antitumor activity comparable to that of cisplatin but is less toxic to the kidney as shown in preclinical experiments (8). Nedaplatin produced a promising response rate for NSCLC, especially for squamous cell lung cancer (9,10). In addition, this drug can be safely administered with full-dose thoracic radiation, as shown in patients with esophageal cancer (11). Paclitaxel is another promising drug for the treatment of stage III NSCLC, as shown by the favorable response rate and survival in phase II trials in combination with platinum and thoracic radiation (6,7).

Our previous study of the nedaplatin and paclitaxel combination in patients with systemic disease showed that the recommended dose of these drugs was 80 mg/m^2 and 180 mg/m^2, respectively, repeated every 3–4 weeks. A promising response rate of 55% was achieved in patients with squamous cell lung cancer (12). The objectives of the present study were primarily to evaluate the toxicity of nedaplatin, paclitaxel and concurrent thoracic radiotherapy and determine the recommended dose of these two drugs for a phase II trial, and secondarily to observe the antitumor effect of this regimen in patients with stage III NSCLC.

PATIENTS AND METHODS

Patient Selection

The eligibility criteria were: histologically or cytologically proven NSCLC; unresectable stage IIIA or IIIB disease indicated for curative radiotherapy; no previous treatment; measurable disease; the percentage of the normal lung volume receiving 20 Gy or more (V_{20}) (13) expected to be 30% or less; age between 20 years and 74 years; Eastern Cooperative Oncology Group (ECOG) performance status (14) 0 or 1; adequate bone marrow function (12.0×10^9/L $\geq$ white blood cell (WBC) count $\geq 4.0 \times 10^9$/L, neutrophil count $\geq 2.0 \times 10^9$/L, hemoglobin $\geq$ 10.0 g/dL and platelet count $\geq 100 \times 10^9$/L), liver function (total bilirubin $\leq$ 1.5 mg/dL and transaminase $\leq$ twice the upper limit of the normal value), and renal function (serum creatinine $\leq$ 1.5 mg/dL and creatinine clearance $\geq$ 60 mL/min); and a PaO_2 of 70 torr or more. Patients were excluded if they had malignant pleural or pericardial effusion, active double cancer, a concomitant serious illness, such as uncontrolled angina pectoris, myocardial infarction in the previous 3 months, heart failure, uncontrolled diabetes mellitus, uncontrolled hypertension, interstitial pneumonitis or lung fibrosis identified by a chest X-ray, chronic obstructive lung disease, infection or other diseases contraindicating chemotherapy or radiotherapy, pregnancy, or breast-feeding. All patients gave their written informed consent.

Pretreatment Evaluation

The pretreatment assessment included a complete blood cell count and differential count, routine chemistry determinations, creatinine clearance, blood gas analysis, electrocardiogram, lung function testing, chest X-rays, chest computed tomographic (CT) scan, brain CT scan or magnetic resonance imaging, abdominal CT scan, and radionuclide bone scan.

Treatment Schedule

Paclitaxel and nedaplatin were administered as previously described (12). Briefly, paclitaxel diluted in 500 ml of 5% glucose was administered as a 3-h intravenous infusion with premedication consisting of dexamethasone, ranitidine and diphenhydramine. Nedaplatin diluted in 250 ml of normal saline was administered in a 1-h intravenous infusion. This treatment was repeated every 4 weeks for 3–4 cycles. The dose of paclitaxel was escalated as follows: 120 mg/m^2 (level 1), 135 mg/m^2 (level 2), and 150 mg/m^2 (level 2). The dose of nedaplatin was 80 mg/m^2 through the levels 1–3.

Thoracic radiation therapy was given with photon beams from a liniac or microtron accelerator with energy between 6 and 10 MV. The total dose of 60 Gy was delivered at a single dose of 2 Gy once daily Monday through Friday for 6 weeks without interruption beginning on day 1 of the chemotherapy. Three-dimensional conformal radiotherapy technique was used in all patients. The gross target volume (GTV) included the primary lesion (GTV1) and involved lymph nodes whose short diameter was 1 cm or larger (GTV2) based on conventional chest X-ray and CT scans. The clinical target volume (CTV) consisted of CTV1 and CTV2, identical to GTV1 and GTV2, respectively, and CTV3, the ipsilateral hilum and bilateral mediastinum area. The contralateral hilum was excluded from the CTV. The supraclavicular fossa was also excluded unless it was involved. The planning target volume (PTV) for the initial dose up to 40 Gy consisted of CTV1-3 with the superior and inferior field margins extended to 1–2 cm and the lateral field margins extended to 0.5 cm for respiratory variation and fixation error. The PTV for the boost 20 Gy included only CTV1-2 based on the second CT scans with the same margins. The spinal cord dose was limited to 44 Gy by using oblique parallel opposed fields.

Toxicity Assessment and Treatment Modification

Complete blood cell counts and differential counts, routine chemistry determinations and a chest X-ray were performed once a week during the course of treatment. Toxicity was graded according to the NCI Common Toxicity Criteria version 2.0. Subsequent cycles of chemotherapy were delayed if any of the following toxicities was noted on day 1: WBC count $<3.0 \times 10^9$/L, neutrophil count $<1.5 \times 10^9$/L, platelet count $<100 \times 10^9$/L, serum creatinine level $\geq$1.6 mg/dL, infection $\geq$ grade 2, elevated hepatic transaminase level or total serum bilirubin $\geq$ grade 2, pneumonitis $\geq$ grade 2, peripheral neuropathy, musculoskeletal pain $\geq$ grade 3, fever $\geq$ 38°C, or performance status $\geq$ 2. Chemotherapy was terminated if the toxicities did not

recover within 2 weeks. The doses of nedaplatin and paclitaxel were reduced by 25% in all subsequent cycles if any of the dose-limiting toxicities (DLTs) defined below were noted. The dose of nedaplatin was reduced by 25% in all subsequent cycles if the serum creatinine level was elevated to 2.0 mg/dl or higher. Thoracic radiotherapy was suspended if any of the following toxicities was noted: fever $\geq 38^{\circ}$C, infection $\geq$ grade 2, esophagitis of grade 3, performance status ≥ 3, or radiation pneumonitis was suspected. Thoracic radiotherapy was terminated if radiation pneumonitis that required corticosteroid administration was noted, or radiotherapy was not completed within 60 days. Both chemotherapy and thoracic radiotherapy were terminated if any of the following was noted: disease progression, any of the grade 4 non-hematological toxicities except abnormal electrolytes, performance status of 4, patient refusal to receive subsequent treatment, protocol violation, or patient death of any cause. Granulocyte colony-stimulating factor and antibiotics were administered if febrile neutropenia was noted.

DLT, Maximum Tolerated Dose (MTD), and Recommended Dose For Phase II Trials

The DLT was defined as a grade 4 leukopenia, grade 4 neutropenia lasting 7 days or longer, febrile neutropenia, platelet count $<20 \times 10^9$/L, grade 3 or a more severe non-hematological toxicity other than nausea, vomiting and transient electrolyte abnormality, and treatment termination before two cycles of chemotherapy and thoracic radiotherapy were completed. Dose levels were escalated according to the frequency of DLT evaluated during the first and second cycles of chemotherapy and thoracic radiation. Six patients were initially enrolled at each dose level. If none to two of the six patients experienced DLT, the next cohort of patients was treated at the next higher dose level. If three or more of the six patients experienced DLT, that level was considered to be the MTD. The recommended dose for phase II trials was defined as the dose preceding the MTD.

Response Evaluation

Objective tumor response was evaluated according to the Response Evaluation Criteria in Solid Tumors (RECIST) (15).

Study Design, Data Management and Statistical Analyses

This study was designed as a phase I study at the National Cancer Center Hospital. The protocol and consent form were approved by the Institutional Review Board of the National Cancer Center. Registration was conducted at the Registration Center. Data management, periodic monitoring, and the final analysis were performed by the Study Coordinator. A patient accrual period of 2 years and a follow-up period of 3 years were planned. Overall survival time and progression-free survival time were estimated by the Kaplan–Meier method (16). Overall survival time was measured from the date of registration to the date of death from any cause or last follow-up. Progression-free survival time was measured from the date of registration to the date of disease progression or death from any cause or last follow-up. Patients who were lost to follow-up without event were censored at the date of their most known follow-up. A confidence interval for the response rate was calculated using methods for exact binomial confidence intervals. Response rates among patients with squamous cell carcinoma and those with non-squamous carcinoma were assessed with the χ^2 test. The Dr. SPSS II 11.0 for Windows software package (SPSS Japan Inc., Tokyo, Japan) was used for statistical analyses.

RESULTS

Registration and Characteristics of The Patients

From October 2003 to July 2004, six patients were registered at dose level 1, eight patients at dose level 2 and five patients at dose level 3. Two patients at dose level 2 were excluded from the DLT evaluation, because they discontinued receiving the treatment early because of disease progression and anaphylactic shock, respectively. Initially, DLT was noted in only two of the six patients at dose level 2, and therefore, patient registration at dose level 3 was started. However, severe radiation pneumonitis developed 5 weeks after the end of radiotherapy in another patient at dose level 2 and this pneumonitis was counted as DLT. Thus, because DLT was finally noted in three of the six patients at dose level 2, patient registration at dose level 3 was stopped. One patient at dose level 3 was found to be ineligible because the radiation treatment planning showed that the V_{20} exceeded 30%. The patient did not receive the current treatment and was excluded from the analysis. Thus, a total of 18 patients were subjects of this study and their detailed demographic characteristics are listed in Table 1.

Treatment Delivery

The planned three to four cycles of chemotherapy were administered in 83% of patients in level 1 and in 50% of patients in levels 2 and 3. Radiation delivery was generally well maintained and it did not differ among the three dose levels (Table 2).

Toxicity, DLT and MTD

Hematological toxicity was generally mild. No more than 50% of patients developed grade 4 neutropenia, and no one developed grade 2 or higher thrombocytopenia (Table 3). Non-hematological toxicity other than lung toxicity was also well tolerated. One patient developed transient grade 3 esophagitis and grade 3 infection not associated with neutropenia, which were considered DLTs. Another patient developed grade 4 anaphylactic shock 1 min after the second cycle infusion of paclitaxel, but soon recovered with fluid

Table 1. Patient characteristics

	n	(%)
Number of patients	18	
Gender		
male	14	(78)
female	4	(22)
Age		
median (range), years	62.5	(46–69)
PS		
0	11	(61)
1	7	(39)
Body weight loss		
< 5%	15	(83)
5–9%	2	(11)
≥ 10%	1	(6)
Clinical stage		
IIIA	10	(56)
IIIB	8	(44)
Histology		
adenocarcinoma	8	(44)
squamous cell carcinoma	6	(33)
non-small cell, not specified	4	(22)

PS, performance status.

replacement and oxygen therapy. This patient was excluded from DLT evaluation. One patient in level 1 and another patient in level 2 developed grade 4 pneumonitis after completion of two cycles of chemotherapy and thoracic radiotherapy and they died of the pneumonitis. The V_{20} and mean lung dose (MLD) of these patients were 23% and 30%, and 1341 cGy and 1675 cGy, respectively.

Table 2. Treatment delivery

Dose level	Level 1	Level 2	Level 3
	(*n* = 6)	(*n* = 8)	(*n* = 4)
Number of chemotherapy cycles			
3–4	5	4	2
2	1	3	1
1	0	1	1
Total radiation dose (Gy)			
60	6	7	3
50–59	0	1	0
NE	0	0	1
Radiotherapy delay (days)			
0–4	5	7	2
5–9	1	0	1
NE	0	1	1

NE, not evaluable.

Table 3. Toxicity in all patients

Dose level	Level 1 (*n* = 6)			Level 2 (*n* = 8)			Level 3 (*n* = 4)		
Toxicity grade	2	3	4	2	3	4	2	3	4
Leukopenia	2	3	0	3	3	0	1	2	1
Neutropenia	0	4	1	2	3	1	0	2	2
Anemia	0	0	0	2	0	0	2	0	0
GPT elevation	1	0	0	2	0	0	0	0	0
Total bilirubin elevation	1	0	0	1	0	0	1	0	0
Infection	0	0	0	1	1	0	0	0	0
Allergic reaction	1	0	0	2	0	1	0	0	0
Anorexia	1	0	0	2	0	0	0	0	0
Nausea	0	0	0	1	0	0	0	0	0
Constipation	0	0	0	2	0	0	0	0	0
Esophagitis	1	0	0	2	1	0	0	0	0
Pneumonitis	0	0	1*	1	0	1*	0	0	0
Musculoskeletal pain	1	0	0	1	0	0	1	0	0
Alopecia	4	0	0	4	0	0	0	0	0

GPT, glutamic pyruvic transaminase.
*Pneumonitis was fatal in these patients.

Both patients were former heavy smokers with a smoking index of 520 and 1680, respectively. The chest CT scan of the former patient disclosed mild emphysematous, but no interstitial changes. A spirometry analysis showed a vital capacity (VC) of 3480 ml (104% of predicted), and a forced expiratory volume one second percent (FEV1.0%) of 82%. The lung diffusing capacity measurement using carbon monoxide (DL_{CO}) was not done in this patient. The PaO_2 was 93.3 torr. The serum LDH level before treatment was 241 IU/l (the upper limit of the normal value was 229 IU/l). The chest CT scan of the latter patient disclosed slight changes in the dorsal portion of the both lungs, which were considered the gravitation effect, or fibrotic changes. The VC was 3810 ml (107% of predicted), % DL_{CO} was 111%, and PaO_2 was 99.7 torr. The serum LDH level before treatment was 147 IU/l. Another patient in level 2, whose V_{20} and MLD were 15% and 822 cGy, respectively, developed grade 2 pneumonitis when he received 52 Gy of radiotherapy and the subsequent protocol treatment was stopped. The chest CT scan of this patient before treatment showed no abnormal findings except for lung cancer. Pulmonary function test values were all within normal limits. The serum LDH level before treatment was 178 IU/l. Thus, in total three (17%) of 18 patients developed unacceptable severe pneumonitis induced by the current treatment, which was counted as DLT.

To sum up, DLT was noted in one of six patients in level 1, three of six patients in level 2, and one of three patients in level 3. The DLTs were pneumonitis in three patients, grade 4 leukopenia in one patient, and grade 3 esophagitis and grade 3 infection in one patient. Thus, the MTD was determined to be level 1.

Objective Response and Survival

All patients were included in the analyses of tumor response and survival. No CR, 12 PRs, and 3 SD were noted among the 18 patients and the overall response rate (95% confidence interval) was 67% (41–87%). The response rate in patients having squamous cell carcinoma was 100%, while that for non-squamous histology was 58%. The median progression-free survival time was 9.7 months. The median overall survival time has not yet been reached and the 1-year survival rate was 78%.

DISCUSSION

The feasible doses of anticancer agents in this study were paclitaxel 120 mg/m^2 and nedaplatin 80 mg/m^2 every 4 weeks. These figures are lower than those in a randomized phase II trial for stage III NSCLC conducted in the USA, where paclitaxel 135 mg/m^2 and cisplatin 80 mg/m^2 were administered every 3 weeks concurrently with thoracic radiotherapy (6). The occurrence of severe pneumonitis hampered the dose escalation of the anticancer agents in this study. A Japanese phase I/II study of weekly paclitaxel, nedaplatin and concurrent thoracic radiotherapy for stage III NSCLC showed that the DLT was also pneumonitis and that the response rate was 75% and progression-free survival was 5.6 months, similar to the outcome of this study (17). The reasons for the frequent pneumonitis in this study remain unknown. Paclitaxel was the most frequently used anticancer agent together with thoracic radiotherapy in patients with NSCLC outside Japan. A randomized phase II study of induction chemotherapy followed by concurrent chemoradiation therapy in patients with stage III NSCLC (CALGB study 9431) showed that grade 3–4 pneumonitis during chemoradiation was noted in 14% of patients treated with gemcitabine and cisplatin, 20% of patients treated with paclitaxel and cisplatin, and 20% of patients treated with vinorelbine and cisplatin. One patient died of pneumonitis in the vinorelbine and cisplatin arm (6). Thus, incidence of pneumonitis in patients receiving paclitaxel was reported to be the same as that for other agents in this setting. Nedaplatin was a new agent but one of the platinum that has been repeatedly shown to be safely administered with radiation (1). A case series of 24 esophageal cancer patients treated with radiation therapy (60–70 Gy) combined with Nedaplatin (80–120 mg) and 5-fluorouracil (500–1000 mg for 5 days) showed that toxicity was mainly hematological and no grade 3 or higher non-hematological toxicity was observed (18). Treatment-related pneumonitis may be more readily developed among Japanese patients, because gefitinib-induced pneumonitis is more common in Japan than in other countries (19–21). Similarly, a relatively high incidence of drug-induced pneumonitis was noted among Japanese patients in association with the use of weekly docetaxel (20) and leflunomide, a newly developed disease-modifying antirheumatic drug that exhibits anti-inflammatory, antiproliferative and immunosuppressive effects (22). Further studies are needed to define ethnic or geographic variation of treatment-related pneumonitis.

Recent dose–volume histogram studies showed that the volume–dose parameters such as the V20 and MLD were significantly associated with development of severe radiation pneumonitis (23). The V_{20} and MLD in the three patients who developed unacceptable pneumonitis in this study (15–30% and 822–1675 cGy, respectively) were not so large, and therefore, the severe pneumonitis in these patients could not be fully explained by their irradiation volume alone. Patient characteristics such as age, sex, smoking habit, location of the primary tumor and pre-existing lung diseases may be associated with the development of radiation pneumonitis, but their contribution was inconclusive (24).

Radiation pneumonitis is the most common dose-limiting complication of thoracic radiation. Its incidence varies greatly from one report to another: the incidence of grade 2 radiation pneumonitis was between 2% and 33% and that of grade 3 was between 0% and 20% (25). This inconsistency among reports can be explained by the different radiation pneumonitis scoring system and follow-up duration in each study. No scoring system for radiation pneumonitis is perfect. The distinction between grade 2 and grade 3 toxicity is highly subjective. In addition, these scoring systems do not account for intercurrent symptoms from tumor, infection and chronic lung illnesses such as chronic obstructive pulmonary diseases (25).

For future trials, it is an important strategy to reduce the lung volume receiving radiation without an increase in the local recurrence rate. Elective nodal regions with potential subclinical micrometastases (CTV3 in this study) have been included in the standard irradiation volume. The advent of three-dimensional conformal treatment techniques, however, has allowed for a more precise definition of target volume and may allow the possibility of reduced toxicity and increased radiation dose delivery by the omission of elective nodal irradiation (26). We are conducting a phase I study of high-dose thoracic three-dimensional conformal radiotherapy without elective nodal irradiation concurrently combined with cisplatin and vinorelbine in patients with inoperable stage III non-small cell lung cancer.

In conclusion, the doses of paclitaxel and nedaplatin combined with thoracic radiotherapy could not be escalated owing to severe pulmonary toxicity. We do not recommend a phase II study of this chemoradiotherapy regimen.

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Conflict of interest statement

None declared.

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Concurrent Chemoradiotherapy for Limited-disease Small Cell Lung Cancer in Elderly Patients Aged 75 Years or Older

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Background: The optimal treatment for limited-disease small cell lung cancer (LD-SCLC) in patients aged 75 years or older remains unknown.
Methods: Elderly patients with LD-SCLC who were treated with chemoradiotherapy were retrospectively reviewed to evaluate their demographic characteristics and the treatment delivery, drug toxicities and antitumor efficacy.
Results: Of the 94 LD-SCLC patients treated with chemotherapy and thoracic radiotherapy at the National Cancer Center Hospital between 1998 and 2003, seven (7.4%) were 75 years of age or older. All of the seven patients were in good general condition, with a performance status of 0 or 1. Five and two patients were treated with early and late concurrent chemoradiotherapy, respectively. While the four cycles of chemotherapy could be completed in only four patients, the full dose of radiotherapy was completed in all of the patients. Grade 4 neutropenia and thrombocytopenia were noted in seven and three patients, respectively. Granulocyte-colony stimulating factor support was used in five patients, red blood cell transfusion was administered in two patients and platelet transfusion was administered in one patient. Grade 3 or more severe esophagitis, pneumonitis and neutropenic fever developed in one, two and three patients, respectively, and one patient died of radiation pneumonitis. Complete response was achieved in six patients and partial response in one patient. The median survival time was 24.7 months, with three disease-free survivors for more than 5 years.
Conclusion: Concurrent chemoradiotherapy promises to provide long-term benefit with acceptable toxicity for selected patients of LD-SCLC aged 75 years or older.

Key words: elderly – small cell lung cancer – chemotherapy – radiotherapy

INTRODUCTION

Small cell lung cancer (SCLC) accounts for approximately 20% of all pulmonary neoplasms and 25–40% of patients with this disease are 70 years of age or older. The number of elderly patients with such disease are expected to increase with the growing geriatric population (1).

Because SCLC is highly sensitive to chemotherapy and radiotherapy, the standard treatment for limited-disease SCLC (LD-SCLC) has been a combination of platinum and etoposide with concurrently administered thoracic radiotherapy, as long as the patients are in good general condition (2, 3). Such elderly patients, however, may show decreased clearance of the anticancer agents commonly used for the treatment of SCLC, including cisplatin and etoposide, because of the decrease of the lean body mass, hepatic blood flow and renal function that are associated with aging. In addition, myelotoxicity is sometimes more severe in this population than in younger populations, because the absolute area of hematopoietic marrow decreases with age (4). Retrospective subset analyses of patients with LD-SCLC treated with concurrent chemotherapy and radiotherapy in phase III trials have shown that the percentage of patients in whom the planned number of chemotherapy cycles can be completed is usually 10% lower in patients

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70 years of age or older as compared with that in younger patients (5). One study reported that myelotoxicity was more severe in elderly patients than in younger patients (5), while another reported no such difference between the patients of the two age groups (6). The delivery of thoracic radiotherapy was not influenced by age in these patients (7). However, 78–85% of patients in these analyses were aged between 70 and 75 years old and a few were over 80 years old. Thus, the most suitable treatment options for elderly patients with LD-SCLC aged 75 years or older still remain unknown.

The objective of this retrospective analysis was to evaluate the patient characteristics and the treatment delivery, toxicity and antitumor efficacy of the administered treatments in LD-SCLC patients 75 years of age or older who were treated with chemotherapy and thoracic radiotherapy.

PATIENTS AND METHODS

We retrospectively reviewed the medical charts, chest X-rays and computed tomography (CT) scans of LD-SCLC patients aged 75 years or older. To evaluate the thoracic irradiation field, the standard initial field was defined as follows: the field including the primary tumor and involved nodes with a short axis length of 1 cm or more on CT scans with a 1.0–1.5 cm margin, and the subclinical ipsilateral hilum and bilateral mediastinal lymph node regions with a 1.0 cm margin. The supraclavicular lymph node regions were included only if there was tumor involvement of these nodes. Toxicity was graded according to the Common Terminology Criteria for Adverse Events, version 3.0, Japanese edition (8). The objective tumor response was evaluated according to the WHO criteria issued in 1979 (9). The overall survival time was measured from day 1 of chemotherapy to the date of death as a result of any cause or the date of the last follow-up.

RESULTS

Of the 94 LD-SCLC patients treated with chemotherapy and thoracic radiotherapy at the National Cancer Center Hospital between 1998 and 2003, seven (7.4%) were 75 years of age or older (Table 1). During this period, we had three other patients with LD-SCLC who were aged 75 years or older. They were treated with chemotherapy alone because of complications in two patients and refusal of intensive therapy in one patient. There were five males and two females, and four patients were between 75 and 79 years of age and three patients were 80 years old or older. Three patients presented with persistent cough, while the remaining four patients complained of no symptoms and were diagnosed based on the detection of an abnormal shadow on a plain chest X-ray obtained during a mass screening or routine health examination program. All the patients were in good general condition. One patient had a history of inferior wall myocardial infarction suffered 9 years prior to this admission. However, echocardiography at this admission revealed normal heart function with an ejection fraction of 73%. One patient had stage I pulmonary emphysema with % FEV_1 predicted of 58%, but no abnormal findings on blood gas analysis. The % FEV_1 predicted in other four patients was within 98% and 116%, and was not measured in the other two patients. A median (range) PaO_2 level at the room air before treatment in the seven patients was 77.4 (66.9–87.2) Torr. A decreased creatinine clearance, 48.8 ml/min at a urine volume of 600 ml/day, was noted in one patient, while the other patients had a creatinine clearance of 78 ml/min or higher. Four and three patients had a performance status of 0 and 1, respectively, and five patients gave no history of loss of body weight. The diagnosis of small cell carcinoma was confirmed cytologically or histologically in all the patients.

The chemotherapy regimens used were cisplatin at 80 mg/m^2 on day 1 combined with etoposide at 100 mg/m^2 on days 1–3 in four patients aged between 75 and 19 years. For patients aged 80 years of older, carboplatin was dosed to a

Table 1. Patient characteristics

n	Age (yr)/ gender	Smoking history	Symptom	Weight loss (%)	Complications	Performance status	TNM stage
1	81/male	6/day × 62 yr	None	0	Type 2 DM	0	T1N2M0
2	81/female	20/day × 62 yr	None	0	OMI (inferior wall), thoracic aortic aneurysm	0	T1N1M0
3	80/female	20/day × 50 yr	Cough	11	Hypertension	1	T4N3M0
4	78/male	20/day × 46 yr	None	0	None	0	T2N2M0
5	77/male	30/day × 50 yr	Cough	7	COPD, Hypertension	1	T4N3M0
6	75/male	10/day × 55 yr	None	0	None	0	T1N2M0
7	75/male	10/day × 55 yr	Cough, Hoarseness	0	None	1	T4N2M0

COPD, Chronic obstructive pulmonary disease; OMI, old myocardial infarction; DM, diabetes mellitus.

target AUC of 5 by Calvert's formula on day 1 combined with etoposide at 80 mg/m^2 on days 1–3 in two patients and cisplatin at 25 mg/m^2 on days 1–3 combined with etoposide at 80 mg/m^2 on days 1–3 in one patient (Table 2). These regimens have been reported to be used in a JCOG phase III trial for elderly patients with extensive SCLC (10). Four cycles of chemotherapy could be completed in four patients, whereas only three cycles could be completed in two patients and only one cycle could be completed in one patient. The reason for discontinuation of the chemotherapy in these patients was prolonged myelosuppression in two patients and patient refusal for continuation of treatment in one patient. The chemotherapy dose was reduced in the subsequent cycles in four patients. The reasons for the dose reduction were grade 4 thrombocytopenia in two patients, grade 4 leukopenia in one patient and both grade 4 thrombocytopenia and leukopenia in one patient. Thoracic radiotherapy was started concurrently with the chemotherapy in five patients (early concurrent chemoradiotherapy). Treatment began with chemotherapy alone in the remaining two patients, because of a mild cytology-negative pleural effusion in one patient and too large an irradiation volume in the other patient. Two cycles of chemotherapy reduced the tumor volume successfully in both the patients and thoracic radiotherapy was then added concurrently with the third and fourth cycles of chemotherapy (late concurrent chemoradiotherapy). Thoracic radiotherapy was delivered using photon beams from a liniac or microtron accelerator with energy between 6 and 20 MV at a single dose of 2 Gy once daily up to a total dose of 50 Gy in four patients aged between 78 years or older and at a single dose of 1.5 Gy twice daily up to a total dose of 45 Gy in three patients aged between 75 and 77 years. This selection of conventional or hyperfractionated radiotherapy was determined arbitrarily. The initial irradiation field was judged as the standard in six patients and reduced in one patient. A multi-leaf collimator and conventional lead blocks were used for shaping of the irradiation field. The median irradiation area was 169 cm^2 (range, 95–278 cm^2). The projected total radiation dose was administered in all the patients, but a treatment delay of 5 days or longer was observed in three patients. The criteria of radiotherapy suspension were white blood cell count $< 1.0 \times 10^9$/L, platelet count $< 20 \times 10^9$/L, esophagitis $\geq$ grade 3, fever ≥ 38°C and performance status ≥ 3. The reason for the delay in the three patients was esophagitis, decreased platelet count and poor performance status.

The hematological toxicities observed in the patients are summarized in Table 3. Grade 4 leukopenia, neutropenia and thrombocytopenia were noted in four, seven and three patients, respectively. Granulocyte-colony stimulating factor support was used in five patients, red blood cell transfusion was administered in two patients and platelet transfusion was administered in one patient. The non-hematological toxicities included grade 3 or more severe esophagitis, pneumonitis and neutropenic fever in one, two and three patients, respectively. One patient died of radiation pneumonitis that developed 4 months after the end of radiotherapy (Case No. 6).

Of the seven patients, complete response was achieved in six patients and partial response in one patient (Table 3). However, prophylactic cranial irradiation was given in only one patient (Case No. 6). Three patients remained alive for

Table 2. Treatment and its delivery

n	Chemotherapy				Thoracic radiotherapy			
	Regimen (mg/m^2 if not specified)	Number of cycles	Dose reduction	Duration of one cycle (days)*	Timing	Total dose (Gy)/fractions	Field size	Delay (days)
1	C (AUC = 5) d1 + E (80) ds1–3	3	Yes	30	Early Co	50/25	S	4
2	P (25) ds1–3 + E (80) ds1–3	1	NA	NA	Early Co	50/25	S	7
3	C (AUC = 5) d1 + E (80) ds1–3	4	Yes	23	Late Co	50/25	S	14
4	P (80) d1 + E (100) ds1–3	4	Yes	26	Late Co	50/25	R	1
5	P (80) d1 + E (100) ds1–3	4	No	28	Early Co	45/30	S	3
6	P (80) d1 + E (100) ds1–3	4	No	27	Early Co	45/30	S	0
7	P (80) d1 + E (100) ds1–3	3	Yes	35	Early Co	45/30	S	7

*Calculated as follows: Duration of one cycle (days) = (Day 1 of the 1st cycle – Day 1 of the last cycle)/(Number of cycles – 1).
C, carboplatin; E, etoposide; NA, not applicable; P, cisplatin; Co, concurrent; S, standard; R, reduced.

Table 3. Toxicity, tumor response and survival

n	Hematological toxicity (grade by CTC-AE v3.0)				Blood transfusion	G-CSF support	Non-hematological toxicity ≥ grade 2 (grade by CTC-AE v3.0)	Tumor response	Survival time (mo)/outcome
	WBC	Neu	Hb	Plt					
1	3	4	1	4	Platelet	None	None	CR	80.3/Alive
2	3	4	1	2	None	Used	Pneumoniti (3), esophagitis (2), anorexia (2)	CR	21.3/Dead
3	4	4	3	4	RBC	Used	Neutropenic fever (3), esophagitis (3)	CR	65.6/Alive
4	4	4	2	1	None	Used	None	CR	97.4/Alive
5	3	4	2	3	None	Used	Neutropenic fever (3), esophagitis (2), anorexia (2)	CR	13.1/Dead
6	4	4	2	1	None	None	Pneumoniti (5), neutropenic fever (3)	CR	6.4/Dead
7	4	4	4	4	RBC	Used	None	PR	24.7/Dead

WBC, white blood cell count; Neu, neutrophil count; Hb, hemoglobin; Plt, platelet count; G-CSF, granulocyte- colony stimulating factor; CTC-AE, Common Terminology Criteria for Adverse Events; CR, complete response; RBC, red blood cell; PR, partial response.

more than 5 years without recurrence. The median survival of the seven patients was 24.7 months.

DISCUSSION

The antitumor effects of the treatment regimens were reasonably good, with six complete responses and one partial response and three long-term disease-free survivors in spite of discontinuation/dose reduction of chemotherapy. This is perhaps mainly attributable to the strict selection of patients in good general condition. Thus, we believe that the standard chemoradiotherapy can be applied to LD-SCLC patients aged 75 years or older as long as they are in good general condition.

The general condition of elderly patients, however, varies widely from patient to patient. Thus, in many elderly patients 75 years of age or older, it may be better to reduce the treatment intensity, although it may be difficult to establish the standard schedule applicable to all elderly patients. There are four possible ways to modify the intensity of therapy: (1) administer chemotherapy alone; (2) change the relative timing of chemotherapy and radiotherapy; (3) decrease the drug doses and number of cycles of chemotherapy, and (4) decrease the dose and intensity of thoracic radiotherapy.

Chemotherapy alone versus chemotherapy and thoracic radiotherapy for LD-SCLC were compared in many randomized trials between the 1970s and 1980s. A meta-analysis of these trials demonstrated survival benefit of radiotherapy added to chemotherapy in younger populations of patients less than 65 years of age, but the benefit is still unclear in older patients (11). Although the findings of this meta-analysis indicated that the standard treatment in elderly patients with LD-SCLC might be chemotherapy alone, the result based on the old trials using cyclophosphamide and doxorubicin-based chemotherapy cannot be applied in the current medical setting, because chemotherapy regimens, irradiation delivery equipment and staging procedures have all evolved greatly over time.

The relative timing of chemotherapy and radiotherapy greatly influences the severity of toxicity. In late concurrent chemoradiotherapy that follows induction chemotherapy, the chemotherapy dose can be adjusted to suit each patient by evaluating the toxicity of the previous chemotherapy. In addition, the irradiation volume can be reduced by modifying the radiation treatment planning in accordance with the extent of tumor shrinkage during the induction phase. In the two patients treated by this approach in this study, the dose of the platinum drug during the concurrent chemoradiotherapy phase was reduced to 66–75% of the initial dose and that of etoposide was reduced to 50–75% of the initial dose. Sequential chemoradiotherapy consists of induction chemotherapy and subsequent radiotherapy. Because the two treatment modalities are administered separately, the treatment dose in each can be optimized for the elderly in this approach. A phase III study of concurrent versus sequential chemoradiotherapy in LD-SCLC patients younger than 75 years old revealed a 5-year survival rate of 24% in the concurrent arm and a 5-year survival rate of 18% with a lower incidence of toxicity in the sequential arm (2). The sequential schedule has not yet been evaluated in LD-SCLC patients 75 years of age or older.

A recent phase III trial showed that etoposide at 80 mg/m^2 on days 1–3 combined with either carboplatin at AUC = 5 by Carvert's formula or cisplatin at 25 mg/m^2 on days 1–3 was feasible and effective in elderly patients with extensive-disease SCLC (10). These regimens may, therefore, be applied for the treatment of LD-SCLC as well. The standard number of chemotherapy cycles administered is four. In many elderly patients, however, all four cycles cannot be completed. In two phase II studies of two cycles

of chemotherapy and concurrent thoracic radiotherapy in elderly patients with LD-SCLC, 13–25% long-term survivors were noted (12,13). Thus, the optimal number of chemotherapy cycles in the elderly should be investigated in future trials.

Thoracic radiotherapy with accelerated hyperfractionation at a total dose of 45 Gy in 30 fractions, the standard schedule for LD-SCLC, was associated with grade 3–4 esophagitis in as high as 32% of the patients and grade 4 leukopenia in 44% of the patients (2,3,5). Thus, the conventional schedule at a total dose of 45–50 Gy in 25 fractions might be preferable in the elderly (3). The severity of esophagitis is also influenced by concomitant chemotherapy, the treatment schedule and the timing of thoracic radiotherapy.

In conclusion, concurrent chemoradiotherapy promises to offer long-term benefit with acceptable toxicity in selected patients of LD-SCLC aged 75 years or older. The optimal schedule and dose of chemotherapy and thoracic radiotherapy still remains to be established in this patient population.

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Conflict of interest statement

None declared.

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Superior and Basal Segment Lung Cancers in the Lower Lobe Have Different Lymph Node Metastatic Pathways and Prognosis

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Background. Although the lower lobe is a large entity that occupies half of the hemithorax, all tumors located within the lower lobe have been treated uniformly regardless of tumor location. The aim of this study was to reveal differences in the metastatic pathway to the mediastinum and in prognosis of N2 disease between lung cancers originating from superior and basal segment of the lower lobe.

Methods. Data on 139 patients who underwent pulmonary resection with systematic nodal dissection for pN2 non-small cell lung cancer (NSCLC) originating from the lower lobe between 1980 and 2001 were retrospectively reviewed. Those lower lobe N2 tumors were divided into two groups by origin: 51 were superior segment, and 88 were basal segment.

Results. The superior segment group showed a significantly higher incidence of superior mediastinal metastasis than the basal segment group (64% vs 36%, $p = 0.0012$). When superior mediastinal metastasis existed, the basal segment group showed a significantly higher incidence of synchronous subcarinal metastasis than the superior segment group (81% vs 39%, $p = 0.0006$). Pneumonectomy was required significantly more often in the superior segment group than in the basal segment group (45% vs 17%, $p = 0.0003$). The basal segment origin tumors with only subcarinal metastasis showed significantly better prognosis than other lower lobe N2 tumors (5-year survival, 43% vs 18%; $p = 0.0155$).

Conclusions. Basal segment tumor metastasizes to the superior mediastinum mostly through the subcarinal node, whereas superior segment tumors often metastasize directly to the superior mediastinum without concomitant metastasis to the subcarinal node. Superior mediastinal dissection will be mandatory for accurate staging of superior segment tumors even when the subcarinal node is negative on frozen section. As for the prognosis among lower lobe N2 tumors, only in cases with basal segment tumor without superior mediastinal metastasis may long-term survival be expected.

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The lower lobe is a large entity that occupies half of the hemithorax in each side. Its bottom rests just on the diaphragm and the apex reaches above the hilum. However, all tumors located within the lower lobe have been treated uniformly, regardless of location in the lobe. With respect to the anatomic structure, the lower lobe can be primarily divided into two segments, the superior and the basal. We hypothesized that tumors arising in those two lower lobe segments were not alike owing to differences in lymphatic drainage pathways or other clinical behaviors and thus may require different treatment strategies. We therefore investigated segment-specific patterns of nodal spread and prognosis of pN2 disease in each segment. This report describes the differences in the clinical features and prognosis between superior and basal segment tumor of the lower lobe.

Patients and Methods

Patients

Approval for this retrospective study was obtained and the need for individual patient consent was waived by the Institutional Review Board. From January 1981 to December 2001, 3638 patients underwent pulmonary resection for primary lung cancer at the National Cancer Center Hospital. Basically, we operate on the lung cancer patient who is considered to be cN0 to 1 on computed tomography (CT) scan. Our criterion for lymph node enlargement is more than 1.0 cm in the short axis of each nodal station on CT. Mediastinoscopy, mediastinotomy, or positron emission tomography scan were not routinely used preoperatively.

We retrospectively reviewed 139 patients (3.8%) who underwent at least lobectomy and systematic nodal dissection (SND) for lower lobe tumor in either the right or left lung and who had histologic evidence of non-small cell lung cancer (NSCLC) with mediastinal lymph node metastasis (pN2). We excluded the patients who underwent only sampling or selective nodal dissection. The study excluded tumors that crossed the fissure and invaded multiple lobes or other organs and huge tumors

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Table 1. Patient Characteristics in Pathologic N2 Non-Small Cell Lung Cancer Originating From the Lower Lobe

Patients, No.	139
Age, mean ± SD year (range)	60 ± 11 (26–85)
Sex, No (%)	
Male	95 (68)
Female	44 (32)
Histological type, No (%)	
Adenocarcinoma	94 (68)
Squamous cell carcinoma	37 (27)
Others	8 (5)
Primary tumor location, No (%)	
Superior segment	51 (37)
Right	35
Left	16
Basal segment	88 (63)
Right	51
Left	37

more than 5 cm in size. All patients underwent at least lobectomy with hilar and mediastinal lymphadenectomy.

Patients were subdivided into two groups according to origin: superior segment (n = 51) and basal segment (n = 88). The correlation between the segment of the tumor location and the involved hilar/mediastinal nodes were investigated in each case. The location of the tumor was identified by the involved bronchus in the resected specimen. When the tumor involved both the superior and the basal segments, the patient was placed in the superior segment group.

Surgical Procedure

Pulmonary resection and systematic nodal dissection were performed through posterolateral thoracotomy. At thoracotomy, the diagnosis was confirmed by frozen-section analysis when histologic confirmation was not available preoperatively. When the hilar nodes involved the upper lobe bronchus or pulmonary artery, or both, pneumonectomy was done. Systematic nodal dissection, including the superior and inferior mediastinum, was then performed after pulmonary resection. In left thoracotomy, superior mediastinal lymph nodes indicated the 5, 6, and 4L nodes. In right thoracotomy, superior mediastinal lymph nodes indicated the 1, 2R, and 4R nodes. Inferior mediastinal lymph nodes indicated the 7, 8, and 9 nodes in both side thoracotomies. Histologic analysis of lymph node metastasis was made by hematoxylin and eosin stain.

Statistical Analysis

Survival was calculated by the Kaplan-Meier method, and differences in survival were determined by the log-rank test. Zero time was the date of surgery, and the terminal events were death due to cancer, noncancer, or unknown causes. A multivariable analysis of independent prognostic factors was done by using Cox's proportional hazards regression model. Relative risk and 95% confidence intervals were calculated. Proportions were compared by means of χ^2 analysis. Values of $p < 0.05$ were considered to be statistically significant.

Results

Patient Characteristics

Patient characteristics are summarized in Table 1. The tumor cell types were adenocarcinoma in 94 (68%), squamous cell carcinoma in 37 (27%), and others in 8 (5%). The segments of origin were the superior segment in 51 (37%), in 35 of whom the tumor was on the right side, and basal segment in 88 (63%), in 51 of whom the tumor was on the right side. The size of the primary tumor was less than 3 cm in 65 patients (47%).

Patterns of Nodal Spread

Significant differences in patterns of lymphatic pathways on both sides were found when the superior and basal segment groups were compared (Table 2). The basal segment group showed significantly higher incidence of subcarinal metastasis than the superior segment group (80% vs 57%, $p = 0.0044$). The superior segment group showed significantly higher incidence of superior mediastinal metastasis than the basal segment group (64% vs 36%, $p = 0.0012$; Table 2). When superior mediastinal metastasis existed, the basal segment group showed a significantly higher incidence of synchronous subcarinal metastasis than did the superior segment group (81% vs 39%, $p = 0.0006$; Table 3).

Table 2. Location of the Primary Tumor in the Lower Lobe and Incidence of Subcarinal and Superior Mediastinal Node Involvement

			Metastasis to the Subcarinal Node		Metastasis to the Superior Mediastinal Node	
Side	Primary Tumor Location	Patients, No.	No. (%)	*p* Value	No. (%)	*p* Value
Right	Superior segment	35	22 (63)	0.0229	22 (63)	0.0118
	Basal segment	51	43 (84)		18 (35)	
Left	Superior segment	16	7 (44)	0.0417	11 (69)	0.0385
	Basal segment	37	27 (73)		14 (38)	
Total	Superior segment	51	29 (57)	0.0044	33 (64)	0.0012
	Basal segment	88	70 (80)		32 (36)	

Table 3. Location of the Primary Tumor in the Lower Lobe and Incidence of Synchronous Metastasis to the Superior Mediastinal and Subcarinal Nodes

Side	Primary Tumor Location	Patients With Superior Mediastinal Involvement, No.	Synchronous Metastasis to the Subcarinal Node	
			No. (%)	*p* Value
Right	Superior segment	22	9 (41)	0.0064
	Basal segment	18	15 (83)	
Left	Superior segment	11	4 (36)	0.0325
	Basal segment	14	11 (79)	
Total	Superior segment	33	13 (39)	0.0006
	Basal segment	32	26 (81)	

Differences in Surgical Procedure

The superior segment group more frequently required pneumonectomy than the basal segment group, with a significant difference (45.1% vs 17.0%, $p = 0.0003$), but there was no significant difference in the ratio of T1/T2 between the groups (24 of 21 vs 41 of 47, $p = 0.9021$; Table 4).

Group Differences in Prognosis of N2 Disease

Overall 5-year survival of patients with lower lobe N2 tumor was 27.9%. The 5-year survival of the basal segment group was better than for the superior segment group (32.9% vs 19.9%); however, the difference was not significant ($p = 0.1308$; Fig 1).

Among the basal segment group, the patients without superior mediastinal metastasis showed significantly better prognosis than did those with it, with a 5-year survival of 42.7% vs 15.6% ($p = 0.0453$; Fig 2A).

In the superior segment group, no significant differences in survival were detected between patients with and without superior mediastinal metastasis: at 5 years, the survival was 25.4% for those without and 16.5% for those with, survival with only superior mediastinal node metastasis was 20.0%; and with superior mediastinal and subcarinal metastasis, 10.3% ($p = 0.1623$; Fig 2B).

Collectively, the basal segment origin tumors with only subcarinal metastasis showed significantly better prognosis than other lower lobe N2 tumors (5-year survival 43% vs 18%, $p = 0.0155$).

Comment

The lower lobe has a large volume of lung parenchyma, including 5 segments in the right and 4 segments in the left, and occupies half of the hemithorax in each side. Despite the extensive size of the lower lobe, all tumors located there have been treated similarly, regardless of whether the tumor originated in the superior or the basal segments. Owing to a lack of information on the variations in clinicopathologic features between tumors located in the superior and basal segments, we conducted the present study to investigate the differences in patterns of lymph node metastasis and prognosis of each segment.

Our results on the metastatic pathway showed a possibility that basal segment tumors metastasizing to the superior mediastinum mostly went through the subcarinal node, whereas SS tumors often metastasized directly to the superior mediastinum without concomitant metastasis to the

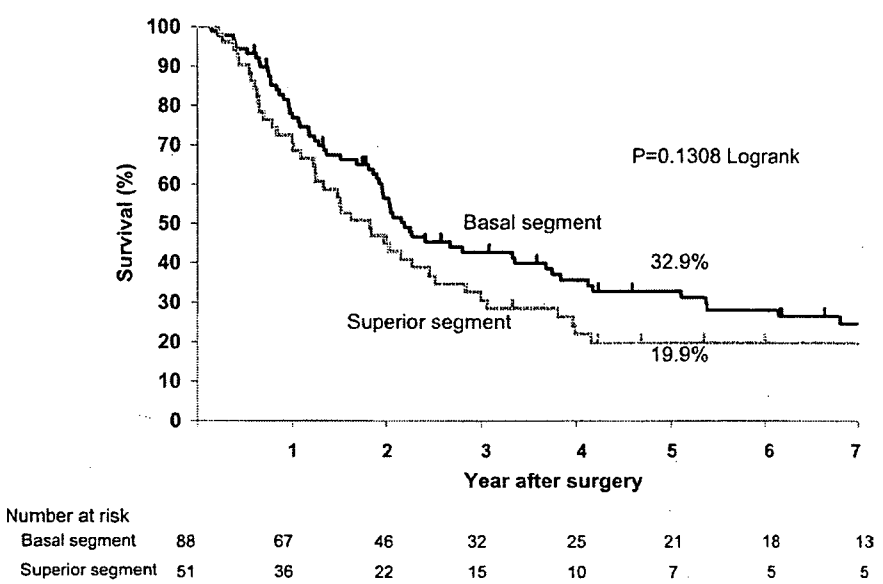

Fig 1. Survival of patients with pN2 tumors located in the basal and superior segment of the lower lobe. The 5-year survival was 32.9% for the basal segment group (black line) and 19.9% for the superior segment group (gray line), but the difference was not significant ($p = 0.1308$).

Table 4. Types of Surgical Procedure for Lower Lobe pN2 Disease According to the Segment of the Primary Tumor in the Lower Lobe

Primary Tumor Location of the	Patients, No.	Surgical Procedure, No. (%)		T Status (T1/T2)
		Pneumonectomy	Lobectomy	
Superior segment	51	23 (45.1)[a]	28 (54.9)	24/27[b]
Basal segment	88	15 (17.0)[a]	73 (83.0)	41/47[b]
Total	139	38 (27.3)	101 (72.7)	65/74

[a] $p = 0.0003$; [b] $p = 0.9021$.

subcarinal node (Tables 2 and 3). Furthermore, the patterns of metastatic pathway in the right and left side were identical (Tables 2 and 3). The schemes demonstrating a possibility of the main stream of lymphatic spread in each segment on the basis of these results are shown in Figure 3. Perhaps superior segment tumors tend to metastasize directly to the upper mediastinum owing to the anatomically shorter distance between these sites compared with the longer distance between the basal segment and the upper mediastinum. Alternatively, for basal segment tumors, the subcarinal node could be a barrier on its metastatic way to the upper mediastinum.

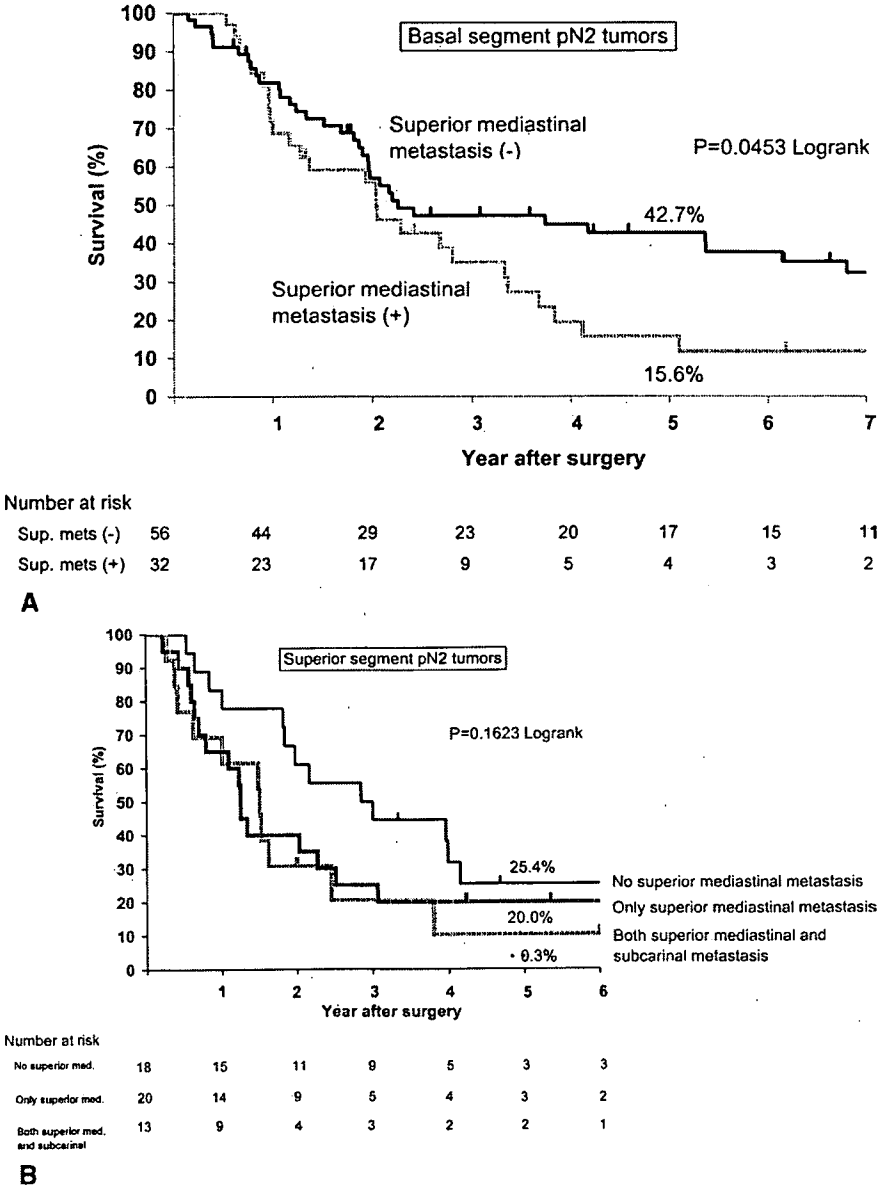

Fig 2. Survival of patients with lower lobe pN2 tumors with and without superior mediastinal metastasis in tumors with (A) basal segment origin and (B) superior segment origin. (A) In the basal segment group, the patients without superior mediastinal metastasis (black line) showed significantly better prognosis than did those with (grey line) superior mediastinal metastasis (5-year survival, 42.7% vs 15.6%, $p = 0.0453$). (B) Survival of patients with superior segment pN2 tumors grouped by the extent of lymph node metastasis. No significant differences in survival were detected between patients without superior mediastinal metastasis (black line; 5-year survival, 25.4%) and with superior mediastinal metastasis (5-year survival, 16.5%: with only superior mediastinal node metastasis (dark gray line, 20.0%; with superior mediastinal and subcarinal metastasis, light gray line, 10.3%; $p = 0.1623$).

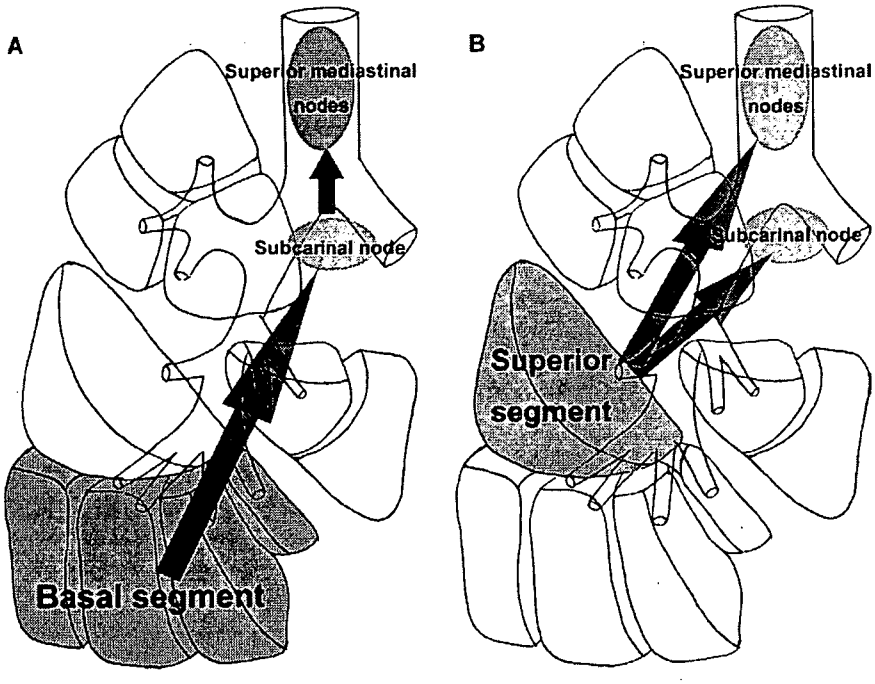

Fig 3. The scheme of the main stream of lymphatic spread of the tumor in each segment. (A) Basal segment tumor metastasizes to the superior mediastinum mostly through the subcarinal node. (B) Superior segment tumor often metastasizes directly to the superior mediastinum, without concomitant metastasis to the subcarinal node.

These factors might contribute to the higher incidence of pneumonectomy in superior segment tumors than that in basal segment tumors. Probably in many patients in the superior segment group, interlobar nodes that were located on the way from the primary site to superior mediastinum were involved. That is, superior segment tumor seems to metastasize to the superior mediastinum by involving the nodes adjacent to the bronchus and pulmonary artery of the upper lobe in consideration of the anatomy of the hilum. Then, this point will lead to the high incidence of pneumonectomy in the superior segment group.

Extensive nodal dissection, including the superior and inferior mediastinum, has been universally performed in lung cancer operations [1, 2]. This technique, termed "systematic nodal dissection" remains an important component of the investigative and therapeutic process in all patients undergoing thoracotomy for lung cancer [3–5]. However, because the number of lung cancers detected early is increasing with the development of CTs scanners, a new therapeutic strategy for selective nodal dissection is required instead of systematic nodal dissection [6, 7]. The extent of nodal dissection could be tailored according to the tumor location–specific patterns of nodal spread [8].

Riquet and associates [9] reported that lung cancer metastasizes so easily to the mediastinum that selection of the patients for limited surgical intervention should be discussed carefully. Some previous reports have described the appropriateness of selective nodal dissection based on the lobe-specific extent of nodal spread [7, 10, 11]. Okada and associates [11] reported that superior mediastinal dissection might be unnecessary for lower lobe tumors when the subcarinal node was negative. Our results support their conclusion for basal segment tumor; however, for the superior segment tumor, our results reveal that superior mediastinal dissection should be mandatory for accurate staging even when the subcarinal node is negative.

The prognosis for patients with superior segment tumors was worse, with a 5-year survival of 20% compared with 33% for patients with basal segment tumors, although this difference was not statistically significant. Poor survival rates may be attributed to the increased incidence of pneumonectomy in superior segment tumors (45%) compared with 17% for basal segment tumors (Table 4). Although the prognoses of patients with superior mediastinal metastasis from superior and basal segment tumors of the lower lobe were dismal, with respective 5-year survivals of 17% and 16%, the patients with basal segment N2 tumors who had only subcarinal metastasis showed significantly better 5-year survival of 43%, an acceptable result, compared with other lower lobe N2 patients. This will be mainly because they have metastasis to a single N2 station with an anatomically shorter distance from the primary site. Only in this small subgroup of lower lobe N2 patients, those with tumors of basal segment origin and having no superior mediastinal metastasis, may long-term survival be expected.

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SHORT COMMUNICATION

Mucoepidermoid carcinoma of the lung: High-resolution CT and histopathologic findings in five cases

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Summary
Objective: The purpose of this study was to characterize the high-resolution computed tomography (HRCT) findings of mucoepidermoid carcinoma of the lung and correlate them with the histopathological features.
Methods: The study included five patients with pathologically proven mucoepidermoid carcinoma who underwent HRCT before treatment. The HRCT findings were then compared with the histopathological features in all patients.
Results: The HRCT images showed lesions in the central lung in four patients and in the peripheral lung in one. All the lesions were well defined nodules or masses with a smooth margin. The contour of the tumours was oval ($n=3$), round ($n=1$) or lobulated ($n=1$). The contrast-enhanced CT images showed marked heterogeneous enhancement with foci of relatively low attenuation in four of the five lesions and mild heterogeneous enhancement in the other lesion. There was an admixed distribution of areas that are heterogeneous in the densities of blood vessels, as highlighted by immunohistochemical staining of CD31. Most mucin-secreting areas of the tumours showed more densely distributed blood vessels, mostly capillaries, in between tumour cell nests, whereas other areas did less. All five patients in our series underwent lobectomy plus lymph node dissection or sampling. All the patients are alive without evidence of disease an average of 50.4 months after surgery (range, 15–82 months; median, 57 months).

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Conclusion: Mucoepidermoid carcinoma of the bronchus is often visualized as marked heterogeneous contrast enhancement on HRCT images. The results of this study suggest that the presence of abundant microvessels, detected immunohistochemically by microscopic examination, affects the enhancement pattern on HRCT.

1. Introduction

Mucoepidermoid carcinoma of the lung is an extremely rare tumour, comprising less than 5% of primary bronchial tumours and 0.1–0.2% of all lung cancers [1–4]. The largest series (56 cases over 26 years) has been published by Yousem and Hochholzer [3]. These tumours are thought to originate from bronchial gland of minor salivary gland-type lining the bronchi, and are classified into low grade and high grade on the basis of histological criteria [1,3,5]. The most important factors in the prognosis include the histological grade and whether complete surgical resection is possible. Completely resectable low-grade tumours generally have an excellent prognosis [3,6].

The radiological appearance of mucoepidermoid carcinoma of the lung depends on tumour location, size and whether obstructive pneumonia is present. The reported computed tomographic (CT) appearance of mucoepidermoid carcinoma of the lung is a well circumscribed oval or lobulated mass arising within the bronchus [7]. Although some investigators have reported the CT features of this tumour [7–10], few reports have included detailed findings of high-resolution CT (HRCT) or correlated them with histopathologic features. The purpose of this study was to characterize the HRCT findings of mucoepidermoid carcinoma of the lung and correlate them with the histopathologic features.

2. Materials and methods

The patients investigated in this study presented at the National Cancer Center, Tokyo, Japan, for diagnosis and treatment during the period from January 1999 through December 2005. Only patients with primary mucoepidermoid carcinoma of the lung were included; patients with pulmonary metastasis from remote sites were excluded. Five patients underwent HRCT and were treated for primary mucoepidermoid carcinoma. The diagnosis was confirmed by histopathologic examination of the surgical specimen in all five patients. All clinical records, including the follow-up information, HRCT findings, endoscopic images and gross and microscopic specimens, were reviewed retrospectively.

2.1. HRCT protocols

HRCT was performed with either a 4-row or 16-row multi-detector CT (MDCT) scanner (Aquilion V-detector, Toshiba Medical Systems Corp., Tokyo Japan). The patients were evaluated with the MDCT scanner by using axial 2.0 mm × 4 mm or 16 modes, 120 kVp, 200–250 mA, and thin-section CT images were obtained using 1.0 mm sections reconstructed at 2.0 mm intervals with a high-spatial-frequency algorithm and retrospectively retargeted to each lung with a 20 cm field of view (FOV). All patients were intravenously injected with 80–150 ml of non-ionic contrast medium at a rate of 2.0–3.0 ml/s with an autoinjector (Autoenhance A-250, Nemoto Kyorindo, Tokyo, Japan), and scanning was started after a 40 s delay. Hard-copy images were photographed at window settings for the lung (center, −600 HU; width, 2000 HU) and the mediastinum (center, 35 HU; width, 400 HU). The intervals between the CT examinations and surgery ranged from 2 days to 4 weeks. All patients were followed up regularly in our institute. Follow-up CT images were obtained in all patients.

The HRCT images were assessed by two independent observers without reference to the clinical findings. The location of the pulmonary nodule was classified as peripheral or central. Nodules present within the peripheral two-thirds of the lung were arbitrarily classified as peripheral type and those within the central one-third or in contact with lobar or segmental bronchi were classified as central. The CT analysis included determination of the attenuation coefficient of the pulmonary lesion. CT attenuation coefficient was evaluated before and after administration of contrast media. The contrast enhancement of the tumour was compared with that of the chest wall musculature. Whether intratumoral calcification was present was also noted. After making independent initial evaluations, the two observers reviewed all cases in which their interpretations differed and reached a final consensus.

2.2. Histopathologic examination

Surgical specimens were inflated and fixed by transpleural and transbronchial infusion with formalin. The specimens were sectioned transversely in the same planes as the HRCT images, stained with hematoxylin-eosin and immunostained for the endothelial marker CD31. One of the authors, an experienced pulmonary pathologist, reviewed the histopathologic findings. The characteristics of the tumours on the HRCT images were compared with the histopathologic findings.

3. Results

3.1. Clinical features

The clinical data are summarized in Table 1. The five patients (two males and three females) ranged in age from 22 to 58 years, and their average age was 41.6 years. Only two of them were smokers. Four of the patients complained of chronic symptoms, including cough, increased sputum production and episodic fevers. These symptoms were related to bronchial irritation, partial or complete bronchial obstruction and distal pneumonia. The remain-

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Table 1 Clinical data of patients with mucoepidermoid carcinoma of the bronchus

Case	Age (year)	Sex	Symptom	Tumour location	Tumour site	Preoperative diagnosis
1	22	M	Cough, sputum	Central	Lt. LLB (B6)	Mucoepidermoid Ca.
2	40	W	Fever, chest pain	Central	Rt. MLB	Mucoepidermoid Ca.
3	58	W	Cough, sputum, fever	Central	Rt. BB (B9)	Non-typed malignant tumour
4	51	M	None	Peripheral	Rt. MLB (B4a)	No malignancy
5	37	W	Cough, sputum, fever	Central	Lt. UDB	No biopsy

Lt. LLB, Left lower lobe bronchus; Rt. BB, right basal bronchus; Rt. MLB, right middle lobe bronchus; Lt. UDB, left upper division bronchus.

ing patient was asymptomatic, and the lesion was detected during routine health examination.

The serum sialyl Lewis X-i antigen (SLX) values were high in all five cases. The serum carcinoembryonic antigen (CEA) and carbohydrate antigen 19-9 (CA19-9) values were high in three cases. The serum cytokeratin fragment 19 (CYFRA 21-1), squamous cell carcinoma antigen (SCC), neuron specific enolase (NSE), progastrin-releasing peptide (pro-GRP) values were all within the normal range.

3.2. HRCT findings

On the CT images, the tumours ranged in diameter from 18 to 38 mm (mean, 28.4 mm) (Table 2). The lesions were located in the central lung in four cases and in the peripheral lung in one. All the lesions were well defined nodules or masses with a smooth margin (Fig. 1). The contour of the tumours was round ($n = 1$), oval ($n = 3$) or lobulated ($n = 1$). Non-enhanced CT scans revealed intratumoral punctate calcification in one of the five lesions (Case 1). CT findings suggestive of bronchial stenosis or obstruction were seen in all cases (distal obstructive pneumonia in four cases, distal bronchial dilation in four and atelectasis in three). Atelectasis with recurrent or non-resolving pneumonia was observed distal to the site of obstruction.

CT attenuation coefficients were evaluated before and after administration of contrast medium. Thus, the change of CT attenuation or the degree of contrast enhancement was described. CT images enhanced by intravenous contrast medium showed marked heterogeneous enhancement with foci of relatively low attenuation in four of the five lesions and mild heterogeneous enhancement in the other lesion. Measurement of Hounsfield unit (HU) data was possible in every patient. The attenuation coefficients of the four markedly enhanced tumours (range, 95—139 HU; mean, 118.5 HU) were much higher than those of the chest wall musculature (range, 48—68; mean, 61.3 HU), whereas that of the one mildly enhanced tumour was slightly higher than that of the chest wall musculature. The ratio of the attenuation coefficient of the tumour to that of the musculature in the mildly enhanced case was 1.5, whereas those of the markedly enhanced cases were much higher (range, 2.0—2.2) (Table 2). None of the patients had lymphadenopathy in the mediastinum, pulmonary hilum or around the bronchi, on the basis of the CT findings.

3.3. Bronchoscopic findings

Bronchoscopy was performed in all five cases and the tumours were easily visualized except the peripheral lesion. The tumours were located in the lobar or segmental bronchi and had filled the bronchial lumen. They were soft, polypoid with a sessile base and pink like the bronchial mucosa. Three of the tumours were covered by a highly vascular mucosa. Although bronchoscopic brushing or biopsy was performed in four cases, a preoperative diagnosis of mucoepidermoid carcinoma was made in only two of them. Bronchoscopy in the other two cases revealed a non-typed malignant tumour or non-diagnostic inflammatory cells.

3.4. Treatment

The treatment chosen for all patients was surgical resection, and the procedure consisted of routine lobectomy including right middle and lower lobectomy (Table 2). The surgical procedures resulted in tumour-free margins. Lymph node dissection or sampling of pulmonary hilar and mediastinal lymph nodes was performed in all cases.

3.5. Histopathologic findings

The histologic diagnosis was low-grade mucoepidermoid carcinoma in all five cases (Table 3). The central tumours

Table 2 HRCT findings of mucoepidermoid carcinoma of the bronchus in four patients

Case	Tumour size (mm)	Tumour margin	Tumour contour	Pattern of enhancement	Ratio of attenuation coefficient
1	38 × 35	Well defined (smooth)	Oval	Heterogeneous	2.1
2	26 × 18	Well defined (smooth)	Lobulated	Heterogeneous	1.5
3	34 × 22	Well defined (smooth)	Oval	Heterogeneous	2.1
4	24 × 24	Well defined (smooth)	Round	Heterogeneous	2
5	33 × 29	Well defined (smooth)	Oval	Heterogeneous	2.2

Ratio of attenuation coefficient: Ratio of the attenuation coefficient of the tumour to attenuation coefficient of the musculature

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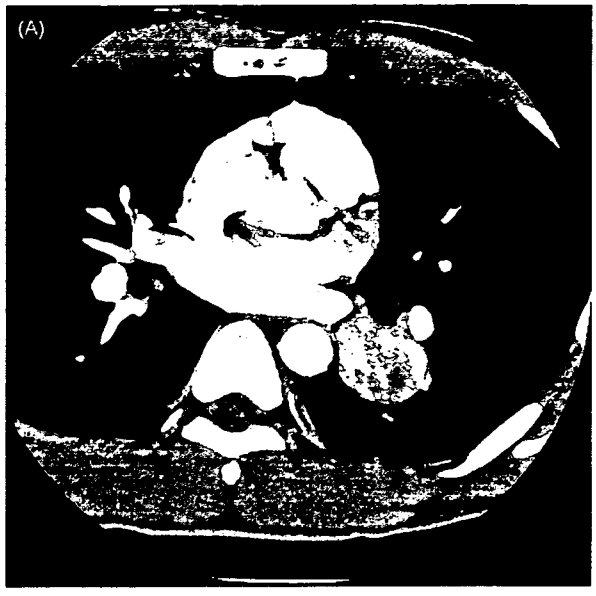

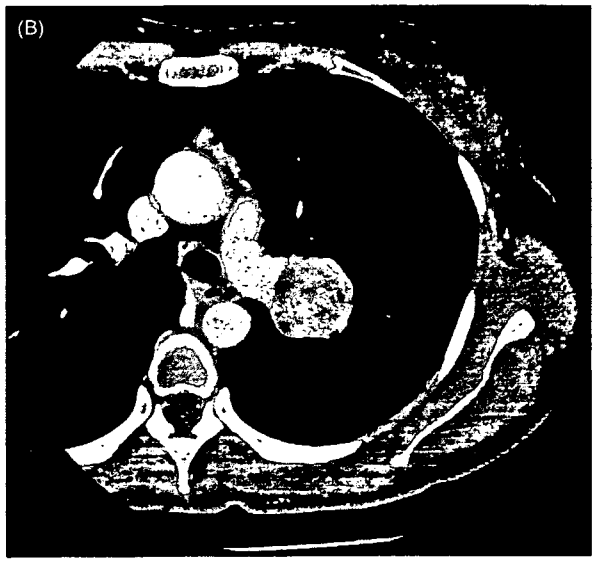

Fig. 1 Mucoepidermoid carcinomas of the bronchus were well defined mass and had a smooth margin. Enhanced CT images shows marked heterogeneous enhancement with foci of relatively low attenuation (A, Case 1; B, Case 5).

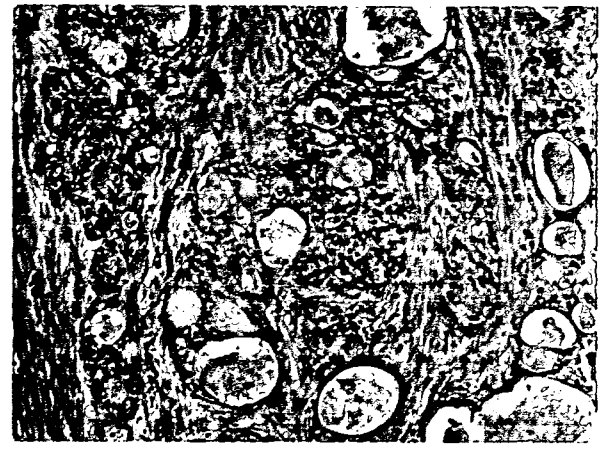

Fig. 2 High-magnification photomicrograph showed the epithelial component of the tumours consisted of mucin-secreting cells, squamoid cells and intermediate-type cells that displayed no specific differentiation.

protruded into the lumen of the bronchus and almost totally occluded it. On cut sections, the tumours were light yellow or tan polypoid masses. The margins and contours of the tumours were smooth, and they were well circumscribed and oval or round, consistent with their CT appearance.

Microscopically, the tumours were seen to arise from bronchial glands and to have infiltrated the bronchial wall. The epithelial component of the tumours consisted of mucin-secreting cells, squamoid cells and intermediate-type cells that displayed no specific differentiation (Fig. 2). Cystic change predominated in some areas, and the solid areas comprised mucin-secreting columnar epithelium that had formed small glands, tubules and cysts. There were no prominent nucleoli, and mitotic figures and necrosis were absent or minimal (less than five mitoses per 50 high-power fields). Keratinization was rare or absent in the epidermoid areas. These pathologic findings are characteristic of low-grade mucoepidermoid carcinoma. There was an admixed distribution of areas that are heterogeneous in the densities of blood vessels, as highlighted by immunohistochemical staining of CD31. Most mucin-secreting areas of the tumours showed more densely distributed blood vessels, mostly capillaries, in between tumour cell nests, whereas other areas did less (Fig. 3). Stromal calcification and ossification with a granulomatous reaction was observed in Case 1. The histologic specimens in Case 1, in which intratumoral punctate calcifications were observed on non-enhanced HRCT scans, showed microscopic calcification. Distal obstructive pneu-

Table 3 Histopathologic findings and outcome

Case	Treatment	p-Stage (TNM)	Grade	CD31	Outcome
1	Left lower lobectomy	T2N0M0 IB	Low-grade	(++)	NED
2	Right middle and lower lobectomy	T1N0M0 IA	Low-grade	(++)	NED
3	Right lower lobectomy	T2N0M0 IB	Low-grade	(+)	NED
4	Right middle lobectomy	T1N0M0 IA	Low-grade	(++)	NED
5	Light upper lobectomy	T2N0M0 IB	Low-grade	(++)	NED

NED: No evidence of disease.

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