

Substance Name	CASRN	ER TA Activity ^{1,2}
Oxazepam	604-75-1	PN
Pimozide	2062-78-4	PN
Reserpine	50-55-5	PN
Spirolactone	52-01-7	PN
L-thyroxine	51-48-9	PN

Abbreviations: CASRN = Chemical Abstracts Service Registry Number

¹+++ Indicates that the substance was strongly active (EC₅₀ value was <0.001 M);

++ indicates that the substance was moderately active (EC₅₀ value was between

0.001 and 0.1 M); + indicates that the substance was weakly active (EC₅₀ value

was >0.1 M), or a positive response was reported without an EC₅₀ value. The EC₅₀ is the effective concentration that causes half-maximal activation of the receptor.

²PP = Presumed Positive; PN – Presumed Negative

³Included on the ECVAM Provisional Chemicals Selection List

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2. SUBSTANCES FOR EACH PHASE OF THE VALIDATION OF ANTAGONIST PROTOCOLS

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65

2.1 Phase I

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- Training and laboratory qualification/protocol refinement by testing reference standards and controls
- Establish historical database for standards and controls by conducting ten independent experiments

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Substance Name	CASRN
Raloxifene HCl (Reference Standard) ¹	82640-04-8
Flavone (Weak Positive Control)	525-82-6
17β estradiol (Negative Control)	50-28-2

Abbreviations: CASRN = Chemical

Abstracts Service Registry Number

¹In the LUMI-CELL antagonist assay the reference standard, positive control and all test substances are run against a fixed concentration of 17β-estradiol.

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77 **2.2 Phase IIa**

- 78 • Four substances from ER minimum list tested independently three times in each
79 laboratory for antagonism (12 total experiments)
- 80 • Substances to be well-characterized for ER TA antagonist activity (one strong
81 positive, one moderately positive, one weakly positive and one negative); having
82 no anticipated difficulties relating to solubility or cytotoxicity

Substance Name	CASRN	ER TA Antagonist Activity ¹
Tamoxifen ²	10540-29-1	###
Dibenzo[<i>a,h</i>]anthracene ²	53-70-3	##
<i>p</i> - <i>n</i> -nonylphenol ²	104-40-5	#
Progesterone ^{2,3}	57-83-0	-

- 83 Abbreviations: CASRN = Chemical Abstracts Service Registry Number
84 ¹### Indicates that the substance was uniformly positive in multiple assays;
85 ## indicates that the substance was positive in the majority of assays in which it was tested;
86 # indicates that the substance was positive in the single assay in which it was tested;
87 #- indicates the substance was positive in one assay but was also negative in one or more assays;
88 - indicates that the substance was uniformly negative in multiple assays; PP = Presumed Positive;
89 PN – Presumed Negative
90 ²Tested for antagonism during LUMI-CELL protocol standardization.
91 ³Included on the ECVAM Provisional Chemicals Selection List
92

93 **2.3 Phase IIb**

- 94 • Eight substances from ER minimum list tested independently three times in each
95 laboratory for antagonism (24 total experiments)
- 96 • Substances to be well-characterized for ER TA antagonist activity (a mix of
97 strong positive, moderately positive, weakly positive and negative); with some
98 anticipated difficulties relating to solubility or cytotoxicity

Substance Name	CASRN	ER TA Antagonist Activity ¹	Anticipated Difficulty
Flavone ²	525-82-6	###	
Apigenin	520-36-5	#	
Resveratrol	501-36-0	#	
Atrazine	1912-24-9	-	
Butylbenzyl phthalate ²	85-68-7	-	
Corticosterone	50-22-6	-	

Substance Name	CASRN	ER TA Antagonist Activity ¹	Anticipated Difficulty
<i>o,p</i> -DDT ^{2,3}	789-02-6	#	Cytotoxic, can potentially "stick" to plastic tissue cultureware
Genistein ^{2,3}	446-72-0	#	Relatively insoluble

Abbreviations: CASRN = Chemical Abstracts Service Registry Number

¹### Indicates that the substance was uniformly positive in multiple assays;

indicates that the substance was positive in the majority of assays in which it was tested;

indicates that the substance was positive in the single assay in which it was tested;

#- indicates the substance was positive in one assay but was also negative in one or more assays; -

indicates that the substance was uniformly negative in multiple assays;

PP = Presumed Positive; PN – Presumed Negative

²Tested for antagonism during LUMI-CELL protocol standardization.

³Included on the ECVAM Provisional Chemicals Selection List

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2.4 Phase III

- Remaining 41 substances from ER minimum list tested once in each laboratory for antagonism (41 total experiments)

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Substance Name	CASRN	ER TA Antagonist Activity ¹
4-hydroxytamoxifen ²	68047-06-3	###
Raloxifene HCl ²	82640-04-8	###
Bisphenol A	80-05-7	-
Coumestrol	479-13-0	-
Daidzein	486-66-8	-
<i>p,p'</i> -DDE	72-55-9	-
Dicofol	115-32-2	-
Diethylstilbestrol	56-53-1	-
17 α - ethinyl estradiol ²	57-63-6	-
Estrone	53-16-7	-
Fluoranthene	206-44-0	-
Kaempferol	520-18-3	-
<i>p,p'</i> -methoxychlor	72-43-5	-
Di - <i>n</i> -butyl phthalate ²	84-74-2	-
Vinclozolin ²	50471-44-8	PP
17 α -Estradiol	57-91-0	PN
Actinomycin D	57-76-0	PN
4-androstenedione	63-05-8	PN
Bisphenol B	77-40-7	PN
2- <i>sec</i> -butylphenol	89-72-5	PN
Clomiphene citrate	50-41-9	PN
4-cumylphenol	599-64-4	PN

Substance Name	CASRN	ER TA Antagonist Activity ¹
Dexamethasone ²	50-02-2	PN
5 α -dihydrotestosterone	521-18-6	PN
17 β -estradiol ²	50-28-2	PN
meso-hexestrol ²	84-16-2	PN
Hydroxyflutamide	52806-53-8	PN
Kepone	143-50-0	PN
Morin	480-16-0	PN
Norethynodrel ²	68-23-5	PN
4- <i>tert</i> -octylphenol ²	140-66-9	PN
Ethyl paraben	120-47-8	PN
Phenobarbital	50-06-6	PN
Phenolphthalin	81-90-3	PN
Diethylhexyl phthalate	117-81-7	PN
Propylthiouracil	51-52-5	PN
Sodium azide	26628-22-8	PN
Testosterone ²	58-22-0	PN
Methyl testosterone	28-18-4	PN
12 - O - Tetradecanoylphorbol- 13-acetate	16561-29-8	PN
2,4,5- Trichlorophenoxyacetic acid	93-76-5	PN

Abbreviations: CASRN = Chemical Abstracts Service Registry Number

¹### Indicates that the substance was uniformly positive in multiple assays;

indicates that the substance was positive in the majority of assays in which it was tested;

indicates that the substance was positive in the single assay in which it was tested;

-# indicates the substance was positive in one assay but was also negative in one or more assays;

- indicates that the substance was uniformly negative in multiple assays; PP = Presumed Positive;

PN - Presumed Negative

³Included on the ECVAM Provisional Chemicals Selection List

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2.5 Phase IV

- Testing of remaining 25 substances from ER list for antagonism tested once in one laboratory (may be divided between participating laboratories) (25 total experiments)

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Substance Name	CASRN	ER TA Antagonist Activity ¹
Fenarimol	60168-88-9	#
Fluoxymestron	76-43-7	-
Ammonium perchlorate	7790-98-9	PN
Apomorphine	58-00-4	PN

Substance Name	CASRN	ER TA Antagonist Activity ¹
Bicalutamide	90357-06-5	PN
Chrysin	480-40-0	PN
Cycloheximide	66-81-9	PN
Cyproterone acetate	427-51-0	PN
Finasteride	98319-26-7	PN
Haloperidol	52-86-8	PN
4-hydroxy androstenedione ²	566-48-3	PN
Ketoconazole	65277-42-1	PN
Linuron ²	330-55-2	PN
Medroxyprogesterone acetate	71-58-9	PN
Mifepristone ²	84371-65-3	PN
Nilutamide	63612-50-0	PN
19-nortestosterone	434-22-0	PN
Oxazepam	604-75-1	PN
Pimozide	2062-78-4	PN
Procymidone	32809-16-8	PN
Reserpine	50-55-5	PN
Spirolactone	52-01-7	PN
L-thyroxine	51-48-9	PN
17β-trenbolone	10161-33-8	PN
Flutamide ²	13311-84-7	PP

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Abbreviations: CASRN = Chemical Abstracts Service Registry Number

¹### Indicates that the substance was uniformly positive in multiple assays;

indicates that the substance was positive in the majority of assays in which it was tested;

indicates that the substance was positive in the single assay in which it was tested;

#- indicates the substance was positive in one assay but was also negative in one or more assays;

- indicates that the substance was uniformly negative in multiple assays; PP = Presumed Positive;

PN – Presumed Negative

²Included on the ECVAM Provisional Chemicals Selection List

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DRAFT STATEMENT OF WORK

**VALIDATION OF THE LUMI-CELL[®] ESTROGEN RECEPTOR
TRANSCRIPTIONAL ACTIVATION ASSAYS FOR THE DETECTION OF
ESTROGEN RECEPTOR AGONISTS AND ANTAGONISTS**

Prepared by

Integrated Laboratory Systems, Inc.
for Contract (TBA) Supporting
The National Toxicology Program (NTP) Interagency Center for the Evaluation of Alternative
Toxicological Methods (NICEATM)

1 November 2006

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STATEMENT OF WORK

Validation of the LUMI-CELL® Estrogen Receptor (ER) Transcriptional Activation (TA) Assay for the Detection of ER Agonists and Antagonists

1.0 PROJECT OBJECTIVES AND GENERAL REQUIREMENTS

1.1 Project Objectives

This Statement of Work specifies the procedures that Xenobiotic Detection Systems, Inc. (XDS) will use as a participating laboratory in the conduct of an international validation study of the LUMI-CELL® estrogen receptor (ER) transcriptional activation (TA) assay (LUMI-CELL® ER assay) for the detection of ER agonists and antagonists. The list of 78 ICCVAM recommended substances, which possess varying degrees of ER agonist and/or antagonist activity (ICCVAM 2002; ICCVAM 2003; Federal Register, Vol. 71, No. 51, pp. 13597-13598, March 16, 2006;), will be used in this validation study to characterize the reliability and relevance of the LUMI-CELL® ER assay.

1.2 General Capabilities

XDS will adhere to this Statement of Work throughout the validation study and is capable of the following:

1. Providing Standard Operating Procedures (SOPs, see **Section 1.4**) for the performance of the LUMI-CELL® ER TA agonist and antagonist assays
2. Conducting all aspects of the study in accordance with Good Laboratory Practices (GLP)
3. Providing study reports and all associated data from studies outlined in this document (e.g.,) to the Study Management Team (SMT) through the designated contacts listed in **Section 2.2**.

137 **1.3 Guidelines**

138 The Project Officer and designated members of the SMT may inspect the XDS testing facilities
139 and audit any procedures. XDS should notify the SMT of any changes in Key Personnel (see
140 **Section 3.1.1**)

141 **1.4 Definitions**

142 **Good Laboratory Practices (GLPs):** Regulations governing the conduct, procedures, and
143 operations of toxicology laboratories developed to assure the quality and integrity of the data and
144 to address such matters as organization and personnel, facilities, equipment, facility operations,
145 and study conduct (OECD, 1998).

146

147 **Standard Operating Procedures (SOPs):** Written documents that describe in sufficient detail
148 the routine procedures to be followed for a specific operation, analysis, or action. Consistent use
149 of an approved SOP ensures conformance with organizational practices; reduced work effort;
150 reduction in error occurrences; and improved data comparability, credibility, and defensibility,
151 SOPs also serve as resources for training and for ready reference and documentation of proper
152 procedures.

153

154 **Statement of Work:** A description of all phases of the validation study and the purpose of the
155 procedures; also provides guidance for the preparation of reports.

156

157 **Test Method Protocols:** Specific and detailed guides for performing the LUMI-CELL® ER
158 assay for the detection of ER agonists and antagonists.

159

160 **Test Substances:** Chemicals supplied to XDS that are coded and distributed such that only the
161 Project Officer, the SMT, and the Substance Inventory and Distribution Management (identified
162 in **Section 2.2.2**) have knowledge of the identity of each test substance. The test substances will
163 be purchased, aliquoted, coded, and distributed by the Substance Inventory and Distribution
164 Management, under the guidance of the Project Officer and the SMT.

165

166 **2.0 ORGANIZATION**

167 **2.1 Validation Study Sponsors**

168 The National Toxicology Program (NTP) Interagency Center for the Evaluation of Alternative
169 Toxicological Methods (NICEATM)

170 The European Centre for the Validation of Alternative Methods (ECVAM)

171 The Japanese Center for the Validation of Alternative Methods (JaCVAM)

172

173 **2.2 Study Management**

174 2.2.1 International Study Management Team

175 2.2.1.1 *NICEATM*

176 Dr. William Stokes (NICEATM/NIEHS) – Co-Chair/Project Officer

177 Dr. Raymond Tice (NICEATM/NIEHS) – Co-Chair

178 Dr. David Allen (NICEATM/ILS) – NICEATM Principal Investigator

179 Mr. Frank Deal (NICEATM/ILS) – Project Coordinator

180 Ms. Patricia Ceger (NICEATM/ILS) – Assistant Project Coordinator

181 Mailing Address:

182 79 T.W. Alexander Drive

183 Bldg. 4401, MD-EC-17

184 3rd Floor, Room 3126

185 P.O. Box 12233

186 Research Triangle Park, NC 27709

187 2.2.1.2 *ECVAM*

188 Dr. Susanne Bremer

189 Dr. Miriam Jacobs

190 Mailing Address:

191 Joint Research Center – European Commission

192 21020 Ispra (VA), Italy

193

193 2.2.1.3 *JaCVAM*

194 Dr. Hajime Kojima



195 Dr. Jun Kanno

196 Mailing Address:

197 National Institute of Health Sciences

198 Kamiyougata 1-18-1, Setagaya-ku, Tokyo 158-8501, Japan

199 2.2.2 Substance Inventory and Distribution Management

200 Dr. Cynthia Smith

201 Chemistry Resources Group Leader

202 Mailing Address:

203 NIEHS

204 111 Alexander Dr.

205 Research Triangle Park, NC 27709

206 2.2.3 Contract Management

207 Mr. Doug Winters (NICEATM/ILS) – NICEATM Project Manager

208 Ms. Kelly Inman (ILS) – Contract Specialist

209 Mailing Address:

210 ILS, Inc.

211 P.O. Box 13501

212 Research Triangle Park, NC 27709

213 3.0 **TESTING FACILITY AND KEY PERSONNEL**

214 3.1 **Competence and Capabilities**

215 XDS should be competent in the conduct of the LUMI-CELL® ER assay and will provide
216 competent personnel, adequate facilities, equipment, supplies, proper health and safety
217 guidelines, and quality assurance procedures.

218

218 3.1.1 Personnel219 3.1.1.1 *Facility Management*

220 XDS facility management is responsible for establishing scientific guidelines and procedures,
221 training and supervision of technical staff, and evaluation of results. The facility manager must
222 maintain training files that include qualifications, experience, and a job description for each
223 individual involved in the LUMI-CELL® ER assay validation study.

224 3.1.1.2 *Study Director*

225 The Study Director has the overall responsibility for the LUMI-CELL® ER assay validation
226 study conducted at XDS. The Study Director should be responsible for providing GLP compliant
227 Standard Operating Procedures (OECD 1998) for use during the validation study.

228 3.1.1.3 *Director of Quality Assurance (QA)*

229 The Director of QA should monitor the validation study to assure compliance with GLP
230 requirements for all aspects of the validation study.

231 3.1.1.4 *Consultant(s)*

232 Consultants are scientists or other professionals of appropriate education, training, and
233 experience with the LUMI-CELL® ER assay who provide scientific guidance to XDS.

234 3.1.1.5 *Laboratory Technician(s)*

235 Each individual engaged in the conduct of or responsible for the supervision of the assay should
236 have education, training, and experience, or combination thereof, to enable that individual to
237 perform the assigned duties. Technical ability must be documented as per GLP requirements.

238 3.1.1.6 *Safety Officer*

239 A designated Safety Officer (someone not involved in the actual conduct of the validation study)
240 will receive the blinded (coded) test substances from Substance Inventory and Distribution
241 Management and transfer the substances to the Study Director. A sealed health and safety
242 information package will accompany the coded test substances and the Safety Officer should
243 retain the package until the completion of the validation study. The Safety Officer will promptly
244 notify the SMT Project Coordinator if this is opened at any time during the validation study.

245

245 3.1.2 Facilities, Equipment, and Supplies246 3.1.2.1 *Cell Culture Laboratory*

247 A designated cell culture laboratory should be available to ensure that the LUMI-CELL® ER
248 assay can be performed using good cell culture practice (Coecke et al. 2005). Access to the
249 validation study assays and reference substances should be restricted to appropriate personnel as
250 determined by XDS management.

251 3.1.2.2 *Equipment*

252 **Appendices B and C** detail the types of equipment that are required for conducting the LUMI-
253 CELL® ER agonist and antagonist assays. All equipment maintenance and calibration should be
254 routinely performed and documented as per GLP guidelines (OECD, 1998).

255 3.1.3 Health and Safety

256 XDS should conform to all local, state, and federal statutes in effect at the time of this validation
257 study. The designated Safety Officer should be the point of contact for health and safety issues.

258 3.1.4 Quality Assurance

259 XDS should conduct this validation study in compliance with Good Laboratory Practice (GLP)
260 Standards (OECD 1998). The QA unit (as per GLPs) should review the protocol and audit the in-
261 life phase, study workbook, and final report data.

262 The final reports for all phases of the validation study should be audited by the XDS QA unit for
263 GLP compliance and a QA Statement should be provided with each final report. Each final report
264 should identify: 1) the phases and data inspected, 2) the dates of inspection, and 3) the dates
265 findings were reported to the Study Director and XDS management. The QA Statement should
266 identify whether the methods and results described in the final report accurately reflect the raw
267 data produced during the validation study.

268

268 **4.0 TEST PHASES AND SCHEDULE**269 **4.1 Study Timeline and Deliverables**270 **4.1.1 Study Timeline**

TASK	ACTIVITIES	TIMELINE
Phase I	<ul style="list-style-type: none"> Development of automated testing procedures (XDS) Qualification/protocol refinement by testing reference standards and controls Establish historical database for standards and controls by conducting independent experiments (10 each for the agonist and antagonist protocols) Submission of draft report and review by SMT 	Nov. 06 – Mar. 07
Phase IIa	<ul style="list-style-type: none"> Four substances from ER minimum list tested independently three times for agonism and antagonism (24 total experiments to include the quantitative assessment of cell viability in parallel plates in the agonist and antagonist assays) Submission of draft report and review by SMT 	Apr. 07 – May 07
Phase IIb	<ul style="list-style-type: none"> Eight substances from ER minimum list tested independently three times (48 total experiments) Submission of draft report and review by SMT 	Jun. 07 – Aug. 07
Phase III	<ul style="list-style-type: none"> Remaining 41 substances from ER minimum list tested once for agonism and antagonism (82 total experiments) Submission of draft report and review by SMT 	Sep. 07 – Oct. 07
Phase IV	<ul style="list-style-type: none"> Testing of remaining 25 substances from ER list for agonism and antagonism (XDS only), (50 total experiments) Submission of draft report and review by SMT 	Nov. 07

271

272 **4.1.2 Study Deliverables**273 **4.1.2.1 *Test Results (Phases I-IV)***

274 XDS will provide raw and quality control data in electronic format (i.e., email with attachments)
 275 to the SMT Project Coordinator on a weekly basis during *in-life* (i.e., during those weeks when
 276 LUMI-CELL® ER bioassay data is being collected and/or analyzed) portions of the study.

277 4.1.2.2 *Study Status Reports (Phases I-IV)*

278 XDS will provide study status reports during each phase of the study to the SMT Project
 279 Coordinator on a biweekly basis. These reports will be provided in electronic format (i.e., email
 280 with attachments) and will include raw and quality control data as the study progresses. Reports
 281 should contain the information outlined in **Appendix A**.

282 4.1.2.3 *Draft Reports (Phases I-IV)*

283 At the conclusion of each phase of the study, a draft report will be provided by the Study
 284 Director to the SMT Project Coordinator. The draft report will be provided electronically in
 285 Word®. Reports should contain the information outlined in **Appendix A** and should follow the
 286 recommended formats and styles provided in the “Style Guide for LUMI-CELL® ER Validation
 287 Study Laboratory Reports and Documents” (**Appendix D**).

288 4.1.2.4 *Final Reports (Phases I-IV)*

289 Each draft report that is approved by the SMT will be followed by a final report, which has been
 290 reviewed by the QA Officer for GLP compliance, for each phase of the study. The final report
 291 will be provided electronically in Word® by the Study Director to the SMT Project Coordinator.
 292 Copies of the audited Study Workbook pages should submitted in electronic format (i.e., pdf
 293 files) as an attachment to the report. However, completion of the final report is not required prior
 294 to initiation of the next phase of the validation study.

295 4.1.3 Estimated Due Dates for Reports

ESTIMATED DUE DATES					
REPORTS	PHASE I	PHASE IIa	PHASE IIb	PHASE III	PHASE IV
Study Status	*	*	*	*	*
Draft	Mar., 2007	May, 2007	Aug., 2007	Oct., 2007	Nov., 2007
Final	Apr., 2007	Jun., 2007	Sep., 2007	Nov., 2007	Dec., 2007

296 *Study status reports will be provided biweekly during each phase of the study.

297

298 **4.2 Phase I**

299 This phase will be used for initial laboratory qualification/protocol refinement by all
 300 participating laboratories and is limited to the testing of reference standards, positive controls,
 301 and the solvent control. The results will be used to establish an historical database in each
 302 laboratory for reference standards and controls.

303

303 4.2.1 Initial Laboratory Qualification/Protocol Refinement

304 Repetitive testing of agonist and antagonist reference standards and positive/solvent controls will
305 be used to demonstrate proficiency with the LUMI-CELL® ER assay, demonstrate
306 intralaboratory repeatability and intra- and inter-laboratory reproducibility, and establish an
307 historical database. Results will be compared to historical control data established during the
308 LUMI-CELL® ER Protocol Standardization Study. If there is excessive variation of reference
309 standard and control data within or among the participating laboratories, the SMT (through the
310 designated contacts) will work with the laboratories to determine cause and recommend
311 appropriate actions needed to reduce variation. Statements of Work, Test Method Protocols, and
312 SOPs will be revised, if necessary, and testing repeated until acceptable proficiency is
313 demonstrated (i.e., acceptable intralaboratory repeatability and intra- and inter-laboratory
314 reproducibility). The SMT may convene a teleconference with appropriate participants of the
315 validation study to discuss information concerning the progression of the validation study.

316 4.2.2 Criteria for Advancing to Phase II

317 The SMT will decide when all laboratories will advance to Phase II of the validation study,
318 based on the following criteria:

- 319 • Data, reviewed by the QA Officer (or independent reviewer), has been received
320 by the SMT
- 321 • All participating laboratories have submitted acceptable draft reports as outlined
322 in **Section 4.1.2.2**.
- 323 • Acceptable intralaboratory repeatability and intra- and inter-laboratory
324 reproducibility has been demonstrated by the participating laboratories
- 325 • A suitable historical negative and positive control database has been established

326 **4.3 Phase II**

327 Phase II provides for initial laboratory qualification using procedures that have been refined in
328 Phase I, but is also the initial phase for testing substances from the ICCVAM list of 78 reference
329 substances recommended for validation of ER TA assays. In this phase, four coded test
330 substances (Phase IIa) and then eight coded test substances (Phase IIb) will be tested in all three
331 participating laboratories. Acceptance criteria for experimental data for Phase IIa will be based
332 on the historical database established in Phase I for reference standards and controls. Reference

333 standard and control data collected during Phase IIa will also be included in the historical
334 database, which will then be used to establish acceptance criteria for Phase IIb.

335 4.3.1 Phase IIa Limited Testing of Protocol and Protocol Refinement

336 After a range-finding assay is completed for each of the four coded test substances in Phase IIa,
337 recommended starting concentrations for the comprehensive concentration-response experiment
338 and the rationale for their selection are to be sent to the SMT for review and approval. The
339 comprehensive concentration-response experiment for each test substance should not begin until
340 the starting concentrations have been approved, and they should not be modified without
341 approval from the SMT. The comprehensive concentration-response experiment should be
342 performed three times, once on each of three different days. Laboratories will calculate EC₅₀
343 values for the agonist reference standard or IC₅₀ values for the antagonist reference standard (in
344 µg/mL). Laboratories will also calculate EC₅₀ or IC₅₀ values (in µg/mL), when possible, for
345 coded test substances. These data, along with all quality control, raw, derived and supporting
346 data, will be reported to the SMT through the designated contacts. If there is excessive variation
347 within or among participating laboratories, the SMT will work with the laboratories to determine
348 the cause and recommend appropriate actions needed to reduce variation. Statements of Work,
349 Test Method Protocols, and SOPs will be revised, if necessary, and testing repeated until
350 acceptable proficiency is demonstrated (i.e., acceptable intralaboratory repeatability and intra-
351 and inter-laboratory reproducibility). The SMT may convene a teleconference with appropriate
352 participants of the validation study to discuss information concerning the progression of the
353 validation study.

354 4.3.2 Criteria for Advancing to Phase IIb

355 The SMT will decide when all laboratories will advance to the Phase IIb of the validation study,
356 based on the following criteria:

- 357 • Data, reviewed by the QA Officer (or independent reviewer), has been received
358 by the SMT
- 359 • All participating laboratories have submitted acceptable draft reports as outlined
360 in **Section 4.1.2.2**.
- 361 • Acceptable intralaboratory repeatability and intra- and inter-laboratory
362 reproducibility has been demonstrated by the participating laboratories

363

363 4.3.3 Phase IIb Testing of Protocol and Protocol Refinement

364 Phase IIb includes the testing of eight coded substances and is the last phase for evaluating any
365 protocol refinements from Phase I or IIA.

366 After a range-finding assay is completed for each of the eight coded test substances in Phase IIb,
367 recommended starting concentrations for the comprehensive concentration-response experiment
368 and the rationale for their selection are to be sent to the SMT for review and approval. The
369 comprehensive concentration-response experiment for each test substance should not begin until
370 the starting concentrations have been approved and should not be modified without approval of
371 the SMT. The comprehensive concentration-response experiment should be performed three
372 times, once on each of three different days. Laboratories will calculate EC₅₀ values for the
373 agonist reference standard or IC₅₀ values for the antagonist reference standard (in µg/mL).

374 Laboratories will also calculate EC₅₀ or IC₅₀ values (in µg/mL), when possible, for coded test
375 substances. These data, along with all quality control, raw, derived and supporting data, will be
376 reported to the SMT through the designated contacts. If there is excessive variation within or
377 among participating laboratories, the SMT will work with the laboratories to determine the cause
378 and recommend appropriate actions needed to reduce variation. Statements of Work, Test
379 Method Protocols, and SOPs will be revised, if necessary, and testing repeated until acceptable
380 proficiency is demonstrated (i.e., acceptable intralaboratory repeatability and intra- and inter-
381 laboratory reproducibility). The SMT may convene a teleconference with appropriate
382 participants of the validation study to discuss information concerning the progression of the
383 validation study.

384 4.3.4 Criteria for Advancing to Phase III

385 The SMT will decide when all laboratories will advance to the Phase III of the validation study,
386 based on the following criteria:

- 387 • Data, reviewed by the QA Officer (or independent reviewer), has been received
388 by the SMT
- 389 • All participating laboratories have submitted acceptable draft reports as outlined
390 in **Section 4.1.2.2**.
- 391 • Acceptable intralaboratory repeatability and intra- and inter-laboratory
392 reproducibility has been demonstrated within and among the participating
393 laboratories