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## Synthesis of Sugar-Polysiloxane Hybrids Having Rigid Main-Chains and Formation of their Nano Aggregates

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**ABSTRACT:** We synthesized sugar-polysiloxane hybrids having rigid main-chains by reaction of sugar-lactones with amine-functionalized polysiloxane (1). Reaction of gluconolactone (2) with 1 was performed to obtain polysiloxane (3) having polyol moieties derived from glucose. This material has the regularly controlled higher-ordered structure in solid state such as the hexagonal phase. A hydrophilic sugar-polysiloxane hybrid (5) was prepared by reaction of lactobionolactone (4) with 1. Furthermore, an amphiphilic sugar-polysiloxane hybrid (8) was synthesized by introduction of stearyl groups in addition to sugar groups on the surface of 1. The SEM image of the amphiphilic material 8 exhibited formation of nano aggregates having the particle diameters of *ca.* 50 nm in water.

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**KEY WORDS** Glycopolymer / Sugar-lactone / Polysiloxane / Amphiphilic / Hybrid / Nano Aggregate /

There has been a growing interest in sugar portions of the glycoproteins because of exhibiting to bind to carbohydrate-recognition proteins, toxins, viruses, and cells. It has been known that a molecular assembly formed from the sugar-residues in the living system expresses stronger recognition ability than that of a single sugar molecule. This, so-called multivalent or cluster effect, has become a principle in the design of artificial glycoconjugate ligands. Therefore, polymeric materials having such functional sugar-residues, *i.e.*, 'glycopolymer', have widely been investigated because these materials efficiently show the multivalent effect.<sup>1</sup> So far, a number of such glycopolymers have been synthesized, which are composed of various organic polymer main-chains combined with a variety of sugar side-chains.<sup>2–6</sup>

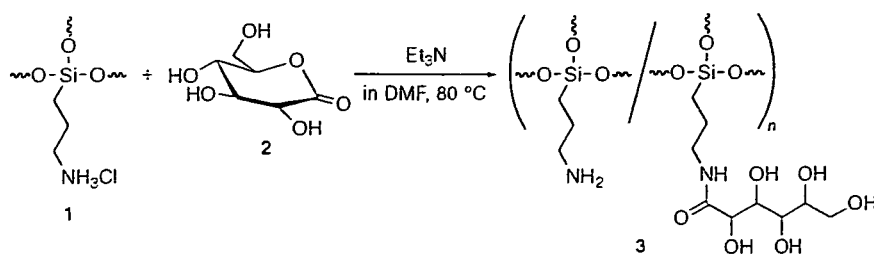
Inorganic polymers such as polysiloxanes have various of interesting properties, *e.g.*, high oxygen permeability, low toxicity, and biocompatibility, which are advantages as biomaterials. Therefore, sugar-polysiloxane hybrids would be expected to have a significant potential for biological applications. In previous study, synthesis of such sugar-inorganic hybrids, composed of polydimethylsiloxane main-chain has been reported.<sup>7–10</sup> Since the main-chain has relatively flexible nature, nanostructures of the hybrid materials have not been controlled well.

Based on the above viewpoints, we paid attention to amine-functionalized polysiloxanes<sup>11,12</sup> for the main-chain of new sugar-polysiloxane hybrids, which were

prepared by sol-gel reaction of amine-functionalized organoalkoxysilanes in strong acid aqueous solutions. The materials have rigid structures and construct hexagonal phase in solid state, because their frameworks are Si-O-Si network structures derived from trifunctional organoalkoxysilane. Furthermore, the materials are soluble in water and have reactive amino groups on the surface. Their rigidity, solubility, and reactivity would be advantageous properties to controlling nanostructures and introduction of various functional groups on the surface, in addition to the general characteristics of the inorganic polymers.

In previous communication, we briefly reported simple preparation method for a rigid polysiloxane hybrid (3) having polyol moieties using the amine-functionalized polysiloxane (1) and gluconolactone (2) (Scheme 1).<sup>13</sup> Because the sugar lactones like 2 react with the amino groups without protection of the hydroxy groups, they are useful substrates for such the simple procedure to exclude multi-reaction steps. However, 2 was not suitable for preparation of materials containing the sugar substituents, because the ring-opened moieties like the side chain of 3 formed from 2 had no any sugar-residues. Therefore, we have been carrying out studies on the synthesis of sugar-functionalized polysiloxane hybrids using disaccharide-lactone such as lactobionolactone (4), because the existence of sugar-residues can be maintained in spite of opening the lactone ring of 4 by the reaction with 1.

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Scheme 1.

In this paper, we describe the synthesis of sugar-polysiloxane hybrids having rigid main-chains by the reaction of sugar-lactones with **1**. Furthermore, we prepared an amphiphilic sugar-polysiloxane hybrid by introduction of long alkyl chains in addition to sugar-residues on the surface of **1** to promote the formation of the nano aggregates in water, expecting the multivalent effects.

## EXPERIMENTAL

### Materials

The polysiloxane **1** was prepared according to the literature procedure.<sup>11</sup> *N,N*-Dimethylformamide (DMF), dimethyl sulfoxide (DMSO), and triethylamine were purified by distillation. Other reagents were used as received.

### Reaction of **1** with Gluconolactone **2**<sup>13</sup>

To a suspension of **1** (0.147 g, 1.0 mmol unit) in DMF (2.5 mL) was successively added triethylamine (0.15 mL, 1.1 mmol) and a solution of **2** (0.891 g, 5.0 mmol) in DMF (10 mL) with vigorously stirring at 80 °C under argon. After the mixture was stirred further at that temperature for 13 h, the obtained product was isolated by filtration, washed with DMF and acetone, and then dried under reduced pressure at 40 °C to yield 0.191 g of the yellow-powdered **3**. <sup>1</sup>H NMR (600 MHz, D<sub>2</sub>O): δ 4.38–4.26 (br, -C(=O)-CH-), δ 4.16–4.05 (br, -C(=O)CH(OH)CH-), δ 3.88–3.60 (br, -CH(OH)CH(OH)CH<sub>2</sub>-), δ 3.41–3.10 and 3.06–2.91 (br, -NCH<sub>2</sub>-), δ 1.88–1.45 (br, -NCH<sub>2</sub>CH<sub>2</sub>-CH<sub>2</sub>Si-), δ 0.94–0.47 (br, -CH<sub>2</sub>Si-).

### Synthesis of Hydrophilic Sugar-Polysiloxane Hybrid (**5**)

To a suspension of **1** (0.147 g, 1.0 mmol unit) in DMSO (3.0 mL) was successively added triethylamine (0.34 mL, 2.4 mmol) and a solution of **4** (1.701 g, 5.0 mmol) in DMSO (10 mL) with vigorously stirring at 80 °C under argon, and the mixture was stirred further at that temperature for 2 h. The mixture became gradually homogeneous solution. The solution was poured into acetone (300 mL) to precipitate the powdered

product. The precipitated product was isolated by filtration, successively washed with acetone, hydrochloric acid (HCl) methanol solution and methanol, and then dried under reduced pressure at 40 °C to yield 0.332 g of the light yellow-powdered **5**. <sup>1</sup>H NMR (600 MHz, D<sub>2</sub>O): δ 4.65–4.50 (br, -OCH-(CH-)O-), δ 4.50–4.32 (br, -C(=O)CH(OH)-), δ 4.32–4.13 (br, -C(=O)CH(OH)CH(OH)-), δ 4.08–3.49 (br, -CH(O-)CH(OH)CH<sub>2</sub>OH, -CH(OH)CH(OH)CH(OH)-CH(O-)CH<sub>2</sub>OH), δ 3.40–3.12 (br, -C(=O)NHCH<sub>2</sub>-), δ 3.12–2.87 (br, Cl·NH<sub>3</sub>CH<sub>2</sub>-), δ 1.95–1.43 (br, -NCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Si-), δ 0.93–0.50 (br, -CH<sub>2</sub>Si-).

### Synthesis of Stearoyl-Carrying Polysiloxane (**7**)

To a solution of **1** (0.440 g, 3.0 mmol unit) in water (10 mL) was successively added triethylamine (1.0 mL, 7.2 mmol) and a solution of stearoyl chloride (**6**) (0.182 g, 0.6 mmol) in DMF (30 mL) with vigorously stirring at room temperature, and the solution was stirred further at that temperature for 10 min. After 5 mol/L HCl aqueous solution (2.88 mL, 14.4 mmol) was added to this mixture and this solution was stirred further for 5 min, the solution was poured into acetone (300 mL) to precipitate the powdered product. The precipitated product was isolated by filtration, washed with acetone and chloroform, and then dried under reduced pressure at 40 °C to yield 0.437 g of the white-powdered **7**. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>-D<sub>2</sub>O): δ 3.09–2.72 (br, -NCH<sub>2</sub>-), δ 2.20–2.01 (br, -C(=O)CH<sub>2</sub>-), δ 1.88–1.55 (br, -NCH<sub>2</sub>CH<sub>2</sub>-CH<sub>2</sub>Si-), δ 1.50–1.40 (br, -C(=O)CH<sub>2</sub>CH<sub>2</sub>-), δ 1.28–1.10 (br, -CCH<sub>2</sub>C-), δ 0.95–0.45 (br, -CH<sub>3</sub>, -CH<sub>2</sub>Si-).

### Synthesis of Amphiphilic Sugar-Polysiloxane Hybrid (**8**)

To a solution of **7** (0.150 g, 1.3 mmol unit) in DMSO (5 mL) was successively added triethylamine (0.46 mL, 3.3 mmol) and a solution of **4** (2.212 g, 6.5 mmol) in DMSO (15 mL) with stirring at 80 °C, and the solution was stirred further at that temperature for 2 h. The solution was poured into acetone (300 mL) to precipitate the powdered product. The precipitated product was isolated by filtration, successively washed with acetone, HCl methanol solution and

methanol, and then dried under reduced pressure at 40 °C to yield 0.270 g of the light yellow-powdered **8**.  $^1\text{H}$  NMR (600 MHz, DMSO- $d_6$ -D $_2$ O):  $\delta$  4.46–4.28 (br, -OCH(CH $_2$ )O-),  $\delta$  4.28–4.11 (br, -C(=O)CH(OH)-),  $\delta$  4.11–3.95 (br, -C(=O)CH(OH)CH(OH)-),  $\delta$  3.90–3.28 (br, -CH(O-)CH(OH)CH $_2$ OH, -CH(OH)-CH(OH)CH(OH)CH(O-)-CH $_2$ OH, overlapped with HOD signal),  $\delta$  3.28–2.96 (br, -C(=O)NHCH $_2$ -),  $\delta$  2.96–2.70 (br, Cl·NH $_3$ CH $_2$ -),  $\delta$  2.20–2.01 (br, -C(=O)-CH $_2$ -),  $\delta$  1.80–1.32 (br, -NCH $_2$ CH $_2$ CH $_2$ Si-, -C(=O)-CH $_2$ CH $_2$ -),  $\delta$  1.28–1.13 (br, -CCH $_2$ C-),  $\delta$  0.88–0.80 (br, -CH $_3$ ),  $\delta$  0.80–0.36 (br, -CH $_2$ Si-).

### Measurements

The IR spectra were recorded using a SHIMADZU FT/IR-8400 spectrometer. The  $^1\text{H}$  NMR spectra (600 MHz) were recorded using a JEOL ECA600 spectrometer. The gel permeation chromatographic (GPC) analyses were performed by using a TOSOH CCPD with RI detector under the following conditions: Shodex GF-310 column with water as the eluent at a flow rate of 0.5 mL/min. The calibration curve was obtained using pullulan standards. The X-ray diffraction (XRD) measurements were conducted at a scanning speed of  $2\theta = 0.2^\circ/\text{min}$  using a RINT 1200 (Rigaku Co., Ltd) diffractometer with Ni-filtered CuK $\alpha$  radiation ( $\lambda = 0.15418$  nm). The scanning electron microscope (SEM) images were obtained using a Hitachi S-4100 electron microscope. The dynamic light scattering (DLS) measurement was performed on a Zetasizer 3000 (Malvern Instruments).

## RESULTS AND DISCUSSION

### Reaction of **1** with **2**

As previously reported,<sup>13</sup> an introduction of **2** to **1** was performed by heating at 80 °C in the presence of triethylamine in DMF to prepare a rigid polysiloxane **3** having polyol moieties (Scheme 1). The obtained product **3** was soluble in water and DMSO, but insoluble in typical organic solvents such as methanol, acetone, chloroform, and *n*-hexane.

The IR spectrum of the product showed an absorption at 1150 cm $^{-1}$  attributed to the Si-O bond of the polysiloxane, an absorption at 1080 cm $^{-1}$  assigned to the C-O bond of the polyol moiety derived from **2**, and an absorption at 1650 cm $^{-1}$  due to the C=O bond of the amido group. In addition, the  $^1\text{H}$  NMR spectrum in D $_2$ O of the product showed both signals due to **1** and **2**. Furthermore, a methylene signal ( $\delta$  3.41–3.10) neighboring the amido group was appeared at lower magnetic field compared with a signal ( $\delta$  3.06–2.91) neighboring the unreacted amino group. These spectroscopic results indicated that the product has the structure **3** connecting **1** with **2** by the covalent

bonds. The functionality of **2** to **1** was calculated to be ca. 75% based on the integrated ratio of the methylene signal neighboring the amido group to the methylene signal neighboring the silicon atom.

The molecular weights of **3** and **1** were evaluated by GPC analyses with water as the eluent. The GPC peak of **3** was shifted to the range of higher molecular weight compared to that of **1**. The  $M_n$  values of **3** and **1** estimated using pullulan standards were 21,200 g/mol ( $M_w/M_n = 1.33$ ) and 10,300 g/mol ( $M_w/M_n = 1.41$ ), respectively.

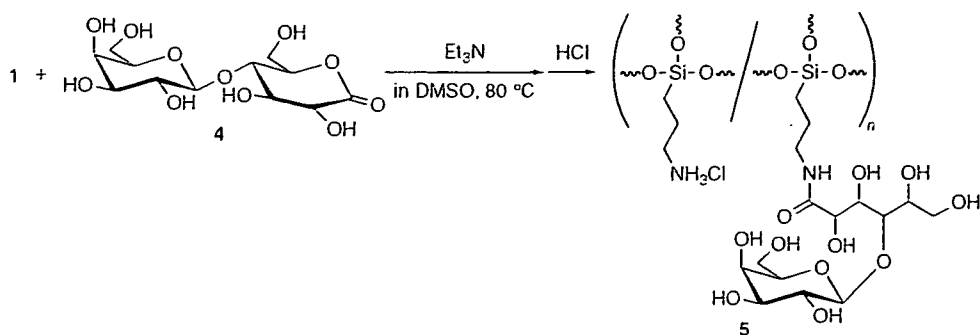
The XRD profile of **3** showed three peaks with the *d*-value ratio of 1:1/ $\sqrt{3}$ :1/2 assigned to the (100), (110), and (200) peaks, respectively, indicating that the product has a hexagonal phase. Additionally, the *d*-value of the (100) peak of **3** ( $d = 1.76$  nm) was larger than that of **1** ( $d = 1.41$  nm). This indicates that the hexagonal phase in solid state was maintained in spite of the increase in the *d*-value by introduction of **2** to **1**.

The above analytical data indicated that **2** efficiently reacted with the amino groups in **1**, giving rise to **3**. To introduce the sugar moieties such as galactose residues on the surface of **1** by means of this reaction manner, the following experiments were performed using lactobionolactone **4**.

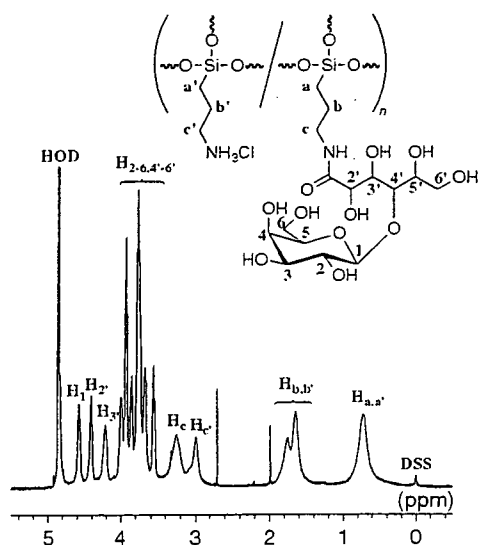
### Synthesis of Hydrophilic Sugar-Polysiloxane Hybrid **5**

We investigated synthesis of galactose-functionalized polysiloxane hybrid **5** by the reaction of **4** with **1**. Procedures for synthesis of **5** were almost same as those of **3**. Since the reaction in DMF gave the insoluble product, however, we employed DMSO as the alternative solvent, which was favorable for this reaction system. When an introduction of **4** to **1** was performed by heating at 80 °C in the presence of triethylamine in DMSO (Scheme 2), the initial reaction system was heterogeneous, which gradually became homogeneous with progress of the reaction. After the product was isolated as the fraction insoluble in acetone, unreacted amino groups were converted to ammonium cations by addition of HCl methanol solution in order to increase solubility and stability of the product in water. The obtained product **5** was soluble in water and DMSO, but insoluble in typical organic solvents such as methanol, acetone, chloroform, and *n*-hexane.

The IR spectrum of the product showed absorptions at 1650 cm $^{-1}$  attributed to the C=O bond of the amido group, indicating the introduction of **4** to **1**. The  $^1\text{H}$  NMR spectrum in D $_2$ O of the product in Figure 1 shows both signals derived from **1** and **4**. Furthermore, a methylene signal **H<sub>c</sub>** neighboring the amido group appeared at lower magnetic field compared with a signal **H<sub>c'</sub>** neighboring the unreacted amino group. These spectroscopic data support the structure **5** of



Scheme 2.



**Figure 1.**  $^1\text{H}$  NMR spectrum of **5** in  $\text{D}_2\text{O}$ . Chemical shifts were referenced to sodium 2,2-dimethyl-2-silapentane-5-sulfonate (DSS) ( $\delta$  0.0 ppm).

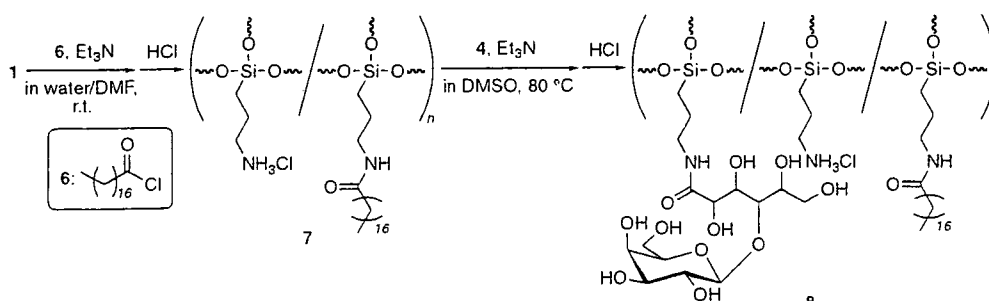
the product. The functionality of **4** to **1** was calculated by the integrated ratio of the signal  $\text{H}_1$  to the signals  $\text{H}_a$  and  $\text{H}_{a'}$  in Figure 1 to be *ca.* 57%. Although the XRD profile of **3** showed three peaks for a typical hexagonal phase as described above, no diffraction peak was observed for **5**, indicating that a regular higher-ordered structure was not formed in the solid state. This would be because that the bulkiness of **4** affected the higher-ordered structure of **5**. However, **5**

probably has the rigid structure in the solution due to the Si-O-Si network structure of the main-chain derived from trifunctional organoalkoxysilane. The molecular weight ( $M_n$ ) of **5** estimated by GPC analysis with water as the eluent using pullulan standards was 44,700 g/mol ( $M_w/M_n = 1.44$ ).

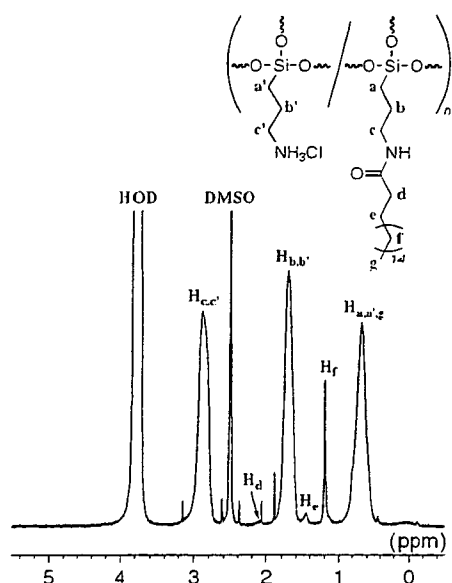
#### Synthesis of Amphiphilic Sugar-Polysiloxane Hybrid **8**

To promote the formation of the nano aggregates of sugar-polysiloxane hybrid, we attempted synthesis of an amphiphilic hybrid **8** by introduction of the hydrophobic stearyl groups in addition to the hydrophilic sugar groups on the surface of **5**. However, the reaction of **5** with stearyl chloride **6** did not proceed to obtain **8**, probably due to bulkiness of sugar-residues existed on the surface of **5**. As an alternative reaction manner, an introduction of **6** to **1** was firstly carried out in the presence of triethylamine in water/DMF mixed solvent at room temperature to produce stearyl-carrying polysiloxane **7** (Scheme 3). After addition of HCl aqueous solution to this reaction solution, the product was isolated as the fraction insoluble in acetone. The obtained product **7** was soluble in DMSO, but insoluble in water.

The IR spectrum of the product showed an absorption at  $1640\text{ cm}^{-1}$  assigned to the C=O bond of the amido group. In addition, the  $^1\text{H}$  NMR spectrum in  $\text{DMSO}-d_6$  (including a small amount of  $\text{D}_2\text{O}$ ) of the product in Figure 2 shows both signals derived from **1** and **6**. These spectroscopic results indicate the intro-



Scheme 3.

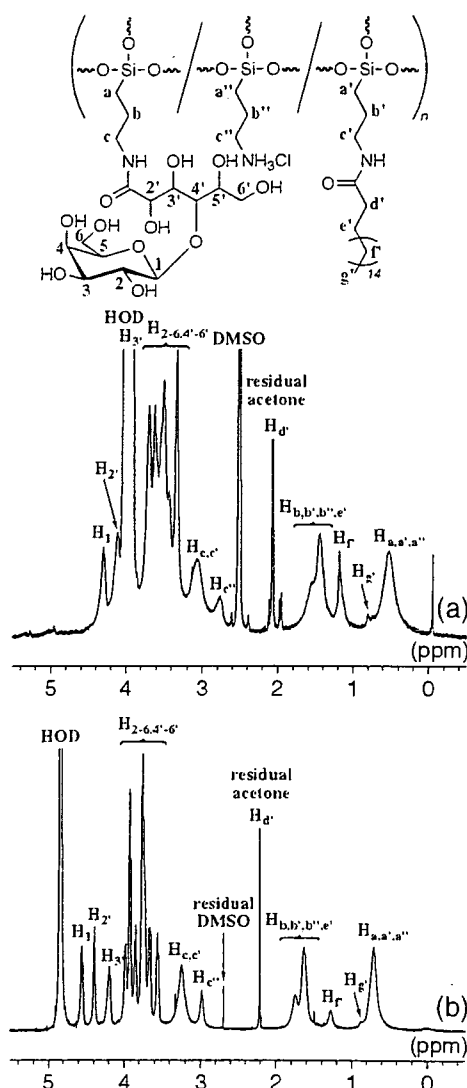


**Figure 2.**  $^1\text{H}$  NMR spectrum of **7** in  $\text{DMSO-}d_6$  (including a small amount of  $\text{D}_2\text{O}$ ). Chemical shifts were referenced to DMSO ( $\delta$  2.5 ppm).

duction of **6** to **1**, leading to **7**. The functionality of **6** to **1** was calculated to be *ca.* 2% based on the integrated ratio of the signal  $\text{H}_f$  to the signal  $\text{H}_b$  and  $\text{H}_{b'}$ . When the feed ratio of **6** to **1** was increased, the insoluble product was obtained.

As a second step, we carried out a reaction of **4** with **7** by heating at  $80^\circ\text{C}$  in the presence of triethylamine in DMSO to obtain amphiphilic sugar-polysiloxane hybrid **8** (Scheme 3). The product was isolated as the fraction insoluble in acetone, followed by washing with acetone, HCl methanol solution, and methanol. The obtained product **8** was soluble in water and DMSO, but insoluble in typical organic solvents such as methanol, acetone, chloroform, and *n*-hexane.

The IR spectrum of the product showed an absorption at  $1140\text{ cm}^{-1}$  attributed to the Si-O bond, an absorption at  $1080\text{ cm}^{-1}$  assigned to the C-O bond of **4**, and an absorption at  $1650\text{ cm}^{-1}$  due to the C=O bond of the amido group. Additionally, the  $^1\text{H}$  NMR spectrum in  $\text{DMSO-}d_6$  (including a small amount of  $\text{D}_2\text{O}$ ) of the product in Figure 3a shows signals derived from **1**, **4**, and **6**. Furthermore, the methylene signals  $\text{H}_a$  and  $\text{H}_b$  of the product shift to higher field and the methylene signal  $\text{H}_c$  shifts to lower field compared with those of **7**. These shifts have also been observed in the synthesis of **3**.<sup>13</sup> and are attributed to progress of the amidation reaction of **7** with **4**. These spectroscopic results fully support the structure of the sugar- and stearoyl-functionalized polysiloxane **8**. The functionality of **4** to **1** was calculated by the integrated ratio of the signal  $\text{H}_f$  to the signal  $\text{H}_1$  in Figure 3 to be *ca.* 48%, when the reaction was carried out under the conditions as described in experimental sec-



**Figure 3.**  $^1\text{H}$  NMR spectrum of **8** (a) in  $\text{DMSO-}d_6$  (including a small amount of  $\text{D}_2\text{O}$ ) and (b) in  $\text{D}_2\text{O}$ . Chemical shifts were referenced to DMSO ( $\delta$  2.5 ppm) and DSS ( $\delta$  0.0 ppm), respectively.

tion. The functionalities were variable by changing the feed ratio of **4** to **7**.

Interestingly, intensity of a signal  $\text{H}_f$  due to stearoyl group of **8** in  $\text{D}_2\text{O}$  decreases compared with that in  $\text{DMSO-}d_6$  (Figure 3b). This observation indicates that the stearoyl groups existed in the inside of the intra and intermolecular aggregates of **8** in  $\text{D}_2\text{O}$ . To confirm the formation of nano aggregates of **8** in water, SEM image of **8** was taken. The SEM specimen was prepared by evaporating an aqueous solution of **8** on a spinning aluminium plate. The SEM image of the surface of **8** coated on the aluminum plate shows that nano aggregates were formed from **8** (Figure 4); nano aggregates having the particle diameters of *ca.* 50 nm are appearing at high frequency and larger particles that represent a diameter of *ca.* 500 nm are coexisting with smaller aggregates at much lower frequency (a few aggregates in a SEM image). The particle size

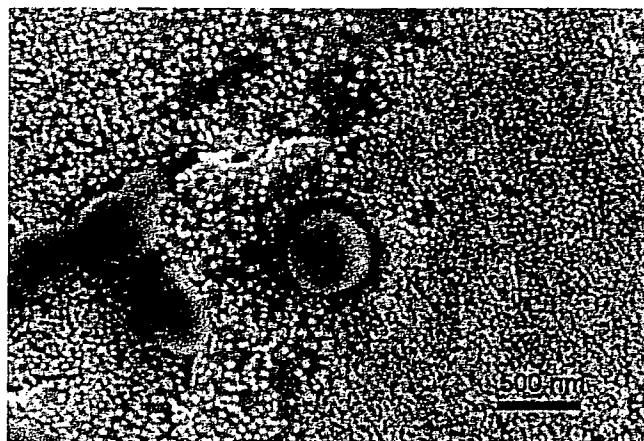


Figure 4. SEM image of 8.

was also confirmed by dynamic light scattering (DLS) measurement. The mean diameter of the particle composed of **8** was  $67.7 \pm 4.5$  nm (poly dispersity index: 0.273) in water. The aggregate size corresponds well to that was observed in the SEM image of the spin-coating sample of **8**.

### CONCLUSIONS

The amino groups existed on the surface of the rigid polysiloxane (**1**) efficiently reacted with gluconolactone (**2**) to give the corresponding hybrid (**3**). This reaction manner was employed for preparation of the hydrophilic sugar-polysiloxane hybrid (**5**), which was achieved by the reaction of **1** with lactobionolactone (**4**). Furthermore, the amphiphilic sugar-polysiloxane hybrid (**8**) was also derived from **1** by the successive reactions with stearyl chloride (**6**) and with **4**. The obtained hybrid materials **5** and **8** have galactose residues on their surfaces. Although hybrid **3** had the regular higher-ordered structure in the solid state, hydro-

philic sugar-polysiloxane hybrid **5** did not form such a structure by the bulkiness of **4**. However, amphiphilic sugar-polysiloxane hybrid **8** formed the nano aggregates in water, which was confirmed by the  $^1\text{H}$  NMR, SEM, and DLS analyses, expecting the multivalent effects of sugar-residues. The present materials are new class of sugar-inorganic hybrids, which have rigid polysiloxane main-chains.

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## Kurozu moromimatsu inhibits tumor growth of Lovo cells in a mouse model in vivo

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### Abstract

**Objective:** In Japan, rice vinegar that has been matured and fermented for years in earthenware jars is considered a health food with anticancer action. It is divided into the liquid component (Kurozu) and the sediment (Kurozu moromimatsu), which contains large amounts of organic materials and minerals. The effect of Kurozu moromimatsu (Kurozu-M) on cancer has not yet been examined. In this study, we examined the activity of Kurozu-M on colon cancer and investigated the mechanisms involved, focusing on active oxygen generation, apoptosis, and metalloproteinases (MMPs).

**Methods:** We used Lovo cells transplanted into nude mice as an experimental model. We measured the tumor volume and MMP levels and conducted hematoxylin-eosin staining (for polymorphonuclear leukocytes), terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling staining (for apoptosis), and immunostaining for nitrotyrosine (a marker of active oxygen generation) in control, Kurozu-treated, and Kurozu-M-treated groups.

**Results:** The tumor volume was the same in the control group ( $231 \pm 36 \text{ mm}^3$ ) and Kurozu group ( $238 \pm 52 \text{ mm}^3$ ), but was significantly reduced in the Kurozu-M group ( $152 \pm 28 \text{ mm}^3$ ,  $P < 0.001$  versus control). Apoptosis of tumor cells and accumulation of polymorphonuclear leukocytes were not observed. Nitrotyrosine production, total MMP levels, and MMP activation were significantly reduced in the Kurozu-M group.

**Conclusion:** The administration of Kurozu-M prolonged the lifespan of cancer cell-transplanted mice, inhibited tumor progression, and reduced nitrotyrosine production and MMP activation, but did not induce apoptosis. © 2007 Elsevier Inc. All rights reserved.

### Keywords:

Kurozu; Kurozu moromimatsu; Lovo cell; Colon cancer

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## Introduction

In Japan, rice vinegar is widely used in the preparation of Sushi or Kaisekiryouri. It is known to have a bactericidal action and an orexigenic action and was reported to have a preventive effect against hypertension and arterial sclerosis [1]. Recently, rice vinegar that has been matured and fermented for many years in earthenware jars has attracted attention as a health food. The supernatant is known as Koroze, and the solid residue of the production process, Kurozu moromimatsu (Kurozu-M), is rich in organic materials and minerals. However, the effect of Kurozu-M on disease has not yet been examined.

Colorectal cancer accounts for >90% of malignant tumors of the large intestine and is the third most common cause of death from malignant disease in the Western world [2]. It was reported that ethyl acetate extract of Kurozu inhibited carcinogenesis in azoxymethane-treated rats [3] and caused G0/G1 arrest through p21 induction in Caco-2 cells [4]. It is known that active oxygen species activate metalloproteinases (MMPs) in colon cancer tissue, leading to destruction of the basal membrane [5], thereby promoting distant metastasis. However, the effects of Kurozu on active oxygen production and MMP activation are unknown.

In this study, we examined the direct effects of Kurozu and Kurozu-M on human colon cancer cells (DLD cells, well-differentiated adenocarcinoma; Lovo cells, poorly differentiated adenocarcinoma) transplanted into nude mice and found that both inhibited tumor growth. We also examined the mechanisms involved, focusing on active oxygen production and MMP activation. Because direct measurement of active oxygen production in tissues is difficult, we used an indirect method based on staining for nitrotyrosine, the formation of which involves active oxygen.

## Materials and methods

### *Preparation of Kurozu and Kurozu-M diets*

The Kurozu and Kurozu-M diets were obtained from Sakamotojyozo Co., Ltd. (Kagoshima, Japan). The Kurozu diet included 0.32% 10-fold-concentrated Kurozu, and the Kurozu-M diet included 2% Kurozu moromimatsu powder in CE-2 basic rodent diet (Nihon CLEA Co., Ltd, Tokyo, Japan).

### *Preparation of animal model*

Lovo and DLD cells were maintained under the conditions recommended by the supplier. Four-week-old to 6-wk-old female nude mice were maintained in a pathogen-free environment and handled according to the university's guidelines for animal care and use.

Female nu/nu mice were injected with  $1 \times 10^6$  Lovo cells or DLD cells into the right flank. The tumors reached

5–10 mm in diameter at about 6 wk after injection in the control group on a standard CE-2 diet. The CE-2, Kurozu, or Kurozu-M diet was supplied from 1 wk before cancer cell injection.

### *Measurement of subcutaneous tumor*

Tumor dimensions were measured with a linear caliper every 2 or 3 days for one month. We measured the major axis and the tumor volume, which was calculated using the equation  $V \text{ (mm}^3\text{)} = a \times b^2$ , where  $a$  is the largest dimension and  $b$  is the perpendicular diameter.

### *Hematoxylin-eosin staining, terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling staining, and nitrotyrosine immunostaining*

At the end of the experiment, tumor tissue was fixed with 4% paraformaldehyde and sectioned. Hematoxylin-eosin (HE) staining was performed with conventional methods. Terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling (TUNEL) staining was performed according to the kit manufacturer's instructions, and apoptosis was visualized as brown staining, located in the nucleus. Apoptotic cells were counted in 10 fields of each slide under a 40 $\times$  microscope.

For nitrotyrosine staining, endogenous peroxidase in sections was quenched with 0.3%  $\text{H}_2\text{O}_2$  in 60% methanol for 30 min. The sections were permeabilized with 0.1% Triton X-100 in phosphate buffered saline (PBS) for 20 min. Non-specific adsorption was minimized by incubating the sections in 2% normal goat serum in PBS for 20 min. Sections were incubated overnight with anti-nitrotyrosine rabbit polyclonal antibody (1:500 in PBS), and specific labeling was detected with diaminobenzidine tetrahydrochloride. To verify the binding specificity to nitrotyrosine, some sections were incubated with primary antibody only (no secondary antibody) or with secondary antibody only (no primary antibody). No positive staining was found in these sections, indicating that the immunoreaction was specific. Some sections were incubated with the primary antibody (anti-nitrotyrosine) in the presence of excess nitrotyrosine (10 mM) to further verify the binding specificity.

### *MMP-2 and MMP-9 assays*

Levels of total MMP-2 and MMP-9 and endogenous activated MMP-2 and MMP-9 were assayed with commercial assay kits (Amersham Pharmacia Biotech, Buckinghamshire, UK).

### *MMP-2 assay*

Eight weeks after injection of Lovo cells, tumors were removed. Tissues were homogenized in 50 mM Tris-HCl buffer (pH 7.4) containing 1 mM monothioglycerol and

centrifuged at 2000 *g* for 10 min. The supernatant was used as the sample. One hundred microliters of each standard blank or sample (in duplicate) was added to wells coated with MMP-2 antibody. The 96-well plate was then incubated overnight at 4°C. Any MMP-2 present within the samples was bound to the wells and other components were removed by washing. All standards and one well for each sample were activated with aminophenylmercuric acetate (APMA, 0.5 mM) to determine total MMP-2 levels, and the remaining wells were incubated with assay buffer alone to determine endogenous activated MMP-2. The detection reagent was then added to each well and the plate was incubated at 37°C for 4 h. After incubation, the absorbance of each well was read at 405 nm on a microplate reader and the concentrations (nanograms per milliliter) of total MMP-2 and endogenous activated MMP-2 were determined for each sample from a standard curve using Revelation Software (Dynatech, UK). Final tissue values were expressed as nanograms per milligram of protein.

#### MMP-9 assay

Standards and samples were run in the same manner as described for MMP-2 on a microplate coated with MMP-9

antibody, except that 1 mM APMA was used for activation, and incubation with the detection reagent was done at 37°C for 6 h. The absorbance was read with a microplate reader as described for MMP-2. Final tissue values were expressed as nanograms per milligram of protein.

## Results

### Measurement of subcutaneous tumor

We measured the major axis of the tumor to examine whether the administration of Kurozu or Kurozu-M could inhibit tumor growth. In the DLD cell-transplanted model, the major axes were  $8.1 \pm 0.5$  mm in the control group,  $7.90 \pm 0.80$  mm in Kurozu group, and  $7.8 \pm 0.80$  mm in the Kurozu-M group. There were no significant differences among the three groups (Fig. 1A). However, in the Lovo cell-transplanted model, the major axes of the tumor were  $8.2 \pm 0.5$  mm in the control group and  $7.8 \pm 0.8$  mm in the Kurozu group, but significantly reduced to  $6.0 \pm 0.8$  mm in the Kurozu-M group ( $P < 0.05$  versus control; Fig. 1B).

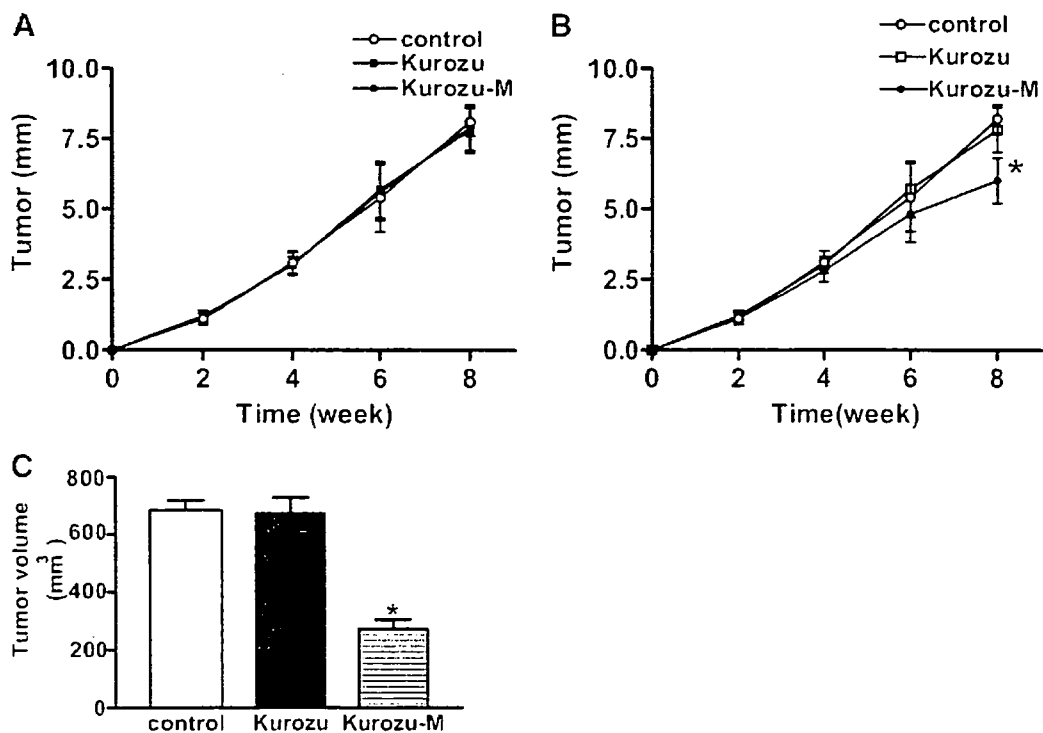


Fig. 1. Antitumor effect of Kurozu and Kurozu moromimatsu. Female nu/nu mice were injected with  $1 \times 10^6$  Lovo cells or DLD cells into the right flank. (A) Time course of tumor growth in DLD-1 cell-transplanted mice (long diameter). Open circles represent the control group, solid squares the Kurozu-treated group, and solid circles the Kurozu moromimatsu-treated group. (B) Time course of tumor growth in Lovo cell-transplanted mice (long diameter). Open circles indicate the control group, open squares the Kurozu-treated group, and solid circles the Kurozu moromimatsu-treated group. (C) Tumor volume in Lovo cell-transplanted mice. Tumor volume was measured 8 wk after Lovo cell inoculation. The open bar indicates the control group, the solid bar the Kurozu-treated group, and the horizontally lined bar the Kurozu moromimatsu-treated group. Values are means  $\pm$  SD. \* $P < 0.001$  versus other groups.

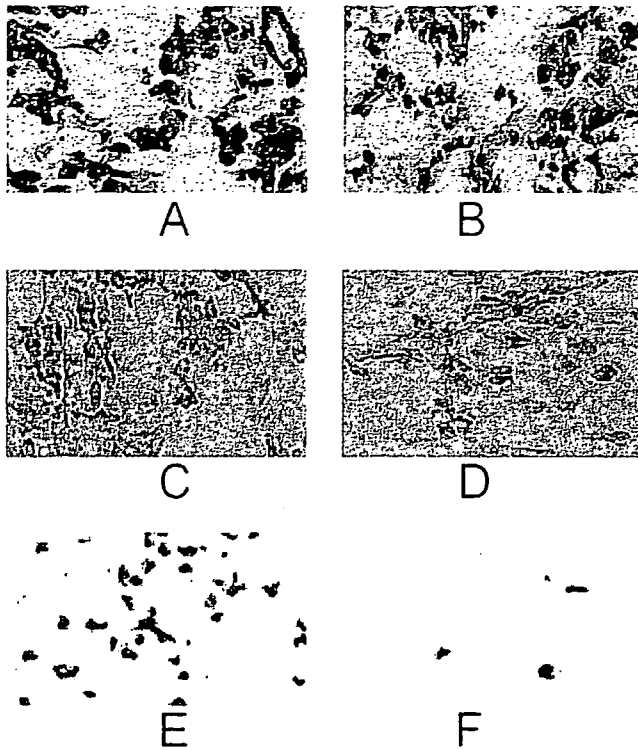


Fig. 2. Histologic examination of cancerous tissue after Lovo cell transplantation. Hematoxylin-eosin staining of tumor from (A) the control group and (B) the Kurozu moromimatsu-treated group. Terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling staining of tumor from (C) the control group and the (D) Kurozu moromimatsu-treated group. Nitrotyrosine staining of tumor from (E) the control group and (F) the Kurozu moromimatsu-treated group.

In the Lovo cell-transplanted model, the tumor volumes were  $684.0 \pm 34.0 \text{ mm}^3$  in the control group,  $672.0 \pm 56.0 \text{ mm}^3$  in the Kurozu group, and  $273.0 \pm 32.0 \text{ mm}^3$  in the Kurozu-M group ( $P < 0.005$  for the Kurozu-M group versus control; Fig. 1C).

The following results refer to the Lovo cell-transplanted model.

#### HE staining, TUNEL staining, and nitrotyrosine immunostaining

The HE staining showed no accumulation of polymorphonuclear leukocytes, which have been implicated in active oxygen production, in tumor tissue in the control group or the Kurozu-M group (Fig. 2A,B).

The TUNEL staining indicated that apoptosis in the Kurozu-M group was at the same level as that in the control group (Fig. 2C,D).

Because we previously found that nitrotyrosine, generated from peroxynitrite and tyrosine, is produced in human colon cancer [5], we examined whether the administration of Kurozu-M could inhibit nitrotyrosine formation. In the control group, many cells produced nitrotyrosine, whereas in the Kurozu-M group, only a few cells produced nitrotyrosine (Fig. 2E,F).

#### MMP-2 and MMP-9 assays

To investigate the role of MMPs in the action of Kurozu-M, we examined whether or not Kurozu-M altered the levels and activation of MMPs.

Total MMP-2 amounted to  $8.8 \pm 2.5 \text{ ng/mg}$  of protein in the control group and  $8.6 \pm 2.8 \text{ ng/mg}$  of protein in the Kurozu group. However, it was significantly reduced to  $5.6 \pm 1.8 \text{ ng/mg}$  of protein by administration of Kurozu-M ( $P < 0.05$  versus control; Fig. 3A). Activated MMP-2 amounted to  $0.25 \pm 0.06 \text{ ng/mg}$  of protein in the control group,  $0.27 \pm 0.08 \text{ ng/mg}$  of protein in the Kurozu group, and  $0.12 \pm 0.05 \text{ ng/mg}$  of protein in the Kurozu-M group ( $P < 0.05$  for the Kurozu-M group versus control; Fig. 3A).

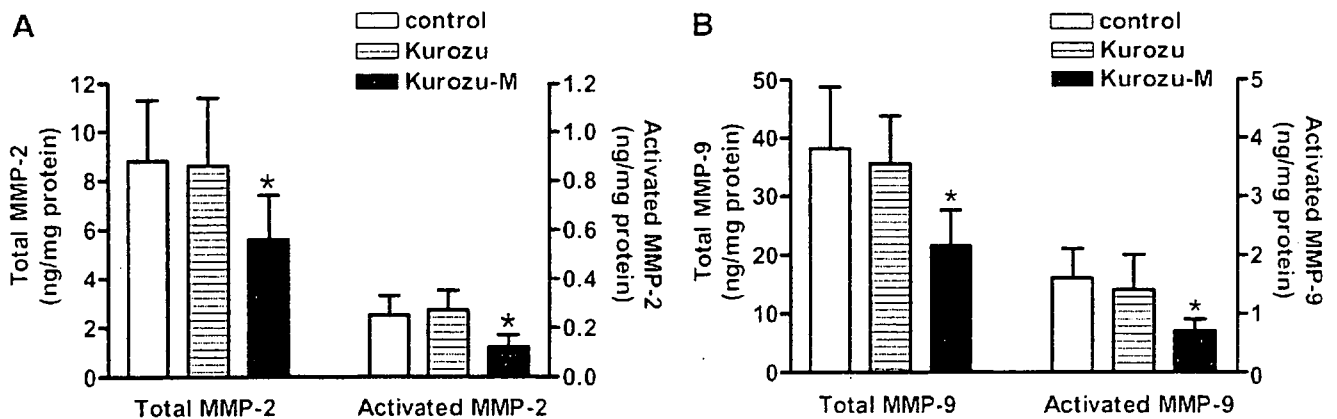


Fig. 3. Anti-metalloproteinase effect of Kurozu and Kurozu moromimatsu. (A) Total and activated metalloproteinase-2 in cancerous tissue after Lovo cell inoculation. The open bar represents the control group, the horizontally lined bar the Kurozu-treated group, and the solid bar the Kurozu moromimatsu-treated group. (B) Levels of total and activated metalloproteinase-9 in cancerous tissue after Lovo cell inoculation. The open bar represents the control group, the horizontally lined bar the Kurozu-treated group, and the solid bar the Kurozu moromimatsu-treated group. Values are means  $\pm$  SD. \* $P < 0.001$  versus other groups.

Total MMP-9 amounted to  $38.2 \pm 10.6$  ng/mg of protein in the control group and  $35.6 \pm 8.22$  ng/mg of protein in the Kurozu group. However, it was significantly reduced to  $21.5 \pm 6.1$  ng/mg of protein by administration of Kurozu-M ( $P < 0.05$  versus control; Fig. 3B). Activated MMP-9 amounted to  $1.6 \pm 0.5$  ng/mg of protein in the control group,  $1.4 \pm 0.6$  ng/mg of protein in the Kurozu group, and  $0.7 \pm 0.2$  ng/mg of protein in the Kurozu-M group ( $P < 0.05$  for the Kurozu-M group versus control; Fig. 3B).

## Discussion

Our results indicate that the administration of Kurozu-M inhibits the development of colon cancer in human colon cancer cell transplantation model in mice. Further, the administration of Kurozu-M inhibited nitrotyrosine production, decreased total MMP-2 and total MMP-9 levels, and inhibited activation of MMP-2 and MMP-9 in the lesion in this model.

Earlier studies had indicated that extract of Kurozu can inhibit chemical carcinogenesis [3,4,6]. However, in our study, the administration of Kurozu-M inhibited the development of colon cancer in a mouse model, whereas the administration of Kurozu did not prevent tumor growth. The major constituent of Kurozu is acetic acid, whereas the major component of Kurozu-M is a complex mixture of organic materials, including bacterial metabolites. The active components of Kurozu-M remain to be identified.

In this study, we found that administration of Kurozu-M inhibited production of nitrotyrosine in tumor tissue. Nitrotyrosine is generated through two pathways in vivo. One is the peroxynitrite pathway, in which tyrosine reacts with peroxynitrite to afford nitrotyrosine, and the second is the myeloperoxidase pathway, in which tyrosine reacts with myeloperoxidase and nitrite [7,8]. We previously reported that peroxynitrite is produced in human colon cancer tissue [5]. In contrast, myeloperoxidase is localized in polymorphonuclear leukocytes in vivo, but in this study we could not detect any accumulation of polymorphonuclear leukocytes by means of HE staining of cancerous tissue. Therefore, Kurozu-M administration may inhibit the peroxynitrite pathway. Possible mechanisms include inhibition of nitric oxide, superoxide, and/or peroxynitrite production, and scavenging of nitric oxide, superoxide, and/or peroxynitrite. Further work is needed to examine these possibilities.

The administration of Kurozu-M also inhibited MMP-2 and MMP-9 activity in cancerous tissue. These are representative gelatinases that contribute to the distant metastasis of cancer [9], and they are produced by cancer cells or macrophages [10]. A tetradecanoylphorbol acetate-responsive element is present in the promoter region of MMP-9 and is activated by various cytokines, such as interleukin and tumor necrosis factor [11]. In addition, MMP-9 is activated by nuclear factor- $\kappa$ B and MMP-2 [12]. MMP-2 is mainly activated by MT1-MMP, but recently it was shown

that peroxynitrite also activates MMP-2 [13,14]. Kurozu-M may have inhibited cancer growth in our model through inhibition of peroxynitrite formation and MMP-2 and MMP-9 activities.

Kurozu moromimatsu was active against Lovo cells in this study, but not against DLD-1 cells. Lovo and DLD-1 cells differ not only in the degree of cellular differentiation but also in the expression of furin, which contributes to MMP activation [15]. The former line originates from well-differentiated adenocarcinoma and expresses furin protein, whereas the latter originates from poorly differentiated adenocarcinoma and does not express furin protein. It would be worth examining whether MMP activity is reduced in the absence of furin.

## Conclusion

The administration of Kurozu-M inhibited tumor growth in a Lovo cell-transplanted mouse model and also inhibited nitrotyrosine production and activation of MMP-2 and MMP-9.

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## Intravenous injection of phagocytes transfected ex vivo with FGF4 DNA/ biodegradable gelatin complex promotes angiogenesis in a rat myocardial ischemia/reperfusion injury model

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**Abstract** Conventional gene therapies still present difficulties due to poor tissue-targeting, invasiveness of delivery, method, or the use of viral vectors. To establish the feasibility of using non-virally ex vivo transfected phagocytes to promote angiogenesis in ischemic myocardium, gene-transfection into isolated phagocytes was performed by culture with positively charged gelatin impregnated with plasmid DNA. A high rate of gene transfection was achieved in rat macrophages and human monocytes, but not in mouse fibroblasts. The efficiency was  $68 \pm 11\%$  in rat macrophages and  $78 \pm 8\%$  in human monocytes. Intravenously injected phagocytes accumulated predominantly in ischemic tissue ( $13 \pm 8\%$ ) and spleen ( $84 \pm 6\%$ ), but negligibly in other organs in rodents. The efficiency of accumulation in the target ischemic tissue reached more than 86% on direct local tissue injection. In a rat model of myocardial ischemia-reperfusion, intravenous injection of fibroblast growth factor 4 (FGF4)-gene-transfected macrophages significantly increased regional blood flow in the ischemic myocardium ( $78 \pm 7.1\%$  in terms of flow ratio of ischemic/non-ischemic myocardium) compared with intravenous administration of saline ( $36 \pm 11\%$ ) or non-transfected macrophages ( $42 \pm 12\%$ ), or intramuscular administration of naked DNA encoding FGF4 ( $75 \pm 18\%$ ). Enhanced angiogenesis in the ischemic tissue we confirmed histologically. Similarly, intravenous injection of FGF4-gene-transfected monocytes enhanced regional blood flow in an ischemic hindlimb model in mice ( $93 \pm 22\%$ ), being superior to the three other treatments described above ( $38 \pm 12$ ,  $39 \pm 15$ , and  $55 \pm 12\%$ , respectively).

Phagocytes transfected ex vivo with FGF4 DNA/gelatin promoted angiogenesis. This approach might have potential for non-viral angiogenic gene therapy.

**Key words** angiogenesis - cells - gene therapy - growth substances - ischemia

### Abbreviations and acronyms

ANOVA = analysis of variance  
FGF4 = fibroblast growth factor-4  
GFP = green fluorescent protein  
pI = isoelectric point

### Introduction

Conventional gene therapies still require improvement with regard to transfection efficiency and safety [1, 2], as well as tissue targeting [3], despite recent advances. Achievement of a high transfection rate often requires a viral vector, but the safety of the viruses has not yet been

established [4–6]. Conventional non-viral vectors seem to be inferior to viral ones in transfection efficiency, except for nucleofection [7, 8]. Conventional gene therapy using a viral vector can induce inflammation in the gene-transduced tissue [9]. Moreover, *in vivo* gene-delivery to the localized target tissue usually necessitates invasive approaches. For example, direct gene-transfection to cardiomyocytes requires surgical operation [10] or cardiac catheterization [11, 12]. On the other hand, *ex vivo* gene-transfection is less invasive, but tissue-targeting by intravenous injection is difficult to achieve [3].

Macrophages accumulate in ischemic tissue based on the mechanism of immune response (chemotaxis) [13]. This suggests that intravenous transplantation of macrophages may target the ischemic tissue *in vivo*. Tabata *et al.* previously reported that gelatin particles are phagocytized by macrophages [14, 15]. The isoelectric point (pI) of gelatin can be changed by modification of its residues, and positively charged gelatin can be impregnated with negatively charged substances [16] such as nucleic acid [17]. Thus, gelatin may be suitable as a vector for transfecting phagocytes *ex vivo*.

We describe here a study aimed at examining the feasibility of a new concept for less invasive, cell-based gene therapy, by means of *ex vivo* gene transfection into isolated phagocytes (macrophages and monocytes) using a non-viral vector, gelatin, followed by intravenous injection of the transfected phagocytes. The present method has significant advantages over conventional cell-based gene delivery [18, 19], in that the intravenously injected cells (phagocytes) not only produce protein from the transfected gene, but have a tissue-targeting ability.

## Methods

This study was performed in accordance with the Guideline of Tokai University School of Medicine on Animal Use, which conforms to the NIH Guide for the Care and Use of Laboratory Animals (DHEW publication No. (NIH) 86-23, Revised 1985, Offices of Science and Health Reports, DRR/NIH, Bethesda, MD 20205).

### Animals

A total of 121 Fisher rats (male, 10 weeks old, Clea Japan Inc., Tokyo) and 61 nude SCID mice (male, 6 weeks old, Shizuoka Animal Center, Shizuoka, Japan) were used. Rats were anesthetized by inhalation of diethyl ether for harvesting macrophages and with isoflurane (1.5–3%) for thoracotomy, after which they were mechanically ventilated with a mixture of oxygen and nitrous oxide. Mice were anesthetized by intraperitoneal injection of sodium pentobarbital (40 mg/kg).

A model of myocardial ischemia-reperfusion injury

was prepared in 41 rats. The remaining 80 rats were used for collecting activated macrophages. The heart was exposed via thoracotomy, and the proximal left anterior descending coronary artery was ligated [20] for 180 min, followed by reperfusion. A model of hindlimb ischemia was prepared in 61 mice. The left femoral artery was ligated and resected [21].

### Cells

Macrophages were obtained from 80 rats. Thioglycolate (4%, 8 ml) was injected into the peritoneal cavity, and after 4 days, peritoneal macrophages were collected [22]. Monocytes were obtained from peripheral blood of healthy volunteers. Leukocyte-rich plasma was obtained by dextran 500 sedimentation and layered onto Nycoprep 1.068 (Nycomed, Birmingham, UK). The monocyte-containing layer was aspirated, washed twice and allowed to adhere to the dish for 90 minutes. Fibroblasts (NIH 3T3, Invitrogen Corporation, Carlsbad, CA) were also used. The cells were resuspended in RPMI 1640 medium (Sigma) containing 5% heat-inactivated fetal calf serum and cultured for 7–14 days. The cell viability and type were determined by trypan blue exclusion and by immunostaining using anti-macrophage antibody up to 14 days.

### Genes and vector

Complementary DNA (cDNA) of green fluorescent protein (GFP), Renilla luciferase or human hst1/FGF4 (FGF4) [17] was inserted into the expression vector pRC/CMV (Invitrogen Corporation, Carlsbad, CA) and the constructs were designated as pRC/CMV-GFP, pRC/CMV-luciferase and pRC/CMV-HST1-10, respectively. Preparation and purification of the plasmid from cultures of pRC/CMV-GFP-, pRC/CMV-luciferase-, or pRC/CMV-HST1-10-transformed *Escherichia coli* were performed by equilibrium centrifugation in cesium chloride-ethidium bromide gradients.

Gelatin was prepared from porcine skin [14]. After swelling in water the gelatin particles used in this study were spheroids with a diameter of approximately 5–30  $\mu\text{m}$ , water content of 95%, and pI of 11. Gelatin (2 mg) was incubated with 50  $\mu\text{g}$  of the plasmid for 7 days at 4 °C to make a gelatin-DNA complex [14].

### Experimental protocols

*Ex vivo gene transfection* Macrophages, monocytes, and fibroblasts ( $1 \times 10^6$ ) were cultured with the gelatin-DNA complex (2 mg of gelatin plus 50  $\mu\text{g}$  of DNA) for 14 days on a culture dish (100 mm in diameter). Gene ex-

pression of GFP was evaluated by fluorescence microscopy and fluorescence-activated cell sorting. Luciferase activity in the cell lysate was evaluated with a photon counter system after cell lysis [23].

*Organ distribution of phagocytes injected intravenously and directly into ischemic muscle* To examine tissue-targeting by intravenous injection of transfected phagocytes, the distribution of the cells into organs was evaluated by immunohistochemistry. In the rat model of myocardial ischemia-reperfusion injury, the GFP-gene-transfected macrophages ( $1.0 \times 10^6$  each) were injected into the superficial dorsal vein of the penis at the initiation of reperfusion ( $n=7$  and  $5$ , respectively). In the mouse model of hindlimb ischemia, the GFP-gene-transfected monocytes ( $1.0 \times 10^6$ ) were injected into the caudal vein 14 days after induction of ischemia ( $n=5$ ). To examine the tissue-targeting by direct local injection of transfected phagocytes, the distribution of the cells into organs was also evaluated. In the rat model of myocardial ischemia-reperfusion injury ( $n=7$ ) and the mouse model of hindlimb ischemia ( $n=5$ ), the same numbers of transfected macrophages and monocytes were directly injected into ischemic myocardium and ischemic skeletal muscle, respectively. Tissue samples were obtained 24 hours after cell administration. Each tissue was homogenized and cytospin was performed. Immunohistochemical analysis was done with anti-GFP antibody (CLONTECH, USA. GFP-monoclonal antibody). GFP positive macrophages were counted in each tissue and expressed as a percentage of total GFP-positive cells.

*Amelioration of ischemia by intravenous injection of angiogenic gene-transfected phagocytes* The angiogenic effect of intravenously injected FGF4-gene-transfected phagocytes on the ischemia models was evaluated. In the rat model of myocardial ischemia-reperfusion injury, FGF4-gene-transfected macrophages ( $n=5$ ), non-transfected macrophages ( $1.0 \times 10^6$  each) ( $n=5$ ), or saline ( $n=5$ ) were injected into the superficial dorsal vein of the penis, or naked FGF4-DNA ( $50 \mu\text{g}$ ) was injected directly into the ischemic myocardium ( $n=5$ ), at the initiation of reperfusion. Fourteen days after the cell administration, blood flows in the ischemic and non-ischemic regions in the heart were evaluated with a non-contact laser Doppler flowmeter (FLO-N1, Omegawave Corporation). Then, tissue samples were obtained and histological analysis was performed. In a mouse model of hindlimb ischemia, just after induction of ischemia, FGF4-gene-transfected monocytes ( $n=15$ ), non-transfected monocytes ( $n=8$ ) ( $1.0 \times 10^6$  each), or saline ( $n=10$ ) were injected into the caudal vein, or naked FGF4-DNA ( $50 \mu\text{g}$ ) was injected directly into the ischemic muscle ( $n=12$ ). Fourteen days after induction of ischemia, blood flows in the limbs were evaluated with

the noncontact laser Doppler flowmeter (FLO-N1, Omegawave Corporation).

## Histology

Ten micrometer sections were cut from formalin-fixed, paraffin-embedded tissue. Two sections were used for H.E. staining and azan staining, and eight sections were used for immunohistochemical staining. Immunohistochemical staining was performed by an indirect immunoperoxidase method. Anti-GFP antibody, anti-Mac1 antibody (BMA Biomedicals Ag, Switzerland), and anti-CD31 antibody (Serotec, UK) were used as primary antibodies. Mac1-antigen is specific to macrophages/monocytes. Anti-Ig, peroxidase-linked species-specific F(ab')<sub>2</sub> fragments (Amersham Pharmacia Biotech UK Ltd., UK), were used as a secondary antibody. Double staining was performed with alkaline staining and peroxidase staining. The vessel density stained with von Willebrand factor-antibody was calculated by morphometric assessment in one 16 randomly selected fields of each heart and expressed as number/mm<sup>2</sup>.

## Statistical analysis

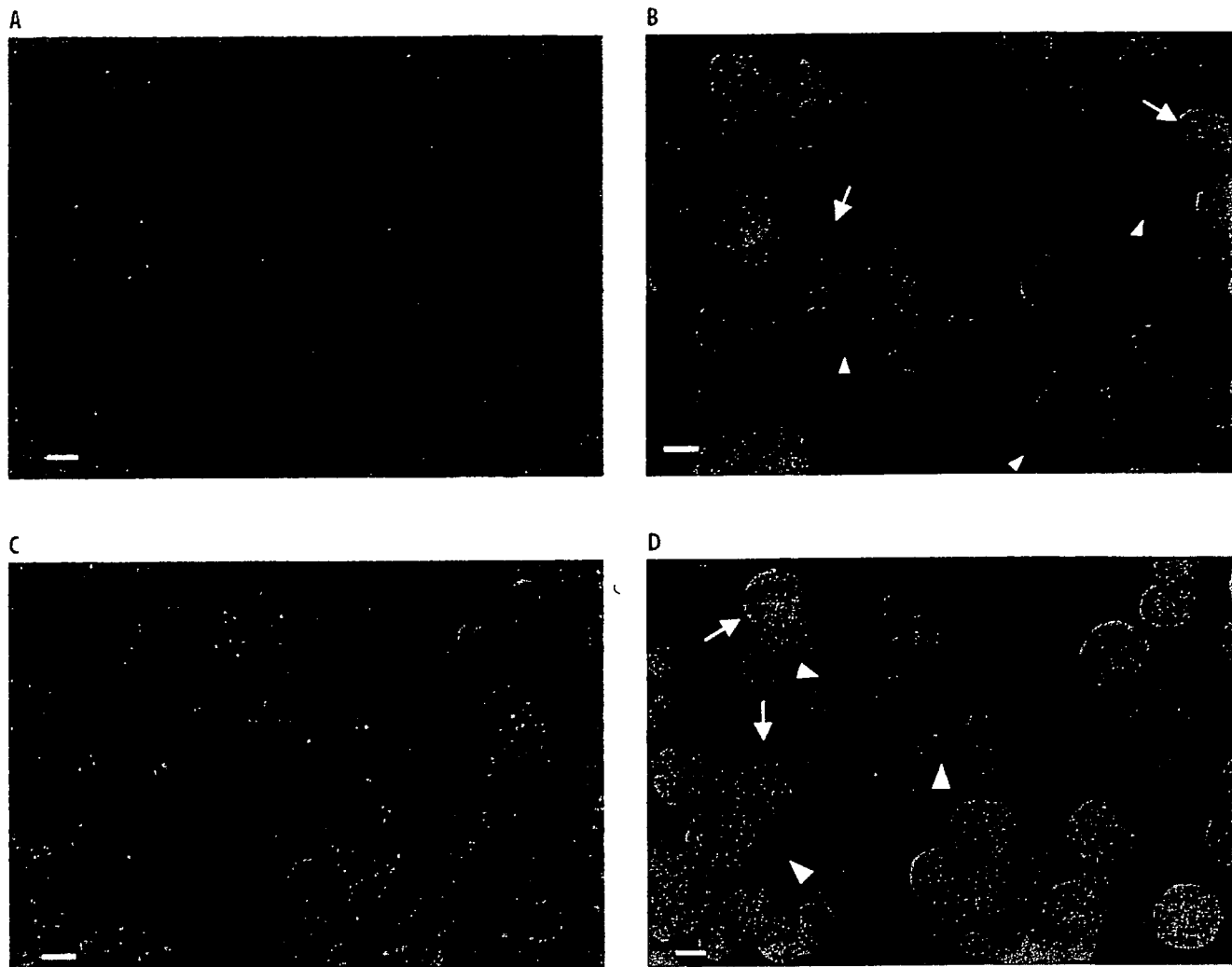
Data are presented as mean values  $\pm$  SD. Differences were assessed by using ANOVA (analysis of variance) with the Scheffe's multiple comparisons test. A value of  $P < 0.05$  was considered statistically significant.

## Results

### Ex vivo gene transfection

We studied whether genes could be transfected into isolated rat macrophages, human monocytes, and mouse fibroblasts ex vivo by using gelatin. Transfection of the GFP gene into isolated rat macrophages (Figs. 1A and B) and human monocytes (Figs. 1C and D), but not into mouse fibroblasts (data not shown), was achieved by culture with gelatin-DNA complex for 14 days. The gene transfection efficiency into rat macrophages was  $68 \pm 11\%$  (30 experiments, Fig. 2A) and that into human monocytes was  $78 \pm 8\%$  (30 experiments) as determined with a fluorescence activated cell sorter. Sequential analysis after luciferase-gene transfection into rat macrophages revealed high expression after 14 days of culture (Fig. 2B).



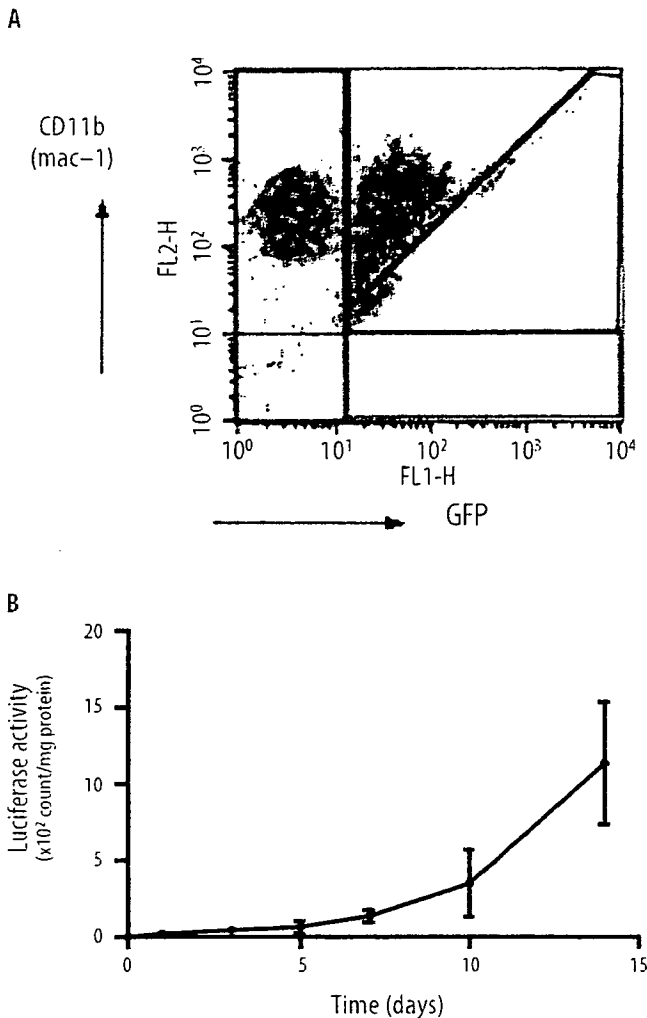


**Fig. 1** Fluorescent presentation of ex vivo gene transfection with gelatin-DNA complex in macrophages/monocytes as well as fibroblasts. Rat macrophages (A and B) and human monocytes (C and D) were cultured with gelatin-GFP-gene complex for 14 days. Transmittance microscopic images (A and C) and fluorescence images (B and D) of the cells are shown. Macrophages (B) and monocytes (D) show fluorescence due to GFP. Arrowheads indicate GFP-expressing cells. Arrows indicate gelatin particles themselves. Bars = 20  $\mu$ m

### Organ distribution of phagocytes injected intravenously or directly into ischemic muscle

We studied quantitatively whether intravenously injected luciferase-gene-transfected phagocytes could target ischemic tissues (the third and fifth columns from the left in Table 1). In non-ischemic rats, the injected macrophages were recognized almost exclusively in the spleen ( $98 \pm 4\%$ ) ( $n = 7$ , the second column in Table 1). In non-ischemic mice, similar results were observed ( $n = 7$ , data not shown). In a rat with myocardial ischemia-reperfusion injury, some of the intravenously injected macrophages were incorporated into the heart (the third column in Table 1). The incorporation into the post-ischemic pericardium amounted to  $13 \pm 6\%$  ( $n = 7$ ) (non-ischemic rats  $0 \pm 0\%$ ,  $n = 7$ , Table 1). The incorpo-

rated cells expressed GFP (Fig. 3). Fibrosis with inflammatory infiltrates was recognized in the anterior wall of the left ventricle, extending to the interventricular septum (Figs. 3A and B). These infiltrates were mainly polymorphonuclear leukocytes and macrophages (Figs. 3C and D). Approximately 20% of the macrophages showed GFP-positivity in this area (Figs. 3E and F). Similar tissue-targeting by intravenously injected monocytes was confirmed in a mouse model with hindlimb ischemia ( $13 \pm 7\%$ ,  $n = 7$ , the fifth column in Table 1). Furthermore, we studied whether local intramuscular injection increased the degree of tissue targeting (the fourth and sixth columns from the left in Table 1). After direct injection of phagocytes into ischemic muscle,  $86 \pm 10\%$  and  $88 \pm 6\%$  of the cells remained in the target tissue in the two models. Thirteen and 11% of phagocytes in-



**Fig. 2** Quantitative assessment of gene transfection into rat macrophages. (A) Fluorescence-activated cell sorting analysis of transfected macrophages done on day 14 of culture with reference to GFP-positive and Mac1-positive cells. (B) Sequential changes of luciferase activity in cultured macrophages in the presence of luciferase-gene-gelatin complex. Values are mean  $\pm$  SD. The number of experiments is shown in parentheses

jected into the cardiac or hindlimb muscle migrated to the spleen. In the other organs, accumulation of phagocytes were negligible.

### Amelioration of ischemia by intravenously injected angiogenic-gene-transfected phagocytes

In the rat model with myocardial ischemia-reperfusion injury, we studied the angiogenic effect of intravenously injected macrophages transfected with fibroblast growth factor 4 (FGF4) gene by using gelatin. Intravenous injection of these macrophages ( $1.0 \times 10^6$ ) significantly increased the regional blood flow in the ischemic myocardium ( $78 \pm 7.1\%$ ,  $n = 8$ , in terms of flow ratio of

**Table 1** Organ distribution of phagocytes injected into the vein and into local tissue

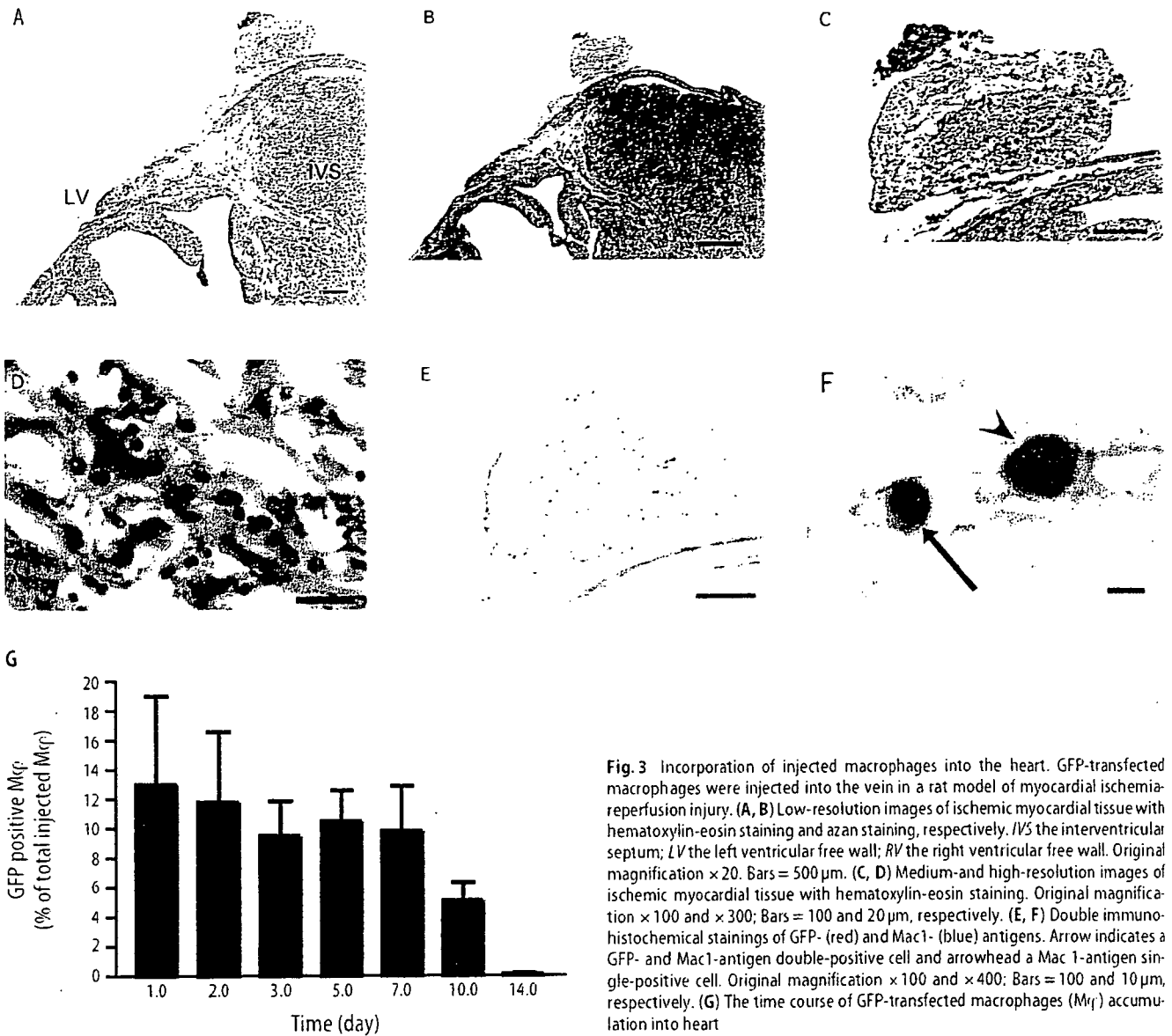
Organ	Normal i.v. (7 rats)	Myocardial injury i.v. (7 rats)	Myocardial injury i.m. (7 rats)	Hindlimb ischemia i.v. (7 mice)	Hindlimb ischemia i.m. (7 mice)
Heart	0 $\pm$ 0	13 $\pm$ 6	86 $\pm$ 10	0 $\pm$ 0	0 $\pm$ 0
Hindlimb muscle	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	13 $\pm$ 7	88 $\pm$ 6
Spleen	98 $\pm$ 4	84 $\pm$ 6	13 $\pm$ 10	84 $\pm$ 6	11 $\pm$ 6
Lung	1 $\pm$ 2	1 $\pm$ 1	1 $\pm$ 2	1 $\pm$ 2	1 $\pm$ 1
Liver	1 $\pm$ 2	1 $\pm$ 1	1 $\pm$ 1	1 $\pm$ 2	1 $\pm$ 1
Brain	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0
Kidney	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0
Intestine	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0	0 $\pm$ 0

Each value shows a distribution ratio (%) into organs of transfected macrophages/monocytes (mean  $\pm$  SD). *i.v.* intravenous injection into the vein; *i.m.* direct injection into the jeopardized muscle

ischemic/non-ischemic myocardium) compared with the other three treatments ( $P < 0.05$ , ANOVA), that is, intravenous administration of saline ( $35 \pm 10\%$ ,  $n = 8$ ), intramuscular administration of naked DNA encoding FGF4 ( $50 \mu\text{g}$ , direct intramyocardial injection after thoracotomy) ( $58 \pm 5.3\%$ ,  $n = 8$ ), and intravenous administration of the same number of non-transfected macrophages ( $42 \pm 12\%$ ,  $n = 8$ ) (Fig. 4A). Histological analyses revealed angiogenesis in the ischemic tissue after the administration of transfected cells (Figs. 4B and C). Similar results were observed in the mouse model with hindlimb ischemia. Intravenous injection of FGF4-gene-transfected monocytes ( $1.0 \times 10^6$ ) enhanced regional blood flow in the ischemic leg (Fig. 4D). The increase of blood flow in the mice with transfected monocytes ( $93 \pm 22\%$  in terms of flow ratio of ischemic/non-ischemic leg) was significantly larger than those obtained with the other three treatments described above ( $38 \pm 12$ ,  $55 \pm 12$ , and  $39 \pm 15\%$ ,  $P < 0.05$ , ANOVA). Neither lymph node swelling in any part of the body nor pathologic change in the spleen or lung, such as angioma or abnormal immune response, was found in any of the animals.

### Discussion

The advantages of the present method are as follows. First, genes can easily be transfected into phagocytes (macrophages/monocytes). In preliminary experiments, we found that genes can also be transfected into endothelial progenitor cells [25]. Compared with other transfection method, the transfection efficiency was high ( $68 \pm 11\%$ ) and it is not necessary to use a potentially hazardous viral vector [2, 26, 32]. Second, the phagocytes can target the pathologic tissues by chemotaxis even after intravenous injection, and higher tar-



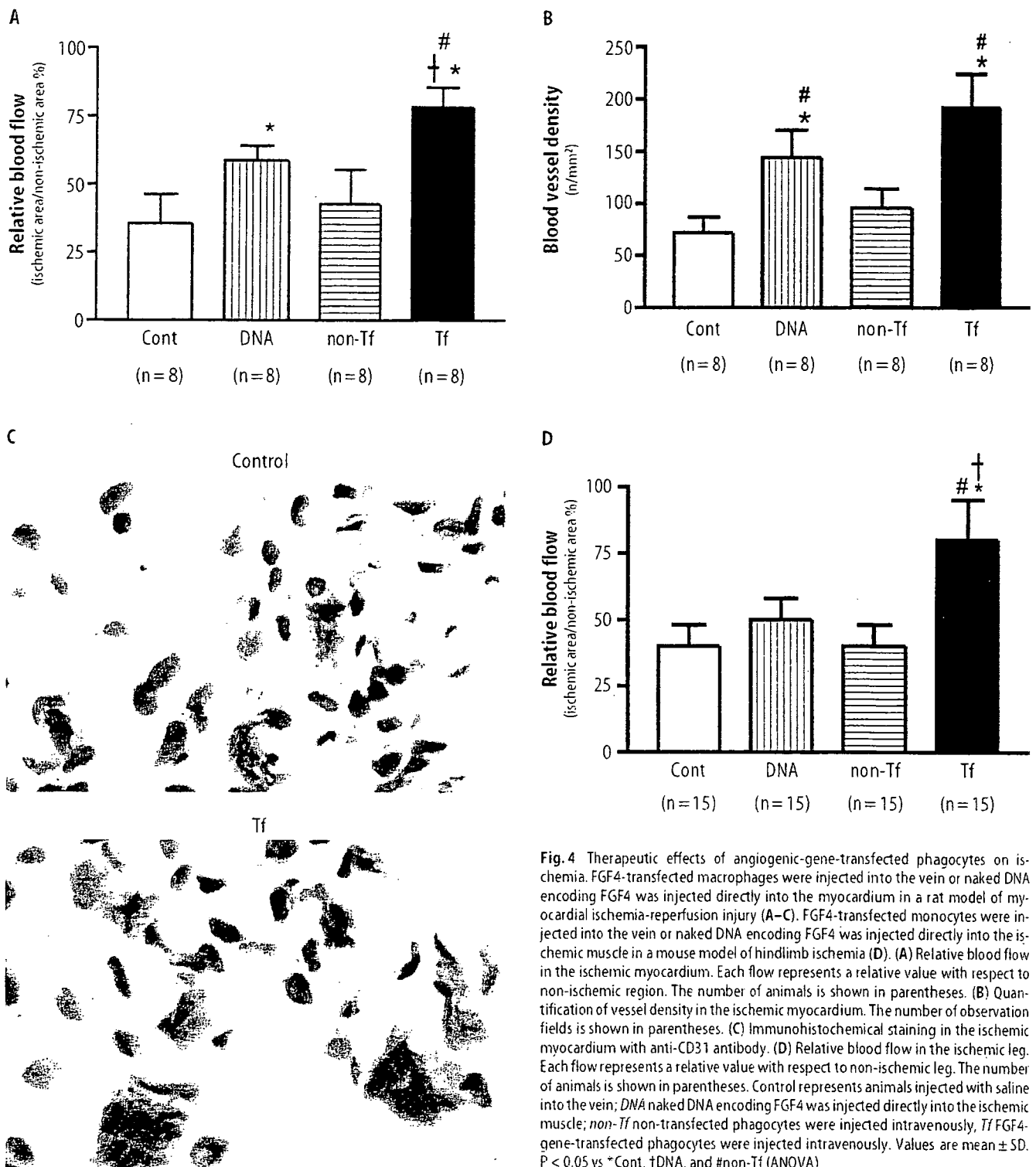
**Fig. 3** Incorporation of injected macrophages into the heart. GFP-transfected macrophages were injected into the vein in a rat model of myocardial ischemia-reperfusion injury. (A, B) Low-resolution images of ischemic myocardial tissue with hematoxylin-eosin staining and azan staining, respectively. *IVS* the interventricular septum; *LV* the left ventricular free wall; *RV* the right ventricular free wall. Original magnification  $\times 20$ . Bars = 500  $\mu\text{m}$ . (C, D) Medium- and high-resolution images of ischemic myocardial tissue with hematoxylin-eosin staining. Original magnification  $\times 100$  and  $\times 300$ ; Bars = 100 and 20  $\mu\text{m}$ , respectively. (E, F) Double immunohistochemical stainings of GFP- (red) and Mac1- (blue) antigens. Arrow indicates a GFP- and Mac1-antigen double-positive cell and arrowhead a Mac 1-antigen single-positive cell. Original magnification  $\times 100$  and  $\times 400$ ; Bars = 100 and 10  $\mu\text{m}$ , respectively. (G) The time course of GFP-transfected macrophages ( $M_{\phi}$ ) accumulation into heart

getting is available if they are administered locally. The injection is repeatable. We confirmed that the angiogenic gene-transfected phagocytes enhanced angiogenesis after ischemia-reperfusion injury in rat heart and ameliorated ischemia in a mouse hindlimb model.

The injected phagocytes migrated into pathologic tissues, presumably in response to the release of cytokines such as monocyte chemoattractant protein 1 by injured endothelial cells [27]. Adhesion molecules such as P-selectin [28] are probably involved in the recruitment of phagocytes to the vessel wall. The injected phagocytes also migrated to the spleen, but no pathologic change was found in the spleen.

The present method has several advantages over conventional methods of cell-based gene therapy such as fi-

broblast-based and smooth muscle cell-based approaches [18, 19, 33, 34]. For example, monocytes do not aggregate in vessels, while fibroblasts or smooth muscle cells cannot be injected intravenously because of aggregation. The transfected phagocytes not only synthesize protein from the transfected gene, but also are partially targeted to the impaired tissue. In addition, the transfection rate was better than those of methods such as lipofection, viral vectors and electroporation [26, 29]. The newly developed technique of nucleofection has a transfection efficiency of 40–70 % [30], which is similar to that of our method, but our procedure is easier to use [30, 31]. Further, the therapeutic effect obtained here was superior to that of conventional gene therapy which we reported previously, i.e., intramuscular injection of



**Fig. 4** Therapeutic effects of angiogenic-gene-transfected phagocytes on ischemia. FGF4-transfected macrophages were injected into the vein or naked DNA encoding FGF4 was injected directly into the myocardium in a rat model of myocardial ischemia-reperfusion injury (A–C). FGF4-transfected monocytes were injected into the vein or naked DNA encoding FGF4 was injected directly into the ischemic muscle in a mouse model of hindlimb ischemia (D). (A) Relative blood flow in the ischemic myocardium. Each flow represents a relative value with respect to non-ischemic region. The number of animals is shown in parentheses. (B) Quantification of vessel density in the ischemic myocardium. The number of observation fields is shown in parentheses. (C) Immunohistochemical staining in the ischemic myocardium with anti-CD31 antibody. (D) Relative blood flow in the ischemic leg. Each flow represents a relative value with respect to non-ischemic leg. The number of animals is shown in parentheses. Control represents animals injected with saline into the vein; *DNA* naked DNA encoding FGF4 was injected directly into the ischemic muscle; *non-Tf* non-transfected phagocytes were injected intravenously, *Tf* FGF4-gene-transfected phagocytes were injected intravenously. Values are mean  $\pm$  SD.  $P < 0.05$  vs \*Cont, †DNA, and #non-Tf (ANOVA)

naked DNA, in ischemia models of heart and leg [17]. The major disadvantage of our method is the cell preparation time of 2 weeks before therapy can be started, and further work is needed to speed up this process.

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