

## Intervertebral Disc Regeneration Using Platelet-Rich Plasma and Biodegradable Gelatin Hydrogel Microspheres

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### ABSTRACT

This study evaluated the regenerative effects of platelet-rich plasma (PRP) for the degenerated intervertebral disc (IVD) *in vivo*. After induction of IVD degeneration in rabbits, we prepared PRP by centrifuging blood obtained from these rabbits. These PRP were injected into the nucleus pulposus (NP) of the degenerated IVDs after impregnation into gelatin hydrogel microspheres that can immobilize PRP growth factors physiochemically and release them in a sustained manner with the degradation of the microspheres. As controls, microspheres impregnated with phosphate-buffered saline (PBS) and PRP without microspheres were similarly injected. Histologically, notable progress in IVD degeneration with time courses was observed in the PBS control, PRP-only, and sham groups. In contrast, progress was remarkably suppressed over the 8-week period in the PRP group. Moreover, in immunohistochemistry, intense immunostaining for proteoglycan in the NP and inner layer of the annulus fibrosus was observed 8 weeks after administration of PRP-impregnated microspheres. Almost all microspheres were indistinct 8 weeks after the injection, and there were no apparent side effects in this study. Our results suggest that the combined administration of PRP and gelatin hydrogel microspheres into the IVD may be a promising therapeutic modality for IVD degeneration.

### INTRODUCTION

**L**OW BACK PAIN is currently a serious problem in industrial countries, with enormous financial and health care costs,<sup>1-3</sup> and intervertebral disc (IVD) degeneration is one of the major causes of the condition.<sup>4,5</sup> The IVD is a functional unit of the spinal column, and consists of the nucleus pulposus (NP) and annulus fibrosus (AF). Because proteoglycans are highly concentrated in the NP and negatively charged, the NP has a swelling pressure with a high concentration of water.<sup>6,7</sup> Meanwhile, the AF has a lamellar structure with collagen fiber bundles.<sup>8</sup> Because of the struc-

tural characteristics of the NP and AF, IVDs possess both mobility and stability, and play fundamental roles in load-bearing.<sup>9</sup> The IVD is an avascular tissue, so it has a very limited ability to regenerate itself. It is known that once IVD degeneration has occurred, it then progresses at an accelerated pace.<sup>10</sup> These particular histological characteristics are the major reason why it is extremely difficult to establish a regenerative therapy for IVD degeneration.

Growth factor therapy is one of the promising modalities to regenerate IVD, because several growth factors have been reported to have therapeutic effects on IVD cells *in vitro*.<sup>11-21</sup> Transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1) has

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been reported to have strong effects on IVD cell proliferation<sup>11</sup> and proteoglycan synthesis.<sup>11,13,16</sup> Platelet-derived growth factor (PDGF) was reported to have an anti-apoptotic effect on AF cells.<sup>14</sup> It has been well known that the platelets contain several kinds of growth factors in  $\alpha$ -granules, and these growth factors are released and function in wound healing.<sup>22</sup> TGF- $\beta$  and PDGF have been recognized as the dominant growth factors.<sup>23</sup> Platelet-rich plasma (PRP) is an autologous concentration of platelets in a small volume of plasma, and contains several kinds of autologous growth factors, such as TGF- $\beta$ 1 and PDGF in high concentrations.<sup>24-26</sup>

Considering this backgrounds, we hypothesized that the administration of PRP growth factors into the degenerated IVD *in vivo* would be an effective, convenient, and safe therapeutic modality for IVD degeneration. We applied gelatin hydrogel microspheres as the drug delivery system (DDS), which can immobilize PRP growth factors ionically and release them in a sustained manner *in vivo* as the microsphere degrades. The objective of this study was to investigate the therapeutic effects of the combined administration of PRP and biodegradable gelatin hydrogel microspheres on the degenerated IVD *in vivo*.

## MATERIALS AND METHODS

### *Preparation of gelatin microspheres*

A gelatin sample with an isoelectric point of 5.0 (Nitta Gelatin, Osaka, Japan) was extracted from bovine bone (type I collagen) via an alkaline process. Glutaraldehyde (GA), glycine, and other chemicals were purchased from Wako Pure Chemical Industries (Osaka, Japan) and used without further purification.

Gelatin microspheres were prepared through the GA cross-linking of gelatin aqueous solution.<sup>27</sup> Briefly stated, 10 mL of gelatin aqueous solution (10 wt%, preheated to 40°C) was added to 375 mL of olive oil with stirring to obtain a water-in-oil emulsion. The emulsion temperature was decreased to 4°C, and 100 mL of acetone was added to the emulsion, which was stirred for 1 h. The resulting microspheres were collected, placed into 20 mL of aqueous solution containing 25  $\mu$ L of GA aqueous solution (25 wt%), and stirred at 4°C for 15 h to facilitate cross-linking. The microspheres were agitated in 20 mL of 10 mM aqueous glycine solution containing Tween-80 (0.1%) at 37°C for 1 h to block the residual aldehyde groups on the unreacted GA. The resulting microspheres were finally washed with double-distilled water, freeze-dried, and sterilized with ethylene oxide gas. The water content of the gelatin microspheres was 99 vol% when calculated from the microsphere volume before and after swelling in phosphate-buffered saline (PBS, pH 7.4) for 24 h at 37°C. The diameter of the microspheres in a swollen state in PBS was measured via light microscopy.

### *Disc degeneration model*

All the experiments in this report were approved by the Kyoto Prefectural University of Medicine Experimental Animal Center Committee. A total of 36 male Japanese white rabbits with a mean weight of 2.8 kg were obtained from the Oriental Bio-Service Laboratory (Kyoto, Japan). IVD degeneration was induced in these rabbits as previously described by Okuma *et al.*<sup>28</sup> and Nomura *et al.*<sup>29</sup> Under inhalation anesthesia with 2.5% isoflurane (Abbott Laboratories, North Chicago, IL), the lateroabdominal fur of each rabbit was shaved, and the skin was disinfected with alcohol and providine-iodine. Through an anterolateral approach, L3-4, L4-5, and L5-6 discs were exposed, and a part of the NP (the weight of the NP aspirated ranged from 0.005 to 0.008 g) in L3-4, L4-5, and L5-6 discs were aspirated using a 21-gauge needle on a 10-mL syringe. After aspiration, the fascia and skin were sutured with 3-0 nylon threads. After awakening from anesthesia, the rabbits were kept for 2 weeks in a cage with freedom of movement. These degeneration-induced rabbits were then divided into 4 groups according to the kind of treatment; PRP group ( $n = 9$ ), PBS control group ( $n = 9$ ), PRP-only group ( $n = 9$ ), and sham group ( $n = 9$ ).

### *Preparation of gelatin hydrogel microspheres incorporating PRP*

In the PRP group and PRP-only group, the preparation of PRP was performed 2 weeks after the partial aspiration of NP. Under general anesthesia, as in the partial aspiration of NP, 20 mL of fresh blood was obtained using a syringe containing 2.0 mL of acid citrate dextrose-A solution to prevent coagulation. The whole blood was centrifuged via a centrifugation apparatus (KN70, Kubota, Tokyo, Japan) at 1500 rpm ( $250 \times g$ ) for 10 min. Subsequently, the only plasma fraction was collected and further centrifuged at 3000 rpm ( $1000 \times g$ ) for 10 min to precipitate the platelets. The precipitated platelets at the bottom of the centrifuge tube were collected with 300  $\mu$ L of its supernatant (platelet-poor plasma) to yield PRP. The platelet count was conducted in the whole blood and PRP (all measurements were performed by FALCO, Japan). Simultaneously, smears of whole blood and PRP were observed via light microscopy after Wright-Giemsa staining. In the PRP group, immediately after the PRP preparation, 60  $\mu$ L of PRP was dropped onto 3.0 mg of the freeze-dried and sterilized gelatin microspheres, and incubated for 1 h at 37°C to impregnate PRP into the gelatin microspheres. In the PBS control group, a similar procedure, but using PBS without PRP, was performed to prepare PBS-impregnated gelatin microspheres.

### *Concentrations of growth factors in the PRP and immobilization into the microspheres*

To examine the concentrations of growth factors in the PRP, we measured the concentrations of TGF- $\beta$ 1 ( $n = 11$ )

and PDGF-BB ( $n = 11$ ) in peripheral blood and PRP by an enzyme-linked immunosorbent assay (ELISA) using a TGF- $\beta$ 1 ELISA Kit and PDGF-BB ELISA Kit (R&D Systems, Minneapolis, MN). We also added PBS to the PRP-impregnated gelatin microspheres under exactly the same conditions as for the actual injection, and measured the concentrations of TGF- $\beta$ 1 ( $n = 11$ ) and PDGF-BB ( $n = 11$ ) in PBS outside the microspheres by ELISA after mixing to examine the rate of immobilization of growth factors into the microspheres.

#### *Injection of gelatin hydrogel microspheres*

The injection was performed 2 weeks after the partial aspiration of the NP. In the PRP group, the gelatin hydrogel microspheres impregnated with autologous PRP were injected into the degeneration-induced IVDs. The L3–4, L4–5, and L5–6 discs were exposed through a contralateral approach. Then, 180  $\mu$ L of PBS was added to the prepared PRP-impregnated gelatin microspheres and mixed gently, and 20  $\mu$ L of the latter was injected using a 27-gauge insulin injector into each degeneration-induced IVD with careful manipulation. In the PBS control group, PBS-impregnated gelatin microspheres were similarly injected. In the PRP-only group, 5  $\mu$ L of PRP without microspheres added to 15  $\mu$ L of PBS was similarly injected. In the sham group, only needle puncture was performed.

#### *Histological evaluation*

At 2, 4, and 8 weeks after injection, the rabbits in each group ( $n = 3$ , each time point) were euthanized by intravenous injection of high-dose pentobarbital sodium (120 mg/kg) (Abbott Laboratories). The L3–4, L4–5, and L5–6 discs of each rabbit were removed immediately as vertebral body–disc–vertebral body units. These units were fixed with 4% paraformaldehyde in 0.1 M phosphate buffer at pH 7.4 with 0.2% picric acid for 7 days at 4°C, and decalcified in 0.5 M EDTA at pH 7.5 for 14 days. Then, the discs were cryoprotected in 20% sucrose for 2 days. The discs were embedded in an optimal cutting temperature compound (Sakura Finetek USA, Torrance, CA), and rapidly frozen with powdered dry ice and sectioned at 12  $\mu$ m in the midsagittal plane on a cryostat (Leica Jung CM 3000, Nussloch, Germany). The sections were stained with hematoxylin and eosin for histological evaluation. The degenerative changes in the NP were graded using the grading scale described by Nomura *et al.*<sup>29</sup>: grade 0, normal structure; grade 1, no proliferative connective tissue but honeycomb appearance of the extracellular matrix; grade 2, as much as 24% of the NP occupied by proliferative connective tissue; grade 3, 25–50% of the NP occupied by proliferative connective tissue; grade 4, more than 50%; and grade 5, complete replacement of the normal architecture by proliferative connective tissue. The degenerative changes in the AF were graded using the grading system described by Nishimura *et al.*<sup>30</sup>: grade 0,

normal structure; grade 1, mildly serpentine appearance of the AF with rupture; grade 2, moderately serpentine appearance of the AF with rupture; grade 3, severely serpentine appearance of the AF with mildly reversed contour; grade 4, severely reversed contour; and grade 5, indistinct. All the grading was done in blinded fashion by the orthopaedic resident, who was blinded to the animal treatments.

The grades for the NP and AF were scored from 0 to 5 (0; grade 0, 1; grade 1, 2; grade 2, 3; grade 3, 4; grade 4, 5; grade 5).

#### *Immunohistochemistry for proteoglycan*

Immunohistochemistry for proteoglycan was performed on sections 8 weeks after injection. The frozen sections were dried at room temperature and treated with 1% sodium metaperiodate in PBS to eliminate the endogenous peroxidase activity. After several washes with PBS, they were incubated with 10% normal goat serum in PBS for 10 min under microwave irradiation (MI-77, Azumaya, Tokyo, Japan) to prevent nonspecific staining. Then, the sections were incubated with mouse antihuman cartilage proteoglycan monoclonal antibody (Chemicon, Temecula, CA), which reacts with rabbit proteoglycan and recognizes the short peptides substituted with keratan sulfate side chains and within the core protein of proteoglycans, prepared at a dilution of 1:200 in PBS for 20 min under microwave irradiation. After several washes with PBS, the sections were incubated with antimouse immunoglobulin-HRP (DAKO A/S, Profuktionsvej, Denmark) for 10 min under microwave irradiation. After several washes with PBS, the sections were reacted with a solution containing 3', 3'-diaminobenzidine tetrahydrochloride (DAB; 20 mg) and 20  $\mu$ L of H<sub>2</sub>O<sub>2</sub> in 100 mL of 50 mM Tris-HCl buffer (pH 7.4) until staining was clearly visible. Finally, the sections were counterstained with hematoxylin.

An immunofluorescence examination was also conducted to clarify and confirm the staining for proteoglycan under fluorescent light. The goat antimouse IgG sera labeled with Alexa-488 were used as the secondary antibody, and the sections were observed with a confocal laser scanning microscope (LSM 510, Zeiss, Jena, Germany). In the immunofluorescence examination, images of the NP, inner layer of the AF (IAF), and growth plate (GP; magnification,  $\times 20$ ) were captured under identical conditions, and divided into areas of 10,000  $\mu$ m<sup>2</sup>. The regions without fluttering or peeling in each area per disc were randomly selected and evaluated. All the images were coded and evaluated without knowledge of the experimental group, and the code was not broken until the analysis was complete. The images were converted into black and white, processed under the same threshold, and analyzed using the NIH Image software package. The optical density (OD) of the immunoreactive area was measured and normalized to the OD of the PBS control group using the NIH Image software package. At least 3 regions in each area per animal were selected and

measured ( $n = 9$  discs each for the PRP, PBS control, and PRP-only groups).

#### Ultrastructural observation of NP cells

In the same manner for the injection, PRP-impregnated microspheres were injected into the L3-4, L4-5, and L5-6 discs of the degeneration-induced rabbits ( $n = 3$ ). The L3-4, L4-5, and L5-6 discs were removed at 8 weeks after injection in the same manner as for the histological evaluation. The L3-4, L4-5, and L5-6 discs in normal control rabbits without injection ( $n = 3$ ) were removed similarly to normal controls. Immediately after the removal, each disc was cut transversely, and the NP was quickly removed, cut into small blocks of about  $1 \text{ mm}^3$ , and fixed for 2 h in 2.5% GA in 0.1 M phosphate buffer (pH 7.2) at  $4^\circ\text{C}$ . After the tissues were rinsed with 8% sucrose-containing phosphate buffer, they were postfixed with 1% osmium tetroxide in 0.1 M phosphate buffer for 2 h at  $4^\circ\text{C}$ . After dehydration in a graded ethanol series and treatment with propylene oxide, the tissues were embedded in Quetol 812 at  $45^\circ\text{C}$  for 1 day, and then at  $60^\circ\text{C}$  for 2 days. The embedded tissues were cut with an ultramicrotome (Ultracut E, Reichert-Jung, Heidelberg, Germany), and the ultrathin sections were mounted on nickel grids, stained with 8% uranyl acetate and lead citrate, and washed with distilled water and dried. The sections were observed and photographed via a JEM-1220 transmission electron microscope (JEOL, Tokyo, Japan).

#### Statistical analysis

The data on platelet counts was analyzed using the Mann-Whitney  $U$  test, and the data on histological grading were analyzed using the Mann-Whitney  $U$  test and the Kruskal-Wallis test. The data on the immunostained area for proteoglycan were analyzed using Student's  $t$ -test. Statistical significance was accepted when the  $p$  value was  $<0.05$ . All results are expressed as the mean  $\pm$  standard error of the mean.

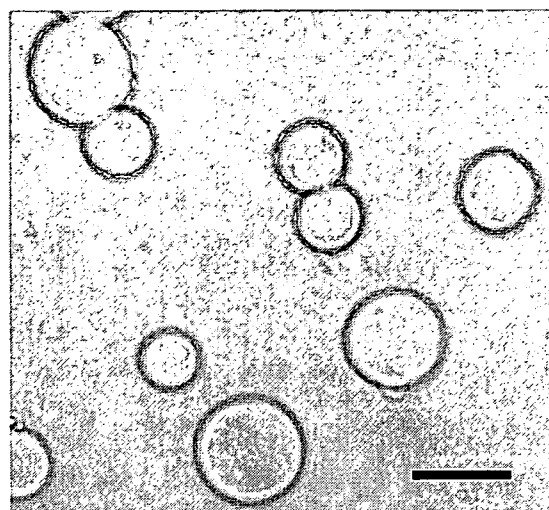
## RESULTS

#### Gelatin hydrogel microspheres as a drug delivery system

After the gelatin hydrogel microspheres were prepared, they were examined via light microscopy to confirm their form and size. Uniformly round microspheres, ranging in diameter from 10 to  $20 \mu\text{m}$  in a swollen state in PBS, were observed (Fig. 1).

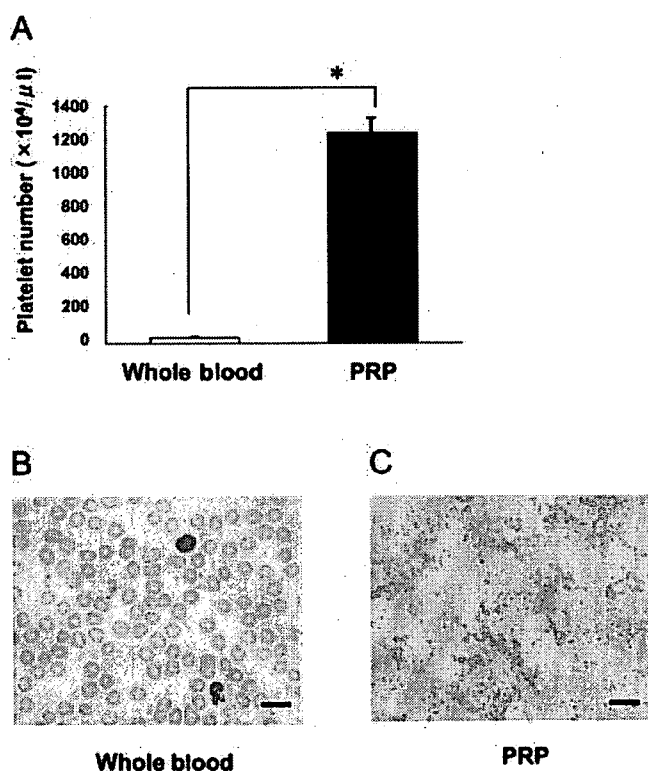
#### High concentration of platelets in the PRP

A blood cell count of the whole blood and the PRP prepared was conducted. The purified PRP contained about 35

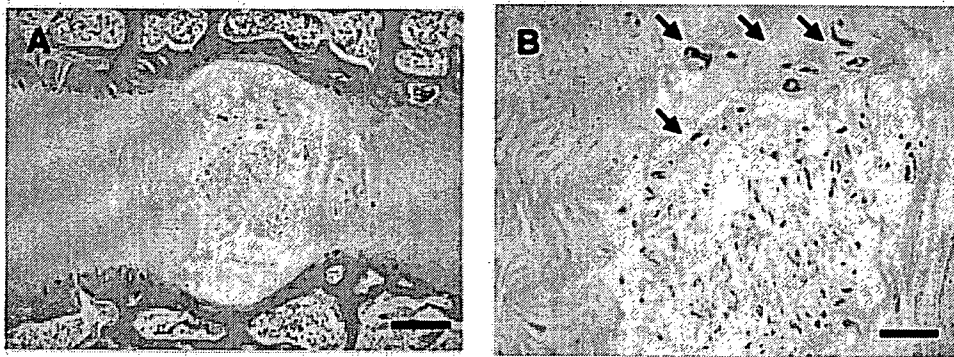


**FIG. 1.** Photomicrograph of the biodegradable gelatin hydrogel microspheres used as a drug delivery system. Uniformly round microspheres ranging in diameter from 10 to  $20 \mu\text{m}$  in a swollen state in PBS were prepared. Scale bar =  $20 \mu\text{m}$ .

times the number of platelets in the whole blood ( $35.4 \pm 2.1 \times 10^4/\mu\text{L}$  in the whole blood, and  $1240.9 \pm 86.1 \times 10^4/\mu\text{L}$  in the purified PRP) (Fig. 2A). Examination via light microscopy after Wright-Giemsa staining confirmed that only



**FIG. 2.** Concentration of platelets in the platelet-rich plasma (PRP). (A) Platelet counts in the whole blood ( $n = 9$ ) and the purified PRP ( $n = 9$ ). The data are expressed as the mean  $\pm$  standard error of the mean.  $p < 0.05$  versus the platelets count in the whole blood. (B, C) Photomicrographs of whole blood (B) and PRP (C) stained with Wright-Giemsa stain. Scale bars =  $20 \mu\text{m}$ .



**FIG. 3.** The histological section of the degeneration-induced disc 2 weeks after the first operation. The irregular arrangement of NP cells and honeycomb appearance of the extracellular matrix in the NP was partially observed. In the AF, a mild serpentine appearance was partially observed. The black arrows indicate the irregular arrangement of NP cells and honeycomb appearance of the extracellular matrix in the NP. Scale bars = 500  $\mu$ m (A) and 200  $\mu$ m (B).

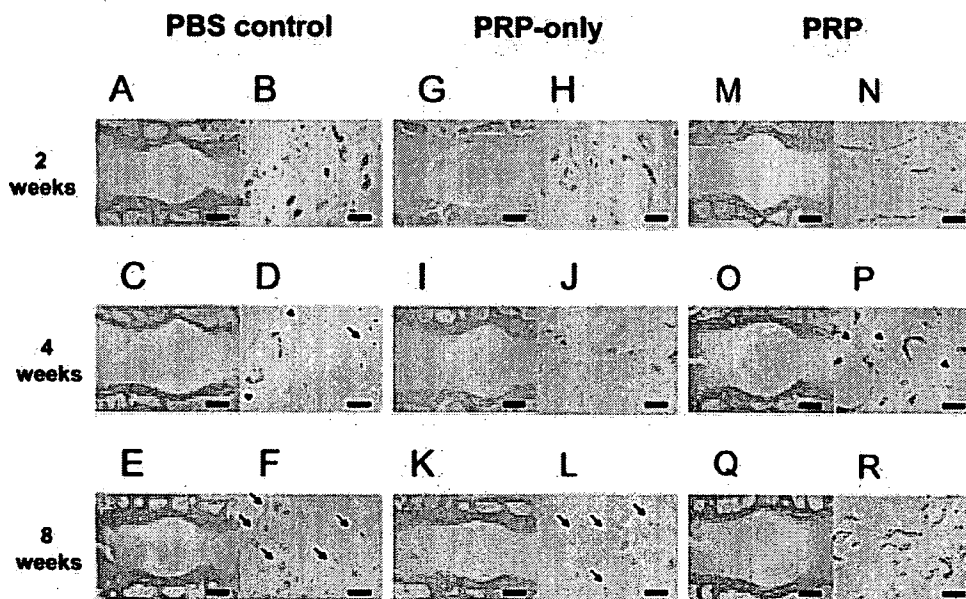
the platelets were highly concentrated, and no other blood cell components were present in the PRP (Fig. 2B, C).

*Concentrations of growth factors in the PRP and immobilization into the microspheres*

The concentration of TGF- $\beta$ 1 was  $71.8 \pm 3.2$  ng/mL in peripheral blood, and  $1653.3 \pm 121.3$  ng/mL in the PRP. Moreover, the concentration of PDGF-BB was  $1.6 \pm 0.2$  ng/mL in peripheral blood, and  $112.9 \pm 11.0$  ng/mL in the PRP. In the examination of the immobilization of growth factors into microspheres, the outflow to PBS not immobilized in the microspheres was  $14.8 \pm 2.5\%$  for TGF- $\beta$ 1 ( $85.6 \pm 15.8$  ng/mL) and  $3.7 \pm 0.9\%$  for PDGF-BB ( $1.4 \pm 0.4$  ng/mL).

*Effect of PRP-impregnated gelatin hydrogel microspheres*

To evaluate histologically the effect of PRP on degenerated IVD, midsagittal sections of IVDs from each group stained with hematoxylin and eosin were examined via light microscopy. In the degeneration-induced discs 2 weeks after the first operation, a partial honeycomb appearance of the extracellular matrix in the NP was observed, but no proliferation of connective tissue in the NP was observed. In the AF, a mild serpentine appearance was partially observed (Fig. 3). No significant difference in the degree of degeneration was observed between the L3-4, L4-5, and L5-6 discs.



**FIG. 4.** Histological sections after injection of PBS-impregnated gelatin microspheres (A, B, C, D, E, F), PRP-only (G, H, I, J, K, L), and PRP-impregnated gelatin microspheres (M, N, O, P, Q, R). (D, F, L) The black arrows indicate chondrocyte-like cells observed in the proliferative connective tissue. (D, P) Arrowheads indicate microspheres at 4 weeks after injection. Scale bars = 700  $\mu$ m (A, C, E, G, I, K, M, O, Q) and 70  $\mu$ m (B, D, F, H, J, L, N, P, R). Stained with hematoxylin and eosin.

In the PBS control, PRP-only, and sham group at 2 weeks after injection, the AF showed a reversed contour in the NP. The distribution of the NP cells became irregular, and the extracellular matrix around the NP cells was heterogeneous and honeycomb-like (Fig. 4A, B, G, H). At 4 weeks after injection, the reversed contour of the AF in the NP was more pronounced and proliferation of connective tissue was also observed. The number of NP cells was notably decreased (Fig. 4C, D, I, J) and chondrocyte-like cells were observed in the connective tissue (Fig. 4D). At 8 weeks after the injection, the NP cells had almost completely disappeared. The lamellar structure in the inner layer of the AF also disappeared, and the NP was completely altered by the proliferated connective tissue (Fig. 4E, F, K, L). Numerous chondrocyte-like cells were observed in the proliferated connective tissue (Fig. 4F, L).

In the PRP group at 2 weeks after the injection, numerous NP cells surrounded by extracellular matrix were observed in the NP, and only mild disruption of the lamellar structure was observed in the AF (Fig. 4M, N). The same histological findings were observed at 4 and 8 weeks after injection, and the structure of both the NP and AF was well maintained during 8 weeks in the PRP group (Fig. 4O–R). In both the PRP group and PBS control group, numerous gelatin hydrogel microspheres were observed in the NP at 2 weeks after injection (Fig. 4B, N), but the number decreased at 4 weeks after injection (Fig. 4D, P). At 8 weeks after injection, almost all of the microspheres were indistinct (Fig. 4F, R). Neither ossification nor invasion of inflammatory cells in the IVD was observed in any group at any time point.

#### Comparison according to the histological grading scale

To compare the histological findings more clearly, a previously described histological grading scale for the degeneration of the NP<sup>29</sup> and the AF<sup>30</sup> was applied. In scoring grades from 0 to 5, the grade of degeneration in the NP was significantly less severe in the PRP group at 4 and 8 weeks after injection ( $1.9 \pm 0.3$  at 2 weeks,  $2.1 \pm 0.4$  at 4 weeks, and  $2.4 \pm 0.2$  at 8 weeks) than in the PBS control group ( $2.8 \pm 0.3$ ,  $3.8 \pm 0.3$ , and  $4.1 \pm 0.4$ , respectively), PRP-only group ( $2.8 \pm 0.4$ ,  $3.9 \pm 0.2$ , and  $4.2 \pm 0.3$ , respectively), and sham group ( $2.4 \pm 0.2$ ,  $3.2 \pm 0.2$ , and  $4.0 \pm 0.2$ , respectively) ( $n = 9$  discs at each time point per group) (Fig. 5A). Similarly, the grade of degeneration in AF was significantly less severe in the PRP group at 4 and 8 weeks after injection ( $2.2 \pm 0.3$  at 2 weeks,  $2.5 \pm 0.3$  at 4 weeks, and  $2.8 \pm 0.2$  at 8 weeks) than in the PBS control group ( $3.0 \pm 0.3$ ,  $3.9 \pm 0.2$ , and  $4.3 \pm 0.3$ , respectively), PRP-only group ( $2.7 \pm 0.3$ ,  $3.7 \pm 0.2$ , and  $4.1 \pm 0.3$ , respectively), and at 8 weeks after injection than in the sham group ( $2.4 \pm 0.2$ ,  $3.3 \pm 0.3$ , and  $4.0 \pm 0.2$ , respectively) ( $n = 9$  discs at each time point per group) (Fig. 5B).

There was no significant difference in the grading score among the groups at 2 weeks after injection in the NP and

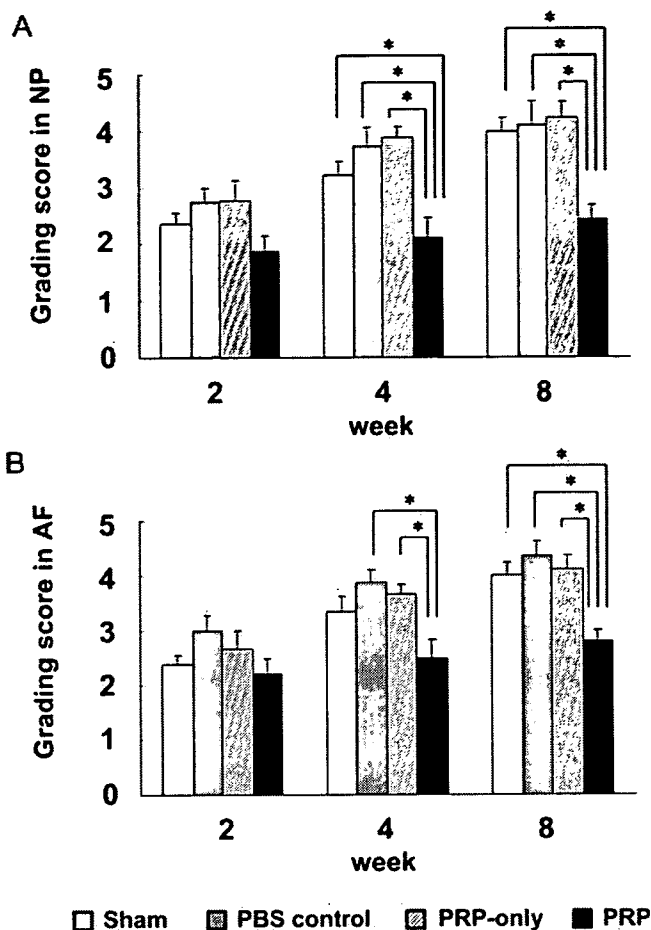
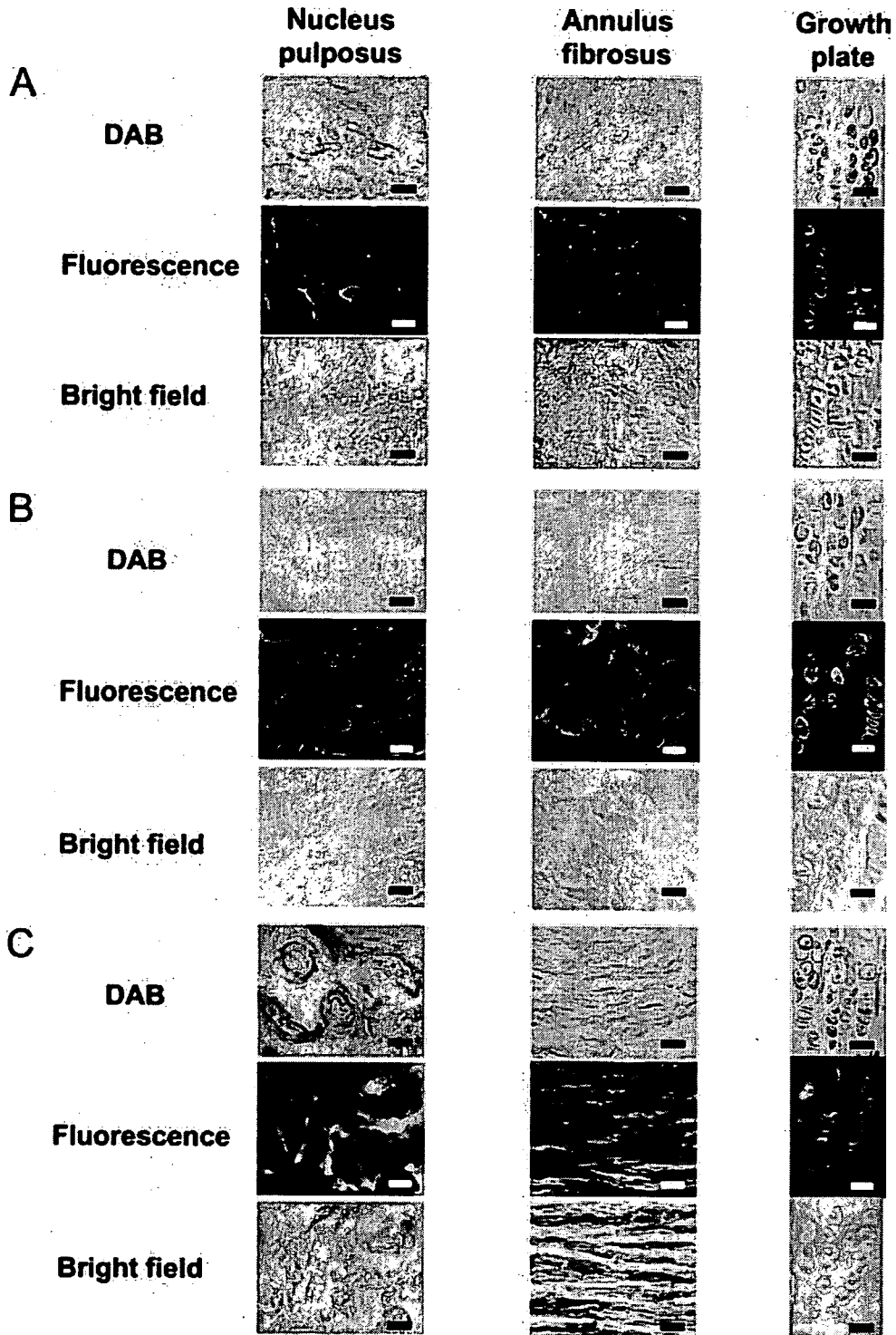


FIG. 5. Evaluation according to the histological grading score. The data are expressed as the mean  $\pm$  standard error of the mean. Histological grading score in the nucleus pulposus (NP) (A) and in the annulus fibrosus (AF) (B).  $p < 0.05$  versus the score of the PRP group.

AF. Statistical analysis of the grading scores for the 3 time points was also performed in NP and AF for each group. As a result, in the NP and AF, a significant difference in the grading score between the 3 time points was observed in the PBS control group, PRP-only group, and sham group, but not in the PRP group. These results suggest that the progress of degeneration in the NP and AF was significantly suppressed in the PRP group as compared to the PBS control group, PRP-only group, and sham group.

#### High content of proteoglycan after the administration of PRP

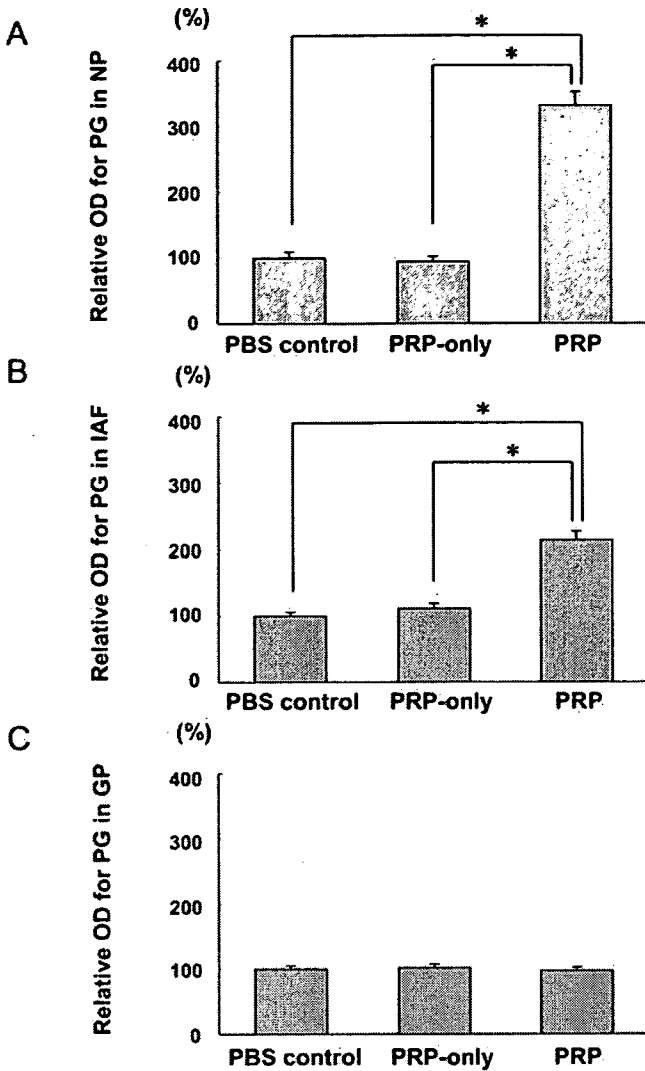
To evaluate the effect of PRP for the proteoglycan content after the injection, immunostaining for proteoglycan was conducted. In the PBS control group and the PRP-only group, only weak immunostaining in the NP was observed. Weak immunostaining was also observed around the invaded chondrocyte-like cell (Fig. 6A, B). In the inner layer



**FIG. 6.** Immunohistochemical detection for proteoglycan in the PBS control group (A), PRP-only group (B), and PRP group (C). The growth plate was appropriately stained in the PBS control group (A), PRP-only group (B), and PRP group (C). Scale bars = 20  $\mu$ m.

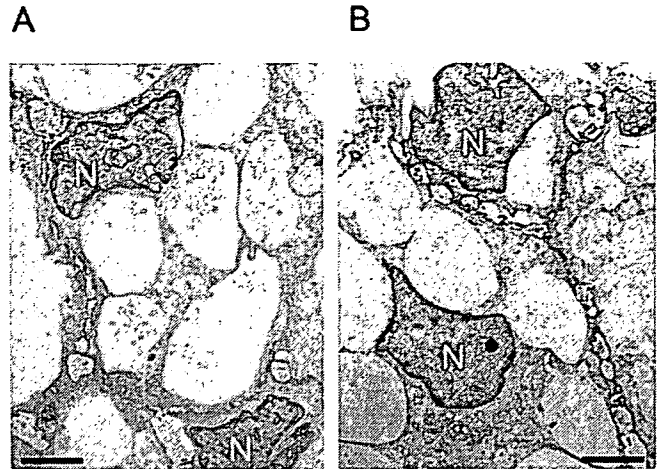
of the AF with an indistinct lamellar structure, weak and irregular immunostaining was observed (Fig. 6A, B). Otherwise, in the NP of the PRP group, notably intense and regular staining for proteoglycan was observed, and especially intense staining was observed around the NP cells (Fig. 6C). In

the inner layer of the AF, regular staining was observed in the lamellar structure (Fig. 6C). No difference in staining for proteoglycan was observed in the chondrocytes of the growth plate among the PBS control group (Fig. 6A), PRP-only group (Fig. 6B), and the PRP group (Fig. 6C).



**FIG. 7.** The calculated optical density (OD) of the immunoreactive area for proteoglycan in the nucleus pulposus (NP) (A), inner layer of annulus fibrosus (IAF) (B), and growth plate (GP) (C). Each value was normalized to the OD of the PBS control group, and expressed as a percentage of the PBS control group value. The data are expressed as the mean ± standard error of the mean.  $p < 0.05$  versus the optical density in the PRP group.

Further, in the immunofluorescence examination, the OD of the immunoreactive area for proteoglycan was normalized to the OD of the PBS control group, and expressed as a percentage of the PBS control group value. The relative OD in the PRP group was significantly higher than that in the PBS control group and the PRP-only group in the NP (PBS control group,  $100.0 \pm 9.1\%$ ; PRP-only group,  $94.1 \pm 7.6\%$ ; and PRP group,  $331.6 \pm 20.7\%$ ) (Fig. 7A) and the IAF ( $100.0 \pm 5.9\%$ ,  $111.2 \pm 7.7\%$ , and  $213.7 \pm 13.4\%$ , respectively) (Fig. 7B). No significant difference was observed in the GP among the 3 groups ( $100.0 \pm 4.9\%$ ,  $101.9 \pm 5.5\%$ , and  $97.6 \pm 4.9\%$ , respectively) (Fig. 7C).



**FIG. 8.** Transmission electron micrographs of the nucleus pulposus cells in the normal control rabbit intervertebral disc (A) and discs 8 weeks after the injection of PRP-impregnated gelatin microspheres (B). N, nucleus. Scale bars = 2  $\mu\text{m}$ .

*Ultrastructure of NP cells after the administration of PRP*

Ultrastructural observation using transmission electron microscopy was conducted. In the normal control NP cells, the nuclei were irregular in shape and enclosed by a well-demarcated nuclear membrane. The nucleoli were observed in the nuclei, and numerous vesicles were observed in the cytoplasm (Fig. 8A). In the NP cells at 8 weeks after the injection of PRP-impregnated gelatin hydrogel microspheres, no apparent ultrastructural difference from the normal control NP cells was observed (Fig. 8B).

**DISCUSSION**

The present study demonstrates that the combined administration of PRP with gelatin hydrogel microspheres into the NP remarkably suppressed the progress of IVD degeneration. Moreover, there were no apparent side effects such as ossification or inflammation in the IVD and anatomical or physiological changes in NP cells in this study.

PRP is a cocktail of concentrated autologous growth factors, such as TGF- $\beta$ 1 and PDGF, and has been used clinically in the field of oral surgery to promote osteogenesis.<sup>31,32</sup> Safety is one of the advantages of using PRP for tissue regeneration because there is no need for concern about transmissible diseases or immune reactions as PRP is an autologous material.<sup>23</sup> Other advantages include the convenience of preparation and efficacy as a result of the synergistic effects of various endogenous growth factors. However, generally in the application of growth factors *in vivo*, their short biological half-life has been considered a serious drawback.<sup>33,34</sup> Nishida *et al.*<sup>35</sup> reported that the



proteoglycan synthesis in the NP of rabbits was increased by the sustained action of TGF- $\beta$ 1 on transduction of the human TGF- $\beta$ 1 encoding gene into the NP with adenoviral vectors. Walsh *et al.*<sup>36</sup> reported that TGF- $\beta$ 1 had a stimulatory effect on the annular fibrochondrocytes only after administration of multiple injections. These reports suggest the necessity of the sustained action of growth factors in the IVD for regeneration. On the other hand, the therapeutic effects of osteogenic protein-1 (OP-1) for IVD *in vivo* has been reported.<sup>37-39</sup> Masuda *et al.*<sup>39</sup> reported that the single injection of OP-1 into the NP of rabbit worked long term and induced regenerative effects. From these reports, it may be suggested that the necessity of the DDS for IVD regeneration depends on the nature of the growth factors. For the sustained actions of growth factors *in vivo*, either gene transduction or the multiple injection of growth factor into IVD may be impractical for clinical application from the perspective of safety and invasiveness. We considered that an effective, safe, and convenient DDS is desirable for the sustained action of PRP growth factors *in vivo*.

Gelatin has been proved to be a biodegradable and bio-safe material, and has been applied clinically as a surgical biomaterial and an ingredient in drugs for a long time. Further, the main component of gelatin is type I collagen, which is a component of normal IVD tissue.<sup>40</sup> We prepared biodegradable gelatin hydrogel microspheres as a carrier of platelet growth factors. One of the main features of this microsphere is its electrical nature.<sup>41</sup> The isoelectric point of gelatin can be changed by the preparation conditions from collagen. We prepared gelatin hydrogel microspheres from acidic gelatin with an isoelectric point of 5.0, which can ionically interact to form a polyion complex with growth factors, such as TGF- $\beta$ 1 and PDGF, with isoelectric points higher than 8.5. Another main feature of this microsphere is its biodegradability, which can be changed by the degree of cross-linking with GA. Tabata *et al.*<sup>41,42</sup> reported that the growth factor immobilized in this hydrogel was released while maintaining its biological activity *in vivo* by the degradation of the hydrogel, and the time course of the release was in close accordance with the rate of hydrogel degradation. The controlled release of growth factors, such as basic fibroblast growth factor,<sup>43</sup> TGF- $\beta$ 1,<sup>44,45</sup> PDGF,<sup>46</sup> and bone morphogenic protein-2,<sup>47</sup> using this gelatin hydrogel system has been reported. Moreover, Hokugo *et al.*<sup>48</sup> reported that this gelatin hydrogel was also a substance capable of activating platelet growth factors such as TGF- $\beta$ 1 and PDGF. From these findings, we were convinced that the gelatin hydrogel microsphere would be an ideal DDS for IVD regeneration using PRP. For biodegradability of gelatin hydrogel microspheres, the water content of the gelatin microspheres we prepared was 99 vol%, when calculated from the microsphere volume before and after swelling in PBS solution (pH 7.4) (data not shown). From the histological finding that almost all microspheres were indistinct at 8 weeks after injection, we considered that our adminis-

tration of microspheres successfully resulted in the sustained release of the growth factor in IVD for 8 weeks.

We also considered it necessary to impregnate the highly concentrated and purified PRP growth factors into the microspheres for the long-term and efficient release of growth factors in IVDs. We therefore modified the previously reported method of preparing PRP,<sup>49</sup> excluding blood cell components other than the platelets, and prepared a PRP that contained highly concentrated platelets (Fig. 2C). Our experiment using ELISA indicates that TGF- $\beta$ 1 and PDGF-BB were also highly concentrated in the PRP we prepared. It has been recognized that more than 95% of the presynthesized growth factors present in the  $\alpha$ -granules of platelets are secreted within 1 h after blood clotting.<sup>22</sup> Therefore, in our preparation of PRP-impregnated gelatin hydrogel microspheres, the PRP was dropped onto the gelatin hydrogel microspheres immediately after preparation and incubated for 1 h at 37°C to secrete growth factors and immobilize it to the gelatin microspheres before injection. Our experiment using ELISA indicates that most of the TGF- $\beta$ 1 and PDGF-BB secreted were immobilized into the gelatin hydrogel microspheres. These results indicate that highly concentrated TGF- $\beta$ 1 and PDGF-BB immobilized in gelatin hydrogel microspheres can be administered into the NP.

We used the IVD degeneration model with partial aspiration of the NP. This model has been recognized for its ability to create reproducible and progressive disc degeneration, and was used in previous studies on disc regeneration.<sup>28-30,50</sup>

Histological observation of the IVD sections 2 weeks after the injection revealed clear differences between the PRP group and the other groups in the NP, although the histological grading score did not reach a significant difference. This indicates that the degeneration of the NP was accelerating in the PBS control, PRP-only, and sham groups, while it was suppressed in the PRP group. In the PRP-only group, similar to the PBS control and sham group, notable progress in degeneration with time courses was observed. In contrast, progress was significantly suppressed over the 8-week period in the PRP group. It is likely that the activation of PRP growth factors was not induced or maintained by the single injection of PRP.

It has been known that proteoglycan plays a major role in the water content and swelling pressure in IVD,<sup>6,51,52</sup> and a decrease of proteoglycan in the NP triggers IVD degeneration.<sup>53-55</sup> Further, in an *in vitro* experiment, it was reported that PRP significantly stimulated the synthesis of proteoglycan and collagen on NP and AF cells.<sup>56,57</sup> In our immunohistological experiment, a significant difference in staining for proteoglycan was observed between the PRP group and the other groups, and intense and regular staining was observed in the PRP group. Considering these results, it may be speculated that the PRP growth factors acted on the NP and IAF cells in a sustained manner, and the synthesis of proteoglycan was upregulated in the PRP group. In addition

to the direct influence on the IVD cells, swelling pressure in the NP might be maintained by the accumulation of proteoglycan, and consequently, the collapse of the AF structure might be suppressed. Although further experiments are required to evaluate the various effects of PRP growth factors such as cell proliferation and the suppression of apoptosis, it may be suggested that the promotion of proteoglycan synthesis plays important roles in IVD regeneration by PRP growth factors *in vivo*.

It has been described that PRP growth factors bind to the transmembrane receptors of target cells, but never enter the cell or its nucleus, and consequently they are not mutagenic.<sup>22</sup> In our observation using transmission electron microscopy, no apparent changes in ultrastructure as compared to normal NP cells were observed in the NP cells after the administration of PRP-impregnated gelatin hydrogel microspheres. This result suggests that no anatomical or physiological change in NP cells was induced by the administration of PRP.

The present study showed histologically the therapeutic effects of PRP-impregnated gelatin hydrogel microspheres for early-stage IVD degeneration, but the therapeutic effects on advanced IVD degeneration and in the long term remain unclear. In addition, it is known that human NP cells in infancy are notochordal NP cells, similar to those of the rabbits we used, but are replaced by chondrocytic NP cells with growth.<sup>58</sup> It is necessary to clarify further the therapeutic effects of PRP growth factors on the advanced IVD degeneration and chondrocytic NP cells. Recently, the usefulness of imaging techniques such as magnetic resonance imaging and radiography for the evaluation of IVD degeneration has been reported.<sup>37,59,60</sup> Further evaluation using these imaging techniques may make clear the various effects of PRP growth factors *in vivo*.

To our knowledge, this is the first report of the administration of PRP into degenerated IVDs *in vivo*. Considering the results, it is suggested that the combined administration of PRP growth factors with gelatin hydrogel microspheres into the NP, is an effective, safe, and convenient method, and may be an innovative and promising therapeutic modality for IVD degeneration.

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# Controlled-release of epidermal growth factor from cationized gelatin hydrogel enhances corneal epithelial wound healing

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## Abstract

We designed a new ophthalmic drug-delivery system for epidermal growth factor (EGF) from the biodegradable hydrogel of cationized gelatin. We placed a cationized gelatin hydrogel (CGH) with incorporated <sup>125</sup>I-labelled EGF in the conjunctival sac of mice and measured the residual radioactivity at different times to evaluate the *in vivo* profile of EGF release. Approximately 60–67% and 10–12% of EGF applied initially remained 1 and 7 days after application, respectively; whereas EGF delivered in topically applied solution or via EGF impregnation of soft contact lenses disappeared within the first day. We also placed CGH films with 5.0 µg of incorporated EGF on round corneal defects in rabbits to evaluate the healing process using image analysis software and to assess epithelial proliferation immunohistochemically by counting the number of Ki67-positive cells. The application of a CGH film with incorporated EGF resulted in a reduction in the epithelial defect in rabbit corneas accompanied by significantly enhanced epithelial proliferation compared with the reduction seen after the topical application of EGF solution or the placement of an EGF-free CGH film. The controlled release of EGF from a CGH placed over a corneal epithelial defect accelerated ocular surface wound healing.

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**Keywords:** Controlled release; Corneal wound healing; Epidermal growth factor; Cationized gelatin hydrogel; Hydrogel degradation

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## 1. Introduction

Growth factors (GF) are relevant bioactive proteins that play a vital role in regulating cell functions and maintaining tissue homeostasis; a wide variety of GF are involved in the wound-healing process of the ocular surface [1,2]. An enormous number of studies have revealed that topical treatment with GF, such as epidermal growth factor (EGF) [3–7], keratinocyte growth factor [8], acidic and basic fibroblast growth factor [9,10], and nerve growth factor [11] enhanced the wound-healing effect in primate models and in clinical trials, suggesting promising therapeutic efficacy of GF in ocular surface diseases. As these findings elucidated more extensively the clinical usefulness of GF, a system to deliver protein drugs has become more and more needed. Compared to conventional synthetic low molecular weight drugs, proteins are more unstable during their storage and

administration, and they are susceptible to denaturation during the drug formulation process [12–14].

This has hampered the ocular therapeutic application of GF, and eye drops, the simplest formulation for ophthalmic administration, have remained the standard mode of drug delivery. However, rapid pre-corneal loss requires the frequent instillation of highly concentrated drug solutions to achieve drug contact with the cornea for periods long enough to obtain therapeutic efficacy [15–17]. To overcome the low bioavailability of drugs in conventional eye drops, various drug delivery systems have been explored. Viscous vehicles such as ointments and polymeric hydrogels improve drug bioavailability moderately [15–19]. Hydrogel soft contact lenses (SCL) [20–23] have been used as a pre-corneal drug reservoir system and collagen shields [24–26] have been studied as an alternative to provide higher and sustained drug concentrations for up to several hours. As available drug delivery systems focused on the delivery of low molecular weight drugs rather than protein drugs, we set out to design a finely tuned system to deliver GF to the ocular surface.

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We previously explored the use of biodegradable gelatin hydrogels for the controlled release of GF [27–29] and succeeded in enhancing the *in vivo* biological activity of GF in angiogenesis [30,31], osteogenesis [32,33], and adipogenesis [34]. From the release system, biologically active GF were released as a result of biodegradation of hydrogel as the release carrier.

In the current study we investigated whether the gelatin hydrogel could be used for the controlled release of GF by examining the release profiles of GF incorporated into the gelatin hydrogel and accelerated ocular surface wound-healing effect. Because it enhances epithelial wound healing [3–7], we used EGF as a representative GF. We prepared anionized and cationized derivatives of acidic- and basic-type gelatins, respectively, and various hydrogels by cross-linking gelatin samples. We then impregnated the hydrogels with EGF to obtain the hydrogel incorporating EGF and examined the *in vitro* and *in vivo* EGF release profiles. To evaluate their therapeutic efficacy, we applied the hydrogel incorporating EGF to rabbit corneal epithelial defects, measured the decrease in the size of the defects, and quantified epithelial proliferation by counting the number of Ki67-positive cells.

## 2. Materials and methods

### 2.1. Preparation of gelatin derivatives

Gelatin was chemically derivatized by introducing functional groups into acidic- and basic-type gelatin (isoelectric point (IIP) 5.0 and 9.0, Nitta Gelatin Co., Osaka, Japan) to obtain anionized or cationized gelatin derivatives, respectively. For anionization, succinic anhydride was conjugated to the amino groups of acidic gelatin side-residues to obtain a succinylated derivative of gelatin [35]. For another anionization, 2.606 g of sulfoacetic acid (5 ml) were added to 45 ml of 4 wt.% acidic-type gelatin solution in phosphate-buffered saline (PBS); then the pH was adjusted to 5.0. After adding 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride, the reaction was continued at 37 °C for 18 h; this was followed by dialyzing against milli-Q purified water for 48 h at room temperature (RT). The dialyzed solution was freeze-dried to obtain a sulfoethylated gelatin derivative. For cationization, various amounts of ethylenediamine were conjugated to the amino groups of basic gelatin side-residues to obtain cationized gelatin (CG) [36–38]. The CG was named according to the molar ratio of ethylenediamine added to the carboxyl groups of gelatin (E0, E0.5, E3, E10, and E50). Using the 2,4,6-trinitrobenzene sulfonate (TNBS) method [39], the percent introduced for each derivative was calculated as the percentage increase or decrease of the amino groups in cationized or anionized gelatins. The IIP of the derivatives was measured by the JIS PAGI method [40]. Briefly, 1 wt.% of gelatin derivative solution was passed through ion exchange column (cationic (DOWEX 50W-X8) and anionic (DOWEX 1-X8) exchange resins were mixed) at 40 °C and the pH of the elution was measured with pH meter (HORIBA D-22) at 40 °C.

### 2.2. Preparation of gelatin hydrogels

#### 2.2.1. Preparation of freeze-dried gelatin hydrogel blocks and SCL

The gelatin hydrogel blocks were prepared by chemical cross-linking of gelatin [35,38]. The freeze-dried gelatin hydrogels were cut into blocks of 2.0 and 1.0 mg for *in vitro* and *in vivo* release experiments, respectively. Commercially available SCL (Precision UV®) were rinsed 3 times with milli-Q, freeze-dried, and cut into 4.0- and 2.0-mg blocks.

#### 2.2.2. Preparation of thin CG hydrogel (CGH) films

Thin CGH films were prepared by air-drying and dehydrothermal cross-linking of CGH. Aqueous solutions of 5 wt.% CG (1000 µl for mouse — and 250 µl for rabbit experiments) were cast into 2 × 2-cm<sup>2</sup> molds, air-dried at 4 °C, and then cross-linked in a vacuum oven at 160 °C for 54 h. The resulting CGH films (0.025 mm in thickness) were cut into 2.0-mg pieces for the mouse experiments; they were punched out with a trephine to obtain 9-mm round pieces for the rabbit experiments.

#### 2.2.3. Measurement of gelatin hydrogel water content

The water content of the gelatin hydrogel (weight ratio of water in the hydrogel to the wet hydrogel) was calculated from the hydrogel weight before and after 24-h swelling in milli-Q at 37 °C [35].

### 2.3. Estimation of *in vitro* EGF release

Human recombinant EGF (PeproTech EC Ltd., London, UK) was radiolabelled using the chloramine-T method [41]. After dropping 10 µl of <sup>125</sup>I-labeled EGF solution onto freeze-dried gelatin hydrogel blocks and SCL, they were left overnight at 4 °C to obtain gelatin hydrogels and SCL with incorporated <sup>125</sup>I-labeled EGF (<sup>125</sup>I-EGF-gelatin hydrogels, <sup>125</sup>I-EGF-SCL). They were then agitated at 37 °C in 1 ml of PBS. The PBS supernatant was removed and replaced at 30, 60, 120, and 360 min or at 24 and 48 h with an identical volume of fresh PBS solution. The radioactivity in each supernatant was measured on a gamma counter (ARC-301B, Aloka, Tokyo, Japan) to obtain the EGF release profiles over time (*n* = 3, at each time point).

### 2.4. Animal experiments

All animal experiments were in compliance with the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research; all experimental procedures were pre-approved by the Committee for Animal Research of Kyoto Prefectural University of Medicine and Kyoto University. We used 5–6-week-old female ddY mice in our *in vivo* EGF release and CGH degradation experiments and female Japanese white rabbits, each weighing 1.5–2.0 kg, in the wound-healing experiments.

### 2.5. Estimation of *in vivo* EGF release

We used radiolabelled EGF to obtain the *in vivo* EGF release profiles in the conjunctival sac of mice. The animals were

Table 1  
Preparation of hydrogels from gelatin derivatives

Code		Gelatin concentration (wt.%)	Reagent concentration (mg/ml)	Percent introduced (mol/mol%)	Isoionic point	The water content of hydrogels (wt.%)
A	Acidic-type gelatin	10	1.25	–	5.05	90.1
SE	Sulfoethylated gelatin	10	1.00	26.5	4.57	97.4
S	Succinylated gelatin	10	1.25	21.1	4.72	87.9
B	Basic-type gelatin	10	1.25	–	8.81	87.7
E0.5	Cationized gelatin	10	0.62	14.1	10.01	94.7
E3	Cationized gelatin	10	0.93	24.2	9.06	96.5
E10	Cationized gelatin	10	0.93	33.9	8.94	97.7
E25	Cationized gelatin	10	0.93	45.6	8.14	94.6
E50	Cationized gelatin	10	0.93	45.1	7.84	84.5

anesthetized with an intraperitoneal injection of Nembutal (Dainippon sumitomo pharma, Osaka, Japan). After introducing  $^{125}\text{I}$ -EGF–CGH (E0.5 and E3) into the conjunctival sac of mice we performed tarsorrhaphy. At 1, 3, 5, and 7 days after treatment, the remaining hydrogel and surrounding ocular tissue were excised and the residual radioactivity was measured ( $n=4$ , for each time point). The ratio of the remaining radioactivity to the introduced radioactivity was expressed in terms of % remaining radioactivity and the time-profiles of remaining radioactivity were compared with the profiles of  $^{125}\text{I}$ -EGF–SCL and the profiles obtained after the single topical application of  $^{125}\text{I}$ -labeled EGF solution.

### 2.6. Estimation of *in vivo* degradation of CGH

The *in vivo* time profiles of CGH (carrier alone) degradation were examined using E0.5 and E3 CGH radiolabelled with  $^{125}\text{I}$ -Bolton-Hunter reagent [42].  $^{125}\text{I}$ -CGH were placed in the conjunctival sac of mice and the degradation profiles were assessed as above ( $n=4$ , for each time point).

### 2.7. Evaluation of enhanced wound healing

To determine the effect of controlled EGF release on wound healing we used a rabbit corneal epithelial defect model. Japanese white rabbits were anesthetized by an intramuscular injection of ketamine hydrochloride (Daiichi-Sankyo, Co., Ltd., Tokyo, Japan) and xylazine hydrochloride (Bayer Medical Ltd., Tokyo, Japan). Oxybuprocaine hydrochloride (Santen Pharmaceutical Co., Ltd., Osaka, Japan) was instilled topically. After creating a round corneal epithelial defect ( $177\text{ mm}^2$ ) in the center of the cornea by superficial keratectomy with a 7.5-mm trephine, the cornea was overlaid with a thin CGH film that incorporated  $5.0\text{ }\mu\text{g}$  of EGF (0.11 mm in thickness), a SCL (0.14 mm in thickness) was sutured with 10-0 nylon over the film to keep it in place, and then tarsorrhaphy was performed. To evaluate wound healing, the area of the defect was measured 48 and 96 h later using image analysis software (Scion Image) ( $n=7$  for each time point). The healing profiles of defects covered with EGF–CGH film, EGF-free-CGH film, or treated with the single application of topical EGF solution were compared. In control experiments, an aqueous solution of  $5.0\text{ }\mu\text{g}$  EGF was applied topically to the defect and 2 h later a CGH film was placed on the cornea; the

defect in other rabbits was covered with an EGF-free CGH film. As in the experimental groups, an SCL was sutured over the film with 10-0 nylon sutures and this was followed by tarsorrhaphy.

### 2.8. Immunohistochemical assessment of corneal epithelial proliferation

Epithelial proliferation was assessed immunohistochemically by counting the number of Ki67-positive cells. At 48 h after wounding, the eyes were excised ( $n=6$  for each experimental group) and  $6\text{ }\mu\text{m}$ -thick cryostat sections of corneo-scleral tissue were cut and processed for Ki67 immunostaining using monoclonal mouse anti-human Ki67 antigen (Dako Cytomation, Glostrup, Denmark). The sections were fixed with zamboni, incubated for 1 h at RT with an anti-Ki67 antibody (1:75 dilution) and for 2 h at RT with Alexa Fluor 488-conjugated goat anti-mouse IgG (Invitrogen, Carlsbad, CA., USA) (1:2000 dilution), mounted in fluorescent mounting media, and then examined under a fluorescence microscope (AX70, OLYMPUS, Tokyo, Japan) equipped with a CCD camera.

The total number of Ki67-positive cells in each section was counted in an area extending from 1 mm outside the limbocorneal junction to the leading edge of the regenerated epithelium (total area). Cell counts were also obtained in 3 different 1-mm wide zones in the leading edge and inside and outside the limbocorneal junction (leading edge, periphery, and limbus, respectively). The proliferation profiles obtained after a single application of topical EGF solution and after EGF-free CGH film placement were compared.

### 2.9. Statistical analysis

All results were expressed as the mean  $\pm$  standard deviation of the mean. For statistical analysis we used the Tukey–Kramer post-test for multiple comparisons. Differences were considered significant at  $p < 0.05$ .

## 3. Results

### 3.1. Preparation and characterization of gelatin hydrogels

As EGF-carrier candidates, we prepared 2 kinds of anionized and 5 kinds of cationized gelatin derivatives from acidic- and

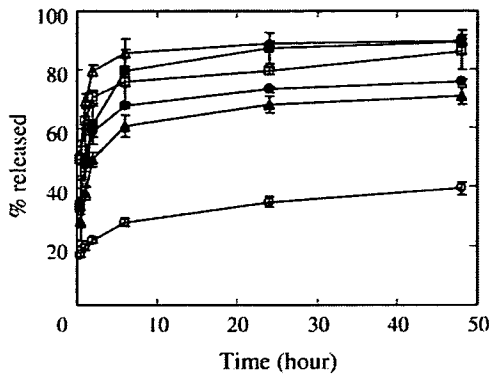


Fig. 1. Time course of the *in vitro* release of EGF from different gelatin hydrogels in PBS at 37 °C. The hydrogels were impregnated with  $^{125}\text{I}$ -labeled EGF solution. E0.5 CGH (○), SE (△), S (□), B (●), and A (▲). ■ indicates the profile of EGF release from commercially available SCL.

basic-type gelatin, respectively (Table 1). IIP measurements revealed that the reaction produced anionized and cationized gelatin derivatives. For cationized gelatin, the % ethylenediamine introduced increased with the increase in the amount of ethylenediamine added initially. Irrespective of the gelatin type, hydrogels were prepared by chemical cross-linking of the gelatin with glutaraldehyde.

### 3.2. *In vitro* release profiles of EGF from gelatin hydrogels

Because the gelatin used as the EGF carrier in controlled-release experiments must be able to interact physicochemically with EGF, we first assessed the *in vitro* EGF release profiles in our candidate gelatin hydrogels. As shown in Fig. 1, the release profiles were biphasic; there was an initial burst in release and this was followed by a no-release phase. The E0.5 CGH showed an initial release-burst of less than 25% of the incorporated EGF; approximately 70% of the EGF was retained thereafter. On the other hand, approximately 60–80% of incorporated EGF was released initially from other gelatin hydrogels and SCL. This finding indicates that CGH interacts with EGF, resulting in a suppressed EGF release under *in vitro* conditions in which the hydrogel is not degraded.

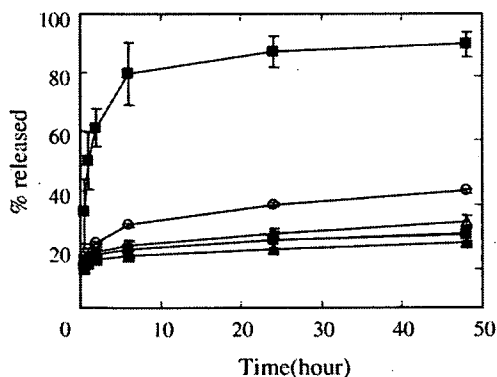


Fig. 2. Time course of the *in vitro* release of EGF from CGH cationized at different extents in PBS at 37 °C. The hydrogels were prepared by impregnating CGH with  $^{125}\text{I}$ -labeled EGF solution. E0.5 (○), E3 (△), E10 (□), E25 (●), and E50 (▲). ■ indicates the profile of EGF release from commercially available SCL.

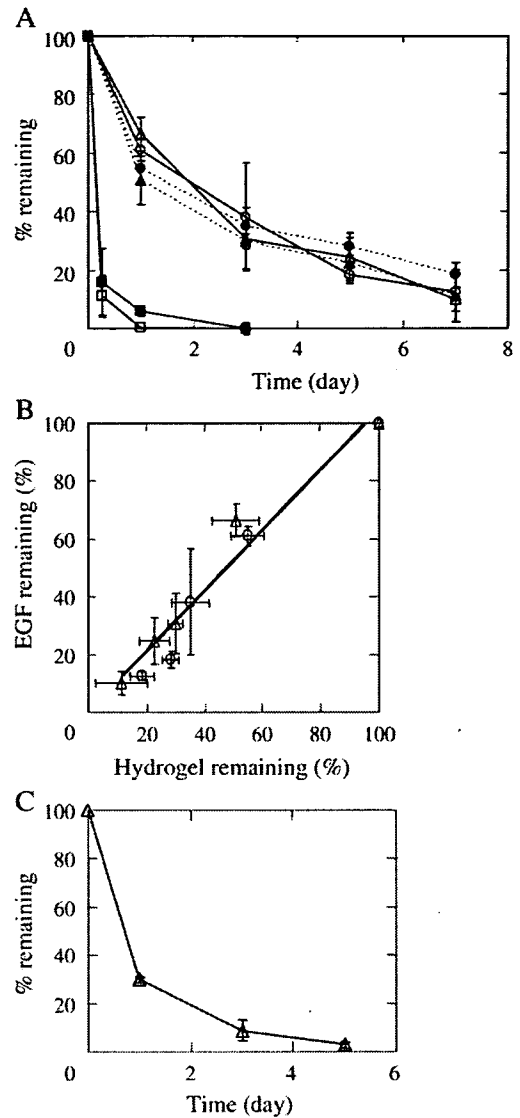


Fig. 3. *In vivo* release profile of EGF from CGH. A. Time course of radioactivity remaining after the application of CGH with incorporated  $^{125}\text{I}$ -labeled EGF (open symbols) and  $^{125}\text{I}$ -labeled CGH (carrier alone) (closed symbols) into the conjunctival sac of mice. E0.5 (○, ●) and E3 (△, ▲) CGHs. □ and ■ indicate the time-course of radioactivity remaining after the application of topical  $^{125}\text{I}$ -labeled EGF solution and of SCL with incorporated  $^{125}\text{I}$ -labeled EGF, respectively. B. Radioactivity remaining after the application of CGH with incorporated  $^{125}\text{I}$ -labeled EGF as a function of remaining radioactivity after the application of  $^{125}\text{I}$ -labeled CGHs. E0.5 (○), E3 CGHs (△). The correlation coefficient was  $R=0.98$ . C. Time-course of radioactivity after the application of a thin CGH film, prepared by dehydrothermal cross-linking, with incorporated  $^{125}\text{I}$ -labeled EGF into the conjunctival sac of mice.

### 3.3. *In vitro* profiles of EGF release from CGH

To preserve the original nature of gelatin, the extent of cationization should be minimized. As shown in Fig. 2, the *in vitro* release profiles of EGF from E0.5–E50 CGH were also biphasic. All examined CGH showed an initial EGF release-burst of 45%; more than 55% of the incorporated EGF was retained thereafter. Although the amount of EGF released in the initial burst tended to increase as the extent of gelatin cationization decreased, the level of cationization appeared to have no significant effect on the EGF release profile over time.



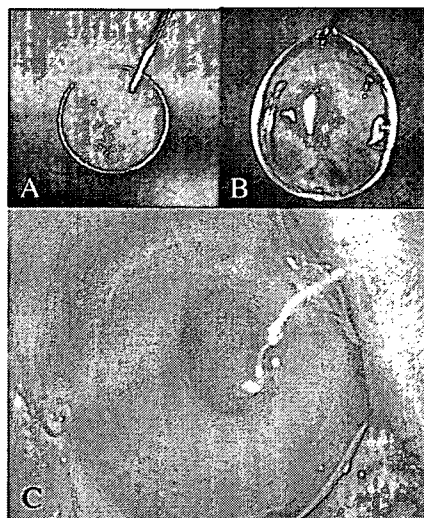


Fig. 4. Slit lamp microscopy of CGH film. A. Dried thin CGH film prepared by dehydrothermal cross-linking. B. Rehydrated thin CGH film on a SCL. C. A thin, transparent CGH film was applied to the rabbit corneal defect subsequently covered with a SCL.

The degree of cationization required for sustained EGF retention was as small as E0.5 or E3.

### 3.4. *In vivo* profiles of EGF release from CGH

Using radiolabelled EGF, we determined the *in vivo* time profile of EGF release from CGH in the conjunctival sac of mice (Fig. 3A). When EGF was delivered in an eye drop solution, the radioactivity disappeared from the ocular tissue within the first day. A similar trend was observed when we applied SCL with incorporated EGF; only 5.8% of EGF remained in the SCL on the day after placement. On the other hand, in E0.5 and E3 EGF–CGH, the residual radioactivity of EGF on day 1 was 60.9 and 66.4%, respectively; these levels gradually decreased to 12.5% and 10.0%, respectively, on day 7. These observations indicate that EGF was retained in the CGH and released to the ocular surface in a sustained manner over a 7-day period.

To determine whether the EGF release is the result of degradation of the carrier hydrogel, we performed *in vivo* degradation tests in the conjunctival sac of mice. The residual radioactivity in E0.5 and E3 carrier gels was 54.7% and 50.7%, respectively, on day 1; 18.4% and 11.2%, respectively, remained on day 7. The radioactivity decrease pattern was similar to that of radiolabelled EGF incorporated into CGH. As shown in Fig. 3B, there was a good linear correlation between the radioactivity remaining in  $^{125}\text{I}$ -labelled EGF and  $^{125}\text{I}$ -labelled CGH; the correlation coefficient was 0.98. This observation suggests that EGF incorporated into CGH was released in a controlled manner onto the ocular surface as a result of biodegradation of the carrier hydrogel.

We also examined the pattern of EGF release from the E3 CGH film, prepared for application over the rabbit corneal defect by dehydrothermal cross-linking. As shown in Fig. 3C, the film released EGF for 5 days into the conjunctival sac of

mice. The residual radioactivity of EGF incorporated into the film was 29.8% on day 1 and 2.85% on day 5, demonstrating that while the release of EGF was gradual, it was faster than from CGH prepared by chemical cross-linking.

### 3.5. Epithelial wound healing

We used a rabbit corneal epithelial defect model to assess the wound-healing effect of the controlled release of EGF from CGH. The CGH film applied to the rabbit cornea was thin and optically transparent (Fig. 4); it retained its shape for 3–4 days after placement. As shown in Fig. 5, fluorescein slit lamp microscopic study of representative corneal defects at 0, 48, and 96 h showed that the original size of the defect (177 mm<sup>2</sup>) was

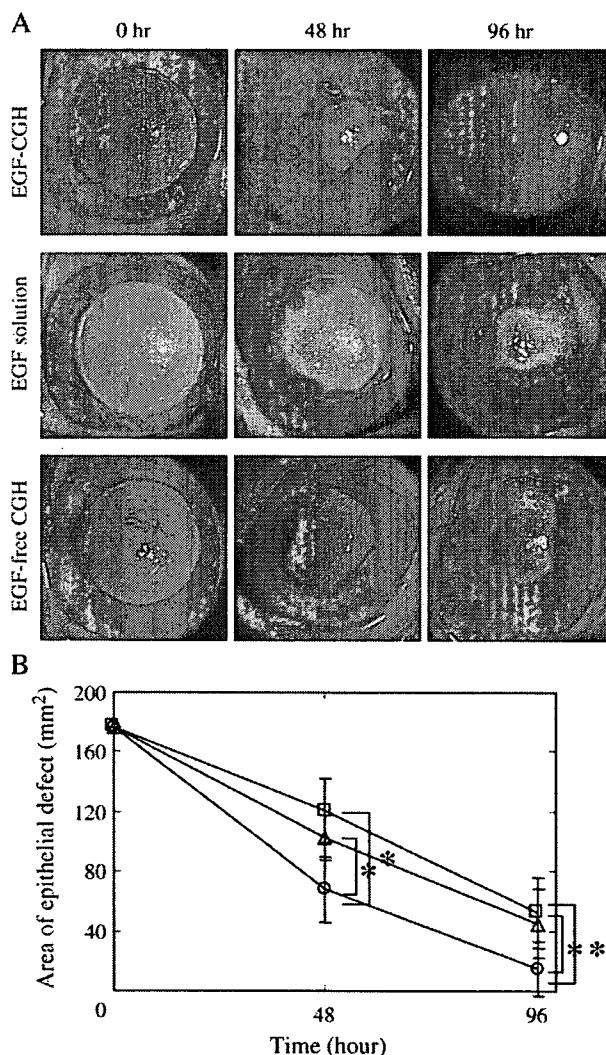


Fig. 5. Enhanced wound healing in a rabbit corneal epithelial defect model. A. Fluorescein slit lamp micrograph of a representative corneal defect obtained 0, 48, and 96 h after the application of a CGH film with incorporated EGF (upper), EGF solution (middle), and an EGF-free CGH film (lower). B. Time-course of the closure of a corneal epithelial defect after the application of a CGH film with incorporated EGF (O), EGF solution ( $\Delta$ ), and an EGF-free CGH film ( $\square$ ). \* $p < 0.05$ , significantly different from the control at the corresponding time point (Tukey–Kramer post-test).

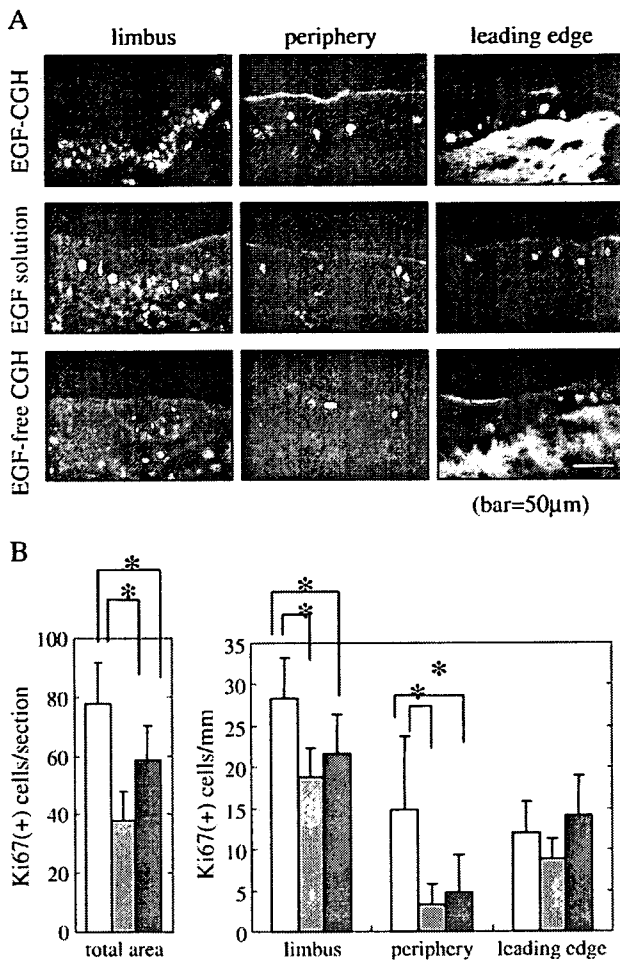


Fig. 6. Immunohistochemical evaluation of corneal epithelial proliferation. A. Fluorescein micrograph of a representative section used for the counting of Ki67-positive cells at 48 h after the application of a CGH film with incorporated EGF (upper), EGF solution (middle), or an EGF-free CGH film (lower). The section is from regenerated corneal epithelium at the site of the epithelial defect. B. Ki67-positive epithelial cell counts at the site of the epithelial defect after the application of a CGH film with incorporated EGF (white bars), EGF solution (grey bars), and an EGF-free CGH film (black bars). The Ki67-positive cell counts were obtained in whole regenerated epithelium in each section (total area) and at 3 different 1-mm-zones, i.e. the limbus, periphery, and leading edge of the epithelium. (\* $p < 0.05$ , Tukey–Kramer post-test).

reduced to  $68.0 \pm 22.2 \text{ mm}^2$  at 48 and to  $15.3 \pm 18.2 \text{ mm}^2$  at 96 h after wounding, respectively. At 48 and 96 h, placement of the EGF–CGH film resulted in significantly greater wound healing than did placement of the EGF-free CGH film ( $120.8 \pm 21.8$  and  $52.2 \pm 23.5 \text{ mm}^2$ , respectively) or the topical application of EGF solution ( $102.4 \pm 13.8$  and  $42.0 \pm 23.0 \text{ mm}^2$ , respectively).

### 3.6. Histological evaluation of corneal epithelial defects

By counting the number of Ki67-positive cells at 48 h after wounding we further assessed the wound-healing effect of the controlled release of EGF from CGH (Fig. 6). The number of Ki67-positive cells was significantly greater after the application of EGF–CGH than EGF-free CGH film ( $77.5 \pm 13.8$  vs.  $58.5 \pm 11.9$ ) or the administration of EGF solution ( $38.0 \pm 10.0$ ).

The Ki67-positive cell counts in the 3 different 1-mm zones we examined (leading edge, periphery, and limbus) were also higher in corneas treated with the EGF–CGH film than the EGF-free CGH film or the EGF solution; this increase appeared to be localized to the limbus where the numbers were  $28.3 \pm 4.93$  vs.  $18.7 \pm 3.60$  and  $22.0 \pm 5.53$  and the periphery ( $14.7 \pm 9.00$  vs.  $3.29 \pm 2.55$  and  $4.81 \pm 4.49$ ). In the leading edge, the number of Ki67-positive cells was comparable ( $11.9 \pm 4.03$ ,  $8.9 \pm 2.44$ , and  $14.1 \pm 4.79$ , respectively). These results indicate that the controlled release of EGF increased epithelial proliferation until 48 h after wounding especially in the peripheral cornea and resulted in accelerated wound closure.

## 4. Discussion

We developed a system that uses a biodegradable CGH as the carrier to deliver GF to the ocular surface. The application of CGH with incorporated EGF prolonged the EGF release and led to accelerated wound healing in a rabbit corneal epithelial defect model.

EGF, a soluble protein that is found in various bodily fluids, can stimulate the proliferation of epithelial cells, fibroblasts, and other cell types. Endogenous EGF plays a key role in regulating the normal turnover and replacement of corneal epithelial cells and in constitutive corneal wound healing. It is mainly secreted by the lacrimal gland and while it is abundant in the tears of healthy subjects [43], the EGF concentration was significantly reduced in the tears of patients with chronic epithelial defects [44].

The efficacy of EGF for treating human ocular surface diseases has been studied since the 1970s and the enhancement of corneal epithelial proliferation by exogenous EGF has been examined in animal models [3,45] and clinical trials [5,6]. In most earlier studies, EGF was administered 4–5 times a day in the form of topical eye drops [3,45] and yet its bioavailability was probably low. Sheardown et al. who used a Morgan therapeutic lens found that the continuous exposure to low EGF concentrations increased the corneal epithelial healing rate [46]; they also reported the Carbopol polymeric gel as a clinically acceptable means of delivering EGF continuously [47]. Polymeric gel-mediated delivery systems have been found to prolong the corneal contact time due to their viscosity [48,49], and they have been used in other animal studies [50,51]. However, the drug retention time is limited to several hours because the polymeric gels are diluted, become dispersed, and the drugs drain into the nasolacrimal duct due to high tear–fluid turnover.

The drug delivery system introduced here is advantageous because it offers a much more prolonged period of GF release, than conventional delivery systems based on diffusion-dependent release. In diffusion-dependent drug release systems, the drugs are passively desorbed in an initial burst and washed out. In degradation-dependent drug release systems, on the other hand, the gelatin hydrogels binds GF by physicochemical interactions and releases the protein drug slowly only when it is biodegraded.

In degradation-dependent drug release systems, the carrier must 1) interact with peptide drugs by polyionic complexation and 2) biodegrade at the site of its introduction. For the first requirement, we found that among the 9 kinds of gelatin

hydrogels we examined, CGH was a carrier that interacted physicochemically with EGF. Analysis by isoelectric focusing showed the isoelectric point of EGF to be 4.5 [52]. As CG is a positively charged gelatin derivative, the 2 proteins were able to interact electrostatically. Our previous study revealed that CGH did not exhibit cytotoxicity when applied to cells cultured *in vitro* (data not shown) or inflammatory reactions when implanted in the muscle tissue of mice [38].

As for the second requirement, in the current study we examined the *in vivo* release of EGF incorporated in CGH upon its degradation in the conjunctival sac of mice. The release of GF is regulated by the water content of the hydrogel, the drug amount, and the proteolytic activity at the site of application. The water content is a measure of hydrogel degradation period. The hydrogels with a higher water content degraded faster and released GF sooner. The water content of E0.5 and E3 CGH was 94.7% and 96.5%, respectively, and the hydrogels degraded gradually in the course of 7 days, with concomitant release of EGF. On the ocular surface, nonspecific proteolytic or hydrolytic enzymes in the tear fluid may be involved in gelatin hydrogel degradation. As microenvironmental conditions affect local enzymatic activity, in the presence of intense inflammation, for example, degradation tends to be accelerated.

When we examined the ability of SCL, a representative conventional reservoir system, to retain EGF *in vitro* and *in vivo*, we found that SCL did not retain EGF for sufficiently long periods to allow for its controlled release (Figs. 1–3). We also detected no interactions between EGF and acidic- and basic-type I collagen *in vitro* (data not shown).

Another relevant issue to be addressed on the gelatin hydrogel release system is the improved stability of GFs. By forming a drug-carrier complex such as our CGH system, GF in the carrier matrix retain their bioactivity because they are protected against proteolytic and other denaturation processes after *in vivo* application. Complex formation can be achieved by simply dropping the drug solution onto the dried gelatin hydrogels, eliminating the need for potentially damaging pharmacological processes. With the exception of the method reported by Kim et al. [53] who used cyclodextrin and poloxamer gel, drug delivery and release systems reported so far failed to improve the stability of EGF.

In our rabbit corneal epithelial defect model we documented accelerated wound healing after the application of CGH with the ability for sustained EGF release (Fig. 5). The time course of narrowing of epithelial defect area is a standard measure to evaluate the corneal epithelial healing process [3,8]. EGF released from CGH triggered the accelerated epithelial wound closure, to a significantly greater extent than did the topical application of EGF solution, which suggest our CGH system, successfully released biologically active EGF for extended period. In addition, for corneas treated with the EGF–CGH, no unusual clouding or neovascularization was observed, as was reported in the corneas treated with frequent instillations of EGF solution [3].

In the rabbit experiment, the thin CGH film was created by dehydrothermal — rather than chemical cross-linking, because our CGH film is superior in its transparency to hydrogels obtained by chemical cross-linking as shown in Fig. 4. The water content of the CGH film was 89.9% and EGF was

released over a period of 5 days in the conjunctival sac of mice. The water content of the CGH film was lower, but the EGF release faster, than in the chemically cross-linked gelatins used in the first two mice experiments. However, despite its ophthalmologically essential transparency, the CGH film is not practical for use in the clinical setting. To keep it in place, it had to be covered with a SCL sutured to the conjunctiva. In addition, CGH films with a water content below 90% simulated foreign bodies on the cornea and interfered with re-epithelialization (data not shown) and the prolonged presence of the film may lead to hypoxic sequelae. For the clinical applications, the film should be of a laminate type consisting of contact lens and gelatin film with a high oxygen permeability.

Our immunohistochemical analysis showed that the application of EGF–CGH film resulted in accelerated epithelial proliferation especially in the peripheral cornea. This observation coincides with findings that EGF enhanced corneal epithelial wound healing via the increased proliferation [3] or migration of epithelial cells [54]. Our group previously reported that at 48 h after corneal wounding, the frequent instillation of EGF solution increased epithelial proliferation almost two-fold and that endogenously up-regulated proliferation was most vigorous in the cornea applied with vehicle only [3]. Our present data suggest that the controlled release of EGF continued to accelerate epithelial proliferation until 48 h after wounding and that it was comparable or superior to the frequent instillation of EGF solution, especially in the peripheral cornea.

The biochemical nature of gelatins can be modified by derivatization procedures other than the ones used here. Theoretically, our delivery system can be adapted to other GF and soluble factors and gelatin hydrogels can be produced in forms other than discs and thin films to accommodate the site of application.

Although further studies are underway to assess the clinical applicability of the drug-release system introduced here, the use of gelatin hydrogels for the sustained delivery of ophthalmic drugs opens new possibilities for the treatment of patients with ocular surface diseases.

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