
Novel bactericidal surface: Catechin-loaded surface-erodible polymer prevents biofilm formation

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Abstract: We developed a novel bactericidal surface based on a catechin-loaded surface-erodible polymer. (–)-Epigallocatechin-3-gallate (EGCg), which is the main constituent of tea catechins, showed a dose-dependent inhibitory effect on *Escherichia coli* biofilm formation and a dose-dependent enhanced destructive effect on biofilm. EGCg-immobilized surfaces were prepared by photopolymerization of liquid biodegradable polyesters. The releasing rate was enhanced with an increase in surface-erosion rate of photocured polymers. Polymers with high releasing capacity dose-dependently reduced biofilm formation on the surfaces. The confocal laser scanning microscopic and scanning electron

microscopic observations revealed that EGCg induced biofilm-destructing activities, which include bacterial membrane damage, degradation of exopolysaccharides, and detachment of colonized cells. From these results, potential advantages of the clinical use of catechin-loaded polymer-coated implants or catheters are discussed in terms of a reduced occurrence of biomaterial-centered infections without substantial toxicity or adverse effects. © 2005 Wiley Periodicals, Inc. *J Biomed Mater Res* 75A: 146–155, 2005

Key words: biofilm; catechin; *Escherichia coli*; local delivery; biodegradable polymer

INTRODUCTION

The biomass of bacteria and extracellular materials that accumulate on foreign body substrates is called a biofilm.^{1,2} Once biofilm is formed on an artificial implant in a body, it causes symptoms of chills and fever, and in the worst case, becomes life-threatening by leading to septic shock, which is a lethal systemic response to infection. Biofilm is highly resistant to drugs because of its well-stabilized bioarchitecture,

which prevents the permeation of drugs into its deeper regions.³ Therefore, the exchange of infected implants with new ones is often the only solution to various biofilm-related problems.

Various techniques of local administration of cytotoxic drugs with the use of drug-eluting biomaterials to prevent biofilm formation on biomaterial surfaces have been reported.^{4,5} Two leading sustained releasing systems have been extensively studied: the releases of antibiotic-loaded and silver-impregnated biomaterials. These have been effective in *in vitro* studies, but these drugs often show unfavorable effects when they are used in a clinical setting.^{6,7} In some studies, concern has been expressed about the contribution of the use of antibiotic-loaded biomaterials to the development of antibiotic-resistant bacteria.⁸ Long-term administration of silver ions to the human body is associated with gray discoloration of the skin.⁹

Recently, catechins, which are polyphenols extracted from daily beverages such as green tea, have been reported to show bactericidal effects against var-

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TABLE I
EGCg MICs Against Gram-Negative
and Gram-Positive Bacteria

Strain	MIC ($\mu\text{g/mL}$)	Reference ^a
Gram-negative		
<i>Escherichia coli</i> (YMel)	250–300	1
<i>Klebsiella pneumoniae</i>	>800	2
<i>Salmonella typhi</i>	>800	2
Gram-positive		
<i>Staphylococcus aureus</i>	50–100	2
Methicillin-resistant <i>S. aureus</i>	50–100	2
<i>S. epidermidis</i>	50	2

^a1, This study; 2, Yoda et al.¹⁰

ious strains of Gram-positive and Gram-negative bacteria, as a result of damage to the bacterial membrane (Table I).^{10,11} Catechins also inhibit the growth of cancer cells and scavenge free radicals.^{12,13} Among catechin family substances, (-)-epigallocatechin-3-gallate (EGCg, Fig. 1) in particular, which is the main constituent of tea catechins, exhibits the strongest bactericidal and biological activity.^{10,14} The sustained release of EGCg from drug-loaded polymer may be useful for the prevention of biofilm formation without substantial disadvantage to the body if it is coated onto or impregnated into the biomaterial surface.

In this study, we fabricated EGCg-loaded biodegradable polymers, and examined the releasing profiles of EGCg and the dose-dependent effect on biofilm prevention using *Escherichia coli* (*E. coli*), the biofilm of which is a major cause of clogging of biliary stents and urinary catheters.^{15,16} Confocal laser scanning microscopy using genetically fluoroprobed *E. coli* and fluorescent staining of dead *E. coli*, and scanning electron microscopy revealed the morphological change of biofilm exposed to EGCg. The potential efficacy and usefulness of catechin-immobilized bactericidal surfaces are discussed.

MATERIALS AND METHODS

Bacterial strain and growth conditions

E. coli YMel, which was transformed to produce green fluorescent protein (GFP) as previously reported,¹⁷ was grown for 18 h at 37°C in modified Luria-Bertani (LB) medium containing 50 $\mu\text{g/mL}$ ampicillin and 3 g/L NaCl, and then scaled up to a concentration of approximately 2×10^8 colony-forming units per milliliter (CFU/mL), which was then diluted for additional experiments.

Susceptibility testing of *E. coli* biofilm to catechin

E. coli (2×10^8 CFU/mL) was incubated with a round polyurethane (PU) sheet (obtained from Olympus Optical Co.,

Ltd., Tokyo, Japan) in modified LB medium with the indicated concentrations (0–0.25 mM) of EGCg (Sigma-Aldrich, Inc., St. Louis, MO) at pH 7.4 dissolved in phosphate-buffered saline (PBS) (Nissui Pharmaceutical Co., Ltd., Tokyo, Japan), for 24 h at 37°C under static conditions. Subsequently, the viable adhered *E. coli* was counted. However, the effect of EGCg on established biofilm, which was obtained by incubating *E. coli* (2×10^8 CFU/mL) for 24 h in the medium on the PU film, was evaluated after additional incubation in the presence of EGCg at indicated concentrations (0–50 mM) for 24 h at 37°C. Then, viable bacterial cell count, confocal laser scanning microscopy, and scanning electron microscopy of the biofilm-formed PU surface were performed. The minimal growth-inhibiting concentration (MIC) of EGCg was also determined by the twofold agar dilution method.¹⁸ The chemical structure of EGCg is shown in Figure 1.

Chemical reagents and photocured materials

Three photocurable biodegradable liquid prepolymers, TMC/LL/PEG1k, TMC/PEG200, and TMC/TMP, were synthesized according to our previous method.¹⁹ The chemical structures of these copolymers are shown in Figure 2. Briefly, an equimolar mixture of trimethylene carbonate (TMC) and L-lactide (LL) were copolymerized using poly(ethylene glycol) (PEG) with molecular weights of 1000 g/mol or of 200 g/mol or trimethylolpropane (TMP) as an initiator. These liquid prepolymers were mixed with EGCg dissolved in acetone (Sigma-Aldrich). The characteristics (receding water contact angle, water uptake, and surface erosion rate) of photocured polymers, which were cited from our previous report,¹⁹ are shown in Table II.

Catechin release test

Two hundred fifty milligrams of a liquid mixture of a prepolymer and 5, 10, or 20 weight percent (wt %) of EGCg was coated on the flat bottom (surface area: 1.1 cm²) of a

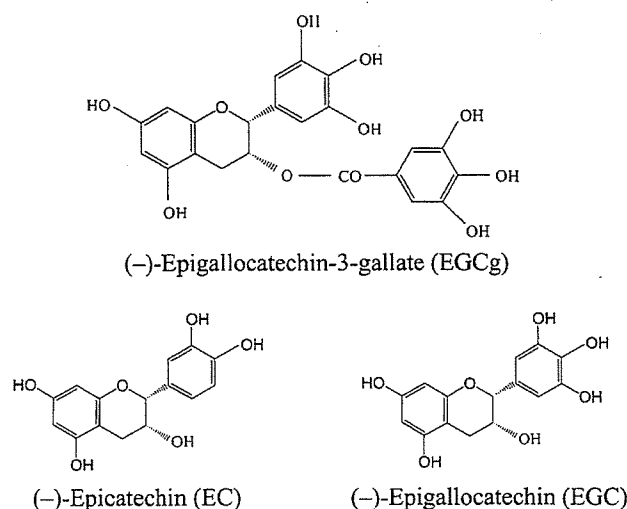


Figure 1. Chemical structures of catechins.

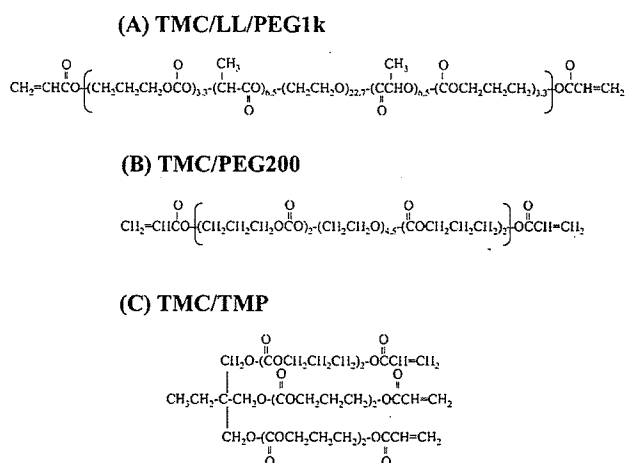


Figure 2. Chemical structures of photocurable biodegradable polymers. TMC, trimethylene carbonate; LL, L-lactide; PEG, poly(ethylene glycol); and TMP, trimethylolpropane.

glass bottle and subsequently irradiated with ultraviolet (UV) light for 5 min, using Spot Cure (SP-V; Ushio, Inc., Yokohama, Japan), to form a solid polymer. Subsequently, 1 mL of PBS was added to each bottle, and the solution was withdrawn at scheduled time intervals. The amount of EGCg was measured according to the Folin-Ciocalteu reagent method²⁰: 100 μL of sample solution was introduced in a test tube, 900 μL of PBS, 500 μL of Folin-Ciocalteu reagent (Sigma-Aldrich), and 5 mL of 0.4M sodium carbonate (Wako Pure Chemical Industries, Ltd., Osaka, Japan) were added, and the contents were mixed and allowed to stand for 30 min. Absorption at 660 nm was measured in a UV spectrophotometer (DU530; Beckman Instruments, Inc., Fullerton, CA). The amount was expressed as EGCg in milligrams per square centimeter (mg/cm^2) released from the photocured polymer surface, which was calculated using a standard curve generated with indicated concentrations (0–0.5 mM) of EGCg. All test points were measured three times.

Bacterial adhesion on catechin-loaded polymers under static conditions

The catechin-mixed liquid prepolymers were coated on PU sheets using a spin coater (Mikasa Co., Ltd., Tokyo, Japan) and then photocured using UV light. Photocured polymer-coated discs (8 mm in diameter) were cut with a belt punch. They were sterilized by ethylene oxide before experiments and stored under dark and anaerobic conditions at room temperature for at least 4 weeks before use. The polymer discs were placed in a 48-well cell culture cluster using sterilized forceps and incubated with *E. coli* (2×10^3 CFU/mL) for 24 h at 37°C under static conditions. Viable bacterial cell count and confocal laser scanning microscopy were performed.

Bacterial adhesion on catechin-loaded polymers under flow conditions

A modified Robbins device (MRD) (Tyler Co., Edmonton, Canada) with 25 sample holders was used to study bacterial

adhesion under flow conditions (Fig. 3). The catechin-loaded polymer [poly(TMC/LL/PEG1k)] discs (8 mm in diameter) were glued onto the sample holders with silicone paste and sterilized by ethylene oxide. At first, *E. coli* (2×10^5 CFU/mL)-containing solution was passed through the MRD for 1 h at a flow rate of 1 mL/min. Then, the sterile modified LB medium was passed through the device for 23 h at the same flow rate. The cross-section of the flow through the MRD was rectangular (11 \times 3 mm). Polymer discs loaded with lower concentrations of EGCg were placed in upstream of the flow, and polymer discs of higher concentration were placed downstream. We confirmed that there was no significant difference in bacterial adhesion attributable to sample position in a preliminary experiment using plain PU discs. The temperature of the MRD was maintained at approximately 37°C during the experiment. Viable bacterial cell count on the polymer surface was performed.

Viable bacterial cell count on the polymer surface

Bacteria-incubated polymer discs were gently immersed in 2 mL of PBS, and then sonicated for 60 s to detach all the adhered *E. coli*. Serial dilutions of the solution containing the detached *E. coli* were poured onto LB agar plates. After overnight incubation, viable bacterial cells were counted and expressed relative to the surface area of the polymer discs (CFU/cm^2). Experiments were run with three samples.

Confocal laser scanning microscopy

The biofilm grown under static conditions was examined using confocal laser scanning microscopy (Radiance 2000; BioRad, Hercules, CA). After incubating *E. coli* on a polymer disc, culture medium was removed and 300 μL of 60 μM propidium iodide (Molecular Probes, Inc., Eugene, OR), which penetrates only bacteria with damaged membranes and stains nucleic acid with red fluorescence, was gently applied and incubated at room temperature in the dark for 15 min to stain dead *E. coli* cells. Images were recorded at an excitation wavelength of 488 nm and an emission wavelength of 515 ± 30 nm for green fluorescent protein, and an excitation wavelength of 514 nm and an emission wavelength of 600 ± 50 nm for propidium iodide.

TABLE II
Characteristics of Photocured Polymers^a

Material	Receding Water Contact Angle (°)	Water uptake (%)	Surface Erosion Rate ($\mu\text{m}/\text{cm}^2/\text{day}$)
TMC/LL/PEG1k	9.70 ± 1.74	32	4.3
TMC/PEG200	27.0 ± 3.56	1.0	1.4
TMC/TMP	47.3 ± 5.33	0.40	1.1

^aCited from our previous article.¹⁹

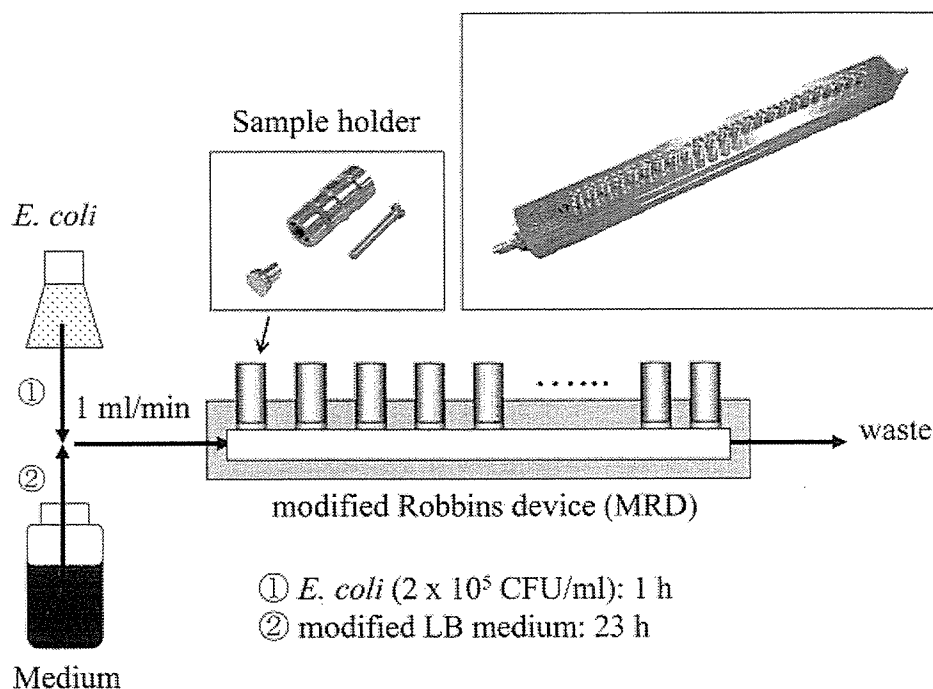


Figure 3. Experimental setup for bacterial adhesion study under flow conditions. *E. coli* suspension and sterile medium are perfused through the modified Robbins device (MRD). The EGCg-loaded polymer (TMC/LL/PEG1k) discs were glued onto the sample holders.

Scanning electron microscopy

The biofilm grown on a glass slide under static conditions was fixed in 2% glutaraldehyde (Electron Microscopy Science, Hatfield, PA) in 0.1M phosphate buffer for 1 h at room temperature. The fixed samples were dehydrated for 20 min at each step in an ascending ethanol series, sputter-coated with platinum, and evaluated by scanning electron microscopy (JSM-840A; JEOL, Tokyo, Japan).

Statistical analysis

Statistical analysis was performed with the StatView 5.0 program (Abacus, Berkley, CA). Data are shown as means \pm SD. Statistical analysis was performed by using analysis of variance. Differences at $p < 0.05$ were considered significant.

RESULTS

Effect of catechin on biofilm formation and destruction

To examine the inhibitory effect of EGCg on biofilm formation, *E. coli* (2×10^3 CFU/mL) was incubated with a round PU sheet in a medium containing indicated concentrations (0–0.25 mM) of EGCg for 24 h. As shown in Figure 4, bacterial adhesion and growth

were dose-dependently inhibited: IC_{50} (50% inhibiting concentration of bacterial adhesion) was estimated to be approximately 0.12 mM of EGCg, and the complete inhibition of cell growth was achieved at the concentration of 0.15 mM. However, to examine the destruction effect of EGCg on a biofilm (number of adhered *E. coli*: 1.7×10^7 CFU/cm²) formed by 24-h incubation with medium containing *E. coli*, a biofilm-formed PU sheet was immersed in the PBS containing EGCg at the indicated concentrations (0–50 mM) for an addi-

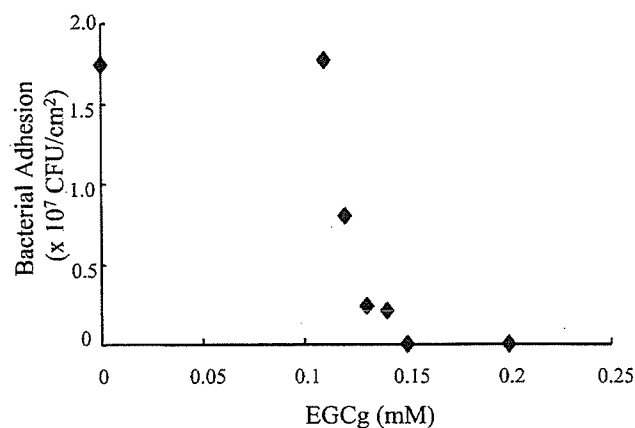


Figure 4. Susceptibility testing of *E. coli* biofilm to EGCg. *E. coli* (2×10^3 CFU/mL) was incubated on a round PU sheet with 0–0.25 mM EGCg for 24 h at 37°C under static conditions.

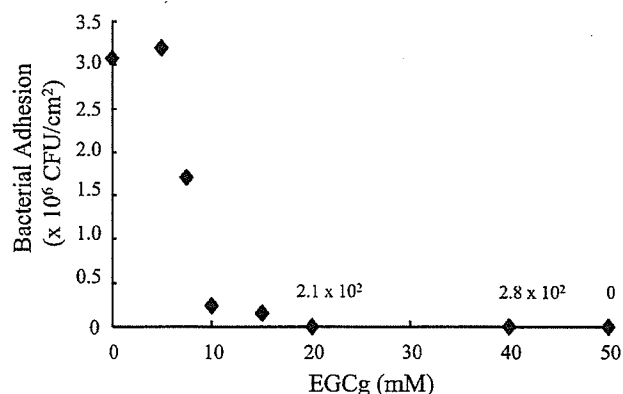


Figure 5. Susceptibility testing of established *E. coli* biofilm to EGCg. *E. coli* (2×10^8 CFU/mL), which was incubated for 24 h in medium without EGCg to form biofilm, was then exposed to 0–50 mM EGCg for an additional 24 h at 37°C.

tional 24 h. As shown in Figure 5, a significant decrease in the number of viable *E. coli* cells in biofilm occurred at approximately 6–7 mM of EGCg and viable *E. coli* almost disappeared with >20 mM of EGCg. The MIC of EGCg, determined by the twofold agar dilution method, was 250–300 μ g/mL (Table I).

The growth inhibition and destruction of biofilm was observed under confocal laser scanning microscopy. Live and dead bacteria in biofilms formed by GFP-gene-encoded *E. coli*, which were harvested after 24-h incubation and then immersed in PBS containing EGCg (concentration: 0–50 mM), was determined using green fluorescent protein expressed by live *E. coli* cells and red fluorescent staining of dead *E. coli* cells with propidium iodide. As shown in Figure 6, increased concentration of EGCg resulted in decreased green areas but increased red areas. At 20 mM of EGCg, most of the *E. coli* were stained red. Irregularly

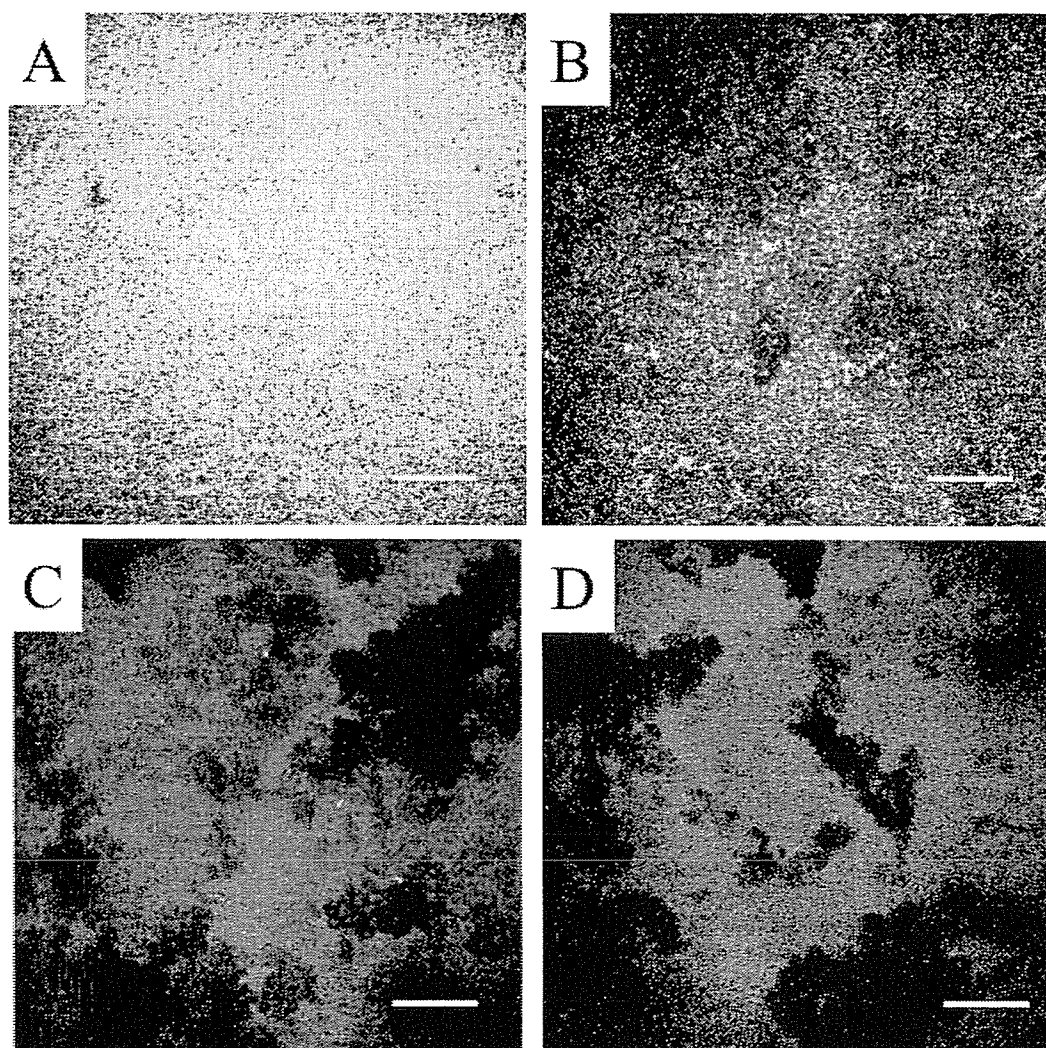


Figure 6. Confocal laser scanning microscopy of biofilm exposed to (A) 0 mM, (B) 10 mM, (C) 20 mM, and (D) 50 mM EGCg. Bar: 500 μ m.

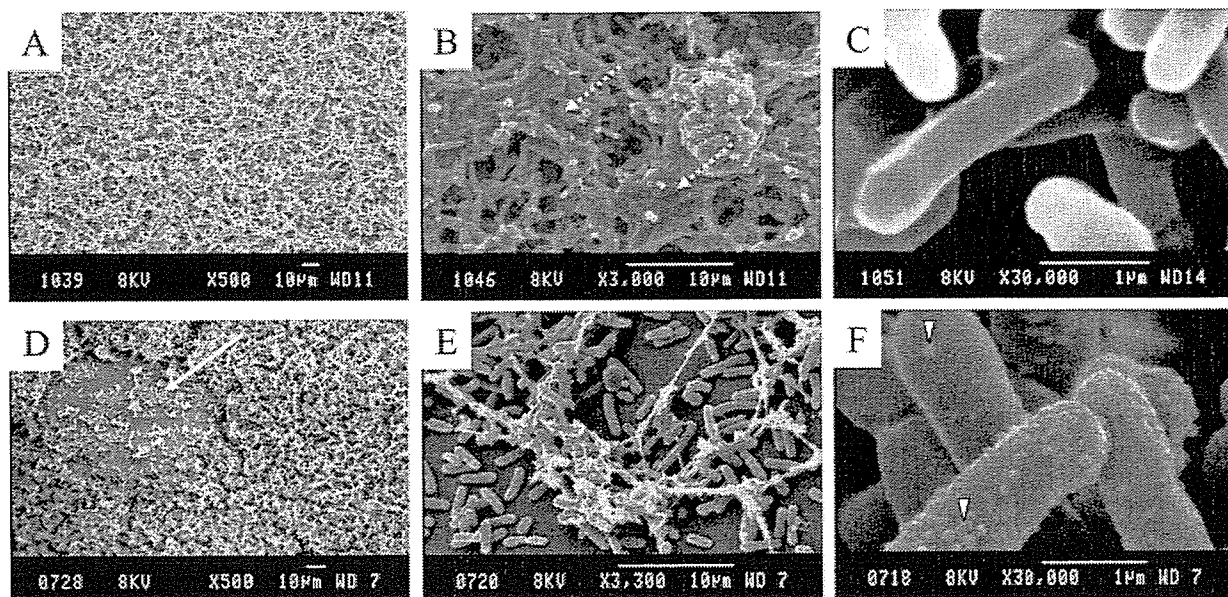


Figure 7. Scanning electron microscopy images of biofilm before (A, B, C) and after (D, E, F) exposure to 50 mM EGCg. The white arrow indicates the bare PU surface from which biofilm was detached. Dotted arrows indicate exopolysaccharides. White arrowheads indicate membrane vesicles.

shaped dark areas appeared here and there, indicating that biofilm was detached from the PU surface.

Scanning electron microscopy also revealed the morphological changes of biofilm formed on a glass slide. A biofilm which was harvested after 24-h incubation had a dense three-dimensional structure containing exopolysaccharides (EPS) produced as a matrix anchoring bacterial cells [Fig. 7(A,B)]. The higher magnification photo showed that the membrane surface of *E. coli* before EGCg exposure was smooth [Fig. 7(C)]. However, after EGCg (50 mM) was added to the medium, the dense structure of biofilm appeared to be degraded, and some parts of biofilm were detached from the surface and EPS completely disappeared [Fig. 7(D,E)]. The bacterial cell wall blebed off membrane vesicles [Fig. 7(F)].

Catechin-releasing bactericidal polymeric surfaces

EGCg-immobilized surfaces were prepared by photopolymerization of EGCg-mixed viscous liquid of photocurable biodegradable prepolymers, the chemical structures of which are shown in Figure 2. The time-dependent amounts of EGCg released from photocured biodegradable polymers coated onto the flat bottom of a glass bottle in PBS were determined using the Folin-Ciocalteu method. Figure 8(A) shows the time course of EGCg release from the photocured biodegradable polymers. The cumulative amount of released EGCg increased with time. The amount of released EGCg was largest for poly(TMC/LL/

PEG1k), followed by poly(TMC/PEG200). It was the smallest for poly(TMC/TMP). This order is in good accordance with the order of hydrophilicity (wettabil-

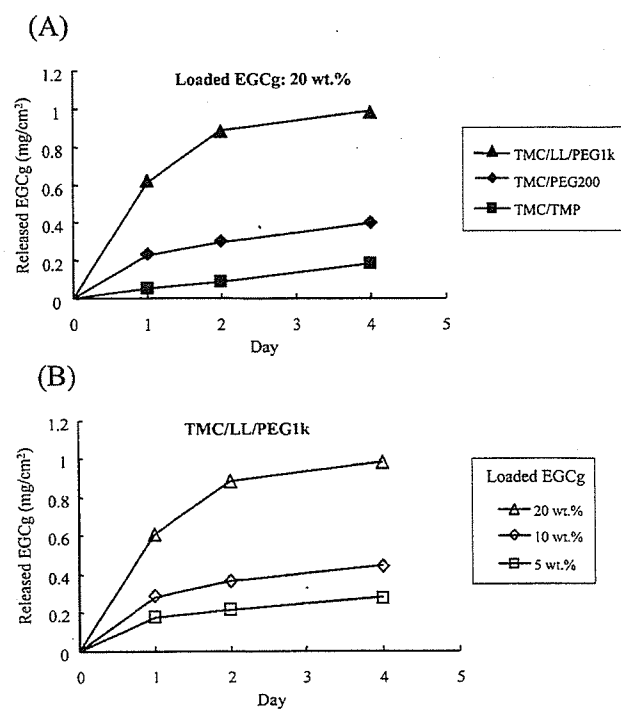


Figure 8. Time course of EGCg release from biodegradable polymers. (A) 20 wt % of EGCg is loaded in TMC/LL/PEG1k (▲), TMC/PEG200 (◆), and TMC/TMP (■). (B) 20 wt % (△), 10 wt % (◇), and 5 wt % (□) of EGCg is loaded in TMC/LL/PEG1k.

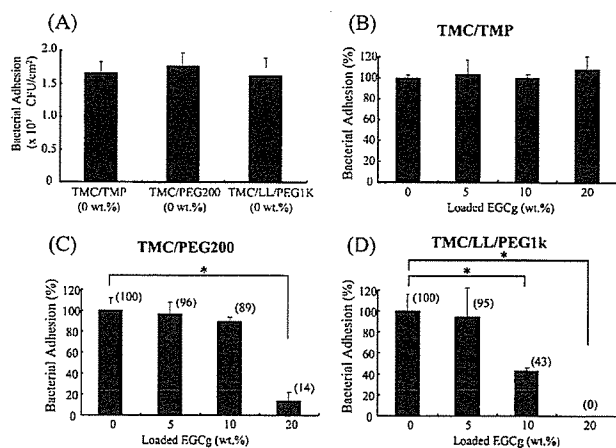


Figure 9. Bacterial adhesion of EGCg-loaded polymers under static conditions. (A) Non-EGCg-loaded polymers (TMC/TMP, TMC/PEG200, and TMC/LL/PEG1k). (B) TMC/TMP, (C) TMC/PEG200, and (D) TMC/LL/PEG1k loaded with 0, 5, 10, and 20 wt % of EGCg ($n = 3$). Data are presented as percentages relative to each respective non-EGCg-loaded polymer and shown as means \pm SD.

ity toward water and swellability in water) (Table II). For EGCg-loaded poly(TMC/LL/PEG1k), enhanced releasing characteristics were observed with increasing loaded amount. Greater loading provides a higher release rate [Fig. 8(B)].

EGCg-loaded polymer discs coated on PU surfaces were incubated with *E. coli* (2×10^3 CFU/mL) for 24 h under static conditions, and, subsequently, adhered viable *E. coli* were counted by the plate count method. As shown in Figure 9, there is little difference in *E. coli* adhesion among the non-EGCg-loaded polymers

listed above. For the least-swellable photocured polymer [poly(TMC/TMP)], irrespective of the amount of loading of EGCg, little difference in the number of adhered cells was observed. However, on the surfaces of 10- and 20-wt % EGCg-loaded poly(TMC/LL/PEG1k), and 20-wt % EGCg-loaded poly(TMC/PEG200), the adhesion was significantly inhibited compared with that on non-EGCg-loaded polymers. In particular, the 20-wt % EGCg-loaded poly(TMC/LL/PEG1k) surface completely inhibited the growth of *E. coli*. In confocal laser scanning microscopy, most of the surface areas of the nonloaded surface were green, which is derived from GFP-gene-encoded *E. coli* cells, whereas no *E. coli* cells were observed on the surface of the 20-wt % EGCg-loaded polymer surface (Fig. 10).

To study bacterial adhesion under flow conditions, the most swellable polymer [poly(TMC/LL/PEG1k)] with different degrees of EGCg loading (0–20 wt %) were coated on the sample ports of the flow-through device (MRD: Fig. 3). Then, medium containing *E. coli* (2×10^5 CFU/mL) was passed through the device for 1 h, followed by *E. coli*-free medium for 23 h. Then, the adhered *E. coli* cells were counted by the plate count method. Dose-dependent reduction of bacterial adhesion was noted similarly to that under static conditions [Fig. 9(D)]. The 20-wt % EGCg-loaded polymer completely prevented biofilm formation, similarly to that under static conditions. For 5-wt % EGCg-loaded polymer, a significant reduction of *E. coli* adhesion was observed (Fig. 11). The degree of adhesion of *E. coli* (19% to control) was much lower than that (95%) under nonflow (static) conditions [Fig. 9(D)].

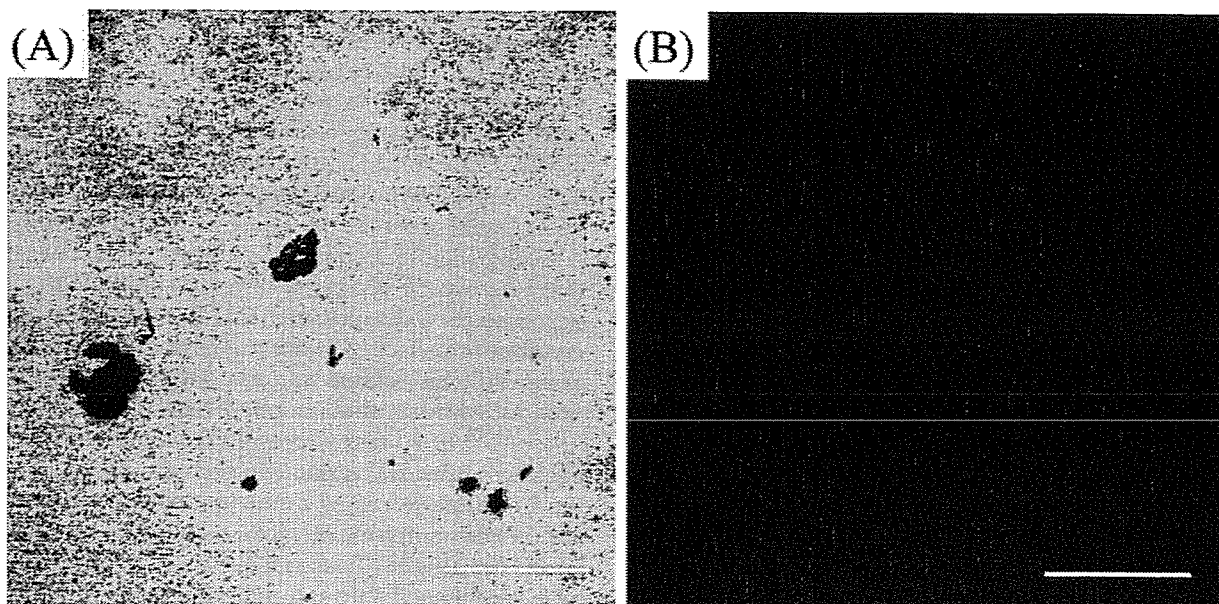


Figure 10. Confocal laser scanning microscopy of biofilm formed on the polymer surface. (A) TMC/LL/PEG1k without EGCg loading. (B) TMC/LL/PEG1k loaded with 20 wt % of EGCg. Bar: 500 μ m.

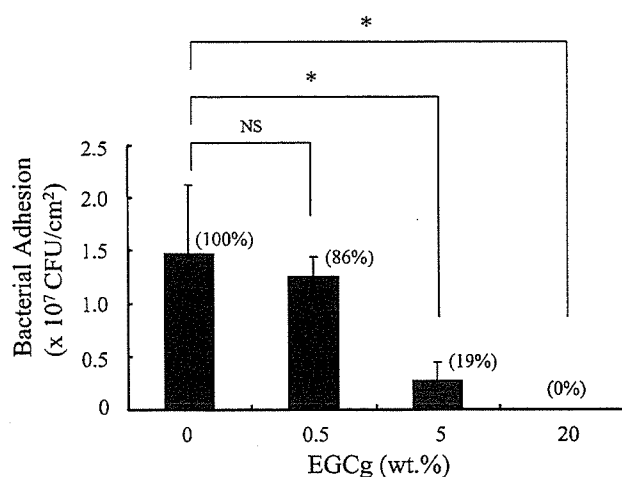


Figure 11. Bacterial adhesion on 0-, 0.5-, 5-, and 20-wt % EGCg-loaded polymers (TMC/LL/PEG1k) under flow conditions ($n = 3$). Data are shown as means \pm SD.

DISCUSSION

Bacterial infection and colonization leading to biofilm formation remain major complications in implanted and percutaneous medical devices and is an important step in the pathogenesis of infection, which frequently causes septic complications. These devices include vascular catheters, prosthetic heart valves, peritoneal dialysis catheters, various types of stents (vascular, urinary, and biliary), and implanted orthopedic devices.^{7,21} For example, infective endocarditis involving prosthetic heart valves is a potentially serious complication after heart-valve replacement surgery. High morbidity and mortality rates have been associated with biomaterial-centered infection despite aggressive antimicrobial therapy, thus leading to the need for surgical intervention in most cases. The mechanism of bacterial adhesion to implanted surfaces is a very complicated topic and remains unclear.²² After adhering to the implanted surfaces, colonization and subsequent biofilm formation, accompanied with very rapid cell proliferation and secretion of slimy EPS, render the bacteria less accessible to the host defense systems and significantly decrease antibiotic susceptibility.²³

Although antibacterial drug-loaded implants or catheters have been developed, the occurrence of drug-resistant bacteria or the unknown toxicity to the human body hampers the realization of "true" antibacterial surfaces.¹⁰ In particular, increasing numbers of reports on various antibiotic-resistant bacteria indicate the worsening situation that we are facing in the battle against bacterial infections. The reason why we chose catechin, epigallocatechin gallate in particular, as a potential candidate for a bactericidal drug without substantial adverse effects is based on a recent

report on the cytotoxic activity of catechins toward Gram-negative and Gram-positive bacteria (Table I).

Catechins are polyphenol compounds extracted from tea, which is the most common drink served nearly every day to a vast majority of people in the world. EGCg, the main constituent of the tea catechins, interacts with and penetrates in the bacterial membrane or lipid bilayer, disrupting the barrier function, or binding to cell adhesive receptors as observed for cancer cells, both of which contribute to function as a potent bactericidal activity.^{11,12} Interestingly, among the catechin family, the most phenol group-populated substance, EGCg exhibits outstandingly high bactericidal, anticancer, and antioxidative efficacy in contrast with the fact that (-)-epicatechin and (-)-epigallocatechin (both structures are shown in Fig. 1) have neither bactericidal nor anticancer effect.^{12,24} EGCg is the only gallate (gallic acid ester), suggesting that the gallate moiety may be critical for bactericidal effect. As shown in Figure 3, the adhesion of *E. coli* to a PU surface was completely inhibited at EGCg concentrations >0.15 mM. However, to kill all *E. coli* in an established biofilm, which was harvested in EGCg-free medium for 24 h, nearly 50 mM of EGCg was necessary (Fig. 5). Bacteria present within an established biofilm has been reported to be more resistant to antibiotic therapy, and 100–1000 times the MIC level of an antibiotic is required to kill biofilm bacteria compared with the planktonic or free-floating bacterial form.^{7,25} Our result coincides with this finding, and, therefore, exposure to catechin before bacterial adhesion and colonization seems to be much more effective for maintaining a biofilm-free surface.

Confocal laser scanning microscopic observation using fluoroprobed *E. coli* and fluorostaining of dead *E. coli* revealed the morphological change of biofilm with changing concentration of EGCg. The red regions, which were constructed of dead *E. coli* cells, and the yellowish regions, in which live and dead cells coexisted, increased with an increase in the concentration of EGCg (Fig. 6). At a high dose (20–50 mM) of EGCg, black regions which are identified as biofilm-detached spots, were observed by using scanning electron microscopy (Fig. 7). In high-magnification scanning electron microscopy images of samples subjected to EGCg exposure, EPS disappeared and *E. coli* blebed off the membrane surface to create membrane vesicles. Membrane vesicles release various degradation enzymes such as protease, alkaline phosphatase, and phospholipase C.²⁶ EPS lyase is usually released from biofilm bacteria under starvation conditions, which degrades the EPS that serves as a nutrient source for bacteria and hence contribute to biofilm detachment from the substrate. This is a bacterial survival strategy that has been established through billions of years of adaptation in hostile environments.²⁷ Taken together with our experimental results, it is hypothesized that EGCg

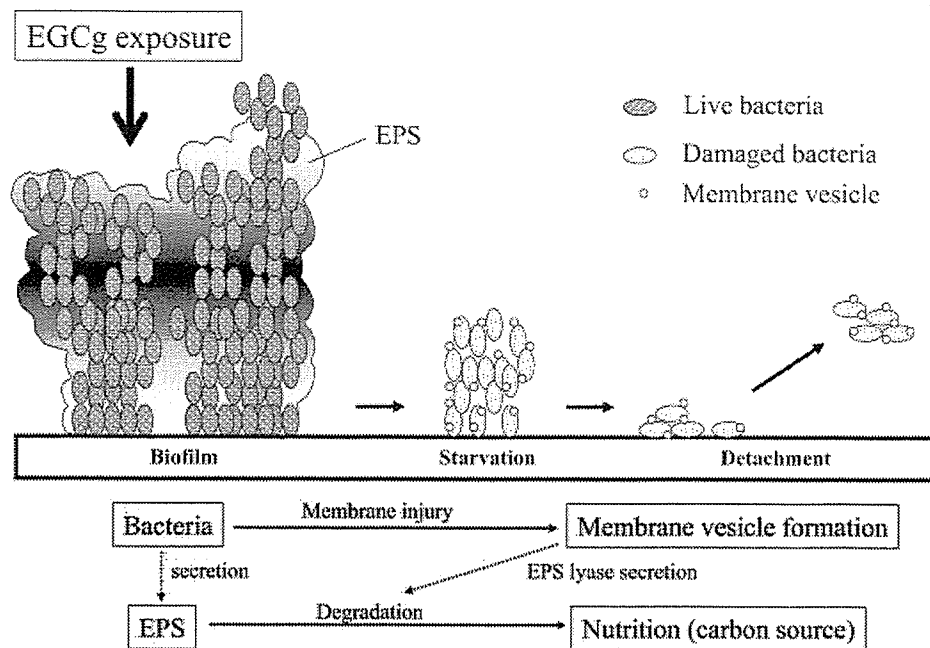


Figure 12. Schema of morphological change of biofilm exposed to EGCg. EPS, exopolysaccharide.

exposure induced the starvation of biofilm by damaging the bacterial membrane, as schematically shown in Figure 12.

The next step is to prevent biofilm formation on the foreign body surface of implanted devices. Our approach is to develop a local drug-releasing system aiming at combining the effects of the bactericidal activity of the naturally occurring nontoxic polyphenol, catechin, and wash-out of biofilm from the surface via surface erosion mechanism. Our previous study showed that the photocured polymers listed in Figure 2 are degraded via surface erosion, which were evidenced with various physicochemical analyses using confocal laser scanning microscopy and atomic force microscopy.¹⁹ The amount of released EGCg depends on the polymer's surface characteristics; the higher the water wettability, water uptake or swellability and surface erosion rate is, the greater the amount of released EGCg [Fig. 8(A)]. In addition, the release rate of EGCg increased with the amount of loaded EGCg [Fig. 8(B)].

Many studies have revealed that a hydrophilic polymer surface significantly reduced the amount of adhered *E. coli*.²¹ However, in this study, there was little significant effect on *E. coli* adhesion despite a diverse surface hydrophobicity/hydrophilicity balance in the absence of EGCg [Fig. 9(A)]. The bactericidal effect was enhanced with a more swellable, faster biodegradable polymer surface and with higher concentration of loaded EGCg. It is of interest to see that hydrodynamic shear stress reduced the amount of biofilm formation. For example, the amount of adhered bacteria on 5-wt %-loaded polymer was 95%

under nonflow (static) conditions [Fig. 9(D)], and 19% under flow conditions (Fig. 11).

On the basis of these results, the following scenario of preventing biofilm formation and inducing biofilm degradation is presented using our proposed and prototype technology. The schema of such sustained releasing system via surface erosion mechanism is shown in Figure 13. Upon swelling at the surface region in a physiological fluid, some catechin is re-

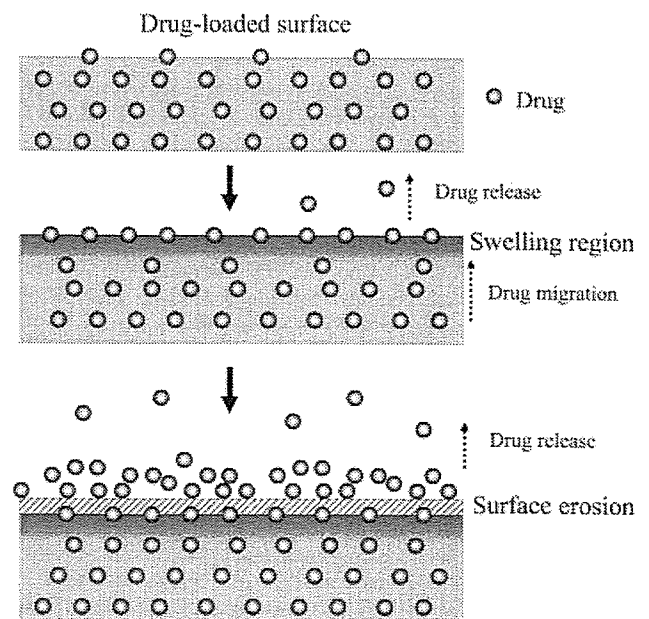


Figure 13. Schema of drug-releasing mechanism from hydrophilic biodegradable polymer.

leased from the swelling surface region, to which catechin had migrated from the bulk phase. As surface erosion proceeds with time, more catechin is released to the fluid, resulting in a very high local concentration of catechin in the interfacial region near the surface and catechin accumulation in the outermost surface region, both of which contribute to the destruction of the three-dimensional biofilm architecture as well as of the biofilm/substrate interface. In addition, the mechanically weakened interfacial region and whole body of biofilm cannot withstand the hydrodynamic shear stress of the physiological environment, resulting in detachment, removal, or wash-out of biofilm. All these phenomena contribute to biofilm destruction and removal. We are developing a long-life, sustainable biliary stent using this technique for patients with pancreatic cancer. The pharmacological effect of catechin and sustained release from surface-erodible polymer may contribute to the bactericidal effect of such coatings on the surface of a biliary stent, through which there is a continuous flow of bile (flow rate: approximately 0.4 mL/min).²⁸

In conclusion, we developed a novel bactericidal surface from which EGCg is sustainably released upon surface erosion, resulting in the prevention of biofilm formation.

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Editor-Communicated Paper

Curli Fibers Are Required for Development of Biofilm Architecture in *Escherichia coli* K-12 and Enhance Bacterial Adherence to Human Uroepithelial Cells

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Abstract: Sessile bacteria show phenotypical, biochemical, and morphological differences from their planktonic counterparts. Curli, extracellular structures important for biofilm formation, are only produced at temperatures below 30 C in *Escherichia coli* K-12 strains. In this report, we show that *E. coli* K-12 can produce curli at 37 C when grown as a biofilm community. The curli-expressing strain formed more biofilms on polyurethane sheets than the curli-deficient strain under growth temperatures of both 25 C and 37 C. Curli are required for the formation of a three-dimensional mature biofilm, with characteristic water channels and pillars of bacteria. Observations by electron microscopy revealed the presence at the surfaces of the curli-deficient mutant in biofilm of flagella and type I pili. A wild-type curli-expressing *E. coli* strain significantly adhered to several lines of human uroepithelial cells, more so than an isogenic curli-deficient strain. The finding that curli are expressed at 37 C in biofilm and enhance bacterial adherence to mammalian host cells suggests an important role for curli in pathogenesis.

Key words: *Escherichia coli*, Biofilm, Curli, Adherence

In natural settings, many bacteria are found as surface associated communities called biofilms (8, 12, 17). Bacteria growing as a biofilm develop significant phenotypical, biochemical, and morphological differences from their planktonic counterparts. This different biochemical and phenotypical behavior reflects different patterns of gene expression compared with planktonic cells (30, 32). Biofilms generate major health problems. Bacterial ability to colonize indwelling medical devices and low biofilm sensitivity to biocides and antibiotics lead to severe infections (7). The formation of mature biofilm architecture of several bacterial species has been described as a developmental differentiation process (25). The mature and differentiated three-dimensional structure of biofilms is characterized by mushroom or pillar shaped bacterial clusters sur-

rounded by water channels allowing the influx of nutrients and the efflux of waste products (8, 9, 11, 19, 33, 40, 42). In contrast to the extensive characterization of biofilm structure, little is known about the molecular mechanisms underlying the maturation and differentiation of the biofilm. Several extracellular structures including lipopolysaccharide (41), flagella, pili (28) and other outer membrane adhesins (10, 28, 37, 40) are involved in the initial adhesion of bacterial cells to a solid surface. It has been reported that exopolysaccharide (EPS) synthesis is involved in the formation of three-dimensional biofilm architecture in several bacterial species; VPS exopolysaccharide in *V. cholerae* (23, 38, 40, 43), EPS colanic acid in *E. coli* (11) and EPS alginate in *Pseudomonas aeruginosa* (13, 18). Davies et al. (14) demonstrated that the cell-to-cell communica-

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Abbreviations: CFA, colonization factor antigen; CSLM, confocal scanning laser microscopy; CV, crystal violet; DAPI, 4',6'-diamidino-2-phenylindole; EPS, exopolysaccharide.

tion mediated by an acylated homoserine lacton quorum-sensing signal molecule is required for *P. aeruginosa* to form mature biofilm. Acylhomoserine lactones were detected in biofilms formed on urethral catheters removed from patients (36) and on immersed stones from the San Marcos river in Texas (21). In contrast, cell-to-cell signaling mediated by autoinducer 2 (AI-2) is not required for *E. coli* biofilm development (33). Recently, other fibrous surface structures such as conjugative pili (15, 33) and curli (29, 37) have been shown to play an important role in biofilm formation of *E. coli* K-12 strains. Curli display direct interaction with the substratum and form interbacterial bundles, allowing a cohesive and stable association of cells in biofilm (31). The fertility pili, encoded by conjugative IncF plasmids are required for development and maturation of biofilm, whereas curli and other surface structures are all dispensable for biofilm maturation in chemostat cultures (33). Curli, known as thin aggregative fimbriae, are present in *E. coli* and *Salmonella* spp. (34, 35). Curli biogenesis is subject to tight and complex regulation; in *E. coli*, they are only produced at temperatures below 30 C, at low osmolarity, and in stationary phase (16, 20, 26). The biological function of curli remains to be solved. *In vitro* experiments suggest that curli are not expressed under conditions in mammalian hosts (37 C, high osmolarity). Therefore curli have not been considered to contribute to human infections, although curli have been implicated in binding to a variety of human host proteins including fibronectin, plasminogen and human contact phase proteins (4, 16, 27). It has been thought that curli may be involved in environmental survival, since conditions encountered outside the host enhance curli expression.

In this work, we investigated curli expression at various growth conditions and the role of curli in biofilm formation using *E. coli* K-12 YMel and its curli-deficient YMel-1 strains. This work shows that curli are expressed at 37 C when bacteria were grown in biofilm and are essential for biofilm maturation. We also show that curli enhance bacterial adherence to human uroepithelial cell lines, suggesting the contribution of curli to pathogenesis.

Materials and Methods

Bacterial strains, cell lines, and culture conditions. YMel, which is a curli-expressing wild-type *E. coli* K-12 strain (26), was used in this study. Generation of the curli-deficient mutant strain YMel-1 has been previously described (26). These strains were the generous gift of Dr. A. Arnqvist (Molecular Biology, Umea University, Sweden). Bacteria were grown on colonization fac-

tor antigen (CFA) agar plates (per liter, 10 g of Casamino Acids [Difco, Detroit, Mich., U.S.A.], 1.5 g of yeast extract [Difco], 50 mg of MgSO₄, 5 mg of MnCl₂, 2 g of Bacto agar [Difco] [pH 7.4]) (27) or in CFA liquid medium at 25 C or 37 C. T24 and KK47 cell lines, established from human transitional cell carcinomas of the urinary bladder, and SN12C, established from a human renal cell carcinoma, were maintained in minimal essential medium α medium (Gibco BRL, Grand Island, N.Y., U.S.A.) supplemented with 10% fetal bovine serum (FBS) (HyClone, Logan, Ut., U.S.A.) at 37 C in a 5% CO₂ atmosphere.

Growth of biofilms. For biofilm formation, bacteria were grown overnight in 5 ml of CFA medium at 37 C with shaking (150 rpm). 2.5 μ l of the cultures was subcultured into 2.5 ml of CFA medium and incubated without shaking at 25 C and 37 C. When necessary, kanamycin was added at a concentration of 30 μ g/ml.

Detection of curli expression. Expression of curli under various growth conditions was analyzed using an electron microscope. Electron microscopy was performed with a JEM 2000EX electron microscope (JEOL, Tokyo) with 100-mesh copper grids coated with thin films of 2% Formvar. Wild type *E. coli* K-12 YMel was grown on CFA plate or in CFA medium with shaking at both 25 C and 37 C. It was also grown in static CFA medium with polyethylene sheet to form biofilm. Samples grown on CFA plates were picked up and suspended with PBS and placed on the grid. Grids were washed twice with distilled water and negatively stained for 5 sec with 3.55% ammonium molybdate. Samples in CFA medium with shaking were directly picked up and placed on the grid. The samples grown in static CFA medium were rinsed three times with PBS and only adherent cells on the polyurethane sheets as biofilms were picked up and suspended in PBS and negatively stained.

Crystal violet (CV) analysis of bacterial cell attachment to polyurethane sheets. CV staining was performed as described previously (22) with a few modifications. Briefly, cultures of strains YMel and YMel-1 were grown in CFA medium in glass test tubes at 25 C or 37 C without shaking. Polyurethane sheets were put in each test tube. After 2, 4 and 7 days of growth, the polyurethane sheets were rinsed in distilled water, fixed with formalin for 5 min and then rinsed in distilled water. After the polyurethane sheets had been stained with 0.1% CV, rinsed and thoroughly dried, the CV was solubilized by the addition of 1 ml of 95% ethanol, and OD₅₄₀ was determined using a SPECTRONIC GENESYS 5 (MILTON ROY, Ivyland, Penn., U.S.A.).

Scanning electron microscopy. Both YMel and YMel-1 strains were cultured in CFA medium at 25 C

and 37 C without shaking for 7 days. Polyurethane sheets were put into each glass test tube, as described in CV-analysis. After incubation, the biofilms growing on the sheets were sampled for scanning electron microscopy. The specimens were fixed with 2% glutaraldehyde in PBS for 1 hr, followed by 1% osmium tetroxide overnight at 4 C, dehydrated with a series of acetone concentrations ranging from 50% to 100%, dried by the critical point drying method, coated with gold-palladium for surface conductivity, and examined with a scanning image observing device (ASID) equipped with a JEM 2000EX electron microscope.

Transmission electron microscopy. The expression of the cell surface structures of YMel and YMel-1 strains in biofilm was examined by electron microscopy. *E. coli* strains were negatively stained and electron microscopy was performed as described above.

Confocal scanning laser microscopy (CSLM). Bacterial cell distribution in biofilm was determined after staining with 4',6'-diamidino-2-phenylindole (DAPI) (Sigma, St. Louis, Mo., U.S.A.). Both YMel and YMel-1 strains were cultured in CFA medium at 25 C without shaking for 7 days. Polyurethane sheets were put into each glass test tube as described above. After 7 days of growth, the polyurethane sheets were rinsed in distilled water and stained with 0.1 mg/ml of DAPI for 15 min. Confocal laser microscopy was conducted with a Radiance 2000 microscope (Bio-Rad, Hercules, Calif., U.S.A.) using a 488 nm excitation wavelength for visualization of the stained bacteria. Horizontal optical thin sections were collected and digitized by the LaserSharp 2000 software (Bio-Rad). These images were collected at 1.0- μ m from the outer surface of the biofilm on the polyurethane sheet.

Adherence assay. Before the adherence assay, the tissue culture cells were incubated confluent in a 24-well plate. The number of confluent cells in an each well was 3.5×10^5 cells/well. A suspension of bacteria was added to the cells at the bacteria/cells ratio of 1,000/1, and the mixture was incubated for 3 hr at 37 C in 5% CO₂. After incubation, each well was rinsed three times in PBS, to remove the nonadherent bacteria. A solution of 0.5% deoxycol acid was then added to each well to release cells. Adherent bacteria were quantified by plating appropriate dilutions on Luria-Bertani (LB) agar plates (2).

Results

Expression of *E. coli* Curli under Various Growth Conditions

We investigated the expression of curli in *E. coli* K-12 YMel strain under various growth conditions. YMel

cells were grown on CFA agar plates, in CFA medium with shaking, or in static CFA medium to form biofilms at 25 C and 37 C. Curli expression was analyzed by electron microscopy. Electron microscopic analysis revealed that all the samples of YMel cells grown at 25 C on CFA agar plates, in CFA medium with shaking or in static CFA medium, expressed curli (Table 1 and Fig. 1, a, c and e). On the other hand, when YMel was incubated at 37 C, bacteria from biofilm formed only after static growth expressed curli (Table 1 and Fig. 1f), but not on CFA agar plates nor in CFA medium with shaking (Table 1 and Fig. 1, b and d).

Biofilm Formation Assay

To evaluate the role of curli in *E. coli* K-12 biofilms, we compared the ability of a wild-type YMel strain and its isogenic *csgA* mutant YMel-1 strain for biofilm formation. YMel-1 is a *csgA::kan^r* chromosomal knockout mutant strain of *E. coli* YMel. The *csgA* gene encodes the curlin subunit. Test tubes containing 2.5 ml of CFA medium were inoculated either with YMel or with YMel-1 and a polyurethane sheet was put into each tube. Bacteria were grown at 25 C or 37 C. After 2, 4 and 7 days, each sheet was removed and stained with 0.1% crystal violet to quantify the biomass (see "Materials and Methods"). This assay revealed that the curli-expressing YMel strain formed more biofilms on polyurethane sheets than the curli-deficient YMel-1 strain under growth conditions at both 25 C or 37 C (Fig. 2).

Scanning Electron Microscopy

Scanning electron microscopy was used to visualize morphology of *E. coli* K-12 biofilms on polyurethane sheets. YMel or YMel-1 strain was incubated in static for 7 days at 25 C and 37 C. Under the condition grown at 25 C, YMel wild-type strain formed characteristic mushroom-like microcolonies (Fig. 3a), and produced an extracellular matrix possibly curli (Fig. 3c). In contrast, curli-deficient YMel-1 cells were not able to form complex three-dimensional structural biofilms and

Table 1. Expression of *E. coli* curli under various growth conditions

	Agar plate	Liquid medium	Biofilm
25 C	+	+	+
37 C	-	-	+

E. coli K-12 YMel cells were grown on CFA agar plates or in CFA medium with or without shaking at 25 C or 37 C. YMel cells were also grown in static with polyurethane sheets to form biofilm at 25 C or 37 C. +, curli expression positive; -, curli expression negative.

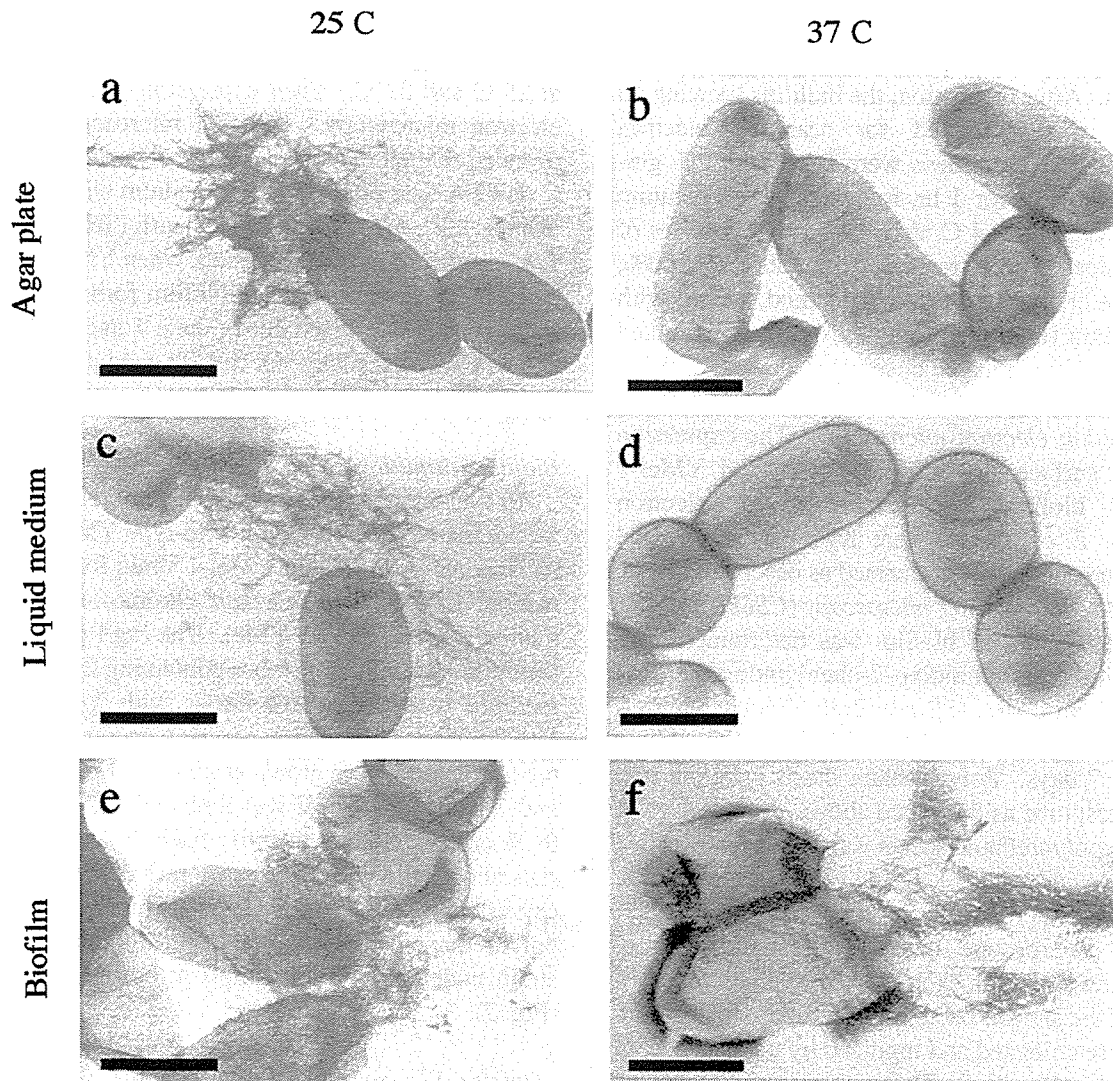


Fig. 1. Electron micrographs of negatively stained *E. coli* K-12 YMel. Bacteria were grown on CFA agar plates for 2 days (a and b), in CFA medium with shaking overnight (c and d), or in CFA medium under static condition for 4 days to form biofilm (e and f). The scale bars represent 500 nm.

an extracellular matrix was not observed (Fig. 3, b and d). Under the condition at 37 C, although YMel strain did not form mushroom-like, typical mature biofilms, it showed uneven structural biofilms (Fig. 3, e and g). In contrast, YMel-1 strain formed flat biofilms and produced little extracellular matrix (Fig. 3, f and h).

Confocal Scanning Laser Microscopy

CSLM analysis was performed to assess *E. coli* K-12 biofilm architecture developed on polyurethane sheets. YMel or YMel-1 strain was incubated in static at 25 C for 7 days and stained with DAPI. YMel wild-type strain formed many small microcolonies. The z-section image of wild-type strain showed an irregular three-dimensional structure separated by possible water channels (Fig. 4a). In contrast, YMel-1 strain did not form

small microcolonies and formed flat biofilms. The z-section image of curli-deficient strain showed the tightly packed structure as continuous sheets (Fig. 4b).

The Expression of Cell Surface Structures

To study the differences of the expression of cell surface structures between a curli-expressing and a curli-deficient strain in biofilms, YMel and YMel-1 bacteria were observed by negatively stained electron microscopy. Wild-type YMel cells in biofilm expressed curli. Other cell surface structures were not observed in YMel strain (Fig. 5a). On the other hand, no curli were visualized, instead, flagella and pili were expressed in YMel-1 strain (Fig. 5b).

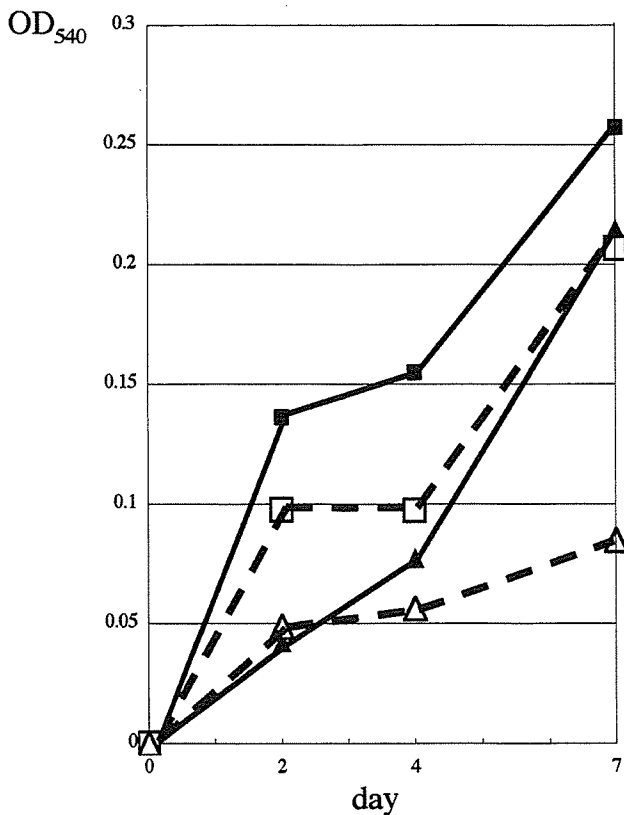


Fig. 2. Quantification of biofilm formation. YMel and YMel-1 bacteria were grown as described in "Materials and Methods." At each time point, polyurethane sheets were rinsed, stained with CV and the amount of CV staining was quantified to measure OD₅₄₀. Filled square, YMel grown at 25 C; open square, YMel grown at 37 C; filled triangle, YMel-1 grown at 25 C; open triangle, YMel-1 grown at 37 C. The experiments were repeated at least thrice; one representative experiment is shown.

Adherence Assay

The ability of strains YMel and YMel-1 to adhere to T24, KK47 and SN12C, three types of uroepithelial cells, was examined. The results are shown in Fig. 6. Wild-type YMel strain significantly adhered to all uroepithelial cells tested, more so than curli-deficient YMel-1 strain. These results suggested that curli enhanced bacterial adherence to mammalian host cells.

Discussion

Many microbes persist in attaching themselves to solid surfaces in communities generally referred to as biofilms. The term biofilm is used to describe matrix-enclosed microbial populations adherent to each other and to surfaces or interfaces (8). The mechanisms by which *E. coli* develop highly organized and mature biofilm structures are not well understood. Flagella, type I pili, and exopolysaccharide (EPS) have been

implicated as important for biofilm formation in *E. coli* (11, 28, 37). Flagella are important for both initial interaction with the surface and for movement along the surface (28). Type I pili are required for initial surface attachment (28). EPS seems to be important for the formation of complex three-dimensional structure and persistence on biofilms (11). Recently, curli and conjugative pili have been shown to play important roles in *E. coli* biofilm formation (15, 29, 33, 37). The expression of gene coding for curli fibers is complex and involves several control elements, such as H-NS, RpoS, and OmpR (3). It has been reported that curli fibers are expressed after growth at 26 C but not at 37 C in *E. coli* (27). It has been thought that a low temperature-induced protein Crl regulates curli expression as a thermosensor (5). We investigated the expression of curli under various growth conditions. Curli were expressed under all growth conditions tested in this work, when bacteria were grown at 25 C. We found that bacteria expressed curli at 37 C when grown on solid surfaces to form biofilm, but not with shaking or on the agar plate. Biofilm-growing cells display different patterns of gene expression when compared with planktonic cells (32). The transcription of 38% of the *E. coli* genes was affected within biofilms. Different cell functions were more expressed in sessile bacteria: the OmpC porin, the high-affinity transport system of glycine betaine (encoded by proU operon), the colanic acid exopolysaccharide (*wca* locus, formerly called *cps*), tripeptidase T (*pepT*), and the nickel high-affinity transport system (*nikA*). On the other hand, the synthesis of flagellin (*fliC*) and a putative protein of 92 amino acids (f92) were both reduced in biofilms (32). The cell-to-cell signaling mechanisms and microenvironmental conditions of osmolarity and oxygen concentration can be correlated with this major change in gene expression (32). Our results may reflect that low oxygen concentration in biofilm conditions can be responsible for curli expression. In addition to the changes in multiple environmental physicochemical conditions, the adhesion event itself might have stimulated of the expression of curli at 37 C in biofilm.

We investigated the role of curli in *E. coli* K-12 biofilm formation using a wild-type YMel strain and its curli-deficient YMel-1 strain. YMel strain produced an extracellular matrix and formed mushroom-like or pillar-like, mature and differentiated biofilms. In contrast, YMel-1 strain produced little extracellular matrix and formed flat and tightly packed biofilm. Our data demonstrated that curli are required for the maturation of biofilm. Recently, several studies have shown that curli or other cell surface structures play an important role in biofilm formation and development in *E. coli* K-

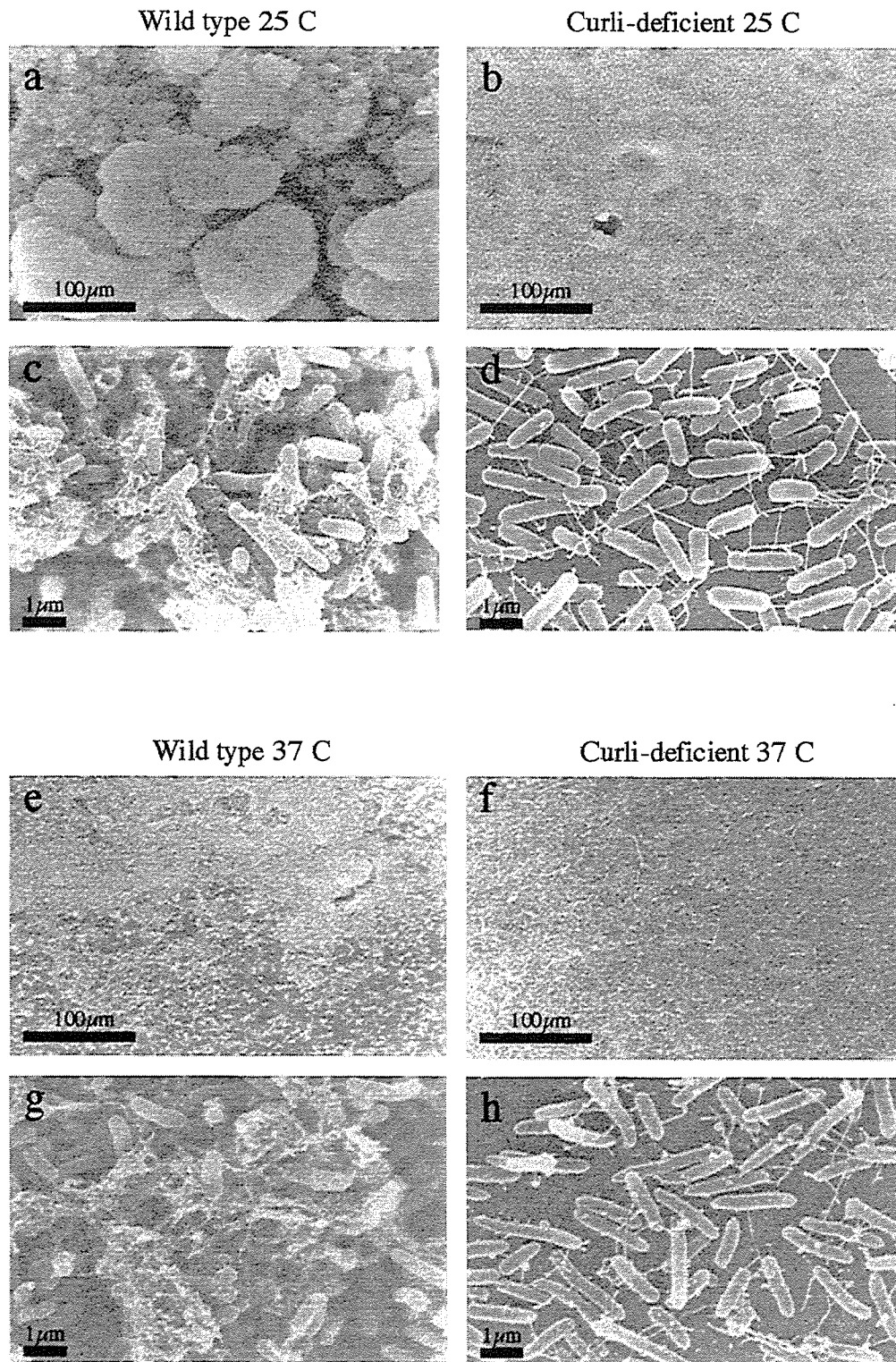


Fig. 3. Scanning electron micrographs of *E. coli* K-12 biofilm on polyurethane sheets. Bacteria were grown under static condition at 25 C and 37 C for 7 days. a to d, grown at 25 C; e to h, grown at 37 C. a, c, e and g, wild-type YMel strain; b, d, f and h, curli-deficient YMel-1 strain. a, b, e and f, the bar represents 100 μm; c, d, g and h, the bar represents 1 μm.

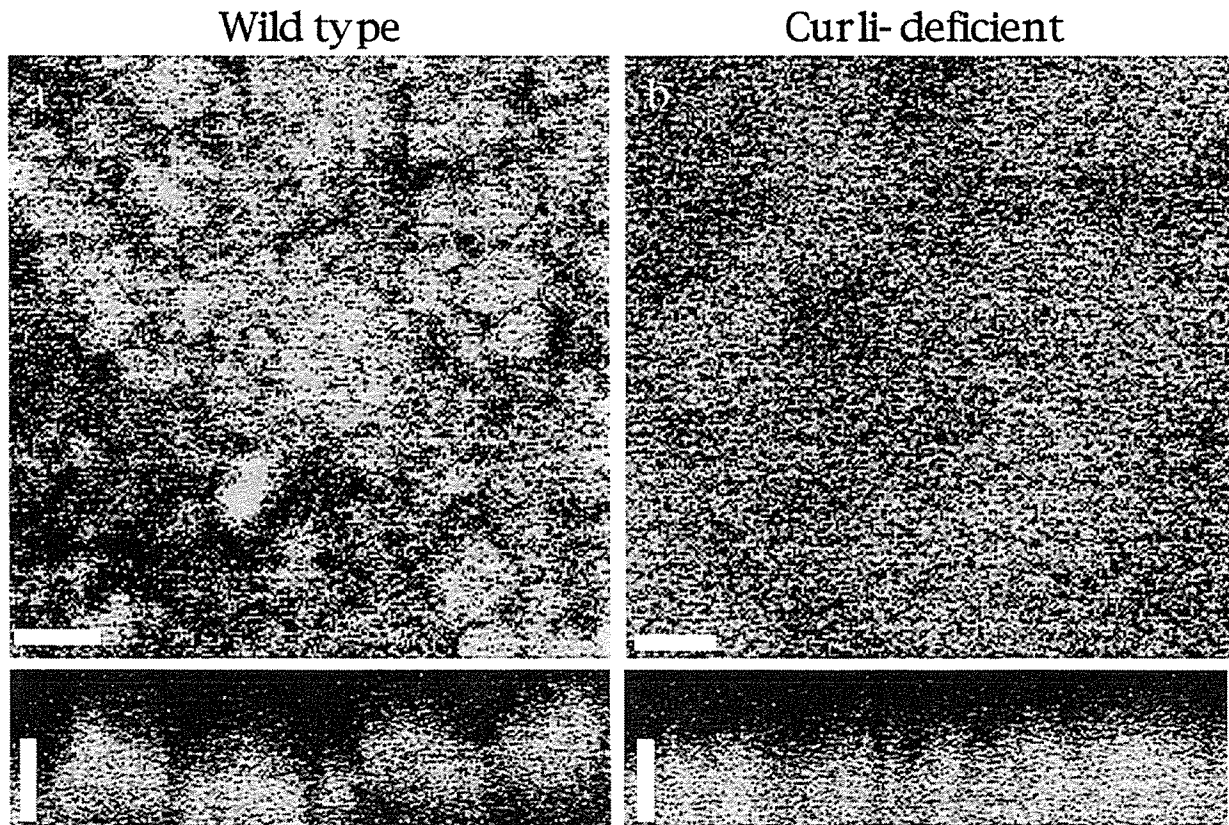


Fig. 4. Overhead and sagittal images of wild-type YMel (a) and curli-deficient YMel-1 (b) biofilms formed on polyurethane sheets. Bacteria were grown under static condition at 25 C for 7 days and stained with DAPI. Representative images of biofilms are shown. The scale bars represent 50 μ m.

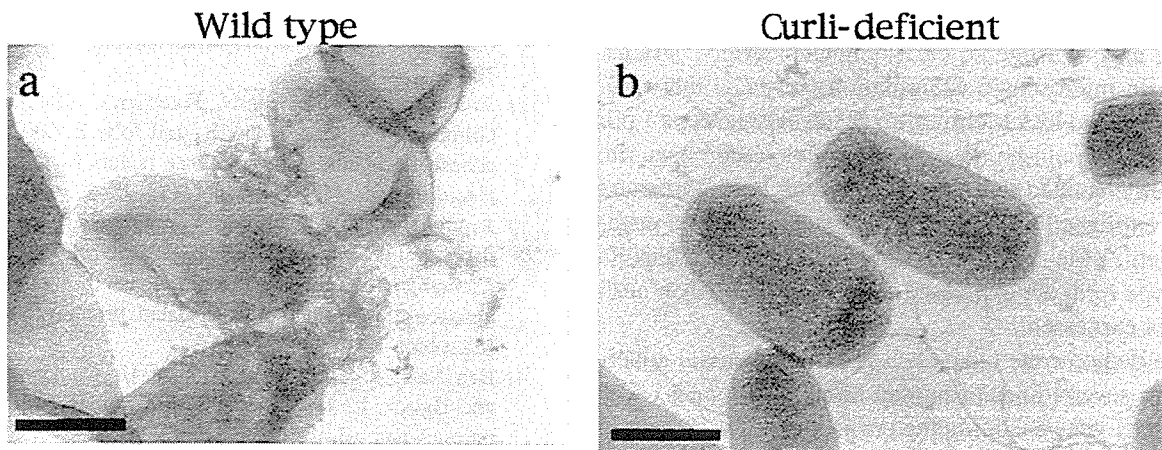


Fig. 5. Electron micrographs of negatively stained wild-type YMel (a) and curli-deficient YMel-1 (b) cells from biofilm formed on polyurethane sheets. Bacteria were grown under static condition at 25 C for 7 days. The scale bars represent 500 nm.

12 (11, 31, 33). Danese et al. (10) showed that colanic acid is critical for the formation of the complex three-dimensional structure and depth of *E. coli* biofilms. Reisner et al. (33) reported that presence of transfer constitutive IncF plasmids induced biofilm development forming mature structures resembling those reported for

Pseudomonas aeruginosa, while flagella, type I fimbriae, curli and Ag43 are all dispensable for the *E. coli* biofilm maturation. These results may be influenced by differences in growth conditions such as static culture or flow cell culture. This suggests that biofilm maturation may require complex genetic control of the develop-

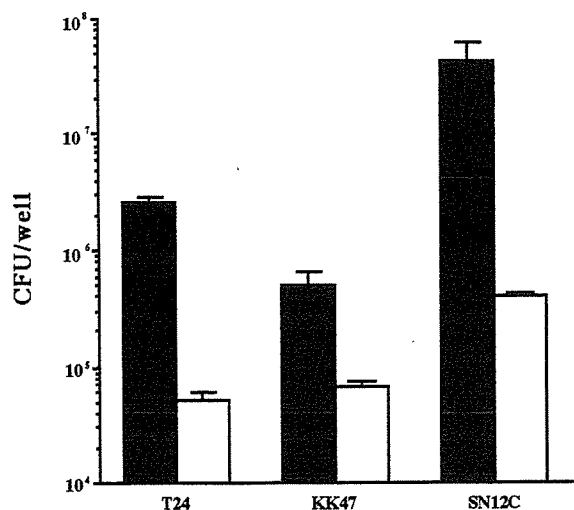


Fig. 6. Adhesion of *E. coli* to uroepithelial cells. The number of CFUs per well on each uroepithelial cell line is shown. Filled square represents adherence of YMel strain. Open square represents adherence of YMel-1 strain. Bacteria and tissue culture cells were prepared as described in "Materials and Methods." The data are means \pm SD of three separate experiments.

mental process and multiple activities in bacterial cells.

It is supposed that the expression of *E. coli* genes, especially surface structure related genes, is changing step by step during the development, differentiation and maturation of biofilm. We performed electron microscopy to analyze the expression of cell surface structures of bacteria grown, in biofilm, using wild-type K-12 YMel and its isogenic curli-deficient YMel-1 strains. Electron microscopic examinations revealed that curli are expressed in YMel biofilm. However no type I pili or flagella could be detected in YMel sessile bacteria. We demonstrated that flagella and type I pili continued to be expressed even after 7 days incubation in a curli-deficient YMel-1 biofilm. We first show that flagella and type I pili are expressed to form biofilm when curli are not expressed.

We demonstrated that curli promote bacterial adherence to several lines of human uroepithelial cells. The structural gene encoding the curlin subunit is present in most wild-type isolates of *E. coli*, but the level of expression varies considerably between different isolates and clinical types (26). It has been reported that enterohemorrhagic, enterotoxigenic, and sepsis isolates express curli at 26 C and retain low expression of curli when grown at 37 C *in vitro*. Enteroinvasive and enteropathogenic isolates, on the other hand, express little or no curli at either temperature (4). Recently it has been realized that the majority of human bacterial infections are biofilm related (6, 24). Anderson et al. (1) reported that *E. coli* formed the intracellular biofilm-

like pods in urinary tract infections of mice. Devices such as catheters and stents implanted into the urinary tract are particularly vulnerable to colonization by biofilms (39). Although not yet formally demonstrated, observations of this kind support the notion that curli could indeed be expressed by *E. coli* growing *in vivo*, especially in biofilm, where environmental conditions and selective pressures are considerably different from *in vitro* conditions. Thus, once expressed, curli will have the capacity to interact with human cells at physiological temperatures and contribute to the pathophysiology of bacterial infectious disease.

So far, most studies on biofilm formation and biofilm related gene expression in *E. coli* have been performed below 30 C. Further investigation of biofilm at physiological temperatures will hopefully provide a better understanding of the mechanisms on biofilm formation and of the contribution of biofilm to human bacterial infections.

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