

厚生労働科学研究費補助金 総括・分担研究報告書

【研究分野名】平成18年度 疾病・障害対策研究分野

【研究事業名】がん臨床研究

【研究課題名】難治性悪性リンパ腫の治療に関する研究

【報告書区分】分担

【文献番号】

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難治性悪性リンパ腫の治療に関する研究（臨床試験の実施）

研究要旨

- (1) 未治療進行性低悪性度リンパ腫における Rituximab + standard CHOP と Rituximab + bi-weekly CHOP とのランダム化比較試験（JCOG0203-MF）に7症例登録し、多施設共同研究を実施した。
- (2) ホジキンリンパ腫における、宿主の抗腫瘍免疫からの回避機構の解析。

(1) 本研究班全体で取り組む JCOG0203-MF「未治療進行期低悪性度 B 細胞リンパ腫に対する抗 CD20 抗体療法+化学療法[Rituximab + standard CHOP(R・CHOP) vs Rituximab + bi-weekly CHOP(R・bi-CHOP)]のランダム化比較臨床第 II/III 相試験」：施設内 IRB および倫理委員会の承認が平成14年11月21日に得られた。その後リツキシマブの6回投与への変更に関する施設内 IRB の承認を平成16年2月13日に得た。これまでに参加同意取得後に適格症例7例の登録を行い全治療を完了した。JCOG0203-MF において低悪性度 B 細胞性リンパ腫に対するリツキサンと化学療法の至的併用療法を確立することは重要な課題である。引き続き、登録した患者さんの定期的な病状の観察を続けていく。

(2)

研究目的：

ホジキンリンパ腫は、少数の腫瘍細胞(ホジキン細胞)と多数の反応性の非腫瘍性リンパ球より病変組織が形成される特異的な造血器腫瘍であり欧米諸国においてその発症頻度が高いことが知られている。ホジキンリンパ腫病変組織における多数の反応性のリンパ球の病態への寄与については未だ明らかになっておらず、この病態を明らかにすることはホジキンリンパ腫に対する論理的な新規治療の開発につながる。

研究方法：

- i) ホジキンリンパ腫細胞株の培養上清を使用して、ヒトリンパ球細胞の遊走実験を行い、遊走リンパ球の機能を明らかにする。リンパ球のうちいずれの分画が特異的に遊走するかは、4カラーのフローサイトメーターを使用して行う。遊走リンパ球が Treg 活性を

- 有するか否かについては、同一健康人ドナー由来の未処理の CD4 陽性リンパ球とともに遊走リンパ球を共培養し、自己抗原提示細胞存在下で抗 CD3 抗体により T 細胞受容体(TCR)刺激を加える。TCR 刺激に対する増殖能は 3H incorporation assay で評価し、IFN- γ などのサイトカイン産生能は ELISA 法で評価する。
- ii) ホジキン細胞の制御性 T 細胞の腫瘍周囲への recruitment が CCR4 のリガンドである TARC/CCL17、MDC/CCL22 に依存性であることを、TARC/CCL17、MDC/CCL22 に対する中和抗体を使用した遊走実験で証明する。
 - iii) ホジキン細胞の Treg 細胞の腫瘍周囲への recruitment が臨床導入の予定されている抗 CCR4 抗体を使用して阻害されるか否か検討する。本実験によりホジキン細胞周囲への制御性 T 細胞の recruitment が抗 CCR4 抗体により阻害されることが確認されれば、ホジキンリンパ腫に対する抗 CCR4 抗体の有用性が強く示唆され、本抗体のホジキンリンパ腫への適応拡大へとつながる。
 - iv) 生体内のホジキンリンパ腫組織において、上記 *in vitro* の実験結果を検証する。すなわち生検ホジキンリンパ腫組織において、CCR4 と FOXP3 の 2 重免疫染色を行う。CCR4 は膜に存在する蛋白であり、FOXP3 は核に存在する蛋白であるため 2 重染色による正確な評価が可能である。ホジキン細胞周囲の反応性リンパ球における CCR4 と FOXP3 の共発現を確認することにより、上記 *in vitro* の実験結果を検証することとなる。

研究結果：

ホジキンリンパ腫の培養上清には CD25+CCR4+ の CD4 陽性リンパ球が特異的に遊走反応をおこした。無処理の CD4 陽性分画は、自己の抗原提示細胞存在下での TCR 刺激に対し増殖し、IFN γ を産生した。しかしながら、HL の上清に遊走した CD4 陽性リンパ球は自己の抗原提示細胞存在下での TCR 刺激に対しアナジーの状態であり、反応がみられなかった。さらに重要なことは、HL の上清に遊走した CD4 陽性リンパ球は、無処理の自己の CD4 陽性分画の TCR 刺激に対する反応を著しく抑制した。

免疫染色において、HL の細胞周囲には FOXP3、CCR4 共陽性の細胞を多数認め、実際に、HL 細胞周囲には制御性 T 細胞が集まっていることが示された。

TARC/CCL17、MDC/CCL22 に対する中和抗体を使用した遊走実験では抗 TARC/CCL17 抗体単独、もしくは抗 MDC/CCL22 抗体単独では、CD4+CD25+細胞の遊走は 20-30%程度減じる程度であった。両者の併用により、CD4+CD25+細胞の遊走はほぼ完全に阻害された。さらに、KM2760 を加えることにより、4 時間の遊走実験で CD4+CD25+細胞の遊走は約半分程度に阻害された。

考察：

Hodgkin リンパ腫の腫瘍細胞が、CCR4 のリガンドである TARC/CCL17 及び MDC/CCL22 を分泌し、CCR4 との相互作用により、CCR4 陽性制御性 T 細胞を腫瘍細胞周囲に集め、宿主の免疫応答から逃れていることが明らかになった。抗 CCR4 抗体は CCR4 陽性リンパ球を除去することにより、前述のホジキンリンパ腫細胞の免疫回避機構を阻害することが可能である。

結論：

現在、抗 CCR4 抗体の CCR4 陽性末梢性 T 細胞性腫瘍に対する臨床第 I 相試験が欧米にさきがけ日本で実施されている。CCR4 に対する抗体療法の開発は、単に腫瘍細胞の表面に発現している分子標的に対する癌治療の域を超え、CD4+CD25+制御性 T 細胞を制御する新しい概念の薬剤

として幅広く展開されていくと考えられる。

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知的財産権の出願・登録状況

1. 特許取得
なし。

2. 実用新案登録
なし。

3. その他
なし。

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【研究事業名】 がん臨床研究

【研究課題名】 難治性悪性リンパ腫の治療に関する研究

【報告書区分】 分担

【文献番号】 200400507A

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分担研究項目 難治性悪性リンパ腫の治療に関する研究(臨床試験の実施)

研究要旨

平成 13 年度当初より、本臨床試験(未治療進行期低悪性度 B 細胞リンパ腫に対するリツキシマブと CHOP 療法併用のランダム化臨床第 II/III 相試験)のプロトコールの立案・作成作業を行ない、フルプロトコールが JCOG 臨床試験審査委員会による承認が得られた。これを受け、滋賀県立成人病センター倫理委員会(IRB)においても、リツキシマブと CHOP 療法併用のランダム化臨床第 II/III 相試験実施の承認を得た。途中、プロトコールの改訂(リツキシマブ 4 回投与→6 回投与)を受け、滋賀県立成人病センターにおいても迅速審査の結果、2003 年 12 月 1 日に IRB 承認となった。

当センターにおいて、12 症例を登録し、臨床試験を実施している。

A. 研究目的

本研究の目的は、近年開発され臨床に導入された分子標的治療薬リツキシマブを用いて、難治性悪性リンパ腫の有効な薬物療法を確立することであり、ひいては難治性悪性リンパ腫患者の生命予後と生活の質を改善させることを目指す。

未治療進行期 CD20 陽性・低悪性度 B 細胞リンパ腫患者を対象として、マウス/ヒトキメラ型抗 CD20 抗体リツキシマブ と CHOP 療法の併用療法を対照群 (R・CHOP)とし、化学療法の用量強度増強および顆粒球コロニー刺激因子(granulocyte colony-stimulating factor; G-CSF)併用による抗体療法の効果増強が期待される biweekly CHOP 療法とリツキシマブの併用療法 (R・Bi-CHOP)とのランダム化比較第 II/III 相試験を行う。

第 II 相部分の primary endpoint は完全奏効割合 [complete response(CR)rate]、secondary

endpoints は (1) 奏効率、(2) 無増悪生存、(3) 生存、(4) 治療の短期安全性とする。第 III 相部分の primary endpoint は無増悪生存、secondary endpoints は生存および安全性とする。第 II 相から第 III 相部分への移行の可否は、Japan Clinical Oncology Group (JCOG) データセンターによる中間解析結果に基づいて、JCOG 効果・安全性評価委員会による評価と判断に従う。

B. 研究方法(倫理面への配慮)

本研究は JCOG との共同研究として施行している。プロトコルの作成には以下の過程を経て作業を進めて来た。

- 1) JCOG リンパ腫グループのプロトコル検討委員会で本臨床試験の基本方針を検討し、合意を得た。
- 2) プロトコルコンセプトを作成し、JCOG リンパ腫グループの全施設に送付してアンケート調査を行った上で、JCOG リンパ腫グループの班会議においてグループ全体の合意を得た。
- 3) JCOG 臨床試験審査委員会と JCOG 運営委員会にプロトコルコンセプトを提出して審査を受け承認された。
- 4) 21 世紀型医療開拓推進研究の応募課題として本臨床試験の研究計画書を厚生労働省に提出し、研究課題として採択された。
- 5) JCOG Protocol Review Committee において、他分野の臨床腫瘍医、統計学者、データマネージャーが参加して、臨床試験研究としてプロトコルの細部を検討した。
- 6) 2001 年 9 月 7 日にリツキシマブの本邦での発売が開始された。
- 7) JCOG Protocol Review Committee における検討に基づいて完成したフルプロトコルを 2002 年 3 月 4 日に JCOG 臨床試験審査委員会に提出し、承認が得られた。
- 8) 2002 年 7 月 31 日に滋賀県立成人病センターの倫理委員会による審査・承認を経た。
- 9) start-up meeting に参加した後、1 症例を登録し臨床試験を開始した。
- 10) プロトコルの改訂(リツキシマブ 4 回投与→6 回投与)を受け、滋賀県立成人病センターにおいても迅速審査の結果、2003 年 12 月 1 日に IRB 承認となった。

倫理面への配慮

適切な症例選択規準と治療中止規準の設定により、被験者の安全性を最大限に確保している。また、ヘルシンキ宣言などの国際的倫理原則に従い、以下を遵守している。

- 1) 研究実施計画書の **institutional review board (IRB)**による審査・承認が得られた施設のみが症例を登録する。
- 2) 説明文書を用いて十分な説明を行い考慮の時間を設けた後、自由意志に基づく同意を患者本人より文書で得る。
- 3) 直接個人が識別できる情報を用いず、データベースのセキュリティを確保し、個人情報保護を厳守する。
- 4) 臨床試験審査委員会、効果・安全性評価委員会、監査委員会による、臨床試験研究の第三者的監視を実施する。

C. 研究結果

滋賀県立成人病センターでは、12 症例を登録し、治療計画に従って治療を実施しているが、現在までに報告すべき有害事象はおこっていない。有効性の解析については、JCOGデータセンターにて症例集積の上施行される予定である。登録症例は下記の登録条件を満たしている。

症例登録条件

- (1) 病理組織診断にて悪性リンパ腫と診断され、免疫組織染色もしくは **flow cytometry** 法により **CD20** 陽性の低悪性度 **B** 細胞リンパ腫と診断された症例。
- (2) **Ann Arbor** 臨床病期：III 期もしくは IV 期。
- (3) 年齢は 20 歳以上、74 歳以下。
- (4) **Eastern Cooperative Oncology Group** の **performance status (PS)** 0-2。
- (5) 測定可能病変を有する症例。
- (6) 以前に化学療法・放射線治療・インターフェロン・抗体療法を受けていない症例。
- (7) 緑内障の既往のない症例。
- (8) 十分な骨髄・肝・腎・心・肺機能を有する症例。
- (9) **リツキシマブ** の第 1 回目投与時に入院可能な症例。
- (10) 文書による同意が得られた症例。

治療計画

6 コースの **CHOP** 療法は 2 または 3 週間毎に行い各コースで **リツキシマブ** を計 6 回併用する。

リツキシマブ 375 mg/m² の 1 回点滴静注は各 **CHOP** 療法施行予定日の 2 日前 (**day 1**) に投与する。

CHOP 療法

| 薬剤 | 投与量 (投与方法) | 投与日 (day) |
|------------------------|--|-----------|
| Cyclophosphamide (CPA) | 750 mg/m ² (DIV) | 3 |
| Doxorubicin (DOX) | 50 mg/m ² (DIV) | 3 |
| Vincristine (VCR) | 1.4 mg/m ² (IV) (Max. 2.0 mg) | 3 |
| Prednisolone (PSL) | 100 mg/body (PO) | 3-7 |

D. 考察とE. 結論

進行期中高悪性度 B 細胞リンパ腫においてリツキシマブと CHOP 療法併用の有効性が CHOP 療法単独の有効性を凌駕することを示したランダム化第 III 相比較試験の成績が公表されるなど、B 細胞リンパ腫全体においてリツキシマブと CHOP 療法併用が標準治療もしくは基準治療と見なされつつある状況を考慮すると、本研究によって、進行期低悪性度 B 細胞リンパ腫においてもリツキシマブと biweekly CHOP 療法の併用がより優れていることが証明できれば、新たな標準治療確立と当該疾患患者の予後改善につながり、国際的にも高い医学的貢献が期待できる。

当研究においては合計 12 症例の登録となり、施設においても安全に治療が行えるようになった。即ち、予測可能な有害事象に対しては速やかに対処し得、重篤な有害事象は観測されなかった。B 群においては治療を重ねる毎に骨髄抑制が強く現れ、全 6 コースを入院で試行しなければならない症例が多かった。入院が長期にわたる点に関して、患者様の精神的苦痛もあり、改善の余地を探る必要があると思われた。

また、当研究においては、除外基準として HBsAg 陽性があげられているが、HBsAg が陰性であっても、HBsAb 陽性患者様ならびに HBcAb 高値の患者様の場合は治療による(特にリツキシマブによる)免疫抑制状態が HBV を活性化し、B 型肝炎の再燃を来す可能性が否定できない。よって、我々は、HBsAg、HBsAb がともに陰性患者様を試験に登録してきた。HBsAb 陽性症例については、HBV-DNA ポリメラーゼの測定も意義のあるところかもしれない。B 型肝炎はいまや国民病であることから、今後のプロトコール研究においては十分な検討を要すると思われる。

治療成績はおおむね良好である印象を受けるが、症例数や、時期を見ての解析が待たれる。当該研究の途上、リツキシマブの 8 回投与が保険適応になったことから、登録可能症例の内、数名がリツキシマブの 8 回投与を希望することにより、登録が出来なかった。EBM に準拠した実施医療と、

保健医療の整合性が強く求められる。

F. 健康危険情報

なし。

G. 研究発表

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H. 知的財産権の出願・登録状況

1. 特許取得

なし。

2. 実用新案登録

なし。

3. その他

なし。

Phase II Study of Oral Fludarabine Phosphate in Relapsed Indolent B-Cell Non-Hodgkin's Lymphoma

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Authors' disclosures of potential conflicts of interest are found at the end of this article.

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A B S T R A C T

Purpose

Although intravenous (IV) fludarabine phosphate is effective against indolent B-cell non-Hodgkin's lymphoma (B-NHL), IV administration for 3 to 5 consecutive days is inconvenient in an outpatient setting. To assess the efficacy and toxicity of oral fludarabine phosphate in patients with indolent B-NHL, we conducted a multicenter phase II study.

Patients and Methods

Patients with relapsed indolent B-NHL received fludarabine phosphate tablets orally once daily on days 1 through 5 every 28 days for three to six cycles. The efficacy was separately analyzed in a mantle-cell lymphoma (MCL) cohort and indolent B-NHL except for MCL (IL) cohort. The primary end point was the overall response rate (ORR).

Results

Fifty-two patients, including 46 in the IL cohort (41 with follicular lymphoma) and six in the MCL cohort, were registered, and all patients were eligible. Forty-one patients (79%) had received rituximab as prior therapy. In the IL cohort, the ORR and complete response rate were 65% (30 of 46 patients; 95% CI, 50% to 79%) and 30% (14 of 46 patients; 95% CI, 18% to 46%), respectively. One of six patients with MCL achieved a partial response. The median times to treatment failure for the 46 patients in the IL cohort and for the six patients in the MCL cohort were 8.6 and 6.1 months, respectively. Hematologic toxicities, including grade 4 neutropenia (37%), were the most frequent toxicities, and nonhematologic toxicities were mild.

Conclusion

Oral fludarabine phosphate is highly effective in patients with relapsed indolent B-NHL who have mostly been pretreated with rituximab and is more convenient than the IV formulation.

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The majority of patients with indolent B-cell non-Hodgkin's lymphoma (B-NHL), mainly consisting of follicular lymphoma, are incurable by current treatments. Most patients initially respond to chemotherapy, but the clinical course follows a pattern of repeated relapse. The disease has a relatively long natural history, with a median survival time of 7 to 10 years.¹ Thus, effective treatment that maintains a good quality of life is warranted.

The use of alkylating agents as monotherapy or in combination has been one of the most frequently applied treatments for patients with indolent B-NHL. Cyclophosphamide, doxorubicin, vincristine, and prednisone chemotherapy did not show therapeutic superiority to treatment without doxorubicin.² Recent improvements have included

rituximab, a chimeric anti-CD20 monoclonal antibody, which has activity as a single agent^{3,4} and in combination.^{5,6} Except for chlorambucil and oral cyclophosphamide, these treatments are administered by intravenous (IV) infusion, and frequent visits to the outpatient clinic are required. For this indolent disease, effective oral therapy is preferable.

Fludarabine phosphate is a purine analog that has a high efficacy for B-cell chronic lymphocytic leukemia as an IV formulation.⁷ In addition, using the dose and schedule of 18 to 30 mg/m²/d daily for 5 days, every 3 to 5 weeks, IV fludarabine has shown overall response rates (ORRs) ranging from 27% to 65%, with response durations of 10 to 12 months, as a monotherapy for selected patients with relapsed indolent NHL⁸⁻¹¹ and exhibited a better progression-free survival than cyclophosphamide, vincristine, and prednisone.¹² The oral form

of fludarabine phosphate has a bioavailability of 55%,¹³ and in a phase II study for B-cell chronic lymphocytic leukemia, using fludarabine 10-mg tablets at a dose of 40 mg/m²/d for 5 days, repeated every 4 weeks, the ORR (51%, 40 of 78 patients) was similar to the ORR of IV fludarabine.¹⁴ Therefore, it is expected that oral fludarabine is effective for indolent B-NHL.

In Japan, a phase I study of oral fludarabine was conducted on 12 patients with relapsed indolent B-NHL.¹⁵ The mean bioavailability of 63% obtained in Japanese patients was similar to the 55% bioavailability obtained in whites.¹³ Objective responses were observed in eight of the 12 patients. Given the toxicity profiles, the recommended dose for the subsequent phase II study was set at 40 mg/m²/d daily for 5 days every 4 weeks.¹⁵ To further assess the efficacy and toxicity of oral fludarabine phosphate in patients with relapsed indolent B-NHL, we conducted a multicenter phase II study.

PATIENTS AND METHODS

Patient Selection

Patients with relapsed or refractory, histologically confirmed, indolent B-NHL, including small lymphocytic lymphoma, lymphoplasmacytic lymphoma, follicular lymphoma, splenic marginal zone B-cell lymphoma, extranodal marginal zone B-cell lymphoma of mucosa-associated lymphoid tissue type, nodal marginal zone B-cell lymphoma, and mantle cell lymphoma (MCL), according to the WHO classification¹⁶ were eligible. Additional eligibility criteria included measurable disease; adequate hematologic (absolute neutrophil count \geq 1,500/ μ L and platelet count \geq 75,000/ μ L), renal (serum creatinine $<$ 1.5 \times the upper limit of normal [ULN]), and hepatic (AST and ALT $<$ 2.5 \times ULN and total bilirubin $<$ 1.5 \times ULN) function; an Eastern Cooperative Oncology Group performance status of 0 to 2¹⁷; age between 20 and 74 years; and expected survival of 3 months or longer. Patients with infection or serious complications or CNS disease or who had received purine analogs, such as fludarabine, cladribine, and pentostatin, were excluded. Other exclusion criteria included positivity for hepatitis B virus surface antigen, hepatitis C virus, or HIV antibody; other active malignancy; interstitial lung disease; and a history of autoimmune hemolytic anemia. Patients had to have been more than 4 weeks from the last chemotherapy or more than 3 months from the last rituximab treatment. The study protocol was approved by the institutional review board of each participating institution before the patients were enrolled onto the study. Also, all participants gave their informed consent before they entered the study.

Central Pathology Review

Unstained microscopic slides of lymphoma tissues obtained on initial biopsy and/or relapse were collected and stained with hematoxylin and eosin. In addition, immunohistochemical analyses were conducted using monoclonal antibodies (mAbs) including an anti-CD20 mAb (L26; DAKO, Glostrup, Denmark),¹⁸ anti-CD3 mAb (PS1; Novocastra, Newcastle upon Tyne, United Kingdom), anti-CD5 mAb (4C7; Novocastra), anti-CD10 mAb (56C6; Novocastra), and anti-cyclin D1 polyclonal antibody (MBL Co Ltd, Nagoya, Japan). Preparations that were stained with hematoxylin and eosin and immunohistochemically treated were microscopically examined by three hematopathologists (Yo.M., S. Nak., and S.M.). The diagnosis by the central review committee was regarded as the final diagnosis in cases where there was a discrepancy between the diagnosis of an institution and the diagnosis of the committee.

Protocol Treatment

Patients were planned to receive fludarabine phosphate tablets orally once daily on days 1 through 5 every 28 days for three to six cycles. In the first cycle, fludarabine phosphate tablets were administered at 40 mg/m²/d. Thereafter, the dose was determined according to the assessment criteria for starting subsequent treatment cycles (starting criteria) as listed in Table 1. If a patient did not fulfill the criteria, the protocol treatment was delayed by 1-week increments until recovery. If the treatment was delayed for more than 7 days,

Table 1. Assessment Criteria for Starting Subsequent Treatment Cycles

| |
|---|
| Neutrophil count \geq 1,200/ μ L (if G-CSF was used, 7 days or greater recovery period from the G-CSF administration is required before assessment) |
| Platelet count \geq 7.5 \times 10 ⁴ / μ L (if transfusion was given, 7 days or greater recovery period from the transfusion is required before assessment) |
| AST $<$ 2.5 \times ULN excluding abnormalities attributable to primary disease |
| ALT $<$ 2.5 \times ULN excluding abnormalities attributable to primary disease |
| Total bilirubin $<$ 1.5 \times ULN |
| Serum creatinine $<$ 1.5 \times ULN |
| No persistent nonhematologic toxicity of grade 3 or greater |

Abbreviations: G-CSF, granulocyte colony-stimulating factor; ULN, upper limit of normal.

the dose was reduced to 30 mg/m²/d for all subsequent cycles. If postponement lasted longer than 14 days, the protocol treatment was interrupted. Prophylactic use of sulfamethoxazole/trimethoprim and acyclovir was allowed but not mandatory, and granulocyte colony-stimulating factor was used if necessary.

Patient Monitoring and Follow-Up

Patients were admitted during the first cycle, but from the second cycle, they could be treated as outpatients. The following evaluations were performed during the pretreatment screening period: vital signs, ECG, laboratory studies, bone marrow aspiration, and computed tomography (CT) imaging. During treatment, the patients were observed by physical examination, CBC counts, and serum chemistry every week. CT scan and bone marrow aspiration were performed 4 weeks after the start of the first, third, and sixth courses. The patients were observed until 12 weeks after completion of the protocol treatment or until the assessment of progressive disease (PD).

Data Analysis

Responses were assessed according to the International Workshop Criteria for NHL¹⁹ as follows. Complete response (CR) required the complete disappearance of all lesions and radiologic or biologic abnormalities and the absence of new lesions. CR unconfirmed (CRu) described patients who met the criteria of CR but who had an indeterminate bone marrow assessment or a more than 75% decrease from baseline in the sum of the products of the greatest perpendicular diameters (SPD) of all the measurable lesions but with a residual mass. Partial response (PR) was defined as a more than 50% decrease from baseline in the SPD of all the measured lesions, no increase in size of any other lesions, and no new lesions. Stable disease was defined as neither a 50% decrease nor a 50% increase in the SPD of the measured lesions, and PD was defined as the appearance of any new lesion or a more than 50% increase in the SPD from nadir. Confirmation of response by repeat measurement 28 or more days later was not required. In addition to the efficacy evaluation at each participating institute, an independent, third-party panel of three radiologists (T.T., S. Naw., and M.M.) carried out a central evaluation using the collected CT films. The primary efficacy variable was best ORR (the relative frequency of responders showing CR, CRu, or PR) in the indolent B-NHL except for MCL (IL) and MCL cohorts. Secondary efficacy parameters included the CR rate and time to treatment failure (TTF), which was defined as the time period from the date of enrollment to the date of the assessment of PD, the date of death as a result of any cause, or the date necessitating other antilymphoma treatment, whichever occurred earlier.

Toxicity was graded according to the National Cancer Institute Common Toxicity Criteria version 2.0. Follicular Lymphoma International Prognostic Index (FLIPI) scores were calculated by summing the number of risk factors (age $>$ 60 years, Ann Arbor stage III or IV, hemoglobin $<$ 12 g/dL, elevated lactate dehydrogenase, and $>$ four nodal areas).²⁰ The following three risk groups were defined: low (none or 1 risk factor), intermediate (two risk factors), and poor risk (three to five risk factors).

Statistical Methods

The efficacy was separately analyzed in the IL and MCL cohorts. Only the assessment of central evaluation was relevant for efficacy evaluations. For the IL cohort, the study was designed assuming the threshold ORR of 25% to

Oral Fludarabine for Indolent B-NHL

Table 2. Patient Baseline Clinical Characteristics

| Characteristic | IL Cohort (n = 46) | | MCL Cohort (n = 6) | |
|--|-----------------------|-------|-----------------------|-------|
| | No. of Patients | % | No. of Patients | % |
| Age, years | | | | |
| Median | | 55.5 | | 58.5 |
| Range | | 30-73 | | 48-73 |
| Sex | | | | |
| Male | 21 | | 4 | |
| Female | 25 | | 2 | |
| Histology | | | | |
| Small lymphocytic lymphoma | 1 | 2 | | |
| Follicular lymphoma | 41 | 89 | | |
| Marginal zone B-cell lymphoma | 1 | 2 | | |
| MCL | | | 6 | 100 |
| Low-grade B-NHL, NOS* | 3 | 8 | | |
| Ann Arbor stage† | | | | |
| I | 4 | | 0 | |
| II | 7 | | 1 | |
| III | 14 | | 0 | |
| IV | 17 | | 5 | |
| Indeterminate | 4 | | 0 | |
| B symptom† | 1 | 2 | 0 | 0 |
| ECOG PS† | | | | |
| 0 | 36 | | 5 | |
| 1 | 9 | | 1 | |
| 2 | 1 | | 0 | |
| LDH† | | | | |
| Normal | 36 | | 4 | |
| Elevated | 10 | | 2 | |
| Maximum tumor† | | | | |
| < 5 cm | 29 | | 3 | |
| ≥ 5 cm | 17 | | 3 | |
| International Prognostic Index† | | | | |
| L | 27 | | 2 | |
| LI | 13 | | 2 | |
| HI | 3 | | 1 | |
| H | 1 | | 1 | |
| Indeterminate | 2 | | 0 | |
| Follicular Lymphoma International Prognostic Index† | | | | |
| L | 25 | | NA | |
| I | 13 | | | |
| P | 6 | | | |
| Indeterminate | 2 | | | |
| Previous treatment | | | | |
| Chemotherapy | 45 | 98 | 6 | 100 |
| Rituximab | 37 | 80 | 4 | 67 |
| Radiation | 11 | 24 | 2‡ | 33 |
| Auto-PBSCT | 1 | 2 | 0 | 0 |
| No. of prior chemotherapy regimens | | | | |
| Median | 3 | | 2.5 | |
| Range | 1-8 | | 1-3 | |
| Responses to the last prior chemotherapy/immunotherapy | | | | |
| Responder | 27 | | 3 | |
| Nonresponder | 11 | | 2 | |
| Unknown | 8 | | 1 | |

Abbreviations: IL, indolent B-cell non-Hodgkin's lymphoma excluding MCL; MCL, mantle cell lymphoma; B-NHL, B-cell non-Hodgkin's lymphoma; NOS, not otherwise specified; ECOG, Eastern Cooperative Oncology Group; PS, performance status; LDH, lactate dehydrogenase; L, low risk; LI, low-intermediate risk; HI, high-intermediate risk; H, high risk; I, intermediate risk; P, poor risk; NA, not applicable; Auto-PBSCT, autologous peripheral-blood stem-cell transplantation.

*Confirmed as low-grade B-NHL, NOS, but the histologic subtype was indeterminate in the central pathology review.

†At the time of entry.

‡One patient received ibrutinomab tiuxetan.

reliably detect an expected ORR of 45%. With the level of significance at 5% (one tailed), the required sample size to attain a statistical power of 80% was 36 patients. Assuming that up to 20% of the enrolled patients might be judged unassessable, the sample size was set at 45 patients. For the MCL cohort, the threshold ORR was set at 15%. It was designed to detect an expected ORR of 40%, with the level of significance at 5% (one tailed), and the required sample size to attain a statistical power of 70% was 14 patients. Therefore, considering a 20% possible exclusion rate, the sample size for the MCL cohort was initially set at 18 patients. However, because of slow accrual, we decided to prematurely close the recruitment for the MCL cohort.



Patient Characteristics

Between February 2003 and October 2003, 52 patients with relapsed or refractory indolent B-NHL were enrolled from 16 institutes. Forty-seven patients were enrolled onto the IL cohort, and five were enrolled onto the MCL cohort. In the central pathology review, one patient enrolled onto the IL cohort was found to have MCL. Therefore, the final number of patients belonging to each category was 46 in the IL cohort and six in the MCL cohort, as shown in Table 2. The central pathology review revealed that the IL cohort consisted mostly of follicular lymphoma patients (89%). The low number of MCL patients was primarily a result of the small population of MCL patients in Japan.²¹ The majority of patients, 67% and 83% in the IL and MCL cohorts, respectively, had advanced-stage disease on entering the study. According to the International Prognostic Index,²² 44 patients (85%) belonged to the low- or low-intermediate-risk group. When we applied the FLIPI²⁰ to the IL cohort, 25 patients (54%) were low risk, 13 patients (28%) were intermediate risk, and six patients (13%) were poor risk. All 52 patients had received chemotherapy except for one patient in the IL cohort who had received rituximab alone.

Protocol Treatment

In total, 243 cycles of the protocol treatment were delivered to the 52 patients, for a median of six cycles per patient (range, one to six cycles) and a mean of 4.7 cycles. The protocol treatment was discontinued in 10 patients before they completed the third cycle. The reasons for discontinuation were as follows: four patients developed PD,

two developed adverse events (one patient had herpes zoster and one had interstitial lung disease), two withdrew their consent, and two did not meet the starting criteria. After the third cycle, 13 patients did not complete the planned six cycles of treatment. The reasons were as follows: four patients developed PD (one with herpes zoster), four had CRu judged as not requiring further therapy by the investigators, and seven did not meet the starting criteria (two with PD). Overall, 29 patients (56%) completed six cycles of the protocol treatment, whereas 11 patients (21%) were taken off study because of either adverse events or because they did not meet the starting criteria. According to the starting criteria, 12 patients (11 in the IL cohort and one in the MCL cohort) received reduced doses of 30 mg/m²/d in subsequent treatment cycles. The reasons were as follows: seven patients had low neutrophil counts, one had a low platelet count, one had elevated bilirubin, and three had infections. Of these 12 patients, three eventually discontinued the treatment; two patients were in CRu and one patient discontinued treatment because of not meeting the starting criteria.

Efficacy

Table 3 lists the clinical responses to oral fludarabine. In the IL cohort, the ORR and CR rates were 65% (30 of 46 patients; 95% CI, 50% to 79%) and 30% (14 of 46 patients; 95% CI, 18% to 46%), respectively; and 23 (62%) of the 37 patients who had received prior rituximab responded to oral fludarabine. The MCL cohort consisted of six patients, of whom one achieved PR. The ORRs and CR rates correlated well with the risk groups according to the FLIPI. The median TTF for the 46 patients in the IL cohort was 8.6 months (95% CI, 6.6 to 12.0 months), and the median TTF for the 30 responders in the IL cohort was 12.0 months (95% CI, 8.6 months to not defined; Fig 1). The median TTF for the six patients in the MCL cohort was 6.1 months (95% CI, 4.6 to 8.7 months).

Adverse Events

Hematologic toxicities and nonhematologic adverse events are listed in Tables 4 and 5, respectively. Hematologic toxicity was the most frequently encountered toxicity. Grade 4 hematologic toxicities included neutropenia in 19 patients (37%). No patients developed grade 4 thrombocytopenia. Granulocyte colony-stimulating factor

Table 3. Antitumor Effect of Oral Fludarabine

| Treatment Group | No. of Patients | | | | | | ORR | | CR | |
|-----------------|-----------------|----|-----|----|----|----|-----|----------|----|----------|
| | No. of Patients | CR | CRu | PR | SD | PD | % | 95% CI | % | 95% CI |
| IL | 46 | 3 | 11 | 16 | 14 | 2 | 65 | 50 to 79 | 30 | 18 to 46 |
| Rituximab (+)* | 37 | 1 | 9 | 13 | 12 | 2 | 62 | | 27 | |
| Rituximab (-)* | 9 | 2 | 2 | 3 | 2 | 0 | 78 | | 44 | |
| FLIPI | | | | | | | | | | |
| Low | 25 | 2 | 9 | 11 | 3 | 0 | 88 | | 44 | |
| Intermediate | 13 | 1 | 2 | 3 | 6 | 1 | 46 | | 23 | |
| Poor | 6 | 0 | 0 | 2 | 3 | 1 | 33 | | 0 | |
| MCL | 6 | 0 | 0 | 1 | 5 | 0 | 17 | 0 to 64 | 0 | 0 to 46 |
| Rituximab (+)* | 4 | 0 | 0 | 1 | 3 | 0 | 25 | | | |
| Rituximab (-)* | 2 | 0 | 0 | 0 | 2 | 0 | 0 | | | |
| Total | 52 | 3 | 11 | 17 | 19 | 2 | 60 | 45 to 73 | 27 | 16 to 41 |

NOTE. Responses were assessed according to the International Workshop Response Criteria for Non-Hodgkin's Lymphoma.¹⁹ Abbreviations: ORR, overall response rate; CR, complete response; CRu, complete response unconfirmed; PR, partial response; SD, stable disease; PD, progressive disease; IL, indolent B-cell non-Hodgkin's lymphoma excluding MCL; FLIPI, Follicular Lymphoma International Prognostic Index; MCL, mantle cell lymphoma. *Rituximab (+) and Rituximab (-) indicate the presence and absence of prior rituximab treatment, respectively.

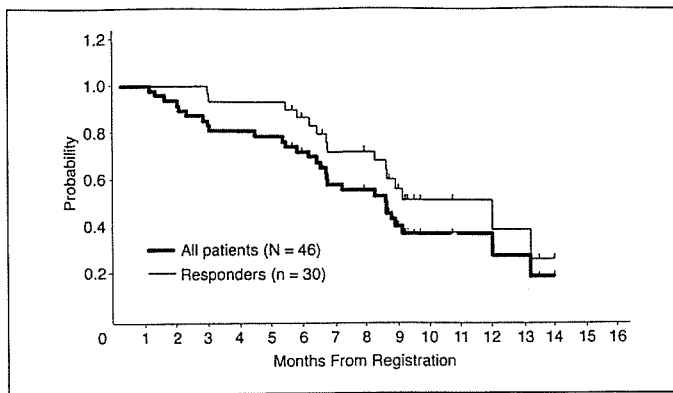


Fig 1. Estimated time to treatment failure (TTF) of patients with relapsed indolent B-cell non-Hodgkin's lymphoma (B-NHL) who received oral fludarabine phosphate. The median TTF for the 46 patients in the indolent B-NHL except mantle cell lymphoma (IL) cohort was 8.6 months (95% CI, 6.6 to 12.0 months), and the median TTF for the 30 responders in the IL cohort was 12.0 months (95% CI, 8.6 months to not defined).

was used in 40 (16%) of 243 cycles. Grade 3 infections occurred in 10 patients (19%) with 11 episodes, but neutropenic fever requiring admission occurred in only one patient. Two patients (4%) developed herpes zoster. They were not taking prophylactic acyclovir. One patient was found to have laryngeal cancer 1 month after completion of the sixth cycle of the protocol treatment and was treated with radiation.

Nausea/vomiting and diarrhea occurred in 50% and 37% of patients, respectively, but these toxicities were mostly of grade 1 or 2. All patients recovered with or without supportive treatment, and no patient required withdrawal from the study as a result of these toxicities. One patient developed grade 2 interstitial pneumonitis during the second cycle of treatment and recovered by treatment with high-dose glucocorticoid. The investigator assessed the relationship of this event as being possibly related to oral fludarabine.

Of 49 patients who received two or more cycles of the protocol treatment, 43 could receive the second and later cycles as outpatients. Two patients had prolonged initial hospitalization, one because of the occurrence of interstitial pneumonitis and the other as a precaution against infection. Four patients required admission as a result of adverse events (one patient each with pyelonephritis, bronchitis, pneumonia, and febrile neutropenia); all of the patients recovered.

After the follow-up period, two patients developed serious adverse events that were considered to be related to oral fludarabine. One patient developed grade 3 thrombocytopenia 1 year after completion

of the sixth cycle of fludarabine treatment, when the platelet count decreased to $16 \times 10^3/\mu\text{L}$. Bone marrow examination revealed no dysplasia with a normal karyotype. The thrombocytopenia was improving but not recovered. One patient developed myelodysplastic syndrome (MDS) 7 months after receiving the fifth cycle of oral fludarabine. He showed PD to the fludarabine treatment and received rituximab and cyclophosphamide, vincristine, and prednisone thereafter. He developed anemia, and bone marrow examination revealed MDS with chromosome abnormalities. He received transfusions, but his MDS evolved into overt leukemia 6 months after diagnosis, and he died. The patient had been treated for follicular lymphoma for 20 years with multiple chemotherapy regimens including alkylating agents and radiation.

Two other deaths occurred after completing the study; one patient died as a result of PD, and the other patient died from a *Staphylococcal* infection after receiving subsequent chemotherapy. Both deaths were considered to be unrelated to oral fludarabine treatment.



This is the first study to document that oral fludarabine has an excellent efficacy profile against relapsed indolent B-NHL. For patients with indolent B-NHL except for MCL, the ORR was 65%, which is at least equivalent to the results for IV fludarabine monotherapy.^{8-12,23} Also, the median TTF of 8.6 months was at least comparable to the TTF of 4.6 months for a similar population.²⁴ In a study using IV fludarabine in patients with relapsed indolent B-NHL, Klasa et al¹² reported an ORR of 64% and a progression-free survival time of 11 months, which is similar to this study. It was difficult to recruit MCL patients in this study, and the results for this population are not conclusive. Because the IV formulation is effective against MCL,^{23,25-27} we assume that the oral formulation is also effective.

Hematologic toxicities were frequently encountered, but neutropenic fever requiring hospital admission occurred in only one patient, and no patients required a platelet transfusion. Presumably because of the oral formulation, relatively high incidences of GI toxicities were encountered, but most were mild and easily managed. In total, non-hematologic toxicities were mild.

According to the guideline for conducting clinical trials on anti-cancer agents in Japan, all 52 patients received the first cycle during admission. For 43 (88%) of the 49 patients who received two or more cycles, the second or later cycles were administered on an outpatient

Table 4. Incidence of Hematologic Toxicities of Grade 3 or Greater (N = 52)

| Hematologic Toxicity | Any Grade | | Grade 3 | | Grade 4 | |
|----------------------|-----------------|-----|-----------------|-----|-----------------|----|
| | No. of Patients | % | No. of Patients | % | No. of Patients | % |
| Leukopenia | 50 | 96 | 25 | 48 | 11 | 21 |
| Lymphopenia | 52 | 100 | 52 | 100 | — | — |
| Neutropenia | 51 | 98 | 17 | 33 | 19 | 37 |
| Anemia | 38 | 73 | 1 | 2 | 1 | 2 |
| Thrombocytopenia | 31 | 60 | 5 | 10 | 0 | 0 |

NOTE. Hematologic toxicities were graded according to the National Cancer Institute Common Toxicity Criteria version 2.0.

Table 5. Incidence of Nonhematologic Adverse Events of Grade 2 or Greater (N = 52)

| Nonhematologic Adverse Event | Any Grade | | Grade 2 | | Grade 3 | | Grade 4 | |
|------------------------------|-----------------|----|-----------------|----|-----------------|----|-----------------|---|
| | No. of Patients | % | No. of Patients | % | No. of Patients | % | No. of Patients | % |
| LDH elevation | 27 | 52 | 2 | 4 | 0 | 0 | 0 | 0 |
| ALT elevation | 22 | 42 | 3 | 6 | 0 | 0 | 0 | 0 |
| ALP elevation | 11 | 21 | 1 | 2 | 0 | 0 | 0 | 0 |
| γ -GTP elevation | 11 | 21 | 1 | 2 | 2 | 4 | 0 | 0 |
| Bilirubin elevation | 15 | 29 | 4 | 8 | 1 | 2 | 0 | 0 |
| Constipation | 14 | 27 | 10 | 19 | 1 | 2 | 0 | 0 |
| Diarrhea | 19 | 37 | 3 | 6 | 2 | 4 | 0 | 0 |
| Nausea | 26 | 50 | 4 | 8 | 1 | 2 | 0 | 0 |
| Anorexia | 22 | 42 | 4 | 8 | 0 | 0 | 0 | 0 |
| Hematuria | 17 | 33 | 1 | 2 | 1 | 2 | 0 | 0 |
| Any infection* | 27 | 52 | 8 | 15 | 10 | 19 | 0 | 0 |
| Upper respiratory infection | 16 | 31 | 4 | 8 | 3 | 6 | 0 | 0 |
| Herpes zoster | 4 | 8 | 2 | 4 | 2 | 4 | 0 | 0 |
| Febrile neutropenia | 2 | 4 | 0 | 0 | 2 | 4 | 0 | 0 |
| Bronchitis | 1 | 2 | 0 | 0 | 1 | 2 | 0 | 0 |
| Pneumonia | 1 | 2 | 0 | 0 | 1 | 2 | 0 | 0 |
| Pyelonephritis | 1 | 2 | 0 | 0 | 1 | 2 | 0 | 0 |
| Other infection | 6 | 12 | 2 | 4 | 1 | 2 | 0 | 0 |
| Hypersensitivity | 1 | 2 | 0 | 0 | 1 | 2 | 0 | 0 |
| Headache | 16 | 31 | 4 | 8 | 0 | 0 | 0 | 0 |
| Rash | 13 | 25 | 8 | 15 | 0 | 0 | 0 | 0 |
| Fatigue | 22 | 42 | 3 | 6 | 0 | 0 | 0 | 0 |
| Insomnia | 13 | 25 | 2 | 4 | 0 | 0 | 0 | 0 |
| Supraventricular arrhythmia | 2 | 4 | 1 | 2 | 1 | 2 | 0 | 0 |
| Sinus tachycardia | 2 | 4 | 0 | 0 | 1 | 2 | 0 | 0 |
| Laryngeal cancer | 1 | 2 | 0 | 0 | 0 | 0 | 1 | 2 |

NOTE. Nonhematologic adverse events were graded according to the National Cancer Institute Common Toxicity Criteria version 2.0. Abbreviations: LDH, lactate dehydrogenase; ALP, alkaline phosphatase; γ -GTP, γ -glutamyl transpeptidase. *Indicates the number of patients who developed any infection as the greatest severity.

basis. The low admission requirement indicates that oral fludarabine is suitable for outpatients.

Two cases of malignancy occurred after completion of the fludarabine treatment. One patient who developed laryngeal cancer had complained of a sore throat, and we considered it to have no relationship with fludarabine. The patient who developed MDS after fludarabine treatment had a long disease course with multiple chemotherapies and radiotherapy, which may have contributed to the development of secondary MDS. In a review by Cheson et al,²⁸ patients with chronic lymphocytic leukemia or hairy cell leukemia who are treated with purine analogs have a higher incidence of secondary malignancy than expected according to the Surveillance, Epidemiology, and End Results 5-year age- and sex-specific incidence rates for the accumulated person-years at risk. However, these values are consistent with the increase that is already associated with these diseases. Although these two cases of malignancy are not considered to be related to the fludarabine treatment, patients who have a long course

of disease or history of multiple chemotherapies need to be closely monitored for the development of second malignancy.

The high efficacy and low toxicity profiles of oral fludarabine for patients with relapsed indolent B-NHL in the present study and the reported favorable results of several phase II studies on combination chemotherapy containing IV fludarabine^{23,29,30} suggest that oral fludarabine might be a promising agent in combination with other anti-lymphoma agents including rituximab. In addition to the definitive role as a useful palliative monotherapy for patients with relapsed indolent B-NHL, oral fludarabine is expected to show efficacy in combination therapy for relapsed and untreated patients, warranting further investigations.

In conclusion, oral fludarabine phosphate is highly effective for patients with relapsed indolent B-NHL who have mostly been pretreated with rituximab and is more convenient than the IV formulation. Further investigations including combination with other anti-lymphoma agents are warranted.



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Appendix

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The authors indicated no potential conflicts of interest.

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Randomized phase II study of concurrent and sequential rituximab and CHOP chemotherapy in untreated indolent B-cell lymphoma

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CHOP combined with rituximab (R-CHOP) is regarded as one of the most effective treatments for indolent B-cell non-Hodgkin lymphoma (B-NHL), however, its optimal combination schedule remains unknown. We performed a randomized phase II study to explore a more promising schedule in untreated, advanced indolent B-NHL. Patients were randomized to receive either six courses of CHOP concurrently with rituximab (Arm C), or six courses of CHOP followed by six courses of weekly rituximab (Arm S). A total of 69 patients received the concurrent ($n = 34$) or sequential ($n = 35$) regimen. Overall response rate (ORR) in Arm C was 94% (95% confidence interval [CI], 79 to 99), including a 66% complete response (CR) compared with 97% (95% CI, 85–100), including a 68% CR in Arm S. Patients in Arm C experienced more grade 4 neutropenia (85% versus 70%) and experienced more grade 3 or greater non-hematological toxicities (21% versus 12%). Both arms were tolerated well. With a median follow-up of 28.2 months, the median progression-free survival (PFS) time was 34.2 months in Arm C, and was not reached in Arm S. R-CHOP is highly effective in untreated indolent B-NHL, either concurrent or in a sequential combination. Both combination schedules deserve further investigation. (*Cancer Sci* 2006; 97: 305–312)

Indolent non-Hodgkin lymphomas (NHLs), in which the representative type of lymphoma is follicular lymphoma (FL), are characterized by an advanced stage at presentation, lack of symptoms associated with the disease, and indolent behavior in terms of the time to symptomatic disease progression.^(1,2) Although many chemotherapeutic agents and combination therapies are used in the treatment of patients with FL, a large majority of these patients remain incurable.^(3–5) Thus, more effective strategies are needed to overcome the current therapeutic limitations. Rituximab is a chimeric monoclonal anti-CD20 antibody that can deplete malignant B cells through complement-dependent cytotoxicity, antibody-dependent cell-mediated cytotoxicity (ADCC),⁽⁶⁾ and apoptotic mechanisms.⁽⁷⁾ It has also been shown to sensitize lymphoma

cell lines resistant to cytotoxic drugs.⁽⁸⁾ In recent years, it was demonstrated that rituximab is an active agent against indolent B-NHL and has become a standard component of first-line therapy, either as a single agent or in combination with chemotherapy.^(9–18) Recently, the addition of rituximab to the cyclophosphamide, doxorubicin, vincristine and prednisolone (CHOP) regimen or cyclophosphamide, vincristine and prednisolone (CVP) regimen was demonstrated to improve the clinical outcome in patients with previously untreated advanced FL, without increased toxicity. Czuczman *et al.* conducted the first phase II study on the combination of rituximab with CHOP in mostly untreated patients with low-grade B-NHL or FL.⁽¹⁴⁾ They treated the patients with six cycles of standard CHOP given at 3-week intervals along with rituximab administered twice before, during and after the six cycles of CHOP therapy. All treated patients ($n = 38$) responded with a complete response (CR) rate of 87%, and the median time to progression (TTP) was 82.3 months.⁽¹⁵⁾ Marcus *et al.* reported significant superiority of CVP plus rituximab (R-CVP) over CVP for previously untreated patients with advanced FL in a randomized phase III study.⁽¹⁸⁾ From the viewpoint of the possible synergistic effect between rituximab and chemotherapeutic drugs, it seems to be reasonable that rituximab be delivered in combination with chemotherapeutic drugs concurrently. Whereas, from the viewpoint of enhancing the ADCC effect, which is one of the putative antitumor mechanisms of rituximab, it seems reasonable that rituximab be administered in situations in which effector cells such as macrophages, natural killer cells and neutrophils are intact, in other words, there are no cytotoxic or immunosuppressive effects of chemotherapeutic drugs. Thus, to maximize the

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Portions of this study were presented at the Annual Meeting of the American Society of Clinical Oncology, New Orleans, 2004.

possible ADCC effect, it might be preferable that rituximab be delivered to patients after recovery from the toxic or immunosuppressive effect of chemotherapy. However, the optimal schedule for the combined use of rituximab and chemotherapy remains unclear. To explore a more promising regimen of rituximab combined with CHOP therapy for the treatment of indolent B-cell NHL, we conducted a randomized phase II trial.

Materials and Methods

Patients

Between July 1999 and July 2000, 69 patients with newly diagnosed indolent B-cell NHLs were enrolled. Eligibility criteria included: aged between 20 and 70 years; a histopathological diagnosis of indolent B-NHL according to the Revised European-American Lymphoma (REAL) classification⁽¹⁹⁾ (including small lymphocytic lymphoma, lymphoplasmacytic lymphoma, FL or marginal zone B-cell lymphoma); no previous treatment; stages III or IV disease according to the Ann Arbor staging system;⁽²⁰⁾ CD20 positive lymphomas confirmed by immunohistochemistry or flow cytometry; an Eastern Cooperative Oncology Group (ECOG)⁽²¹⁾ performance status (PS) of 0, 1 or 2; negative for the hepatitis B virus surface antigen, hepatitis C virus antibody or human immunodeficiency virus antibody; having no other malignancies and normal renal, pulmonary and hepatic function. Approval was obtained from the local institutional review boards of all participating institutions. Informed consent was obtained from all patients before enrollment in accordance with the Declaration of Helsinki.

Study design

This randomized phase II study was designed as a two arm parallel phase II study. The expected overall response rate (ORR) (P1) for either arm was set at 95% based on the phase II study by Czuczman *et al.* where CHOP was combined with rituximab,⁽¹⁴⁾ while the threshold response rate (P0) was set at 75%, based on previous reports on CVP or COP, CHOP or CHOP-like studies.⁽²²⁾ The number of patients required for this study was 27 per arm, calculated in accordance with Fleming's two-stage testing procedure,⁽²³⁾ at $\alpha = 0.05$ (two-side) and $1 - \beta = 0.8$. Assuming that up to 20% of patients might be ineligible due to inaccurate histopathological diagnosis at participating institutions, we planned to enroll at least 34 patients per arm. From the viewpoint of selection design by Simon *et al.*,⁽²⁴⁾ the selection of one arm showing a 15% higher percentage CR at 90% probability would be possible with this number of patients, if the percentage CR of both arms would achieve at least 65%.

Treatment schedule

Patients fulfilling the inclusion criteria were randomly assigned to either the concurrent arm (Arm C) or sequential arm (Arm S) at the independent randomization center, thereby minimizing the bias between the arms regarding PS, clinical stage and institution. All patients were treated with six courses of standard CHOP chemotherapy (cyclophosphamide 750 mg/m², i.v., day 1; doxorubicin 50 mg/m² i.v., day 1; vincristine 1.4 mg/m² [capped at 2 mg] i.v., day 1; and prednisolone 100 mg, p.o., days 1–5) every 3 weeks. In addition, patients allocated to Arm C received rituximab

(375 mg/m² i.v.) 2 days prior to each CHOP cycle, whereas patients allocated to Arm S received rituximab (375 mg/m², weekly six times, i.v.) 4 weeks after completion of the sixth cycle of CHOP. Rituximab was given intravenously based on the preceding phase I study in Japan.⁽²⁵⁾

Patient evaluation, end-points and response criteria

Patients were observed until the progression of lymphomas or death. Tumor restaging was performed at approximately 3-monthly intervals for the first 12 months and every 4 to 6 months thereafter.

The primary end-point of this study was an ORR in all eligible patients, that is, the percentage of patients achieving a CR, CRu, or partial response (PR), evaluated according to the International Workshop Response Criteria for NHL.⁽²⁶⁾ CR required the disappearance of all detectable clinical and radiographic evidence of disease, disappearance of disease-related symptoms, and normalization of biochemical abnormalities. Adenopathy on computed tomography (CT) scans must have regressed to normal size (1.5 cm or less in the greatest transverse diameter). CRu was defined as complete disappearance of all detectable clinical and radiographic abnormalities of the disease, with the exception of the presence of a residual adenopathy larger than 1.5 cm, as long as the sum of products of the greatest diameters (SPDs) of the adenopathy had decreased by more than 75%. Residual bone marrow abnormalities, that included increased number or size of lymphoid aggregates without definite cytological evidence of persistent lymphoma, could also be present in patients in the CRu response category. PR was defined as a greater than 50% decrease in the SPDs of the largest dominant nodes or nodal masses. Stable disease patients were defined as having any response that was less than a PR or an increase in the SPDs by less than 25%, with no new lesions appearing. Progressive disease was defined by an increase of more than 25% in the size of the SPDs of the measured lesions, or the appearance of new lesions. All cases were centrally reviewed radiographically using CT films.

Secondary end-points were percentage CR, including percentage CRu and a progression-free survival (PFS) for all eligible patients, as an interval from the day of enrollment to the first day when tumor progression or death due to any cause was observed. The response to the combined regimen and PFS period for each patient was evaluated until at least 2 and a half years after the completion of treatment.

Adverse events (AEs) were graded according to the toxicity criteria of the Japan Clinical Oncology Group,⁽²⁷⁾ an expanded version of the Common Toxicity Criteria of the National Cancer Institute (version 1.0).

Human antichimeric antibody assay and pharmacokinetics of rituximab

Serum human antichimeric antibody (HACA) levels were monitored at 8 and 10 months after treatment initiation using an enzyme-linked immunosorbent assay (ELISA), as described previously.⁽²⁸⁾

Serum rituximab levels were monitored using ELISA for patients who signed another informed consent form to participate in this pharmacokinetic (PK) study. The PK parameters were calculated using WinNonlin PK software (WinNonlin