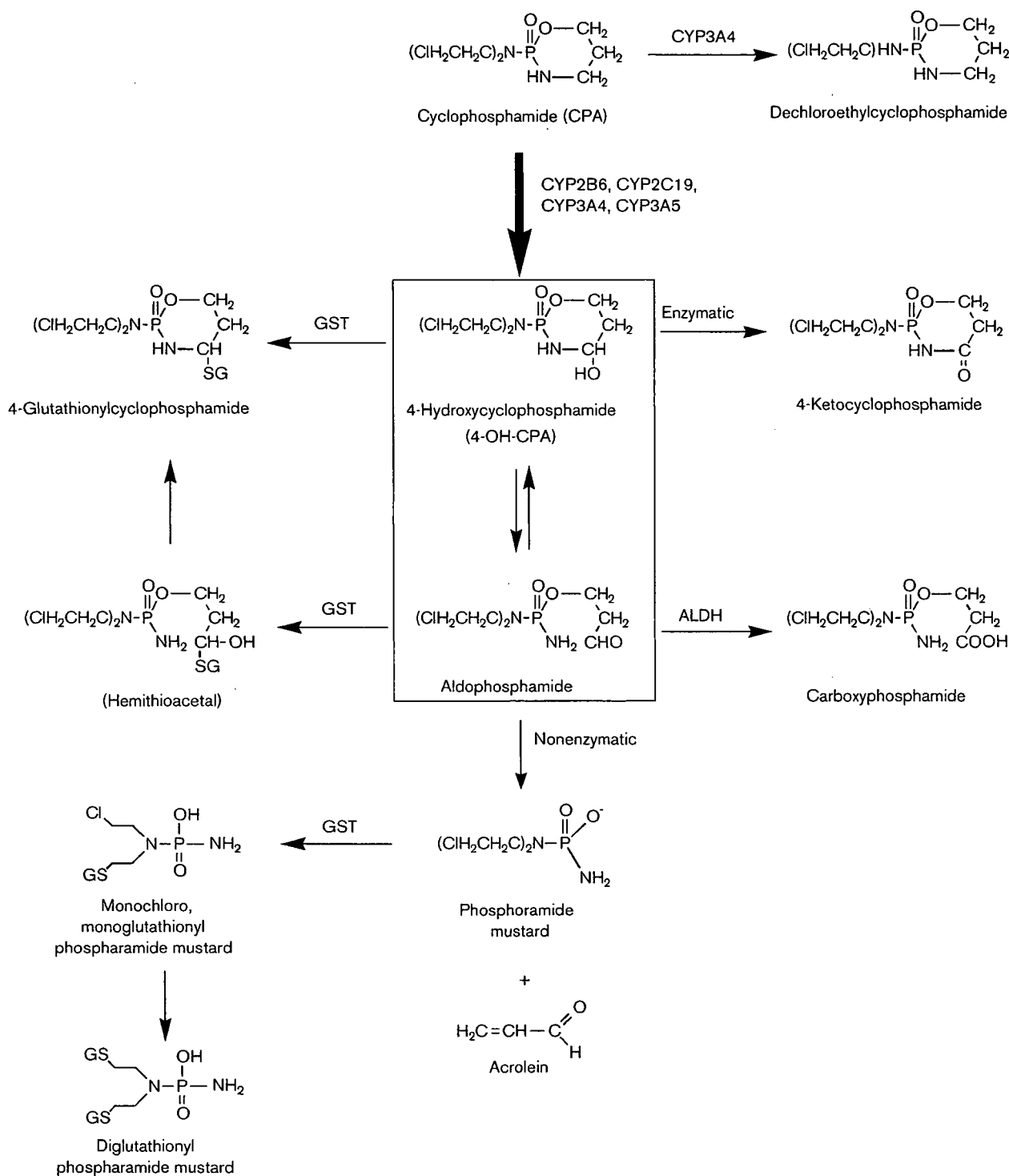


Fig. 1



Activation and inactivation pathways of cyclophosphamide (CPA) in humans.

The drug-metabolizing enzymes described above are known to be highly polymorphic and have variant alleles with decreased or absent metabolic activity, resulting in

large interindividual variability in the plasma concentrations of drugs. For *CYP2B6* gene, Lang *et al.* [9] first identified nine single nucleotide polymorphisms (SNPs),

of which five result in amino acid substitutions; *CYP2B6\*2* (R22C), *CYP2B6\*3* (S259R), *CYP2B6\*4* (K262R), *CYP2B6\*5* (R487C), *CYP2B6\*6* (Q172H and K262R), and *CYP2B6\*7* (Q172H, K262R, and R487C). Thereafter, Lamba *et al.* [10] reported *CYP2B6\*8* (K139E) and *CYP2B6\*9* (Q172H). Lang *et al.* [11] reported *CYP2B6\*10* (Q21L and R22C), *CYP2B6\*11* (M46V), *CYP2B6\*12* (G99E), *CYP2B6\*13* (K139E, Q172H, and K262R), *CYP2B6\*14* (R140Q), and *CYP2B6\*15* (I391N). Furthermore, several additional SNPs have been identified [12,13]. It has been reported that the amino acid change of R487C was associated with decreased CYP2B6 expression [9,10]. The amino acid change of Q172H [14] and K262R [15,16] has been reported to increase CYP2B6 activity. The *CYP2B6\*6* having both substitutions, however, has been reported to be associated with the decreased expression and activity in liver [9,12], whereas heterologously expressed CYP2B6.6 revealed higher activity than that of the wild type [16]. Lang *et al.* [11] reported that *CYP2B6\*8*, *CYP2B6\*11*, *CYP2B6\*12*, *CYP2B6\*14*, and *CYP2B6\*15* reduced the expression and/or function in heterologous expression system.

The side effects and clinical efficacy of CPA exhibit large interindividual variability, which might be because of differences in the pharmacokinetics. A few studies are available to investigate the relationship between the genetic polymorphisms of CYPs and pharmacokinetics of CPA. Xie *et al.* [17,18] have reported that *CYP2B6* variant causing the amino acid change Q172H, but not *CYP2C9* or *CYP2C19* variants, is associated with the increased CPA 4-hydroxylation. In contrast, Timm *et al.* [19] have reported that *CYP2C19\*2* carriers had lowered elimination constants of CPA, whereas there was no association between *CYP2B6*, *CYP2C9*, and *CYP3A5* genotypes. In addition, Takada *et al.* [20] have reported that *CYP2C19\*2*, but not *CYP2B6*, *CYP2C9*, or *CYP3A5* polymorphisms, is associated with a lower risk of developing premature ovarian failure, the most common long-term adverse effect of pulse CPA for treatment of severe lupus nephritis. These conflicting reports prompted us to investigate the relationship between the genetic polymorphisms of the enzymes and the pharmacokinetics and CPA in Japanese cancer patients. In this study, 103 Japanese patients with malignant lymphoma or breast cancer were investigated for the pharmacokinetics of CPA and 4-OH-CPA as well as the genetic polymorphisms of the *CYP2B6*, *CYP2C19*, *CYP3A4*, *CYP3A5*, *ALDH1A1*, and *GST* genes.

## Materials and methods

### Chemicals and reagents

CPA monohydrate, semicarbazide hydrochloride, hydroxylamine, and *m*-aminophenol were from Wako Pure Chemicals (Tokyo, Japan). 4-Hydroperoxycyclophospha-

midate (4-OOH-CPA) was kindly provided by Shionogi Pharmaceutical (Osaka, Japan). 5-Ethyl-5-*p*-tolylbarbituric acid (ETBA) was from Sigma Aldrich (St Louis, Missouri, USA). The Puregene DNA isolation kit was from Gentra Systems (Minneapolis, Minnesota, USA). Taq DNA polymerase and Ex Taq DNA polymerase were from Greiner Japan (Tokyo, Japan) and Takara (Shiga, Japan), respectively. Restriction enzymes were purchased from TOYOBO (Osaka, Japan), Takara, or New England Biolabs (Beverly, Massachusetts, USA). Primers were commercially synthesized at Hokkaido System Sciences (Sapporo, Japan). All other reagents were of the highest grade commercially available.

### Patients, drug administration, and blood collection

This study was approved by the Ethics Committees of National Cancer Center Hospital East and Kanazawa University. Written informed consent was obtained from 103 Japanese patients (26 men and 77 women). Eligible patients were  $\geq 20$  years of age with histologically or cytologically confirmed malignant lymphoma ( $n = 52$ ) or breast cancer ( $n = 51$ ) and had never received prior treatments. Patients had to have a predicted life expectancy of  $\geq 12$  weeks. The inclusion/exclusion criteria consisted of the following: absolute neutrophil count  $\geq 1200/\mu\text{l}$ ; absolute platelet count  $\geq 75\,000/\mu\text{l}$ ; aspartate aminotransferase, alanine aminotransferase, and serum creatinine  $\leq 2.5$  times the upper limits of normal; total bilirubin  $\leq 2$  times the upper limits of normal; no severe concomitant disease. These patients were scheduled to receive the CHOP regimen [CPA, 500–750 mg/m<sup>2</sup>, intravenously (i.v.); doxorubicin, 50 mg/m<sup>2</sup>, i.v.; vincristine, 1.4 mg/m<sup>2</sup>, i.v.; prednisolone, 100 mg/day, orally] ( $n = 33$ ), R-CHOP regimen (CHOP with rituximab, 375 mg/m<sup>2</sup>, i.v.) ( $n = 19$ ) or AC regimen (doxorubicin, 60 mg/m<sup>2</sup>, i.v.; CPA, 500–600 mg/m<sup>2</sup>, i.v.) ( $n = 51$ ). CPA (Endoxan, Shionogi, Osaka, Japan) was administered as a 1-h intravenous infusion. At first cycle of chemotherapy, blood samples (2 ml) were obtained from a cubital vein opposite the infusion site, before the infusion of CPA, at the middle, and the end of infusion, and 0.5, 1, 2, 3, 5, 7, 10, and 24 h after the end of the infusion. Immediately after collection in heparin tubes, the whole blood samples were placed on ice. An aliquot (1 ml) of the heparinized blood was centrifuged at 1500g for 3 min at 4°C to separate the plasma for the analysis of CPA. For the analysis of 4-OH-CPA, an aliquot (500  $\mu\text{l}$ ) of heparinized blood was immediately mixed with 5  $\mu\text{l}$  of 0.5 mol/l semicarbazide to stabilize the metabolite [21], followed by centrifugation at 16 000g for 4 min. The separated plasma was stored at  $-80^{\circ}\text{C}$  until the analyses. Residual blood samples were used for the extraction of genomic DNA.

### Determination of plasma concentration of cyclophosphamide

To 0.1 ml plasma samples, 0.9 ml of distilled water and 10  $\mu\text{l}$  of ETBA (1.5  $\mu\text{g}/\text{ml}$ ) as an internal standard were

added. The mixture was extracted with 4 ml of dichloromethane by shaking for 10 min. The organic fraction was transferred to a clean tube and evaporated under a gentle stream of nitrogen at 40°C. The residue was redissolved in 100 µl of mobile phase and a portion of the 50 µl was injected into the high-performance liquid chromatography (HPLC). HPLC analysis was performed using a PU-980 intelligent HPLC pump (Jasco, Tokyo, Japan), a PU-970 intelligent UV/VIS detector (Jasco), an AS-950-10 intelligent sampler (Jasco), a D-2500 chromatointegrator (Hitachi, Tokyo, Japan), and a CTO-6A column oven (Shimadzu, Kyoto, Japan) with a Mightysil RP-18 GP Aqua column (150 × 4.6 mm, 5 µm; Kanto Chemical, Tokyo, Japan). The flow rate was 1.0 ml/min and the column temperature was 35°C. The eluate was monitored at 200 nm. The mobile phases were 30% CH<sub>3</sub>CN/2 mmol/l KH<sub>2</sub>PO<sub>4</sub> (pH 4.0). The retention times of CPA and ETBA were 5.4 and 9.8 min, respectively. None of the chromatograms showed any interfering peaks with CPA. Standard concentration curves were obtained from drug-free plasma added with known amounts of CPA in the range of 0–200 µmol/l. The quantification limit of CPA was 2 µmol/l.

#### Determination of plasma concentration of 4-hydroxycyclophosphamide

For quantification of 4-OH-CPA, an indirect fluorometric method measuring acrolein through the reaction with *m*-aminophenol in an acid media [1,6,22] was used. This assay involves acidic decomposition to acrolein, and condensation with *m*-aminophenol to yield 7-hydroxyquinoline, a fluorescent compound. To 60 µl plasma samples, 24 µl of 5.5% (w/v) zinc sulfate, 24 µl of saturated barium hydroxide, and 12 µl of 0.01 mol/l hydrochloric acid were added to precipitate the protein. After centrifugation at 15 000g for 15 min, 75 µl of the supernatant was added to 40 µl of a solution containing 6 mg/ml of 3-aminophenol and 6 mg/ml of hydroxylamine hydrochloride dissolved in freshly prepared 1 mol/l hydrochloric acid. The samples were heated at 90°C for 30 min in the dark and then allowed to cool to room temperature. An aliquot (50 µl) of the sample was subjected to HPLC. HPLC analysis was performed using an L-7100 pump (Hitachi), an L-7200 autosampler (Hitachi), a D-2500 Chromato-integrator (Hitachi), and a L7300 column oven (Hitachi) with a Mightysil RP-18 GP column (150 × 4.6-mm, 5 µm, Kanto Chemical). The eluent was monitored using a Hitachi L-7485 fluorescence detector (excitation, 350 nm; emission, 515 nm). The flow rate was 1.0 ml/min and the column temperature was 35°C. The mobile phase was 5% CH<sub>3</sub>CN/0.1% H<sub>3</sub>PO<sub>4</sub> (v/v). The retention time of 7-hydroxyquinoline was 3.5 min. For the preparation of a standard curve, 4-OOH-CPA was used as a substitute for 4-OH-CPA. In aqueous solution, 4-OOH-CPA liberates 4-OH-CPA/aldophosphamide. To the stock solution of 10 mmol/l 4-OOH-CPA, semicarbazide was added to a final concentration of 5 mmol/l. Standard concentration

curves were obtained from drug-free plasma added with known amounts of stabilized 4-OOH-CPA in the range of 0–1.5 µmol/l. The detection limit of the plasma concentration of 4-OH-CPA was 0.07 µmol/l.

#### Pharmacokinetic analysis

The pharmacokinetics of CPA was evaluated by the model-dependent method with nonlinear mixed effect model [23]. The plasma concentration–time data were fitted to a two-compartment model using a zero-order infusion input. The total plasma clearance (CL) of CPA was calculated by multiplying the elimination rate constant ( $k_e$ ), and distribution volume ( $V_d$ ). The areas under the plasma concentration time curve (AUC) were calculated by dividing the dose by CL. The half-life ( $t_{1/2}$ ) was calculated from  $t_{1/2} = \ln_2/k_e$ . The pharmacokinetics of 4-OH-CPA were evaluated by a moment analysis. AUC values were obtained using the trapezoidal rule and extrapolation to infinity by linear regression.

#### Analyses of genetic polymorphisms of CYP2B6 gene

Genomic DNA was extracted using the Puregene DNA isolation kit. *CYP2B6\*4* (K262R), *CYP2B6\*5* (R487C), *CYP2B6\*6* (Q172H, K262R), *CYP2B6\*7* (Q172H, K262R, R487C), *CYP2B6\*8* (K139E), *CYP2B6\*9* (Q172H), *CYP2B6\*11* (M46V), *CYP2B6\*12* (G99E), *CYP2B6\*14* (R140Q), and *CYP2B6\*15* (I391N) were genotyped by PCR–restriction fragment length polymorphism (PCR–RFLP) or allele-specific PCR (AS-PCR) methods. PCR was performed in a final volume of 25 µl, consisting of genomic DNA (100 ng), 1 × PCR buffer [67 (mmol/l Tris–HCl buffer (pH 8.8), 16.6 mmol/l (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 0.45% Triton X-100, 0.02% gelatin], 1.0–3.0 mmol/l MgCl<sub>2</sub>, 0.4 µmol/l of each primer, 250 µmol/l dNTPs, and 1 U of *Taq* DNA polymerase. After an initial denaturation at 94°C for 3 min, the amplification was performed by denaturation at 94°C for 30 s, annealing for 30 s, and extension at 72°C for 30–90 s for 30 cycles. The final extension step was performed at 72°C for 5 min. The sequence of primers used in this study is summarized in Table 1. Primer sets, restriction enzymes for PCR–RFLP analyses, annealing temperatures, and fragment lengths are summarized in Table 2.

If participants were genotyped as heterozygotes for both c.516G > T (Q172H) and c.785A > G (K262R), they would be either *CYP2B6\*1/CYP2B6\*6* or *CYP2B6\*4/CYP2B6\*9*. To determine the diplotype, we established a genotyping method. In the first step PCR, the *CYP2B6* gene was specifically amplified with the *CYP2B6*-specific PCR primers of 2B6\*6-S and 2B6\*6-AS (Table 1) to separate it from the *CYP2B7* gene. Genomic DNA samples (100 ng) were added to a PCR mixture (25 µl) consisting of 1 × PCR buffer (Takara), 0.25 mmol/l dNTPs, 0.4 µmol/l each primer, 1 U of Ex Taq polymerase. After an initial denaturation at 94°C for 3 min, the

Table 1 Oligonucleotides used for genotyping of *CYP2B6*, *CYP3A5*, and *ALDH1A1* alleles

Gene	Location	Primer	Sequence 5'–3'	
<i>CYP2B6</i>	5'-UTR	2B6*1H-S	ACT TTC TGG TTT TAC GGC TCA	
		2B6 T-2320C-S	AAT TTC TCT CTT TTG TGC TCT G	
		2B6 T-2320C-AS	TGT GTC TCT GTT TTC CCC CT	
		2B6 T-750C-S	GGA TGT GTG GGT GAA GGT G	
		2B6 T-750C-AS	TTT TAT ATA CGA AAG TGC ATT TAC	
		2B6 T-750C-W-AS	TCT GTA CTA AAA ACA CAA AAA TTA A	
		2B6 T-750C-M-AS	TCT GTA CTA AAA ACA CAA AAA TTA G	
		2B6*11-S	GCT CCT GGA TGA TGA TGA AAA	
		Intron 1	2B6*11-AS	TAC TCA CCA ACC ATG CCC G
			2B6*12-S	TTT CCC GTC GGA CCC CT
	2B6*12-W-AS		GTC GAC CAT GGC GAT TTT TC	
	Exon 2	2B6*12-M-AS	GTC GAC CAT GGC GAT TTT TT	
		Exon 3	2B6*8-S	TGA TCT TTG CCA ATG GAA ACC
	Intron 3		2B6*14-W-S	ACT TCG GGA TGG GAA AGC G
		2B6*14-M-S	ACT TCG GGA TGG GAA AGC A	
		2B6*8-AS	GTC TCC AGT TTC GTC TGT CT	
		2B6 int3-S	GCT GTT ACG GTT ATT CTC ATG	
	Exon 4	2B6*6-S	GTC AAA TTA CTC AGC CTC TCG	
		2B6*9-S	CTT GAC CTG CTG CTT CTT CC	
		2B6 Q172H-W-S	CCC CAC CTT CCT CTT CCA G	
		2B6 Q172H-M-S	CCC CAC CTT CCT CTT CCA T	
	Intron 4	2B6*9-AS	TCC CTC TCC GTC TCC CTG	
		2B6 int3-AS	TGT CTG CGT TTC TTC CAA GG	
Intron 6	2B6*4-S	GGC GCT CTC TCC CTG TGA		
	2B6*4-AS	CCT ACA GTG CTC CCA GAA TA		
Intron 7	2B6*6-AS	AGA GCC TAC AGT GCT CCC A		
	2B6*15-S	GGC ATC CTG GAT TCT CTT AAT		
Intron 8	2B6*15-AS	GGA TAA GGC AGG TGA AGC AA		
	2B6*5-S	TGA GAA TCA GTG GAA GCC ATA GA		
Exon 9	2B6*5-AS	TAA TTT TCG ATA ATC TCA CTC CTG C		
	Intron 3	3A5*3-S	CTT TAA AGA GCT CTT TTG TCT CTC A	
		3A5*3-AS	CCA GGA AGC CAG ACT TTG AT	
		3A5*5-S	ACA TAC ACT CAG AAG AGG CTA GGC	
		3A5*5-AS	TAG GAA GCT CGA ACT CAG TGG ACT	
		3A5*4-S	CGC CAC CTT TCT TGA ATC CAC T	
		3A5*4-AS	GGA ATT GTA CCT TTT AAG TGG ATG	
		3A5*7-W-S	TTC CTT CCA GGC ACC ACC TA	
		3A5*7-M-S	TTC CTT CCA GGC ACC ACC TT	
		3A5*2-S	ACC ACC TAC CTA TGA TGC CG	
3A5*2-AS		TTG ATT ATC TTT GTC TTG TGC TG		
<i>CYP3A5</i>	Intron 3	3A5*3-S	CTT TAA AGA GCT CTT TTG TCT CTC A	
		3A5*3-AS	CCA GGA AGC CAG ACT TTG AT	
		3A5*5-S	ACA TAC ACT CAG AAG AGG CTA GGC	
Intron 4	3A5*5-AS	TAG GAA GCT CGA ACT CAG TGG ACT		
	Intron 5	3A5*4-S	CGC CAC CTT TCT TGA ATC CAC T	
Intron 6	3A5*4-AS	GGA ATT GTA CCT TTT AAG TGG ATG		
	Intron 7	3A5*7-W-S	TTC CTT CCA GGC ACC ACC TA	
Intron 10	3A5*7-M-S	TTC CTT CCA GGC ACC ACC TT		
	3A5*2-S	ACC ACC TAC CTA TGA TGC CG		
<i>ALDH1A1</i>	5'-UTR	ALDH1A1*3-W-S	TTG ATT ATC TTT GTC TTG TGC TG	
		ALDH1A1*3-M-S	CTA ACT TAG GGT AGG GTG TAG A	
		ALDH1A1*3-AS	CTA ACT TAG GGT AGG GTG TAG T	
		ATT TTT TTG ACT TCT CAT GCT TTT TA		

amplification was performed by denaturation at 94°C for 30 s, annealing at 57°C for 30 s, and extension at 72°C for 4 min for 30 cycles. Next, AS-PCR was performed. The diluted product of the first PCR was added to a PCR mixture (25 µl) consisting of 1 × PCR buffer (Takara), 0.25 mmol/l dNTPs, 0.4 µmol/l of 2B6\*4-AS primer, 0.4 µmol/l of 2B6-Q172H-W-S or 2B6-Q172H-M-S primer (Table 1), and 1 U of Ex Taq polymerase. After an initial denaturation at 94°C for 3 min, the amplification was performed by denaturation at 94°C for 30 s, annealing at 63°C for 30 s, and extension at 72°C for 4 min for 15 cycles. To detect the SNP c.785A > G, the PCR product was digested with *Sly* I restriction enzymes. The digestion patterns were determined by electrophoresis in a 2% agarose gel. If the diplotype is *CYP2B6*\*1/*CYP2B6*\*6, the PCR product with 2B6-Q172H-W-S shows 903, 886, 576, 498, 251, 171, and 56 bp fragments and the PCR product with 2B6-Q172H-M-S shows 1074, 886, 576, 498, 251, and 56 bp fragments. If the diplotype is *CYP2B6*\*4/*CYP2B6*\*9, the PCR product with 2B6-

Q172H-W-S shows 1074, 886, 576, 498, 251, and 56 bp fragments, and the PCR product with 2B6-Q172H-M-S shows 903, 886, 576, 498, 251, 171, and 56 bp fragments.

Direct sequence analyses of exons and exon–intron junctions, and the 5'-flanking region (up to –3.3 kb) were performed to examine the nucleotide sequences of the *CYP2B6* gene in a homozygote of the *CYP2B6*\*1 allele with the lowest AUC ratio of 4-OH-CPA/CPA. A Thermo Sequenase Cy5.5 Dye Terminator Cycle Sequencing kit (Amersham Pharmacia Biotech, Buckinghamshire, UK) was used with a Long-Read Tower DNA sequencer (Amersham Pharmacia Biotech).

The SNPs g.–2320T > C, g.–750T > C, g.15582C > T (intron 3), and g.18492T > C (intron 5) were genotyped by PCR–RFLP or AS-PCR methods. The primer sets, annealing temperatures, restriction enzymes, and fragment lengths are summarized in Table 2. The alleles possessing g.–2320T > C and g.–750T > C are

Table 2 Genotyping method by PCR-RFLP or AS-PCR

Polymorphism Genomes	cDNA (amino acid change)	Primer set	PCR-RFLP or AS-PCR	Concentration of MgCl <sub>2</sub> (mmol/l)	Temperature for annealing (°C)	Fragment length (bp)	Electrophoresis
<b>CYP2B6</b>							
g.-2320T>C		2B6 T-2320C-S 2B6 T-2320C-AS	RFLP ( <i>EcoR V</i> )	1.5	57	wt: 940, 105 mt: 1045	0.8% agarose
g.-750T>C		2B6 T-750C-S 2B6 T-750C-AS	RFLP ( <i>Mse I</i> )	1.5	57	wt: 732, 210, 26 mt: 732, 236	4% agarose
g.136A>G	c.136A>G (M46V)	2B6*11-S 2B6*11-AS	RFLP ( <i>EcoN I</i> )	1.5	57	wt: 293, 131 mt: 258, 131, 35	2% agarose
g.12820G>A	c.296G>A (G99E)	2B6*12-S 2B6*12-W-AS or 2B6*12-M-AS	AS-PCR	1	57	274	2% agarose
g.13072A>G	c.415A>G (K139E)	2B6*8-S 2B6*8-AS	RFLP ( <i>BsrB I</i> )	1.5	57	wt: 173, 95 mt: 173, 80, 15 205	2% agarose
g.13076G>A	c.419G>A (R140Q)	2B6*14-W-S or 2B6*14-M-S 2B6*8-AS	AS-PCR	1	57		2% agarose
g.15582C>T		2B6 int3-S 2B6 int3-AS	RFLP ( <i>BfuA I</i> )	1	57	wt: 292, 116 mt: 408	2% agarose
g.15631G>T	c.516G>T (Q172H)	2B6*9-S 2B6*9-AS	RFLP ( <i>Bsr I</i> )	1.5	60	wt: 204 mt: 152, 52	4% agarose
g.18053A>G	c.785A>G (K262R)	2B6*4-S 2B6*4-AS	RFLP ( <i>Sty I</i> )	1.5	57	wt: 903, 171, 33 mt: 1074, 33	0.8% agarose
g.18492T>C		2B6*4-S 2B6*4-AS	RFLP ( <i>Hinf I</i> )	1.5	57	wt: 1107 mt: 642, 465	0.8% agarose
g.21388T>A	c.1172T>A (I391N)	2B6*15-S 2B6*15-AS	RFLP ( <i>Fok I</i> )	1.5	57	wt: 168, 156, 99 mt: 255, 168	4% agarose
g.25505C>T	c.1459C>T (R487C)	2B6*5-S 2B6*5-AS	RFLP ( <i>Bgl II</i> )	1.5	60	wt: 1400 mt: 1186, 214	0.8% agarose
<b>CYP3A5</b>							
g.6986A>G		3A5*3-S 3A5*3-AS	RFLP ( <i>Dde I</i> )	1.5	56	wt: 129, 71 mt: 107, 71, 22	3% agarose
g.12952T>C		3A5*5-S 3A5*5-AS	RFLP ( <i>Hsp92 II</i> )	1.5	56	wt: 276, 134 mt: 167, 134, 109	3% agarose
g.14665A>G	c.598A>G (Q200R)	3A5*4-S 3A5*4-AS	RFLP ( <i>BsmA I</i> )	1.5	56	wt: 455, 130, 60 mt: 277, 178, 130, 60	2% agarose
g.27131 insT	c.1031 insT	3A5*7-W-AS or 3A5*7-M-AS 3A5*2-AS	AS-PCR	1.5	58	455	2% agarose
g.27289C>A	c.1189C>A (T398N)	3A5*2-S 3A5*2-AS	RFLP ( <i>Tsp509 I</i> )	1.5	56	wt: 360, 83 mt: 197, 163, 83	2% agarose
<b>ALDH1A1</b>							
g.-523 insATG		ALDH1A1*3-W-S or ALDH1A1*3-M-S ALDH1A1*3-AS	AS-PCR	2	61	249	2% agarose

mt, mutant type; wt, wild type.

*CYP2B6\*1B* and *CYP2B6\*1G*, respectively [10]. The allele possessing both g.-2320T>C and g.-750T>C is the *CYP2B6\*1H* allele. If participants were genotyped as heterozygotes for both g.-2320T>C and g.-750T>C, they would be either *CYP2B6\*1A/CYP2B6\*1H* or *CYP2B6\*1B/CYP2B6\*1G*. To determine the diplotype, we established a genotyping method. Genomic DNA samples (100 ng) were added to a PCR mixture (25 µl) consisting of 1 × PCR buffer (Takara), 0.25 mmol/l dNTPs, 0.4 µmol/l of 2B6\*1H-S primer, 0.4 µmol/l of 2B6 T-750C-W-AS or 2B6 T-750C-M-AS primer (Table 1), and 1 U of *Taq* DNA polymerase. After an initial denaturation at 94°C for 3 min, the amplification was performed by denaturation at 94°C for 30 s, annealing at 61°C for 30 s, and extension at 72°C for 2 min for 30 cycles. To detect the SNP g.-2320T>C,

the PCR product was digested with *EcoR V* restriction enzymes. The digestion patterns were determined by electrophoresis in a 0.8% agarose gel. If the diplotype is *CYP2B6\*1A/CYP2B6\*1H*, the PCR product with 2B6 T-750C-W-AS shows 1696 and 182 bp fragments and the PCR product with 2B6 T-750C-M-AS shows a 1778 bp fragment. If the diplotype is *CYP2B6\*1B/CYP2B6\*1G*, the PCR product with 2B6 T-750C-W-AS shows a 1778 bp fragment and the PCR product with 2B6 T-750C-M-AS shows 1696 and 182 bp fragments.

Linkage disequilibrium (LD) analysis for the SNPs of the *CYP2B6* gene was performed using SNPalyze software (Dynacom, Yokohama, Japan), and pairwise two-dimensional maps between SNPs were obtained for the  $|D'|$  values.

**Genotyping of CYP2C19, CYP3A4, and CYP3A5 alleles**

*CYP2C19\*2* (splicing defect) and *CYP2C19\*3* (W212X) were genotyped as described previously [24]. *CYP3A4\*4* (I118V), *CYP3A4\*5* (P218R), *CYP3A4\*6* (frameshift), *CYP3A4\*16* (T185S), and *CYP3A4\*17* (F189S) alleles were genotyped as we previously reported [25]. *CYP3A5\*2* (T398N), *CYP3A5\*3* (splicing defect), *CYP3A5\*4* (Q200R), *CYP3A5\*5* (splicing defect), and *CYP3A5\*7* (frameshift) were genotyped by PCR-RFLP or AS-PCR methods. The primer sets, annealing temperatures, restriction enzymes, and fragment length are summarized in Table 2.

**Genotyping of ALDH1A1 and GST alleles**

*ALDH1A1\*3* (-523 insATG) was genotyped by the AS-PCR method. The primer sets, annealing temperatures, and fragment length are also summarized in Table 2. *GSTA1\*B* (g.-631T > G, g.-567T > G, g.-69C > T, g.-52G > A) and *GSTP1\*B* (I105V) alleles were genotyped as described previously [26,27]. Null alleles of *GSTM1* and *GSTT1* were genotyped by the multiplex PCR method reported by Chen *et al.* [28] with a slight modification of the antisense primer for *GSTT1* (5'-GCCTTCAGAATGACCTCATG-3').  $\beta$ -Globin was coamplified and used as an internal control. The amplified product was visualized in an ethidium bromide-stained 2% agarose gel. The expected sizes of *GSTM1*, *GSTT1*, and  $\beta$ -globin were 215, 388, and 268 bp, respectively. When no PCR product was detected despite the presence of  $\beta$ -globin, it was considered to be a null genotype.

**Analysis of adverse reactions**

Adverse reactions in each patient were assessed in the course of chemotherapy in which pharmacokinetics were analyzed. As indicators of myelosuppression, leukocytes and neutrophils were counted after drug administration. The nadirs of the counts were used as reflecting the maximal myelosuppression. The other adverse reactions analyzed were gastrointestinal toxicity and alopecia. These toxicities were assessed on the basis of common toxicity criteria grading (NCI-CTC version 2). The highest grades observed throughout all courses were assessed. Although the data of myelosuppression were obtained from all patients, the data of gastrointestinal toxicity and alopecia were obtained from 89 patients.

**Statistical analyses**

Fisher's exact test was used to verify agreement of the observed genotype frequencies with the expected ones (Hardy-Weinberg equilibrium). Pharmacokinetic data are presented as mean  $\pm$  SD. Data were evaluated for equal variance by the Bartlett *F*-test using an SPSS computer package (Version 13.0, SPSS, Chicago, Illinois, USA). Mann-Whitney *U*-test was used to investigate the sex and group differences in the pharmacokinetic parameters. The relationship between the pharmacokinetic para-

meters and CTC grades, and the relationship between the percentage decrease in leukocyte or neutrophil count and *CYP2B6* genotypes were investigated by Kruskal-Wallis test and Steel-Dwass test. The relationships between the pharmacokinetic parameters and genotypes were investigated by one-way analysis of variance and Tukey's test. The statistical significance of differences in the incidence of severe toxicity between the *CYP2B6* genotypes was tested by Fisher's exact method.  $P < 0.05$  was considered statistically significant.

**Results****Pharmacokinetic parameters of cyclophosphamide and 4-hydroxycyclophosphamide**

The pharmacokinetic parameters of CPA and 4-OH-CPA are shown in Table 3. Dose of CPA for patients with malignant lymphoma was significantly higher than that for patients with breast cancer. The AUC and  $t_{1/2}$  of CPA as well as the AUC of 4-OH-CPA in patients with malignant lymphoma were significantly higher than those in patients with breast cancer. No statistical difference in the AUC ratio of 4-OH-CPA/CPA was, however, calculated as the metabolic index between the two population groups. In patients with malignant lymphoma, women showed significantly higher AUC values of CPA and 4-OH-CPA than men. No sex difference was observed in the AUC ratio of 4-OH-CPA/CPA. Therefore, the CL of CPA and the AUC ratio of 4-OH-CPA/CPA in all patients were collectively analyzed in the subsequent analyses. In overall patients ( $n = 103$ ), the interindividual difference in the CL of CPA was approximately 15-fold (1.4–20.5 l/h). The interindividual variability of the AUC ratio of 4-OH-CPA/CPA was as high as 54-fold (0.001–0.054).

**Adverse reactions**

Leukocytopenia of grades 3 and 4 was observed in 40 and 16 patients, respectively. Neutropenia of grades 3 and 4 was observed in 24 and 35 patients, respectively. Gastrointestinal toxicity of grade 3, as evidenced by severe nausea and vomiting, was observed in five patients, and severe alopecia of grade 2 was observed in 20 patients. Figure 2 shows scatterplots of the toxicity grade versus the AUC of 4-OH-CPA. The patients suffering from severe leukocytopenia or neutropenia had significantly ( $P < 0.05$ ) higher AUC of 4-OH-CPA, supporting the previous finding that 4-OH-CPA is an active metabolite. As the AUC of 4-OH-CPA in patients with malignant lymphoma was significantly higher than that in patients with breast cancer, patients with malignant lymphoma seemed to have severer myelosuppression than patients with breast cancer.

**Genetic polymorphisms of CYPs genes**

The allele frequencies of the *CYP2B6\*4*, *CYP2B6\*5*, *CYP2B6\*6*, and *CYP2B6\*9* in the 103 Japanese cancer patients were 5.3, 0.5, 18.4, and 1.0, respectively (Table 4). The genotype frequencies were in the Hardy-Weinberg

Table 3 Pharmacokinetic parameters of CPA and 4-OH-CPA (n=103)

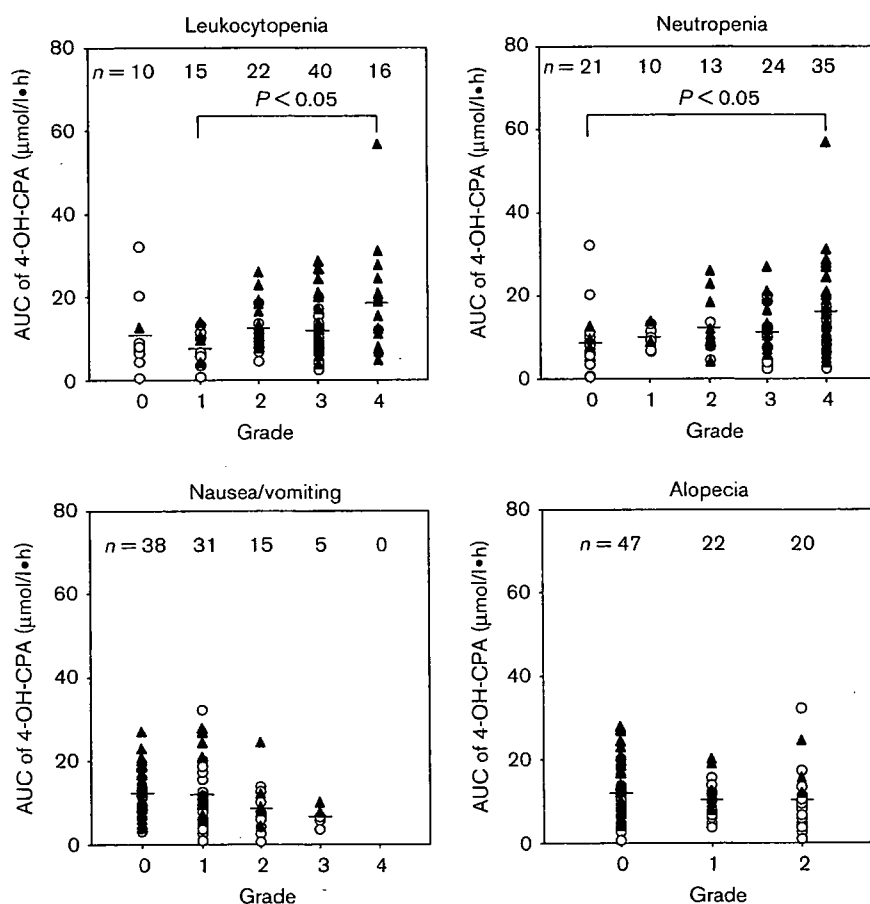
	Malignant lymphoma (Regimen: CHOP or R-CHOP)			Breast cancer (Regimen: AC)	Total (n=103)	Range
	Men (n=26)	Women (n=26)	Total (n=52)	Women (n=51)		
Dose (mg/body) CPA	1196 ± 191	1065 ± 127††	1131 ± 174**	870 ± 110	1002 ± 195	(600–1500)
AUC (μmol/l·h)	1125.8 ± 412.8	1139.3 ± 468.4††	1132.6 ± 437.2**	774.8 ± 241.9	955.4 ± 395.7	(256.8–2261.8)
CL (l/h)	4.5 ± 3.5	3.9 ± 2.2	4.2 ± 2.9	4.0 ± 1.3	4.1 ± 2.3	(1.4–20.5)
t <sub>1/2</sub> (h)	7.0 ± 2.3	6.3 ± 1.9	6.6 ± 2.1*	5.7 ± 1.6	6.2 ± 1.9	(2.6–11.7)
4-OH-CPA AUC (μmol/l·h)	13.7 ± 6.5	17.3 ± 11.3††	15.5 ± 9.3**	9.4 ± 5.7	12.5 ± 8.3	(0.7–56.6)
AUC ratio 4-OH-CPA/CPA	0.015 ± 0.012	0.018 ± 0.013	0.017 ± 0.013	0.014 ± 0.011	0.015 ± 0.012	(0.001–0.054)

Data are mean ± SD.

\*P<0.05, \*\*P<0.005, compared with patients with breast cancer (women, n=51) by Mann-Whitney U-test.

††P<0.005, compared with men patients with malignant lymphoma (n=26) by Mann-Whitney U-test.

Fig. 2



Scatterplots depicting the toxicity grades based on common toxicity criteria grading versus the area under the curve (AUC) of 4-hydroxycyclophosphamide (4-OH-CPA). Closed triangle and open circle represent the patients with malignant lymphoma and breast cancer, respectively. Statistical significance ( $P < 0.01$ ) was observed between leukocytopenia or neutropenia and the AUC of 4-OH-CPA by Kruskal-Wallis test and Steel-Dwass test.

equilibrium ( $P$  values were higher than 0.141). No patient had the *CYP2B6*\*8, *CYP2B6*\*11, *CYP2B6*\*12, *CYP2B6*\*14, or *CYP2B6*\*15 alleles. These results were in accordance with previous studies in Japanese [13,29]. A patient having the lowest metabolic potency (AUC ratio

of 4-OH-CPA/CPA was 0.001) was genotyped as a homozygote of the *CYP2B6*\*1 allele. To investigate whether unknown novel mutation(s) might exist in the *CYP2B6* gene, we performed direct sequence analyses. Consequently, we found that this patient was hetero-

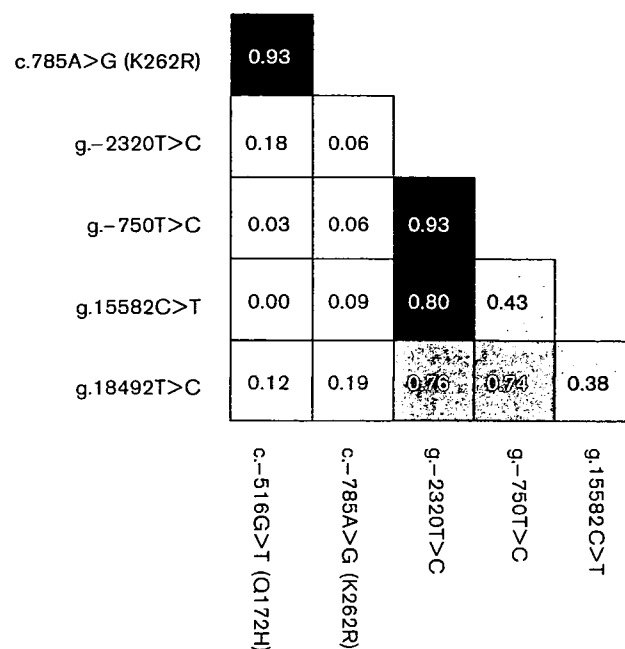
**Table 4** Allele frequencies of *CYPs*, *ALDH*, and *GST* genes in Japanese cancer patients ( $n=103$ )

Allele	Effect	Allele frequency (%)
<i>CYP2B6*4</i>	K262R	5.3
<i>CYP2B6*5</i>	R487C	0.5
<i>CYP2B6*6</i>	Q172H, K262R	18.4
<i>CYP2B6*7</i>	Q172H, K262R, R487C	0
<i>CYP2B6*8</i>	K139E	0
<i>CYP2B6*9</i>	Q172H	1.0
<i>CYP2B6*11</i>	M46V	0
<i>CYP2B6*12</i>	G99E	0
<i>CYP2B6*14</i>	R140Q	0
<i>CYP2B6*15</i>	I391N	0
<i>CYP2C19*2</i>	Splicing defect	31.1
<i>CYP2C19*3</i>	W212X	12.6
<i>CYP3A4*4</i>	I118V	0
<i>CYP3A4*5</i>	P218R	0.5
<i>CYP3A4*6</i>	Frameshift	0
<i>CYP3A4*16</i>	T185S	2.4
<i>CYP3A4*17</i>	F189S	0
<i>CYP3A5*2</i>	T398N	0
<i>CYP3A5*3</i>	Splicing defect	75.7
<i>CYP3A5*4</i>	Q200R	0
<i>CYP3A5*5</i>	Splicing defect	0
<i>CYP3A5*7</i>	Frameshift	0
<i>ALDH1A1*3</i>	g.-523 insATG	0
<i>GSTA1*B</i>	g.-631T>G, g.-567T>G, g.-69C>T, g.-52G>A	13.6
<i>GSTM1*O</i>	Null	54.4 <sup>a</sup>
<i>GSTP1*B</i>	I105V	15.0
<i>GSTT1*O</i>	Null	49.5 <sup>a</sup>

<sup>a</sup>Null genotype frequency, not allele frequency.

zygous for four SNPs, g.-2320T > C, g.-750T > C, g.15582C > T (intron 3), and g.18492T > C (intron 5). Although three SNPs, g.-2320T > C, g.-750T > C, and g.15582C > T, had been already reported [10], the SNP g.18492T > C was first found in this study. Next, all patients were subjected to the genotyping of these SNPs in the 5'-flanking region and introns, regardless of the presence or absence of SNPs in coding region. The frequencies of the SNPs g.-2320T > C and g.-750T > C were 51.9 and 68.4%, respectively. The allele frequencies of *CYP2B6\*1B* (g.-2320T > C), *CYP2B6\*1G* (g.-750T > C), and *CYP2B6\*1H* (g.-2320T > C and g.-750T > C) were 1.0, 17.5, and 51.0%, respectively. The frequencies of the SNPs g.15582C > T, and g.18492T > C were 81.6 and 70.9%, respectively. The extent of LD within the *CYP2B6* gene is shown in Fig. 3. A strong LD was shown between the SNPs c.516G > T (Q172H) and c.785A > G (K262R). In addition, high LD was shown between the SNPs in the 5'-flanking region and introns.

The allele frequencies of *CYP2C19\*2* and *CYP2C19\*3* were 31.1 and 12.6%, respectively (Table 4), and these were consistent with previous results from healthy Japanese participants [30]. The *CYP3A4\*5* and *CYP3A4\*16* alleles were found with frequencies of 0.5 and 2.4%, respectively, but the *CYP3A4\*4*, *CYP3A4\*6*, and *CYP3A4\*17* alleles were not found in this study. Among 103 patients, 38 and 59 patients were heterozygotes and

**Fig. 3**

Linkage disequilibrium (LD) analysis of *CYP2B6* single nucleotide polymorphisms (SNPs). A standard shading scheme is used to display LD, with dark shade indicating very strong LD ( $|D'|=0.8-1$ ), white indicating no LD ( $|D'|<0.2$ ), and light shades indicating intermediate LD. The SNP leading the amino acid change R487C in the *CYP2B6\*5* allele was not included in this analysis, because this allele was found in only a patient heterozygously.

homozygotes of *CYP3A5\*3*, respectively resulting in an allele frequency of 75.7%. No patient had the *CYP3A5\*2*, *CYP3A5\*4*, *CYP3A5\*5*, *CYP3A5\*7* alleles. These frequencies of the *CYP3A* alleles were comparable to those of previous reports in Japanese participants [29,31].

#### Genetic polymorphisms of *ALDH1A1* and *GST* genes

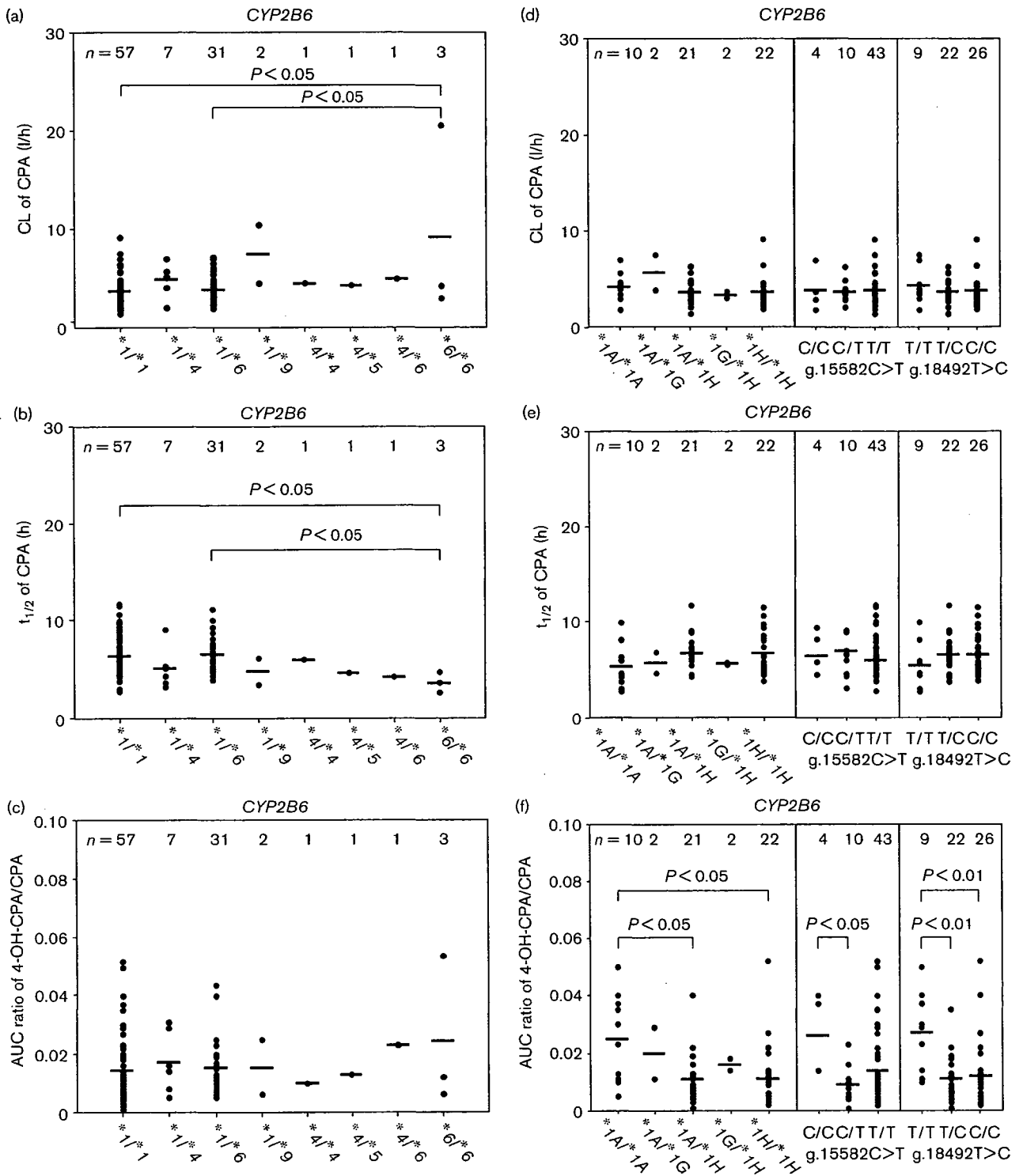
No patient had the *ALDH1A1\*3* allele. The allele frequencies of *GSTA1\*B* and *GSTP1\*B* were 13.6 and 15.0%, respectively (Table 4). The *GSTM1\*O* (*GSTM1* null) and *GSTT1\*O* (*GSTT1* null) genotype were found in 56 and 51 patients, respectively. Among them, 33 patients had the double-null genotype. These frequencies were comparable to those of previous reports [26,32,33].

#### Effects of genetic polymorphisms of drug-metabolizing enzymes on pharmacokinetics of cyclophosphamide and 4-hydroxycyclophosphamide

The relationship between the CL of CPA or AUC ratios of 4-OH-CPA/CPA and the genotypes of drug metabolism enzymes were investigated. The CL of CPA in homozygotes of *CYP2B6\*6* ( $9.2 \pm 9.8$  l/h) was significantly ( $P < 0.05$ ) higher than that in homozygotes of *CYP2B6\*1* ( $3.8 \pm 1.5$  l/h) or heterozygotes of *CYP2B6\*1/\*6* ( $3.8 \pm 1.5$  l/h) (Fig. 4a). The possibility could not be

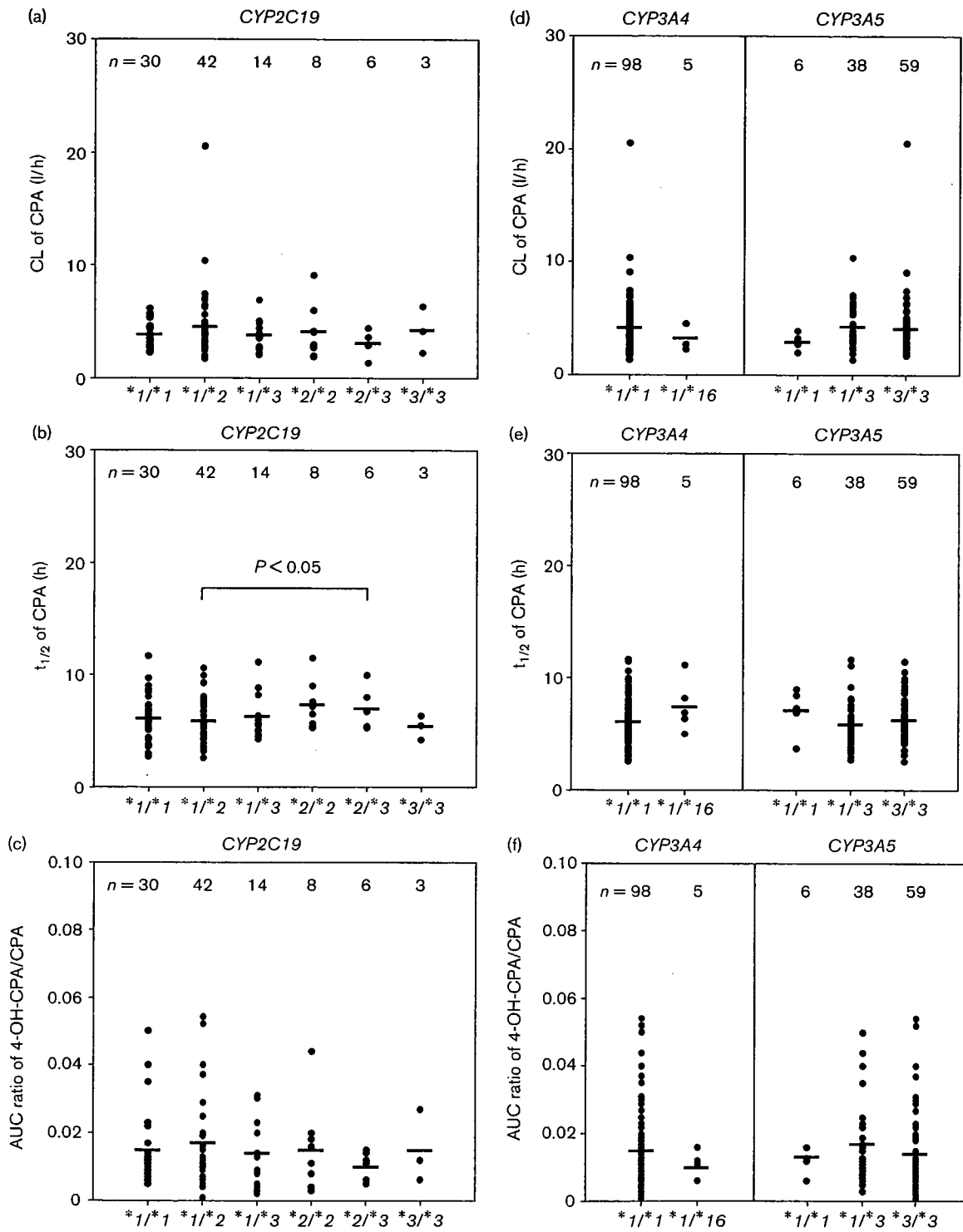


Fig. 4



Pharmacokinetic parameters of cyclophosphamide (CPA) and 4-hydroxycyclophosphamide (4-OH-CPA) in cancer patients with different genotypes of the *CYP2B6* gene. The clearance of CPA (a and d), half-life of CPA (b and e), and the area under the curve (AUC) ratio of 4-OH-CPA/CPA (c and f) were analyzed. Each point represents an individual, and the mean value in each genotype is shown with a bar. CL, clearance.

Fig. 5



Pharmacokinetic parameters of cyclophosphamide (CPA) and 4-hydroxycyclophosphamide (4-OH-CPA) in cancer patients with different genotypes for *CYP2C19* (a–c) and *CYP3A* (d–f) genes. The clearance of CPA (A and D), half-life of CPA (b and e), and the area under the curve (AUC) ratio of 4-OH-CPA/CPA (c and f) were analyzed. Each point represents an individual ( $n=103$ ), and the mean value in each genotype is shown with a bar.

denied, however, that the data from one outlier in homozygotes of *CYP2B6*\*6 affected the statistical analysis. The half-life of CPA in homozygotes of *CYP2B6*\*6 ( $3.6 \pm 1.0$  h) was significantly ( $P < 0.05$ ) shorter than that in homozygotes of *CYP2B6*\*1 ( $6.3 \pm 2.0$  h) or heterozygotes of *CYP2B6*\*1/\*6 ( $6.5 \pm 1.7$  h) (Fig. 4b). The AUC ratios were not different between different genotypes of the *CYP2B6* alleles leading to amino acid changes (Fig. 4c). Next, the SNPs in the 5'-flanking region or introns were analyzed for the relationship with the pharmacokinetic parameters. In this analysis, to exclude the effects of SNPs in coding region, the data from the homozygotes of *CYP2B6*\*1 ( $n = 57$ ) were used. As shown in Fig. 4f, the participants with *CYP2B6*\*1A/\*1H ( $0.011 \pm 0.009$ ) or the participants with *CYP2B6*\*1H/\*1H ( $0.011 \pm 0.011$ ) showed significantly ( $P < 0.05$ ) lower AUC ratios than the participants with *CYP2B6*\*1A/\*1A ( $0.025 \pm 0.015$ ). Heterozygotes of g.15582T ( $0.009 \pm 0.006$ ) showed significantly ( $P < 0.05$ ) lower AUC ratios than homozygotes of g.15582C ( $0.026 \pm 0.014$ ). In addition, heterozygotes ( $0.011 \pm 0.008$ ) and homozygotes ( $0.012 \pm 0.012$ ) of g.18492C showed significantly ( $P < 0.01$ ) lower AUC ratios than homozygotes of g.18492T ( $0.027 \pm 0.014$ ).

As shown in Fig. 3, the SNPs in 5'-flanking region or introns showed low LD with the SNPs c.516G > T and c.785A > G. The SNPs in the 5'-flanking region or introns were, however, actually found in the participants possessing the SNPs in the coding region. We investigated the relationship between the pharmacokinetic and the SNPs in the 5'-flanking region in 46 participants possessing the SNPs in the coding region. Among the participants genotyped as *CYP2B6*\*1/\*4 or *CYP2B6*\*1/\*6, classification based on the SNPs in the 5'-flanking region did not find any relationship with the pharmacokinetics (data not shown). The other participants genotyped as *CYP2B6*\*1/\*9, *CYP2B6*\*4/\*4, *CYP2B6*\*4/\*5, *CYP2B6*\*4/\*6, and *CYP2B6*\*6/\*6 could not be investigated for the effects of the SNPs in the 5'-flanking region, because of the small sample size. Thus, definitive conclusion could not be drawn by the combination analysis for the SNPs in noncoding and coding region.

Concerning the genetic polymorphisms of *CYP2C19*, no relationship was observed between the CL of CPA and AUC ratio of 4-OH-CPA/CPA (Fig. 5a and c). Although there was no statistical difference in the half-life of CPA in homozygotes of *CYP2C19*\*1 ( $6.1 \pm 2.1$  h) and heterozygotes of *CYP2C19*\*1/\*2 ( $5.9 \pm 1.9$  h), the half-life in heterozygotes of *CYP2C19*\*2/\*3 ( $7.0 \pm 1.8$  h) was significantly ( $P < 0.05$ ) longer than in heterozygotes of *CYP2C19*\*1/\*2 (Fig. 5b). In addition, homozygotes of *CYP2C19*\*2 ( $7.3 \pm 2.1$  h) tend to show longer half-lives. Concerning the genetic polymorphisms of *CYP3A4* and *CYP3A5*, no relationship was observed between the CL

**Table 5 Association of *CYP2B6* genotypes and leukocytopenia in Japanese cancer patients ( $n = 57$ )**

	Leukocytopenia (grade $\geq 2$ )		The percent decrease in leukocyte count [(baseline-nadir)/baseline] $\times 100$ (%)
	Not experienced	Experienced	
<i>CYP2B6</i> *1A/*1A ( $n = 10$ )	0 (0%)	10 (100%)*	72.3 $\pm$ 18.1
<i>CYP2B6</i> *1A/*1H ( $n = 21$ )	4 (19%)	17 (81%)*	59.1 $\pm$ 21.5
<i>CYP2B6</i> *1H/*1H ( $n = 22$ )	9 (41%)	13 (59%)*	51.2 $\pm$ 32.9
<i>CYP2B6</i> *1A/*1G ( $n = 2$ )	0 (0%)	2 (100%)	(43.6, 58.2)
<i>CYP2B6</i> *1G/*1H ( $n = 2$ )	0 (0%)	2 (100%)	(55.0, 90.4)
g.15582C>T			
C/C ( $n = 4$ )	0 (0%)	4 (100%)	79.3 $\pm$ 16.3
C/T ( $n = 10$ )	2 (20%)	8 (80%)	63.5 $\pm$ 24.4
T/T ( $n = 43$ )	11 (26%)	32 (74%)	55.5 $\pm$ 27.0
g.18492T>C			
T/T ( $n = 9$ )	0 (0%)	9 (100%)*	75.6 $\pm$ 16.0†
T/C ( $n = 22$ )	3 (14%)	19 (86%)*	60.9 $\pm$ 20.9
C/C ( $n = 26$ )	10 (38%)	16 (62%)*	50.7 $\pm$ 30.8†

\* $P < 0.05$ , by Fisher's exact method.

† $P < 0.05$ , by Kruskal-Wallis and Steel-Dwass test.

Data from the subjects genotyped as *CYP2B6*\*1A/\*1G and *CYP2B6*\*1G/\*1H were not included in the statistical analysis.

and half-life of CPA, and the AUC ratio of 4-OH-CPA/CPA (Fig. 5d-f). No relationship between the AUC of 4-OH-CPA and the genotypes of *GSTs* was observed (data not shown).

#### Association between *CYP2B6* genotypes and adverse reaction of cyclophosphamide

Of the drug-metabolizing enzymes examined in this study, only the genotypes of the *CYP2B6* gene were associated with adverse reactions from CPA (Table 5). All of 10 patients with *CYP2B6*\*1A/\*1A (100%) developed severe ( $\geq$  grade 2) leukocytopenia. In contrast, the percentages of the patients developing severe leukocytopenia were decreased with *CYP2B6*\*1H allele (81% in *CYP2B6*\*1A/\*1H and 59% in *CYP2B6*\*1H/\*1H) ( $P < 0.05$ ). Similarly, all of nine homozygotes of g.18492T (100%) developed severe leukocytopenia, compared with 86% of the heterozygotes or 62% of the homozygotes of g.18492C ( $P < 0.05$ ). These results suggested that the SNPs were associated with the decreased risk of leukocytopenia. The percentage decrease in leukocyte count [(baseline-nadir)/baseline] was larger in the patients with *CYP2B6*\*1A/\*1A (72.3  $\pm$  18.1%) than in patients with *CYP2B6*\*1A/\*1H (59.1  $\pm$  21.5%) or *CYP2B6*\*1H/\*1H (51.2  $\pm$  32.9%), although there was no statistical difference (Table 5). It was significantly larger in the homozygotes of g.18492T (75.6  $\pm$  16.0%) than in homozygotes of g.18492C (50.7  $\pm$  30.8%). Similar tendency was observed in the percentage decrease in neutrophil count although these were statistically insignificant (data not shown). Thus, the patients possessing the SNPs g.-2320T > C, g.

-750T > C, and g.18492T > C showed less adverse reaction. The results suggested that these SNPs would be a good predictor of toxicity.

## Discussion

The treatment of cancer with drugs requires a dose high enough to achieve the maximal antitumor effects, but low enough to avoid serious side effects, resulting in a narrow therapeutic range. Anticancer drugs have wide interindividual variation in the responses owing to the pharmacokinetic variability. One of the causes of the variation in drug effects is genetic polymorphisms of drug-metabolizing enzymes. Much progress has been made over the last decade in understanding the relationship between genetic polymorphisms of drug-metabolizing enzymes and interindividual variability in the drug response. For cancer therapy, the correlation has also been the subject of much research concerning, for example, thiopurine methyltransferase for 6-mercaptopurine [34], dihydropyrimidine dehydrogenase for 5-fluorouracil [35], and UDP-glucuronosyltransferase for irinotecan [36]. In this study, we focused on CPA. CPA is a prodrug, which exerts its effect after hepatic bioactivation to 4-OH-CPA by CYP2B6. In addition, CYP2C19, CYP3A4, and CYP3A5 are also involved in this metabolic activation pathway [2,3,4,21]. Alternatively, 4-OH-CPA was found to be inactivated through ALDH1A1 and GSTs [7,8]. We sought to study the interindividual variability in the pharmacokinetics of CPA and 4-OH-CPA and its association with genetic polymorphisms of drug-metabolizing enzymes in Japanese cancer patients.

In contrast to the previous study by Xie *et al.* [18] reporting that Caucasian Q172H carriers showed higher AUC ratios of 4-OH-CPA/CPA ( $0.027 \pm 0.020$ ) than noncarriers ( $0.017 \pm 0.014$ ), we found no association between the CPA 4-hydroxylation and the *CYP2B6\*6* and *CYP2B6\*9* alleles in Japanese patients. The discrepancy might be because of some factors including ethnic differences, the number of participants (eight carriers and 21 noncarriers in 29 Caucasians versus 37 carriers and 66 noncarriers in 103 Japanese), dose of CPA, or comedications. The current results regarding *CYP2B6\*6* were in accordance with those in the study by Kirchheiner *et al.* [15], who reported that the in-vivo CL of bupropion did not differ between *CYP2B6\*6* carriers and noncarriers. Kirchheiner *et al.* [15] also reported that the CL of bupropion in *CYP2B6\*4* carriers was higher than that in wild participants. In addition, an in-vitro study with a heterologous expression system revealed that CYP2B6.4 showed higher activity compared with CYP2B6.1 (wild-type) [16]. In this study, although the heterozygotes of *CYP2B6\*4* ( $0.017 \pm 0.010$ ) tended to show higher AUC ratios than homozygotes of *CYP2B6\*1* ( $0.014 \pm 0.012$ ), the difference was statistically insignificant.

In Caucasians, the frequencies of g.-2320T > C and g.-750T > C have been reported to be 30.0 and 56.6%, respectively [37]. We first determined the frequencies of g.-2320T > C and g.-750T > C (51.9 and 68.4%, respectively) in Japanese participants. Zukunft *et al.* [37], using a luciferase assay, reported that g.-750T > C did not affect the transcriptional activity of the *CYP2B6* gene. There has been no report concerning the effects of g.-2320T > C on the transcriptional activity. As shown in Fig. 4f, we found that these SNPs, however, were significantly associated with decreased CPA 4-hydroxylation. We also found that the SNP g.15582C > T in intron 3 was associated with decreased CPA 4-hydroxylation. Lamba *et al.* [10] reported that this SNP was associated with a splicing variant lacking exons 4 to 6. In accordance with their report [10], a high degree of linkage was observed between the SNPs g.-2320T > C, g.-750T > C, and g.15582C > T. It remains to be clarified whether the effects of the SNP g.15582C > T on CYP2B6 activity might be associated with the splicing variant or be due to the linkage with SNP in the 5'-flanking region. In this study, we identified a novel SNP, g.18492T > C, in intron 5, which is common in Japanese participants (70.9%). This SNP was also associated with decreased CPA 4-hydroxylation. To investigate the possibility that the SNP might be associated with a splicing variant, we performed RT-PCR analyses using total RNA samples from 19 human livers. We could not find any product indicating a splicing variant (data not shown).

The *CYP2C19\*2* and *CYP2C19\*3* alleles were associated with defective enzyme function [38]. Timm *et al.* [19] demonstrated that *CYP2C19\*2* carriers had lower elimination constants of CPA than noncarriers. Our study may possibly support this finding because the heterozygotes of *CYP2C19\*2/\*3* showed a significantly longer half-life of CPA than heterozygotes of *CYP2C19\*1/\*2*. Roy *et al.* [3] has reported that CYP2C19 could not practically contribute to CPA 4-hydroxylation in human livers (< 6% of the total activity). Therefore, the effects of the *CYP2C19* polymorphism on CPA metabolism could not be explained. Although the involvement of CYP2C9 in CPA metabolism has been demonstrated, its contribution is controversial [3,39,40]. In addition, the *CYP2C9* polymorphic alleles are very rare in Japanese [41]. Accordingly, we did not investigate the *CYP2C9* polymorphisms. The *CYP3A4\*16* allele has been reported to be associated with decreased testosterone hydroxylase activity in a heterologous expression system [42]. In our study, no effects of the *CYP3A4\*16* allele on CPA 4-hydroxylation were observed. This might be because of the small number of *CYP3A4\*16* carriers ( $n = 5$ ) or the minor contribution (12–18%) of CYP3A4 in CPA 4-hydroxylation in human liver microsomes [3]. CYP3A4 also has a role in *N*-dechloroethylation of CPA (10% of dose), which might complicate the evaluation. CYP3A5 is polymorphically expressed owing to the *CYP3A5\*3* allele, causing

alternative splicing and protein truncation [43]. Surprisingly, Petros *et al.* [44] reported a reverse association, namely that the AUC of CPA was higher in patients with *CYP3A5\*1* than in patients with *CYP3A5\*3*. This study showed no association between the *CYP3A5\*3* genotype and CPA metabolism. The contribution of GSTA1, M1, P1, and T1 isoforms to the CPA metabolism has been reported [7,45]. No polymorphisms of the *GST* genes were associated with the pharmacokinetics of 4-OH-CPA.

It has been reported that vincristine and prednisone did not affect the pharmacokinetics of CPA [46]. Besides anticancer drugs, a variety of drugs are possibly coadministered with anticancer drugs. Indeed, patients in this study received drugs such as calcium blockers, antiarrhythmic agents, antihistamines, NSAIDs, analgesics, and so on. Such many kinds of drugs, however, prohibited us to analyze the effects of comedication on the pharmacokinetics of CPA. In addition, it should be minded that we cannot interpret whether the observed myelosuppression was because of CPA or the other coadministered anticancer drugs. Thus, clinical study, especially for anticancer drugs, has several issues to be addressed.

This is the first study in which the pharmacokinetics of CPA and its metabolite and the genetic polymorphisms of drug-metabolizing enzymes were comprehensively analyzed in Japanese cancer patients. We found that SNPs in the promoter region or introns in the *CYP2B6* gene were associated with decreased CPA 4-hydroxylation and adverse reactions.

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# Irinotecan pharmacokinetics/pharmacodynamics and *UGT1A* genetic polymorphisms in Japanese: roles of *UGT1A1*\*6 and \*28

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**Objectives** SN-38, an active metabolite of irinotecan, is detoxified by glucuronidation with *UGT1A* isoforms, 1A1, 1A7, 1A9, and 1A10. The pharmacogenetic information on *UGT1A* haplotypes covering all these isoforms is important for the individualized therapy of irinotecan. Associations between *UGT1A* haplotypes and pharmacokinetics/pharmacodynamics of irinotecan were investigated to identify pharmacogenetic markers.

**Methods** Associations between *UGT1A* haplotypes and the area under concentration curve ratio (SN-38 glucuronide/SN-38) or toxicities were analyzed in 177 Japanese cancer patients treated with irinotecan as a single agent or in combination chemotherapy. For association analysis, diplotypes of *UGT1A* gene segments [(1A1, 1A7, 1A9, 1A10), and Block C (common exons 2–5)] and combinatorial haplotypes (1A9-1A7-1A1) were used. The relationship between diplotypes and toxicities was investigated in 55 patients treated with irinotecan as a single agent.

**Results** Among diplotypes of *UGT1A* genes, patients with the haplotypes harboring *UGT1A1*\*6 or \*28 had significantly reduced area under concentration curve ratios, with the effects of *UGT1A1*\*6 or \*28 being of a similar scale. A gene dose effect on the area under concentration curve ratio was observed for the number of haplotypes containing \*28 or \*6 (5.55, 3.62, and 2.07 for 0, 1, and 2 haplotypes, respectively,  $P < 0.0001$ ). In multivariate

analysis, the homozygotes and double heterozygotes of \*6 and \*28 (\*6/\*6, \*28/\*28 and \*6/\*28) were significantly associated with severe neutropenia in 53 patients who received irinotecan monotherapy.

**Conclusions** The haplotypes significantly associated with reduced area under concentration curve ratios and neutropenia contained *UGT1A1*\*6 or \*28, and both of them should be genotyped before irinotecan is given to Japanese and probably other Asian patients. *Pharmacogenetics and Genomics* 17:497–504 © 2007 Lippincott Williams & Wilkins.

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**Keywords:** diplotypes, genetic polymorphism, haplotype, irinotecan, SN-38, *UGT1A1*

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## Introduction

Irinotecan, an anticancer prodrug, is widely applied for colorectal, lung, stomach, ovarian, and other various cancers. It is activated by carboxylesterases to SN-38 (7-ethyl-10-hydroxycamptothecin), which shows antitumor activity by inhibiting topoisomerase I [1,2]. SN-38 is subsequently glucuronidated by uridine diphosphate glucuronosyltransferases (*UGTs*) to form an inactive metabolite, SN-38 glucuronide (SN-38G) [3]. Dose-limiting toxicities of irinotecan are diarrhea and leukopenia [4], and reduced activity for SN-38G formation is closely related to severe toxicities [5]. Among *UGT*

isoforms, *UGT1A1* is abundant in both the liver and intestine and is thought to be mainly responsible for inactivation of SN-38 [3,6]. Genetic polymorphisms of *UGT1A1* result in reduced enzyme activity and increased toxicity by irinotecan. A significant association of *UGT 1A1*\*28, a repeat polymorphism of the TATA box (-40\_-39insTA) [3,7], with severe irinotecan-induced diarrhea/leukopenia was first reported in a retrospective study of Japanese cancer patients [8]. Subsequent pharmacogenetic studies in Caucasians have shown close associations of \*28 with reduced glucuronidation of SN-38 and/or severe neutropenia/diarrhea [9–12]. These

studies have clearly indicated that \*28 is a good genetic marker for individualized irinotecan therapy. On the basis of these observations, the Food and Drug Administration of the United States has approved an amendment of the label for Camptosar (irinotecan HCl) and added a warning to consider a reduction in the starting dose of irinotecan for \*28 homozygous patients (NDA 20-571/S-024/S-027/S-028).

There is significant racial difference in *UGT1A1* polymorphisms among Asians, Caucasians, and Africans [13]. Although the association of *UGT 1A1\*28* with toxicities by irinotecan was first described in Japanese patients, its frequency in Japanese is one-third of that in Caucasians. Another low-activity allele \*6 [211G > A(G71R)], which is not detected in Caucasians or Africans, is as frequent as the \*28 allele in Japanese. Moreover, the area under concentration curve (AUC) ratio of SN-38G to SN-38 was decreased in patients having \*6 haplotypes [14].

In addition to *UGT1A1*, recent studies have suggested possible contributions to SN-38G formation by *UGT1A7*, *1A9*, and *1A10* [15–17], which are expressed in the gastrointestinal tract, the liver and intestine, and extrahepatic tissues, respectively [18]. Altered activity resulted from genetic polymorphisms of these isoforms, including *1A7\*3* [387T > G(N129K), 391C > A(R131K), 622T > C(W208R)], *1A9\*22* (-126\_-118T<sub>9</sub> > T<sub>10</sub>), *1A9\*5* [766G > A(D256N)], and *UGT1A10\*3* [605C > T(T202I)], but clinical relevance of these polymorphisms is yet to be elucidated [16,19–24]. Moreover, close linkages among *1A9*, *1A7*, and *1A1* polymorphisms were found in Caucasians and Asians in an ethnic-specific manner [20,25–27]. Therefore, comprehensive investigation that covers these genes, along with linkages among the polymorphisms, is needed, in each ethnic population, to evaluate associations between the genetic polymorphisms and pharmacokinetics, as well as clinical outcomes of irinotecan therapy.

Recently, we have analyzed the segmental and block haplotypes of *1A8*, *1A10*, *1A9*, *1A7*, *1A6*, *1A4*, *1A3* and *1A1*, and the common exons 2–5 (Block C) in a Japanese population, including the 177 cancer patients treated with irinotecan, and showed close linkages between the haplotypes, that is, *1A9\*22* and *1A7\*1*, *1A7\*3* and *1A1\*6*, and *1A7\*3* and *1A1\*28* [28]. Preliminary results of *UGT1A1* pharmacogenetics on 85 of these cancer patients were reported previously [14]. In the current study, we investigated the pharmacogenetics of irinotecan, focusing on diplotypes of the *UGT1A* complex covering *1A1*, *1A7*, *1A9*, *1A10*, and Block C (exons 2–5) of 177 patients, so as to elucidate haplotypes or genetic markers associated with altered glucuronidation of SN-38 and toxicities.

## Methods

### Patients and treatment schedule

Patients with cancers who started chemotherapy with irinotecan at two National Cancer Center Hospitals

(Tokyo and Kashiwa, Japan) were eligible if they had not received irinotecan previously. Other eligibility criteria included bilirubin  $\leq$  2 mg/dl, aspartate aminotransferase (GOT)  $\leq$  105 IU/l, alanine aminotransferase (GPT)  $\leq$  120 IU/l, creatinine  $\leq$  1.5 mg/dl, white blood cell count  $\geq$  3000/ $\mu$ l, performance status of 0–2, and at least 4 weeks after the last chemotherapy (2 weeks for radiotherapy). Exclusion criteria were diarrhea, active infection, intestinal paralysis or obstruction, and interstitial pneumonitis. The ethics committees of the National Cancer Center and the National Institute of Health Sciences approved this study, and written informed consent was obtained from all participants.

Irinotecan was administered as a single agent or in combination chemotherapy at the discretion of attending physicians. Doses and schedules were according to approved usage in Japan; intravenous 90-min infusion at a dose of 100 mg/m<sup>2</sup> weekly or 150 mg/m<sup>2</sup> biweekly. In terms of combination chemotherapy, the dose of irinotecan was reduced according to clinical protocols.

### Genetic polymorphisms of UGT1As and pharmacokinetics

Detailed assay methods for genotypes of the *UGT1A* gene complex were reported previously [14,28]. In this study, we focused on the genetic variations in *UGT1A1*, *1A7*, *1A9*, and *1A10* and common exons 2–5, as they have been reported to contribute to the SN-38 glucuronidation. Haplotype analysis covering these regions was performed in our previous study [28], and haplotypes of each *UGT1A* segment [exon 1 for *1A1*, *1A7*, *1A9*, or *1A10*; and Block C (common exons 2–5)] are summarized in Fig. 1.

Pharmacokinetic analysis for irinotecan was performed as described previously [14]. Briefly, heparinized blood was collected before administration of irinotecan, as well as 0 and 20 min, and 1, 2, 4, 8, and 24 h after termination of the first infusion of irinotecan. Plasma concentrations of irinotecan, SN-38 and SN-38G were determined by the high-performance liquid chromatography [29], and AUC was calculated by the trapezoidal method using WinNonlin version 4.01 (Pharsight Corporation, Mountain View, California, USA). Associations between genotypes and the AUC ratio (AUC of SN-38G/AUC of SN-38) were evaluated in 176 patients.

### Monitoring and toxicities

A complete medical history and data on physical examinations were recorded before the irinotecan therapy. Complete blood cell counts with differentials and platelet counts, as well as blood chemistry, were measured once a week during the first 2 months of irinotecan treatment. Toxicities were graded according to the Common Toxicity Criteria of National Cancer Institute version 2. Association of genetic factors with irinotecan toxicities was analyzed primarily in patients who received irinotecan as a single agent.



Fig. 1

UGT1A1					
Region	Enhancer	Promoter	Exon 1		Frequency
Nucleotide change	-327a T>G	-40_-39 insTA	211 G>A	686 C>A	
Amino acid change			G71R	P229Q	
Marker allele	*60	*28	*6	*27	
Haplotype	*1				0.548
	*6				0.167
	*60				0.147
	*28	*28b			0.138
	*28c				
	*28d				

UGT1A10					
Region	Exon 1				Frequency
Nucleotide change	4 G>A	177 G>A	200 A>G	605 C>T	
Amino acid change	A2T	M59I	E67G	T202I	
Marker allele	*2T	*2	*67G	*3	
Haplotype	*1				0.981
	*2				0.006
	*2T				0.003
	*3				0.010
	*67G				0.000

UGT1A7					
Region	Exon 1				Frequency
Nucleotide change	387 T>G	391 C>A	392 G>A	622 T>C	
Amino acid change	N129K	R131K		W208R	
Marker allele	*2,*3	*2,*3	*2,*3	*3,*4	
Haplotype	*1				0.630
	*2				0.147
	*3				0.223

Block C							
Region	Exon.4	Exon.5	3'-UTR			Frequency	
Nucleotide change	1091 C>T	1456 T>G	1598 A>C	*211(1013) C>T	*339(1941) C>G		*440(2042) C>G
Amino acid change	P364L	Y486D	H533P				
Marker allele	*364L	*7	*533P	*1B	*1B		*1B
Haplotype	*1A					0.864	
	*1B	*1b-*1j				0.127	
		*533P					
	*7					0.003	
	*364L					0.006	

UGT1A9						
Region	Promoter		Exon 1			Frequency
Nucleotide change	-126_-118 T9>T10	-126_-118 T9>T11	422 C>G	726 T>G	766 G>A	
Amino acid change			S141C	Y242X	D256N	
Marker allele	*22	*T11	*141C	*4	*5	
Haplotype	*1					0.347
	*22					0.644
	*141C					0.000
	*4					0.000
	*5					0.006
	*T11					0.003

Haplotypes of *UGT1A* gene segments (*UGT1A1*, *1A7*, *1A9*, *1A10*, and Block C) in 177 Japanese cancer patients. The tagging variations and haplotypes are shown. Variant alleles are indicated in grey. Definition of Block C haplotypes in our previous paper ([14]) (corresponding to Block 2) were slightly modified.

### Statistical analysis

Statistical analysis on the differences in the AUC ratios (SN-38G/SN-38) among *UGT1A* genotypes was performed using the Kruskal-Wallis test, followed by nonparametric Dunnnett's multiple comparison test, or with Wilcoxon test. Analysis of a gene-dose effect of each haplotype was performed using the Jonckheere-Terpestra test in the SAS system, version 5.0 (SAS Institute, Cary, North Carolina, USA). Relationship of *UGT1A* genetic polymorphisms to the toxicities of irinotecan was assessed by the  $\chi^2$  test via the use of using Prism version 4.0 (GraphPad Prism Software, San Diego, California, USA). The *P*-value of 0.05 (two-tailed) was set as a significant level, and the

multiplicity adjustment was conducted for pharmacokinetics data with the false discovery rate [30].

To identify factors associated with the log-transformed AUC ratio of SN-38G/SN-38, multiple regression analysis was performed using age, sex, body surface area, dosage of irinotecan, history of smoking or drinking, performance status, coadministered drugs, serum biochemistry parameters at baseline, and *1A9-1A7-1A1* and Block C haplotypes (five or more chromosome numbers) or '*1A1*\*6 or \*28'. For multiple regression analysis of neutropenia, variables included the absolute neutrophil count at baseline and the dosing interval, in addition to

the other patient background factors described above. The multivariate analyses were performed by using JMP version 6.0.0 software (SAS Institute). The variables in the final models for both AUC ratio and neutropenia were chosen by forward and backward stepwise procedures at significance levels of 0.25 and 0.05, respectively.

## Results

### Patients and UGT1A haplotypes

Patient demographics and information on the treatment are summarized in Table 1. In addition to UGT1A1, UGT1A7, 1A9, and 1A10 were also reported to glucuronidate SN-38 [15–17]. In our previous study, haplotype analysis covering the 1A9 to 1A1 (5'–3') gene segments was conducted, and the combinatorial diplotypes (1A9-1A7-1A1) of the patients were determined. It must be noted that close linkages between 1A9\*22 and 1A7\*1, between 1A7\*2 and 1A1\*60, and between 1A7\*3 and 1A1\*6 or 1A1\*28 were observed as described previously [28]. To clarify the linkages between these segmental haplotypes (1A9, 1A7, and 1A1), we grouped the combinatorial (1A9-1A7-1A1) haplotypes into four categories (A–D) based on the 1A1 haplotypes (\*1, \*6, \*60, and \*28). Each group was further divided into the subgroups based on the previously defined Block 9/6 (including 1A9, 1A7, and 1A6) haplotypes (Table 2). The frequency of Group B haplotypes (B1–B4) harboring 1A1\*6 was 0.167 and higher than that of Group D haplotypes (D1–D6) with \*28 (0.138) in this population.

### Association of 1A9-1A7-1A1 diplotypes to SN-38G formation

When relationship between the UGT1A diplotypes (1A9-1A7-1A1) and the SN-38G/SN-38 AUC ratio was analyzed

**Table 1 Characteristics of Japanese cancer patients in this study**

	No. of participants	
Age		
Mean/range	60.5/26–78	177
Sex		
Male/female		135/42
Performance status	0/1/2	84/89/4
Combination therapy and tumor type (initial dose of irinotecan; mg/m <sup>2</sup> )		
Irinotecan monotherapy		
Lung (100)		21
Colon (150)		28
Others (100)		7
With platinum-containing drug <sup>a</sup>		48 [60] <sup>c</sup>
Lung (60)		58 <sup>b</sup>
Stomach (70)		9 [80] <sup>c</sup>
Others (60)		5 [80] <sup>c</sup>
With 5-fluorouracil (including tegafur)		34
Colon (100 or 150)		2
Others (90 or 100)		2
With mitomycin-C		10
Stomach (150)		1
Colon (150)		1
Lung (60)		2
Previous treatment		
Surgery	Yes/no	85/92
Chemotherapy	Yes/no	97/80
Radiotherapy	Yes/no	26/151
Smoking history	Yes/no	29/148

<sup>a</sup>Cisplatin, cisplatin plus etoposide or carboplatin.

<sup>b</sup>Two and eight patients received cisplatin and etoposide and carboplatin, respectively.

<sup>c</sup>Number of cisplatin-administered patients [initial dose of cisplatin (mg/m<sup>2</sup>) is shown in brackets].

in the 176 cancer patients the AUC ratio for the diplotypes of B2/B2, D2/A1, and D1/B2 was statistically significantly lower than the A1/A1 diplotype (Fig. 2). These diplotypes harbored 1A1\*6, \*28 or both. Significant gene–dose effects of B2 (among A1/A1, B2/A1, and B2/B2) and C3 (among A1/A1, C3/A1, and C3/C3) were also observed (Fig. 2). As no significant differences in AUC ratios were observed between D1/A1 and D2/A1, D1/C3 and D2/C3, and D1/B2 and D2/B2, the haplotype combination 1A9\*1-1A7\*3 or 1A9\*22-1A7\*1 was not influential on the AUC ratio.

As the effect of diplotypes harboring UGT1A1 polymorphism was prominent, we grouped the whole gene (1A9-1A7-1A1) diplotypes according to the 1A1 diplotypes (the upper part of Fig. 2). Patients with \*6 or \*28 (except for \*28/\*28) haplotypes had significantly lower AUC ratios than the wild-type (\*1/\*1), and significant gene–dose effects were observed for \*28 (among \*1/\*1, \*28/\*1, and \*28/\*28) and \*6 (among \*1/\*1, \*6/\*1 and \*6/\*6). A significant additive effect of \*6 and \*28 on the decreased AUC ratio was also observed when the values for \*28/\*1 were compared with those for \*28/\*6 (Fig. 2 and Table 3).

Regarding other polymorphisms, a statistically nonsignificant tendency to decrease the AUC ratio was observed for \*60

**Table 2 Combinatorial haplotypes covering UGT1A9, UGT1A7, and UGT1A1**

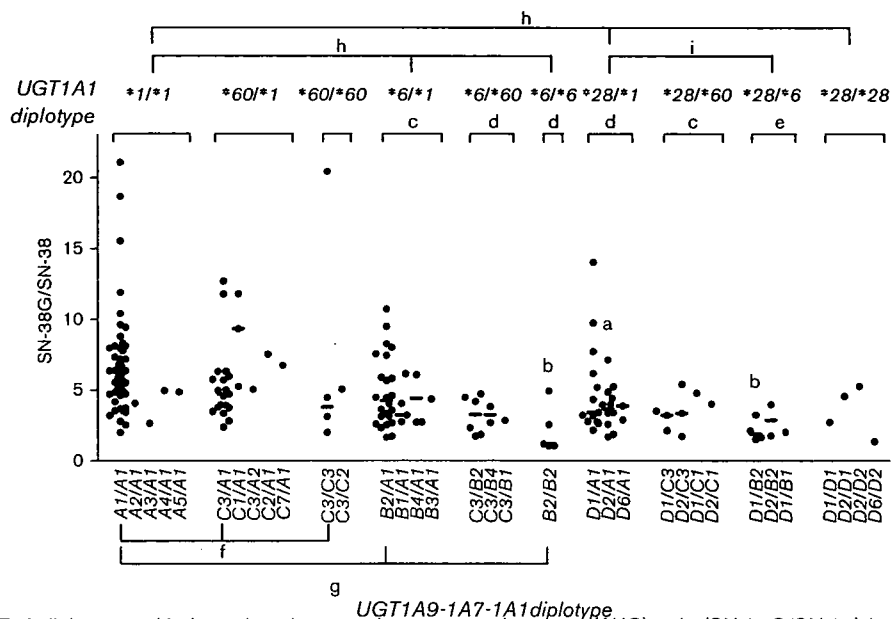
Haplotype	Block haplotype <sup>a</sup>			Combination of segmental haplotypes	Cancer patients	
	Block 9/6	Block 4	Block 3/1		N <sup>b</sup>	Frequency
A1 <sup>c</sup>	*I	*1	*I	*22-1-1	189	0.534
	*I	*3	*I			
A3	*III	*1	*I	*1-2-1	2	0.006
A2	*II	*1	*I	*1-3-1	1	0.003
A4	*IV	*1	*I	*22-3-1	1	0.003
A5				*711-1-1	1	0.003
B2 <sup>e</sup>	*II	*1	*III			
	*II	*1	*VI	*1-3-6	47	0.133
	*II	*4	*VI			
B4	*IV	*1	*III	*22-3-6	6	0.017
B1	*I	*1	*III	*22-1-6	5	0.014
	*I	*1	*VI			
B3	*III	*1	*III	*1-2-6	1	0.003
C3 <sup>c</sup>	*III	*3	*IV			
	*III	*1	*IV			
	*III	*3	*V	*1-2-60	44	0.124
	*III	*1	*V			
C1	*I	*3	*IV	*22-1-60	5	0.014
	*I	*1	*IV			
C2	*II	*3	*IV	*1-3-60	2	0.006
C7	*VII	*3	*V	*22-2-60	1	0.003
D1	*I	*1	*IIa	*22-1-28	23	0.065
	*I	*1	*IIc			
D2	*II	*1	*IIa			
	*II	*3	*IIa	*1-3-28	22	0.062
	*II	*1	*IIc			
D6	*VI	*1	*IIb	*1-2-28	4	0.011
				Total	354	1.000

<sup>a</sup>Block haplotypes described in Ref. [28] are shown for reference. 1A9 and 1A7 are included in block 9/6 and 1A1 is included in block 3/1.

<sup>b</sup>Number of chromosomes.

<sup>c</sup>Major combinatorial haplotypes.

Fig. 2



The association of *UGT1A* diplotypes with the reduced area under concentration curve (AUC) ratio (SN-38G/SN-38) in 176 Japanese cancer patients who received irinotecan. The whole gene (*1A9-1A7-1A1*) diplotypes are shown below the abscissa and the *UGT1A1* diplotypes are indicated in the upper part of the figure. Each point represents a patient value, and the median is indicated by a bar. Significant reductions in the AUC ratio were detected in the *B2/B2*, *D2/A1*, and *D1/B2* compared with *A1/A1* for the whole gene diplotypes [Kruskal-Wallis test ( $P=0.0009$ ) followed by Dunnett's multiple comparison test]. As for the *1A1* diplotypes, significant reductions were detected in the *\*6/\*1*, *\*6/\*60*, *\*6/\*6*, *\*28/\*1*, *\*28/\*60*, and *\*28/\*6* compared with the *\*1/\*1* group [Kruskal-Wallis test ( $P<0.0001$ ) followed by Dunnett's multiple comparison test]. Gene-dose effects on the reduced AUC ratio were significant for *\*6* and *\*28* (Jonckheere-Terpstra test). A significant additive effect of *\*6* on the reduced AUC ratio by *\*28* was detected by comparing *\*28/\*1* and *\*28/\*6*. <sup>a</sup> $P<0.05$  and <sup>b</sup> $P<0.01$  against *A1/A1* group (Dunnett's multiple comparison test); <sup>c</sup> $P<0.05$ , <sup>d</sup> $P<0.01$ , and <sup>e</sup> $P<0.001$  against the *\*1/\*1* group (Dunnett's multiple comparison test); <sup>f</sup> $P<0.05$ , <sup>g</sup> $P<0.001$ , and <sup>h</sup> $P<0.0001$  (Jonckheere-Terpstra test for gene-dose effect); <sup>i</sup> $P<0.01$  (Wilcoxon test).

( $P=0.1134$ ). No significant effects on the AUC ratio were observed for Block C (exon 2–5) haplotypes or rare variations including *1A10* (*\*2T*, *\*2*, or *\*3*) and *1A9* (*\*5*, *\*T11*).

#### Multiple regression analysis of the area under concentration curve ratio

We further assessed the impact of *UGT1A* genetic factors on the AUC ratio by multiple regression analysis. First, we used the *1A9-1A7-1A1* and Block C haplotypes as genetic factors. The AUC ratio was significantly associated with the haplotypes *B2*, *D1*, and *D2* and serum biochemistry parameters indicating hepatic or renal function before treatment. The Groups B and D haplotypes harbor *1A1\*6* and *\*28*, respectively. The dependency on specific *1A7* or *1A9* polymorphisms, however, was not obtained, considering the contributions of both *D1* and *D2*. As *1A1\*6* and *\*28* are mutually exclusive and their effects are comparable, we grouped *1A1\*6* and *\*28* into the same category in the final multiple regression model (Table 4). The final model confirmed the significant contribution of this genetic marker (*\*6* or *\*28*) to the AUC ratio.

#### Effects of the genetic marker '*\*6* or *\*28*' on pharmacokinetic parameters

Then, a dose effect of the genetic marker '*\*6* or *\*28*' on pharmacokinetic parameters was further analyzed

Table 3 AUC ratio of SN-38 glucuronide to SN-38 for *UGT1A1* diplotypes

Diplotype	Number of patients	AUC ratio		<i>P</i> -value <sup>a</sup> (vs. <i>*1/*1</i> )
		Median	Interquartile range	
<i>*1/*1</i>	55	6.13	4.72–7.79	
<i>*1/*60</i>	25	5.04	3.85–6.52	0.9803
<i>*60/*60</i>	5	4.48	2.57–12.74	0.8141
<i>*6/*1</i>	32	4.03	2.74–5.97	0.0126
<i>*6/*60</i>	9	2.84	2.09–4.33	0.0021
<i>*6/*6</i>	5	1.19	1.06–3.74	0.0012
<i>*28/*1</i>	26	3.65	2.76–5.21	0.0040
<i>*28/*60</i>	8	3.44	2.68–4.40	0.0261
<i>*28/*6</i>	7	2.03	1.65–3.26	<0.0001
<i>*28/*28</i>	4	3.65	2.05–4.92	0.2322

AUC, area under concentration curve.

<sup>a</sup>Dunnett's multiple comparison test.

(Fig. 3). Patients with one haplotype harboring either *\*6* or *\*28* (*\*6/\*1*, *\*6/\*60*, *\*28/\*1*, and *\*28/\*60*) had lower SN-38G/SN-38 AUC ratios (median, 3.62; interquartile range, 2.74–5.18) than patients without *\*6* or *\*28* (*\*1/\*1*, *\*60/\*1*, and *\*60/\*60*) (5.55, 4.13–7.26), and patients with two haplotypes harboring *\*6* or *\*28* (*\*6/\*6*, *\*28/\*28*, and *\*28/\*6*) had the lowest AUC ratio (2.07, 1.45–3.62) ( $P<0.0001$ , Fig. 3a). Similarly, the number of the *\*6* or *\*28*-containing haplotypes affected the AUC ratios of SN-38 to irinotecan (Fig. 3b). When the correlations

between irinotecan dosage and the AUC of SN-38 were tested, different correlations were obtained according to the number of the haplotypes (Fig. 3c). The slope of regression line for one and two haplotypes harboring \*6 or \*28 was 1.4-fold and 2.4-fold greater, respectively, than that for the diplotype without \*6 or \*28.

**Associations of UGT1A1 genetic polymorphisms with toxicities**

Association between genetic polymorphisms and toxicities was investigated in patients receiving irinotecan as a single agent. One patient was referred to another hospital 3 days after the first administration of irinotecan without evaluating toxicities and was lost in terms of follow-up. Therefore, association between genetic polymorphisms and toxicities was investigated in 55 patients. Six (11%) and 14 (25%) patients experienced grade 3 or greater diarrhea and neutropenia, respectively. As for the *1A9-1A7-1A1* diplotypes, a higher incidence of grade 3 or greater neutropenia was observed in *D1/B2* (*1A1\*28/\*6*) (100%, *n* = 3) than in *A1/A1* (11.8%, *n* = 17) (*P* = 0.0088, Fisher's exact test), indicating clinical impact of the genetic marker *1A1\*6* or *\*28*. As for the dose effect of '\*6 or \*28', incidences of grade 3 or 4 neutropenia were 14, 24, and 80% for 0, 1, and 2 haplotypes harboring these markers, respectively (Table 5). A significant association between '\*6 or \*28' and neutropenia was also observed for 62 patients who received irinotecan in combination with cisplatin (Table 5). No association, however, was observed between diarrhea and the marker '\*6 or \*28'.

**Multivariate analysis for irinotecan toxicities**

We further evaluated the effect of the genetic marker '\*6 or \*28' on neutropenia in multivariate analysis, and confirmed a significant correlation of '\*6 or \*28' with the nadir of absolute neutrophil counts (Table 6). Elevated alkaline phosphatase levels and the absolute neutrophil count at baseline were also significant.

**Discussion**

The association study with the *1A9-1A7-1A1* diplotypes revealed that the reduction in inactivation of SN-38, as well

as neutropenia, was dependent on the Groups B and D haplotypes which corresponded to the *1A1\*6* and *\*28* segmental haplotypes. Also, multivariate analyses clearly showed clinical significance of the genetic marker '\*6 or \*28' for both pharmacokinetics and toxicity of irinotecan in Japanese patients (Tables 3 and 6). *UGT1A1\*6* and *\*28* were mutually exclusive [14] and contributed to the reduction in glucuronidation of SN-38 to the same extent. Therefore, the activity of SN-38 glucuronidation in individuals depended on the number of the haplotypes harboring \*6 or \*28. Although the role of *1A1\*28* for irinotecan toxicity has been focused on [8–12], this study strongly suggests that \*6 should be tested in addition to \*28 before starting chemotherapy with irinotecan in Japanese patients.

The clinical importance of \*6 for neutropenia by irinotecan was also supported by a recent report in Korean patients who received irinotecan and cisplatin [31]. Although no patients with irinotecan as a single agent were homozygous for \*6 in our study, clinical significance of the double heterozygote, \*6/\*28, was clearly demonstrated. Among patients treated with irinotecan in combination chemotherapy, the majority of patients received platinum agents in our study. A significant association of '\*6 or \*28' with a higher incidence of grade 3 or 4 neutropenia was also observed in patients who received irinotecan and cisplatin (Table 5). These findings further support the necessity of testing '\*6 or \*28' before irinotecan is given to patients.

As possible enhancement of toxicities by the \*27 allele was suggested [8], we evaluated the effect of the \*28c haplotype, which had an additional single-nucleotide polymorphism [\*27; 686C > A(P229Q)] to the \*28 allele (-40\_-39insTA). In our cohort of patients, there were three \*28c heterozygotes (\*28c/\*1) and one double heterozygote (\*28b/\*28c). The values of the AUC ratio were within the range of variations of the \*28 group, and no additional impact of \*28c was observed in relation to toxicities.

Although the decreasing trend of the AUC ratio for *1A1\*60* (and combinatorial haplotype *C3*) was observed (Fig. 2), the contribution of *1A1\*60* to toxicities was not clearly demonstrated in this study as reported in the Japanese retrospective study [32].

In addition to UGT1A1, recent studies have suggested possible contributions of UGT1A7, 1A9, and 1A10 to SN-38G formation [15–17]. An in-vitro study demonstrated that *1A7\*3* [387T > G(N129K), 391C > A(R131K), 622T > C(W208R)] had reduced activity in terms of SN-38G formation [16]. Results of clinical studies, however, on the association between *1A7* polymorphisms and irinotecan toxicity/efficacy are inconsistent, whereas different populations with different combination therapies were used [19,20]. Furthermore, it was reported that the *UGT1A7* polymorphisms (\*2 and \*3), which were linked to *1A9\*1*, were associated with a lowered incidence

**Table 4 Multiple regression analysis toward the AUC ratio (SN-38G/SN-38)<sup>a</sup>**

Variable	Coefficient	F-value	P-value	R <sup>2</sup>	Intercept	N
*6 or *28	-0.189	70.2	<0.0001	0.410	0.8869	176
Age	0.005	8.88	0.0033			
Serum albumin level <sup>b</sup>	-0.136	9.92	0.0019			
Serum GOT and ALP <sup>c</sup>	0.070	8.88	0.0033			
Serum creatinine <sup>d</sup>	0.210	7.23	0.0079			

ALP, alkaline phosphatase; AUC, area under concentration curve.  
<sup>a</sup>The values after logarithmic conversion were used as an objective variable.  
<sup>b</sup>The absolute value (g/dl) before irinotecan treatment.  
<sup>c</sup>Grade 1 or greater scores in both serum GOT and ALP before irinotecan treatment.  
<sup>d</sup>Grade 1 or greater scores in serum creatinine before irinotecan treatment.