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## **A Phase I/II Study Comparing Regimen Schedules of Gemcitabine and Docetaxel in Japanese Patients with Stage IIIB/IV Non-small Cell Lung Cancer**

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## A Phase I/II Study Comparing Regimen Schedules of Gemcitabine and Docetaxel in Japanese Patients with Stage IIIB/IV Non-small Cell Lung Cancer

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**Objective:** Gemcitabine and docetaxel are non-platinum agents with activity in non-small cell lung cancer (NSCLC). This study was conducted to determine and evaluate the recommended regimen of gemcitabine–docetaxel and evaluated its efficacy and safety in chemonaive Japanese NSCLC patients.

**Methods:** In phase I, patients with stage IIIB/IV NSCLC were randomized and received either gemcitabine on days 1 and 8 plus docetaxel on day 1 or gemcitabine on days 1 and 8 plus docetaxel on day 8. The recommended regimen was the dose level preceding the maximum tolerated dose; once determined, patients were enrolled in phase II. Efficacy and toxicity were evaluated in all patients.

**Results:** Twenty-five patients were enrolled in phase I and six patients were given the recommended regimen; gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8. An additional 34 patients were enrolled into phase II and administered with the recommended regimen. The response rate was 32.2% [95% confidence interval (CI) 20.6–45.6%] overall and 30.0% (95% CI 16.6–46.5%) in patients with the recommended regimen (40 patients). Although grade 3 interstitial pneumonia was observed in two patients (5.0%) who received the recommended regimen, both recovered shortly after steroid treatment. No unexpected events were observed throughout this study.

**Conclusions:** Gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8 has comparable efficacy and more tolerable toxicities than previously reported platinum-based regimens. These results should be verified by a phase III study.

*Key words:* docetaxel – gemcitabine – non-small cell lung cancer

### INTRODUCTION

Non-small cell lung cancer (NSCLC) is one of the most common malignant tumors, progresses in a short time period, has a bleak prognosis, and represents the leading cause of cancer death in the world. The number of patients with NSCLC is increasing, and most tumors are inoperable. Despite improvements in the detection and treatment of NSCLC, long-term

survival is rare. Therefore, the development of new chemotherapy treatments is essential.

The use of single-agent and combination chemotherapy against NSCLC has been studied. Platinum-based regimens have shown high efficacy but at the cost of severe toxicities (1,2). Therefore, non-platinum agents such as gemcitabine, docetaxel, paclitaxel, irinotecan and vinorelbine have been developed and have proven their efficacies. Among the new agents, the combination of gemcitabine and docetaxel has emerged as one of the most promising, showing equivalent efficacy with, and less toxicity than, cisplatin-based chemotherapies (3).

Gemcitabine (2'-deoxy-2',2'-difluorocytidine monohydrochloride) is a nucleoside antimetabolite against deoxycytidine. It is intracellularly metabolized to gemcitabine triphosphate,

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which inhibits DNA synthesis, and has shown potent cytotoxic activity against solid tumors (4–8).

Docetaxel, an antineoplastic agent that acts on microtubules to promote formation of abnormal microtubule bundles, has also shown cytotoxicity (9–11). Gemcitabine and docetaxel have different mechanisms of action, but by combining them, there is the potential of synergistic antitumor activity (12).

Several studies have been conducted to evaluate the therapeutic benefits of gemcitabine and docetaxel (13–15). The efficacy of gemcitabine–docetaxel is similar to platinum-based regimens, but due to each drug's non-overlapping toxicities, their combination produces toxicities more tolerable than platinum-based regimens. Georgoulis et al. (16) compared gemcitabine 1100 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 100 mg/m<sup>2</sup> on day 8 with cisplatin 80 mg/m<sup>2</sup> on day 2 plus docetaxel 100 mg/m<sup>2</sup> on day 1 in 441 patients with NSCLC. They reported that the two regimens were equivalent in efficacy, but toxicities were more severe for the combination of docetaxel and cisplatin.

There has been no published report considering both administering dose and schedule for the combination of gemcitabine and docetaxel. Therefore, we conducted a phase I/II study to compare two schedules of gemcitabine–docetaxel in patients with NSCLC and determine the recommended regimen in phase II. We assessed the efficacy and safety in all 59 patients; the efficacy and detailed safety profile were also evaluated in 40 patients who were given the recommended regimen.

## SUBJECTS AND METHODS

### ELIGIBILITY CRITERIA

Japanese patients with histologically or cytologically confirmed unresectable TNM stage IIIB or IV NSCLC who met the following criteria were eligible for the study: suitable for first-line chemotherapy with no prior chemotherapy; measurable lesions that can be accurately measured in at least one dimension; aged 20–74 years; Eastern Cooperative Oncology Group (ECOG) performance status of 0–1; a life expectancy of at least 3 months; and adequate organ functions as indicated by white blood cell count  $\geq 4.0 \times 10^9/l$ , absolute neutrophil count  $\geq 2.0 \times 10^9/l$ , platelets  $\geq 100 \times 10^9/l$ , hemoglobin  $\geq 9.5$  g/dl, aspartate aminotransferase/alanine aminotransferase  $\leq 2.5$  times the upper limit of normal, total bilirubin  $\leq 1.5$  times the upper limit of normal, serum creatinine  $\leq$  the upper limit of normal, PaO<sub>2</sub> in arterial blood  $\geq 60$  torr. If a patient had received radiotherapy during the 3 weeks before enrollment, the measurable disease had to be outside of the radiation port.

Patients were excluded from the study if they had radiologically and clinically apparent interstitial pneumonia or pulmonary fibrosis, intracavitary fluid retention requiring treatment, or grade 2–4 peripheral neuropathy or edema. Additional exclusion criteria included: superior vena cava syndrome; symptomatic brain metastasis; pregnancy or breastfeeding; active concurrent malignancy; any serious concurrent

illness (e.g. uncontrolled diabetes mellitus, hepatopathy, angina pectoris, myocardial infarction within 3 months after onset, severe infection, or fever suggestive of severe infection); history of serious drug allergy; or any condition that, in the opinion of the investigator, disqualified the patient based on safety.

This study was conducted in accordance with the Declaration of Helsinki, Japanese Guidelines for Clinical Evaluation of Antineoplastic Agents (promulgated in February 1991) and good clinical practice. All patients who entered into this study were required to give written informed consent.

### STUDY DESIGN AND TREATMENT

This was a multicenter, open-label, phase I/II study of gemcitabine and docetaxel in Japanese patients with advanced NSCLC.

In the phase I portion of this study, patients were randomized into two arms, each with a different treatment schedule. In both arms (Arm 1 and Arm 2), gemcitabine was administered in a 30-min infusion on days 1 and 8, every 21 days. In Arm 1, docetaxel was administered intravenously over at least 1 h on day 1; in Arm 2, docetaxel was given on day 8. The administration of docetaxel followed an intravenous infusion of dexamethasone 4 mg, and gemcitabine was given immediately after the docetaxel infusion.

Patients were discontinued from the study due to progressive disease; inability to initiate a treatment cycle even at 6 weeks after the start of the previous cycle; recurrence of a dose-limiting toxicity (DLT) after resumption of the study treatment at a reduced dose; occurrence of a serious adverse event or aggravation of a concomitant illness (e.g. interstitial pneumonia, pulmonary fibrosis, or severe infection) which caused rapid aggravation of disease and precluded continuation of the study treatment; patient's request to withdraw from the study; or any event that required discontinuation in the opinion of the investigator.

During study enrollment, the current approved maximum dosage of gemcitabine and docetaxel as single agents in Japan was 1000 mg/m<sup>2</sup> and 60 mg/m<sup>2</sup>, respectively. In phase I, the sample size was determined to be six per cohort based on the conventional design of phase I clinical studies of antineoplastic agents. In this study, both arms were randomized according to a predetermined schedule, enrolled patients in cohorts of six, and were initially treated at dose level 1 (gemcitabine 1000 mg/m<sup>2</sup> and docetaxel 50 mg/m<sup>2</sup>). For the first cycle of treatment, patients were treated on an inpatient basis; if their condition permitted, patients were treated on an outpatient basis thereafter. If fewer than 50% of the patients in dose level 1 experienced DLTs, patients were enrolled at dose level 2 (gemcitabine 1000 mg/m<sup>2</sup> and docetaxel 60 mg/m<sup>2</sup>). If 50% or more of the patients in dose level 1 experienced DLTs, patients were enrolled at dose level 0 (gemcitabine 800 mg/m<sup>2</sup> and docetaxel 50 mg/m<sup>2</sup>) (Fig. 1). The maximum tolerated dose (MTD) was defined as the dose level that produced any of the following DLTs (per the National Cancer Institute–Common

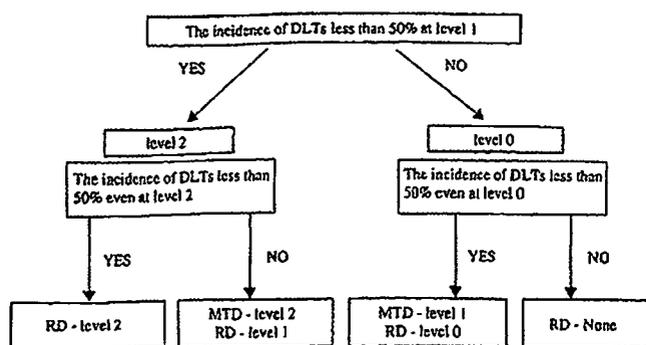


Figure 1. Recommended dosages in each arm. DLT, dose-limiting toxicity; RD, recommended dosage; MTD, maximum tolerated dose.

Toxicity Criteria scale) in 50% or more of patients during the first treatment cycle: grade 4 leukopenia or neutropenia persisting for at least 4 days; grade 3/4 neutropenia associated with a fever  $\geq 38.0^{\circ}\text{C}$  or infection; thrombocytopenia ( $<20 \times 10^9/\text{l}$ ) or need of a platelet transfusion; or grade 3/4 non-hematological toxicities (excluding nausea/vomiting, anorexia, fatigue and hypersensitivity). G-CSFs were administered for the treatment of grade 4 neutropenia or grade 3 neutropenic fever. A DLT was also reported if any day-8 doses were omitted and dosing requirements were not satisfied until after day 15, or if the second cycle was delayed until after day 29 because the dosing requirements were not satisfied.

The recommended dose for phase II had to be determined from the arm that reached the highest dose level. If at dose level 2 the incidence of DLTs was less than 50%, the recommended dose was defined as dose level 2. The arm that reached the higher dose level reflected the recommended regimen for phase II. If the recommended dose level for the two arms was identical, the recommended regimen would be decided according to the following steps: (i) if frequency of DLTs was 0% in one arm and 33.3% or more in the other arm, the former was selected. If this did not occur, then (ii) if the dose intensity for evaluable patients in one arm was higher by 10% or more than the other arm, the arm with the higher dose intensity was selected. If this did not occur, then (iii) the arm with the fewer day-8 dose omissions in first and second cycles was selected. If the recommended dosage regimen still could not be decided, the sponsor (Aventis Pharma Japan and Eli Lilly Japan K.K.) and the coordinating investigator determined the recommended phase II regimen. If the MTD was dose level 0 in both arms, the study was terminated (Fig. 1).

The sample size for the recommended regimen was determined as follows. The response rate of this regimen and gemcitabine single agent was assumed to be 35 and 20%, respectively, in view of the response rates previously achieved (9,10,17,18). If the sample size of the recommended regimen was set as 40 patients, the probability for the one-sided 90% lower limit of response rate to exceed 20% was 82%. Thus, the target sample size in the recommended regimen including six patients in phase I was set at 40 patients.

The phase II study was conducted with 34 patients. Forty patients who were given the recommended regimen were evaluated for the efficacy and detailed safety profile: these patients consisted of six and 34 patients who entered into the study at phase I and II, respectively.

In this phase I/II study, patients received a minimum of two cycles of gemcitabine-docetaxel and up to four additional cycles.

#### DOSE MODIFICATIONS

During a cycle, dose modifications were not allowed. If not all of the following requirements were satisfied on either the day of treatment or the previous day, administrations of gemcitabine and docetaxel were delayed until the patient completely recovered. For gemcitabine and docetaxel doses administered on day 1 of Arm 1 or gemcitabine on day 1 of Arm 2, delays occurred for patients with an absolute neutrophil count  $<1.5 \times 10^9/\text{l}$ , a platelet count  $<70 \times 10^9/\text{l}$ , any grade 3/4 non-hematologic toxicities (except  $\text{PaO}_2$ ), or  $\text{PaO}_2 <60$  torr. When gemcitabine was given on day 8 of Arm 1, exceptions included leukopenia  $<2.0 \times 10^9/\text{l}$  and an absolute neutrophil count  $<1.0 \times 10^9/\text{l}$ , a platelet count  $<70 \times 10^9/\text{l}$ , any grade 3/4 non-hematological toxicities. When gemcitabine was given on day 8 of Arm 2, exceptions included an absolute neutrophil count  $<1.5 \times 10^9/\text{l}$ , a platelet count  $<70 \times 10^9/\text{l}$ , any grade 3/4 non-hematological toxicities. If a patient developed a DLT, the subsequent doses were cancelled, and in the next cycle the patient could resume the study treatment at the next lower dose level. If a patient developed a DLT at dose level 0, gemcitabine  $800 \text{ mg}/\text{m}^2$  and docetaxel  $40 \text{ mg}/\text{m}^2$  were administered in the next cycle.

#### BASELINE AND TREATMENT ASSESSMENT

Assessments at baseline included tumor measurements by X-ray and computed tomography (CT) scan within 4 weeks before the day of starting the study treatment. Equally, grading performance status and physical examination were performed within a week; hematology, blood chemistries, urinalysis, arterial blood gas analysis and electrocardiogram were observed within 2 weeks.

After the start of treatment, tumor measurements were obtained every 2 weeks via X-ray and 4 weeks via CT scan. Tumor response was assessed with the World Health Organization (WHO) criteria. Safety assessments, including performance status, hematology, blood chemistries and urinalysis, were obtained weekly. Physical examination, arterial blood gas analysis and electrocardiogram were performed at any time. Adverse events were estimated according to National Cancer Institute-Common Toxicity Criteria version 2.0. All patients were assessed for efficacy and safety. An additional response rate was recorded for patients who received the recommended regimen in phase I and all phase II patients.

## RESULTS

## PATIENT CHARACTERISTICS

Between July 2000 and July 2002, 59 chemo-naïve patients (43 male, 16 female) with NSCLC were enrolled in phase I and II portions from the five hospitals after approval by the IRB. Twenty-five patients were enrolled in the phase I portion of the study, and 34 patients were enrolled in phase II. Baseline patient characteristics for all patients and patients who received the recommended regimen are summarized in Table 1.

## PHASE I

Twenty-five patients were enrolled into the phase I portion of the study. The number of patients treated and the DLTs observed in the first cycle at each dose level of gemcitabine and docetaxel are shown in Table 2.

In Arm 1, 50% of patients had DLTs at dose level 1 and dose level 0, therefore Arm 1 could not be the recommended regimen: there were 2/6 and 3/6 patients who achieved partial response (PR) at dose level 1 and 0 in Arm 1, respectively.

Table 1. Baseline characteristics

Patient characteristics	All patients (n = 59), n (%)	Patients who received the recommended regimen (n = 40), n (%)
<b>Gender</b>		
Male	43 (72.9%)	26 (65.0%)
Female	16 (27.1%)	14 (35.0%)
<b>Age</b>		
Median	62	64
Range	38-74	38-74
<b>ECOG performance status</b>		
0	5 (8.5%)	2 (5.0%)
1	54 (91.5%)	38 (95.0%)
<b>Stage</b>		
IIIb	14 (23.7%)	8 (20.0%)
IV	33 (55.9%)	23 (57.5%)
<b>Postsurgical recurrence</b>	12 (20.3%)	9 (22.5%)
<b>Histological type</b>		
Adenocarcinoma	34 (57.6%)	25 (62.5%)
Squamous cell carcinoma	19 (32.2%)	14 (35.0%)
Large cell carcinoma	5 (8.5%)	1 (2.5%)
Other	1 (1.7%)	0 (0%)
<b>Prior therapy</b>		
None	45 (76.3%)	29 (72.5%)
Surgery	13 (22.0%)	11 (27.5%)
Radiotherapy	0 (0%)	0 (0%)
Radiotherapy and surgery	1 (1.7%)	0 (0%)

ECOG, Eastern Cooperative Oncology Group.

In Arm 2, no DLT was observed at dose level 1: 3/6 patients achieved PR. At dose level 2, one patient discontinued due to progressive disease; therefore, one patient was added. However, another patient discontinued due to grade 3 hypersensitivity (not a DLT). In this regimen, two DLTs had already been observed in five other patients, but the sponsors (Aventis Pharma Japan and Eli Lilly Japan K.K.) and investigators decided not to add one more patient to dose level 2 in Arm 2 in consideration of patients' safety. PRs were observed in 2/7 patients at dose level 2 of Arm 2.

Therefore, the recommended regimen was determined as gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8 due to the incidence of DLT.

## DOSE ADMINISTRATION

In Arm 1, a total of 49 cycles were accomplished. One case delayed the date of administration on day 1 (defined as more than 8 days) as a matter of convenience; seven and four cases delayed their dates of administration on day 8 (defined as more than 1 day) because of adverse events and non-medical reasons, respectively; and four cases could not be treated on day 8 because of adverse events. In Arm 2, including phase I and II portions, a total of 145 cycles were accomplished. Four and five cases delayed their dates of administration on day 1 because of adverse events and non-medical reasons, respectively; 21 and nine cases delayed their dates of administration on day 8 because of adverse events and non-medical reasons, respectively; and two cases could not be treated on day 8 because of

Table 2. Phase I dose-limiting toxicities

Dose level	GEM/DOC (mg/m <sup>2</sup> )	Arm 1	Arm 2
0	800/50	3/6 patients: <ul style="list-style-type: none"> <li>G3 ALT increased</li> <li>G1 fever, G3 neutropenia</li> </ul>	N/A
1	1000/50	3/6 patients: <ul style="list-style-type: none"> <li>G3 infection, G3 neutropenia</li> <li>G4 neutropenia, G1 fever, G3 infection</li> <li>G3 neutropenia, G2 infection, G3 arrhythmia, G3 diarrhea</li> </ul>	0/6 patients
2	1000/60	N/A	2/5 patients: <ul style="list-style-type: none"> <li>G3 ALT increased</li> <li>G2 fever, G3 neutropenia</li> </ul>

GEM, gemcitabine; DOC, docetaxel; G, grade; ALT, alanine aminotransferase; N/A, not applicable.

adverse events. The most common adverse event for a dose delay was neutropenia.

#### EFFICACY

All 59 patients were involved in the analysis for efficacy, and 19 of 59 patients achieved PR for an overall response rate of 32.2% [95% confidence interval (CI) 20.6–45.6%]. Of the 40 patients who received the recommended regimen in either phase I or phase II, 12 patients achieved PRs for a response rate of 30.0% (95% CI 16.6–46.5%).

The median time to progressive disease in all 59 patients was 111 days (95% CI 71–154 days). Median survival time was 11.9 months (95% CI 7.0–15.0 months), with 1-year survival rate at 47.1% (95% CI 34.0–60.2%).

#### SAFETY

All 59 patients were evaluable for safety. Grade 3 and 4 drug-related toxicities observed in all 59 patients are shown in Table 3. Grade 3 and 4 drug-related toxicities observed in 40 patients who received the recommended regimen are also shown in Table 4.

In all 59 patients, grade 3 and 4 neutropenia were observed in 19 (32.2%) and 20 (33.9%) patients, respectively. Grade 3 and 4 leukopenia were observed in 24 (40.7%) and four (6.8%) patients, respectively. Grade 3 non-hematological toxicities included infection in four patients (6.8%), anorexia in four patients (6.8%), and nausea, diarrhea, rash and constipation in three patients (5.1%) each. After starting docetaxel administration, grade 3 interstitial pneumonia was reported in three patients (5.1%), all of whom recovered shortly after steroid treatment; grade 4 anaphylaxis was reported in two patients (3.4%). There were no toxic deaths.

#### DISCUSSION

In this phase I/II study, we examined the activity and tolerability of gemcitabine and docetaxel. In phase I, the recommended regimen was determined as gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8. The response rate of all 59 patients was 32.2% (95% CI 20.6–45.6%). When re-evaluated in the 40 patients who received the recommended regimen, the response rate was 30.0% (95% CI 16.6–46.5%). Although the number of patients was limited, Arm 1 (docetaxel on day 1) had a numerically better response: for the 12 patients in Arm 1, five PRs were recorded for a response rate of 42%. However, Arm 1 had more toxicities than the docetaxel on day-8 schedule.

Overall, the toxicity associated with the gemcitabine–docetaxel regimen was manageable. In Arm 1, five patients (42%) had grade 3/4 neutropenia supervened with infection or fever, while only one patient (9%) had grade 3 neutropenia with infection or fever in Arm 2. This indicated that docetaxel was better tolerated on day 8 than on day 1 in a 21-day cycle. It is speculated that the influence of time to nadir of neutropenia is different in each agent: 14–20 days with gemcitabine and 9 days with docetaxel. The time to recover from nadir is

Table 3. NCI–CTC grade 3/4 toxicities (n = 59)

Toxicities	Grade 3		Grade 4	
	n	%	n	%
<b>Hematological toxicities</b>				
Leukopenia	24	40.7	4	6.8
Neutropenia	19	32.2	20	33.9
Lymphopenia	10	16.9	0	0.0
Hemoglobin decreased	4	6.8	0	0.0
Thrombocytopenia	1	1.7	0	0.0
Thrombocytosis	1	1.7	0	0.0
<b>Non-hematological toxicities</b>				
ALT increased	5	8.5	0	0.0
Infection	4	6.8	0	0.0
Anorexia	4	6.8	0	0.0
Nausea	4	6.8	0	0.0
Diarrhea	3	5.1	0	0.0
Interstitial pneumonia	3	5.1	0	0.0
Rash	3	5.1	0	0.0
Constipation	3	5.1	0	0.0
AST increased	2	3.4	0	0.0
Fatigue	2	3.4	0	0.0
Vomiting	2	3.4	0	0.0
Hyperglycemia	1	1.7	0	0.0
Hyponatremia	1	1.7	0	0.0
Allergic reaction	1	1.7	0	0.0
Vasovagal reaction	1	1.7	0	0.0
Body temperature decrease	1	1.7	0	0.0
Weight increase	1	1.7	0	0.0
Hypotension	1	1.7	0	0.0
Pneumonia	1	1.7	0	0.0
Arrhythmia	1	1.7	0	0.0
Edema	1	1.7	0	0.0
Neuropathy peripheral	1	1.7	0	0.0
Anaphylaxis	0	0.0	2	3.4

NCI–CTC, National Cancer Institute–Common Toxicity Criteria version 2.0; ALT, alanine aminotransferase; AST, aspartate aminotransferase.

7–8 days with gemcitabine and 8 days with docetaxel. This could explain why docetaxel on day 8 was better tolerated.

Meta-analysis studies have reported that cisplatin-based regimens produce a significant survival benefit in NSCLC (20–23), improve median survival time by 6–8 weeks and 1-year survival rate from 15% to 25% when compared with the best supportive care (24). But studies with platinum-based combinations have also reported severe toxicities, so the deterioration of patients' quality of life is a major problem to be solved (3).

New effective non-platinum-based therapies have been used in various combinations in recent years, and the combination of gemcitabine and docetaxel has been established as one of the

Table 4. NCI-CTC grade 3/4 toxicities (n = 40, recommended regimen)

Toxicities	Grade 3		Grade 4	
	n	%	n	%
<b>Hematological toxicities</b>				
Leukopenia	13	32.5	2	5.0
Neutropenia	12	30.0	11	27.5
Lymphopenia	5	12.5	0	0.0
Hemoglobin decreased	2	5.0	0	0.0
Thrombocytopenia	1	2.5	0	0.0
Thrombocytosis	1	2.5	0	0.0
<b>Non-hematological toxicities</b>				
ALT increased	2	5.0	0	0.0
Diarrhea	2	5.0	0	0.0
Infection	2	5.0	0	0.0
Interstitial pneumonia	2	5.0	0	0.0
Rash	2	5.0	0	0.0
Fatigue	2	5.0	0	0.0
Nausea	2	5.0	0	0.0
Vomiting	2	5.0	0	0.0
Hyperglycemia	1	2.5	0	0.0
Hyponatremia	1	2.5	0	0.0
AST increased	1	2.5	0	0.0
Allergic reaction	1	2.5	0	0.0
Vasovagal reaction	1	2.5	0	0.0
Anorexia	1	2.5	0	0.0
Body temperature decrease	1	2.5	0	0.0
Weight increase	1	2.5	0	0.0
Hypotension	1	2.5	0	0.0
Pneumonia	1	2.5	0	0.0
Edema	1	2.5	0	0.0
Constipation	1	2.5	0	0.0
Peripheral neuropathy	1	2.5	0	0.0
Anaphylaxis	0	0.0	2	5.0

NCI-CTC, National Cancer Institute-Common Toxicity Criteria version 2.0; ALT, alanine aminotransferase; AST, aspartate aminotransferase.

well-examined regimens. In recent studies using gemcitabine-docetaxel in NSCLC, response rates of 25–50% (19,25–29) and time-to-progression of disease of 106–132 days (31,32) have been reported. Georgoulas et al. (16) reported that the gemcitabine-docetaxel and docetaxel-cisplatin regimens they compared were equivalent in efficacy, but toxicity was severe in the latter. While docetaxel-cisplatin regimens showed severe toxicities of grade 3 anemia (5%), grade 3/4 neutropenia (13%/21%), grade 3 nausea/vomiting (10%) and grade 3 diarrhea (8%), gemcitabine-docetaxel regimens had grade 3/4 anemia (1%/1%), grade 3/4 neutropenia (11%/11%), grade 3 nausea/vomiting (2%) and grade 3/4 diarrhea (2%/1%) in 441 patients. However, the difference of efficacy

and safety by the administration schedule and dosage of gemcitabine and docetaxel has not been well documented.

There are some studies that have examined the efficacy and safety of the same schedule as the recommended regimen in our study, namely gemcitabine on days 1 and 8 plus docetaxel on day 1. In these studies dosages were various: gemcitabine was 800–1100 mg/m<sup>2</sup> and docetaxel was 60–100 mg/m<sup>2</sup> (18,19,27–30). Response rates in these studies also varied from 16 to 38%, which indicates that the response rate of the recommended regimen in our study (30.0%) was clinically meaningful because the dosage of docetaxel (50 mg/m<sup>2</sup>) in our study is less than that in any other studies. This might have contributed to the relatively mild toxicities of our recommended regimen.

In another study (26), a high response rate (50.0%) was achieved in patients with another administering schedule: gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 10 plus docetaxel 80 mg/m<sup>2</sup> on day 1, administered every 21 days. The most common treatment-related toxicity was myelosuppression. Grade 3/4 leukopenia and neutropenia occurred in only six (18%) and eight (24%) patients, respectively.

The median survival was 11.9 months in our study, being slightly better than the result from the median survival of the phase III study with gemcitabine and cisplatin, which was 8.7–9.1 months (33,34). This result suggests that the regimen we selected in the phase II portion of this study is comparable in survival with the cisplatin-based regimen.

In conclusion, the combination of gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8 is suggested to be better tolerated and has equivalent efficacy to cisplatin-based therapy. These results should be verified by a phase III study in Japanese patients.

## CONCLUSION

In this phase III study, we studied the activity and tolerability of gemcitabine and docetaxel in Japanese patients. The combination of gemcitabine 1000 mg/m<sup>2</sup> on days 1 and 8 plus docetaxel 50 mg/m<sup>2</sup> on day 8 is suggested to be well tolerated and has equivalent efficacy to cisplatin-based therapy.

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# A Phase II Study of Docetaxel and Infusional Cisplatin in Advanced Non-Small-Cell Lung Cancer

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## Key Words

Non-small-cell lung cancer · Chemotherapy · Cisplatin · Docetaxel · Infusion, continuous

## Abstract

**Background:** To evaluate the efficacy and safety of combination chemotherapy of cisplatin (5-day continuous infusion) and docetaxel for the treatment of previously untreated patients with advanced non-small-cell lung cancer (NSCLC). **Materials and Methods:** Eligible patients had an ECOG performance status of 0–2 with measurable NSCLC. Patients received continuous infusion cisplatin 20 mg/m<sup>2</sup>/day on 5 days and bolus docetaxel 60 mg/m<sup>2</sup>/day (day 1; PiD therapy) at a 4-week interval. **Results:** Forty-three patients were enrolled. The mean number of cycles administered per patient was 2, and ranged from 1 to 4. The response rate was 49% (95% confidence interval, 33.9–63.8%). The median survival time was 47 weeks and the 1-year survival rate was 47%. The major toxic effects were grade 3 or 4, neutropenia (88%), leukopenia (81%), thrombocytopenia (14%) and anemia (42%). There were no treatment-related deaths. **Conclusion:** PiD therapy was a well-tolerated and active regimen for patients with advanced NSCLC. The major toxicity was neutropenia.

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## Introduction

Unresectable non-small-cell lung cancer (NSCLC) is known to have an extremely poor prognosis, and its standard treatment remains to be established. The most common chemotherapy for NSCLC is a combination treatment consisting of 2 or 3 drugs including cisplatin (CDDP) as a key drug. The combination treatments have response rates of 30–50%, and have been proven to prolong survival time in clinical stages III [1] and IV [2, 3]; however, the response is only limited.

In recent years, new anticancer drugs have been developed and used for the treatment of NSCLC. Docetaxel is a new hemisynthetic anticancer agent originating from its precursor, 10-deacetylbaaccatin III, extracted from the needle leaves of the European yew tree, *Taxus baccata* L. Docetaxel affects microtubules, and shows its cytotoxicity by prematurely stabilizing mitotic microtubules. In phase II clinical studies for the treatment of NSCLC carried out in Europe and the USA, docetaxel showed a response rate of about 30% in previously untreated patients with a better survival time [4, 5]. A major side effect of docetaxel is dose-dependent edema that is proportional to bone marrow suppression. Since hypersensitivity is particularly limiting, it is worth noting that docetaxel can be given by intravenous infusion in a short period of time without any pretreatment.

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In the Japan phase I study, dose-limiting toxicity of docetaxel was found to be leukopenia (neutropenia), and its recommended dose was set at 60 mg/m<sup>2</sup> [6]. In the multicenter phase II clinical study for the treatment of NSCLC carried out in Japan, a response rate of 19% was shown in untreated patients with predominant toxicities of leukopenia and neutropenia [7].

Currently, cisplatin is the active agent for treating NSCLC, and combination chemotherapy consisting of 2 or 3 drugs based on CDDP is a major strategy [8]. CDDP can be administered by short-term intravenous infusion, a divided dosage method, continuous administration, and other methods [9, 10]. CDDP cytotoxicity is enhanced by prolonged exposure to low doses of this drug in *in vitro* studies [11, 12]. Belliveau et al. [13] reported that the area under the concentration-time curve (AUC) achieved for non-protein-bound CDDP was twice as high after 5-day continuous infusion than that observed when an equivalent dose of CDDP was given by short-term bolus infusion. These findings suggest that continuous infusion of CDDP might improve the therapeutic efficacy as compared with that resulting from conventional short-term bolus infusion. However, compared with short-term intravenous infusion, 5-day continuous infusion makes inpatient hospitalization for at least 5 days necessary, and the duration of confinement for the purpose of infusion is lengthy and therefore onerous for the patient. The efficacy and safety of a continuous infusion lasting 5 days (24 h a day) were confirmed in our facility and some other facilities [10, 14–16]. In addition, combination chemotherapy of infusional CDDP with vindesine or CPT-11 was found to have high response rates in treating NSCLC [17, 18].

Cisplatin and docetaxel show nonsynergistic and additive effects *in vitro*, no cross-resistance and have a relatively nonoverlapping toxicity profile [19]. Therefore, the development of docetaxel in combination with cisplatin is warranted. We conducted a phase II study of docetaxel and infusional cisplatin, in patients with previously untreated advanced NSCLC, and evaluated antitumor activity and the safety of this therapy.

## Patients and Methods

### *Patient Selection*

All patients with histologically or cytologically confirmed advanced NSCLC were eligible for this phase II trial. The subjects of this study were patients in clinical stage IV or in stage III with unresectable disease or in whom radiotherapy with curative intent is not possible. Patients with unresectable disease or in whom radio-

therapy with curative intent is not possible include those with pleural effusion and dissemination, those with intrapulmonary metastasis within the ipsilateral lobe, those in whom the irradiation field exceeds one half of one lung, those with metastasis to the contralateral hilar lymph nodes, and those with reduced lung function. None of the patients had received prior therapy. Other eligibility criteria included an expected survival of 12 weeks, age  $\leq$  75 years, Eastern Cooperative Oncology Group performance score of 0–2, measurable lesions, adequate hematological function (WBC  $\geq$  4,000/mm<sup>3</sup>, platelet count  $\geq$  100,000/mm<sup>3</sup>, hemoglobin  $\geq$  10 g/dl), renal function (serum creatinine  $\leq$  1.5 mg/dl, creatinine clearance  $\geq$  60 ml/min), and hepatic function (total serum bilirubin  $\leq$  1.5 mg/dl, glutamic oxaloacetic transaminase and glutamic pyruvic transaminase less than twice the normal range). The ethical committee of the Tochigi Cancer Center approved the protocols. Written informed consent was obtained in every case stating that the patient was aware of the investigational nature of this treatment regimen. Pretreatment evaluation included medical history, physical examination, complete blood count, bone marrow examination, serum biochemical analyses, chest roentgenogram, electrocardiogram, and urinalysis. All patients underwent a radionuclide bone scan, and computerized tomography of the brain, thorax and abdomen. Complete blood count, biochemical tests, serum electrolytes, urinalysis, and chest roentgenograms were obtained weekly during this phase II trial. Tests of measurable disease parameters such as computerized tomography were repeated every 4 weeks. Staging was according to the 4th edition of the UICC TNM classification.

### *Treatment*

All patients were admitted to the Tochigi Cancer Center Hospital during this trial. The anticancer drug regimen consisted of a combined administration of docetaxel plus infusional cisplatin. Docetaxel was supplied, in concentrated form, in a sterile vial that contained 80 mg of the drug in 2 ml of polysorbate 80. Docetaxel (Taxotere; Aventis) 60 mg/m<sup>2</sup> was diluted in 250 ml of 5% glucose, and was infused over a 1-hour period on day 1. Three hours after completion of the docetaxel infusion, 20 mg/m<sup>2</sup> of cisplatin was given daily for 5 days by continuous intravenous infusion. One third of the daily dose was administered every 8 h dissolved in 800 ml of physiological saline [14]. The course was repeated every 4 weeks. Antiemetic drugs used were granisetron (3 mg/body/day, bolus infusion for 5 days), metoclopramide (3 mg/kg/day, continuous infusion for 5 days), methylprednisolone (125 mg bolus infusion every 8 h, days 1–5), diphenhydramine (30 mg orally, days 1–7) and alprazolam (1.2 mg orally, days 1–7) [15, 16]. In the first course, no routine premedication was given for hypersensitivity reactions or fluid retention. The reason for this was that the incidence of these events was low at the dose of docetaxel (60 mg/m<sup>2</sup>) administered in the present study [7]. However, if hypersensitivity reactions or fluid retention occurred, premedications such as corticosteroids or antiallergic agents were allowed in the subsequent courses. Recombinant human granulocyte colony-stimulating factor was administered when leukopenia/neutropenia of grade 4 occurred.

Patients were treated with at least two cycles of therapy unless disease progression or unacceptable toxicity was encountered or the patients did not wish to continue. Patients who experienced grade 4 leukopenia or neutropenia that lasted for 3 or more days, or who experienced grade 4 thrombocytopenia or reversible grade 2 neurotoxicity or grade 3 liver dysfunction, received reduced doses of

both docetaxel and cisplatin (75% of the previous dose) for the next cycle. Patients who experienced stomatitis of grade 3 or more or renal dysfunction of grade 2 or more received a reduced dose of cisplatin (75% of the previous dose) for the next cycle. If neurotoxicity of grade 3 or more occurred, treatment was stopped. Subsequent courses of chemotherapy were started after day 28 when the leukocyte count was 4,000/mm<sup>3</sup> or more, the neutrophil count was 2,000/mm<sup>3</sup> or more, the platelet count was 100,000/mm<sup>3</sup> or more, serum creatinine was less than the upper limit of the normal range, creatinine clearance was 60 ml/min or more, GOT and GPT were less than twice the upper limit of the normal range, and neurotoxicity was grade 1 or less. If these variables did not return to adequate levels by the first day of the next course of chemotherapy, treatment was withheld until full recovery. If more than 6 weeks passed from the time of the last treatment before these criteria were satisfied, the patient was taken off the study, but still included in the analysis. In the case of stable or progressive disease after two courses of treatment, subsequent therapy was left to the discretion of the physician in charge of the patient.

#### *Assessment of Response to Treatment and Toxicity*

The response to treatment was evaluated with WHO criteria. The criteria for response were as follows. Complete response was defined as the complete disappearance of all evidence of tumor for at least 4 weeks. Partial response was defined as a  $\geq 50\%$  reduction in the sum of the product of the two greatest perpendicular diameters of all indicator lesions for at least 4 weeks and no appearance of new lesions or progression of any lesion. Progressive disease was defined as a  $\geq 25\%$  increase in the tumor area or the appearance of new lesions. All other circumstances were classified as no change. Toxicity was graded according to the common toxicity criteria (version 2).

#### *Statistical Analyses*

The primary end point was the objective response rate. The duration of each response was defined as the number of days from the documentation of the response until tumor progression. Survival curves from registration until death were generated by the method of Kaplan and Meier. We chose a 40% response rate as a desirable target level, and a 20% response rate as undesirable. The study design had the power to detect a response of greater than 90%, with less than 5% error. Therefore, we needed 23 assessable patients in first stage and 20 in second stage, according to the mini-max design of Simon. We decided to stop the study if fewer than 5 patients responded in the first stage.

## **Results**

### *Patient Characteristics*

Forty-three patients were enrolled in this study from July 1997 to June 1999 and received 105 cycles of the regimen. Table 1 shows the patient characteristics. There were 14 women and 29 men with a median age of 61 years (range 34–75). One patient had stage IIIA, 7 patients stage IIIB, and 35 patients stage IV disease. In stage IIIA, 1 patient classified as c-T3N2M0 had lung cancer with a

**Table 1.** Patient characteristics

Patients	43
Sex (M/F)	29/14
Age <sup>1</sup> , years	61 (34–75)
Performance status: 0/1/2	9/30/4
Stage: IIIA/IIIB/IV	1/7/35
Histology: Ad/Sq/Other	27/14/2

Ad = Adenocarcinoma; Sq = squamous cell carcinoma.

<sup>1</sup>Value represents median with the range given in parentheses.

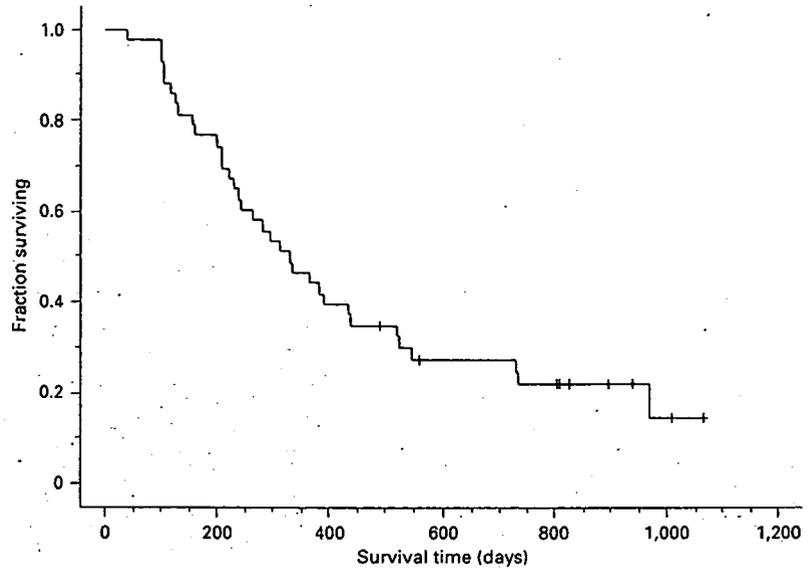
bulky tumor (10 cm), associated with extranodal and N2 involvement. Among the 7 stage IIIB patients, there were three T4 cases in which pleural effusion and pleural dissemination were present, two T4 cases of intrapulmonary metastasis in the ipsilateral lobe, and two T4N3 cases with mediastinal infiltration and supraclavicular fossa lymph node metastasis.

### *Treatments Administered*

The mean number of cycles administered per patient was 2, and ranged from 1 to 4. In 99 of 105 cycles (94%), PiD was administered at 4-week intervals. In 5 of 6 cycles, in which cisplatin could not be administered at a 4-week interval, it was given a week later. As for the remaining cycle, it was administered 6 weeks later. The reason for the delay of the administration was the patient's request for 1 cycle and neutropenia in 5 cycles. Dosage was reduced in 7 cycles (7%). Reductions in dosage of docetaxel and cisplatin were made, respectively, in 6 cycles (6%) and 7 cycles (7%). The former reduction was made because 6 cycles showed neutropenia grade 4, and the latter reduction was made because 5 cycles showed neutropenia grade 4, and 1 cycle showed both neutropenia grade 4 and creatinine grade 3, and 1 cycle showed creatinine grade 2.

### *Response to Treatment and Survival*

The response rate was 49% (95% confidence interval, CI, 33.9–63.8%); a complete response was observed in 1 and partial response in 20 patients (table 2). The median duration of the response was 39.2 weeks (range 5–147 weeks). The median survival time was 47 weeks (95% CI, 6–152 weeks) and the 1-year survival rate was 47% (fig. 1). Two patients are still alive.



**Fig. 1.** Kaplan-Meier estimated overall survival curves. Median survival time was 47 weeks; 1-year survival rate was 47%.

**Table 2.** Chemotherapeutic evaluation (n = 43)

Cycles <sup>1</sup>	2 (1-4)
Response: CR/PR/NC/PD	1/20/20/2
Response rate, %	49
Response duration, weeks	
Average	39.2
Range	5-147
1-year survival rate, %	47

CR = Complete response; PR = partial response; NC = no change; PD = progressive disease.

<sup>1</sup>Value represents average with the range in parentheses.

**Table 3.** Toxicity (n = 43 patients)

	Maximum toxicity terms of CTC grade					Grade $\geq 3$ %
	0	1	2	3	4	
Leukopenia	1	1	6	29	6	81
Neutropenia	1	0	4	13	25	88
Anemia	1	6	18	18	-	42
Thrombocytopenia	25	5	7	6	0	14
Creatinine	23	18	1	1	0	2
SGOT/SGPT	30	12	1	0	0	0
Vomiting	5	7	31	0	-	0
Diarrhea	20	16	7	0	0	0
Alopecia	20	22	1	-	-	
Edema	36	6	1	0	-	0
Neuropathy	40	3	0	0	0	0

Figures represent number of patients. CTC = Common toxicity criteria; SGOT = serum glutamic oxaloacetic transaminase; SGPT = serum glutamic pyruvic transaminase.

### Toxicity

Table 3 shows the types and grades of toxicities resulting from the treatment, using the common toxicity criteria. All 43 patients could be evaluated for toxic reactions. The major toxicity was myelosuppression. Leukopenia  $<2,000/\text{mm}^3$  (grade 3 or 4) was observed in 35 patients (81%), of whom 6 patients showed grade 4. Neutropenia  $<1,000/\text{mm}^3$  (grade 3 or 4) was observed in 38 patients (88%), of whom 25 patients showed grade 4. Eight pa-

tients developed febrile neutropenia. Thrombocytopenia  $<5 \times 10^4/\text{mm}^3$  (grade 3 or 4) was observed in 6 patients (14%), and a hemoglobin nadir (grade 3) in 18 patients (42%). There were no episodes of bleeding or fluid overload.