

phenomenon that the ability to form G418-resistant colonies depends on persistent expression of neomycin phosphotransferase from replicating HCV RNAs. siHCV decreased the formed G418-resistant colonies by 99.6%.

The 5' untranslated region (UTR) and the upstream portion of the core region are the most conserved parts in the HCV genome, with an nt identity of 99.6% (211,212). Therefore, the 5' UTR appears to be an ideal target for siRNA. Yokota et al. (213) investigated the effect of siRNA targeting the 5' UTR on HCV IRES-mediated translation, HCV replication, and protein expression. siRNA decreased luciferase activity by 81% at a concentration of only 2.5 nM in Huh7 cells transiently transfected with an HCV IRS reporter gene vector. This vector expresses mRNA consisting of the HCV 5' UTR and the upstream part of the core region, connected in-frame with the firefly luciferase (FL) gene as reporter. siRNA decreased luciferase activity, the non-structural viral proteins NS3, -4, and -5, and intracellular replication of HCV genome RNA in Huh7 cells stably expressing an HCV Feo replicon that expressed mRNA consisting of FL and NS3, -4, -5A, and -5B.

The high degree of sequence diversity between different HCV genotypes and the notoriously error-prone replication of HCV are the major problems in the development of siRNA-based gene therapies.

Kronke et al. (214) developed two alternative strategies to overcome these obstacles. In one approach, they used endoribonuclease-prepared siRNAs (esiRNAs) to simultaneously target multiple sites of the HCV genome and investigated the effect of esiRNAs on the replication of subgenomic and genomic HCV replicon in Huh cells transfected with HCV replicon encoding FL as a reporter. siRNAs directed against various regions of the HCV coding sequence as well as the 5' UTR efficiently inhibited reporter gene expression to  $\approx 1\%$ . siRNAs also reduced the number of subgenomic replicon RNAs to  $\approx 1\%$ . In an alternative approach, pseudotyped retroviruses encoding shRNA were generated. A retroviral vector expressing shRNA targeting domain IV or nearby coding sequences inhibited reporter gene expression in Huh cells.

Takigawa et al. (215) utilized two methods to express shRNAs: one utilizing an expression plasmid and the other utilizing a recombinant lentivirus vector. The efficacy of a number of shRNAs directed against different target regions of the HCV genome in Huh cells transfected with HCV subgenomic replicon was determined. In both systems, shRNAs against NS3-1 (nucleotides 2052–2060) and NS5B (nucleotides 7326–7344) most efficiently sup-

pressed expression of NS3 protein and reduced the amount of HCV replicon RNA.

The proteasome  $\alpha$ -subunit PSMA7 modulates HCV-IRES activity in cell culture (216). The Hu antigen R (HuR) is a member of the ELAV-like protein family (217), which binds to HCV 3' UTR RNA sequences (218).

Korf et al. (219) investigated the effect of a panel of DNA-based retroviral vectors expressing siRNAs against the highly conserved HCV-5' and -3' UTRs or the putative HCV cofactors PSMA7 and HuR on HCV IRES-mediated translation and subgenomic replication. siRNAs directed against highly conserved HCV-5' and -3' UTRs reduced HCV-IRES activity from the dual-gene luciferase reporter in Huh7 cells. These cells had been transfected with the dual-gene HCV-IRES reporter construct driven by the SV40 promoter to direct cap-dependent translation of renilla luciferase and cap-independent HCV IRES-mediated translation of FL. siRNAs inhibited HCV replicon RNA and HCV-NS5B protein expression in Huh cells harboring single-gene, subgenomic HCV replicons composed of regions such as the HCV 5' UTR, nucleotides 342–389 of the core-encoding sequence, the HCV non-structural proteins NS3 to -5B, and the HCV 3' UTR. siRNAs directed against PSMA7 and HuR reduced HCV-IRES activity from the dual-gene HCV-IRES reporter construct. siRNAs inhibited HCV replicon RNA and HCV-NS5B protein expression in Huh cells harboring single-gene, subgenomic HCV replicons. Selected combinations of HCV-directed siRNAs and siRNAs targeting PSMA7 and HuR or a combination of two siRNAs against these cofactors caused an additive inhibitory effect to that of subgenomic HCV replicons in Huh cells harboring single-gene, subgenomic HCV replicons.

HBV X protein induces HIV-1 replication and transcription through NF- $\kappa$ B binding sites in the HIV-1 long terminal repeat promoter (220). Specifically, the NS5a HCV protein activates NF- $\kappa$ B, in turn activating the promoter function of HIV-LTR (221,222).

Strayer et al. (223) exploited these findings to illustrate the potential applicability of such conditional expression approaches to drive the transcription of siRNA targeting HCV mRNA. siRNA was delivered with Tag-deleted SV40-derived vectors containing HIV-1 LTR. siRNA reduced the HCV-NS5A mRNA level by >98% in HepG2 cells stably expressing the HCV full genome. Specificity was confirmed by the finding that the siRNA delivered with the SV40-derived vector containing mutated HIV-1 LTR had no effect on the mRNA level.

Hamazaki et al. (224) synthesized shRNAs targeting the HCV IRES core gene transcript using T7 RNA polymerase and investigated the effect of shRNAs on the replication of HCV RNA in an HCV replicon stably expressing the HCV subgenome. shRNAs inhibited HCV replication by >90%. shRNAs did not induce luciferase activity in Huh7 cells or an HCV replicon transfected with a luciferase reporter gene-expressing vector with IFN-regulatory factor-3 binding regions. shRNAs did not induce IFN- $\beta$  and did not activate PKR or 2',5'-OAS in Huh7 cells and HCV replicon. These findings indicate that the shRNAs inhibit replication of HCV RNA without inducing an IFN response.

### Inhibition of HBV gene expression and replication by RNAi

HBV is an enveloped virus with a partially ds relaxed-circular 3.2-kb DNA genome encoding polymerase, X protein, core antigen (C), and surface (PreS and S) (Fig. 2). With an estimated 400 million chronic carriers worldwide, HBV infection remains one of the most prevalent chronic viral infections in humans (225). Chronic infections have serious consequences, including cirrhosis and HCC (226), and are responsible for >1 million deaths annually (225). Current treatments for chronic HBV are suboptimal. Nucleoside or nt analogs, such as lamivudine and adefovir dipivoxil, suppress HBV replication effectively (227,228), but suffer from the selection of drug-resistant mutations and a high rate of relapse when treatment is discontinued (229). Although IFN- $\alpha$  and pegylated IFN- $\alpha$  have both immunomodulatory and antiviral effects, they achieve a sustained response in only a small percentage of patients and are usually associated with a wide array of side-effects (230,231). Thus, alternative therapeutic approaches for chronic HBV are needed. A number of groups have attempted to verify the usefulness of RNAi as a therapeutic tool in several model systems, as described below. The findings indicate that siRNA and shRNA against HBV efficiently interfere with HBV gene expression and replication.

McCaffrey et al. (232) investigated the effect of U6 shRNAs targeting C and S regions on the production of HBV intermediates in Huh7 cells, plus immunocompetent and immunodeficient mice transfected with a plasmid containing the HBV

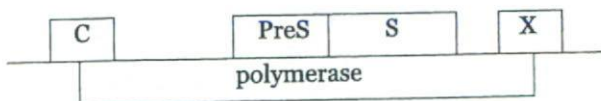


Fig. 2. Schematic representation of the HBV genome.

genome with some sequences duplicated to allow complete expression of all genes. shRNA reduced the amounts of HBsAg in culture medium and mouse serum by 94.2% and 84.5%, respectively. Immunohistochemistry indicated that shRNA reduced HBV core antigen (HBcAg) by >99%. Immunocompetent and immunocompromised mice treated with shRNA had 77% and 92% less HBV RNA, respectively. shRNA reduced HBV ss and ds DNA-replicative intermediates to undetectable levels.

Giladi et al. (233) investigated the effect of siRNA targeting HBsAg on HBV gene expression and replication in both HepG2.2.215 cells transfected with HBV plasmid and in mice transfected with HBV plasmid. In their systems, injection of Balb/c mice with the HBV genomic plasmid resulted in the production and secretion of HBV-related antigens and replicative intermediates into the serum for >1 week. By 10 days, viral particle production subsided, concomitant with the appearance of anti-HBV antibodies. siRNA reduced the amount of HBsAg and HBV nucleocapsid antigen (HBcAg) in culture media by >80%. siRNA reduced HBV 3.6-kb and 2.1/2.4-kb mRNA species, and also reduced the amounts of HBsAg and HBcAg in mouse serum by 90% and 80%, respectively. Immunohistochemistry indicated that the siRNA diminished HBsAg-positive cells by >0.1%. siRNA reduced the three species of mRNAs by  $\approx$ 50%. siRNA diminished HBV DNA in serum by >100-fold.

Konishi et al. (234) investigated the effect of siRNA targeting to polyadenylation (PA), precore (PreC), and S regions on replication of HBV in HepG2.2.215 cells transfected with HBV plasmid. HBsAg secretion into culture media was inhibited by 78%, 67%, and 42% with siRNAs against the PA, PreC, and S regions, respectively. siRNA against the PA region decreased levels of HBV pre-genomic RNA and HBV RNA containing the PA signal sequence by 72% and 86%, respectively. siRNA decreased the level of HBV core-associated DNA, a replication intermediate, by 71%. Immunohistochemistry indicated that siRNA decreased HBsAg-positive cells by 30–40%.

Shlomai and Shaul (235) investigated the effect of siRNA-producing vectors targeting the C and X ORF regions at the level of HBV proteins, transcripts, and HBV replicative forms in Huh and HepG2.2.15 cells. siRNAs against X and C regions significantly decreased levels of X and C proteins in Huh7 cells transfected with X and C region plasmids, respectively. siRNA against the X region significantly decreased the number of green fluorescent protein-positive cells in Huh7 cells transfected with HBV-GFP plasmid, in which the C

region was replaced with GFP. siRNA against the X region decreased core protein in HepG2.2.15 cells stably expressing HBV. siRNA against the X region decreased levels of all viral transcripts and viral replicative intermediates by  $\approx 68\%$  and  $\approx 95\%$ , respectively in Huh7 cells transfected with 1.3 X HBV genome plasmid. siRNA against the C region decreased levels of all viral transcripts and viral replicative intermediates by  $\approx 13\%$  and  $40\%$ , respectively in the Huh7 cells transfected with 1.3 X HBV genome plasmid.

Hamasaki et al. (236) investigated the effect of shRNA targeting to the core region on replication of HBV in Huh7 and HepG2 cells transfected with HBV genome plasmid. shRNA decreased the amount of HBeAg in culture media by 4.6- and 4.9-fold in Huh and HepG2 cells, respectively. shRNA decreased 3.5-kb mRNA of HBV plus the viral replicative intermediates, open circular and ss HBV-DNA in Huh cells.

Ying et al. (237) investigated the effect of siRNA targeting of the C region on viral replication in HepAD38 cells (producing wild-type virus) and HepAD59 cells (producing 3TC-resistant YMDD variant). siRNA inhibited viral DNA synthesis by 98% and 89% in HepAD38 cells and HepAD59 cells, respectively. siRNA decreased HBV core protein synthesis in HepAD38 cells, in which HBV replication was induced by removal of tetracycline from the culture medium.

Klein et al. (238) developed a novel mouse model to study HBV replication and investigated the effect of siRNA targeting of the ORFs of the S and C regions on expression of HBsAg and HBeAg using this model. In this model it is possible to introduce a replication-competent vector into hepatocytes and to activate HBV replication by a high-volume injection via the tail vein using an HBV replication-competent vector. siRNA targeting to the ORF of the S region decreased HBsAg and HBeAg in the serum by nearly 70% and 80%, respectively. siRNA decreased pre-C/C and S RNA levels in the liver. siRNA targeting to the ORF of the C region located outside the S region decreased HBeAg protein in serum and mRNA levels in the liver by 60% and 74%, respectively, whereas siRNA had no effect on the HBsAg protein level.

Chen et al. (239) investigated U6 shRNAs targeting different putative secondary structures on HBV pregenomic RNA, HBV RNA, and HBV replication in HepG2 cells transfected with HBV plasmids. Targeted sequences included direct repeat elements or regions coding for C, PreS, S, polymerase, and X protein. shRNAs decreased HBV RNA and the relative copy number of HBV DNA by up to 90% and by 90–97%, respectively.

Wu et al. (240) investigated the effect of plasmid-expressing siRNA targeting HBV C region nucleotides 2052–2070 on the replication and expression of HBV in mice transfected with HBV plasmid containing a 1.3-fold-overlength genome of HBV. siRNA decreased serum HBsAg and HBV C mRNA levels on Day 6 by  $\approx 90\%$  and 85%, respectively. Immunohistochemistry indicated that siRNA decreased HBeAg-positive cells from 5.4% to 0.9%.

Morrissey et al. (241) introduced some chemical modifications to siRNAs to improve their stability and investigated the effect of targeting siRNAs to the HBV genome in a mouse and a HepG2 cell model of HBV replication. The combination of modifications included 2'-fluoro, 2'-O-methyl, and 2'-deoxy sugars, phosphorothionate linkage, and terminus capping chemistries, plus complete removal of 2'-OH. The modified siRNA duplex prolonged the half-life  $\approx 900$ -fold compared with the unmodified siRNA duplexes in 90% human serum at 37°C. The modified siRNA targeting a site located at starting 5' nt 263 in the HBV genome decreased HBsAg in the culture media by  $\approx 80\%$  in HepG2 cells transfected with replication-competent HBV expression plasmid. The 263 siRNA decreased the HBV RNA level by 71% in mice transfected with complete HBV genome vector. The 263 siRNA and unmodified siRNA decreased serum HBV DNA by  $10^{-3.7}$  and  $10^{-2.2}$  at a dose of 1  $\mu\text{g}$ . Similar results were obtained for serum HBsAg levels. When the 263 siRNA was delivered 3 days after transfection of the HBV vector it decreased serum HBV DNA levels by  $10^{-0.9}$ .

The same group (242) also synthesized stable nucleic acid-lipid particle (SNALP) formulations of stabilized siRNA, investigating its efficacy using several criteria. Stabilized siRNA-SNALP almost completely eliminated HBsAg protein in culture media of HepG2 cells transfected with HBV plasmid with an  $\text{IC}_{50}$  of  $-1$  nM. Stabilized siRNA-SNALP prolonged the half-life in plasma to approximately eightfold compared to stabilized siRNA in mice. Non-stabilized siRNA-SNALP strongly induced serum IFN- $\alpha$  or inflammatory cytokines (IL-6, TNF- $\alpha$ ), plus serum aspartate aminotransferase and alanine aminotransferase, whereas such effects were not observed in the stabilized siRNA-SNALP. Stabilized siRNA-SNALP reduced serum HBV DNA by  $>10^{-1.0}$  in a mouse model of HBV replication. The reduction in HBV DNA was dose-dependent and lasted for up to 6 weeks. Furthermore, reductions were seen in serum HBV DNA for up to 6 weeks with weekly dosing.

Uprichard et al. (243) investigated the effect of Ad vector expressing U6 RNA polymerase III-driven

shRNAs targeting HBV regions overlapping 3.5-, 2.4-, and 2.1-kb RNA on preexisting HBV gene expression and replication in HBV transgenic mice. The HBV-specific siRNA numbers, HBV 546 and HBV 765, refer to the initial nt of siRNA relative to the unique viral EcoRI site. shRNAs decreased the amount of HBsAg and HBeAg in serum by five- to sixfold on Day 4. The reduction in HBsAg and HBeAg levels continued until 13 days. shRNAs decreased the 2.1-kb envelope and 3.5-kb viral RNA in the liver by >50-fold and by four- to fivefold on Day 20, respectively. The same authors also did similar experiments using HBV transgenic mice that are genetically deficient for the expression of IFN- $\gamma$  and the IFN- $\alpha/\beta$  receptor, as in vivo Ad does induce IFNs that clear HBV DNA from the liver. HBV 765 decreased HBsAg and HBeAg on Day 26 by  $\approx$ 20-fold and 10-fold, respectively. HBV 765 decreased 2.1- and 3.5-kb RNA on Days 17–26 to an undetectable level and by 10-fold, respectively. This pattern of HBV RNA inhibition was maintained through to Day 26. HBV 765 decreased HBV replicative intermediate to virtually undetectable levels on Days 17–26. Immunohistochemistry indicated that HBV 765 decreased HBcAg-positive cells in the liver to an undetectable level on Days 17 and 26.

Wu et al. (244) investigated the effect of the human H1 promoter-encoded shRNAs targeting the S regions on the viral proteins, RNA, and DNA for three HBV genotypes in several models. shRNA decreased HBsAg and HBeAg protein in the culture media on Days 6 and 2 by 98.2% and 62.6%, respectively in Huh7 cells transfected with HBV genotype A plasmid. shRNA markedly decreased HBV RNA in cells and HBV replicative DNA in culture media and the cytoplasm. shRNA decreased HBsAg in the serum by >99% on Day 4 in mice transfected with HBV genotype A plasmid. Immunohistochemistry indicated that shRNA decreased HBcAg-positive cells in the liver by >95%. shRNA also decreased HBsAg and HBeAg in the culture media by  $\approx$ 95% and 85%, respectively in Huh7 cells transfected with HBV genotype B or C plasmids. In these experiments, a clone from a patient with genotype C was resistant to shRNA. This mutant clone was found to exhibit a silent mutation in the target regions and could be selected out in the presence of shRNA in cell culture.

Carmona et al. (245) investigated the effect of a panel of shRNAs targeting the HBx ORF region on HBV replication in several models. To facilitate intracellular processing, the shRNAs included mismatches in the 25-bp stem region and a terminal loop of micro RNA-23. Two shRNAs (-5 and -6)

decreased HBsAg secretion and HBV-GFP fusion marker protein without inducing IFN responses by >95% and  $\approx$ 60% in Huh7 cells transfected with HBV plasmid and HBV-GFP fusion plasmid, respectively. The two shRNAs did not affect IFN response: induction of IFN- $\beta$ , OAS1, and MxA in Huh7 cells. shRNAs decreased HBV RNA to  $\approx$ 35% in Huh7 cells transfected with HBV plasmid. shRNA5 decreased HBsAg in serum to a background level over a period of 4 days in HBV transgenic mice. Immunohistochemistry indicated that shRNA5 decreased HBcAg-positive cells in the liver to an almost undetectable level. The two shRNAs decreased HBsAg and viral particle concentration in serum by >99% on Day 4 in mice. Carmona et al. incorporated the two shRNAs into an Ad vector to assess the antiviral efficacy of these shRNAs in a context similar to that of natural HBV infection. The two Ad vector shRNAs decreased HBsAg and HBeAg in serum by >90% and  $\approx$ 50% by Day 12. Ad shRNAs -5 and -6 decreased the virion count in serum by 60% and 98% in mice, respectively.

Kim et al. (246) investigated the effect of siRNA and U6 shRNAs targeting positions 1374–1392 of the HBx sequence on the HBx mRNA level in HepG2-HBX expressing HBx mRNA and HepG2-K8 producing HBV particle. siRNA and tU6 shRNA reduced the HBx mRNA level by up to 80–90% in these cells. They also investigated the effect of siRNA and U6 shRNA on GFP expression in HepG2 cells transfected with HBx-eGFP fusion plasmid. siRNA and U6 shRNA reduced GFP expression by 90%. Chromosomal integration of U6 shRNA into HepG2 cells was also confirmed.

Chen et al. (247) investigated the effect of a ds adeno-associated virus eight-pseudotyped vector expressing shRNA targeting the S1 region of HBV on levels of HBV protein, mRNA, and replicative DNA in HBV transgenic mice. This shRNA decreased HBsAg protein and HBV genome in serum by >99% at 14 days. shRNA decreased 2.4/2.1- and 3.5-kb HBV transcripts by 93% and 81%, respectively. shRNA almost completely eliminated HBV replicative intermediates, intrahepatic relaxed-circular, and ss linear viral DNA. Immunohistochemistry indicated that shRNA almost completely eliminated HBcAg-positive cells in the liver. These reductions persisted for >120 days. Reductions in HBsAg, HBV DNA, and HBV replicative intermediates at 120 days were 66.1%, 77.1%, and 75.8%, respectively. shRNA induced only negligible amounts of IFN- $\gamma$  and - $\beta$ , and 2',5'-OAS.

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Full Paper

## Caspase Cascade Proceeds Rapidly After Cytochrome *c* Release From Mitochondria in Tumor Necrosis Factor- $\alpha$ -Induced Cell Death

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**Abstract.** The caspase activation cascade and mitochondrial changes are major biochemical reactions in the apoptotic cell death machinery. We attempted to clarify the temporal relationship between caspase activation, cytochrome *c* release, mitochondrial depolarization, and morphological changes that take place during tumor necrosis factor (TNF)- $\alpha$ -induced cell death in HeLa cells. These reactions were analyzed at the single-cell level with 0.5 – 1 min resolution by using green fluorescent protein (GFP)-variant-derived probes and chemical probes. Cytochrome *c* release, caspase activation, and cellular shrinkage were always observed in this order within 10 min in all dying cells. This sequence of events was thus considered a critical pathway of cell death. Mitochondrial depolarization was also observed in all dying cells observed, but frequently occurred after caspase activation and cellular shrinkage. Mitochondrial depolarization is therefore likely to be a reaction that does not induce caspase activation and subsequent cellular shrinkage. Mitochondrial changes are important for apoptotic cell death; moreover, cytochrome *c* release, and not depolarization, is a key reaction related to cell death. In addition, we also found that the apoptotic pathway proceeds only when cells are exposed to TNF- $\alpha$ . These findings suggest that the entire cell death process proceeds rapidly during TNF- $\alpha$  exposure.

**Keywords:** tumor necrosis factor (TNF)- $\alpha$ , cytochrome *c*, mitochondrial depolarization, caspase, real-time imaging

### Introduction

Apoptosis is a mechanism of cell death that is mediated by various intracellular reactions. A family of cysteine proteases, the caspases, forms the activation cascade, and these proteases play a central role in the apoptotic cell death machinery (1, 2). The caspases usually exist as pro-proteins in living cells and are activated by cleavage at the time when cell death is induced. In an early phase of the cell death process, initiator caspases are activated, which in turn activate effector caspases (3 – 7). Activated effector caspases

cleave a number of different target proteins, and this cleavage leads ultimately to apoptotic cell death (8, 9). Mitochondria also play an important role in the cell death process (10 – 13). Cellular stresses induce mitochondrial changes, including an increase in outer mitochondrial membrane permeability; various mitochondrial proteins such as cytochrome *c* (cyt.*c*) and second mitochondrial activator of caspases (Smac) are released into the cytosol. Released proteins directly or indirectly regulate caspase activation and/or other reactions, which eventually induce cell death.

Various factors in the cell death process have been identified, but correlation among these factors remains unclear. Cell death events such as caspase activation and mitochondrial changes are rapid processes, and the onset of these events varies between individual cells (14 – 17). So, it is difficult to determine how and when such

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reactions occur in cells as based on analyses of cell populations, which can only be used to detect an average value for a large number of individual cells. In order to gain a better understanding of the cell death mechanism, simultaneous multi-events analyses should be conducted at the single-cell level and with high spatial and temporal resolution. Real-time imaging with confocal microscopy is a powerful method of detecting the manner in which such rapid intracellular reactions take place (18, 19).

Fluorescence resonance energy transfer (FRET) is useful for imaging analyses. Variants of green fluorescent protein (GFP) are currently widely employed; several families of fluorescent proteins have recently been reported to be useful for FRET analysis (19–22). Previously, we developed genetically-encoded sensors for caspase activation that consist of two fluorescent proteins linked by a small peptide (23, 24). Cyan-, green-, yellow-, and red-fluorescent proteins (CFP, GFP, YFP, DsRed) were used in combination as the fluorescent proteins. The small peptide was derived from a substrate of caspase, poly(ADP-ribose)polymerase; this fusion protein was primarily cleaved by caspase 3 (23). The sensor protein exhibits FRET in its intact form. However, in the presence of active caspase, the peptide is cleaved, and the two fluorescent proteins are rendered far apart; in this case, the sensor protein no longer exhibits any FRET. Caspase activation is detected as a reduction in FRET. We have previously reported that the use of various color combinations facilitates real-time imaging analysis. In particular, GFP-DsRed and YFP-DsRed have been shown to be as sensitive as CFP-YFP, which is commonly used as the FRET pair. FRET probes that consist of such color variations may be useful for simultaneous multi-event imaging (24).

In this study, we used the YFP-DsRed version of the effector-caspase sensor (YRec), CFP-tagged *cyt.c* (*cyt.c*-CFP), and tetramethylrhodamine methyl ester (TMRM) in order to detect caspase activation, *cyt.c* release from the mitochondria, and mitochondrial depolarization, respectively. By applying two of these probes simultaneously, two events could be monitored in the same cell, and the temporal relationships between caspase activation and mitochondrial changes could be examined at the single-cell level. In addition, we also analyzed the interval from tumor necrosis factor (TNF)- $\alpha$  exposure to cellular shrinkage by analyzing the cell population in order to investigate time course of the whole cell death process.

## Materials and Methods

### Plasmid construction

A plasmid encoding YRec, YFP-peptide-DsRed, was

generated as previously reported (24). The sequence encoding the 11 amino acids at the C-terminus of YFP was eliminated in this construct. The C-terminal-truncated forms of the YFP gene were generated by PCR with primers containing the *NheI* site or the *BspEI* site and pEYFP-C1 (Clontech, Palo Alto, CA, USA) as a template, and the restricted fragment was inserted into the *NheI*/*BspEI* sites of pEYFP-C1 in order to generate a plasmid carrying truncated YFP. The oligonucleotides encoding the caspase's substrate sequence was inserted into the *BspEI* – *AgeI* site of the p(truncated YFP)-C1 vector to generate pYFP-PARP. The substrate sequence was derived from PARP (KRRGDEVVDGVDE, 5'-CCGGAAAGAGAAAAGGCGATGAGGTGGATGGAGTGGATGAA-3' and 5'-CCGGTTCATCCACTCCATCCACCTCATCGCCTTTCTCTTT-3'). DsRed was generated from pDsRed2-C1(Clontech) by PCR, at the *AgeI*/*NotI* sites, and the restricted fragment was inserted into the *AgeI* – *NotI* sites of pYFP-PARP to generate a plasmid carrying YFP-PARP-DsRed2 (YRec). YRec was cleaved by caspase-3 (23, 24).

*Cyt.c* was cloned from HeLa cells by RT-PCR with a primer pair (5'-TCGCTAGCGCTCCGGAGAATTAATATGGGTATG-3' and 5'-CGAGGATCCCTCATTAGTAGCTTTTTTGGAG-3'), and the restricted fragment was inserted into the *NheI* – *BamHI* sites of the pECFP-N1 vector to generate a plasmid carrying *cyt.c*-CFP. All cloned sequences were verified by sequencing.

### Cell culture and transfection

HeLa cells were cultured in DMEM (Sigma-Aldrich, St. Louis, MO, USA) supplemented with 100 units/ml of penicillin G, 100  $\mu$ g/ml of streptomycin, and 10% fetal calf serum (GIBCO). The plasmid encoding the fluorescent probes was transfected into HeLa cells using Effectene Transfection Reagent (QIAGEN, Hilden, Germany) according to the manufacturer's instructions. After being incubated for 12–24 h with the transfection reagent, the cells were washed with PBS and cultivated on dishes suitable for an assay in medium containing 500  $\mu$ g/ml of G418 for an additional 1–3 days until the assay was performed. We found that the cultivation period had no effect on cell death events after TNF- $\alpha$  treatment.

### Bioimaging with fluorescence microscopy

Transfected cells were cultured on a cover glass (25-mm diameter, 0.15–0.18-mm thickness) for 1–3 days. Cells were treated with TNF- $\alpha$  (100 ng/ml, dissolved in PBS) and cycloheximide (10  $\mu$ g/ml, dissolved in DMSO) and then were incubated under the usual culture conditions for 1–2 h prior to the analysis.

**Table 1.** Measurement conditions for real-time analysis by LSM510

Probe	Excitation (nm)	Beam splitter (nm)	Emission (nm)
Cyt.c-CFP	458	515	467.5 – 497.5
YRec	488	545	505 – 530 (donor) <sup>a</sup> 560 – 615 (acceptor) <sup>a</sup>
TMRM	543	545	560 <sup>-b</sup>

<sup>a</sup>Emitted fluorescence was separated by a 545 dichroic mirror, and the fluorescence of the donor (YFP) and that of the acceptor (DsRed) was obtained via a band-pass emission filter. <sup>b</sup>A long-pass filter (LP560) was used.

Tetramethylrhodamine methyl ester (TMRM; 50 nM, dissolved in DMSO) was added to each sample 20–30 min prior to the analysis, when the mitochondrial membrane potential was to be measured (23, 25). Analyses were carried out by confocal laser scanning fluorescent microscopy using a Carl Zeiss LSM510 system (Carl Zeiss, Jena, Germany). During the observations, the media were buffered with 10 mM HEPES buffer (pH 7.4), and the cells were maintained at 35°C – 37°C. DIC images and grayscale images for fluorescence channels were obtained in 0.5- or 1-min intervals. Excitation lights for the cyt.c-CFP (458 nm) and YRec (488 nm) were provided by an Ar laser with a 458 or a 488 dichroic mirror, respectively. Excitation lights for the TMRM (543 nm) were provided by a HeNe laser with a 543 dichroic mirror. Images of the probes were obtained separately using a dichroic mirror and band-pass or long-pass emission filters, as indicated in Table 1. Contamination of the fluorescence between channels was negligible under these conditions (data not shown). For analyses involving YRec or TMRM, images were processed and quantified using MetaFluor software as follows: The average pixel intensity of the fluorescence of the entire cell region was determined for each channel. In the case of YRec, the ratio value was calculated as the average pixel value of the fluorescence ratio, (fluorescent intensity for the acceptor channel) / (fluorescent intensity for the donor channel), in the entire cell region. As the cells changed morphologically during the observation, the entire cell region was assessed separately for each image.

Simultaneous measurement of two probes was performed according to the multi-track scanning mode, in which two sets of excitation-detection conditions were used in alternation. For cyt.c-CFP and YRec, CFP fluorescence induced by excitation at 458 nm was measured in the first track, and YFP and DsRed fluorescence induced by excitation at 488 nm was measured in the second track. For cyt.c-CFP and TMRM, CFP fluorescence induced by excitation at 458 nm was measured in the first track, and TMRM

fluorescence induced by excitation at 543 nm was measured in the second track. The scanning time difference between tracks was ca. 3–8 s, which was not significant in the temporal analysis.

#### *Analysis of cell survival rate*

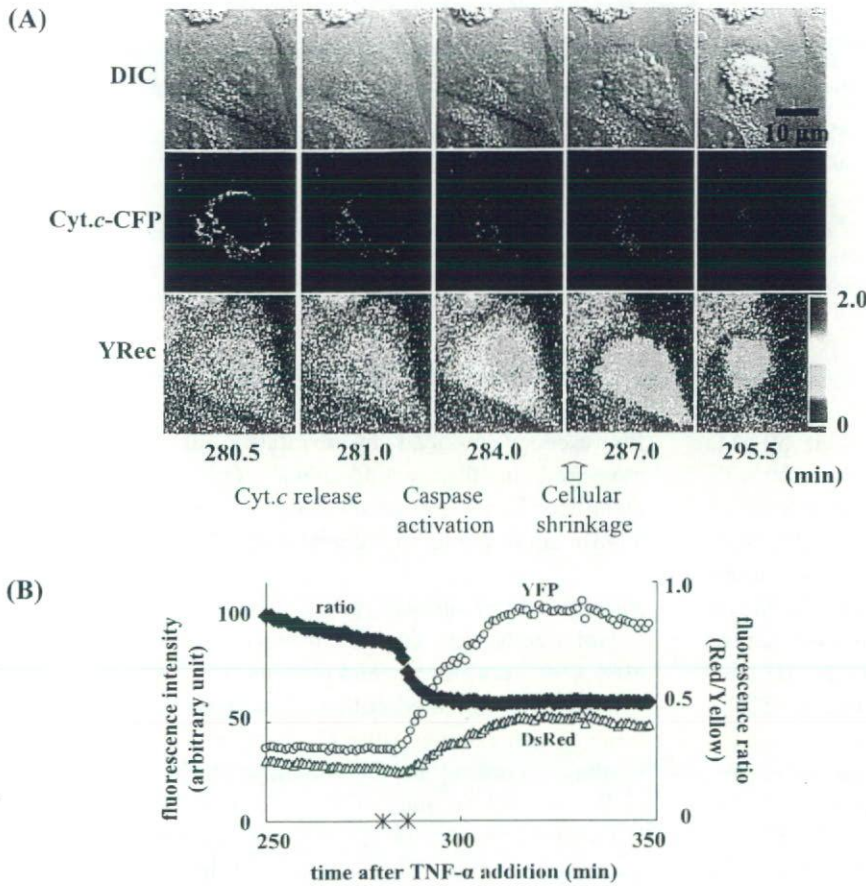
HeLa cells were cultured in 96-well plastic plates to 80%–90% confluency and were then treated with TNF- $\alpha$ . After the indicated culture durations, the cells were treated with Alamar Blue (Dainippon Pharmaceutical, Osaka) according to the manufacturer's instructions. Cell survival was measured as fluorescence at 590 nm induced by excitation at 540 nm. Fluorescence was measured using FlexStation (Molecular Devices, Sunnyvale, CA, USA).

## **Results**

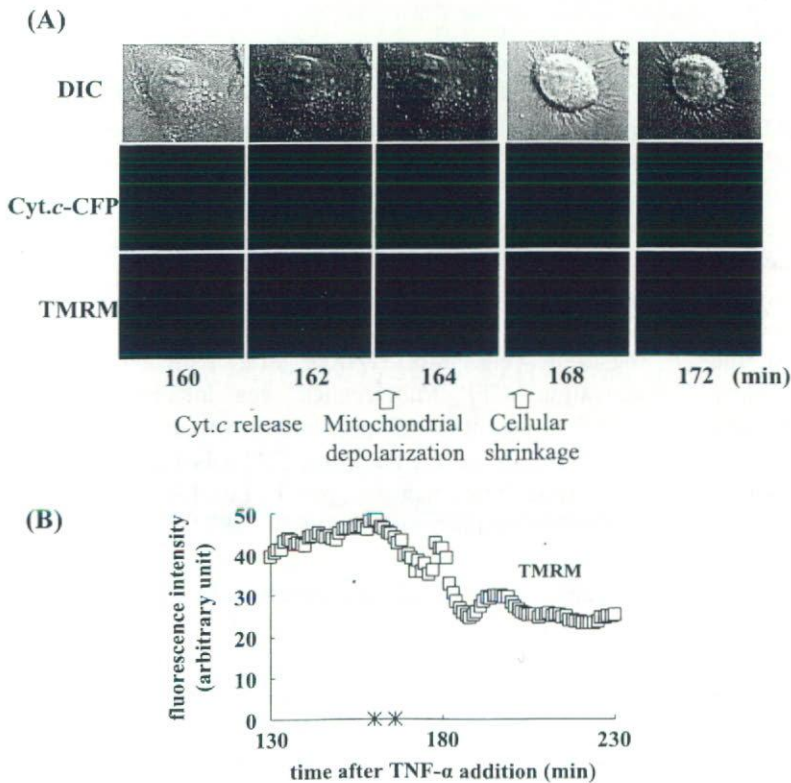
#### *Simultaneous imaging of cyt.c-CFP and caspase sensor*

HeLa cells expressing both cyt.c-CFP and YRec were treated with TNF- $\alpha$ , and changes in fluorescence were observed. Figure 1A shows DIC images, fluorescent images of CFP, and fluorescence ratio (DsRed/YFP) images of YRec during cell death. Images were obtained every 30 s; therefore, we were able to identify the time points of these events at a resolution period of 30 s. The CFP fluorescence indicated cyt.c-CFP localization, and the fluorescence ratio (DsRed/YFP) indicated caspase activation. CFP fluorescence was localized in the mitochondria at 280.5 min, and it was delocalized at 281.0 min, indicating that cyt.c-CFP was released during this period. The images shown in Fig. 1A indicate that this cell started to shrink at 286.5–287.0 min.

When the caspase was activated in a cell, the YRec was cleaved, which led to a reduction in the FRET from YFP to DsRed. Thus, a reduction in the fluorescence ratio (DsRed/YFP) reflected caspase activation. As shown in Fig. 1B, the fluorescence ratio decreased dramatically at 283.5 min in the cell shown here, thus indicating the initiation of caspase activation at this point in time. The increase in DsRed fluorescence



**Fig. 1.** Cyt.c-CFP release and caspase activation were monitored simultaneously in the same cells. A: DIC (upper), images showing the fluorescence of CFP (middle) and the fluorescence ratio of DsRed and YFP (DsRed/YFP, lower) during cell death are shown in pseudocolor. CFP and DsRed/YFP indicate the localization of cyt.c-CFP and caspase activation, respectively. B: Changes in YRec fluorescence in the cell shown in panel A were plotted. YFP and DsRed are shown with their fluorescence ratios. The asterisks indicate time points at which cyt.c-CFP were released and cell shrinkage was observed. The horizontal axis represents the point in time after the addition of TNF- $\alpha$ .



**Fig. 2.** Cyt.c-CFP release and mitochondrial depolarization were monitored simultaneously in the same cell. A: DIC (upper), images showing the fluorescence of CFP (middle) and the fluorescence of TMRM (lower) during cell death are shown in pseudocolor. CFP and TMRM fluorescence indicate the localization of cyt.c-CFP and the mitochondrial membrane potential, respectively. B: Changes in TMRM fluorescence of the cells in panel A during cell death were plotted. The asterisks indicate time points at which cyt.c-CFP were released and cell shrinkage was observed. The horizontal axis represents the point in time after the addition of TNF- $\alpha$ .

observed after this time point was unexpected, but is thought to have been the result of cellular shrinkage. Because the cell volume was reduced, the DsRed became concentrated, and the fluorescence increased. The reduction in the fluorescence ratio clearly indicated a reduction in FRET, which indicated both the cleavage of YRec as well as caspase activation. The asterisks indicate the time point of *cyt.c*-CFP release and cellular shrinkage, as determined based on the results shown in Fig. 1A. In this cell, *cyt.c*-CFP was released 280.5 min after the addition of TNF- $\alpha$ , and caspase activation was initiated 3 min after *cyt.c*-CFP release; the cell then started to shrink 3 min after caspase activation. *Cyt.c*-CFP release, caspase activation, and cellular shrinkage were observed in this order in all of the dying cells examined.

#### Simultaneous imaging of *cyt.c*-CFP and TMRM

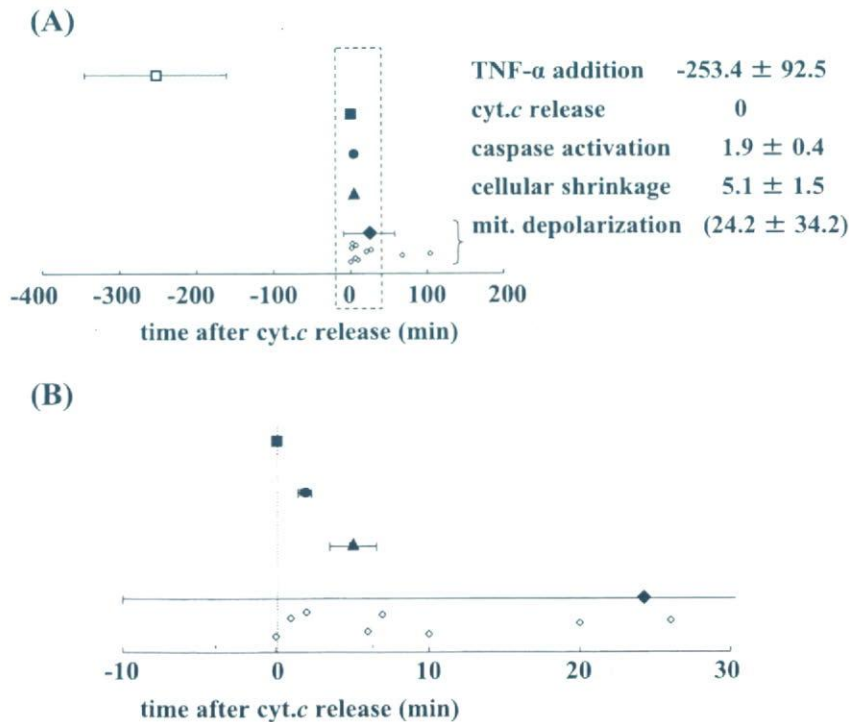
HeLa cells expressing *cyt.c*-CFP were treated with TMRM and TNF- $\alpha$ . Delocalization of *cyt.c*-CFP and mitochondrial depolarization were observed with a resolution period of 1 min. All dying cells exhibited *cyt.c*-CFP release, mitochondrial depolarization, and shrinkage of the cell body. Figure 2A shows a typical fluorescent image of a dying cell. In this cell, *cyt.c*-CFP

was released at 161 min, and cell shrinkage began at 167 min after the addition of TNF- $\alpha$ . Changes in TMRM fluorescence are plotted in Fig. 2B. TMRM fluorescence started to decrease at 164 min, thus indicating that the mitochondria started to depolarize at this point in time.

In a comparison of the starting points of these three events, it was found that the release of *cyt.c*-CFP always preceded mitochondrial depolarization and cellular shrinkage. Mitochondrial depolarization was observed earlier than cellular shrinkage in this particular cell, but was observed later in other cells. The temporal order of the timing of the initiation of mitochondrial depolarization and cellular shrinkage was not consistent. Mitochondrial depolarization preceded cellular shrinkage in 4 of the 10 cells, and cellular shrinkage preceded mitochondrial depolarization in 6 of the cells observed here.

#### Temporal relationships between mitochondrial changes, caspase activation, and cellular shrinkage

We observed 10–22 cells in each of these experiments, the results of which are shown in Figs. 1 and 2. We then determined the timing of *cyt.c* release, cellular shrinkage, and mitochondrial depolarization, or caspase activation in each cell. To clarify the temporal relationships between these cellular events, relative timing was



**Fig. 3.** Temporal relationship between mitochondrial changes and caspase activation. A: Relative timing of TNF- $\alpha$  addition (open square), *cyt.c* release (closed square), caspase activation (closed circle), cellular shrinkage (closed triangle), and mitochondrial depolarization (closed and open diamond) is shown with respect to time after *cyt.c* release. B: Shows a magnification of panel A.



determined as follows: the time point of cyt.c release was considered as time 0 in each of the individual cells. We calculated the relative timing of each of the observed events for each cell, and the results are plotted in Fig. 3. TNF- $\alpha$  treatment, cyt.c release, caspase activation, and cellular shrinkage are indicated as the mean  $\pm$  S.D. Since mitochondrial depolarization did not give a normal distribution, all data for mitochondrial depolarization were plotted. Each plot represents the results from a single cell. Figure 3B shows magnification at around time 0.

The relative timing of TNF- $\alpha$  treatment and mitochondrial depolarization was found to deviate substantially, whereas the relative timing of caspase activation and cellular shrinkage gave only a small deviation. A substantial amount of time was required for the initiation of cyt.c release, and the duration varied between cells; however, after cyt.c release, the subsequent reactions occurred rapidly. After cyt.c release, cells are unable to stop or delay the cell death process.

Mitochondrial depolarization occurred before both caspase activation and cellular shrinkage in some of the cells ( $n = 4$ ), but mitochondrial depolarization occurred after caspase activation and cellular shrinkage in other cells ( $n = 6$ ). This finding suggests that mitochondrial depolarization is not necessary for either caspase activation or cellular shrinkage. Mitochondrial depolarization has been consistently reported as being associated with cell death, but it is not thought to be a critical step in the induction of apoptotic cell death.

#### Effects of the duration of TNF- $\alpha$ treatment

At the first step of TNF- $\alpha$ -induced cell death, TNF- $\alpha$  binds with its receptor on the cell surface, and an extracellular signal is transferred into the cell. After this step, Bid transfers the signal to the mitochondria, and then cyt.c is released from the mitochondria to the cytosol. Our results shown in Fig. 3 indicate that these processes took about 4 h. In order to analyze the timing of the onset of the earliest steps, we attempted to determine the point in time at which the first step started. To this end, we changed the duration of TNF- $\alpha$  exposure and measured the resulting cell survival rate. Cells were divided to two groups, as shown in Fig. 4A, and the cells were exposed to TNF- $\alpha$  for 0–12 h. In group A, the survival rate was measured immediately after TNF- $\alpha$  exposure. In group B, TNF- $\alpha$  was washed off after the indicated exposure time, and the cells were cultured in fresh medium without TNF- $\alpha$  for an additional 6–11 h, and the survival rate was then measured. If the cell death process proceeded after the removal of TNF- $\alpha$ , the survival rate would be expected to be reduced due to the additional culture period after the removal of TNF- $\alpha$ . In

other words, more cells would be expected to have died in group B than in group A with the same amount of TNF- $\alpha$  exposure time.

The results showed that the survival rate decreased with increasing TNF- $\alpha$  exposure time (Fig. 4B). However, the survival rate did not decrease after TNF- $\alpha$  removal. This result suggests that the dead cells in group B had died during the period of TNF- $\alpha$  exposure, and that those cells that had survived during TNF- $\alpha$  exposure did not die after the removal of TNF- $\alpha$ . Thus, the cell death process is likely to proceed only when the cells were exposed to TNF- $\alpha$ . The survival rate in group B increased when cells were exposed TNF- $\alpha$  for 6 h. The biological meaning of this increase was unknown; however, this result did not disturb our conclusion.

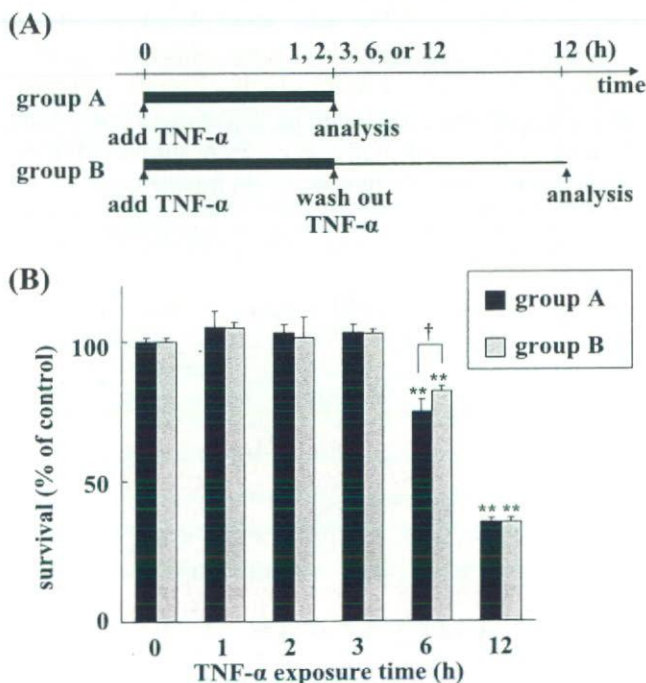


Fig. 4. Cell survival rate after TNF- $\alpha$  exposure. Panel A: Experimental design of the TNF- $\alpha$  exposure analysis. Thick lines represent the incubation in the presence of TNF- $\alpha$ , and thin line represents the incubation in the absence of TNF- $\alpha$ . In group A, cells were exposed to TNF- $\alpha$  for the indicated amount of time, and the cell survival rate was measured immediately. In group B, cells were exposed in the same manner as that used for group A. Then, the TNF- $\alpha$  was washed out, and the cells were cultured in fresh media for 6–11 h. Then, the cell survival rate was measured. The total duration of the culture period after the onset of TNF- $\alpha$  exposure was 12 h in group B. Panel B: The cells in groups A and B were exposed to TNF- $\alpha$  for 1, 2, 3, 6, or 12 h, and the cell survival rates were determined. Each bar represents a mean  $\pm$  S.D. ( $n = 6$ ). \*\* $P < 0.01$  vs time 0, according to Dunnett's test. † $P < 0.05$  between groups A and B, according to Student's  $t$ -test.

## Discussion

This is the first report to reveal the precise temporal relationships between four reactions (mitochondrial depolarization, *cyt.c* release, caspase activation, and cellular shrinkage) in TNF- $\alpha$ -induced cell death. Because the onset of these reactions varied among individual cells, real-time single-cell imaging is the only currently available method to reveal temporal relationships between these reactions. We described our three-color real-time imaging technique in this report. Rehm et al. has reported the simultaneous real-time imaging of caspase activation and Smac release by using CFP/YFP-FRET sensor and YFP-tagged protein (26). They used the same color, YFP, for the observation of both reactions. It is possible to identify two reactions as they discussed, but it may be difficult to identify small changes occurring in the cell by their method. Previously, we revealed that DsRed was useful for FRET analysis of caspase activation (24). In this report, we observed caspase activation and *cyt.c* release with YFP/DsRed-FRET sensor and CFP-tagged protein. By using fluorescent probes in different colors, each reaction could be easily and precisely identified in a single cell.

We observed cell death at the single-cell level with a resolution period of 0.5–1 min, and we revealed that the relative timing between *cyt.c* release, caspase activation, and cellular shrinkage remained constant in all of the dying cells observed; however, the timing of mitochondrial depolarization showed a large deviation (Fig. 3). After *cyt.c* release, apoptosome formation, caspase-9 activation, caspase-3 activation, and the cleavage of various substrates that lead to apoptotic cell death are initiated. Our results revealed that this series of reactions takes place within 10 min and that the time course of this process was identical among all of the dying HeLa cells.

Mitochondrial depolarization was observed in all dying cells, but we considered that mitochondrial depolarization was not the cause of *cyt.c* release, caspase activation, and cellular shrinkage. Mitochondrial depolarization was found to occur at any time after *cyt.c* release. Mitochondrial depolarization was observed after caspase activation and cellular shrinkage in 60% of the observed cells. These results exclude the possibility that mitochondrial depolarization is a cause of *cyt.c* release, caspase activation, and/or cellular shrinkage. This is consistent with previous findings that cell death occurred without mitochondrial depolarization. Li et al. have shown that caspases are activated independently of mitochondrial depolarization in TNF- $\alpha$ -induced cell death (27). Krohn et al. have shown that *cyt.c* release

and caspase activation occurred in the absence of mitochondrial depolarization in cell death of hippocampal neurons (28). Several studies suggested that mitochondrial depolarization is a critical step for cell death (29), but our results support the idea that mitochondrial depolarization is not crucial to the cell death process.

*Cyt.c* release may be a key step in two independent series of events, that is, the cell death process and mitochondrial depolarization. We speculate that cells might try to maintain cellular homeostasis by keeping membrane potential after *cyt.c* release. While maintaining the membrane potential, the released *cyt.c* immediately initiated the cell death process in the cytosol, and thus caspase activation and cellular shrinkage always took place within a short period of time. The timing of mitochondrial depolarization did not appear to be relevant to this process.

A number of imaging analyses have demonstrated that each cell death event is a rapid process. Initiator- and effector-caspase activation both proceed rapidly (23, 24, 30–32). *Cyt.c* is also released rapidly in a single step (33–35). Likewise, Smac/DIABLO is released rapidly, although the duration of Smac/DIABLO release is greater than that of *cyt.c* (26). Several multi-event imaging studies have suggested that cell death events occur almost simultaneously. Initiator caspase activation/effector caspase activation, effector caspase activation/mitochondrial depolarization, *cyt.c*/smac, and effector caspase activation/smac release had been analyzed simultaneously at the single-cell level and were found to occur almost simultaneously (24, 26). These findings, taken together with our present results, suggest that the cell death cascade proceeds rapidly after mitochondrial changes take place.

Once *cyt.c* was released, the following reactions proceed in a rapid manner. However, it did take  $253.4 \pm 92.5$  min from TNF- $\alpha$  treatment to *cyt.c* release, and this duration varied from cell to cell (Figs. 3 and 4). We observed some cells that had died within 1 h in imaging analysis, indicating that cells have the ability to induce cell death within 1 h, and suggesting that certain factors may delay signal transduction and the timing of cell death. The results shown in Fig. 4 indicate that these factors were active only when the cells were exposed to TNF- $\alpha$ . We considered two possible explanations for these findings. 1: Each TNF- $\alpha$  molecule changed the cell slightly, and the changes induced by one molecule were not sufficient to induce the cell death cascade on their own. However, many TNF- $\alpha$  molecules attacked the cell, and intracellular changes thus accumulated. When the accumulated changes exceeded the threshold level, the cell death cascade would be expected to have

proceeded rapidly. 2: TNF- $\alpha$  could induce intracellular changes by chance. According to this explanation, TNF- $\alpha$  molecules would bind with the TNF receptor, but only some of them would be able to induce intracellular change. If some TNF- $\alpha$  molecules successfully induce intracellular changes, then the cell death cascade would proceed rapidly. The more TNF- $\alpha$  molecules that are present around the cell, and/or the longer these TNF- $\alpha$  molecules attack the cell, the higher the probability of a successful attack, and it can be expected that more cells will die. According to both of these models, the cell death process would not proceed in the absence of TNF- $\alpha$  exposure; therefore, those cells that survived during TNF- $\alpha$  exposure would not be expected to die after the removal of TNF- $\alpha$ .

One of the Bcl-2 family proteins, Bid, was cleaved to tBid due to the cell death signal, and the tBid transferred the signal from the cytosol to the mitochondria (36). Exogenous treatment with tBid is known to induce cell death immediately (37), and thus reactions that delay signal transduction may occur at an earlier step than either Bid cleavage or mitochondrial changes.

As cell death reactions often occur in a rapid manner and because the timing of the onset of intracellular reactions varies among cells, precise temporal relationships between cellular events during cell death should be further analyzed at the single-cell level with high temporal resolution. Single-cell imaging analyses of early stages (e.g., receptor oligomerization and the recruitment of adaptor proteins) will help to elucidate the mechanism of the entire cell death process.

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