

apy with GCV 5 mg/kg once daily were analyzed in the present study. Patient characteristics are listed in Table 1. The remaining 26 patients were excluded from the analysis for the following reasons. Four patients did not achieve cord blood-derived myeloid engraftment. A total of 16 patients including 8 CMV-seronegative patients did not develop antigenemia during the first 90 days after CBT. The remaining 6 patients received GCV therapy, but the initial dose was not 5 mg/kg once daily. Two patients with a

Table 1 Patient characteristics

| | All | LD-GCV |
|---------------------------------|-----------|-----------|
| No. of patients | 60 | 34 |
| Age, y | | |
| Median | 41 | 43 |
| Range | 16-55 | 22-55 |
| Gender, n | | |
| Male | 34 | 18 |
| Female | 26 | 16 |
| Disease, n | | |
| AML | 35 | 21 |
| ALL | 8 | 6 |
| CML | 3 | 1 |
| MDS | 8 | 3 |
| NHL | 6 | 3 |
| Disease status ^a , n | | |
| Low-risk | 32 | 18 |
| High-risk | 28 | 16 |
| TNC, $\times 10^7$ /kg | | |
| Median | 2.35 | 2.34 |
| Range | 1.65-5.13 | 1.77-5.13 |
| CMV serostatus, n | | |
| Negative | 7 | 0 |
| Positive | 53 | 34 |
| HLA matching ^b , n | | |
| 5/6 or 4/6 | 34 | 22 |
| 3/6 or 2/6 | 26 | 12 |
| Preparative regimen, n | | |
| TBI + CY + AraC | 19 | 25 |
| TBI + CY | 1 | 1 |
| TBI + FLU + AraC | 7 | 6 |
| TBI + FLU + MEL | 3 | 2 |
| GVHD prophylaxis, n | | |
| CSP + MTX | 59 | 33 |
| CSP | 1 | 1 |
| Neutrophil engraftment, d | | |
| Median | 22 | 21 |
| Range | 16-46 | 16-32 |

Abbreviations: CSP = cyclosporin; FLU = fludarabine; LD-GCV = LD-GCV = low-dose ganciclovir; MEL = melphalan.

LD-GCV indicates low-dose (5 mg/kg once daily) ganciclovir therapy.

^aLow-risk diseases were defined as acute leukemia and lymphoma in the first or second complete remission, myelodysplastic syndrome in early phases and chronic myelogenous leukemia in the first chronic phase. High-risk diseases were defined as those other than the above.

^bThe matching of HLA-A and -B was confirmed by low-resolution typing methods, and the matching of HLA-DRB1 was confirmed by high-resolution typing methods.

creatinine clearance rate (CCR) of less than 30 ml/min initially received GCV 2 or 3 mg/kg once daily. Two patients with pulmonary symptoms and signs initially received GCV 5 mg/kg twice daily. In both patients, organizing pneumonia but not CMV pneumonia was diagnosed with transbronchial lung biopsy specimens.¹³ The remaining two patients who did not have pulmonary symptoms or renal dysfunction received GCV 5 mg/kg twice daily, but there were no specific reasons for choosing the conventional-dose GCV therapy. Therefore, preemptive therapy with GCV 5 mg/kg once daily was applied to patients who did not have pulmonary symptoms possibly due to CMV pneumonia or renal dysfunction with a CCR of less than 30 ml/min at the initiation of GCV therapy.

Transplantation procedures and supportive care

Transplantation procedures and supportive care were described previously.¹⁴ The preparative regimen and GVHD prophylaxis are described in Table 1. All patients received 1000 mg per day acyclovir orally from day -3 to day +35 to prevent herpes simplex virus infection. To facilitate neutrophil engraftment, recombinant human G-CSF was administered intravenously at a dose of 5 μ g/kg per day from day +1 after CBT. G-CSF administration was discontinued when an ANC increased to more than 3×10^9 per liter, irrespective of whether patients were during GCV therapy or not.

Preemptive GCV therapy

CMV infection was monitored using an antigenemia assay twice a week after engraftment. The antigenemia assay consisted of direct immunostaining of polymorphonuclear leukocytes with monoclonal antibodies C10/C11 (Clonab CMV; Biotest, Dreieich, Germany). The results are expressed as the number of antigen-positive cells per 3×10^5 cells on two slides. Preemptive GCV therapy was initiated when one or more positive cells were detected on two slides. GCV was administered intravenously at a dose of 5 mg/kg once daily. GCV therapy was discontinued when neutropenia with an ANC of less than 1×10^9 per liter developed, or when negative results on two or more consecutive tests were obtained. When antigenemia test results worsened after GCV therapy, the dose of GCV was increased to 5 mg/kg twice daily or GCV was changed to foscarnet.

Statistical methods

The frequencies of categorical variables were compared using the Fisher's exact test. The values of antigenemia test results between two groups were compared using the Mann-Whitney *U*-test.

Results

Initial preemptive therapy with GCV 5 mg/kg once daily

Preemptive therapy with GCV 5 mg/kg once daily was initiated at a median of 35 days (range 21-71) after CBT. The median value of the antigenemia test result was two cells (range 1-29) at the initiation of GCV therapy. In 23 of

34 (68%) patients, GCV therapy was completed after consecutive negative results on the antigenemia test (Table 2). In other two (6%) patients, GCV therapy was discontinued because of the development of neutropenia with an ANC of less than 1×10^9 per liter. However, the two patients achieved consecutive negative results on the antigenemia test after the discontinuation of GCV therapy. For these 25 (74%) patients, preemptive therapy with GCV 5 mg/kg once daily led to the resolution of antigenemia. The resolution of antigenemia was first achieved within 6 and 13 days after the initiation of GCV therapy in 10 (29%) and 18 (53%) patients, respectively. The median duration of GCV therapy in these 25 patients was 16 days (range 8–45). In contrast, therapy with GCV 5 mg/kg once daily was discontinued in the remaining nine (26%) patients because of increasing antigenemia values; the GCV dose was increased to 5 mg/kg twice daily in eight patients a median of 11 days (range 5–13) after the initiation of preemptive GCV therapy, and GCV was changed to foscarnet in one patient of 13 days after the initiation of GCV therapy. The reason for choosing foscarnet in this patient was that the ANC decreased to 1.35×10^9 per liter after 11 days of preemptive GCV therapy. After dose-escalation of GCV or changing to foscarnet therapy, consecutive negative results on the antigenemia test were obtained in all nine patients. In eight patients who required dose-escalation of GCV, the total duration of GCV therapy was 24 days (range 21–29).

Recurrence of antigenemia and second GCV therapy

Of the 34 patients who received initial preemptive therapy with GCV, 5 mg/kg once daily, 18 (53%) patients developed antigenemia again after completion of the therapy (Table 2). The median onset was 74 days (range 42–116) after CBT. Among them, four patients who developed antigenemia with a value of only one cell did not receive

further antiviral therapy, because antigenemia resolved spontaneously. The second course of preemptive therapy for the remaining 14 (41%) patients was initiated a median of 72 days (range 43–106) after CBT. The median value of the antigenemia test result was three cells (range 1–11) on initiation of the second course of therapy. The antiviral agent was GCV in 12 patients and foscarnet in 2. The GCV dose was 5 mg/kg once daily in all 12 patients. In one patient who received the second course of GCV therapy, GCV was changed to foscarnet 13 days after the initiation of GCV therapy because of an increasing antigenemia value. Positive antigenemia test results in the patient resolved 8 days after the initiation of foscarnet therapy. In the remaining 11 patients, preemptive therapy with 5 mg/kg once daily was completed after consecutive negative results on the antigenemia test. The median duration of GCV therapy in the 11 patients was 15 days (range 11–22). In two patients who received the second course of preemptive therapy with foscarnet, antiviral therapies for 12 and 17 days were completed after consecutive negative results on the antigenemia test. However, 4 of 14 patients received one or two further courses of antiviral therapy for the recurrence of antigenemia.

CMV disease

CMV disease did not occur in the 34 patients who initially received preemptive therapy with GCV 5 mg/kg once daily. However, CMV disease occurred in one patient who was excluded from the analysis. The patient had renal dysfunction with a GFR of 25 ml/min and received preemptive therapy with GCV 3 mg/kg once daily for antigenemia from day +22. Because of an increasing antigenemia value, the antiviral agent was changed to foscarnet on day +33 after CBT. Foscarnet therapy was continued after the resolution of antigenemia. CMV meningitis occurred on day +111, which did not respond to GCV therapy and directly caused her death.

Table 2 Results of GCV therapy

| | No. of patients |
|--|-----------------|
| Initial PT with LD-GCV | 34 |
| Negative antigenemia test results | 25 (74%) |
| Discontinuation after negative results | 23 (68%) |
| Discontinuation after neutropenia | 2 (6%) |
| Increasing antigenemia test results | 9 (26%) |
| Dose-escalation of GCV | 8 (23%) |
| Change to foscarnet | 1 (3%) |
| Recurrence of antigenemia | 18 (53%) |
| Resolution without PT | 4 (12%) |
| Second PT | 14 (41%) |
| LD-GCV | 12 (35%) |
| Foscarnet | 2 (6%) |
| Second PT with LD-GCV | 12 |
| Negative antigenemia test results | 11 (92%) |
| Discontinuation after negative results | 11 (92%) |
| Discontinuation after neutropenia | 0 (0%) |
| Increasing antigenemia test results | 1 (8%) |
| Dose-escalation of GCV | 0 (0%) |
| Change to foscarnet | 1 (8%) |
| CMV disease | 0 (0%) |

Abbreviations: LD-GCV = low-dose (5 mg/kg once daily) ganciclovir; PT = preemptive therapy.

Neutropenia after GCV therapy

The incidence of neutropenia after the initial GCV therapy was examined. The median ANC at the initiation of GCV therapy was 1.9×10^9 per liter (range 0.35 – 6.4×10^9 per liter). In 17 of 34 (50%) patients, no obvious decrease in the ANC was observed after GCV therapy (Table 3). In the

Table 3 Neutropenia after GCV therapy

| | No. of patients |
|-----------------------------------|-----------------|
| Initial PT with LD-GCV | 34 |
| No decrease in ANC | 17 (50%) |
| Any decrease in ANC | 17 (50%) |
| ANC $< 1 \times 10^9$ per liter | 12 (35%) |
| ANC $< 0.5 \times 10^9$ per liter | 1 (3%) |
| Second PT with LD-GCV | 12 |
| No decrease in ANC | 7 (58%) |
| Any decrease in ANC | 5 (42%) |
| ANC $< 1 \times 10^9$ per liter | 2 (17%) |
| ANC $< 0.5 \times 10^9$ per liter | 0 (0%) |

Abbreviations: LD-GCV = low-dose (5 mg/kg once daily) ganciclovir; PT = preemptive therapy.

remaining 17 patients, the minimum ANC was a median of 0.9×10^9 per liter (range $0.44\text{--}2.99 \times 10^9$ per liter) which occurred a median of 21 days (range 7–35) after the initiation of GCV therapy. A total of 12 (35%) patients developed neutropenia with an ANC of less than 1×10^9 per liter. Among them, four of eight (50%) patients who had required dose-escalation of GCV after increasing antigenemia values were included. The median duration of neutropenia was 5 days (range 1–30). A total of $2 \mu\text{g}/\text{kg}$ G-CSF administration was initiated in four patients with ANCs of 0.44 , 0.62 , 0.7 and 0.92×10^9 per liter. In these patients, the ANC increased to more than 1×10^9 per liter within 2 days after the initiation of G-CSF administration. Neutropenia with an ANC of less than 0.5×10^9 per liter occurred in only one (3%) patient.

Next, the incidence of neutropenia after the second course of GCV therapy was examined. The median ANC at the initiation of GCV therapy was 2.9×10^9 per liter (range $1.23\text{--}5.23 \times 10^9$ per liter). In 7 of 12 (58%) patients, no obvious decrease in the ANC was observed after GCV therapy. In the remaining five patients, the minimum ANC was a median of 1.27×10^9 per liter (range $0.67\text{--}3.07 \times 10^9$ per liter) which occurred a median of 14 days (range 13–30) after the initiation of GCV therapy. Two (17%) patients developed neutropenia with an ANC of less than 1×10^9 per liter (0.67 and 0.69×10^9 per liter). The durations of neutropenia were 5 and 9 days, respectively.

The association between G-CSF administration at the initiation of GCV therapy and the incidence of neutropenia after GCV therapy was examined. A total of 11 patients still received G-CSF at the initial course of GCV therapy, but none at the second course of therapy. After the initial GCV therapy, neutropenia less than 1×10^9 per liter occurred in 3 of 11 (27%) patients with G-CSF administration, and 9 of 24 (37%) patients without G-CSF administration ($P=0.42$).

No patients developed neutropenic fever or infection after the initial and second courses of GCV therapy. Secondary graft failure did not occur. There were no deaths directly attributable to GCV therapy.

Thrombocytopenia after GCV therapy

At the initiation of GCV therapy, 24 of 34 (71%) patients had a platelet count of less than 20×10^9 per liter and still required platelet transfusions. In the remaining 10 (29%) patients, a platelet count was between 20 and 50×10^9 per liter in two patients, between 50 and 100×10^9 per liter in six patients, and more than 100×10^9 per liter in two patients. No patients showed obvious decrease in a platelet count during and after GCV therapy. In 19 of 24 (79%) patients who required platelet transfusions, a platelet count increased more than 20×10^9 per liter without transfusions within 21 days after the initiation of GCV therapy. In all 10 patients who did not require platelet transfusions, the platelet count increased to more than 100×10^9 per liter within 21 days.

During and after the second course of GCV therapy, no patients showed obvious decrease in a platelet count, either. Although only one (8%) patient still required platelet transfusions, his platelet count increased to more than

50×10^9 per liter within 21 days after the initiation of GCV therapy. In four of six (67%) patients with a platelet count between 20 and 50×10^9 per liter, a platelet count increased to more than 50×10^9 per liter within 21 days. A platelet count between 50 and 100×10^9 per liter in four patients and more than 100×10^9 per liter in the remaining one patient increased to more than 100 and 200×10^9 per liter, respectively. These results showed that the impact of preemptive therapy with GCV $5 \text{ mg}/\text{kg}$ once daily on platelet recovery was relatively mild in patients after CBT.

Factors affecting the response of GCV therapy

The impacts of the severity of antigenemia, acute GVHD and steroid therapy were examined. Between 9 patients who had the increasing antigenemia test results during GCV therapy and 25 patients who did not, the values of an antigenemia test at the initiation of GCV therapy did not differ significantly (median, three cells (range 1–29) and median, two cells (range 1–18), respectively, $P=0.41$). Between 18 patients who had recurrence of antigenemia after the completion of the initial GCV therapy and 16 patients who did not, the values of an antigenemia test also did not differ (median, three cells (range 1–29) and median, two cells (range 1–7), respectively, $P=0.82$). Between 18 patients with grade II–IV acute GVHD and 16 patients without it, the probabilities of the increasing antigenemia test results did not differ significantly (28 and 25%, respectively, $P=0.58$). The probabilities of the recurrence of antigenemia also did not differ (56 and 50%, respectively, $P=0.51$). Between six patients who received steroid therapy with $0.5 \text{ mg}/\text{kg}$ prednisolone or more and 28 patients who did not, the probabilities of the increasing antigenemia test results did not differ (50 and 21%, respectively, $P=0.17$). The probability of the recurrence of antigenemia in patients with steroid therapy were 83%, which also did not differ significantly from that in patients without steroid therapy (46%, $P=0.11$). However, the reason for the failure to detect significant differences between patients with and without steroid therapy was probably due to the small patient number in this study.

Discussion

In the present study, we examined the efficacy and toxicity of preemptive therapy using GCV $5 \text{ mg}/\text{kg}$ once daily for CMV infection after unrelated CBT. In the entire cohort of 60 patients, CMV disease occurred in one patient who had severe renal dysfunction and was excluded from this preemptive strategy. However, CMV disease did not occur in 34 patients who received preemptive therapy with GCV $5 \text{ mg}/\text{kg}$ once daily as the initial induction therapy. Because study patients were selected based on those who did not have possible CMV pneumonia or severe renal dysfunction, preemptive therapy with GCV $5 \text{ mg}/\text{kg}$ once daily was suggested to be effective for such selected CBT recipients.

The efficacy of preemptive therapy with GCV $5 \text{ mg}/\text{kg}$ once daily was compared with that in our previous study using conventional preemptive GCV therapy for CBT recipients¹² (Table 4). Within 21 days after the initiation of

Table 4 Comparison of efficacies between the present and previous¹⁵ studies

| | Low dose | Conventional |
|--|----------|--------------|
| No. of patients | 34 | 16 |
| <i>Acute GVHD</i> | | |
| Grade 0-I | 16 (47%) | 9 (56%) |
| Grade II-IV | 18 (53%) | 7 (44%) |
| <i>Steroid therapy</i> | | |
| No | 28 (88%) | 10 (63%) |
| Yes | 6 (18%) | 6 (37%) |
| Negative antigenemia test results | 25 (74%) | — |
| Discontinuation after negative results | 23 (68%) | 15 (93%) |
| Discontinuation after neutropenia | 2 (6%) | 1 (7%) |
| Increasing antigenemia test results | 9 (26%) | — |
| Dose-escalation of GCV | 8 (23%) | — |
| Change to foscarnet | 1 (3%) | — |
| Recurrence of antigenemia | 18 (53%) | 8 (50%) |
| Spontaneous resolution | 4 (12%) | 2 (13%) |
| Second preemptive therapy | 14 (41%) | 6 (37%) |
| CMV disease | 0 (0%) | 0 (0%) |

GCV therapy, antigenemia resolved in 13 of 16 (81%) patients in the previous study and 31 of 34 (91%) patients in the present study. The remaining patients required prolonged administration of GCV. In the present study, nine (26%) patients developed increasing antigenemia values within 14 days after the initiation of GCV therapy and required dose-escalation of GCV or a change to foscarnet therapy. However, all patients in both studies achieved consecutive negative results on the antigenemia test without the development of CMV disease. In the previous study, 8 of 16 (50%) patients developed recurrence of antigenemia and 6 (37%) patients required one or more further courses of GCV therapy. Similarly, in the present study, 18 of 34 (53%) patients developed recurrence of antigenemia and 14 (41%) patients required one or more further courses of GCV therapy. In the entire study cohorts, none of the 28 (0%) patients in the previous study and 1 of the 60 (2%) patients in the present study developed CMV disease after CBT. These results suggest that the efficacy of a preemptive strategy using GCV 5 mg/kg once daily as initial induction therapy is largely equivalent to the conventional preemptive strategy using GCV 5 mg/kg twice daily as an initial induction phase for patients after CBT.

The incidence of neutropenia after preemptive therapy with 5 mg/kg once daily was compared with that after conventional preemptive GCV therapy for CBT patients in our previous study¹⁵ (Figure 1). Neutropenia with an ANC of less than 1 and 0.5×10^9 per liter after initial GCV therapy occurred in 12 (35%) and 1 (3%) of 34 patients, respectively, in the present study, and 9 (53%) and 2 (12%) of 17 patients, respectively, in the previous study. Although the incidences of neutropenia tended to be lower in the present study, statistical analysis did not show significant differences ($P = 0.18$ for $ANC < 1 \times 10^9$ liter and 0.25 for $ANC < 0.5 \times 10^9$ per liter). However, the proportion of

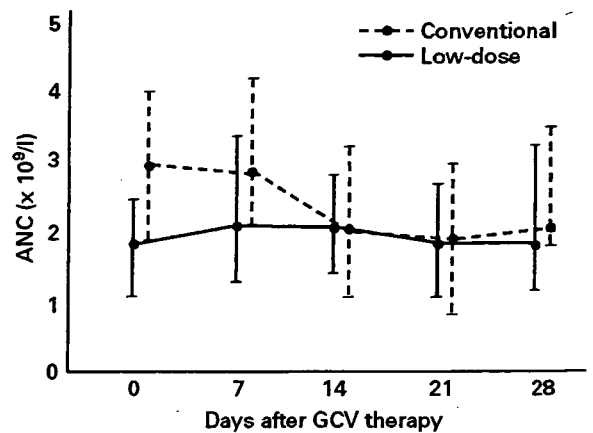


Figure 1 Serial changes in ANCs after ganciclovir (GCV) therapy. ANCs at 0, 7, 14, 21 and 28 days after the initiation of GCV therapy did not differ significantly between low-dose GCV therapy in the present study and conventional GCV therapy in the previous study¹² (Mann-Whitney *U*-test, $P = 0.09, 0.09, 0.53, 0.97$ and 0.17 , respectively). The dots represent a median ANC, and the horizontal lines represent 25th and 75th percentile.

patients who did not show an obvious decrease in the ANC after GCV therapy in the present study was significantly higher than in the previous study (50 vs 12%, $P < 0.01$). In both previous and present studies, no patients developed neutropenic fever or secondary graft failure after GCV therapy. There were no GCV therapy-related deaths in either study. These results suggest that a preemptive strategy using GCV 5 mg/kg once daily is feasible for patients after CBT.

Favorable outcomes of preemptive therapy with GCV 5 mg/kg once daily for BMT and PBSCT recipients were previously reported.⁵⁻⁷ In previous studies, dose-escalation of GCV or a change to foscarnet therapy was required in 32-39% patients when the initial response was not sufficient. Recurrence of CMV infection after the completion of initial preemptive therapy was observed in 33-43% patients. However, the incidence of CMV disease was less than 10% in all studies. In addition, GCV-related neutropenia occurred in only 3-16% patients. These results suggest that preemptive therapy with GCV 5 mg/kg once daily can reduce the incidence of GCV-related neutropenia but retain the efficacy for preventing CMV disease in BMT and PBSCT recipients.

The reported incidence of CMV infection after CBT ranges from 41 to 58% in CMV-seropositive patients.^{11,16} Among 48 CMV-seropositive patients who achieved neutrophil engraftment after CBT, 40 patients (83%) showed positive test results in the present study. This incidence of CMV infection after CBT might be higher than in the previous studies. The remaining eight CMV-seropositive patients including three patients aged more than 40 years, six patients with grade II-IV acute GVHD and two patients in high-risk disease status, did not show positive antigenemia test results within 90 days after CBT. The incidence of documented CMV infection can vary depending on the monitoring strategy.⁶ By using sensitive PCR methods instead of antigenemia assays, more frequent CMV infections after CBT would be identified.

The present study suggests that an antigenemia-based preemptive strategy using GCV 5 mg/kg once daily as the initial induction therapy is feasible and effective for CBT recipients. However, the study cohort included only patients who did not have possible CMV pneumonia or severe renal dysfunction. For a patient with possible CMV pneumonia, the initiation of therapy with GCV 5 mg/kg twice daily would be prudent, because of a high mortality of established CMV pneumonia.¹ For a patient with severe renal dysfunction, the GCV dose should be adjusted for the CCR of the patient.¹⁷ Cord blood lymphocytes are functionally and phenotypically immature as compared with adult blood lymphocytes.¹⁸ Therefore, preemptive strategy distinct from that for BMT or PBSCT recipients may be appropriate for CBT recipients. Large-scale studies are needed to determine the efficacy and safety of the preemptive strategy for preventing CMV disease in adult patients after CBT.

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Letter to the Editor

Four cases of donor cell-derived AML following unrelated cord blood transplantation for adult patients: experiences of the Tokyo Cord Blood Bank

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Keywords

cord blood bank, cord blood transplantation, donor cell leukemia.

Donor cell leukemia (DCL) is considered a rare complication following allogeneic BMT, but the actual frequency of DCL has not been specified. Cord blood (CB) is now recognized as an alternative source for stem cell transplantation (SCT), with more than 6000 CBT performed world-wide, and a few cases of DCL following CBT have been reported [1–3]. We report four cases of DCL that developed after unrelated CBT using clinical reports of 478 units available from 596 units shipped by the Tokyo Cord Blood Bank (Tokyo CBB). Two cases out of the four have been already reported elsewhere [2,3]. Tokyo CBB was informed of the development of DCL by the attending physicians of the recipients in CBT centers soon after a definite diagnosis was made. The feedback from CBT centers regarding the four DCL cases is summarized in Table 1. All the donors were well at a follow-up questionnaire of 6–12 months after birth, but further information of their health has not yet been obtained. Notification of DCL to the donors' parents has not yet been done because DCL in the recipient does not always mean occult leukemia in the donor and we do not want to create unnecessary anxiety for the parents.

The etiology of DCL is unclear and a common mechanism is unlikely according to the reported literature [4–6]. Several possibilities exist, including occult leukemia or a pre-leukemic state in the donor, a defect in immune surveillance, therapy-related stromal abnormalities, excess cytokine stimulation and DNA replication and/or repair errors associated with post-transplant expansion of stem/progenitor cells. In this regard, it should be noted that these four cases developed AML, which is relatively rare in childhood acute leukemia [7], suggesting the extrinsic influences include excessive cytokine release, infectious agents and defect immunosurveillance on leukemogenesis in the CBT setting. Nevertheless, the possibility of occult leukemia in the donor raises serious problems regarding the ethical responsibilities of the CBB to the donor. Ethical, but with a scientific background, discussion should be continued regarding the cause of DCL.

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Table 1. Four cases of DCL following CBT

| Patient | 1 | 2 [3] | 3 [2] | 4 |
|---------------------|-------------------------------|-----------------------------|---|-----------------------------|
| Gender | Female | Female | Female | Male |
| Age (years) | 32 | 32 | 56 | 30 |
| CB gender | Male | Female | Male | Female |
| Disease | AML-M2 | AML-M0 | ATL | HD |
| Status at CBT | REL1 | REL1 | CR1 | Stage IVA |
| Biomarker | AML1-ETO (+) | (-) | HTLV-1(+) | (-) |
| SCT | 1st | 1st | 2nd | 2nd |
| Regimen | Myeloablative | Myeloablative | Non-myeloablative | Non-myeloablative |
| TBI | 12Gy | 12Gy | (-) | 2Gy |
| G-CSF | (+) | (+) | (+) | (+) |
| GvHD Prophylaxis | CsA + sMTX | CsA + sMTX | FK506 + PSL | CsA + sMTX |
| aGvHD | II | II | 0 | III- |
| cGvHD | (-) | (-) | (-) | Limited |
| DCL | AML | AML-M2 | AML | AML-M5 |
| Onset of DCL | 15 months after CBT | 11 months after CBT | 7 months after CBT | 16 months after CBT |
| Chimerism Diagnosis | Y-probe FISH (PB) 100% (+) | STR (PB) 100% donor type | Y-probe FISH/STR (PB) 98.3/100% donor type | STR (PB) 100% donor type |
| Blast | 84% in PB | 13% in PB | 93% in PB | 50% in PB |
| Biomarker | AML-1/ETO (-) | | HTLV-1(-) | MLL (+) |

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ORIGINAL ARTICLE

Impact of ABO incompatibility on engraftment and transfusion requirement after unrelated cord blood transplantation: a single institute experience in Japan

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The impact of ABO incompatibility between donor and recipient on engraftment and transfusion requirement was studied in 95 adults who underwent unrelated cord blood transplantation (CBT). The patients included 27 ABO-identical, 29 minor, 21 major and 18 bidirectional ABO-incompatible recipients. Neutrophil engraftment did not differ between ABO-identical/minor ABO-incompatible and major/bidirectional ABO-incompatible recipients (hazard ratio (HR) 1.17, $P = 0.48$). Cumulative incidence of platelet engraftment in ABO-identical/minor ABO-incompatible recipients was higher than in major/bidirectional ABO-incompatible recipients (HR 1.88, $P = 0.013$). In addition, fewer platelet transfusions were required during the first 60 days after CBT in ABO-identical/minor ABO-incompatible recipients (HR 0.80, $P = 0.040$). RBC engraftment did not differ between the two groups (HR 1.25, $P = 0.33$). However, fewer RBC transfusions were required in ABO-identical/minor ABO-incompatible recipients than in major/bidirectional ABO-incompatible recipients (HR 0.74, $P < 0.005$). No patients developed pure red-cell aplasia after CBT. These results indicate that ABO incompatibility affected platelet engraftment and transfusion requirement of RBC and platelet in CBT recipients. Further studies including larger patient numbers are required to elucidate the impact of ABO incompatibility on the clinical outcome of CBT.

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Keywords: ABO incompatibility; transfusion; pure red-cell aplasia; hemolysis; cord blood transplantation

Introduction

The presence of ABO incompatibility between donor and recipient is common in allogeneic hematopoietic SCT. The incompatibility is defined as 'major' when the recipient plasma has isohemagglutinins against donor RBC antigens, and 'minor' when the donor has isohemagglutinins against recipient RBC antigens. When combined features of major and minor incompatibility coexist, the incompatibility is defined as 'bidirectional'. Most previous studies showed no significant effect of major/bidirectional ABO incompatibility on the incidence of graft failure, GVHD, or survival after SCT.^{1–3} However, many reports have described the occurrence of pure red-cell aplasia (PRCA) or delayed erythroid engraftment and increased requirement for RBC transfusion in patients after major/bidirectional ABO-incompatible allogeneic bone marrow transplantation or PB SCT.^{4–7} In the present study, we investigated the impact of major/bidirectional ABO incompatibility on engraftment and transfusion requirements in adult patients who had undergone the unrelated cord blood transplantation (CBT) at a single institute.

Patients and methods

Patients

Between August 1998 and November 2005, 95 consecutive adult patients underwent unrelated CBT following a conditioning regimen including 12 Gy total body irradiation at The Institute of Medical Science, The University of Tokyo (Table 1). The study patients included 27 ABO-identical, 29 minor, 21 major and 18 bidirectional ABO-incompatible recipients of CBT. Transplantation procedures and supportive care have been described previously.⁸ No patients received a conditioning regimen including antithymocyte globulin (ATG). Cyclosporine (CSP, 3 mg/kg/day) with a short course of methotrexate was administered intravenously to prevent acute GVHD in 92 patients, and CSP was given alone to the remaining three patients. To facilitate neutrophil engraftment, recombinant human G-CSF was administered intravenously at a dose of

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Table 1 Patient characteristics

| | Total | Identical | Minor | Major | Bidirect |
|---------------------------|-----------|-----------|-----------|-----------|-----------|
| No. of patients | 95 | 27 | 23 | 21 | 18 |
| Age (years) | | | | | |
| Median | 39 | 42 | 36 | 39 | 37 |
| Range | 16-53 | 18-55 | 16-53 | 16-53 | 20-52 |
| Gender | | | | | |
| Male | 50 | 13 | 18 | 7 | 12 |
| Female | 45 | 14 | 11 | 14 | 6 |
| Disease | | | | | |
| AML | 60 | 17 | 17 | 15 | 11 |
| ALL | 17 | 3 | 5 | 2 | 5 |
| CMF | 4 | 1 | 2 | 0 | 1 |
| MDS | 2 | 1 | 3 | 2 | 1 |
| NH | 5 | 3 | 2 | 2 | 0 |
| Disease status | | | | | |
| Low-risk | 22 | 5 | 10 | 7 | 0 |
| High-risk | 73 | 22 | 13 | 14 | 18 |
| CMV serostatus | | | | | |
| Negative | 14 | 4 | 4 | 3 | 3 |
| Positive | 81 | 23 | 25 | 18 | 15 |
| TNC ($\times 10^7$ /kg) | | | | | |
| Median | 2.4 | 2.5 | 2.4 | 2.4 | 2.4 |
| Range | 1.2-5.3 | 2.0-3.7 | 1.6-5.3 | 1.8-3.4 | 3.2-4.0 |
| CD34 ($\times 10^7$ /kg) | | | | | |
| Median | 0.93 | 1.01 | 0.9 | 0.94 | 1.06 |
| Range | 0.15-8.97 | 0.31-3.61 | 0.31-8.97 | 0.17-1.66 | 0.15-2.14 |
| HLA matching | | | | | |
| 5/6 or 4/6 | 69 | 14 | 23 | 13 | 17 |
| 3/6 or 2/6 | 26 | 13 | 6 | 8 | 1 |
| Cryopreserved period (m) | | | | | |
| Median | 18 | 17 | 19 | 21 | 23 |
| Range | 4-60 | 3-60 | 8-51 | 13-54 | 11-38 |
| Preparative regimen | | | | | |
| TBI + CY + AraC | 73 | 19 | 22 | 18 | 14 |
| TBI + CY | 11 | 3 | 4 | 1 | 3 |
| TBI + FLU + AraC | 8 | 3 | 3 | 2 | 0 |
| TBI + FLU + MEL | 3 | 2 | 0 | 0 | 1 |
| Acute GVHD | | | | | |
| Grade 0-1 | 37 | 13 | 8 | 9 | 7 |
| Grade II-IV | 51 | 13 | 19 | 11 | 8 |

Abbreviations: AraC = arabinoside; FLU = fludarabine; MDS = myelodysplastic syndrome; MEL = melphalan; NH = non-Hodgkin's lymphoma; TBI = total body irradiation; TNC = total nucleated cell. Identical indicates ABO-identical; Minor, minor ABO-incompatible; Major, major ABO-incompatible; Bidirect, bidirectional ABO-incompatible.

5 mg/kg/day from day +1 after CBT. Anti-CMV high-titer intravenous immunoglobulin at a dose of 10 g was administered twice a month from day -2 until day +100 or longer after CBT.

Transfusion guidelines

Patients were transfused with packed RBCs to maintain a hemoglobin concentration of greater than 8 g/dl and with packed platelets to maintain a platelet count of greater than 20×10^9 /l. All platelet concentrates were prepared by single-donor apheresis. In general, a pack containing 2.0×10^{11} platelets (10U) or more was used. All packed

RBCs were derived from 400 ml blood (2U). All packed RBCs and platelets transfused were leukocyte-filtered and irradiated to 25 Gy. The ABO type of RBCs and platelets transfused after CBT was determined by the blood groups of donor and recipient as described previously.^{2,3} In minor ABO-incompatible recipients, donor-type RBCs and recipient-type platelets were transfused. In major ABO-incompatible recipients, recipient-type RBCs and donor-type platelets were transfused. In bidirectional ABO-incompatible recipients, group-O RBCs and group-AB platelets were transfused. For RBC transfusion in ABO-incompatible CBT, plasma-containing antibody to recipient ABO antigens was removed by washing and centrifugation.

Definitions

Neutrophil engraftment was defined as the day of achieving an ANC greater than 0.5×10^9 /l for 3 consecutive days. Platelet engraftment was defined as the day of achieving a platelet count greater than 20×10^9 /l for 3 consecutive days without transfusion. RBC engraftment was defined as the day of achieving a reticulocyte count greater than 1% for 3 consecutive days.

High-risk diseases were defined as acute leukemia and lymphoma in more than the first complete remission, Philadelphia chromosome-positive acute lymphoblastic leukemia in any phases, myelodysplastic syndromes in advanced phase, and chronic myelogenous leukemia in more than the first chronic phase. Low-risk diseases were defined as other than the above. HLA-A and -B matching was confirmed by low-resolution typing methods and HLA-DRB1 matching was confirmed by high-resolution typing methods.

Statistical analysis

The cumulative incidence of engraftment was estimated in a competing risks setting, death and relapse being treated as competing risks.²⁴ In multivariate analysis, a Cox proportional hazards model was used to assess the independent effect of risk factors on engraftment and transfusion requirement after CBT. We used the stepwise variable selection procedures with a significance level of 5%. Other than ABO incompatibility, the following factors were studied: age (less than 40 years versus 40 years or more), gender (male versus female), disease status (low-risk versus high-risk), pretransplant CMV serostatus (negative versus positive), total nucleated cell (TNC) dose (less than 2.5×10^7 /kg versus 2.5×10^7 /kg or more), CD34-positive cell dose (less than 1.0×10^7 /kg versus 1.0×10^7 /kg or more), HLA-matching (5- or 4-match versus 3- or 2-match), cryopreserved period of grafts (less than 24 months versus 24 months or more), and severity of acute GVHD (grade 0-1 versus II-IV).

Results

Neutrophil engraftment

Of 95 patients who underwent CBT, three developed autologous hematopoietic recovery after CBT, and other four patients died before neutrophil recovery. Successful neutrophil engraftment was achieved in the remaining

88 patients at a median of 22 (range, 16-46) days after CBT. In patients with ABO-identical, minor, major and bidirectional ABO-incompatible CBT, the cumulative incidences of neutrophil engraftment at 60 days after CBT were 93, 93, 90 and 83%, respectively (Figure 1a, Table 2). The median days to neutrophil engraftment were 22, 23, 22 and 22, respectively. The cumulative incidence of neutrophil engraftment in ABO-identical/minor ABO-incompatible CBT did not differ significantly from that in major/bidirectional ABO-incompatible CBT (median day, 22 versus 22; cumulative incidence, 95 versus 90%; hazard ratio (HR) 1.17; $P=0.48$) (Figure 1b; Table 3). The smaller CD34-positive cell dose was significantly associated with delayed neutrophil engraftment after CBT (HR 0.65, $P=0.049$) (Table 3). Instead of an ANC of $0.5 \times 10^9/l$, when the days of achieving an ANC greater than $1.0 \times 10^9/l$ for 3 consecutive days were compared, the cumulative incidence in ABO-identical/minor ABO-incompatible CBT also did not differ significantly from that in major/bidirectional ABO-incompatible CBT (median day, 25 versus 24; cumulative incidence, 95 versus 90%; HR 1.13, $P=0.52$).

Platelet engraftment and transfusion

Of the 88 patients with neutrophil engraftment, three patients relapsed and two patients died without relapse, before platelet engraftment. Platelet engraftment was achieved in the remaining 83 patients at a median of 40 (range, 22-99) days after CBT. In patients with ABO-identical, minor, major and bidirectional ABO-incompatible CBT, the cumulative incidences of platelet engraftment at 90 days after CBT were 89, 90, 86 and 61%, respectively (Figure 2a, Table 2). The median days of platelet engraftment were 39, 41, 42 and 59, respectively. The cumulative incidence of platelet engraftment in ABO-identical/minor ABO-incompatible CBT was significantly higher than that in major/bidirectional ABO-incompatible CBT (median day, 40 versus 42; cumulative incidence, 91 versus 74%; HR 1.88; $P=0.013$) (Figure 2a, Table 3). Pretransplant CMV seropositivity and the smaller CD34-positive cell dose were also isolated risk factors

Table 2 Results

| | Identical | Minor | Major | Bidirect |
|--------------------------------|-----------|-----------|-----------|-----------|
| Engraftment | | | | |
| Neutrophil | | | | |
| Cumulative incidence (%) | 93 | 93 | 90 | 83 |
| 95% CI | 81-100 | 82-100 | 76-100 | 63-100 |
| Platelet | | | | |
| Cumulative incidence (%) | 89 | 90 | 86 | 61 |
| 95% CI | 73-100 | 87-100 | 69-100 | 37-86 |
| RBC | | | | |
| Cumulative incidence (%) | 89 | 90 | 90 | 72 |
| 95% CI | 76-100 | 77-100 | 76-100 | 50-93 |
| Transfusion requirement | | | | |
| Platelet | | | | |
| Average (units/kg) | 9.4 | 7.6 | 11.0 | 9.8 |
| 95% CI | 7.5-11.2 | 6.6-8.5 | 8.3-13.3 | 7.8-11.7 |
| RBC | | | | |
| Average (units/kg) | 0.54 | 0.40 | 0.64 | 0.61 |
| 95% CI | 0.43-0.65 | 0.33-0.47 | 0.56-0.78 | 0.35-0.77 |

Abbreviation: CI = confidence interval.

*The cumulative incidence of neutrophil engraftment was estimated at 60 days after cord blood transplant (CBT). The cumulative incidences of platelet and RBC engraftment were estimated at 90 days after CBT.

†Transfusion requirement during the first 60 days after CBT.

Table 3 Multivariate analyses of risk factors for engraftment after CBT

| | Hazard ratio | 95% CI | P-value |
|--|--------------|-----------|---------|
| Neutrophil engraftment at 60 days | | | |
| ABO-identical/minor | 1.17 | 0.75-1.82 | 0.48 |
| CD34 ($<1.0 \times 10^6/kg$) | 0.65 | 0.42-0.99 | 0.049 |
| Platelet engraftment at 90 days | | | |
| ABO-identical/minor | 1.88 | 1.14-3.09 | 0.013 |
| CMV seropositivity | 0.38 | 0.20-0.72 | <0.005 |
| CD34 ($<1.0 \times 10^6/kg$) | 0.58 | 0.36-0.92 | 0.021 |
| RBC engraftment at 90 days | | | |
| ABO-identical/minor | 1.25 | 0.80-1.94 | 0.33 |
| CMV seropositivity | 0.47 | 0.25-0.87 | 0.017 |
| CD34 ($<1.0 \times 10^6/kg$) | 0.61 | 0.38-0.95 | 0.030 |

Abbreviation: CBT = cord blood transplant.

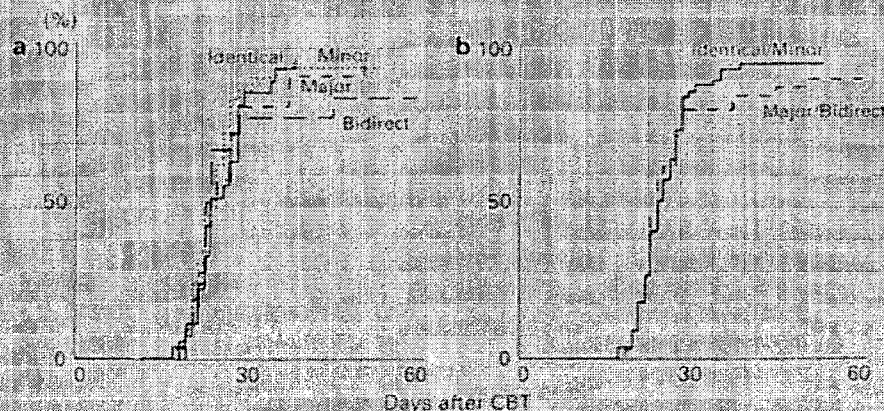


Figure 1 Cumulative incidences of neutrophil engraftment after cord blood transplant (CBT) in patients receiving ABO-identical, minor, major and bidirectional ABO-incompatible grafts (a), and ABO-identical/minor ABO-incompatible and major/bidirectional ABO-incompatible grafts (b). Identical, ABO-identical; minor, minor ABO-incompatible; major, major ABO-incompatible; bidirect, bidirectional ABO-incompatible.

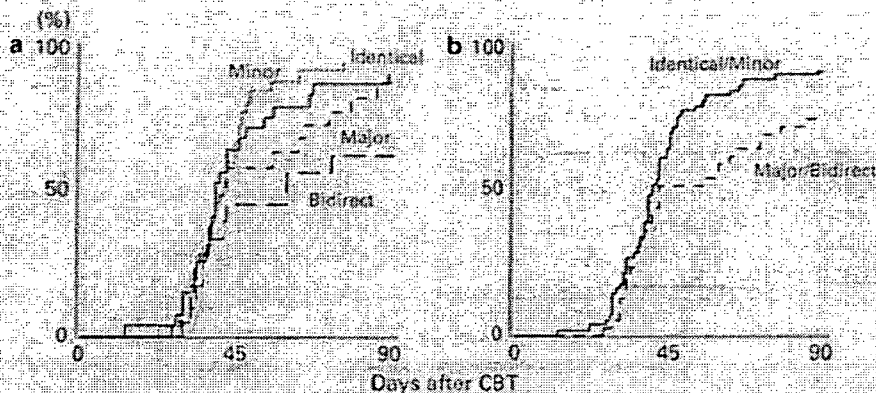


Figure 2 Cumulative incidences of platelet engraftment after CBT in patients receiving ABO-identical, minor, major, and bidirectional ABO incompatible grafts (a), and ABO-identical/minor ABO incompatible and major/bidirectional ABO incompatible grafts (b)

for delayed platelet engraftment after CBT (HR 0.38, $P < 0.005$, and HR 0.58, $P = 0.021$, respectively) (Table 3). Instead of a platelet count of $20 \times 10^9/l$, when the days of achieving a platelet count greater than $50 \times 10^9/l$ for 3 consecutive days without requiring a transfusion were compared, the cumulative incidence in ABO-identical/minor ABO incompatible CBT did not differ significantly from that in major/bidirectional ABO incompatible CBT (median day, 48 versus 48; cumulative incidence, 87 versus 68%, HR 1.27, $P = 0.31$).

Of the 88 patients with neutrophil engraftment, two relapsed and another died without relapse within 60 days after CBT. Two patients who developed massive bleeding died within 60 days after CBT, and were therefore not included in further analysis. In the remaining 85 patients, the requirement for platelet transfusion was compared after adjusting for patient body weight. In patients with ABO-identical, minor, major and bidirectional ABO incompatible CBT, the average units of platelets transfused during the first 60 days after CBT were 9.4, 7.6, 11.0 and 9.8 U/kg, respectively (Table 2). The requirement for platelet transfusion in ABO-identical/minor ABO incompatible recipients was significantly lower than in major/bidirectional ABO incompatible recipients (average, 8.5 versus 10.5 U/kg, HR, 0.80, $P = 0.040$) (Table 4).

RBC engraftment and transfusion

Of the 88 patients with neutrophil engraftment, one patient relapsed and another died without relapse before RBC engraftment. In the remaining 86 patients, RBC engraftment was achieved at a median of 33 (range, 21-96) days after CBT. In patients with ABO-identical, minor, major and bidirectional ABO incompatible CBT, the cumulative incidences of RBC engraftment at 90 days after CBT were 89, 90, 90 and 72%, respectively (Figure 3a, Table 2). The median days of RBC engraftment were 35, 32, 35 and 33, respectively. The cumulative incidence of RBC engraftment in ABO-identical/minor ABO incompatible CBT did not differ significantly from that in major/bidirectional ABO incompatible CBT (median day, 33 versus 33; cumulative incidence, 91 versus 85%, HR 1.25, $P = 0.33$).

Table 4 Multivariate analyses of risk factors for transfusion requirement after CBT

| | Hazard ratio | 95% CI | P-value |
|---|--------------|-----------|---------|
| <i>Platelet transfusion requirement</i> | | | |
| ABO identical/minor | 0.80 | 0.68-0.99 | 0.040 |
| <i>RBC transfusion requirement</i> | | | |
| ABO identical/minor | 0.74 | 0.64-0.96 | <0.005 |
| CMV seropositivity | 1.49 | 1.11-1.87 | 0.013 |

Abbreviation: CBT = cord blood transplant.

(Figure 3b, Table 3). Pretransplant CMV seropositivity and the smaller CD34-positive cell dose were also isolated risk factors for delayed RBC engraftment after CBT (HR 0.47, $P = 0.017$ and HR 0.61, $P = 0.030$, respectively) (Table 3).

The requirement of RBC transfusion was then studied in 85 patients who did not develop massive bleeding and survived more than 60 days after CBT. In patients with ABO-identical, minor, major and bidirectional ABO incompatible CBT, the average units of RBCs transfused during the first 60 days after CBT were 0.54, 0.40, 0.64 and 0.61 U/kg, respectively (Table 2). The requirement for RBC transfusion in ABO-identical/minor ABO incompatible CBT was significantly lower than that in major/bidirectional ABO incompatible CBT (average, 0.47 versus 0.63 U/kg, HR 0.74, $P < 0.005$) (Table 3). Pretransplant CMV seropositivity was also an isolated risk factor for increased RBC transfusion after CBT (HR 1.49, $P = 0.013$) (Table 4).

In seven patients, more than 50 days were required for RBC engraftment after CBT. These patients included one ABO-identical, two minor, three major and one bidirectional ABO incompatible recipient. All seven patients had not achieved platelet engraftment on the day of RBC engraftment. In six of the seven patients, neutrophil engraftment was also delayed to more than 30 days after CBT. Therefore, no patients were considered as having developed PRCA after CBT. In addition, no patients developed delayed massive immune hemolysis after CBT.

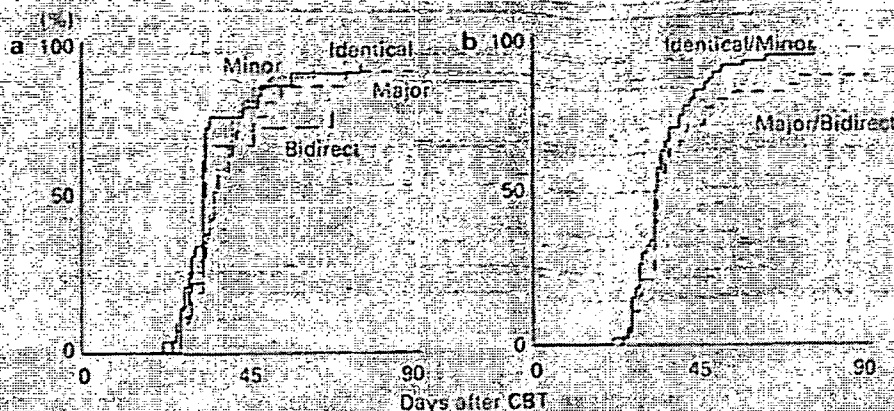


Figure 3. Cumulative incidences of RBC engraftment after CBT in patients receiving ABO-identical, minor, major, and bidirectional ABO incompatible grafts (a) and ABO-identical/minor ABO-incompatible and major/bidirectional ABO-incompatible grafts (b).

Discussion

In the present study, we investigated the impact of ABO incompatibility on engraftment and transfusion requirement after CBT. Platelet engraftment was significantly delayed in major/bidirectional ABO-incompatible CBT, with a significantly increased requirement for platelet transfusion. In addition, although RBC engraftment in major/bidirectional ABO-incompatible CBT was not significantly delayed, the requirement of RBC transfusion was more significantly increased than with ABO-identical/minor ABO-incompatible CBT. However, no patients developed PRCA after CBT.

Many previous studies have reported the occurrence of PRCA or delayed RBC engraftment and the increased requirement of RBC transfusion in patients with major/bidirectional ABO-incompatible SCT.^{1,2} This phenomenon is due to the presence of recipient isoagglutinins directed at ABH antigens on donor-derived erythroid precursor cells.^{3,4} Isoagglutinins are also infused with the administration of anti-CMV high-titer immunoglobulin. In our study of patients receiving ABO-identical grafts, the requirement for RBC transfusion in blood group-O recipients was not significantly different from that in blood group-A recipients ($P=0.79$), suggesting that the influence of isoagglutinins infused with anti-CMV high-titer immunoglobulin products on transfusion requirement might be minimal. The incidence of PRCA in patients with major/bidirectional ABO-incompatible SCT may vary depending on stem cell source (bone marrow cells or G-CSF-mobilized peripheral blood stem cells), conditioning regimen (myeloablative or nonmyeloablative) and type of GVHD prophylaxis (cyclosporine, with or without methotrexate or T-cell depletion).⁵⁻⁷ For prolonged PRCA after SCT, the efficacy of various therapies such as erythropoietin,^{8,9} ATG,¹⁰ donor lymphocyte infusion¹¹ and anti-CD20 monoclonal antibody¹² was reported. However, our CBT recipients with a myeloablative conditioning regimen did not develop PRCA or require such specific therapies.

Our results showed that platelet engraftment was significantly delayed in major/bidirectional ABO-incompatible CBT with a significantly increased requirement of platelet transfusion. Hadros *et al*¹³ also showed that major

bidirectional ABO incompatibility led to delayed platelet engraftment and an increased requirement for platelet transfusion in patients who underwent PBSCT using a nonmyeloablative conditioning regimen. Although ABH antigens are also expressed on platelets,^{14,15} most of the previous studies did not show an association between major/bidirectional ABO incompatibility and delayed platelet engraftment or increased platelet transfusion requirement after SCT. The reason for the delayed platelet engraftment in major/bidirectional ABO-incompatible CBT could not be clearly explained.

Most previous studies have shown no significant effect of major/bidirectional ABO incompatibility on survival after SCT. However, some investigators have shown that recipients of bidirectional ABO-incompatible SCT had a lower survival rate.^{16,20} In the present study, because all patients with bidirectional ABO-incompatible CBT had high-risk diseases (Table 1), we could not obtain a clear result regarding an association between bidirectional ABO incompatibility and survival after CBT (data not shown). Further studies including larger patient numbers are required to elucidate the impact of ABO incompatibility on clinical outcome of CBT.

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Early-Onset Pulmonary Complication Showing Organizing Pneumonia Pattern following Cord Blood Transplantation in Adults

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Bronchiolitis obliterans organizing pneumonia (BOOP) is a well-known pulmonary complication after hematopoietic stem cell transplantation (SCT) [1-4]. BOOP generally occurs approximately 100 days or later after SCT. We describe 4 patients who developed a pulmonary disorder with a histologic pattern of OP in the early period after cord blood transplantation (CBT).

Patient 1 was a 32-year-old man with acute myelogenous leukemia. In October 2003, he received 2 antigen-mismatched CB grafts that contained 2.11×10^7 /kg total nucleated cells (TNCs) before freezing. The conditioning regimen included 12 Gy total body irradiation (TBI), 120 mg/kg cyclophosphamide, and 12 g/m² cytarabine, along with granulocyte colony-stimulating factor [5]. Graft-versus-host disease (GVHD) prophylaxis consisted of cyclosporine and methotrexate. A neutrophil count consistently greater than 500/ μ L (neutrophil engraftment) was achieved on day +21. Grade II acute GVHD involving the skin occurred from day +23. On day +32, the patient presented with cough, dyspnea, and fever. An arterial blood gas analysis showed a PO₂ of 57.3 mm Hg and a PCO₂ of 37.2 mm Hg. Chest computed tomography (CT) scans showed a diffuse ground-glass opacity in the lungs (Figure 1A). No causative infectious agents

were identified in bronchoalveolar lavage fluid (BALF). Transbronchial lung biopsy (TBLB) specimens obtained on day +33 showed a histologic pattern of OP (Figure 2A). On day +34, we initiated prednisolone therapy (1 mg/kg per day), which led to rapid improvement of the symptoms. CT scans on day +57 showed almost complete resolution of the lesions. The patient is currently well without pulmonary symptoms.

Patient 2 was a 35-year-old man with myelodysplastic syndrome. In August 2004, he received 2 antigen-mismatched CB grafts containing 2.39×10^7 /kg TNCs. Conditioning and GVHD prophylaxis were the same as for patient 1. Neutrophil engraftment was achieved on day +30. Grade I acute GVHD involving the skin occurred but spontaneously resolved. On day +60, the patient presented with fever without cough and dyspnea. Arterial blood PO₂ and PCO₂ values were 73.6 mm Hg and 39.3 mm Hg, respectively. CT scans on day +62 showed patchy consolidation in the lungs (Figure 1B). No causative infectious agents were identified in the BALF. TBLB specimens taken on day +63 showed the OP pattern (Figure 2B). On day +64, prednisolone therapy (0.5 mg/kg per day) was initiated. CT scans on day +69 showed that the lung lesions were tending to resolve. Because of leukemia relapse, we discontinued cyclosporine administration and reduced the prednisolone dosage on day +82. The consolidation in the lungs did not completely resolve. The patient died of relapse on day +195.

Patient 3 was a 46-year-old man with myelodysplastic syndrome. In June 2005, he received 2 antigen-mismatched CB grafts containing 2.36×10^7 /kg TNCs. The patient also had pulmonary alveolar proteinosis, as reported previously [6].

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Figure 1. Chest computed tomography (CT) scans at the onset of organizing pneumonia. A, Chest CT scan on day +32 in patient 1. Diffuse ground-glass opacity in the lungs is shown. B, Chest CT scan on day +62 in patient 2. Patchy consolidation in the lungs is evident. C, Chest CT scan on day +50 in patient 3. Extensive consolidation is shown with air bronchograms of the left lung. D, Chest CT scan on day +49 in patient 4. Extensive consolidation is evident with air bronchograms of the right lung.

Conditioning and GVHD prophylaxis were the same as described above. Neutrophil engraftment was achieved on day +34. Grade II acute GVHD involving the skin occurred on day +18 but spontaneously resolved. On day +49, the patient presented with dyspnea and fever without cough. Arterial blood PO_2 and PCO_2 values were 60.3 mm Hg and 31.2 mm Hg, respectively. CT scans on day +50 showed extensive consolidation with air bronchograms of the left lung (Figure 1C). Cytomegalovirus DNA was detected in BALF at 600 copies/mL (normal range, <200 copies/mL), but other infectious agents were not identified. TBLB specimens examined on day +51 showed an OP pattern (Figure 2C). Specific staining did not suggest cytomegalovirus infection. On day +53, we initiated prednisolone therapy (2 mg/kg per day), which led to remarkable improvement of the symptoms. CT scans on day +81 showed almost complete resolution of the consolidation. The patient is currently well without pulmonary symptoms.

Patient 4 was a 38-year-old man with acute lymphoblastic leukemia. In August 2006, he received 2 antigen-mismatched CB grafts containing 1.87×10^7 /kg TNCs. The conditioning regimen included 12 Gy TBI, 120 mg/kg cyclophosphamide, and 12 g/m² cytarabine. GVHD prophylaxis was the same as described above. Neutrophil engraftment was achieved on day +24. Grade II acute GVHD involving the skin occurred on day +31 but spontaneously resolved. On day +45, the patient presented with cough and fever. Arterial blood PO_2 and PCO_2 values were 75.4 mm Hg and 38.9 mm Hg, respectively. CT scans on day +49 showed extensive consolidation with air bronchograms of the right lung (Figure 1D). Cytomegalovirus DNA was detected in BALF at 200 copies/mL, but other infectious agents were not identified. TBLB specimens taken on day +53 showed a typical OP pattern, as manifested by fibrous-plug formation (Figure 2D). Specific staining did not suggest cytomegalovirus infection. We initiated prednisolone therapy (1 mg/kg per day) on day +54,

which led to improvement of the symptoms. CT scans on day +77 showed substantial resolution of the consolidation. The patient is well without pulmonary symptoms.

BOOP is a clinicopathologic syndrome [7]. BOOP without identifiable causes is also termed cryptogenic OP (COP) [8]. The characteristic histologic feature is the presence of buds of fibrous granulation tissue in the distal airspaces [7-9]. TBLB specimens from our patients showed an OP pattern. The typical histologic feature of BOOP or COP was observed in patient 4; however, the degrees of organization in the alveoli were mild in patients 2 and 3, suggesting that OP in the patients might be in the early or immature stages.

Previous studies showed an association between chronic GVHD and BOOP after SCT [2-4]. In addition, Freudenberg et al indicated that prior occurrence of acute GVHD was associated with the subsequent development of BOOP [2]. In our study, OP in patient 1 occurred concomitantly with the presence of acute GVHD, but OP in patients 2 to 4 occurred after the resolution of acute GVHD. Later, limited-type chronic GVHD occurred in patients 1 and 4, and extensive-type chronic GVHD occurred in patient 3. Although the role of alloimmunity in the development of OP was not determined in our patients, steroid therapy resolved the pulmonary lesions in all of the patients to varying degrees.

BOOP is generally recognized as a late complication in SCT patients [1,2]. Of 112 adult patients in our institution who underwent CBT following a conditioning regimen containing

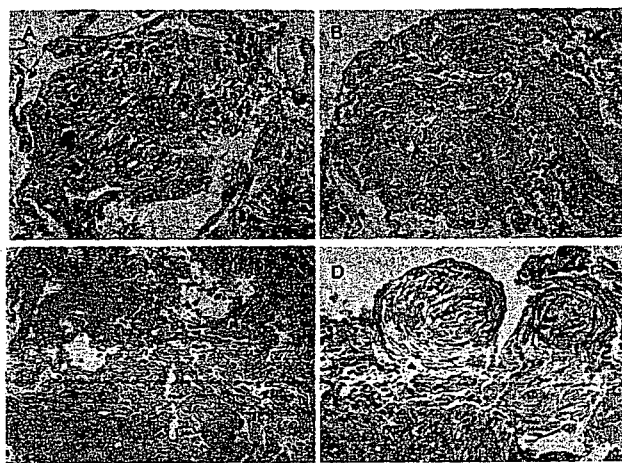


Figure 2. Microscopical features of organizing pneumonia (OP) in transbronchial lung biopsy (TBLB) specimens. A, TBLB specimen obtained from patient 1 on day +33 (hematoxylin and eosin [H&E], original magnification $\times 200$). The TBLB specimen shows the accumulation of foamy macrophages and granulation tissue with fibroblasts in alveolar spaces. B, TBLB specimen obtained from patient 2 on day +63 (H&E, original magnification $\times 100$). The OP pattern observed in patient 1 is evident. C, TBLB specimen obtained from patient 3 on day +51 (H&E, original magnification $\times 100$). The OP pattern observed in patients 1 and 2 is evident. D, TBLB specimen obtained from patient 4 on day +53 (H&E, original magnification $\times 200$). The TBLB specimen shows an intraluminal fibrous plug typical of OP.

12 Gy TBI, OP was histologically diagnosed in 7 patients. The 4 patients in the present study showed an OP pattern on days +33, +51, +53, and +63 after CBT. The remaining 3 patients developed OP on days +257, +432, and +636 after CBT. At the onset of late OP, 1 patient had limited-type chronic GVHD after the occurrence of grade I acute GVHD, and 2 patients had extensive-type chronic GVHD after the occurrence of grade II acute GVHD. We identified no obvious differences in clinical features between early-onset and late-onset OP in our patients after CBT. This study has shown that OP can occur during very early periods after CBT. The features of BOOP after CBT, including the association of GVHD, should be investigated further in a large number of patients.

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LETTER TO THE EDITOR

Allogeneic stem cell transplantation for hepatosplenic gammadelta T-cell lymphoma

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Hepatosplenic gammadelta T-cell lymphoma (HSTCL) was first described by Farcet et al. in 1990 [1]. Most cases of HSCTL occur in young men. Patients typically present with hepatosplenomegaly and bone marrow infiltration with resultant cytopenias but comparatively little lymphadenopathy. The prognosis of HSCTL is poor with reported median survivals of 8–16 months and few instances of long-term disease free survival with conventional chemotherapy [2,3]. Recently, a limited number of cases treated with allogeneic SCT for HSTCL have been reported [2–15]. We previously reported a patient of HSTCL successfully treated with allogeneic bone marrow transplantation (BMT) from an HLA-identical sibling [6]. In this report, we provide an update of this patient with 7-years follow-up and review the literature for allogeneic SCT for HSTCL.

A 23-year-old Japanese male was admitted to our hospital in June 1999 with abdominal distension, malaise, and night sweats. As previously described, the patient was diagnosed with hepatosplenic gamma/delta T-cell lymphoma and treated with intensive B-NHL86 protocol chemotherapy. After the two courses of chemotherapy, he achieved complete remission (CR). Thereafter, he received allogeneic BMT in August 1999 from an HLA-identically matched younger sister. The conditioning regimen consisted of four fractionated 12-Gy total body irradiation (TBI) on Day –9 and Day –8 and high-dose etoposide (60 mg/kg), which was administered as 24-h continuous intravenous infusion on Day –4. Graft-versus-host disease (GVHD)

prophylaxis consisted of intravenous cyclosporine and short-term methotrexate. He had evidence of grade II acute GVHD of the skin and gut, which required no steroid treatment. Chimerism evaluation assessed by fluorescent in situ hybridization (FISH) using a mixture of X and Y chromosome-specific probes revealed complete donor chimerism of bone marrow cells on Day +28. Because of high risk of disease relapse after transplantation, cyclosporine was tapered rapidly and finished on Day +70. Thereafter, mild chronic GVHD of the liver and skin developed at 4 months after BMT, requiring treatment with oral cyclosporine for 8 months. At 5 years post-BMT, bone marrow examination revealed complete donor chimerism by sex mismatched FISH. Seven years after BMT, the patient is alive and free of disease.

Treatment modalities for HSTCL have considerable heterogeneity [3], including splenectomy, corticosteroids, purine analogue, anthracycline containing regimens such as CHOP (cyclophosphamide, hydroxydaunomycin, vincristine and prednisone) or CHOP-like regimen, second or third generation aggressive lymphoma regimen such as IEV (ifosfamide, epirubicin and etoposide) or modified MACOP-B (methotrexate, etoposide instead of adriamycin, cyclophosphamide, vincristine, prednisone and bleomycin), alemtuzumab and autologous and allogeneic stem cell transplantation. However, such treatments have limited efficacy and the vast majority of patients will die from progressive disease. Although allogeneic SCT, which is the only potentially curative therapy, has been attempted to treat

Table I. Summary of published cases of allogeneic transplantation for hepatosplenic gamma/delta T-cell lymphoma.

| Author/published year | Age/sex | Prior treatment | Disease status at SCT | Conditioning regimen | Source of stem cells/donor type | Outcome |
|-----------------------|---------|--|-----------------------|----------------------|---------------------------------|---|
| Cooke 1996 [2] | 19/M | 2-CdA, CHOP | PD | NA | BM/sibling | Alive in remission at 12 months after SCT |
| Jonveaux 1996 [5] | 25/M | NA | NA | NA | BM/donor NA | Relapse at 1 month after SCT and death of disease |
| Weidmann 2000 [7] | 21/M | NA | NA | NA | BM/donor NA | Alive in remission at 3 months after SCT |
| | 41/F | Chemotherapy for previous AML, HD-Ara-C + VP-16, FLAG-Ida | PR | TBI12.5Gy + TT | PBSC/sibling | Relapse at 13 months after SCT and death of disease |
| Aldinucci 2000 [8] | 34/F | CHOP like, α -IFN, HD-CY, Splenectomy, Pentostatin | PR | NA | BM/donor NA | TRD at 2 months after SCT |
| Przybylski 2000 [9] | 46/M | CHOP | PD | NA | BM/donor NA | TRD at 3 weeks after SCT |
| Rosbach 2002 [10] | 9/F | MSKNIYII protocol | NA | NA | BM/donor NA | Alive in remission at published time |
| Belhadi 2003 [4] | 19/M | CHOP like, Splenectomy | CR | NA | BM/donor NA | Relapse at 15 months after SCT and death of disease at 25 months after diagnosis |
| Gassas 2004 [11] | 21/M | CHOP like, Auto PBSCT | PD | NA | Allo SC/donor NA | Relapse at 4 months after SCT and death of disease at 19 months after diagnosis |
| | 28/M | CHOP like, Splenectomy | CR | NA | BM/donor NA | TRD at 6 months after diagnosis |
| | 44/M | CHOP like | PR | NA | BM/donor NA | TRD at 9 months after diagnosis |
| | 10/M | ALL protocol, ICE, mini BEAM | NA | TBI + CY | Allo SC/MUD | Alive in remission at 12 months after SCT. (He is alive in remission on November 15, 2006.) |
| Domn 2005 [12] | 8/F | CHOP like, PSL | PD | TBI13.2Gy + CY | BM/sibling | Alive in remission at 30 months after SCT |
| Takaku 2005 [13] | 35/M | PSL, CSP, Splenectomy, LSG15 regimen | PR | NA | Allo SC/MUD | Relapse at 11 months after SCT and alive at published time |
| Sakai 2006 [14] | 25/F | CHOP, HD-CY, HD-MTX, AutoPBSCT | PR | TBI12Gy + TT + CY | UCB | Alive in remission at 58 months after diagnosis |
| Mittal 2006 [15] | 18/M | AZT for previous crohn's disease, IVE, ESHAP, Splenectomy, Alemtuzumab, Flu, Pentostatin | PR | NA | Allo SC/MUD | TRD at 6 weeks after SCT |
| Our case 2006 | 23/M | B-NHL 86 protocol | CR | TBI12Gy + VP-16 | BM/sibling | Alive in remission at 86 months after SCT |

SCT, stem cell transplantation; 2CdA, cladribine; CHOP, cyclophosphamide, hydroxydaunomycin, vincristine and prednisone; NA, information not available; AML, acute myeloid leukemia; HD, high dose; Ara-C, cytosine arabinoside; VP-16, etoposide; FLAG-Ida, fludarabine, cytosine arabinoside, granulocyte colony-stimulating factor and idarubicin; IFN, interferon; CY, cyclophosphamide; Auto PBSCT, autologous peripheral blood stem cell transplantation; ALL, acute lymphoblastic leukemia; ICE, Ifosfamide, carboplatin and etoposide; BEAM, carmustine, etoposide, cytosine arabinoside and melphalan; MTX, methotrexate; PSL, prednisone; Flu, fludarabine; ESHAP, etoposide, methylprednisolone, cisplatin and cytosine arabinoside; CSP, cyclosporine; AZT, azathioprine; IVE, Ifosfamide, epirubicin, etoposide; PD, progressive disease; PR, partial remission; CR, complete remission; TBI, total body irradiation; TT, thiotepa; BM, bone marrow; PBSC, peripheral blood stem cell; Allo SC, unspecified allogeneic stem cell; MUD, matched unrelated donor; DLI, donor lymphocyte infusion; UCB, unrelated cord blood; TRD, treatment related death.

HSTCL, the exact role of allogeneic SCT in the treatment of HSTCL remains unclear. The published cases of allogeneic SCT for HSTCL are presented in Table I. Most of these reports are single case reports or single cases within series of cases. Literature review reveals 17 cases treated with allogeneic SCT (Table I). Of the 17 cases reported, 12 of the patients were male and 5 female. The median age was 23 years (range, 8–46 years). Ten of 13 patients evaluable for disease status at transplantation had refractory disease, and two had experienced disease relapse after a previous autologous transplantation. Conditioning regimen was based on TBI in all of five evaluable cases. Thirteen patients were evaluable for source of transplanted stem cell and different kinds of stem cell sources were used, including bone marrow ($n=11$), peripheral blood stem cell ($n=1$), and cord blood ($n=1$). On the basis of the reported follow-up, 7 of 17 patients were alive in remission. These cases indicate prolonged remission duration in some patients with HSCTL. Compared with the prognosis of HSTCL with conventional chemotherapy, the outcome with allogeneic SCT might be significantly better, with approximately 40% chance of being curable. For patients who lack an HLA-identical sibling donor, the role of SCT from unrelated-donor or cord blood transplantation should be explored. In conclusion, these results suggest that allogeneic SCT is potentially curative in patients with HSCTL. Therefore, allogeneic SCT for HSTCL patients needs to be considered early in the disease course.

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Comparative single-institute analysis of cord blood transplantation from unrelated donors with bone marrow or peripheral blood stem-cell transplants from related donors in adult patients with hematologic malignancies after myeloablative conditioning regimen

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We studied the clinical outcomes of 171 adults with hematologic malignancies who received unrelated cord blood transplantation (CBT) as a primary unrelated stem-cell source (n = 100), or bone marrow transplant (BMT) or peripheral blood stem-cell transplant (PBSCT) from related donors (n = 71, 55 BMT and 16 PBSCT). All patients received myeloablative regimens including 12 Gy total body irradiation. We analyzed the hematologic recovery, and risks of graft-versus-host disease (GVHD), transplantation-related

mortality (TRM) and relapse, and disease-free survival (DFS) using Cox proportional hazards models. Significant delays in engraftment occurred after cord blood transplantation; however, overall engraftment rates were almost the same for both grafts. The cumulative incidences of grades III to IV acute and extensive-type chronic GVHDs among CBT recipients were significantly lower than those among BMT/PBSCT recipients. Multivariate analysis demonstrated no apparent differences in TRM (9% in CBT and 13% in

BMT/PBSCT recipients), relapse (17% in CBT and 26% in BMT/PBSCT recipients), and DFS (70% in CBT and 60% in BMT/PBSCT recipients) between both groups. These data suggest that unrelated cord blood could be as safe and effective a stem-cell source as related bone marrow or mobilized peripheral blood for adult patients when it is used as a primary unrelated stem-cell source. (*Blood*. 2007; 109:1322-1330)

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Introduction

Recently, cord blood has been increasingly used in adults as a stem-cell source for allogeneic transplantation to treat hematologic malignancies.¹⁻⁵ We previously reported on a comparative analysis of cord blood transplant (CBT) versus bone marrow transplant (BMT) from unrelated donors in our institute.⁶ The overall results for CBT recipients were better than for BMT recipients in terms of graft-versus-host disease (GVHD), transplant-related mortality (TRM), and disease-free survival (DFS). In our previous assessments, the availability of grafts containing sufficient cell numbers, the shorter time from donor search to transplantation, the low requirements of steroid therapy for GVHD, the conditioning regimen, the GVHD prophylaxis used in our institution, and Japanese genetic issues regarding low alloreactivity⁷⁻⁹ might have contributed to our favorable results of cord blood transplantation in adults.

Two other registration-based studies comparing both CBT and BMT from unrelated donors in adult patients with acute leukemia have recently been published; both studies showed almost the same results between cord blood transplantation and bone marrow transplantation.^{10,11} However, some results in those reports were conflicting, especially for TRM. The US study demonstrated a poor outcome for TRM in CBT recipients compared with HLA (human leukocyte antigen)-matched BMT recipients.¹⁰ The European study¹¹ showed similar TRM in both groups.

We speculated that the key difficulty in interpreting retrospective comparative studies, including ours, may be related to patient selection. Most recipients of CBT did not have an HLA-matched unrelated donor, and their disease tended to progress to advanced or high-risk stage while searching, unsuccessfully, for marrow donors.¹² However, when a patient was eligible for allogeneic transplantation but did not have a related donor, we performed cord blood transplantation at the same timing as for patients who had a related donor.

In the present study, we compared our results of CBT from unrelated donors with those of BMT or peripheral blood stem-cell transplant (PBSCT) from related donors in our hospital; all patients received essentially the same supportive care. The main purpose of this analysis was to assess the safety and efficacy of unrelated CBT compared with BMT or PBSCT from related donors in adult patients in the setting of a comparable situation regarding patient selection.

Patients, materials, and methods

Patients and controls

The study included data from 171 consecutively treated patients, 16 years of age or older, who received BMT or PBSCT from related donors (n = 71, 55 BMT and 21 PBSCT recipients) or unrelated CBT (n = 100) for acute

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