

Fig. 2. Cellular Cytotoxicity Assay of the Monoclonal PTD Candidate-PSIF Fusion Proteins to HaCaT Cells

These figures show the cellular uptake of individual clones-PSIF fusion proteins from (A) Tat mutant library before cell panning and (B) concentrated novel PTD candidates after 3 rounds of cell panning. Cellular cytotoxicity was assessed using the MTT assay. The dose of PTD-PSIF fusion clones was adjusted to retain *ca.* 80% viability when using wild-type Tat-PSIF fusion protein (left stripy column). Clones in the arrowed columns showed greater cytotoxicity over wild-type Tat-PSIF fusion protein.

Table 2. Nucleotide and Amino Acid Sequences and pI Values of Novel PTDs

Clone	Position											pI
	47	48	49	50	51	52	53	54	55	56	57	
Tat[47—57]	Y	G	R	K	K	R	R	Q	R	R	R	12.8
	TAC	GGT	CGT	AAA	AAA	CGT	CGT	CAG	CGT	CGT	CGT	
YM1	R	N	R	A	R	R	R	Q	R	R	R	13.4
	AGG	AAC	CGT	GCC	CGC	CGT	CGT	CAG	CGT	CGT	CGT	
YM2	P	V	R	R	P	R	R	R	R	R	R	13.4
	CCC	GTG	CGT	CGC	CCC	CGT	CGT	CGG	CGT	CGT	CGT	
YM3	T	H	R	L	P	R	R	R	R	R	R	13.3
	ACC	CAC	CGT	TTG	CCC	CGT	CGT	CGC	CGT	CGT	CGT	

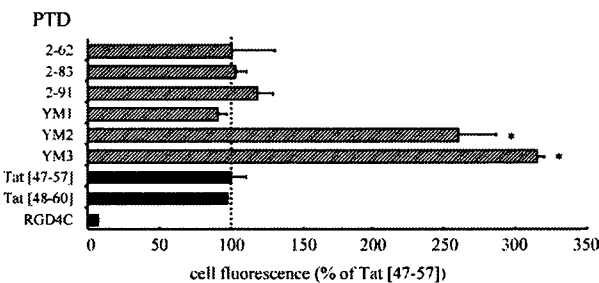


Fig. 3. Cellular Uptake of PTD-FITC Conjugates into HaCaT Cells

FITC labeled PTDs were incubated with HaCaT cell monolayer for 3 h and their cellular uptakes were estimated by flow cytometry analysis. Stripy columns show uptakes of PTD candidates from the Tat mutant library. Black columns show uptake of control PTDs. Control PTD sequences are as follows; Tat[47—57] (wild type Tat PTD): YGRKKRRQRRR, Tat[48—60]: GRKKRRQRRRPPQ, RGD4C: CDCRGDCFC. This experiment was performed at *n*=3. Each data value represents the mean±S.D. \* *p*<0.005, compared with Tat[47—57].

served in the cell nucleus. Recently, Tat peptides were reported to enter the cell by macropinocytosis.<sup>26,27</sup> By analogy, a large proportion of the incorporated Qdots may become trapped in the macropinosome and thus fail to transfer into the nucleus. Therefore, to achieve efficient drug delivery into the cytosol or organelles, the cargo must be released from the macropinosome. One possible strategy would be to incorporate the HA2 peptide to enhance the liberation of carrier and cargo protein from the endosome.<sup>26,28</sup>

It is reported that PTDs are able to deliver various bioactive molecules into cells. However their transduction efficiencies are not sufficient to achieve effective protein-based therapy. In this report, we used a high throughput screening method to successfully identify novel PTD mutants with improved cell penetrating activity over wild-type Tat peptide.

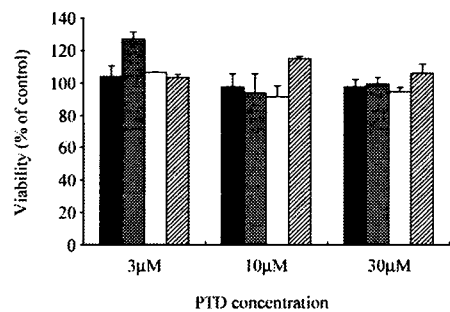


Fig. 4. *In Vitro* Safety Assessment of Tat Mutants

FITC labeled Tat[47—57] (■), YM1 (▣), YM2 (□) or YM3 (▤) were incubated with HaCaT cell monolayer for 24 h and their cytotoxicity was estimated using the MTT assay. Non-treated cells were arbitrarily given a value of 100%.

The PTD mutants were found to contain some characteristic amino acids. These findings indicate that there may be many factors to account for cell penetration other than the presence of cationic amino acids. Using our high-throughput screening method, it should be possible to formulate some generic rules concerning the mechanism of cell penetration and sub-cellular transport. In conclusion, our high-throughput screening system is expected to contribute to the development of protein-based therapies.

**Acknowledgments** This study was supported in part by Grants-in-Aid for Scientific Research (No. 17689008, 17016084, 17790135, 18015055, 18659047) from the Ministry of Education, Culture, Sports, Science and Technology of Japan, in part by Health and Labor Sciences Research Grant from the Ministry of Health, Labor and Welfare of Japan, in part by Health Sciences Research Grants for Re-

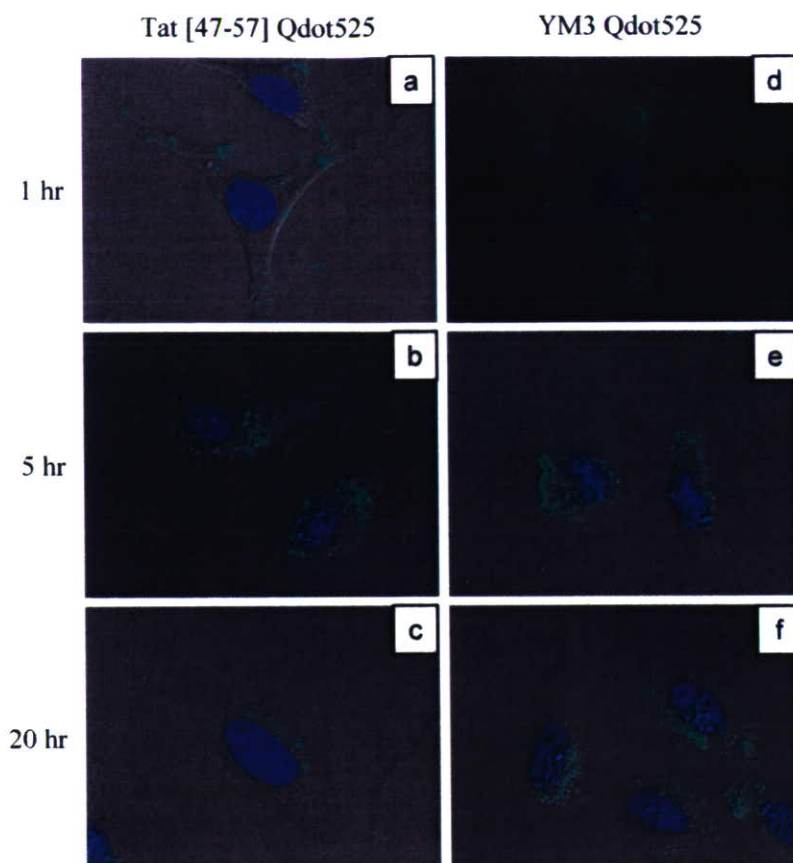


Fig. 5. Cellular Uptake and Intracellular Behavior of PTD-Qdot Complex in HeLa Cells

5 nm Tat[47–57] (a, b and c) or YM3 (d, e and f) labeled Qdots were incubated with HeLa cells. The cells were observed using fluorescence microscopy after 1 h (a and d), 5 h (b and e) or 20 h (c and f). The cell nucleus was stained with Hoechst 33342.

search on Health Sciences focusing on Drug Innovation from the Japan Health Sciences Foundation, in part by Takeda Science Foundation, in part by Industrial Technology Research Grant Program (No. 03A47016a) from New Energy and Industrial Technology Development Organization (NEDO), and in part by JSPS Research Fellowships for Young Scientists (No. 08476, 08841, 09131) from the Japan Society for the Promotion of Science.

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unidirectional cloning cannot execute; (3) translational frame shift cannot be prevented. Therefore, this conventional phage library method is extremely limited for isolating functional peptide fragments. We therefore improved the technique and established a novel library system which enabled construction of a gene fragment library covering all regions and various lengths of the target protein.

## Materials and methods

**Reagents.** Reagents for transcription were from Promega (Madison, WI), and T7 RNA polymerase was from TAKARA BIO (Shiga, Japan). Smart Race cDNA Amplification Kit was from Clontech Laboratories (Mountain View, CA). Other reagents for reverse transcription were from Invitrogen (Tokyo, Japan). 5'-RACE PCR was performed by Advantage-HF2 PCR kit (Clontech Laboratories, Inc.). Accu Taq LA DNA polymerase (Sigma–Aldrich Japan, Tokyo, Japan) was used for nested PCR. DNA and RNA were purified with QIAquick PCR Purification Kit and RNeasy mini kit (QIAGEN, Valencia, CA), respectively. *Escherichia coli* TG1 was purchased from STRATAGENE (Tokyo, Japan). Anti-FLAG monoclonal antibody was from Sigma–Aldrich. Rabbit anti-human TNF- $\alpha$  polyclonal antibody was from CALBIOCHEM (Darmstadt, Germany). Mouse anti-M13 phage-horseradish peroxidase (HRP) conjugate and pCANTAB5E were from Amersham–Pharmacia Biotech (Uppsala, Sweden).

**Construction of gene fragment library.** Fig. 1 is a flow diagram that shows the construction of gene fragment library. TNF- $\alpha$  coding target region, domain 1, 2, and 3 were amplified and T7 promoter was added at the 5' end by PCR. PCR products were transcribed with T7 RNA polymerase at 37 °C for 2 h, yielding sense RNA of only the target region. The RNA samples were reverse transcribed with the Smart Race cDNA Amplification Kit using random nonamer primers that contained *MroI* site at the 5' end. In this reaction, after reverse transcriptase reaches the ends of the mRNA template, it adds several dC residues to synthesized cDNA. The adaptor oligonucleotide anneals to the tail of the cDNA and serves as an extended template for reverse transcriptase. Following reverse transcription, the first-strand cDNA was used directly in 5'-RACE PCR using synthetic primers, which anneal to the adaptor oligonucleotides and *MroI* site, respectively. The condition of 5'-RACE PCR was cycled 5 times at 94 °C for 30 s, 72 °C for 3 180 s, 5 times at 94 °C for 30 s, at 70 °C for 30 s, and at 72 °C for 180 s, and 20 times at 94 °C for 30 s, at 68 °C for 30 s, at 72 °C for 180 s. Consequently, dsDNA was obtained, which contains T7 promoter and *MroI* site, and begins randomly at the 5' end. After the cDNA was transcribed with T7 RNA polymerase, mRNA was reverse transcribed by Super Script III using random nonamer containing the *NcoI* site to yield single strand DNA that began randomly at the 3' end of the sense strand. The gene library was amplified by PCR and constructed with *NcoI* site at the 5' end and *MroI* site at the 3' end, and coded various range of TNF- $\alpha$ . PCR was cycled 35 times at 96 °C for 60 s, at 59 °C for 60 s, and at 68 °C for 60 s. The gene library was then digested with *NcoI* and *MroI* was ligated with the phagemid vector pY03-FLAG (*MroI*) to display TNF- $\alpha$  fragments on the phage surface as fusion proteins with g3p. pY03-FLAG (*MroI*) was constructed by inserting the *MroI* and FLAG sequence between E tag and g3p gene of pCANTAB 5E. The phage library was prepared as described [15].

**Selection of phages displaying FLAG tag.** Ten micrograms per milliliter of Anti-FLAG monoclonal antibody was coated onto Maxisorb immunotubes (NUNC). After blocking, TNF- $\alpha$  gene fragment phage library was then added into the anti-FLAG antibody-coated immunotubes and incubated for 1 h at 4 °C. Random 18 mer peptide phage library was constructed by almost the same method as previously described [16] and used as a control. After washing the tubes with PBS containing 0.05% Tween 20, the bound phages were eluted by incubating the tubes with 100 mM HCl. Eluted phages were immediately neutralized with 1 M Tris-HCl and then added to log phase *E. coli* TG1 cells. For panning of the anti-TNF- $\alpha$  antibody, the infected TG1 cells were grown to log phase,

rescued with M13KO7 helper phage, and purified by polyethylene glycol (PEG) 6000/NaCl precipitation.

**Selection of phages displaying peptide bound to anti-TNF- $\alpha$  antibody.** Ten micrograms per milliliter of rabbit anti-TNF- $\alpha$  polyclonal antibody was coated onto 96-well immune plate (NUNC). The procedures were followed as mentioned above (the section of "Selection of phages displaying FLAG tag"). After the third round of panning, eluted phages in each round of panning were used for phage ELISA to estimate the number.

**Phage ELISA.** For measurement of output/input ratio, the eluted phages were added to 96-well immune plate coated with each antibody and incubated at RT for 2 h. The plates were washed three times with PBS and 0.05% Tween PBS, and incubated with anti-M13 phage-horseradish peroxidase (HRP) conjugate for 1 h. After incubation, the plates were washed three times, TMB peroxidase substrate (Nacalai Tesque, Kyoto, Japan) was added, and the absorbance was read at 450 nm using a microplate reader. To assess affinities of individual phage clones, infected TG1 cells were isolated, grown at 37 °C in 96-well plate, and rescued with M13KO7 helper phage. Amplified phage particles were added to anti-TNF antibody coated plate and following the above procedure.

**Peptide ELISA.** Biotinylated epitope peptide was used for binding analysis. Mab1-peptide, Mab4-peptide, and 3D6-peptide were used for control peptides. Each peptide corresponds in position to a.a. 127–137, a.a. 34–45, and a.a. 22–33 of TNF- $\alpha$ , respectively. Peptides were added to 96-well immune plate coated with the anti-TNF antibody and detected by Streptavidin HRP conjugate. The following procedure was performed as described in the above section.

## Results

### Library construction

We used human tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) as a model protein to confirm the usefulness of our method. One area of improvement was that we could generate gene fragments with the *SfiI* site at the 5' end and *MroI* site at the 3' end, in the same orientation as the original gene, by using unidirectional reverse transcription and amplification of mRNA by T7 RNA polymerase [17]. Three TNF- $\alpha$  gene fragment libraries were constructed using TNF- $\alpha$  cDNA divided into 3 domains (domain 1, a.a. 1–85; domain 2, a.a. 40–123; and domain 3, a.a. 75–157) as a template. This library theoretically contains all TNF- $\alpha$  peptide sequences of less than 46 a.a. The TNF- $\alpha$  fragment library was produced by the procedure shown in Fig. 1. The number of the independent clones was  $2.0 \times 10^7$  CFU, containing from domain 1,  $7.1 \times 10^6$  CFU; domain 2,  $5.6 \times 10^6$  CFU; and, domain 3,  $7.3 \times 10^6$  CFU. The repertoire of the library sufficiently exceeded the theoretical variety for a fragment peptide library from 3 domains ( $8.2 \times 10^3$  CFU). The sequences of clones from this library were randomly analyzed (Fig. 2). Although gene fragments from domain 2 and 3 library tended to be located nearer the 5' end of each domain, gene fragments from domain 1 were originated from various lengths and parts of the TNF- $\alpha$  sequence. All of the gene fragments had the assumed orientation. Thus we have some success in the creation of a library composed of fragments of various lengths and parts of TNF- $\alpha$ . However, the library was initially contaminated by unexpected clones whose lengths of the insert gene that were not multiples of 3, resulting in



## A novel method for construction of gene fragment library to searching epitopes

Maki Kawamura<sup>a,b,1</sup>, Hiroko Shibata<sup>a,b,1</sup>, Haruhiko Kamada<sup>a,\*</sup>, Takayuki Okamoto<sup>b,1</sup>,  
Yohei Mukai<sup>a,b</sup>, Toshiki Sugita<sup>a,b</sup>, Yasuhiro Abe<sup>a,b</sup>, Sunao Imai<sup>a,b</sup>, Tetsuya Nomura<sup>a,b</sup>,  
Kazuya Nagano<sup>a,b</sup>, Tadanori Mayumi<sup>c</sup>, Shinsaku Nakagawa<sup>b</sup>, Yasuo Tsutsumi<sup>a,b</sup>,  
Shin-ich Tsunoda<sup>a</sup>

<sup>a</sup> Laboratory of Pharmaceutical Proteomics, National Institute of Biomedical Innovation, 7-6-8 Asagi, Saito, Ibaraki, Osaka 567-0085, Japan

<sup>b</sup> Department of Biopharmaceutics, Graduate School of Pharmaceutical Sciences, Osaka University, 1-6 Yamadaoka, Suita, Osaka 565-0871, Japan

<sup>c</sup> Department of Cell Therapeutics, Graduate School of Pharmaceutical Sciences, Kobe Gakuin University, 518 Arise, Ikawadani, Nishiku, Kobe 651-2180, Japan

Received 14 May 2006

Available online 24 May 2006

### Abstract

Identification of the epitope sequence or the functional domain of proteins is a laborious process but a necessary one for biochemical and immunological research. To achieve intensive and effective screening of these functional peptides in various molecules, we established a novel screening method using a phage library system that displays various lengths and parts of peptides derived from target protein. Applying this library for epitope mapping, epitope peptide was more efficiently identified from gene fragment library than conventional random peptide library. Our system may be a most powerful method for identifying functional peptides.  
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**Keywords:** Phage display system; Gene fragment library; Random peptide library; Epitope mapping; TNF- $\alpha$

The ability to identify active core or epitope peptides from bioactive proteins is of considerable interest to many researchers. Active-center peptide and binding domain peptide of protein have been expected for target peptide, biological tool, and more reasonable medicine, such as RGD peptide [1], Tat peptide [2], and Angiostatin/Endostatin [3,4]. On the other hand, applications of epitope peptide for anti-viral, cancer, and allergy immunotherapy have been extensively tried [5–8]. One of the most effective and frequently used methods for searching and identifying these functional peptides is phage display technology. Phage library which involves the expression of random peptides on its envelope as a fusion protein has been com-

monly used for this purpose [9–11]. But screening of target peptide from random peptide library is not effective, because theoretical diversity of random peptide library is enormous. For example, while the theoretical diversity of 10 mer random peptide library is 10 trillion ( $20^{10}$ ), the maximum diversity is actually 10 million (1/1000 of theoretical size). Thus construction of gene fragment library which expresses random fragments of cDNA on phage particles has been tried [12–14]. Unlike random peptide library, gene fragment library is usually constructed for each target protein and supposed to be quite effective at much lower library sizes. If the length of target protein is 200 amino acids, the theoretical diversity is 2 million. However, conventional method for gene fragment library has the following limitations: (1) the gene fragmentation process with DNase is incomplete, resulting in poor variety of the fragment library; (2) with the use of blunt-ended insert DNA,

\* Corresponding author. Fax: +81 72 641 9814.

E-mail address: [kamada@nibio.go.jp](mailto:kamada@nibio.go.jp) (H. Kamada).

<sup>1</sup> These authors contributed equally to the work.



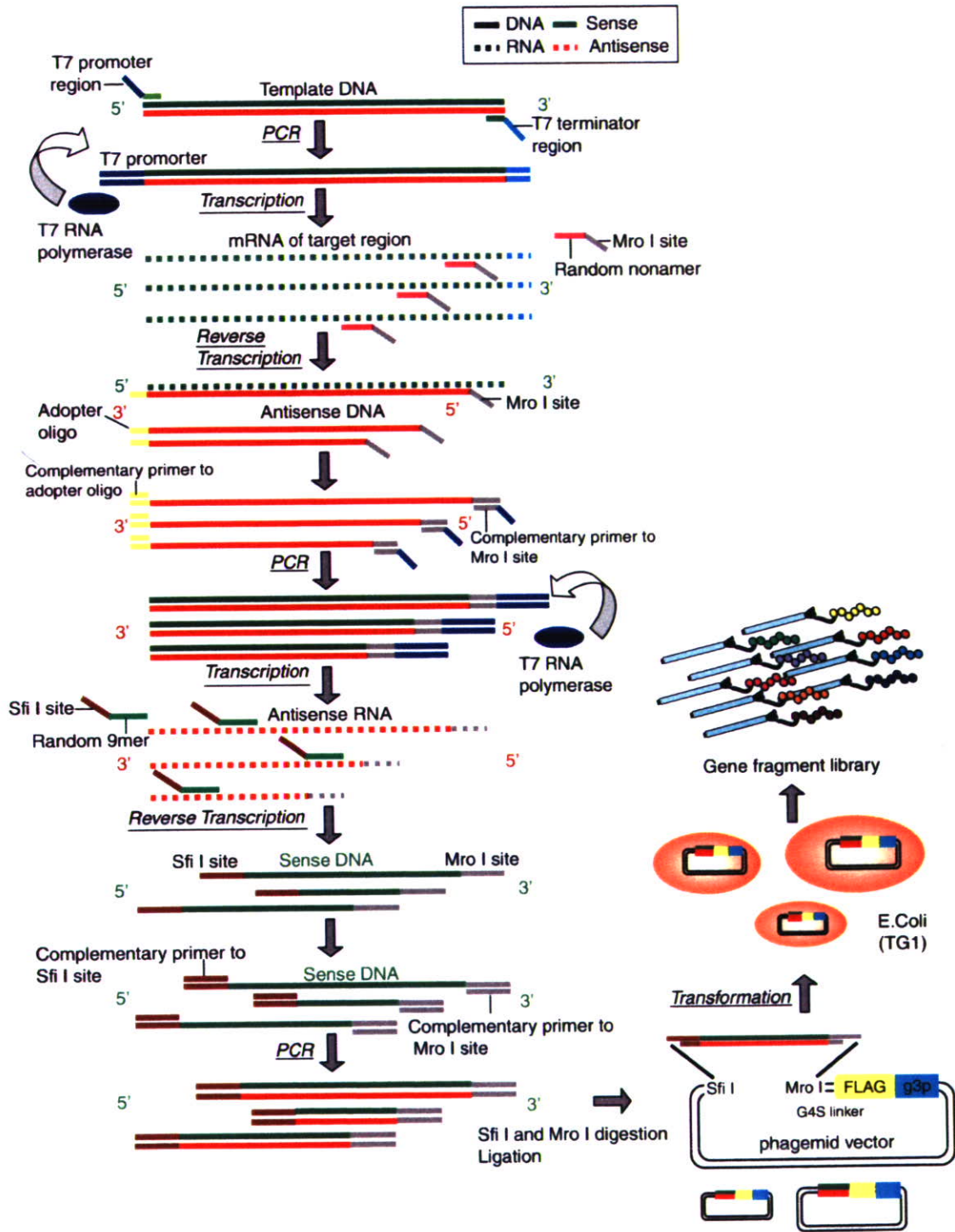


Fig. 1. Scheme for construction of a novel gene fragment library.

frame shifts. These clones cannot express target peptide as a fusion protein with envelope g3p and FLAG peptide, which develops downstream. Phage clones that did not express fragments of TNF- $\alpha$  and g3p as a fusion protein were removed with FLAG tag, which was inserted between the DNA coding fragment peptide by using anti-FLAG antibody. We were thus able to create a library that covered TNF- $\alpha$  fragments of various lengths and regions.

*Affinity selection with anti-TNF- $\alpha$  antibody*

To assess whether a specific peptide could be selected from this library, epitope mapping of a rabbit anti-TNF- $\alpha$  polyclonal antibody was performed. The number of phage clones expressing peptides that bind to anti-TNF- $\alpha$  antibody was estimated by measuring the output phages after each panning round using anti-TNF- $\alpha$  and

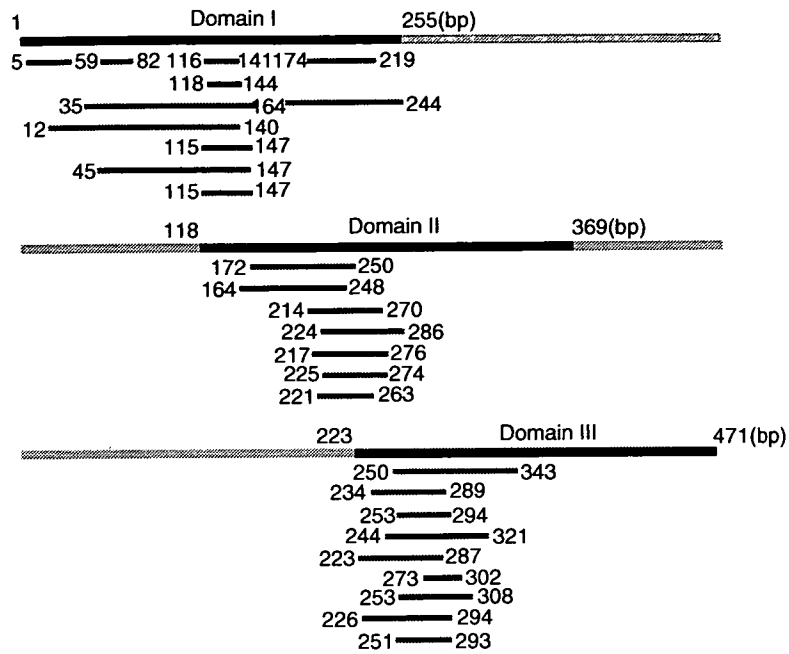


Fig. 2. Schematic representation of nucleotide sequences of peptides selected from the TNF- $\alpha$  gene fragment library.

anti-FLAG antibody (Fig. 3). Consequently, the output/input ratio of phage clones bound to anti-TNF- $\alpha$  antibody increased with each panning round, suggesting that the phage which expresses the peptide bound to the antibody was enriched. In contrast, when a random peptide library was used as a control, the number of control phage clones did not increase even after the second panning round. These results suggested that target peptides can be selected more effectively using our gene fragment library than with a conventional random peptide library.

Individual clones were isolated from output phages after each panning round and ELISA was performed to select

clones that bound to anti-TNF- $\alpha$  antibody. Many clones had strong affinity for the antibody after the second panning, whereas almost none of the clones did prior to panning (Fig. 4). In addition, similar results were observed using other clones of anti-TNF- $\alpha$  antibodies (data not shown). In order to identify the peptide containing the epitope, we analyzed the insert sequences of phage clones which bound strongly to the antibody. Unexpectedly, we obtained phage clones which displayed peptides that contained amino acid 15–33 sequence of TNF- $\alpha$  (Fig. 5). Thus, this TNF- $\alpha$  fragment peptide was chemically synthesized as an epitope peptide and assessed its affinity for anti-TNF- $\alpha$

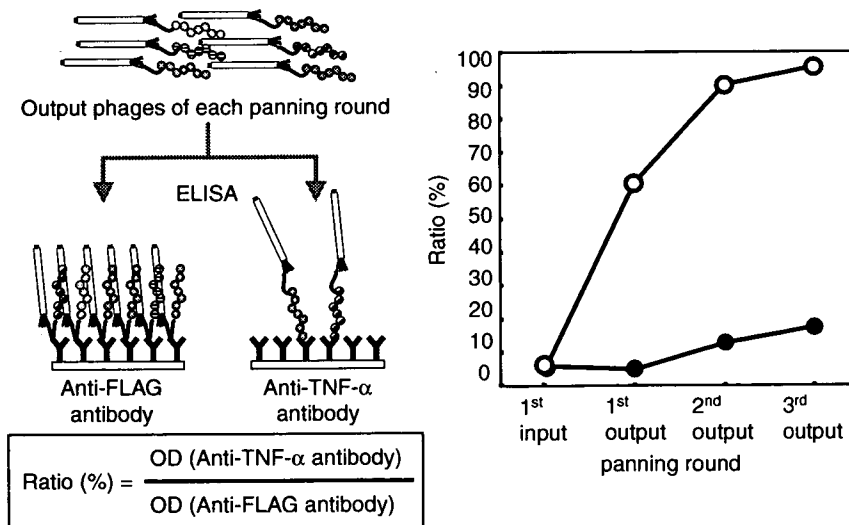


Fig. 3. Selection of phage clones expressing peptides binding to anti-TNF- $\alpha$  antibody. TNF- $\alpha$  gene fragment library (○) and the random 18 mer peptide library (●) were applied to immunotubes with immobilized anti-FLAG antibody or anti-TNF- $\alpha$  polyclonal antibody. Phage clones bound to each antibody were then selected as described in Materials and methods.

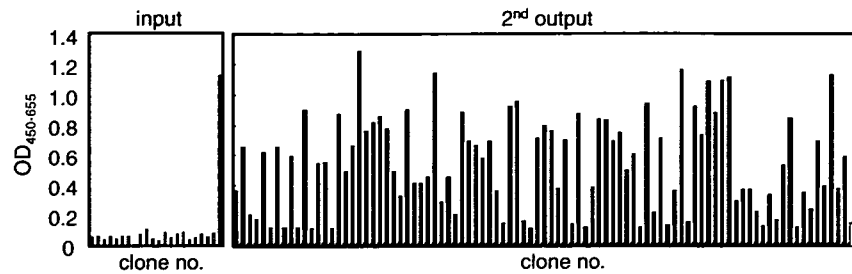


Fig. 4. Affinities of monoclonal phages for anti-TNF- $\alpha$  antibody. Ninety clones were selected randomly from input or second output phage clones and their affinities for the antibody were estimated by phage ELISA. Phage clone expressing TNF- $\alpha$  was used as a positive control (striped column), and IFN- $\alpha$  was used as a negative control (open column).

TNF- $\alpha$ :	1	10	20	30	40
	VRSSSRTPSDKPV	AHV	VANPQAEGQLQWL	NR	RANALLANG
Clone 16/19/26/72:	VRSSSRTPSDKPV	AHV	VANPQAEGQLQWL	NR	
Clone 20:			LV	VANPQAEGQLQWL	NR
Clone 21:			YV	VANPQAEGQLQWL	NR
Clone 22/47/51:			YV	VANPQAEGQLQWL	NR
Clone 30/36/70:	VRSSSRTPSDKPV	AHV	VANPQAEGQLQWL	NQ	
Clone 34:			HV	VANPQAEGQLQWL	NR
Clone 35:			NV	VANPQAEGQLQWL	NR
Clone 38:			YV	VANPQAEGQLQWL	NR
Clone 42:	VRSSSRTPSDKPV	AHV	VANPQAEGQLQWL	NR	
Clone 46:			VH	VANPQAEGQLQWL	NR
Clone 49:			LV	VANPQAEGQLQWL	NR
Clone 57:			TA	HVANPQAEGQLQWL	NR
Clone 61:			HF	VANPQAEGQLQWL	NR
Clone 66:			LV	VANPQAEGQLQWL	NR
Clone 68/82:			HV	VANPQAEGQLQWL	NR
Clone 71:			HV	VANPQAEGQLQWL	NH
Clone 86:	FRSSSRTPSDKPV	AHV	VANPQAEGQLQWL	NR	L
Clone 88:			FV	VANPQAEGQLQWL	NR

Fig. 5. Amino acid alignment of peptides presented by phage clones bound to anti-TNF- $\alpha$  antibody. The amino sequences of fragments which strongly bound to the anti-TNF- $\alpha$  antibody in Fig. 4 and their sequence alignment with TNF- $\alpha$  are shown.

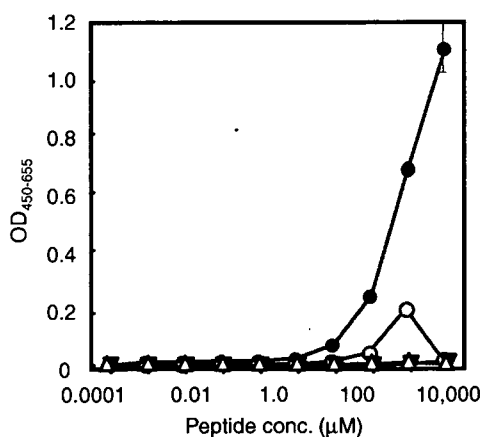


Fig. 6. Binding analysis of synthetic peptide to anti-TNF- $\alpha$  antibody by ELISA. Biotinylated epitope peptide (HVVANPQAEGQLQWLNRRA:●) and biotinylated control peptides (Mab1-peptide; EKGDRLSAEIN:▼), Mab4-peptide (NALLANGVELRD:△), and 3D6-peptide (AEGQLQWLNRRA:○) were applied to solid-phase anti-TNF- $\alpha$  antibody. Binding peptides were detected by avidin-HRP.

antibody by ELISA. Although control peptides did not bind to anti-TNF- $\alpha$  antibody, this synthetic peptide containing TNF- $\alpha$  fragment peptide dose-dependently bound

to the antibody. These results indicated that the displayed peptides on the phage surface behaved similarly to free peptides and amino acids 15–33 were actually epitope of the antibody (Fig. 6).

## Discussion

In this study, we improved the method for construction of gene fragment phage library and applied this library to epitope mapping. Although gene fragment libraries have been expected to be superior in availability [18,19], they are constructed from cDNA fragments generated by digestion with a non-specific endonuclease, resulting in blunt-end ligation (very low efficiency) and contamination of reversely oriented fragments [12–14]. Thus it is inefficient to identify functional peptides and epitope peptides from gene fragment library constructed by this conventional method. Therefore, focusing on unidirectionality of reverse transcription reaction, we created gene fragments using reverse transcription following transcription of mRNA by T7 RNA polymerase (Fig. 1). This process made it possible to insert gene fragments retaining proper orientation into phagemid vector and ligate each protruding ends.

Additionally, using FLAG tag selection which removed phage clones causing frame shifts and expressing nonspecific peptides, we successfully ameliorated the method of gene fragment library to be superior in quality and diversity (Fig. 2). However, gene fragments from domain 2 and 3 tended to be deflected to the 5' end of template. We think this problem will be resolved by appropriately changing a temperature of annealing in RT-PCR for each template. In consideration of this point, we are now constructing gene fragment library of some virus envelope proteins for searching functional peptides.

To assess the availability of our strategy, we tried epitope mapping of anti-TNF- $\alpha$  antibody from TNF- $\alpha$  fragment library. After selection of anti-TNF- $\alpha$  antibody, all amino acid sequences of peptides which strongly bound to the antibody contained amino acids 15–33 sequence of TNF- $\alpha$  (Fig. 5). There are very few reports to confirm that selected phage clones almost encode convergent sequence like this. We predicted there are two reasons: rabbit anti-human TNF- $\alpha$  antibody is easy to recognize the epitope containing an amino acid sequence that differs between human and rabbit TNF- $\alpha$ ; and TNF- $\alpha$  fragment library constructed in this study is of dramatically higher quality and diversity than conventional phage libraries. In fact, residues 20–32 of TNF- $\alpha$  have low homology among species and the peptides obtained after the panning contained residues 20, 22, 30, and 31, residues which differ between human and rabbit TNF- $\alpha$  [20]; thus, the peptide was recognized as an epitopic region. Additionally, compared to random peptide library, phage clones bound to the antibody were quite efficiently concentrated from our TNF- $\alpha$  fragment library (Fig. 3). Our system provided a useful strategy for comprehensively searching and identifying functional peptides from various proteins, such as cytokines, extracellular matrix, and coat proteins of viruses. This novel method is likely to be useful for the development of pharmaceuticals, targeting peptides, molecular biological tools, and vaccines.

#### Acknowledgments

This study was supported in part by Grants-in-Aid for Scientific Research (Nos. 17689008, 17016084, 17790135, 18015055, and 18659047) from the Ministry of Education, Culture, Sports, Science and Technology of Japan, in part by Health and Labor Sciences Research Grant from the Ministry of Health, Labor and Welfare of Japan, in part by Health Sciences Research Grants for Research on Health Sciences focusing on Drug Innovation from the Japan Health Sciences Foundation, in part by Takeda Science Foundation, in part by Industrial Technology Research Grant Program (No. 03A47016a) from New Energy and Industrial Technology Development Organization (NEDO), and in part by JSPS Research Fellowships for Young Scientists (Nos. 08476, 08841, and 09131) from the Japan Society for the Promotion of Science.

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Laboratory of Pharmaceutical Proteomics<sup>1</sup>, National Institute of Biomedical Innovation (NiBio), Ibaraki; Department of Biopharmaceutics<sup>2</sup>, Graduate School of Pharmaceutical Sciences, Osaka University, Osaka; Faculty of Pharmaceutical Sciences<sup>3</sup>, Kobe Gakuin University, Japan

### Optimization of anti-tumor necrosis factor- $\alpha$ single chain Fv displayed on phages for creation of functional antibodies

Y. MUKAI<sup>1,2</sup>, T. OKAMOTO<sup>2</sup>, M. KAWAMURA<sup>1,2</sup>, H. SHIBATA<sup>1,2</sup>, T. SUGITA<sup>1,2</sup>, S. IMAI<sup>1,2</sup>, Y. ABE<sup>1,2</sup>, K. NAGANO<sup>1,2</sup>, T. NOMURA<sup>1,2</sup>, H. KAMADA<sup>1</sup>, Y. TSUTSUMI<sup>1,2</sup>, T. MAYUMI<sup>3</sup>, S. NAKAGAWA<sup>2</sup>, S. TSUNODA<sup>1</sup>

Received April 4, 2006, accepted May 8, 2006

Shi-ichi Tsunoda, Ph.D., Laboratory of Pharmaceutical Proteomics, National Institute of Biomedical Innovation (NiBio), 7-6-8 Saito-Asagi, Ibaraki, Osaka 567-0085, Japan  
tsunoda@nibio.go.jp

Pharmazie 61: 889–890 (2006)

In this study, we converted the immunoglobulin-type anti-human tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) monoclonal antibody (Mab) to a scFv-type antibody in order to assess its basic properties. The immunoglobulin VH and VL genes were isolated from the hybridoma that produced an anti-TNF- $\alpha$  neutralizing Mab, and they were then linked together to create scFvs of the VL-VH or VH-VL-form. The binding affinity to TNF- $\alpha$  was retained in both scFvs. Interestingly, the VL-VH-type scFv effectively inhibited the TNF- $\alpha$ -mediated cytotoxicity, while this neutralization activity was dramatically decreased in the VH-VL-type scFv. These results suggest that the VL-VH-type scFv is a suitable template to create improved versions of the anti-TNF- $\alpha$  antibody using a phage display system, and they also show that the structural format must be taken into account in manufacturing scFvs.

Recently, targeting therapy and cytokine neutralization therapy using Mabs such as trastuzumab and infliximab have been applied to treat cancer and various inflammatory diseases. However, there are several issues that should be resolved in order to establish effective Mab-based therapies for various diseases. The first issue is that the molecular weight of the immunoglobulin (Ig)-form of a Mab (approximately 150 kDa) is too high to allow it to diffuse and reach target antigens that exist deep in the tissue (Batra et al. 2002). The second issue is that it is difficult to carry out large-scale preparations of antibodies using mammalian cell culture. A single chain Fv (scFv) is a genetically engineered antibody manufactured by conjugating the VH and VL domains of immunoglobulin with a flexible linker sequence. Due to their small molecular size (approximately 25 kDa), scFvs can diffuse into tissues more efficiently than immunoglobulin-form antibodies (Batra et al. 2002). In addition, the generation and large scale production of genetically modified scFvs such as hu-

manized antibodies and immunotoxins are relatively easy, so clinical applications of scFvs and their modified versions are highly anticipated (Chen et al. 2005; Onda et al. 2004). However, because the binding affinity and *in vivo* stability of scFvs are generally lower than those of immunoglobulin-form Mabs, frequent administrations and high dosages are necessary for clinical efficacy. Therefore, improvements in the binding affinity and stability of scFvs are important challenges for research.

Recently, attention has been focused on the phage display system as a method to construct protein libraries having huge diversity on the phage surface (Clackson et al. 1991; Kolonin et al. 2004; Smith 1985). Using this method, peptides or protein libraries can be intensively explored to identify high-affinity targets. In particular, phage display scFv antibody libraries allow researchers to isolate antibodies to various antigens *in vitro* to produce antibodies modified by genetic engineering (Ho et al. 2005).

We report here the conversion of an anti-human TNF- $\alpha$  neutralizing antibody to the scFv-form using a phage display system. The VL and VH genes were isolated from a hybridoma producing an anti-TNF- $\alpha$  neutralizing antibody. The scFv-form antibodies were prepared as both VL-VH and VH-VL types connected by a flexible linker peptide. It is necessary to determine whether or not the binding and neutralizing activities of scFvs are maintained similar to the parent immunoglobulin type. We compared the properties of the VL-VH and VH-VL types of anti-TNF- $\alpha$  scFvs and found unexpected differences in their binding properties.

The scFv-type antibodies have been utilized in many research fields to date. Two types of scFvs, VL-VH and VH-VL, may be prepared, but there is not any kind of standard formula to decide which type of construct is more suitable for a given application. Because we could not determine in advance which type would be more suitable for our application, we investigated the binding and neutralizing activities of both VL-VH and VH-VL type scFvs.

In the M13 phage display system, scFv molecules are expressed as fusions with the N-terminal region of the gene III protein (g3p), which is a phage minor coat protein. The C-terminus of the VH or VL is connected with g3p in the VL-VH-type or VH-VL-type scFv, respectively. It is generally assumed that connecting of scFvs to g3p will not affect the scFv function, because X-ray crystallography has revealed that the C terminal region of the V domain is localized far from the antigen-binding site (Kaufmann et al. 2002). In fact, we were able to confirm that both types of phage-displayed anti-TNF- $\alpha$  scFvs bound to TNF- $\alpha$  in a dose dependent manner, with only small differences in their binding properties (Fig. 1).

Effective anti-TNF- $\alpha$  neutralization therapy requires that the antibody has both high binding affinity to TNF- $\alpha$  and TNF- $\alpha$  neutralizing activity. We constructed anti-TNF- $\alpha$  scFvs from the hybridoma producing the neutralizing antibody, and examined whether the scFvs maintained their TNF- $\alpha$  neutralizing activity. We prepared the soluble forms of the C-terminal FLAG-tagged anti-TNF- $\alpha$  scFvs from the supernatants of *E. coli* HB2151 and estimated their inhibitory effect on TNF- $\alpha$ -mediated cytotoxicity towards L-M cells (Fig. 2). Interestingly, neutralizing activity against TNF- $\alpha$ -mediated cytotoxicity was observed only for VL-VH-type scFvs, while VH-VL-type scFvs showed no inhibitory effect. The concentrations of anti-TNF- $\alpha$  scFvs in the culture supernatants were shown to be comparable by ELISA using TNF- $\alpha$  for the solid phase

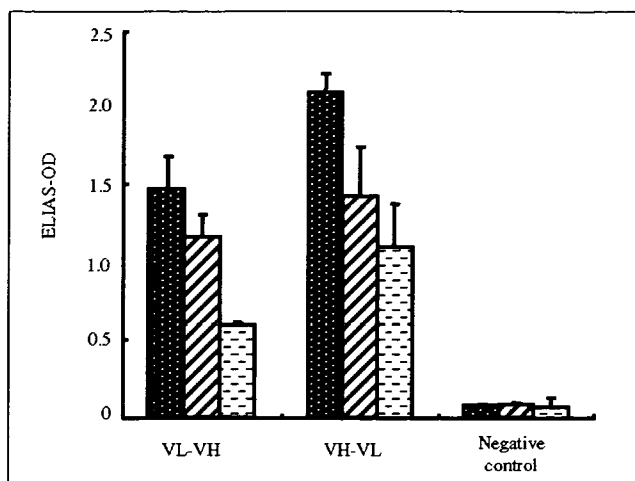


Fig. 1: Binding activities of anti-TNF- $\alpha$  scFvs. Phages displaying anti-TNF- $\alpha$  scFvs were added to immobilized TNF- $\alpha$ , and their binding activities were detected by an anti-M13 HRP conjugate. ■,  $3 \times 10^{10}$  CFU; ▨,  $6 \times 10^9$  CFU; ▩,  $1.2 \times 10^9$  CFU. The negative control phage displayed an anti-CD25 scFv. This experiment was performed three times and each value is given as the Mean  $\pm$  SD

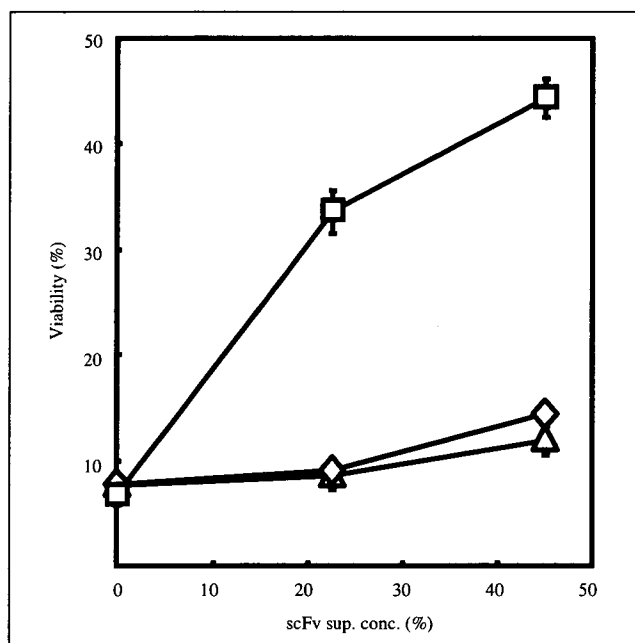


Fig. 2: Neutralizing activities of anti-TNF scFv constructs. Neutralization activities were measured using an inhibition assay against the L-M cell line in the presence of TNF- $\alpha$  and actinomycin D. L-M cells were seeded in a 96-well tissue culture plate at  $5 \times 10^4$  cells/well. Anti-TNF- $\alpha$  scFvs were produced in *E. coli* HB21 51 supernatant following the protocol of Recombinant Phage Antibody System (GE Healthcare Bio-Sciences Corp.). They were incubated with 0.05ng of TNF- $\alpha$  for 30min at 37 °C and then added to the L-M cells. After 24 h incubation, cellular viability was measured by a methylene blue assay. □; VL-VH scFv, ◇; VH-VL scFv, △; anti-CD25 scFv. This experiment was performed three times and each value is given as the Mean  $\pm$  SD

and an anti-FLAG antibody for detection (data not shown). Therefore, the loss of neutralizing activity in the VH-VL-type scFvs suggested that some conformational changes occurred upon conversion of the immunoglobulin-form antibody to the VH-VL-type scFv. Antibodies bind to antigens at complementarity determining regions (CDRs) formed by both the VL and VH domains. Recently, it was reported that some antibody clones were able to recognize their antigens through either the VL or

VH alone (Tanaka et al. 2003). Therefore, it is possible that in this case, although both scFv types were able to bind TNF- $\alpha$ , the VH-VL type scFv may have undergone a slight conformational change so that it no longer blocked binding of the scFv-TNF- $\alpha$  complex to the TNF- $\alpha$  receptor, and therefore it lost its neutralizing activity. These results indicate that even if the antibodies used are Mabs from hybridomas whose binding properties have been confirmed, it is necessary to determine the properties again after converting from the immunoglobulin-type to the scFv type.

We concluded that the VL-VH type TNF- $\alpha$  scFv was a suitable antibody for functional modification using the phage display system because both the binding affinity and neutralizing activity of the original immunoglobulin were maintained. Though scFvs are generally thought to have lower binding affinities, a current report (Ho et al. 2005) indicates that affinities may be improved using the phage display system. We have previously established that this methodology that can be used to create functional mutant proteins such as cytokines with modified functions (Yamamoto et al. 2003). Furthermore, the *in vivo* stabilities of cytokines were improved using this technique (Shibata et al. 2004). We expect that various antibody therapies will be developed by applying this type of methodology to production of scFv antibodies in the future.

Acknowledgement: This study was supported by the following grants: a Grant-in-Aid for Scientific Research (No. 17689008, 17016084, 17790135, 16790534) from the Ministry of Education, Culture, Sports, Science and Technology of Japan; a Grant-in-Aid for Scientific Research from the Japan Society for the Promotion of Science; Health and Labour Sciences Research Grants from the Ministry of Health, Labour; a Research Grant from the New Energy and Industrial Technology Development Organization (NEDO); a Research Grant from the Frontier Research Center, Osaka University (FRC); and JSPS Research Fellowships for Young Scientists (No. 08476) from the Japan Society for the Promotion of Science.

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*Highlighted paper selected by Editor-in-chief*

## Non-Methylated CpG Motif Packaged into Fusogenic Liposomes Enhance Antigen-Specific Immunity in Mice

Tomoaki YOSHIKAWA,<sup>a,b</sup> Susumu IMAZU,<sup>a</sup> Jian-Qing GAO,<sup>a,c</sup> Kazuyuki HAYASHI,<sup>a</sup> Yasuhiro TSUDA,<sup>a</sup> Naoki OKADA,<sup>a,d</sup> Yasuo TSUTSUMI,<sup>e</sup> Mitsuru AKASHI,<sup>b,f</sup> Tadanori MAYUMI,<sup>g</sup> and Shinsaku NAKAGAWA<sup>\*a,b</sup>

<sup>a</sup> Department of Biopharmaceutics, Graduate School of Pharmaceutical Sciences, Osaka University; 1-6 Yamadaoka, Suita, Osaka 565-0871, Japan: <sup>b</sup> "Creation of bio-devices and bio-systems with chemical and biological molecules for medical use", CREST, Japan Science and Technology Agency (JST); Tokyo 102-8666, Japan: <sup>c</sup> Department of Pharmaceutics, College of Pharmaceutical Sciences, Zhejiang University; 353 Yan-an Road, Hangzhou 310031, P.R. China: <sup>d</sup> Department of Biopharmaceutics, Kyoto Pharmaceutical University; 5 Nakauchi-cho, Misasagi, Yamashina-ku, Kyoto 607-8414, Japan: <sup>e</sup> National Institute of Biomedical Innovation; 7-6-8 Saito-Asagi, Ibaraki, Osaka 567-0085, Japan: <sup>f</sup> Department of Molecular Chemistry, Graduate School of Engineering, Osaka University; 2-1 Yamadaoka, Suita 565-0871, Japan: and <sup>g</sup> Kobegakuin University; 518 Arise, Ikawadani-cho, Nishi-ku, Kobe 651-2180, Japan.

Received August 24, 2005; accepted October 18, 2005; published online 25, 2005

**DNA rich in non-methylated CG motifs (CpGs) enhances induction of immune responses against co-administered antigen encoding genes. CpGs are therefore among the promising adjuvants known to date. However, naked plasmid DNA, even which contains CpG motifs, are taken up by antigen presenting cells *via* the endocytosis pathway. Endocytosed DNAs are thus degraded and their gene expression levels are inefficient. In this context, an effective plasmid delivery carrier is required for DNA vaccine development. We show in the present study that packaging plasmids containing CpGs into fusogenic liposomes (FL) derived from conventional liposomes and Sendai virus-derived active accessory proteins is an attractive method for enhancing the efficacy of a DNA vaccine. These CpG-enhanced plasmids (possessing 16 CpG repeats) that were packaged into FL, enhanced ovalbumin (OVA)-specific T cell proliferation and cytotoxic T cell activity after immunization. In fact, vaccination with CpG enhanced plasmid-loaded FL induced effective prophylactic effects compared with 13 repeats CpG containing plasmid in a tumor challenge experiment. Thus, the development of a CpG-enhanced DNA-FL genetic immunization system represents a promising tool for developing candidate vaccines against some of the more difficult infectious, parasitic, and oncologic disease targets.**

**Key words** DNA vaccine; CpG motif; fusogenic liposome

DNA vaccines have been widely used in laboratory animals and human primates over the last decade to induce humoral and cellular immune responses.<sup>1–5</sup> This approach to immunization has generated sustained interest because of its speed, simplicity, and ability to induce immune responses against naïve protein antigens expressed from plasmid DNA. There has been substantial work on DNA immunization in many species, including humans and large animals.<sup>6–8</sup>

In striking contrast, vaccination with antigen expressing genes usually fails to induce significant immune responses. Various methods are under evaluation to augment the potency of DNA vaccines, such as combination with gene delivery devices to increase the transfection of cells or to target the DNA or with the adjuvants which enhance inflammatory cytokine expression.<sup>9–14</sup> The extent of DNA degradation by extracellular deoxyribonucleases is unknown, but degradation could be considerable. It follows that approaches to protect DNA from the extracellular biological milieu and thereby introduce it into cells more efficiently, should contribute to optimal DNA vaccine design. In this context, not only efficient gene delivery devices but also immunostimulatory adjuvants are essential for augmentation of DNA vaccination.

Interestingly, the sequence composition of plasmid DNA itself also has been shown to increase the potency of the DNA vaccine.<sup>12</sup> This is because the bacterial DNA sequences result in the plasmid which possesses different methylation pattern from mammalian DNA. Bacterial oligonucleotides having the sequence purine–purine–cytosine–

guanosine–pyrimidine–pyrimidine, in which the CpG sequence is unmethylated, can activate innate immune system, resulting in an augmentation of the antigen-specific immunity.<sup>15</sup> Recently, it was established that the innate immune system of vertebrates recognizes non methylated CpG motifs flanked by specific bases in bacterial DNA as a danger signal through toll-like receptor 9 (TLR9) expressed on the antigen presenting cells.<sup>16–18</sup> The cytokine profile induced by CpG motifs *in vitro* is consistent with their ability to induce a Th1-biased immune response when used as an adjuvant in vaccine formulations.<sup>19</sup> Therefore, CpG motifs may have potential as adjuvants in protein- and DNA-based vaccine formulations.<sup>20</sup>

CpG DNA is internalized *via* a clathrin dependent endocytic pathway and rapidly moves into a lysosomal compartment.<sup>29</sup> Since it has been known that TLR9 is localized in lysosomal compartment, CpG containing plasmids should be delivered to endosome–lysosome pathway even if plasmids were degraded in endosomes. Recently, several reports are suggested that TLR9 is expressed in ER prior to stimulation and translocate to a CpG containing lysosomal compartment for ligand binding and signal transduction.<sup>29</sup> In this context, with a view of plasmid based DNA vaccine development, CpG DNA targeting to translocating TLR9 is more useful to avoid endosomal DNA degradation.

Previously, we developed a highly unique antigen delivery carrier, fusogenic liposomes (FL), which consist of conventional liposomes and ultra-violet inactivated Sendai virus-derived accessory proteins.<sup>21–24</sup> This carrier could introduce

\* To whom correspondence should be addressed. e-mail: nakagawa@phs.osaka-u.ac.jp

its contents into various types of mammalian cells *via* membrane fusion but was not subject to endocytosis. FL introduced encapsulating genes into mammalian cells *in vitro* and *in vivo*. Furthermore, FL mediated DNA immunization induce efficient antigen specific immunity.<sup>25)</sup> However, improvement of the efficacy of the FL-mediated gene delivery system is important for the development of a DNA vaccine.

In this study, we, therefore, created a novel genetic immunization system combined with a CpG-containing plasmid backbone and FL. The principal aim of this study was to induce potent antigen-specific immunity to the antigens encoded in the plasmid encapsulated in FL and combined with the CpG motif and a model antigen, chicken egg ovalbumin (OVA), thereby formulating a DNA vaccine.

## MATERIALS AND METHODS

**Animals and Cells** Male C57BL/6 (H-2<sup>b</sup>) mice, 7 weeks old, were purchased from SLC Inc. (Hamamatsu, Shizuoka, Japan). EL4 (Tohoku University, Sendai, Japan) is a C57BL/6 T lymphoma and EG7 is an ovalbumin (OVA)-transfected clone of EL4. IC21 cell is a C57BL/6 macrophage clone, H-2Kb. CD8OVA1.3 (provided by Dr. Clifford V. Harding, Case Western Reserve University, Cleveland, OH, U.S.A.) is a T-T hybrid cell, which is specific for OVA257-264-Kb. EL4 and IC21 cells were grown in RPMI1640 medium supplemented with 10% FCS. The CTLL-2 cells were maintained in RPMI1640 medium supplemented with 10% FCS and 1 U/ml human recombinant IL-2. The EG7 cells were maintained in RPMI1640 medium supplemented with 10% FCS and 400 µg/ml G418. CD8OVA1.3 was grown in a DMEM medium supplemented with 10% FCS. All culture media were purchased from Invitrogen (Carlsbad, CA, U.S.A.) and supplemented with non-essential amino acids, antibiotics, and 5×10<sup>5</sup> µM 2-mercaptoethanol (2-ME).

**Plasmids** The EcoRI fragment of pAc-neo-OVA was cloned into the EcoRI site of pBluescriptII KS(-), resulting in pBluescriptII KS(-)/OVA. To construct an OVA gene expression vector, the BamHI/Sall fragment of pBluescript II KS(-)/OVA was ligated into BamHI/Sall cut pCMV-script (Stratagene), resulting in pCMV-script/OVA (Fig. 1), which is driven by cytomegalovirus promoter and contains a SV40 poly(A) signal. This pCMV-script/OVA containing 13 repeats of the CpG motif, was named pOVACpG13. Furthermore, the plasmid containing 16 CpG motif repeats, pOVACpG16, was constructed as follows. SspI and AlwNI fragments of the pGL3-control vector (Promega) were ligated into pCMV-script digested with AlwNI and blunt ended, resulting in the CpG-enhanced vector, pCMV-script/CpG(+). Then, the BamHI/Sall fragment of pBluescript II KS(-)/OVA was introduced into the BamHI/Sall digested pCMV-script/CpG(+). This plasmid contained 16 CpG motif repeats. Methylated plasmids were prepared by SssI treatment for 4 h at 37 °C. These methylated plasmids were used for experiments after purification by phenol/chloroform precipitation.

Preparation of fusogenic liposome plasmid vector containing unilamellar liposomes was prepared by a modified reverse-phase evaporation method using 46 µmol of lipids (egg phosphatidylcholine : L- $\alpha$ -dimyristyl phosphatidic acid : cholesterol=5 : 1 : 4, molar ratio). After three cycles of freezing

and thawing, the liposomes were sized by extrusion through a 0.8 µm polycarbonate membrane (Nucleopore; Coaster, Cambridge, MA, U.S.A.) and pelleted by ultracentrifugation to remove un-encapsulated plasmids. Then, FLs encapsulating pCMV-script/OVA were prepared by fusing the liposomes with UV (2000 J/cm<sup>2</sup>)-inactivated Sendai virus as described.<sup>21-24)</sup> The amount of plasmid DNA encapsulated within the liposomes was determined by means of fluorometric assay using 3,5-diaminobenzoic acid.

**Proliferative Responses of Antigen-Specific T Cells from Immunized Mice** Fourteen days after final immunization, lymphocytes were obtained from spleen. B cells were then depleted by using goat anti-mouse IgG (H&L)-coupled micro beads and a MACS column (Miltenyi Biotec, Sunnyvale, CA, U.S.A.). Purified T cells were cultured at a density of 2×10<sup>5</sup> cells/ml with 1 mg/ml OVA for 3 d. To measure cell proliferation, 1 µCi of [<sup>3</sup>H] thymidine was added to individual culture wells 8 h before termination, and the uptake of [<sup>3</sup>H] thymidine by dividing cells was determined by scintillation counting.

**IL-12 Expression Analysis by ELISA** IL-12 levels in culture supernatants of Ag stimulated splenocytes were determined by a cytokine-specific ELISA. Briefly, splenocytes from immunized mice were cultured with 1 mg/ml OVA (or various indicated concentrations). Culture supernatants were harvested 48 h after incubation, and the levels of IL-12 were determined by an IL-12-specific ELISA kit (Biosource). The concentration of cytokines was calculated by standard curves obtained according to the instructions provided by the manufacturer.

**In Vitro CTL Induction and Cytotoxic Assay** C57BL/6 mice (7 weeks old, male, H-2<sup>b</sup>) were immunized twice at 2 week intervals with 50 µg of naked or 5 µg of Fusogenic liposome encapsulated pOVACpG13 or pOVACpG16, respectively. Spleen cells from immunized or non-immunized mice were recovered 14 d after the last immunization and were stimulated *in vitro* with mitomycin C treated EG7 cells for 5 d. The cytotoxic activity of these effector cells was tested on <sup>51</sup>Cr-labeled target cells, OVA-expressed EG7 cells, and EL4 as a control, at different effector/target ratios. A cytotoxicity assay was conducted in triplicate. The maximum release was determined by adding 1% Triton X-100 to the target cells. A spontaneous release was obtained in the case of target cells incubated without effector cells. EL4 cells were used as control for specificity. The released radioactivity was measured in the supernatant. The specific lysis was determined as follows:

$$\begin{aligned} & \text{percentage of specific lysis} \\ & = 100 \times \frac{[(\text{release of CTLs}) - (\text{spontaneous release})]}{[(\text{maximal release}) - (\text{spontaneous release})]} \end{aligned}$$

**Tumor Challenge Experiments** C57BL/6 mice (7 weeks old, male, H-2b) were immunized s.c. at the tail base twice at 2 week intervals with 50 µg of naked or 5 µg of fusogenic liposome encapsulated pOVACpG13 or pOVACpG16. Fourteen days after the last immunization (day 0), 1×10<sup>6</sup> OVA expressing EG7 cells were intradermally injected. Six to 13 mice were used for each experimental group. Tumor survival in tumor bearing mice was monitored weekly. Mice that developed tumors larger than 4000 mm<sup>3</sup> were considered to have developed lethal tumors.



RESULTS

**In Vitro Enhancement of IL-12 Expression by CpG-Enhanced Vectors Combined with FL** Initially we evaluated the immunostimulatory effect of CpG-enhanced vector encapsulated in FL by IL-12 production (Fig. 1). ELISA analysis showed that IL-expression of FL/pOVACpG16-stimulated splenocytes tended to enhance IL-12 production compared with non-CpG enhanced vector (pOVACpG13) containing FL. In addition, methylated plasmid vector encapsulated in FL or empty FL did not enhance IL-12 expression. These results clearly showed that CpG-enhanced vectors retained their immunostimulatory effect even when encapsulated in FL, and IL-12 expression increased depending on the number of CpG motifs.

**Vaccination with CpG-Enhanced Vector Combined with FL Significantly Enhances Antigen Specific T Cell Mediated Immune Responses in Vaccinated Mice** Examination of antigen-specific proliferation of lymphocytes in immunized mice (Fig. 2) indicated that FL/pOVACpG16 vaccination dramatically enhanced proliferation. On the other hand, FL/pOVACpG13- or naked CpG-enhanced or non-enhanced vector immunization did not induce antigen-specific proliferation. These results indicated that the combination of CpG immuno stimulatory sequences and FL significantly enhanced antigen specific T cell proliferation under a very

low dose (5 µg). Next, the immunogenicity of FL/pOVACpG16 was tested by CTL assay (Fig. 3). The best response was obtained for pOVACpG16 combined with FL, which exhibited *ex vivo* killing of ca. 40% at an E:T ratio of 50. The corresponding killing obtained by pOVACpG13 combined with FL was in the range of 30%.

**Protection against the Growth of OVA-Expressing Tumors in Mice Vaccinated with CpG-Enhanced Vectors by FL** To determine whether the observed enhancement in antigen-specific T cell mediated immunity translated to a significant anti-tumor immunity and prolonged survival, we performed an *in vivo* tumor protection experiment using an OVA expressing tumor-model, EG7. As shown in Fig. 4, 70% of mice receiving the pOVACpG16 vaccine combined with FL survived 90 d after the EG7 challenge. In contrast, the survival rate of unvaccinated mice and mice receiving pOVACpG13 or pOVACpG16 alone or a combination vaccine of pOVACpG13 and FL was less than 40%. A two-fold improvement was observed in the response of mice treated with a prophylactic vaccine treatment consisting of pOVACpG16 combined with FL. These results indicated that the combination of CpG enhanced vectors and FL was a more effective genetic immunization system for prophylactic tumor vaccine.

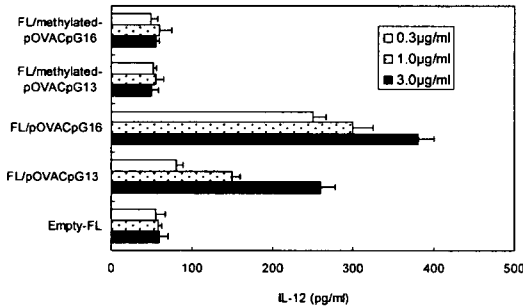


Fig. 1. CpG Enhanced Vector (pOVACpG16) Containing FL Hold Immunostimulatory Effects

Splenocytes from naive mice were cultured for 2 d in the presence of FL-pOVACpG13, FL-pOVACpG16, FL-methylated pOVACpG13 and FL-methylated pOVACpG16 at indicated concentrations. Then IL-12 levels in the culture supernatants were determined by ELISA.

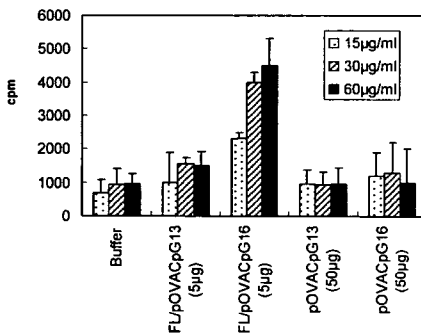


Fig. 2. OVA Specific T Cell Proliferation Derived from Mice Splenocytes Immunized with CpG Enhanced FL-DNA Vaccine

Spleen cells from C57/Bl6 mice immunized with balanced salt solution (Buffer), 5 µg FL-pOVACpG13, 5 µg FL-pOVACpG16, 50 µg pOVACpG13 and 50 µg pOVACpG16 were assayed for proliferation assay. Then the splenocytes were incubated with 15 (□), 30 (▤), 60 (■) µg/ml OVA in culture medium for 3 d. OVA specific proliferative responses were determined by [<sup>3</sup>H]-thymidine uptake.

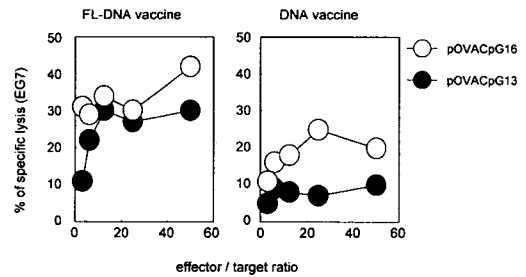


Fig. 3. OVA Specific CTL Response after *in Vivo* Priming with CpG Enhanced FL-DNA Vaccine

Spleen cells from C57/Bl6 mice that had been immunized with 50 µg FL-pOVACpG13, 50 µg FL-pOVACpG16, 5 µg pOVACpG13, 5 µg pOVACpG16 were assayed for cytotoxic activity, after *in vitro* stimulation with EG7 tumor cells for 5 d. The figure represents the amount of specific lysis against the 51Cr labeled EG7 cells.

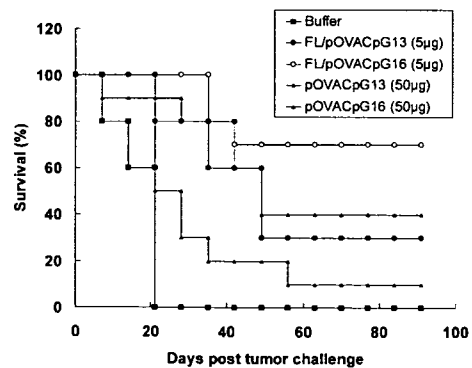


Fig. 4. Survival Analysis of Mice Immunized with DNA-Fusogenic Liposome Vaccine in a Prophylactic Treatment Model

C57/Bl6 mice were immunized with Buffer, 50 µg pOVACpG13 or 50 µg pOVACpG16 as control vaccine, 5 µg FL-pOVACpG13 or 5 µg FL-pOVACpG16 twice with an interval of two weeks between treatments. Four weeks after last immunization, immunized mice were challenged i.d. in the abdomen with 1 × 10<sup>5</sup> cells. Comparison of survival curves of two groups were significantly different (p < 0.01).

## DISCUSSION

In the present study, we demonstrated that a combination of CpG-enhanced vectors and FL strengthened IL-12 expression by splenocytes from naïve mice, and this approach enhanced the potency of DNA vaccines using OVA as a model antigen, leading to effective OVA specific T cell proliferation, CTL responses, and prophylactic anti-tumor effects. Our previous study showed that immunization of mice with conventional OVA expression vector, pOVACpG13 using FL, induced antigen-specific antibodies and strong CTL responses.<sup>25)</sup> In the present study, we utilized CpG immunostimulatory sequences to enhance FL-mediated DNA vaccination therapy. The results demonstrated that CpG introduction was effective for *in vitro* inflammatory cytokine production by APCs and this leads to dramatically enhanced proliferation of antigen-specific T cell proliferation, because IL-12 production and OVA specific T cell proliferation was significantly weaker in conventional CpG containing plasmid vector (pOVACpG13) or even in combination with FL in immunized mice.

Generally, the CpG motif, even in a plasmid backbone, stimulates APCs *via* TLR9 receptor signaling.<sup>16,17)</sup> Although these activation mechanisms are available to the endocytosis pathway,<sup>26)</sup> previous studies have not reported any investigations of immunostimulatory ability of directly introduced CpG motifs *via* membrane fusion. Recent report suggested that TLR is expressed in ER prior to stimulation, and translocate to lysosomal compartment through cytosolic compartment by inflammatory stimuli.<sup>29)</sup> So we hypothesized that CpG enhanced plasmid in cytosol could bind to TLR9, which is translocating from ER to lysosome through cytosol. Another hypothesis is that DNAs adsorbed on FL or released from FL may interact with TLR9. Overall, although our data indicate that the direct introduction of CpG-enhanced vectors *via* membrane fusion retained their stimulatory effects, detailed studies are needed to clarify activation mechanisms. Our data indicated that antigen specific T cell proliferation and CTL responses were more effective than the combination of FL and conventional pOVACpG13 in vaccinated mice. When challenged with OVA-expressing EG7 tumors, mice immunized with the CpG-enhanced vector combined with FL exhibited prolonged survival compared with conventional vector immunized groups, even when combined with FL.

Although the anti-tumor effects presented in Fig. 4 are somewhat striking, they hold little relevance to immunological therapy against tumors. We should have tested their vaccines in a therapeutic mode (tumor first and vaccine after) and not solely in a prophylactic fashion. Moreover, these experiments do not address the issue of potential immunological tolerance to real tumor antigens, which in many cases are also expressed to some extent by normal cells, since OVA is a totally foreign antigen. Studies conducted using a real tumor antigen in murine models, such as TRP2 for B16 melanoma,<sup>27)</sup> P1A for P815 mastocytoma,<sup>28)</sup> or anything equivalent, could potentially provide additional information that better simulates actual conditions.

In summary, our findings indicate that the introduction of three CpG immunostimulatory sequences and FL is able to enhance inflammatory cytokines and elicit more effective antigen-specific T cell activity and prophylactic anti-tumor

effects *in vivo* than a previously developed conventional plasmid backbone (pOVACpG13 and FL combination vaccine). This approach may be promising for future vaccine development to control cancer, which expresses self antigens, or infectious diseases, and may be particularly useful in patients with reduced immune responses, particularly human immunodeficiency virus (HIV) or human T cell leukemia virus (HTLV)-infected patients. Studies are in progress to clarify the efficacy of FL mediated genetic immunization systems on tumor-associated antigens and virus-related antigen expression vectors.

**Acknowledgements** We are grateful to Mr. M. Mori and Mr. K. Sakaguchi at NOF Corporation for supplying us with lipid mixture. This study was supported in part by Core Research for the Evolutional Science and Technology Program, Japan Science and Technology Corp., and the Ministry of Education, Culture, Sports, Science, and Technology, Japan.

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## Vaccine Efficacy of Fusogenic Liposomes Containing Tumor Cell-Lysate against Murine B16BL6 Melanoma

Tomoaki YOSHIKAWA,<sup>a,b</sup> Naoki OKADA,<sup>\*,a,c</sup> Masaki TSUJINO,<sup>c</sup> Jian-Qing GAO,<sup>a,d</sup> Akira HAYASHI,<sup>a</sup> Yasuo TSUTSUMI,<sup>e</sup> Tadanori MAYUMI,<sup>f</sup> Akira YAMAMOTO,<sup>c</sup> and Shinsaku NAKAGAWA<sup>\*,a,b</sup>

<sup>a</sup>Department of Biopharmaceutics, Graduate School of Pharmaceutical Sciences, Osaka University; 1-6 Yamadaoka, Suita, Osaka 565-0871, Japan: <sup>b</sup>“Creation of bio-devices and bio-systems with chemical and biological molecules for medical use”, CREST, Japan Science and Technology Agency (JST); Tokyo 102-8666, Japan: <sup>c</sup>Department of Biopharmaceutics, Kyoto Pharmaceutical University; 5 Nakauchi-cho, Misasagi, Yamashina-ku, Kyoto 607-8414, Japan: <sup>d</sup>Department of Pharmaceutics, College of Pharmaceutical Sciences, Zhejiang University; 353 Yan-an Road, Hangzhou 310031, P. R. China: <sup>e</sup>National Institute of Biomedical Innovation; 7-6-8 Saito-Asagi, Ibaraki, Osaka 567-0085, Japan: and <sup>f</sup>Kobegakuin University; 518 Arise, Ikawadani-cho, Nishi-ku, Kobe 651-2180, Japan.

Received August 23, 2005; accepted October 15, 2005; published online October 25, 2005

Recent advances in tumor immunology have facilitated the development of cancer immunotherapy targeting tumor-associated antigens (TAAs). However, because TAAs were identified in only a few types of human cancer, novel vaccine strategies that utilize tumor cell-lysate (TCL), including unidentified TAAs as an antigen source, are needed. Herein, we describe the utility of fusogenic liposomes (FLs) as TCL-delivery carriers for both *ex vivo* dendritic cell-based vaccination and *in vivo* direct immunization in the murine B16BL6 melanoma model. As a result, both *in vivo* direct immunization and *ex vivo* immunization induced anti-B16 melanoma prophylactic effects. *Ex vivo* dendritic cell (DC)-mediated vaccination strategy exert more potent anti-tumor effect than direct immunization. Our results suggest that this flexible system is a promising approach for the development of versatile cancer immunotherapy regimes.

**Key words** vaccine carrier; tumor cell lysate; liposome; melanoma

Early studies in mice demonstrated that tumor-specific cytotoxic T lymphocytes (CTLs) could control tumor growth and metastasis. The identification of T cell-recognizing tumor-associated antigens (TAAs) in human cancer, particularly in melanoma (*i.e.* MAGE, MART-1, gp100, tyrosinase, and TRP),<sup>1,2)</sup> facilitated the development of cancer immunotherapy based on TAA-vaccination with adjuvants to elicit tumor-specific CTLs.<sup>3)</sup> However, this immunological approach limits the application of this system only to certain cancer patients because TAAs are not yet identified for most of human cancers. Additionally, the expression levels of known TAAs that may be applicable for immunotherapy vary between tumor cells isolated from patients with cancer.<sup>4)</sup> Therefore, it is very difficult to predict which TAA would generate an effective anti-tumor immune response that would make it appropriate for use as a vaccine component for a specific patient.

To overcome this limitation, several researchers have attempted to develop a vaccine strategy using tumor cell-lysate (TCL) as a possible source of TAA.<sup>5)</sup> The use of TCL prepared from surgically removed tumors is a promising approach to induce a broader T cell-immune response not only to defined TAAs but also to unknown TAAs. In TCL-based cancer immunotherapy, the development of both an antigen-delivery system and an adjuvant that can efficiently prime and propagate CTLs specific for TAAs included in the TCL is required for achieving sufficient therapeutic effect. CTLs are activated by antigen-presenting cells (APCs), including dendritic cells (DCs), through the major histocompatibility complex (MHC) class I-restricted antigen presentation pathway. Peptides presented on MHC class I molecules are derived in most situations exclusively from endogenous antigens synthesized by cells. Antigens in the extracellular fluids fail to gain access to the MHC class I-pathway in most cells,

although class I-presentation of endocytosed antigens also occurs in APCs under certain circumstances.<sup>6,7)</sup> Therefore, if we can introduce the TAA-containing TCL directly into the cytoplasm, the TAAs would be definitively delivered to the MHC class I-antigen presentation pathway, much like cytoplasmic proteins.

Fusion active liposomes (fusogenic liposomes; FLs), which are composed of conventional liposomes (CLs) displaying Sendai virus-accessory proteins, retain membrane-fusion activity derived from Sendai-virus and efficiently introduce its contents into cytoplasm.<sup>8)</sup> We have previously reported that direct antigen loading into cytoplasm by FLs is an efficient approach for enhancing antigen-specific CTL induction in mice.<sup>9–11)</sup> In the present study, in order to evaluate the usefulness of FLs as antigen-delivery carriers for TCL-based cancer immunotherapy, we investigated anti-tumor efficacy of *ex vivo* vaccination using TCL-containing FLs (TCL/FLs)-pulsed DCs and *in vivo* direct TCL/FLs-immunization in the murine B16BL6 melanoma model.

### MATERIALS AND METHODS

**Cells and Mice** B16BL6 cells, a C57BL/6-origin melanoma cell line, were grown in Eagle's minimum essential medium supplemented with 10% fetal bovine serum (FBS), and antibiotics. DC2.4 cells, a C57BL/6-derived DC line,<sup>12)</sup> were generously provided by Dr. K. L. Rock (Department of Pathology, University of Massachusetts Medical School, Worcester, MA, U.S.A.), and were cultured in RPMI1640 medium supplemented with 10% FBS, 2 mM L-glutamine, 100  $\mu$ M non-essential amino acid, 50  $\mu$ M 2-mercaptoethanol, and antibiotics. CD8-OVA 1.3 cells, a T-T hybridoma against OVA + H-2Kb,<sup>13)</sup> were kindly provided by Dr. C. V. Harding (Department of Pathology, Case Western

\* To whom correspondence should be addressed. e-mail: nakagawa@phs.osaka-u.ac.jp

Reserve University, Cleveland, OH, U.S.A.), and were maintained in Dulbecco's modified Eagle's medium supplemented with 10% FBS, 50  $\mu\text{M}$  2-mercaptoethanol, and antibiotics. CTLL-2 cells, which proliferate specifically in response to interleukin-2 (IL-2),<sup>14)</sup> were maintained in RPMI1640 medium supplemented with 10% FBS, 50  $\mu\text{M}$  2-mercaptoethanol and 10 U/ml murine recombinant IL-2 (Pepro Tech EC Ltd., London, England). Female C57BL/6 mice (H-2b), aged 7–8 weeks, were purchased from SLC Inc. (Hamamatsu, Japan). All of the experimental procedures were in accordance with the Osaka University guidelines for the welfare of animals in experimental neoplasia.

**Preparation of B16BL6 TCL** Cultured B16BL6 cells were recovered and washed three times with phosphate-buffered saline (PBS, pH 7.4). Cells were resuspended in a balanced salt solution (10 mM Tris-HCl, 150 mM NaCl, pH 7.6) and lysed by four cycles of freezing and thawing, followed by centrifugation at 13000 *g* for 60 min. The soluble fraction was passed through a 0.22- $\mu\text{m}$  membrane filter and the protein concentration was adjusted to 4 mg/ml upon determination with a DC-protein assay kit (Bio-Rad, Tokyo, Japan).

**Preparation of CLs and FLs** TCL- or ovalbumin (OVA)-containing CLs (TCL/CLs or OVA/CLs) were prepared as follows. Cholesterol, egg phosphatidylcholine, and L- $\alpha$ -dimyristoyl phosphatidic acid were mixed at a molar ratio of 5:4:1 in chloroform. The lipid mixture was evaporated to obtain a thin-lipid film, and then liposome suspensions were prepared by dispersing the thin-lipid film in 400  $\mu\text{l}$  of TCL or OVA solution. After three cycles of freezing and thawing, the liposomes were sized by two rounds extrusion through 0.8- $\mu\text{m}$  and 0.4- $\mu\text{m}$  polycarbonate membranes and were ultracentrifuged to remove un-encapsulated TCL or OVA. TCL/FLs or OVA/FLs were prepared by fusing the TCL/CLs or OVA/CLs with UV (2000 J/cm<sup>2</sup>)-inactivated Sendai virus as described previously.<sup>9)</sup> The amount of antigen proteins encapsulated in liposomes and FLs was measured by a DC-protein assay kit and calculated by following formula:

$$\begin{aligned} &\text{encapsulated antigen protein level} \\ &= (\text{total protein level of antigen-containing CLs or FLs}) \\ &\quad - (\text{protein level of empty CLs or FLs}) \end{aligned}$$

**In Vitro Antigen Presentation Assay** One hundred microliters of OVA solution, OVA/CLs suspension, or OVA/FLs suspension were added to DC2.4 cells cultured on a 96-well plate at a density of 10<sup>5</sup> cells/well, and the cells were incubated for 5 h at 37°C. After three washes with PBS, DC2.4 cells were co-cultured for 20 h with 10<sup>5</sup> CD8-OVA 1.3 cells. The response of stimulated CD8-OVA 1.3 cells was assessed by the murine IL-2 ELISA kit (Biosource International, Camarillo, CA, U.S.A.), which determines the amount of IL-2 released into 100  $\mu\text{l}$  of culture supernatants. In another experiment, DC2.4 cells were pre-incubated for 1 h at 37°C with 10  $\mu\text{M}$  of lactacystin or MG132 (Peptide Institute, Minoh, Japan), and then the cells were incubated for 15 min at 37°C with OVA/FLs in the presence of inhibitors. After fixation with 0.05% glutaraldehyde and washing three times with PBS, CD8-OVA 1.3 cells were added at 10<sup>5</sup> cells/100  $\mu\text{l}$ /well. After 24 h-cultivation, the response of CD8-OVA 1.3 cells was determined by the level of IL-2 secretion in a

CTLL-2 proliferation assay as described previously.<sup>15)</sup> Radioactivity derived from [<sup>3</sup>H]-thymidine uptake by CTLL-2 cells was measured on a liquid scintillation counter, and data were expressed in  $\Delta\text{cpm}$  as follows:

$$\begin{aligned} \Delta\text{cpm} &= (\text{cpm in the presence of OVA/FLs}) \\ &\quad - (\text{cpm in the absence of OVA/FLs}) \end{aligned}$$

**Ex Vivo Vaccination Experiment Using TCL-Introduced DC2.4 Cells** DC2.4 cells were pulsed for 5 h at 37°C with TCL in various formulations (TCL/FLs, TCL/CLs, the mixture of TCL and empty FLs (TCL+eFLs), or TCL alone) at 500  $\mu\text{g}$  TCL/10<sup>7</sup> cells/ml, and then the cells were treated for 30 min at 37°C with mitomycin C (50  $\mu\text{g}/\text{ml}$ ) in order to inhibit their proliferation. After three washes with PBS, the cells were intradermally injected into the right flank of C57BL/6 mice at 10<sup>6</sup> cells/50  $\mu\text{l}$ . Likewise, control mice were injected with the unpulsed or eFLs-pulsed DC2.4 cells or PBS. At 1 week after the vaccination, 2 $\times$ 10<sup>5</sup> B16BL6 cells were inoculated into the left flank. The size of tumors was assessed using microcalipers and was expressed as tumor volume calculated by the following formula:

$$\text{tumor volume (mm}^3\text{)} = [\text{major axis (mm)}] \times [\text{minor axis (mm)}]^2 \times 0.5236$$

Mice containing tumors >20 mm were euthanized.

**In Vivo Direct Vaccination Experiment** C57BL/6 mice were immunized once or three times at a 1-week interval by intradermal injection of each 100  $\mu\text{g}$ -TCL formulation (TCL/FLs, TCL/CLs, TCL+eFLs, the emulsion of TCL and complete Freund's adjuvant (TCL+CFA), or TCL alone) into the right flank. Likewise, eFLs or PBS was injected into mice as a control. At 1 week after the final vaccination, 2 $\times$ 10<sup>5</sup> B16BL6 cells were inoculated into the mouse left flank, and then tumor volumes were monitored as described above.

## RESULTS

### MHC Class I-Restricted OVA-Presentation by OVA/FLs-Pulsed DC2.4 Cells

We first compared the levels of MHC class I-restricted antigen presentation between DC2.4 cells treated with various OVA formulations (Fig. 1A). OVA peptide presentation *via* MHC class I on DC2.4 cells was significantly increased by OVA/FLs-treatment in an OVA dose-dependent manner, whereas OVA/CLs-pulsed DC2.4 cells showed slight enhancement of OVA-presentation as compared with the cells pulsed with the soluble form of OVA. This result suggested that OVA delivered directly into the cytoplasm by FLs imitated endogenous antigens in DC2.4 cells. Thus, in order to investigate the antigen presentation pathway in DC2.4 cells treated with OVA/FLs, we used lactacystin and MG132, which inhibit proteasome activity essential for antigen processing and presentation in the classical MHC class I-pathway (Fig. 1B). Both inhibitors could completely suppress MHC class I-restricted presentation under conditions that induced high OVA-presentation levels in OVA/FLs-pulsed DC2.4 cells in the absence of inhibitors. In addition, FLs could sufficiently deliver their encapsulating antigen proteins into the MHC class I pathway while in contact with DC2.4 cells for only 15 min. Collectively, antigen introduction by FLs could greatly enhance antigen presentation *via* MHC class I on APCs, as a result of prompt fusion to the plasma



membrane and direct delivery of their encapsulating antigens into cytoplasm.

**Vaccine Efficacy of DC2.4 Cells Pulsed with TCL/FLs**  
 DC2.4 cells were pulsed with various B16BL6-TCL formulations at 500  $\mu\text{g-TCL}/10^7$  cells/ml, and then  $10^6$  cells were intradermally injected into C57BL/6 mice. One week after vaccination, the mice were challenged with B16BL6 cells (Fig. 2). Mice immunized with eFLs-pulsed or unpulsed DC2.4 cells showed a slight delay in B16BL6 tumor growth as compared with the PBS-injected group. We theorized that this phenomenon was caused by nonspecific immunostimulatory effects that depended on administration of DC2.4 cells. Tumor growth in mice immunized with TCL/CLs- or TCL-pulsed DC2.4 cells was comparable to that in control groups injected with eFLs-pulsed or unpulsed DC2.4 cells. In contrast, vaccination with TCL/FLs-pulsed DC2.4 cells markedly delayed tumor growth and suppressed tumor appearance until day 17 post-challenge, when all groups harbored large ( $>1000 \text{ mm}^3$ ) tumors. On the other hand, TCL+eFLs-pulsed DC2.4 cells did not inhibit B16BL6 tumor growth, indicating that the superior vaccine efficacy of TCL/FLs-pulsed DC2.4 cells was the result of efficient TCL-delivery into cytoplasm by FLs. These results clearly revealed that FLs were potential antigen-carriers for the devel-

opment of DC-based cancer immunotherapy using TCL as antigen source.

**Vaccine Efficacy of TCL/FLs by *in Vivo* Direct Immunization**  
 In order to evaluate the vaccine efficacy of TCL/FLs in *in vivo* direct immunization, we administered various TCL formulations into mice by one or three intradermal injections. In the single immunization mode, mice injected with any TCL formulation, including TCL/FLs, did not exhibit obvious inhibitory effects against the growth of B16BL6 tumors inoculated at 1 week after immunization (Fig. 3A). On the other hand, triple TCL/FLs-immunization at 1-week intervals dramatically delayed B16BL6 tumor appearance as compared to eFLs- or PBS-administration using the same mode, whereas tumor growth in mice immunized with TCL alone was only slightly suppressed relative to that in the control groups (Fig. 3B). Although mice immunized three times with TCL/CLs or TCL+eFLs exhibited moderate inhibition against B16BL6 tumor growth, as was seen in the TCL+CFA-immunized group, these effects were inferior to those observed in response to TCL/FLs, which prevented the growth of visible tumors in all mice during the 17d post-challenge. Taken together, these results suggest that FLs are useful antigen-carriers and adjuvants for an *in vivo* direct TCL-vaccination strategy.

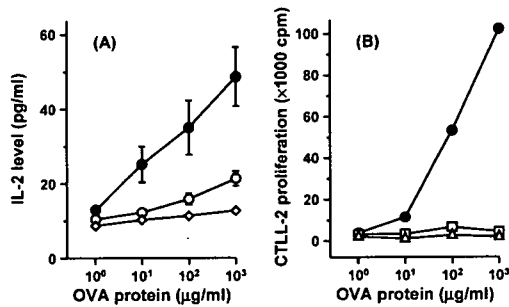


Fig. 1. Antigen Delivery into MHC Class I-Restricted Presentation Pathway on DC2.4 Cells by FLs

(A) DC2.4 cells were incubated for 5 h at 37°C with OVA/FLs (●), OVA/CLs (○), or OVA solution (◇) at the indicated OVA concentrations. After washing, DC2.4 cells were co-cultured for 20 h with CD8-OVA 1.3 cells. OVA presentation *via* MHC class I molecules on DC2.4 cells was determined by ELISA for IL-2 released from stimulated CD8-OVA 1.3 cells. (B) DC2.4 cells were pre-incubated for 1 h at 37°C with 10  $\mu\text{M}$  lactacystin (□) or 10  $\mu\text{M}$  MG132 (△) or without any additives (●). The cells were incubated for 15 min at 37°C with OVA/FLs at the indicated OVA concentrations in the presence of inhibitors. After washing and glutaraldehyde fixation, CD8-OVA 1.3 cells were added and cultured for 24 h. The IL-2 released from CD8-OVA 1.3 cells was measured by CTL-2 proliferation assay. Results are expressed in  $\Delta\text{cpm}$  as described in Materials and Methods. All data are presented as mean  $\pm$  S.D. of three independent cultures in the presence of inhibitors.

DISCUSSION

Recent advances in tumor immunology have identified various TAAs presented on MHC molecules, which has facil-

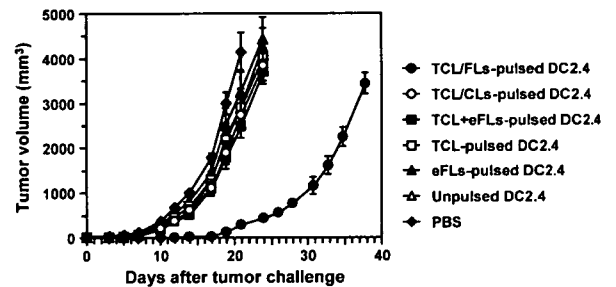


Fig. 2. TCL/FLs-Pulsed DC2.4 Cells-Mediated Prophylactic Effect against B16BL6 Tumor Challenge

C57BL/6 mice were immunized by intradermal injection of DC2.4 cells pulsed with various TCL formulations into the right flank at  $10^6$  cells, and then  $2 \times 10^5$  B16BL6 cells were inoculated into the mouse left flank 1 week post-vaccination. Control mice were immunized with eFLs-pulsed DC2.4 cells, unpulsed DC2.4 cells, or PBS. The size of tumors was assessed using microcalipers three times per week. Each point represents the mean  $\pm$  S.E. from 6–12 mice.

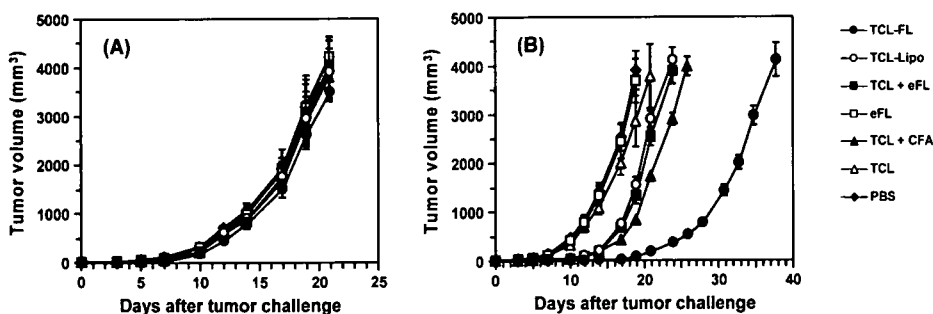


Fig. 3. Inhibitory Effect against B16BL6 Tumor Growth by *in Vivo* Direct CL/FLs-Immunization

Various TCL (100 mg) formulations were intradermally injected once (A) or three times at 1-week intervals (B) into the right flank of C57BL/6 mice. Likewise, control mice were injected with eFLs or PBS. At 1 week after the final immunization, the mice received a  $2 \times 10^5$  B16BL6 cells-challenge in the left flank and tumor volumes were monitored. Each point represents the mean  $\pm$  S.E. from 6–12 mice.

itated the development of vaccine strategies for cancer.<sup>16)</sup> However, immunotherapeutic application using TAAs as a vaccine component is limited to patients with a particular cancer because TAAs have been identified for only a few human cancers. TCL, which probably includes both known and unknown TAAs, is a very attractive antigen source for the development of versatile cancer immunotherapy. In fact, several studies demonstrated that TCL-pulsed DCs could offer the potential advantage of augmenting a broader T cell-immune response against both defined and undefined TAAs.<sup>17–19)</sup> To improve the CTL response against TCL, we need excellent TCL-delivery carriers and adjuvants that can increase the immunogenicity of weak and rare TAAs. Thus, we evaluated the potential of FLs as a TCL vaccine vehicle in both *ex vivo* DC-based immunotherapy and *in vivo* direct vaccination.

We previously reported that FLs, composed of CLs fused with inactivated Sendai virus, could directly introduce their contents into cytoplasm by fusion with the cell membrane.<sup>9,10)</sup> The *in vitro* antigen presentation assay showed that FLs delivered their encapsulating antigens into the classical MHC class I-restricted pathway for antigen processing and presentation in DC2.4 cells more efficiently than CLs (Fig. 1). Other approaches for developing an effective vaccine strategy have also been tested. Shibagaki *et al.* reported that an HIV-1-derived TAT protein transduction domain (PTD) conjugation technique could directly introduce antigens into cytosol of DCs.<sup>20)</sup> Immunization of mice with DCs containing PTD-antigen fusion proteins induced anti-tumor effects through potent antigen-specific CTL activity.<sup>21)</sup> However, the application of this technique to DC-based immunotherapy is limited to the treatment of cancer for which TAAs have been identified. In contrast, our antigen delivery system using FLs does not rely on a specific antigen source. Therefore, TCL/FLs-pulsed DC2.4 cells could demonstrate effective vaccine efficacy against B16BL6 tumor challenge (Fig. 2). This antigen delivery system using FLs against DCs would greatly contribute to the development of DC-based immunotherapy applicable to a wide variety of cancer types.

Furthermore, a triple *in vivo* direct immunization with TCL/FLs was more effective against B16BL6 tumor growth than the same immunization mode with TCL+CFA. This result suggested that FLs might efficiently deliver their encapsulating antigen into APCs at the administration site, although it is necessary to examine the biodistribution of antigens and the ratios of APCs containing the antigens after administration of antigen-encapsulating FLs. Additionally, we found that Sendai-virus accessory proteins displayed on FLs possessed mitogenic activity<sup>22)</sup> and that FLs could enhance the expression of MHC class I/II molecules and co-stimulatory molecules (CD40 and CD80) and the secretion of IL-6, IL-12 and TNF- $\alpha$  in DCs (unpublished data). Therefore, FLs are not only efficient antigen-delivery carriers but also potential adjuvants in an *in vivo* direct immunization protocol.

With a view of potential therapeutic use for TCL/FL vaccines, then we tested whether this vaccine would facilitate eradication against established B16 melanoma. However, TCL/FL-immunized mice did not show inhibitory effect against growth of tumors (data not shown). From these results, we hypothesized that the concentration of TAA proteins involved in TCL/FL is too small to induce anti-

melanoma therapeutic effect. As a potential solution to this problem, tumor cell derived total RNA is useful to induce multiple TAA specific immunity. It has been shown that vaccination with tumor derived RNA transfected DC can be remarkably effective in stimulating CTL and tumor immunity in *in vitro* and *in vivo* models.<sup>23,24)</sup> Since multiple TAAs encoded by tumor derived RNA can be amplified from few tumor cells by PCR, FLs might be applicable to transfect it to dendritic cells and *in vivo* direct immunization strategy.

In conclusion, we demonstrated the usefulness of FLs as TCL-delivery carriers for *ex vivo* DC-based immunotherapy and *in vivo* direct immunization in the murine B16BL6 melanoma model. Because FLs can encapsulate various antigen candidates, such as crude tumor lysate or tumor extract, purified whole or partially processed TAA, and TAA-coding DNA or RNA, this simple and flexible system is a promising approach for the development of versatile cancer immunotherapy.

**Acknowledgements** We are grateful to Dr. Kenneth L. Rock (Department of Pathology, University of Massachusetts Medical School, Worcester, MA, U.S.A.) for providing DC2.4 cells and Dr. Clifford V. Harding (Department of Pathology, Case Western Reserve University, Cleveland, OH, U.S.A.) for providing CD8-OVA 1.3 cells. The present study was supported in part by the Science Research Promotion Fund of the Japan Private School Promotion Foundation, by Core Research for the Evolutional Science and Technology Program of Japan Science and Technology Corporation, and by Grants-in-Aid for Exploratory Research from the Ministry of Education, Culture, Sports, Science and Technology of Japan.

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