, Clark JW et al. Phase 1 dose escalation study of bortezomib and gemeitabine safety and tolerability in patients with advanced

Accordingly, when bortezomib is used in clinical practice, it is important to determine the optimal dosage and determine whether it is appropriate to administer bortezomib while considering the balance between safety and efficacy.

### **Acknowledgments**

We thank all of the investigators, physicians, nurses, and clinical research coordinators at Tokai University School of Medicine, National Cancer Center Hospital, Aichi Cancer Center, and National Cancer Center Hospital East. We give recognition to Dr A. Togawa (Kofu National Hospital, Kofu, Japan), Dr Y. Sasaki (Saitama Medical University, Saitama, Japan), and Dr K. Hatake (Cancer Institute Hospital, Japanese Foundation for Cancer Research, Tokyo, Japan) for their strict review of the clinical data as members of the Independent Data Monitoring Committee.

- solid tumors. 39th Annual Meeting of the American Society of Clinical Oncology 2003 (abstract 839).
- 10 Papandreou CN, Daliani DD, Nix D et al. Phase I trial of the proteasome inhibitor bortezoinib in patients with advanced solid tumors with observations in androgen-independent prostate cancer. J Clin Oncol 2004; 22: 2108-21.
- 11 Huang Y, Hamilton A, Arnuk OJ, Chaftari P, Chemaly R. Current drug therapy for multiple myeloma. Drugs 1999; 57: 485-506.
- 12 Blade J, Samson D, Reece D et al. Criteria for evaluating disease response and progression in patients with multiple myeloma treated by high-dose therapy and haemopoietic stem cell transplantation. Myeloma Subcommittee of the EBMT. European Group for Blood Marrow Transplant Br J Haematol 1998; 102: 1115-23.
- 13 Nix DJ, Pien C, LaButti J et al. Clinical pharmacology of the proteasome inhibitor PS-341. AACR-NCI-EORTC International Conference on Molecular Targets and Cancer Therapeutics 2001 (abstract 389).
- 14 Lightcap ES, McCormack TA, Pien CS et al. Proteasome inhibition measurements: clinical application. Clin Chem 2000; 46: 673-83.
- 15 Richardson PG, Barlogie B, Berenson J et al. A phase 2 study of bortezomib in relapsed, refractory myeloma. N Engl J Med 2003; 348: 2609-17.
- 16 Richardson PG, Sonneveld P, Schuster MW et al. Bortezonnib or high-dose dexamethasone for relapsed multiple mycloma. N Engl J Med 2005; 352: 2487-98.

# Acquired C1-esterase inhibitor deficiency and positive lupus anticoagulant accompanied by splenic marginal zone B-cell lymphoma

### K. Sugisaki, K. Itoh<sup>1</sup>, J. Tamaru<sup>2</sup>

Division of Internal Medicine, Kasukabe Central General Hospital, Saitama; 
<sup>1</sup>Division of Hematology/Oncology, National Cancer Center Hospital East, Chiba; 
<sup>2</sup>Department of Pathology, Saitama Medical Center, Saitama Medical University, Saitama, Japan.

Kota Sugisaki, MD; Kuniaki Itoh, MD; Jun-ichi Tamaru, MD, PhD.

Please address correspondence to: Kota Sugisaki, Division of Internal Medicine, Kasukabe Central General Hospital, 5-9-4 Midori-cho, Kasukabe, Saitama 344-0063, Japan.

E-mail: kota\_sugisaki@mbc.nifty.com Received on August 3, 2006; accepted in revised form on January 18, 2007. © Copyright CLINICAL AND

EXPERIMENTAL RHEUMATOLOGY 2007.

**Key words:** Systemic lupus erythematosus, hypocomplementemia, <sup>18</sup>F-fluorodeoxyglucose positron emission tomography.

Competing interests: none declared.

### **ABSTRACT**

A 70-year-old woman complained of mild shortness of breath. Laboratory findings revealed pancytopenia, positive lupus anticoagulant and severe hypocomplementemia without antinuclear or anti-DNA antibodies. After the failure of prednisolone treatment, an acquired C1-esterase inhibitor (C1-INH) deficiency was diagnosed. There were no episodes of angioedema or deep vein thrombosis. Three months later, extreme splenomegaly was detected. Lymph node biopsy suggested splenic marginal zone B-cell lymphoma. Acquired C1-INH deficiency due to a lymphoproliferative disorder should be considered as a possible diagnosis for patients with severe hypocomplementemia.

### Introduction

C1-esterase inhibitor (C1-INH) deficiency is a rare disorder, which usually causes episodes of angioedema, and is classified as either hereditary or acquired (1). The acquired form is thought to be associated with lymphoproliferative disorders (1) or autoimmune disorders such as systemic lupus erythematosus (SLE) (2).

Here, we report the first known case of acquired C1-INH deficiency and positive lupus anticoagulant (LAC) with splenic marginal zone B-cell lymphoma (SMZL).

### Case report

A 70-year-old previously healthy woman was referred to our hospital in August 2005 with mild shortness of breath. The patient had never complained of Raynaud's phenomenon, xerostomia, xerophthalmia or arthralgia. Skin rash was not observed. There was no palpable lymphadenopathy. Laboratory data were as follows: hemoglobin, 7.3 g/dL; white blood cell count, 1800 /µL without atypical cells; platelet count, 11.8×10<sup>4</sup>/μL; reticulocytes, 2.7%; lactate dehydrogenase (LDH), 224 IU/L. Hepatitis C virus antibody was negative. Bone marrow aspirate was normocellular without atypical cells. International normalized ratio (derived from the prothrombin time) and activated partial thromboplastin time were increased at 1.49 and 85.8 sec, respectively. C4 and CH<sub>50</sub> levels were below detectable limits. C3, haptoglobin, immunoglobulin (Ig) G, IgA and IgM were within normal limits. Direct and indirect Coombs tests were negative. Assays did not detect rheumatoid factor, anti-nuclear antibody, anti-DNA. anti-RNP, anti-Sm, anti-Ro, anti-La or anti-cardiolipin/beta-2-glycoprotein-1 complex antibody. Although the patient did not suffer from deep vein thrombosis, LAC (based on diluted Russell's viper venom time) was positive at 1.61. These findings suggested a diagnosis of elderly-onset SLE with anti-phospholipid antibody syndrome. Treatment with oral prednisolone (PSL) 40 mg/day and aspirin 100 mg/day was started in mid-October 2005. The symptom was ameliorated at the beginning of November, but treatment was considered ineffective because of persistent pancytopenia and hypocomplementemia. The patient was found to have a low C1q level (2.7 mg/dL) and low C1-INH activity (47%). Consequently, her diagnosis was changed to acquired C1-INH deficiency. Although the cause of the C1-INH deficiency was unclear, PSL administration was terminated in late November 2005. No episodes of angioedema occurred. After termination of PSL administration, LAC was positive with a titer similar to that of the earlier test.

In December 2005, examination revealed enlargement of the spleen to 10 fingers below the left subcostal margin. Bone marrow contained aggregation nests consisting of atypical lymphocytes. In early February 2006, a biopsy specimen was obtained from a pretracheal lymph node, which was found to be positive for <sup>18</sup>F-fluorodeoxyglucose (18F-FDG) accumulation on <sup>18</sup>F-FDG positron emission tomography (PET)/computed tomography (CT) (Fig. 1). 18F-FDG-PET/CT also revealed strong <sup>18</sup>F-FDG accumulation in the spleen (Fig. 2). Lymph node histopathology showed vaguely nodular lesions comprising marginal zone proliferation consisting of neoplastic cells surrounding a germinal center. These cells were strongly positive for CD20 (Fig. 3), but negative for CD5, CD10, CD23, CD43 and cyclin D1, which is consistent with SMZL. Serum LDH and beta-2-microglobulin levels were 256 IU/L and 6.7 mg/L, respectively. Serum immunoelectrophoresis using the Onchterlony method showed no monoclonal component. The international prognostic index score of lymphoma was 3, indicating intermediate-high risk. Because of the advanced clinical stage (IVA) and the mechanical discomfort caused by splenomegaly, the patient received 6 cycles of R-CHOP therapy consisting of rituximab, cyclophosphamide, doxorubicin, vincristine and prednisolone, from March to July 2006. The splenomegaly was markedly regressed following chemotherapy, and the levels of complement components and LAC recovered to their normal ranges.

### Discussion

Acquired C1-INH deficiency, also known as acquired angioedema (AAE), is a rare syndrome with only about 150 reported cases (1). Although clinical manifestations of AAE are similar to those of hereditary C1-INH deficiency, also known as hereditary angioedema (HAE), AAE is characterized by elderly onset and a lack of family history. The serum C1q level is considered to be useful for distinguishing AAE from HAE (3). In the present case, the serum Clq level was significantly decreased, indicating AAE. Sub-classification of AAE is controversial; generally, AAE is divided into 2 pathogenic types (1, 3). In type-I AAE, an increase in consumption of available C1q molecules is induced by high levels of idiotype-antiidiotype immune complexes produced by proliferating lymphocytes in underlying lymphoproliferative disorders (3-5). In type-II AAE, autoantibodies appear to inactivate C1-INH function (1), suggesting that immunosuppressive therapy such as PSL, which reduces autoantibody levels, would be effective against type-II AAE. In the present case, although assays for autoantibodies against C1q could not be performed, the patient was classified as type-I AAE because SMZL was present and PSL treatment was ineffective.

SMZL comprises less than 1% of non-

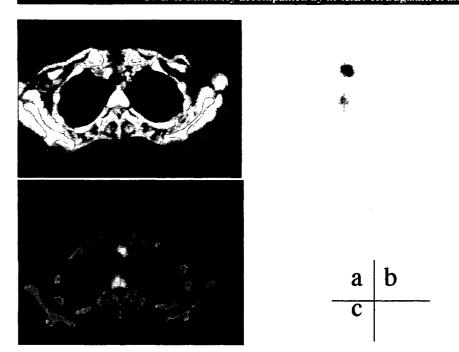


Fig. 1. CT (a), PET (b) and PET/CT (c) images of the chest. Although CT did not show a distinct enlarged lymph node, PET/CT revealed significant FDG accumulations in pretracheal lymphnode.

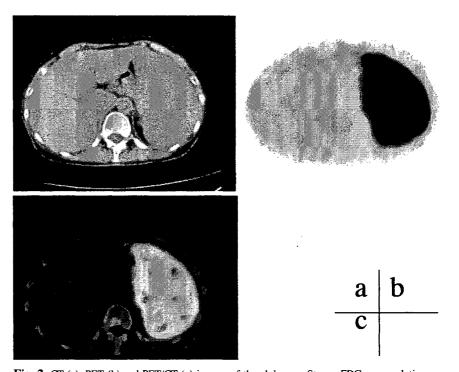


Fig. 2. CT (a), PET (b) and PET/CT (c) images of the abdomen. Strong FDG accumulations were detected in the greatly enlarged spleen. No accumulations in lymph nodes were observed.

Hodgkin's lymphoma, and its most frequent and characteristic manifestations are extreme splenomegaly. and lymphocytosis (6). SMZL is also often accompanied by autoimmune disorders, such as autoimmune hemolytic anemia (AIHA) and Sjögren's syndrome (7, 8). Anti-phospholipid antibodies,

such as anti-cardiolipin antibody and LAC, have only rarely been detected in SMZL patients (9, 10). However, antiphospholipid antibodies appear to be reliable markers of SMZL activity (11). In the present case, AIHA and Sjögren's syndrome were not observed, but LAC was initially positive in repeated exam-

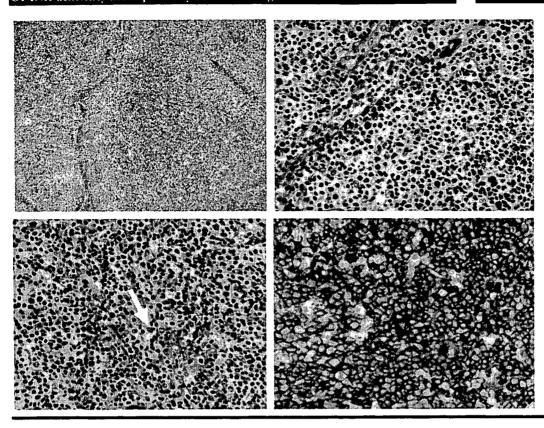


Fig. 3. Photomicrograph of the lymph node. Low- (a) and high-power (b, c) images and immunostaining for CD20 (d) suggested marginal zone B-cell lymphoma. Dutcher's body was occasionally observed (c, arrow).

a b c d

inations and became negative after chemotherapy. Although it is unclear why the C1-INH deficiency coincided with positive LAC, both C1-INH deficiency and positive LAC may occur independently as a result of SMZL, as previously suggested (11). Moreover, it is unclear why angioedema symptoms did not occur in the present patient. Only 1 case of acquired C1-INH deficiency and positive LAC accompanied by germinal center lymphoma has been reported (12). To our knowledge, the present patient is the first reported case of SMZL coexisting with acquired C1-INH deficiency and positive LAC.

Similar to reports indicating that levels of complement components gradually recover following spontaneous remission of SMZL (4) and that C1-INH activity recovers after treatment of lymphoproliferative disorders in AAE cases (1), the levels of complement components in the present patient recovered after chemotherapy.

Although no episodes of angioedema were observed in the present case, the present findings suggest that acquired C1-INH deficiency based on a lymphoproliferative disorder should be considered as a possible diagnosis for patients with severe hypocomplementemia.

### Acknowledgements

The authors thank Dr. Isao Takeda of Iwase General Hospital, and Dr. Kensei Tsuzaka of Saitama Medical Center, Saitama Medical University.

### References

- CICARDI M, ZINGALE LC, PAPPALARDO E, FOLCIONI A, AGOSTONI A: Autoantibodies and lymphoproliferative diseases in acquired C1-inhibitor deficiencies. *Medicine (Balti-more)* 2003; 82: 274-81.
- JAZWINSKA EC, GATENBY PA, DUNCKLEY H, SERJEANTSON SW: C1 inhibitor functional deficiency in systemic lupus erythematosus (SLE). Clin Exp Immunol 1993; 92: 268-73
- MARKOVIC SN, INWARDS DJ, FRIGAS EA, PHYLIKY RP: Acquired C1 esterase inhibitor deficiency. Ann Intern Med 2000; 18: 144-50
- PHANISH MK, OWEN A, PARRY DH: Spontaneous regression of acquired C1 esterase inhibitor deficiency associated with splenic marginal zone lymphoma presenting with recurrent angio-oedema. J Clin Pathol 2002; 55: 789-90.

- WELLWOOD J, TAYLOR K, WRIGHT S, BENT-LEY M, ELIADIS P: Angioedema in the emergency department: a presentation of lymphoma. Emerg Med (Fremantle) 2001; 13: 465-8.
- FRANCO V, FLORENA AM, IANNITTO E: Splenic marginal zone lymphoma. Blood 2003; 101: 2464-72.
- MANGANELLI P, FIETTA P, QUAINI F: Hematologic manifestations of primary Sjögren's syndrome. Clin Exp Rheumatol 2006; 24: 438-48.
- NISHIYAMA S, MIYAWAKI S: Splenic marginal zone B-cell lymphoma associated with primary Sjögren's syndrome. Clin Rheumatol 2004; 23: 242-5.
- CIAUDO M, HORELLOU MH, AUDOUIN J, DE CARBONNIERES C, CONARD J, SAMAMA M: Lupus anticoagulant associated with primary malignant lymphoplasmacytic lymphoma of the spleen: a report of four patients. Am J Hematol 1991; 38: 271-6.
- SAWAMURA M, YAMAGUCHI S, MURAKAMI H et al.: Multiple autoantibody production in a patient with splenic lymphoma. Ann Hematol 1994; 68: 251-4.
- MURAKAMI H, IRISAWA H, SAITOH T et al.: Immunological abnormalities in splenic marginal zone cell lymphoma. Am J Hematol 1997; 56: 173-8.
- RODRIGUEZ M, ANCOCHEA J, DE BUEN C, MERINO JL, MARQUES G, VIVANCO F: Acquired C1-inhibitor deficiency associated with a lupus-like anticoagulant activity. *Ann Allergy* 1988; 61: 348-50.

### JOHNS 特集■ここが知りたい他科知識

## 悪性腫瘍について知っておきたいこと悪性リンパ腫の最新の治療法は?

伊藤国明\*

Kuniaki ITOH

● Key Words ●悪性リンパ腫, ABVD 療法, R-CHOP 療法●

### I. 治療の前に

悪性リンパ腫は、ホジキンリンパ腫とB細胞性・T細胞性などの非ホジキンリンパ腫(WHO分類) に分類されている。悪性リンパ腫は化学療法感受性が高く、抗癌剤治療によって治癒も可能であるが、病理組織診断に基づいて治療方針が決定されるので、リンパ節あるいは腫瘍部位などの病変組織の生検が必須である。細胞診も実施されているが診断に至らないことも多く、リンパ腫を疑う場合は生検を行うべきである。また、外科的処置は診断のための生検にとどめるべきであるが、診断に迷う場合や診断が確定できない場合には再生検をためらうべきではない。

悪性リンパ腫は診断時に病変が多発していることも多く、全身症状(B症状)、bulky disease の有無とともに、頸部・胸部・腹部 CT、PET、骨髄生検などの全身的検索を行い、臨床病期を確認することが極めて重要である。病期分類は、Ann-Arbor 分類あるいは Ann-Arbor 分類を改訂した Cotswolds meeting<sup>1)</sup>に基づいて行う(表 1)。

### Ⅱ. ホジキンリンパ腫¹)

ホジキンリンパ腫はほとんどの場合リンパ節原発で、7~8割は治癒可能であり、現時点では病理組織の亜型によって治療方針が変更されることはない。 I・II期ホジキンリンパ腫の予後因子としては、50歳未満、リンパ節病変数が3個以下、bulky disease がない、B 症状がなく赤沈(1時間値)が50 mm 未満または B 症状はあるが赤沈(1

### 表 1 悪性リンパ腫の臨床病期分類

- 1期 病変が1つのリンパ節領域、または1つのリンパ 節外臓器の限局性病変の場合
- II 期 病変が横隔膜の一方に限局し、2個以上のリンパ節領域に存在する場合、または病変リンパ節と関連した1つのリンパ節外臓器(または部位)への限局性の浸潤がある場合
- 期 病変が横隔膜の両側のリンパ節領域に進展している場合、または病変リンパ節領域に関連するリンパ節外臓器(または部位)への限局性浸潤を伴っている場合。脾臓浸潤も含む
- Ⅳ期 リンパ節病変と、それに関連しない遠隔のリンパ 節外臓器へびまん性に浸潤している場合

下記の全身症状の有無によって A (症状なし) と B (症状あり) に分ける。

- ・6 カ月以内に原因不明の 10%以上の体重減少が認め られる場合
- ・原因不明の38℃以上の発熱
- ・多量の盗汗(下着を着替えるような発汗)

時間値)が 30 mm 未満, の 4 つの項目を満たすものが予後良好グループであり, 進行期では, 国際予後予測スコア (international prognostic score: IPS) (表 2) <sup>11</sup>が予後因子として使われている。

治療は、放射線療法・抗癌剤による化学療法・放射線と抗癌剤の併用療法(combined modality therapy)があり、化学療法としては ABVD 療法(doxorubicin-アドリアシン® ,bleomycin-ブレオ® ,vinblastine-エクザール® ,dacarbazine-ダカルバジン® )が標準的治療である。一般的には, I・II期でも試験開腹の必要性や広範囲照射による毒性を考慮して ABVD 療法 4 コースを施行し,その後病変領域照射(involved-field irradiation)を追加する。III・IV期では,4 コースまで

<sup>\*</sup> 国立がんセンター東病院化学療法科 (〒277-8577 千葉県柏市柏の葉6-5-1)

表 2 進行期ホジキンリンパ腫の予後不良因子数 による生存率(Hasenclever index)

予後不良因子数*	5 年無増悪生存率 (%)	5 年生存率 (%)
0	84	89
1	77	90
2	67	81
3	60	78
4	51	61
5 以上	42	56

### \*各予後不良因子

・血清アルブミン値:4.0 g/dl 未満・ヘモグロビン:10.5 g/dl 未満

・男性

・年齢:45 歳以上 ・病期:Ⅳ期

·白血球数:15,000/µL以上

・リンパ球数:600/μL未満, または8%未満

に完全寛解になった早期奏効例では6コースを,他の場合はABVD療法8コースを施行し,bulky diseaseや残存病変があれば病変領域照射を追加する。交叉耐性のない薬剤の追加と治療強度を高めた治療法(increased dose BEACOPP)の優位性も報告されているが、まだ広く行われているわけではない。

化学療法, または combined modality therapy 後の再発では, 救援化学療法後に自家造血幹細胞 移植併用大量化学療法を施行することが無増悪生 存率で有意に優れている。

### Ⅲ.非ホジキンリンパ腫

本邦で多くを占めるのは非ホジキンリンパ腫であるが、病理組織型によって初期の治療目標がまったく異なっていることに注意しなければならない。濾胞性リンパ腫では、濾胞性リンパ腫国際予後予測因子(follicular lymphoma international prognostic index: FLIPI)<sup>2)</sup>が、aggressive lymphoma では国際予後予測因子(international prognostic index: IPI)<sup>3)</sup>が予後予測に使用されている(表 3)。

濾胞性リンパ腫のような低悪性度リンパ腫 (indolent lymphoma) は、限局期(I/II期)であれば放射線療法により治癒を目指すが、進行期

表 3 非ホジキンリンパ腫の予後因子分類

濾胞性リンパ腫国際予後予測因子(FLIPI)						
予後不良 5 年生存率 10 年生存 <sup>3</sup> 因子数* (%) (%)						
Low	0~1	90.6	70.7			
intermediate	2	77.6	50.9			
High	3~5	52.5	35.5			

\*各予後不良因子

・年齢:61歳以上

- ・臨床病期(Ⅲ・Ⅳ期)
- hemoglobin (<12 g/dl)
- · nodal sites (>4)
- ・LDH (>正常範囲)

### 国際予後予測因子(IPI)

	予後不良 因子数*	完全寛 解率 (%)	5 年無再 発生存率 (%)	5 年生 存率 (%)
Low	0~1	87	70	73
Low-intermediate	2	67	50	51
High-intermediate	3	55	49	43
High	4~5	44	40	26

- \*各予後不良因子
- ・年齢 (>60歳)
- ・全身状態 (Performance Status 2~4)
- ・臨床病期 (Ⅲ・Ⅳ期)
- ·LDH (>正常範囲)
- ・節外病変数(>1)

(III/IV期)では、年単位の経過であっても現状では薬物療法による治癒は証明されていない。したがって、無治療で注意深く経過をみることもひとつの選択肢であり、rituximab(リツキサン®)による抗体単独療法・抗体+抗癌剤併用療法などを選択する場合もある。近日中には、経口 fludarabin (フルダラ®)や免疫放射線療法(zevalin)も保険診療で使用が可能になる予定であり、さらに選択肢は広がると考えられる。

びまん性リンパ腫のような中悪性度リンパ腫 (aggressive lymphoma) は、現在でも CHOP 療法 (cyclophosphamide-エンドキサン® , doxorubicin-アドリアシン® , vincristine-オンコビン® , prednisolone-プレドニン® )が初回治療の標準的治療である。限局期では、CHOP 療法 3 コースに引き続き放射線療法を行う。ただし、長期生存については CHOP 療法 8 コースと差がな

JOHNS Vol. 23 No. 3 2007

いと報告されており、予後不良因子を持つ場合や放射線治療後毒性を考慮し、頭頸部領域ではCHOP療法6~8回を選択する場合も多い。進行期では、CHOP療法6~8回が標準的治療法であり、多くを占めるCD20抗原陽性のB細胞性リンパ腫(diffuse large B-cell lymphoma)ではrituximabを併用したR-CHOP療法の優位性が証明されている4~6。

初回寛解後に再発した場合は、65 歳未満で救援化学療法が有効であれば、自家造血幹細胞移植併用大量化学療法が標準的治療である。悪性リンパ腫に対する同種移植は長期生存が得られる可能性もあるが合併症などのリスクも高く、初回再発では対象にならない<sup>7</sup>。

リンパ芽球性リンパ腫などの高悪性度リンパ腫 は急性リンパ性白血病などと同様に強力な寛解導 入療法を行う。

### まとめ

悪性リンパ腫は化学療法で治癒しうる疾患であることを認識し、早期に血液・腫瘍内科医に相談することが重要である。

### 文 献

- 1) Yung L, Linch D: Hodgkin's lymphoma. Lancet **361**: 943-951, 2003.
- 2) Solal-Céligny P, Roy P, Colombat P, et al: Follicular lymphoma international prognostic index. Blood **104**: 1258-1265, 2004.
- 3) Armitage JO: Staging non-Hodgkin lymphoma. CA Cancer J Clin 55: 368-376, 2005.
- 4) Feugier P, Van Hoof A, Sebban C, et al: Long-term results of the R-CHOP study in the treatment of elderly patients with diffuse large B-cell lymphoma; A study by the Groupe d'Etude des Lymphomes de l'Adulte. J Clin Oncol 23: 4117-4126, 2005.
- 5) Pfreundschuh M, Trümper L, Österborg A, et al: CHOP-like chemotherapy plus rituximab versus CHOP-like chemotherapy alone in young patients with good-prognosis diffuse large-B-cell lymphoma; A randomised controlled trial by the MabThera International Trial (MInT) Group. Lancet Oncol 7: 379-391, 2006.
- 6) Fisher RI, Miller TP, O'Connor OA: Diffuse aggressive lymphoma. Hematology Am Soc Hematol Educ Program: 221-236, 2004.
- 7) Kim SW, Tanimoto TE, Hirabayashi N, et al: Myeloablative allogeneic hematopoietic stem cell transplantation for non-Hodgkin lymphoma; A nationwide survey in Japan. Blood 108: 382-389, 2006.

www.nature.com/onc



## Identification of the novel AML1 fusion partner gene, LAF4, a fusion partner of MLL, in childhood T-cell acute lymphoblastic leukemia with t(2;21)(q11;q22) by bubble PCR method for cDNA

Y Chinen<sup>1,2</sup>, T Taki<sup>1</sup>, K Nishida<sup>2</sup>, D Shimizu<sup>2</sup>, T Okuda<sup>2</sup>, N Yoshida<sup>2</sup>, C Kobayashi<sup>3</sup>, K Koike<sup>3</sup>, M Tsuchida<sup>3</sup>, Y Hayashi<sup>4</sup> and M Taniwaki<sup>1,2</sup>

<sup>1</sup>Department of Molecular Laboratory Medicine, Kyoto Prefectural University of Medicine Graduate School of Medical Science, Kamigyo-ku, Kyoto, Japan; <sup>2</sup>Department of Molecular Hematology and Oncology, Kyoto Prefectural University of Medicine Graduate School of Medical Science, Kamigyo-ku, Kyoto, Japan; <sup>3</sup>Department of Pediatrics, Ibaraki Children's Hospital, Futabadai, Mito, Japan and <sup>4</sup>Gunma Children's Medical Center, Shimohakoda, Hokkitsu, Shibukawa, Gunma, Japan

The AML1 gene is frequently rearranged by chromosomal translocations in acute leukemia. We identified that the LAF4 gene on 2q11.2-12 was fused to the AML1 gene on 21q22 in a pediatric patient having T-cell acute lymphoblastic leukemia (T-ALL) with t(2;21)(q11;q22) using the bubble PCR method for cDNA. The genomic break points were within intron 7 of AML1 and of LAF4, resulting in the in-frame fusion of exon 7 of AML1 and exon 8 of LAF4. The LAF4 gene is a member of the AF4/FMR2 family and was previously identified as a fusion partner of MLL in B-precursor ALL with t(2;11)(q11;q23), although AML1-LAF4 was in T-ALL. LAF4 is the first gene fused with both AML1 and MLL in acute leukemia. Almost all AML1 translocations except for TEL-AML1 are associated with myeloid leukemia; however, AML1-LAF4 was associated with T-ALL as well as AML1-FGA7 in t(4;21)(q28;q22). These findings provide new insight into the common mechanism of AML1 and MLL fusion proteins in the pathogenesis of ALL. Furthermore, we successfully applied bubble PCR to clone the novel AML1-LAF4 fusion transcript. Bubble PCR is a powerful tool for detecting unknown fusion transcripts as well as genomic fusion points.

Oncogene advance online publication, 29 October 2007; doi:10.1038/sj.onc.1210857

**Keywords:** AML1/RUNX1; LAF4; T-cell acute lymphoblastic leukemia; MLL

Correspondence: Dr T Taki, Department of Molecular Laboratory Medicine, Kyoto Prefectural University of Medicine Graduate School of Medical Science, 465 Kajii-cho Kawaramachi-Hirokoji, Kamigyo-ku, Kyoto 602-8566, Japan. E-mail: taki-t@umin.net

Received 4 May 2007; revised 13 September 2007; accepted 17 September 2007

### Introduction

A large number of leukemias have been found to be associated with specific chromosomal aberrations. Recent studies have demonstrated that several chromosomal rearrangements and molecular abnormalities are strongly associated with distinct clinical subgroups and can predict clinical features and therapeutic responses (Rowley, 1999; Taki and Taniwaki, 2006). Some genes have been associated with recurrent rearrangements and have many fusion partner genes, such as MLL at 11q23, TEL (ETV6) at 12p13 and NUP98 at 11p15; AML1 (RUNX1, CBFA2) at 21q22 is one of the most frequent targets of these chromosomal rearrangements in both acute lymphoblastic leukemia (ALL) and acute myeloid leukemia (AML) (Miyoshi et al., 1991; Hayashi, 2000; Kurokawa and Hirai, 2003). To date, a number of inframe fusion partners of AMLI have been cloned: YTHDF2 at 1p35 (Nguyen et al., 2006), ZNF687 at 1q21.2 (Nguyen et al., 2006), MDS1/EVII at 3q26 (Mitani et al., 1994), FGA7 at 4q28 (Mikhail et al., 2004), SH3D19 at 4q31.3 (Nguyen et al., 2006), USP42 at 7p22 (Paulsson et al., 2006), MTG8 (ETO, CBFA2TI) at 8q22 (Erickson et al., 1992; Miyoshi et al., 1993), FOG2 at 8q23 (Chan et al., 2005), TRPS1 at 8q24 (Asou et al., 2007), TEL (ETV6) at 12p13 (Golub et al., 1995), MTG16 at 16q24 (Gamou et al., 1998) and PRDX4 at Xp22 (Zhang et al., 2004). Most AML1 translocations, except for TEL-AML1, are associated with AML, involving the N-terminus Runt domain and lacking the C-terminus transactivation domain (Kurokawa and Hirai, 2003). AML1 fusion proteins are associated with leukemogenesis by dominantly interfering with normal AML1-mediated transcription and acting as a transcriptional repressor (Okuda et al., 1998; Wang et al., 1998). Clinically, patients with AML harboring t(8;21) in both children and adults show a high rate of complete remission, and its prognosis is considered better than that of patients with a normal karyotype or other chromosomal aberrations (Grimwade et al., 1998).

In the present study, we analysed pediatric T-ALL with t(2;21)(q11;q22) and identified the LAF4 gene,

which is one of the fusion partners of *MLL*, as a novel fusion partner of the *AML1* gene.

#### Results

### Case report

A 6-year-old boy with a high leukocyte count (64 700 µl-1), containing 84% blasts in peripheral blood and with a mediastinal mass, was diagnosed as having T-ALL. A bone marrow smear was hypercellular with 69% blasts and negative for myeloperoxidase. The leukemic cells, after gating of CD45-positive cells, were positive for CD5 (90.7%), CD7 (90.7%), CD58 (69.9%) and cytoplasmic CD3 (92.8%), and negative for HLA-DR, IgG, IgM, Igk, IgA, CD8, CD13, CD14, CD19, CD20 and CD33. He was treated on the Tokyo Children's Cancer Study Group (TCCSG) L04-16 extremely high-risk (HEX) protocol, including stem cell transplantation, because the response to initial 7-day prednisolone (60 mg m<sup>-2</sup>) monotherapy was poor. He achieved complete remission after the induction phase. After the early consolidation phase and two courses of the consolidation phase, he received allogeneic bone marrow transplantation from an unrelated HLAmatched donor 4 months after diagnosis. He has been in complete remission for 17 months.

The patient's leukemic cells at diagnosis were analysed after written informed consent was obtained from his parents, and the ethics committee of Kyoto Prefectural University of Medicine approved this study.

Identification of the AML1-LAF4 fusion transcript Cytogenetic analysis of the leukemic cells of the patient using routine G-banding revealed 47, XY, add(1)(p36), + der(2)t(2;21)(q13;q22), t(2;21)(q13;q22), -9, -9, + mar1, + mar2, and spectral karyotyping (SKY) analysis revealed 47, XY, der(1)t(1;17)(p36.1;q23), der(2)t(2;21)(q11.2;q22),

+ der(2)t(2;21)(q11.2;q22), del(5)(p15.1), del(9)(q22), del(9) (p13), der(21)t(2;21)(q11.2;q22) (Supplementary Figure S1). Since AML1 is located at 21q22, we inferred that AML1 was rearranged in this case. Fluorescence in situ hybridization analysis using AML1-specific BAC (bacterial artificial chromosome) clones showed split signals of AML1 on two der(2)t(2;21)(q11.2;q22) and der(21)t(2;21) (q11.2;q22) chromosomes (Figure 1a).

To isolate fusion transcripts of AML1, we performed the bubble PCR method for cDNA (Figure 2) and obtained various-sized products (Figure 3a). Four different-sized products were sequenced and two products contained AML1 sequences fused to unknown sequences. Basic local alignment search tool (BLAST) search revealed that the unknown sequences were part of the LAF4 gene and both products had the same in-frame junctions (Figure 3b). LAF4 was located on chromosome 2q11.2-12, which was compatible with the result of spectral karyotyping analysis. We next performed reverse transcription-PCR to confirm AML1-LAF4 fusion transcripts, and obtained three different-sized AML1-LAF4 fusion products, including only one in-frame product (Figures 3c and d); however, reciprocal LAF4-AML1 fusion transcripts were not generated (Figure 3c). Type 2 transcript is an out-of-frame fusion and generated premature termination in exon 9 of LAF4 (Figure 3d). On the other hand, type 3 transcript is an in-frame fusion of exon 7 of AML1 and exon 8 of LAF4, the same as the type 1 transcript; however, the type 3 transcript contained an 85-bp intronic sequence between exons 9 and 10 of LAF4, which might be due to splicing error, and appeared as a premature termination codon within the intronic sequences (Figure 3d). AML1-LAF4 fusions were also confirmed by fluorescence in situ hybridization analysis (Figure 1b).

Detection of AML1-LAF4 genomic junctions
Southern blot analysis using a cDNA probe within exon
7 of AML1 detected a rearranged band derived from an

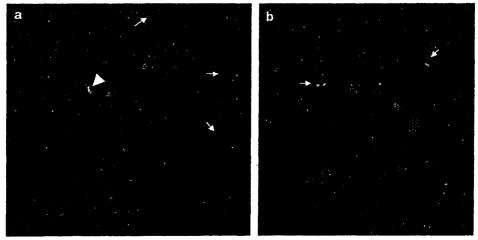


Figure 1 Fluorescence in situ hybridization analysis of the leukemic metaphase. (a) Both RP11-272A3 (green, 3' side of AMLI) and RP11-994N6 (red, 5' side of AMLI) were hybridized to normal chromosome 21 (arrowhead), RP11-272A3 to der(21)t(2;21)(q11.2;q22) (arrow, green signal) and RP11-994N6 to two der(2)t(2;21)(q11.2;q22) chromosomes (arrows, red signal). (b) Two fusion signals of RP11-994N6 (5' of AMLI, red signals) and RP11-527J8 (3' of LAF4, green signals) were detected on two der(2)t(2;21)(q11.2;q22) chromosomes (arrows).

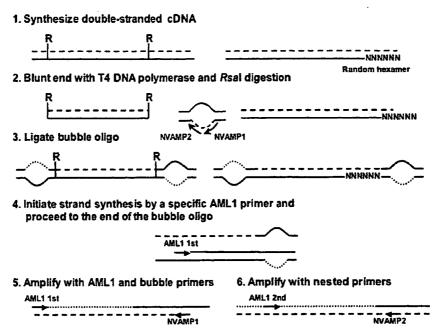


Figure 2 Outline of bubble PCR for cDNA. Bubble PCR primers (NVAMP-1 and NVAMP-2) can only anneal with one complementary sequence for bubble oligo synthesized with AMLI primer, but not bubble oligo itself; therefore, this single-stranded bubble provides the specificity of the reaction.

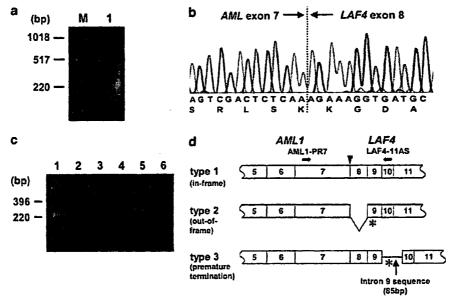


Figure 3 Identification of AML1-LAF4 fusion transcript. (a) Bubble PCR products by nested PCR using AML1-5S and NVAMP1 for first PCR, and AML1-E6S and NVAMP2 for second PCR (lane 1). M, size marker. (b) Sequence analysis of AML1-LAF4 fusion transcript. The single letter amino-acid sequences surrounding the fusion point are shown at the bottom of the figure. (c) Detection of AML1-LAF4 fusion transcripts by reverse transcription-PCR. Primers were AML1-PR7 and LAF4-11AS (lanes 1 and 3), AML1-PR8 and LAF4-PR5 (lanes 2 and 4), and \( \theta\)-actin, respectively. Lanes 1, 3 and 5, patient's leukemic cells; lanes 2, 4 and 6, normal peripheral lymphocytes. (d) Three fusion transcripts of AML1-LAF4 are schematically depicted. Gray/dotted boxes denote predicted AML1 exons and white boxes represent predicted LAF4 exons. Type 3 contains the LAF4 intron 9 splicing donor site. AML1-PR7 and LAF4 11AS indicate the primers used for reverse transcription-PCR. Asterisk shows the termination codon.

approximately 11 kb BgIII germline fragment on chromosome 21 (data not shown). To isolate the fusion point of chromosomes 2 and 21, we next performed bubble PCR on genomic DNA and detected nested PCR

products using primers AML1-GNM8-2S and NVAMP2 (Figure 4a). Sequence analysis of the subcloned PCR product revealed the genomic junction of 5'-AML1-LAF4-3' (Figures 4c and d), and the result

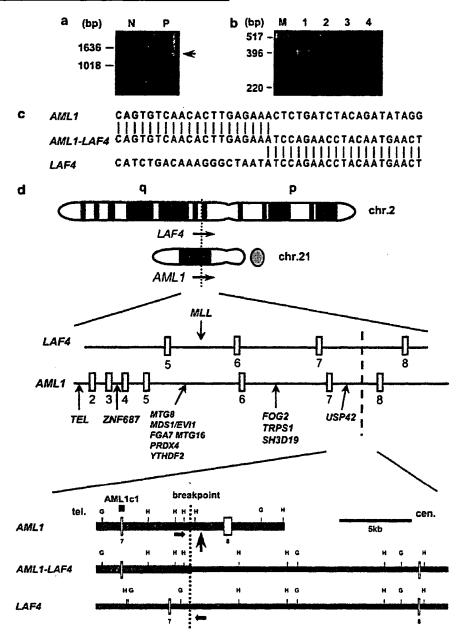


Figure 4 Cloning of the genomic junction of AMLI and LAF4. (a) Bubble PCR for genomic DNA. N, normal human lymphocytes; P, patient's leukemic cells. (b) Detection of the genomic fusion point of AMLI-LAF4 by PCR. Primers were AMLI-GNM8-4S and LAF4-GNM11-2AS (lanes 1 and 3), and LAF4-GNM11-2S and AMLI-GNM8-2AS (lanes 2 and 4). Lanes 1 and 2, patient's leukemic cells; lanes 3 and 4, normal peripheral lymphocytes. M, size marker. (c) Sequences of breakpoints in the patient's leukemic cells. (d) Physical map of the breakpoint regions. Open vertical boxes represent defined exons in each gene. Horizontal arrows show the primers used. Restriction sites are indicated by capital letters: G, Bg/II; H, HindIII. AML1c1 indicates the position of the cDNA probes for Southern blot analysis. A vertical arrow shows AML1-USP42 breakpoint.

was confirmed by PCR analysis using primers AML1-GNM8-4S and LAF4-GNM11-2AS (Figure 4b); however, no 5'-LAF4-AML1-3' product was generated, suggesting interstitial deletion near genomic break points (Figure 4b). These sequences near the break points did not contain any lymphoid heptamer/nonamer sequences, Alu sequences or consensus topoisomerase II cleavage sites.

### Discussion

In this study, we identified that *LAF4* was fused to *AMLI* in pediatric T-ALL with t(2;21)(q11;q22). Other regions with chromosomal aberrations in this patient were not considered to be associated with recurrent cytogenetic changes involving T-ALL, except for the deletion of the short arm of chromosome 9. Spectral



Table 1 Comparison between bubble PCR and panhandle PCR

Characteristics	Bubble PCR	Panhandle PCR	
Available orientation of fusion transcript	5'-3', 3'-5'	Only 5'-3'	
AMLI-specific random hexamer	Not necessary	Necessary	
Self-annealing	Not necessary	Necessary	
Number of required polymerase reaction	2	4	
Number of final products	Many (smear)	A few	
Nonspecific product	Few	Few	
Number of extra sequences other than targeted sequences in cloned product	5060 bp	> 100 bp	
Search for other targeted exons	Easy	Hard	

"30-mers AMLI-specific oligonucleotide with random hexamer (AML1-N). "Necessary to use another AMLI-specific random hexamer if the target exons are 5' region of the initial target.

handle any exons fused to unknown partners for amplification. Once-ligated cDNAs are also available for cloning any genes, other than *AML1*, as the target. We demonstrated the efficiency and specificity of bubble PCR for cDNA (Table 1 and Supplementary Figure S2).

To date, a great number of fusion genes associated with chromosomal translocations have been cloned, although these fusion genes are found as a minor part of various malignancies. Recently, high frequencies of mutations in NOTCH1 in T-ALL (James et al., 2005), NPM in AML with normal karyotype (Weng et al., 2004) and JAK2 in myeloproliferative disorders (polycythemia vera, essential thrombocythemia and idiopathic myelofibrosis) (James et al., 2005) have been reported, and these mutations are considered to be a good target for therapy. These genes were first identified as associated with chromosomal translocations in a small subset of specific phenotypes of hematologic malignancies (Ellisen et al., 1991; Morris et al., 1994; Lacronique et al., 1997). These findings suggest that continuing attempts to identify genes associated with chromosomal translocations can be expected to provide further insights into the significance of various gene alterations in cancer and the development of novel-targeted therapies (Taki and Taniwaki, 2006). The bubble PCR method for cDNA will contribute to identifying numerous novel translocation partners more easily and further functional analysis of chimeric transcripts.

### Materials and methods

Spectral karyotyping analysis

Spectral karyotyping analysis was performed with a Sky-Painting kit (Applied Spectral Imaging, Migdal Ha'Emek, Israel). Signal detection was performed according to the manufacturer's instructions.

Fluorescence in situ hybridization analysis

Fluorescence in situ hybridization analysis of the patient's leukemic cells using AMLI-specific BAC clones (RP11-272A3, 3' of AMLI and RP11-994N6, 5' of AMLI) was carried out as

described previously (Taniwaki et al., 1994). Fusion of AML1 and LAF4 was analysed with the patient's leukemic cells using RP11-994N6 (5' of AML1) and RP11-527J8 (3' of LAF4).

Bubble PCR for cDNA

We modified the original bubble PCR method to apply for cDNA cloning (Figure 2; Supplementary Figure S2) (Smith, 1992; Zhang et al., 1995).

Poly(A)<sup>+</sup> RNA was extracted from the patient's leukemic cells using a QuickPrep Micro mRNA Purification Kit (GE Healthcare, Buckinghamshire, UK). Two hundred nanograms of poly(A)<sup>+</sup> RNA was reverse transcribed to cDNA in a total volume of 33 µl with random hexanucleotide using the Ready-To-Go You-Prime First-Strand Beads (GE Healthcare). Double-stranded cDNAs were synthesized from 10 µl of single-stranded cDNA with a phosphorylated random hexanucleotide, blunt ended with T4 DNA polymerase, digested with Rsal endonuclease and ligated with bubble oligo. Rsal, a 4-bp blunt-ended cutter, was chosen to shorten the bubble oligo-ligated fragments, so that almost all bubble oligo-ligated fragments would be easy to clone by standard PCR reaction. This suggests that poor-quality samples are also suited to this method, although it is unsuitable for cloning long products.

The sequences of the primers used are listed in Supplementary Table S1 and their positions in the AML1 gene are shown in Supplementary Figure S2. Nested PCR was performed using primers NVAMP-1 (bubble oligo) and AML1-5S (exon 5) for first round PCR, and NVAMP-2 (bubble oligo) and AML1-E6S (exon 6) for nested PCR. NVAMP1 and NVAMP2 can only anneal to the newly synthesized unique sequence of the bubble oligo by AML1-5S.

We used poly(A)<sup>+</sup> RNA in bubble PCR for cDNA with the expectation that this approach could amplify fewer transcripts; however, total RNA is also suitable for this method.

Bubble PCR for genomic DNA

Bubble PCR for genomic DNA was performed as described previously (Smith, 1992; Zhang et al., 1995). Primers were as follows: NVAMP-1 and AML1-GNM8S for first round PCR, and NVAMP-2 and AML1-GNM8-2S for second round PCR (Supplementary Table S1).

Reverse transcription-PCR and genomic PCR analyses

Reverse transcription—PCR and genomic PCR analyses were performed as described previously. After 35 rounds of PCR (30s at 94°C, 30s at 55°C, 1 min at 72°C), 5µl of PCR product were electrophoresed in a 3% agarose gel. Primers were as follows: AML1-PR7 and LAF4-11AS, and AML1-PR8 and LAF4-PR5 for reverse transcription-PCR; and AML1-GNM8-4S and LAF4-GNM11-2AS, and LAF4-GNM11-2S and AML1-GNM8-2AS for genomic PCR (Supplementary Table S1).

Nucleotide sequencing

Nucleotide sequences of PCR products and, if necessary, subcloned PCR products were analysed as described previously (Hiwatari et al., 2003).

Southern blot analysis

High-molecular-weight DNA was extracted from the patient's leukemic cells by proteinase K digestion and phenol/chloroform extraction. DNA (10 µg) was digested with Bg/II, subjected to electrophoresis on 0.7% agarose gel and transferred to a nylon membrane. Blots were hybridized to probes that were labeled by the Dig-labeled PCR method according to the manufacturer's instructions (Roche Applied Science, Tokyo, Japan). Probes