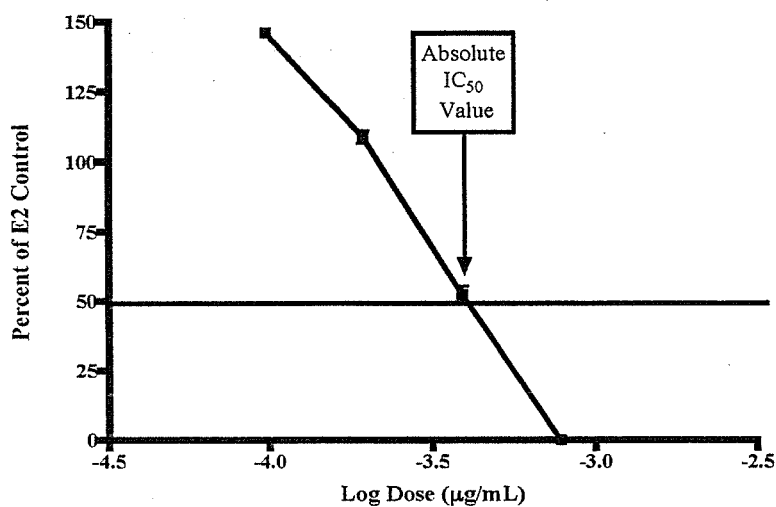


1067 **Figure 13-2 Example Concentration Curve for Calculation of Absolute IC₅₀ Value**



1068

- 1069 1. Convert the test substance data to the percentage of the maximum antagonist
1070 response for that substance.
- 1071 2. Find the concentration of test substance that is 50% of the maximum response for
1072 that substance.

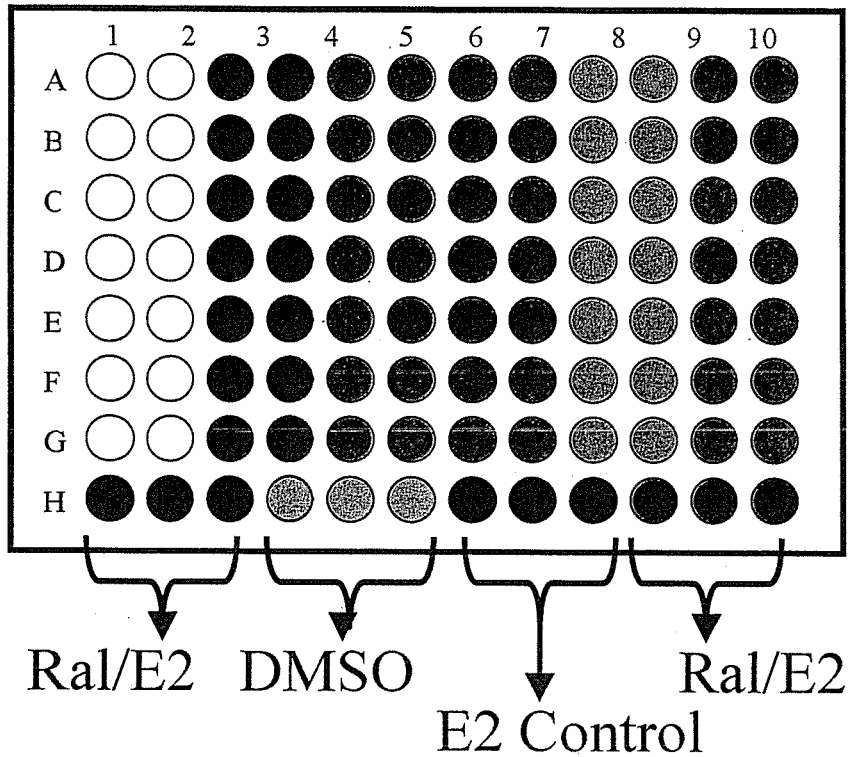
1073 **14.0 RANGE FINDER TESTING**

1074 Antagonist range finding for coded substances consists of six point, logarithmic serial dilutions
1075 tested in duplicate wells of the 96-well plate. **Figure 14-1** contains a template for the plate layout
1076 used in antagonist range finder testing.

1077

1077

Figure 14-1 Antagonist Range Finder Test Plate Layout



1078

- - Three Point Ral/E2 Reference Standard – Replicate 1
- - Three Point Ral/E2 Reference Standard – Replicate 2
- - DMSO Control (1% v/v)
- - E2 Control (2.5×10^{-5} $\mu\text{g/mL}$)
- - Range Finder for Sample #1
- - Range Finder for Sample #2
- - Range Finder for Sample #3
- - Range Finder for Sample #4
- - Range Finder for Sample #5
- - Range Finder for Sample #6

1079

1080

1080 Evaluate whether range finder experiments have met the acceptance criteria (see **Section 13.6.3**)
1081 graph the data as described in the NICEATM Prism® users guide.

1082 To determine starting concentrations for comprehensive testing use the following criteria:

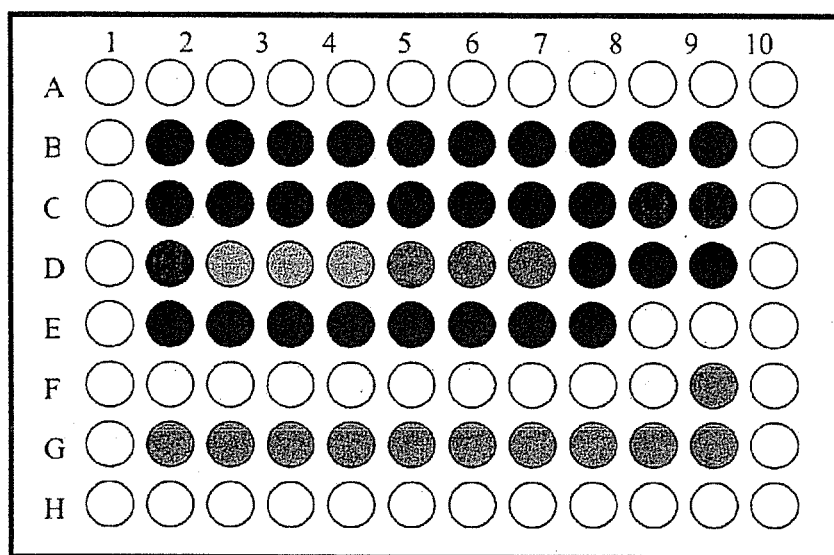
- 1083 • If there are no points on the test substance concentration curve that are less than
1084 the mean value of the E2 control minus three times the standard deviation from
1085 that mean, the highest concentration used in comprehensive testing is the limit
1086 dose or the maximum soluble dose.
- 1087 • If there are points on the test substance concentration curve that are below the
1088 mean value of the E2 standard control minus three times the standard deviation
1089 from that mean, select a concentration that is a single log dilution higher than the
1090 concentration giving the lowest adjusted RLU value in the range finder and use
1091 that as the highest concentration for comprehensive testing.
- 1092 • If a substance exhibits a biphasic concentration curve, the range finder experiment
1093 should be repeated unless the proposed concentration range for the comprehensive
1094 studies will include all concentrations of the biphasic region in the range finding
1095 study. If the range finder experiment is repeated and the substance still exhibits a
1096 biphasic concentration curve, comprehensive testing must be conducted on the
1097 peak of the biphasic curve at the lowest test substance concentration. If the
1098 substance is negative at this lowest concentration, then test at the higher
1099 concentration. For either peak of the concentration curve, select a concentration
1100 that is a single log dilution higher than the concentration giving the lowest
1101 adjusted RLU value in the range finder and use that as the highest concentration
1102 for comprehensive testing.

1103 **15.0 COMPREHENSIVE TESTING**

1104 Antagonist comprehensive testing for coded substances consists of 11 point, double serial
1105 dilutions, with each concentration tested in triplicate wells of the 96-well plate. **Figure 15-1**
1106 contains a template for the plate layout to be used in antagonist comprehensive testing.

1107
1108

1108 **Figure 15-1 Antagonist Comprehensive Test Plate Layout**



- - 9 point Ral/E2 Reference Standard Dose Response Curve
- - Flavone Control (25 µg/mL)
- - DMSO Control (1%)
- - E2 Control (2.5 x 10⁻⁵ µg /mL)
- - Comprehensive Dose Response Sample #1, Replicate #1
- - Comprehensive Dose Response Sample #1, Replicate #2
- - Comprehensive Dose Response Sample #1, Replicate #3
- - Media only wells, not used for assay

1109

1110

1111 Evaluate whether comprehensive experiments have met acceptance criteria (see **Section 13.6.3**)

1112 and graph the data as described in the NICEATM Prism® users guide.

- 1113 • If the substance has been tested up to the limit dose or the maximum soluble dose
- 1114 without causing a significant decrease in cell viability, and there are no points on
- 1115 the concentration curve that are below the line indicating the mean minus three
- 1116 times the standard deviation of the E2 control, the substance is considered
- 1117 negative for antagonism.

- 1118 • If the substance has been tested up to the limit dose and there are points on the
1119 concentration curve that are below the line indicating the mean minus three times
1120 the standard deviation of the E2 control, but cell viability, as measured by
1121 CellTiter-Glo® falls below 80%, or a visual inspection score of 2 or greater, at all
1122 points falling below the E2 line, the substance is considered negative for
1123 antagonism.
- 1124 • If there are points on the test substance concentration curve that are below the line
1125 indicating the mean minus three times the standard deviation of the E2 control
1126 that do not cause a decrease in cell viability below 80% as measured by CellTiter-
1127 Glo®, or a visual inspection score of 2 or greater, the substance is positive for
1128 antagonism.
- 1129 ○ Points in the test substance concentration curve that cause a decrease in
1130 cell viability to below 80% as measured by CellTiter-Glo® or a visual
1131 inspection score of 2 or greater, are not included in data analyses.
- 1132 ○ Calculate an absolute IC₅₀ value for all positive substances.
- 1133 ○ If the concentration curve for a positive substance reaches saturation at the
1134 highest and lowest concentrations tested, calculate a relative IC₅₀ value.

1135 **16.0 USE OF THE HISTORICAL DATABASE TO GENERATE QC CHARTS**

1136 The historical database is maintained in order to ensure that the test method is functioning
1137 properly. The historical database is maintained as an Excel® spreadsheet that is separate from the
1138 spreadsheets used to report the data for individual experiments. The controls used to develop the
1139 historical database are used as one of the criteria for determining a valid test.

1140 Results collected during Phase I will be compared to historical control data established during
1141 the LUMI-CELL® ER Protocol Standardization Study. Reference standard and control data
1142 collected during Phase I will be used to compile the initial historical database. Reference
1143 standard and control data collected during Phase IIa will be added to the historical database
1144 compiled in Phase I and this combined historical database will be used to establish acceptance
1145 criteria for Phase II. Reference standard and control data collected during Phase IIb will be added

1146 to the historical database compiled in Phases I and IIa and this combined historical database will
1147 be used to establish acceptance criteria for Phases III and IV.

1148 **16.1 LUMI-CELL® ER Antagonist QC Charts**

- 1149 1. Open the Excel® spreadsheet labeled LUMIAntagonistQC.
1150 2. Save this sheet under a new name, adding the laboratory designator to the file
1151 name (e.g., for Laboratory A, the new name would be ALUMIAntagonistQC).

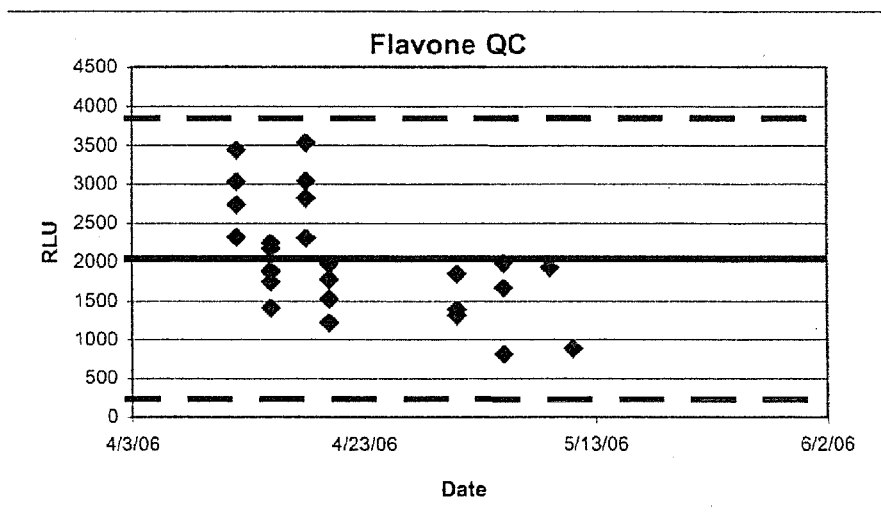
1152 **16.1.1 Flavone/E2**

- 1153 1. Open Excel® spreadsheet XDSLUMIAntQC.
1154 2. Click on flavone tab and enter the date, plate number (name), and the average
1155 1.56×10^{-3} µg/mL RLU value for ral/E2 (data located in column F on the “List”
1156 tab of the antagonist report file).
1157 3. Enter the three values for flavone/E2 into column D.
1158 4. The mean and 2.5 times the standard deviation plus (and minus) the mean are
1159 calculated automatically.
1160 5. Check the Scatter Charts tab to see if the average value for flavone/E2 falls within
1161 2.5 times the standard deviation (e.g., **Figure 16-1**) of the historical mean. If the
1162 mean flavone/E2 value falls within the 2.5 times the standard deviation area, it
1163 passes QC. Otherwise it fails QC and the experiment must be repeated.

1164 Acceptance or rejection of the flavone/E2 control data is based on whether the data for a given
1165 experiment falls within 2.5 times the standard deviation from the historical mean RLU value.

1166

1166 **Figure 16-1 Example Scatter Chart of the Flavone/E2 Control QC^{1,2,3}**



1167

1168 ¹Each point represents a single experiment.

1169 ²The solid line represents the historical mean RLU value for the flavone control.

1170 ³The two dashed lines represent the historical mean RLU value for the flavone
1171 control plus and minus 2.5 times the standard deviation from the historical mean.

1172

1173 16.1.2 E2 Control

1174 1. Click on the E2 control tab. The date, study name, and $1.56 \times 10^{-3} \mu\text{g/mL}$ ral/E2
1175 data should be populated in the appropriate position.

1176 2. Enter the values for the E2 control into column D.

1177 3. The mean and 2.5 times the standard deviation plus (and minus) the mean are
1178 calculated automatically.

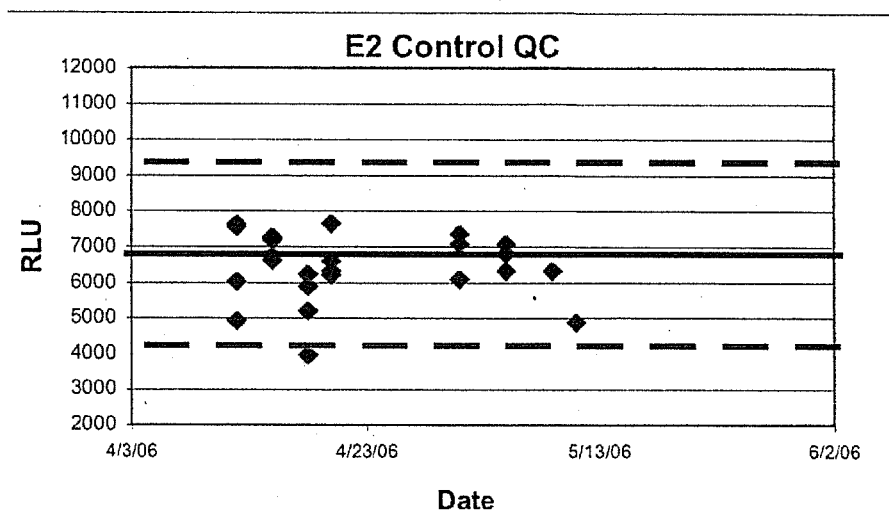
1179 4. Check the scatter charts tab to see if the average value for the E2 control falls
1180 within the 2.5 times the standard deviation (**Figure 16-2**) from the historical
1181 mean. If the value falls within the 2.5 times the standard deviation area, the E2
1182 control passes QC. Otherwise it fails QC and the study must be repeated.

1183 Acceptance or rejection of the E2 control data is based on whether the data for a given

1184 experiment falls within 2.5 times the standard deviation from the historical mean RLU value.

1185

1185 **Figure 16-2 Example Scatter Chart of the E2 Control QC**



1186

1187 ¹Each point represents a single experiment.

1188 ²The solid line represents the historical mean RLU value for the E2 control.

1189 ³The two dashed lines represent the historical mean RLU value for the E2
1190 control plus and minus 2.5 times the standard deviation from the historical mean.

1191

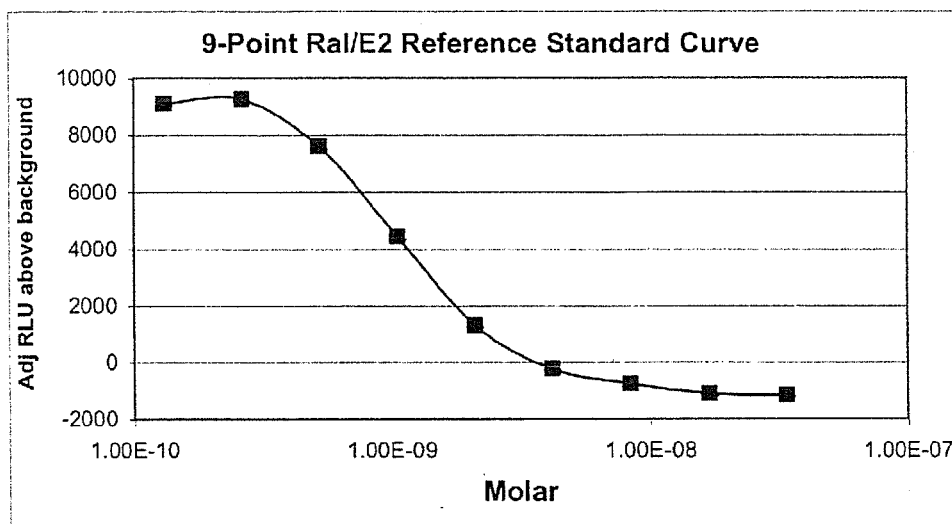
1192 16.1.3 9-Point Ral/E2 Reference Standard QC

1193 1. Enter the experiment date and name, and copy and paste the adjusted RLU values
1194 for Ral/E2 into the appropriate slots on the tab labeled Ral E2 Standard Curve.

1195 2. The Ral/E2 standard curve is automatically graphed to ensure a normal sigmoidal
1196 shape (see **Figure 16-3** for an example curve).

1197

1197 **Figure 16-3 Example Figure of a Sigmoidal Ral/E2 Concentration Response Curve¹**



1198

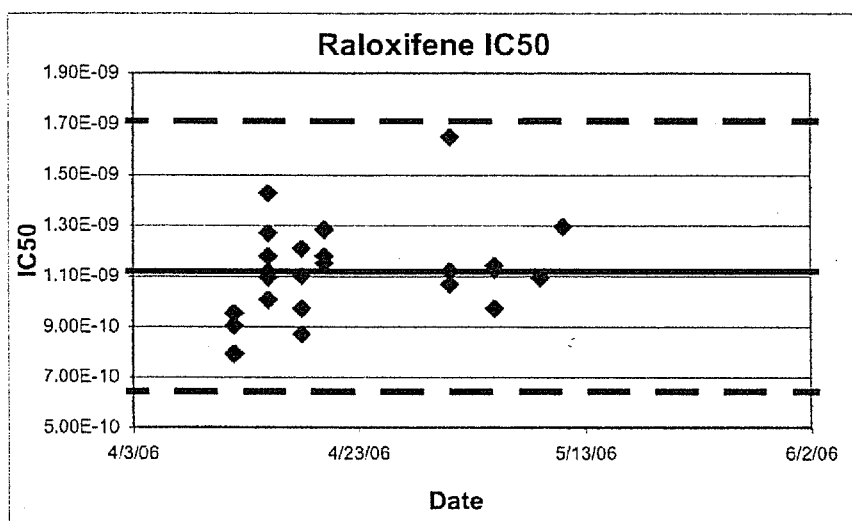
1199 ¹The points on the line represent the averaged Ral/E2 values for a single experiment.

1200 16.1.4 IC₅₀ Tracking Data

- 1201 1. Enter the date and plate ID into the first two columns of the IC₅₀ Tracking Data
- 1202 tab.
- 1203 2. Link the EC₅₀ data from the 9-point Ral/E2 Curve QC tab to the column to the
- 1204 right of the plate information.
- 1205 3. Column E calculates the percent deviation from the historical database IC₅₀ value.
- 1206 4. The mean and 2.5 times the standard deviation plus (and minus) the mean for the
- 1207 IC₅₀ deviation are calculated automatically.
- 1208 5. Check the Scatter Charts tab to see whether the experimental IC₅₀ value falls
- 1209 within the 2.5 times the standard deviation (**Figure 16-4**). If the value falls within
- 1210 the 2.5 times the standard deviation area, it passes QC. If the value does not fall
- 1211 within the 2.5 times the standard deviation, it fails QC and the experiment must be
- 1212 repeated.

1213

1213 **Figure 16-4 Example Scatter Chart of the Ral/E2 IC₅₀ Control QC**



1214

1215 ¹Each point represents a single experiment.

1216 ²The solid line represents the historical mean RLU value for the Ral/E2 EC₅₀.

1217 ³The two dashed lines represent the historical mean RLU value for the Ral/E2
1218 control plus and minus 2.5 times the standard deviation from the historical mean.

1219

1220 Acceptance or rejection of Ral/E2 IC₅₀ data is based on whether the data falls within 2.5 times
1221 the standard deviation from the mean for the historical Ral/E2 IC₅₀ RLU value.

1222 16.1.5 DMSO

1223 1. The date and experiment name should populate automatically

1224 2. Enter all of the DMSO values from Table 1 on the "Raw Data" tab on the Excel®
1225 spreadsheet which passed the outlier test, into the areas marked DMSO 1, DMSO
1226 2, DMSO 3, and DMSO 4.

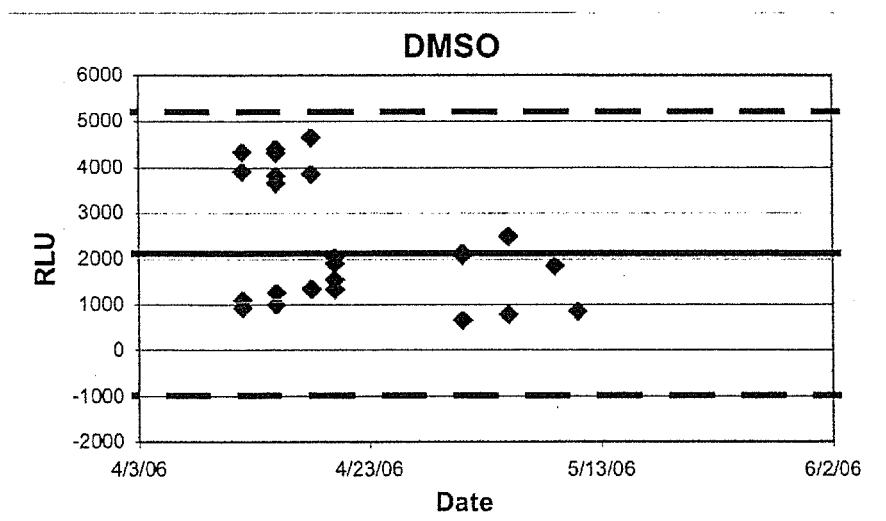
1227 3. The average RLU value for DMSO is then calculated under the "mean" column.

1228 4. The mean and 2.5 times the standard deviation plus (and minus) the mean for the
1229 DMSO deviation are calculated automatically.

1230 5. Check the Scatter Charts tab to see whether the average value for DMSO falls
1231 within 2.5 times the standard deviation (**Figure 16-5**) from the mean. If the value
1232 falls within the 2.5 times the standard deviation area, the DMSO passes QC. If

1233 the value does not fall within the 2.5 times the standard deviation, it fails QC and
1234 the experiment must be repeated..

1235 **Figure 16-5 Example Scatter Chart of the DMSO Control QC^{1,2,3}**



1236

1237 ¹Each point represents a single experiment.

1238 ²The solid line represents the historical mean RLU value for the DMSO control.

1239 ³The two dashed lines represent the historical mean RLU value for the DMSO
1240 control plus and minus 2.5 times the standard deviation from the historical mean.

1241

1242 Acceptance or rejection of the DMSO control data is based on whether the data for a given
1243 experiment falls within 2.5 times the standard deviation from the historical mean RLU value.

1244 16.1.6 Reduction

1245 Reduction is calculated by dividing the highest RLU value for each of the Ral/E2 standards by
1246 the lowest Ral/E2 RLU value. To calculate reduction, follow the steps below:

1247 Enter the reduction value from the "Raw Data" tab on the Excel® spreadsheet. If the value is
1248 greater than or equal to 3, the experiment passed QC. An induction value of less than 3 fails
1249 induction QC and the experiment must be repeated.

1250 17.0 QUALITY TESTING OF MATERIALS

1251 All information pertaining to the preparation and testing of media, media supplements, and other
1252 materials should be recorded in the Study Notebook.

1253 **17.1 Tissue Culture Media**

1254 Each bottle of tissue culture medium must be tested in a single growth flask of cells before use in
1255 ongoing tissue culture or experimentation.

- 1256 1. Every new lot of media (RPMI and DMEM) and media components (FBS,
1257 Charcoal/Dextran treated FBS, and L-glutamine) must first be tested on the
1258 LUMI-CELL® ER assay prior to being used in any GLP acceptable assays.
- 1259 2. Add 4 µL of DMSO (previously tested) into four separate 13 mm tubes.
- 1260 3. Add 400 µL media (to be tested) to 13 mm tube.
- 1261 4. Dose an experimental plate as in **Section 12.0**, treating the media being tested as a
1262 test substance.
- 1263 5. Analyze 96-well plate as described in **Section 12.0**, comparing the data from the
1264 DMSO controls made using previously tested tissue culture media to the new
1265 media being tested.
- 1266 6. Use the QC charts to determine if the new media with DMSO lies within 2.5
1267 standard deviation of the mean for the media. If the RLU values for the new
1268 media with DMSO are within 2.5 standard deviation of the mean for the historical
1269 data on DMSO, the new lot of media is acceptable. If the RLU values for the new
1270 media with DMSO are not within 2.5 standard deviation of the mean for the
1271 historical data the new lot may not be used in the assay.
- 1272 7. Note date and lot number in study notebook.
- 1273 8. If the new bottle passes the QC as described in **Section 15.1 step 6**, apply the
1274 media to a single flask cells and observe the cells growth and morphology over
1275 the following 2 to 3 days. If there is no change in growth or morphology, the new
1276 media is acceptable for use.

1277 **17.2 G418**

- 1278 1. New lots of G418 must first be tested on the LUMI-CELL® ER assay prior to
1279 being used in any GLP acceptable assays.

- 1280 2. Add 220 µL of G418 (previously tested) to a single flask containing cells growing
1281 in RPMI.
- 1282 3. Add 220 µL of G418 (to be tested) to a different flask containing cells growing in
1283 RPMI.
- 1284 4. Observe cellular growth and morphology in both tissue culture flasks over a 48 to
1285 72 hour period. If there are no differences in observed growth rate and
1286 morphology between the two flasks, the new G418 lot is acceptable.
- 1287 5. If cellular growth is decreased, or the cells exhibit abnormal morphology, the new
1288 lot of G418 is not acceptable.
- 1289 6. Note date and lot number in study book.

1290 **17.3 DMSO**

- 1291 1. Every new bottle of DMSO must be tested on the LUMI-CELL® ER assay prior
1292 to use in any GLP acceptable assays.
- 1293 2. Add 4 µL of DMSO (to be tested) into four separate 13 mm tubes.
- 1294 3. Add 400 µL media (previously tested) the same tubes.
- 1295 4. Dose an experimental plate as in **Section 15.0**, treating the media being tested as a
1296 test substance.
- 1297 5. Analyze 96-well plate as described in **Section 15.0**, comparing the data from the
1298 DMSO controls made using previously tested tissue culture media to the new
1299 media being tested.
- 1300 6. Use the QC charts to determine if the new media with DMSO lies within 2.5
1301 standard deviation of the mean for the media. If the RLU values for the new
1302 media with DMSO are within 2.5 standard deviation of the mean for the historical
1303 data on DMSO, the new lot of media is acceptable. If the RLU values for the new
1304 media with DMSO are not within 2.5 standard deviation of the mean for the
1305 historical data the new lot may not be used in the assay.

- 1306 7. Use the QC charts to determine if the new DMSO is within 2.5 standard deviation
1307 of the mean for DMSO background. If the RLU for the new DMSO is within 2.5
1308 standard deviation of the mean for the historical data on DMSO, then the new
1309 bottle of DMSO is acceptable; otherwise the new bottle may not be used in the
1310 assay.
- 1311 8. Note the date, lot number, and bottle number in study book.
- 1312 9. If no DMSO has been previously tested, test several bottles as described in
1313 **Section 15.3**, and determine whether any of the bottles of DMSO have a higher
1314 average RLU than the other bottle(s) tested. Use the DMSO with the lowest
1315 average RLU for official experiments.

1316 **17.4 Plastic Tissue Culture Materials**

- 1317 1. Grow one set of cells, plate them for experiments on plastic ware from the new lot
1318 and one set of cells in the plastic ware from a previous lot, and dose them with E2
1319 reference standard and controls.
- 1320 2. Perform the LUMI-CELL® ER experiment with both sets of cells.
- 1321 3. If all of the analysis falls within acceptable QC criteria, then the new
1322 manufacturer's products may be used.

1323 **18.0 REFERENCES**

- 1324 Eli Lilly and Company and National Institutes of Health Chemical Genomics Center. 2005.
1325 Assay Guidance Manual Version 4.1. Bethesda, MD: National Institutes of Health. Available:
1326 http://www.ncgc.nih.gov/guidance/manual_toc.html [accessed 05 September 2006]
- 1327 ICCVAM. 2001. Guidance Document on Using *In Vitro* Data to Estimate *In Vivo* Starting Doses
1328 for Acute Toxicity. NIH Pub. No. 01-4500. Research Triangle Park, NC: National Institute of
1329 Environmental Health Sciences. Available: [http://iccvam.niehs.nih.gov/methods/invidocs/
1330 guidance/iv_guide.pdf](http://iccvam.niehs.nih.gov/methods/invidocs/guidance/iv_guide.pdf) [accessed 31 August 2006]

**DRAFT REPORT OF THE PRELIMINARY VALIDATION ASSESSMENT PANEL OF THE
'JAPANESE MULTI-LABORATORIES VALIDATION STUDY OF A STABLY TRANSFECTED
ER ALPHA MEDIATED REPORTER GENE ASSAY IN JAPAN'.**

Final version 29 June 2006

ACCRONYMS

AR Androgen Receptor

CERI Chemicals Evaluation and Research Institute (Japan)

CV coefficient of variation

DIP Data interpretation procedure

ECVAM European Centre for the Validation of Alternative Methods

EDTA (OECD) Task Force on Endocrine Disruptor Testing and Assessment

ER Estrogen Receptor

ERE Estrogen Responsive Element

GD 34 Guidance Document 34

GLP Good Laboratory Practice

ICCVAM Interagency coordinating Committee on the Validation of Alternative Methods (US)

JaCVAM Japanese Centre for the Validation of Alternative Methods

NICEATM National Toxicology Program (NTP) Interagency Centre for the Evaluation of Alternative Toxicological Methods (US)

NIEHS National Institute of Environment and Health Sciences (US)

NIHS National Institute of Health Sciences (Japan)

PC₅₀/PC₁₀ The concentration of chemical estimated to cause 50% or 10%, respectively, of activity of the positive control response on a plate by plate basis.

PM Prediction Model

QA Quality Assurance

SOP Standard Operating Procedure

SPSF Standard Project Submission Form

TA Transcriptional Activation

US EPA US Environmental Protection Agency

1. INTRODUCTION AND BACKGROUND

1.1 At the present time, there is global concern regarding endocrine disruption effects, particularly mediated by the estrogen receptor (ER) resulting from chemical exposure. Several *in vitro* ER binding and transfected cell line assay methods are currently or imminently being (pre) validated at national, regional and international levels, but are some way away from completion and full assessment of their validation status.

1.2 A screening test method is a rapid, usually simple test performed for the purposes of prioritizing or grouping substances in general categories of potential modes of action (*e.g.*, *in vitro* binding to the oestrogen receptor). The results from screening tests are generally used for preliminary decision making and to set priorities for additional and more complex tests. Although the results from screening tests, alone, may not be sufficient for risk assessment purposes, there may be circumstances where such results may be combined with other test results in a tiered testing approach to provide in the hazard/risk assessments (GD34).

1.3 Currently, no *in vitro* screening assay for ER activity that can be used for OECD regulatory purposes has been peer reviewed for potential test guideline development, although the need is urgent. Recognizing this urgency, Japan has made an extensive effort to establish and domestically validate a new *in vitro* pre-screening procedure, the **hER-HeLa-9903 Estrogen Receptor (ER) Transcriptional Activation (TA) Test** for detecting the estrogenic activity of chemicals for a level 2 screening test in the OECD Conceptual Framework for the Testing and Assessment of Endocrine Disrupting Chemicals.

1.4 The with-in Japan multi-laboratory validation process of Japanese ER TA Assay was completed as an activity of the Validation Management Group (Non -Animal)(VMG-NA) and the results were presented at the 3rd VMG-NA held in November 2005.

1.5 The assay is based on an estrogen reactive stable human cervical tumor cell line, hER-HeLa- 9903, which was developed by the Sumitomo Chemical Company in Japan. An initial test protocol of the assay system was developed and optimized by the Chemicals Evaluation and Research Institute (CERI). Using this optimized protocol, a pre-validation of the test system was conducted by CERI as an initial assessment exercise in order to identify the reliability, relevance and performance (accuracy) of the assay system. Following this first assessment, CERI, led an inter-laboratory validation involving four participating laboratories, all of which used coded chemicals under GLP compliance conditions. The data produced indicated good reproducibility and technical transference between laboratories. The data compared favourably and showed good concordance with that reported for the immature rat uterotrophic assay (80%) and summarised by ICCVAM (85%), (ICCVAM 2003), with an overall low false positive rate of 9%.

1.6 Following this presentation, the VMG-NA agreed to create a panel with the task of assisting the Japanese in assessing the readiness of the validation study for independent scientific peer review and

supporting additional requirements that might be deemed necessary. The panel activities were informal and unofficial, as member countries did not make official nominations for panel membership, and the panel members participated on a voluntary basis.

1.7 Using GD 34 criteria as a basis, the primary tasks or charges of the panel were to assist the Japanese in a transparent manner in assessing whether there is sufficient information on the domestic validation to submit a report for scientific review, with the independent review procedure to be agreed by the Japanese. This report, which is based upon the three teleconference discussions of the panel held over six months, provides the first step in this process and will be made available to the VMG-NA. **All the points and discussions documented herein were agreed by the panel during their preliminary validation assessment activities or charge.** These teleconferences were conducted under the auspices of the individual expertise of the participants, and therefore the teleconference minutes and this report reflect their expert opinion, and not that of the organisations in which the experts are employed.

1.8 The report outlines the panel discussions, and each meeting is summarised in this report. Through the teleconference process the steps taken in the preliminary validation assessment are identified, and also included as appendices are the summary statements from participants. Subsequent activities include writing of a comprehensive validation report, or peer charge, using the preliminary validation assessment report as a basis. Following this next report the Japanese will decide how to go ahead with the formal peer review process. Routes for the organisation of independent peer review were identified and discussed at the 9th EDTA and 18th WNT via a contract house or by a member country competent authority, as follows: ‘The Secretariat drew the attention of the WNT on Document ENV/JM/TG(2006)5 including two examples of approaches proposed to address the peer review of validated methods: a proposal made by the United States and a proposal made by Japan. It proposed to initially address peer reviews on a case-by-case basis until experience is gained and after a certain time, possibly consider a more comprehensive guidance on the processes for peer review. The United States introduced Annex 1 of Document ENV/JM/TG(2006)5 , which does not apply to a specific assay. The Secretariat brought Information Document [INF.6] to the attention of the meeting, as a collation of comments received from members of the VMG-eco on the Annex 1 of Document ENV/JM/TG(2006)5. The WNT agreed that the document describes a plausible approach, but does not provide standard procedures.’ (Paragraph 36 of the Draft Summary record of the 18th meeting of the WNT, Bern Switzerland 16-18 May 2006.)

1.9 Should the assay be ultimately considered by the Japanese to be appropriate for submission to the OECD, the Japanese will be required to submit a SPSF to the OECD Secretariat for consideration by the WNT. On 1 June 2006, in response to queries from CERI, and discussions and recommendations at the 18th WNT with the Japanese National Coordinator and chair, the Secretariat recommended that the Japanese submit an SPSF soon, so that the project could be added to the rolling work plan.

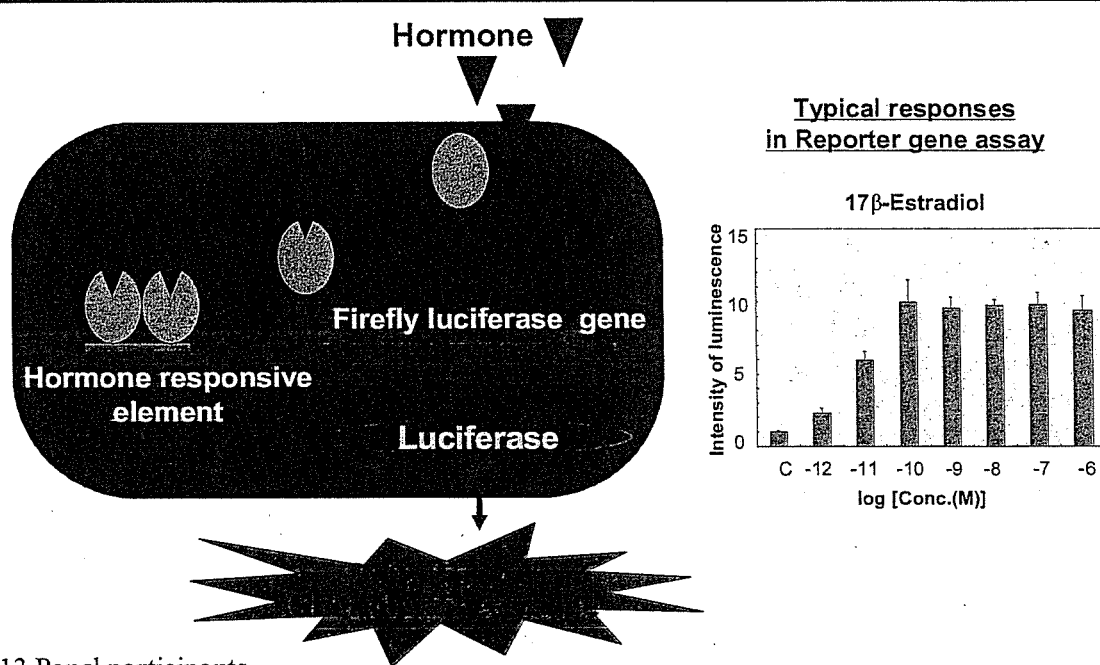
Background information: What is a reporter gene assay?

1.10 The Reporter gene assay method is an *in vitro* tool that allows the identification of promoters and enhancers together with an assessment of the correlations between their activities and conformations by measurement of the reporter proteins that are expressed from reporter genes. The promoters and the enhancers, which are upstream of all protein coding regions on the genome, adjust the activity and enhancement of the expression of the proteins. Because the reporter genes that code useful proteins that become indicators later in the target cells are artificially built downstream of the promoters and enhancers, reporter genes have become a focus of investigations. In the case of luciferase (a gene from the firefly), if a substrate is added to the cells expressing this enzyme, bioluminescence is observed so the expression from the reporter gene is detected visually and can also be measured quantitatively (See Figure 1).

1.11 Thus the reporter gene assay technique may be suitable for detecting hormonal activity of chemicals, because it has been used to detect enhancers and promoter activity of genes. The reporter gene assay system may also provide a powerful tool to screen for endocrine disrupting chemicals (Takeyoshi et al., 2002; Yamasaki et al., 2002, and has also been developed for use in other cell lines, e.g. CALUX (Sonneveld et al 2006).

1.12 The assay used for this validation study uses the human cervical tumor cells host cell line HeLa cell line with an inserted construct: Human ER α expression vector (full-length) with a firefly luciferase reporter construct bearing five tandem repeats of a vitellogenin estrogen-responsive element (ERE) driven by a mouse metallothionein promoter TATA element.

Figure 1. Diagram showing the principle of the reporter gene assay



1.13 Panel participants

The panel participants were proposed during and following the 3rd VMG-NA, on the basis of the organisation they represented at the VMG-NA, and for their specific expertise particularly in relation to statistical analyses, validation and /or receptor screening assays. The panel initially included the following experts:

1. Dr. Masahiro Takeyoshi (CERI)
2. Dr. Yumi Akahori (CERI)
3. Prof. Daniel Dietrich (on behalf of ECVAM)
4. Dr. Susan Laws (US EPA)
5. Mr. Gary Timm (US EPA)

6. Dr. Yutaka Aoki (ASPH Fellow at US EPA)
7. Dr. Tim Schrader (Health Canada)
8. Dr. Bill Stokes (NIEHS/NICEATM, ICCVAM)
9. Dr. Ray Tice (NIEHS/NICEATM, ICCVAM)
10. Ms. Patricia Ceger (ILS. Inc./NICEATM, ICCVAM)
11. Mr. Frank Deal (ILS. Inc./NICEATM, ICCVAM)
12. Dr. Miriam Jacobs (OECD call leader)

There were alterations in participation of the panel activities: Following the first teleconference,

13. Dr. Jun Kanno (NIHS) and
14. Dr. Hajime Kojima (JaCVAM)

were invited to join, to improve the Japanese representation and expertise.

Although a panel member, Prof Bob Combes did not participate in any of the teleconferences, but did submit written comments at a later date.

1.14 Following the second teleconference it became apparent that the panel had become a little unbalanced with respect to numbers of persons with validation expertise representing different bodies. The Secretariat therefore recommended that the numbers of such persons for each of the different participating bodies, during the teleconferences, is reduced and/or maintained at two persons to improve the balance in representation across the participating bodies and improve manageability of the teleconference.

1.15 Further consultation with experts outside the panel was sought where panel members felt it useful. Dr Ray Tice requested further consultation on statistical matters from the Statistical expert consultant to ICCVAM, Dr. Joe Haseman. The ECVAM computational toxicology and statistical expert Dr. Sebastian Hoffman was also consulted. Dr. Jean-Claude Nicholas was consulted with respect to the possibility of induction of non receptor mediated effects that might be observed at higher concentrations that might impact upon and increase the chemical luminescence.

Steps undertaken during preliminary validation assessment process

1.16 The steps taken during the preliminary validation assessment at the request of the panel included (in chronological order):

- Teleconference 2
 - i. CERl conducted a comparison of the draft report submission with the guidelines provided in the OECD Guidance Document 34 and *ICCVAM Evaluation of In Vitro Test Methods for Detecting Potential Endocrine Disruptors* (NIH Pub. No. 03-4503) and stated their rationale for deviations from these guidelines.
 - ii. CERl provided further information on cell line characterisation, methods of cytotoxicity evaluation and ER alpha antagonist TA testing (See also appendix 1).