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ORIGINAL ARTICLE

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A Single Institutional Subset Analysis of the WJLCG Study Comparing Concurrent and Sequential Chemoradiotherapy for Stage III Non-small-cell Lung Cancer

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Purpose: To supplement findings of the West Japan Lung Cancer Group (WJLCG) study, treatment outcomes in our institution were reviewed from the perspective of radiation oncology.
Materials and Methods: Chemotherapy consisted of cisplatin (80 mg/m^2 on days 1 and 29), vindesine (3 mg/m^2 on days 1, 8, 29, and 36), and mitomycin (8 mg/m^2 on days 1 and 29). In the concurrent arm, radiation therapy began on day 2 with a dose of 56 Gy in 28 fractions over 6.8 weeks, with an interval of 10 days at 28 Gy. In the sequential arm, radiation therapy began on day 50 with a dose of 56 Gy in 28 fractions over 5.6 weeks, without an interval.
Results: Twenty-four patients in the concurrent arm and 25 patients in the sequential arm in our institution were eligible for the WJLCG study. In the concurrent arm, three patients could not receive the full dose of radiation therapy and 12 patients required interruption of radiation therapy for more than 4 days. The median survival time among per-protocol patients and in those with interruption or with incomplete radiation therapy was 28.9 months and 14.1 months, respectively (p=0.02). In the sequential arm, one patient could not receive the full dose of radiation therapy and none of the patients required such interruption. Local relapse and distant metastases as the first site of relapse occurred in 12 (11 in-field, 1 marginal) and five patients, respectively, in the concurrent arm, and in eight (7 in-field, 1 marginal) and 11 patients, respectively, in the sequential arm.
Conclusion: In the concurrent regimen, noncompletion or interruption of radiation therapy was frequent, and the prognosis of such patients was poor.

Key words: radiotherapy, chemotherapy, lung cancer, interruption

Introduction

In the treatment of patients with locally advanced non-small-cell lung cancer, a survival advantage is achieved by adding chemotherapy to radiation therapy.[1-3] To determine whether concurrent or sequential treatment with radiation therapy and chemotherapy improves survival for those patients, the West Japan Lung Cancer Group (WJLCG) performed a phase III study and concluded that concurrent treatment improved survival.[4] Though they provided several interesting findings, some issues concerning radiation oncology, such as frequency of interruption of radiation therapy or relapse sites in relation to the radiation fields, remained to be analyzed, since data analysis was mostly performed by medical oncologists in that study. In order to supplement findings of interest to radiation oncologists, data of the WJLCG study in our institution were reviewed, and several suggestive findings were newly pointed out.

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Materials and Methods

Patients

Patients in both the concurrent and sequential arms, who entered the WJLCG study from our institution were eligible for the study. They were reviewed from the

Table 1. Patient characteristics

Characteristics	Concurrent therapy	Sequential therapy
No. of eligible patients	24	25
Age		
Range	42-75	39-74
Mean	60.1	60.1
Sex		
Male	21	23
Female	3	2
Histology		
Sq	13	10
Ad	9	12
La	2	3
10% weight loss	3	6
High LDH	1	6

Sq, squamous cell carcinoma; Ad, adenocarcinoma; La, large cell carcinoma.

perspective of radiation oncology.

Eligibility criteria for the WJLCG study are briefly presented here. Patients were required to have histologically or cytologically confirmed unresectable stage III non-small-cell lung cancer. Eligibility criteria included age younger than 75 years; measurable or assessable lesions; Eastern Cooperative Oncology Group (ECOG) performance status of 0 to 2; a required radiation field of less than one half of one lung; no prior chemotherapy, thoracic radiation therapy, or thoracic surgery; and no active concomitant malignancy. Patients were also required to have no abnormal hematologic, hepatic, renal, pulmonary, or cardiac functions.

Chemotherapy

The chemotherapy schedule of the WJLCG study is briefly presented here. Both in the concurrent arm and in the sequential arm, chemotherapy consisted of cisplatin (80 mg/m^2 on day 1), vindesine (3 mg/m^2 on days 1 and 8), and mitomycin (8 mg/m^2 on day 1). This chemotherapy was repeated every four weeks and was administered in two courses.

Radiation therapy

Thoracic irradiation was performed with 10 MV photons from a linear accelerator in our institution. (In the WJLCG study, 4 MV or higher photons were used.) In the concurrent arm, radiation therapy began on day 2 with a dose of 56 Gy in 28 fractions over 6.8 weeks, with an interval of 10 days at 28 Gy. In the sequential arm, radiation therapy began on day 50 with a dose of 56 Gy in 28 fractions over 5.6 weeks, without an interval. The radiation field was defined as the area that contained the primary tumor, a margin of 15 mm, the bilateral upper mediastinal lymph nodes, the subcarinal lymph nodes, and the regional enlarged lymph nodes. After initial irradiation with a dose of 40 Gy, off-cord (i.e., the spinal cord was outside the field) oblique boost fields were used.

RESULTS

Patient characteristics

Patients were enrolled in the WJLCG study between 1992 and 1994, and there were 315 eligible patients overall. Of these, 49 patients from our institution were reviewed in the current study. Twenty-four patients and 25 patients were treated in the concurrent and sequential arms, respectively.

The initial characteristics of the patients are listed in Table 1.

Survival

Nine patients survived for more than 5 years. The median survival time in the concurrent arm was 16.8 months, compared with 14.1 months in the sequential arm. The 2- and 5-year Kaplan-Meier survival rates in the concurrent arm were 33% and 17%, respectively, and those in the sequential arm were 36% and 20%, respectively (Fig. 1).

Among 22 patients with N3 disease, the median survival time and 5-year survival rate were 17.7 months and 26%, respectively.

Delivery and treatment toxicity

Patients with noncompletion and interruption of radiation therapy are listed in Table 2. Three patients in the concurrent arm and one in the sequential arm could not receive the full dose of radiation therapy. In the concurrent arm, radiation therapy was not completed because of infection in two patients and pulmonary

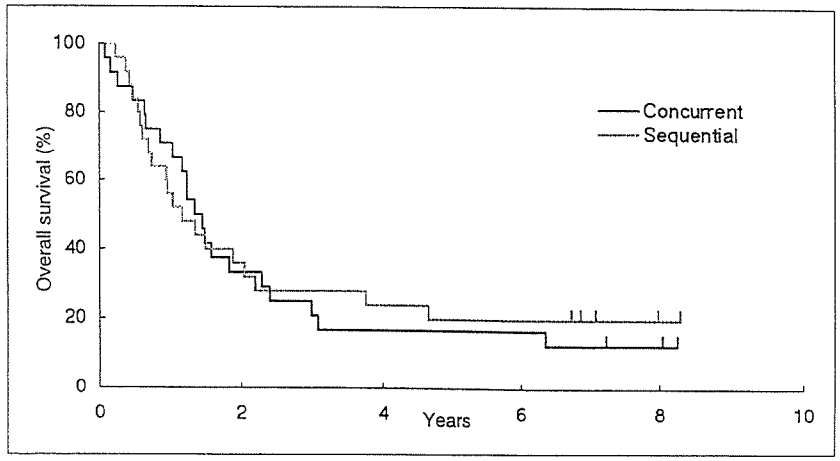

Fig. 1. Overall survival in patients according to treatment.

Table 2. Noncompletion and interruption of radiation therapy

	Concurrent therapy	Sequential therapy
No. of patients	24	25
Noncompletion	3	1
Interruption, days		
5-9	6	0
10-13	1	0
≥14	5	0
Per protocol	9	24

Per protocol: patients treated with no or less than 5-day interruptions.

hemorrhage in one patient. In the sequential arm, radiation pneumonitis caused radiation therapy to be stopped before completion in one patient.

Furthermore, in the concurrent arm, 12 patients required interruption of radiation therapy for more than 4 days, which delayed the completion of radiation therapy. Interruption from 5 to 9 days, 10 to 13 days, and more than 13 days was required in six, one, and five patients, respectively. Interruption was caused by myelosuppression, fever, and gastrointestinal toxicity in 11, two, and two patients, respectively. (Causes of interruption partly overlapped.) However, none of the patients required such interruption in the sequential arm.

In the concurrent arm, the median survival times among per-protocol patients (with no or less than 5-day interruption) and in those with interruption or with incomplete radiation therapy were 28.9 months and 14.1 months, respectively (generalized Wilcoxon, p=0.02; Fig. 2).

Relapse sites

Among patients who received the full dose of radiation therapy, local relapse and distant metastasis as the first site of relapse occurred in 12 and five patients, respectively, in the concurrent arm, and in eight and 11 patients, respectively, in the sequential arm. The first site of relapse is listed according to the respective histology in Table 3. Local relapse was subgrouped according to in-field relapse and marginal relapse, that is, relapse with respect to the radiation field. (Marginal relapse was defined as locoregional relapse outside the initial radiation field or at the edge of the radiation field.) In-field relapse and marginal relapse occurred in 11 patients and one patient, respectively, in the concurrent arm, and in seven patients and one patient, respectively, in the sequential arm. In the sequential arm, 10 of 11 distant metastases occurred within 1 year (median, 5.4 months). The 5-year in-field control rates in the concurrent arm and in the sequential arm were 36% and 52%, respectively (generalized Wilcoxon, p=0.22; Fig. 3).

Discussion

To improve the survival of patients with locally advanced non-small-cell lung cancer, the combination of chemotherapy and radiation therapy has been extensively investigated. The phase III study conducted by WJLCG was one such study.[4] Since the primary endpoint of the

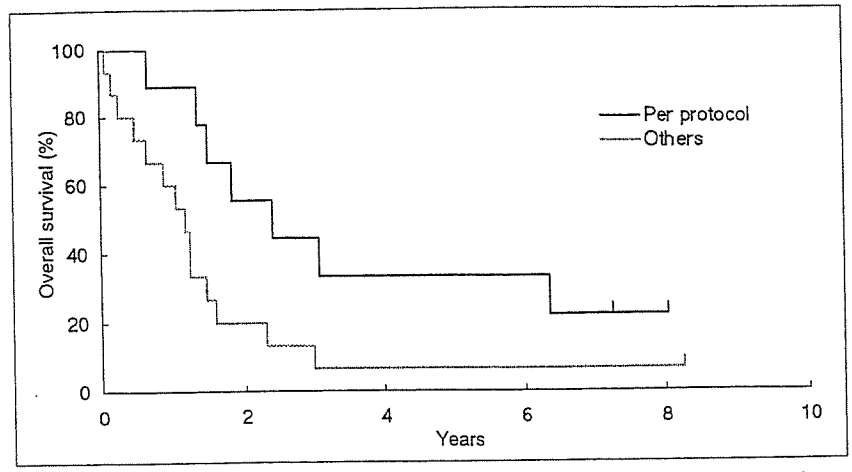

Fig. 2. Overall survival in per-protocol patients and others. Others were patients with interruption or with incomplete radiation therapy.

Table 3. First site of relapse

Histology	Concurrent therapy			Sequential therapy		
	Sq	Ad	La	Sq	Ad	La
No. of patients	12	7	2	10	11	3
Local relapse	9	3	0	6	1	1
Distant metastasis	1	2	2	2	8	1

Sq, squamous cell carcinoma; Ad, adenocarcinoma; La, large cell carcinoma.

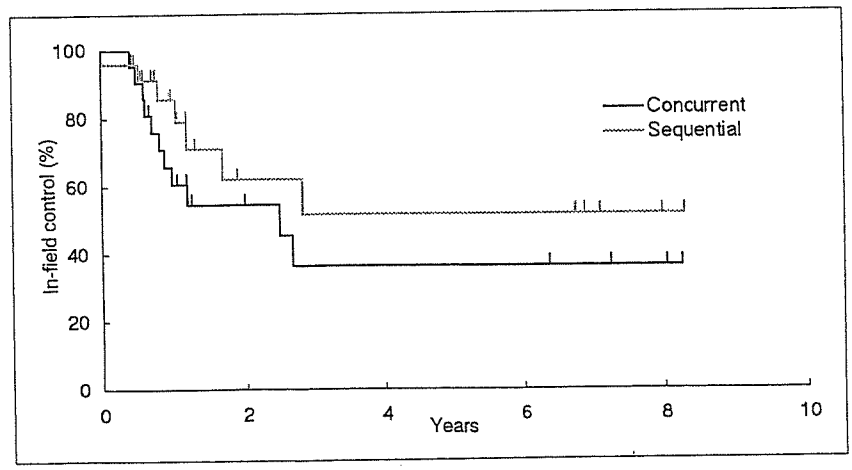

Fig. 3. In-field control in patients who received the full dose of radiation therapy.

phase III study was to determine whether concurrent or sequential treatment with radiation therapy and chemotherapy improves survival, the issue of radiation oncology was not a distinct focus. Though the current review was performed in only one institution, investigation from the perspective of radiation oncology indicated several suggestive findings, which may contribute to future studies.

In the current review, the sequential group showed a higher 5-year survival rate than the concurrent group. However, the difference was small and the sample size was also small. Therefore, we could not deny the conclusion of the WJLCG study that concurrent chemoradiotherapy improved survival. The high rate of per-protocol patients might have acted to improve long-term survival in the sequential group. On the other hand, in the concurrent group, there was a problem of frequent noncompletion or interruption of radiation therapy, and

survival among patients with noncompletion or interruption in radiation therapy was significantly poor. Cox *et al.* reported that interruption of radiation therapy decreases the long-term survival of patients with unresectable non-small-cell lung cancer in radiation therapy alone.[5] Results of the current study suggested that, in chemoradiotherapy, interruption of radiation therapy also decreased survival time. Furthermore, the frequency of interruption in the current study was much greater than that in the Radiation Therapy Oncology Group (RTOG) studies.[5] Furuse *et al.* conducted a pilot study of concurrent continuous radiation therapy and chemotherapy with use of cisplatin, vindesine, and mitomycin, and they often experienced irregular interruption of radiation therapy owing to neutropenic fever.[4] Therefore, a split-course fashion was used in the WJLCG study and was considered to help lessen the toxicity associated with concurrent radiotherapy and intensive chemotherapy. However, in the report on the WJLCG study, interruption of radiation therapy was not well discussed, and it was concluded that compliance with the protocol was acceptable. The toxicity of the concurrent regimen may be more serious than that evaluated by medical oncologists, and it is suggested that modification of chemotherapy or radiation therapy is required to decrease interruption.

Investigation of locoregional relapse should be performed separately from in-field relapse and marginal relapse. In the concurrent arm and sequential arm combined, marginal relapse occurred in only two patients, comprising 10% of locoregional relapse. The radiation field used in the current study was similar to that for patients with limited-stage small-cell lung cancer in our institution. In limited-stage small-cell lung cancer, 37% of the locoregional relapse was marginal relapse.[6] A prophylactic margin of the radiation field is considered less strictly necessary in non-small-cell lung cancer than in small-cell lung cancer. Relevant to this, 5-year survival was very poor in small-cell lung cancer patients with N3 disease. In contrast to the poor survival for small-cell lung cancer, the 5-year survival of 26% for patients with N3 disease was not less than that for other patients in the current review. The Southwest Oncology Group (SWOG) conducted a phase II study of concurrent cisplatin, etoposide, and chest radiotherapy, and reported a 5-year survival rate of 15% for non-small-cell lung cancer patients with N3 disease.[7] These results suggest that N3 disease of non-small-cell lung cancer does not have such a poor prognosis.

Even when the concurrent regimen was used, 5-year in-field control was only 36%, which was clinically assessed using chest X-ray or computed tomography. Since there is considerable room to improve local control, a more effective approach is awaited. For example, per-protocol delivery of radiation therapy by modifying chemotherapy, use of a new drug, or dose escalation using conformal radiotherapy might improve efficacy. The prescribed dose of 56 Gy was adopted based on the pilot study performed by Furuse *et al.*[4] When conventional radiation therapy is used, dose escalation is difficult in combination with the aggressive chemotherapy in the concurrent regimen. On the other hand, in the sequential arm, distant metastasis occurred in many patients, and those patients dropped out in the analysis of in-field control. Therefore, the 5-year in-field control rate of 52% was considered inaccurate.

In conclusion, the concurrent regimen was considered to be too toxic since noncompletion or interruption of radiation therapy was frequently observed. Marginal relapse comprised only 10% of locoregional relapse, and N3 disease was considered a substage with a not-so-poor prognosis. Since in-field control was insufficient even when the concurrent regimen was used, a more effective approach for local control is awaited.

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ORIGINAL ARTICLE

Phase I study of TZT-1027, a novel synthetic dolastatin 10 derivative and inhibitor of tubulin polymerization, which was administered to patients with advanced solid tumors on days 1 and 8 in 3-week courses

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Abstract
Purpose To determine the maximum tolerated dose (MTD), dose-limiting toxicity (DLT), and pharmacokinetics of TZT-1027 (soblidotin), a dolastatin 10 analogue, in Japanese patients with advanced solid tumors when administered on days 1 and 8 in 3-week courses.
Methods Eligible patients had advanced solid tumors that failed to respond to standard therapy or for which no standard therapy was available, and also met the following criteria: prior chemotherapy ≤2 regimens, Eastern Cooperative Oncology Group (ECOG) performance status ≤1, and acceptable organ function. The MTD was defined as the highest dose at which no more than one of six patients experienced a DLT during course 1. Pharmacokinetic samples were collected in courses 1 and 2.
Results Eighteen patients were enrolled in the present study. Three doses (1.5, 1.65, and 1.8 mg/m^2) were evaluated. Neutropenia was the principal DLT at doses of 1.65 and 1.8 mg/m^2. In addition, one patient also experienced grade 3 pneumonia with neutropenia, and another patient experienced grade 3 constipation, neuropathy, grade 4 neutropenia, and hyponatremia as DLTs at 1.65 mg/m^2. Phlebitis, the most frequent nonhematological toxicity, was improved by administration of additional saline after TZT-1027 administration. The MTD was 1.5 mg/m^2, at which DLT was not observed in a total of nine patients. The pharmacokinetic profile did not differ from that for the European population. One patient with metastatic esophageal cancer achieved partial response, and each of two patients with non-small cell lung cancer had a minor response.
Conclusions When TZT-1027 was administered on days 1 and 8 in 3-week courses to Japanese patients, the MTD was 1.5 mg/m^2 and was lower than the value of 2.4 mg/m^2 in European patients. However, antitumor activity was observed at low doses. TZT-1027 was tolerated well at the MTD, without grade 3 nonhematological toxicities or neutropenia up to grade 2. TZT-1027 is a promising new tubulin polymerization inhibitor that requires further investigation in phase II studies.

Keywords Dolastatin · TZT-1027 · Phase I · Antitubulin · Solid tumors

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Introduction

TZT-1027 (N^2-(*N*,*N*-dimethyl-L-valyl)-*N*-[(1S,2R)-2-methoxy-4-[(2S)-2-[(1R,2R)-1-methoxy-2-methyl-3-oxo-3-[(2-phenylethyl)amino]propyl]-1-pyrrolidinyl]-1-[(1S)-1-methylpropyl]-4-oxobutyl]-*N*-methyl-L-valinamide) is a

synthesized analogue of dolastatin 10, a compound isolated from the marine mollusk *Dolabella auricularia* [9, 17]. The chemical structures of TZT-1027 and dolastatin 10 are shown in Fig. 1.

In in vitro studies, TZT-1027 exhibited time-dependent cytotoxicity superior to that of other antitumor agents against a variety of murine and human tumor cell lines [19]. TZT-1027 also exhibited antitumor activity against p-glycoprotein (p-gp)-overexpressing and breast cancer resistant protein (BCRP) positive cell lines established from colon cancer H116 and lung cancer PC-6, and was more potent than vincristine, paclitaxel, and docetaxel. The efficacy of TZT-1027 has been attributed to its inhibitory activity on tubulin polymerization. TZT-1027, believed to interact with tubulin in the same domain as the vinca alkaloid-binding region, inhibits the polymerization of microtubule proteins and the binding of GTP to tubulin [12]. In in vivo studies, intravenous injection of TZT-1027 has been shown to potently inhibit the growth of P388 leukemic cells and several solid tumors in mice and to increase life span, with efficacy superior or comparable to that of reference agents, dolastatin 10, cisplatin, vincristine, and 5-fluorouracil [4, 7]. In the xenograft models, furthermore, TZT-1027 reduced intratumoral blood perfusion from 1 to later than 24 h after administration, thus leading to hemorrhagic necrosis of tumor [5, 11, 15]. TZT-1027 exerts antitumor activity through direct cytotoxicity, as well as selective blockade of tumor blood flow, resulting in remarkable antitumor activity. In animal toxicology studies, TZT-1027 had no or little neurotoxic potential in marked contrast to vincristine and paclitaxel which are antimicrotubule agents that have exhibited peripheral neurotoxicity in controlled animal studies [14]. When doses of TZT-1027 were increased, on the other hand, myocardial toxicity was observed in rats and monkeys.

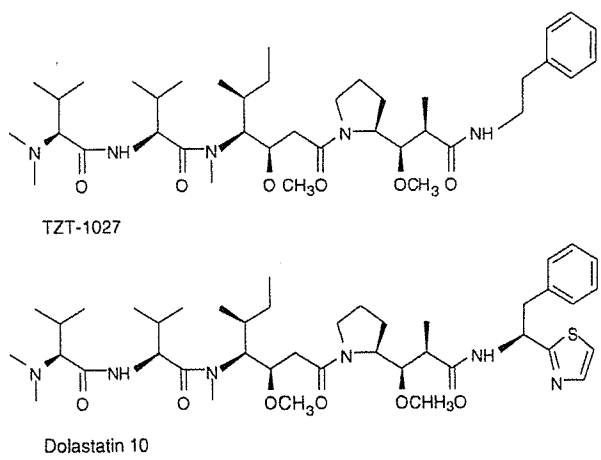

Fig. 1 Structural formulae of TZT-1027 and dolastatin 10

In Japan, a single-dose phase I study was conducted at doses up to 1.35 mg/m^2, but did not reach the MTD. The major toxicity was neutropenia, and nonhematological toxicities included alopecia, malaise, and anorexia. Therefore, a repeated-dose study of TZT-1027 on days 1, 8, and 15 in 4-week courses followed the single-dose study in Japan. Toxicities were similar, with leucopenia and neutropenia as major toxicities. All episodes of grade 4 neutropenia occurred at doses of 1.5 mg/m^2 or higher. Nonhematological toxicities were mild and did not exceed grade 2 in most patients. Neutropenia was observed as a DLT [13, 20], and the recommended dose was 1.8 mg/m^2. In Europe, three phase I studies were conducted. A repeated-dose study of TZT-1027 according to the administration schedule on days 1 and 8 in 3-week courses was performed in the Netherlands. This schedule was chosen based on the previous phase I study in Japan, in which TZT-1027 had been administered on days 1, 8, and 15; however, several patients could not receive TZT-1027 on day 15 due to neutropenia; the dose of TZT-1027 was escalated to 2.7 mg/m^2, with neutropenia and infusion arm pain as DLTs. The recommended dose for phase II studies of TZT-1027 was 2.4 mg/m^2 [2]. Phase II studies are ongoing according to this schedule. Two other administration schedules on day 1 in a 3-week course and on day 1 in a 3- to 4-week course were tested in Germany and Hungary, respectively. In the German study, DLTs—including neutropenia, fatigue, and short-lasting, reversible peripheral neurotoxic syndrome—were observed at 3.0 mg/m^2. On the other hand, the Hungarian study, enrolling exclusively patients with non-small cell lung cancer, was conducted at doses up to 5.6 mg/m^2 [6, 18]. In these studies, the major toxicities were neutropenia, nausea, vomiting, constipation, alopecia, and injection site pain. The pharmacokinetics of TZT-1027 in these studies appeared linear. The rate of TZT-1027 binding to α1-acid glycoprotein, a major plasma protein, was ~95%. In all studies, several patients exhibited a tumor reduction.

Preclinical and clinical data indicated that a suitable administration schedule for the present study would be days 1 and 8 in 3-week courses. The purposes of the present phase I study were to assess the DLTs, to determine the MTD, to observe preliminary antitumor activity, and to study the pharmacokinetics of TZT-1027 that was administered intravenously over 60 min on days 1 and 8 in 3-week courses in Japanese patients with advanced solid tumors. The electrocardiogram (ECG), including QTc interval prolongation, was assessed to estimate cardiovascular side effects.

Patients and methods

Study design

The present study, an open-label, dose-escalating, three-institution phase I study, was conducted in Japanese patients with solid tumors to assess the DLTs, to determine the MTD and preliminary antitumor activity, and to examine pharmacokinetics. A starting dose of 1.8 mg/m^2 was chosen, since this is the recommended dose for the phase II study based on the previous phase I study in Japan, and TZT-1027 was expected to be effective at this dose.

After the MTD was decided, TZT-1027 was administered to three patients at the MTD level to confirm the appropriate recommended dose for phase II studies. TZT-1027 was given intravenously over 60 min with 250 ml of saline on days 1 and 8 in 3-week courses. The present study and the written consent form were approved by the Institutional Review Board. All patients provided informed consent before study entry. The present study was conducted in accordance with the Good Clinical Practice Guidelines as issued by the International Conference on Harmonization and the Declaration of Helsinki.

Patient eligibility

Patients with histologically or cytologically confirmed solid tumors, which were refractory to standard therapy or for which no effective therapy was available, were eligible to participate in the present study. Other inclusion criteria included the following: no prior chemotherapy or radiotherapy within 4 weeks of study entry (within 6 weeks for nitrosoureas, carboplatin, and mitomycin C; and within 2 weeks for local radiotherapy); not more than two previous regimens of chemotherapy; no previous wide-field radiotherapy to >25% of the bone marrow; age 20–74 years; ECOG performance status, 0 or 1; life expectancy, at least 2 months; adequate bone marrow: hemoglobin $\geq$ 8.5 g/dl, absolute neutrophil count (ANC) $\geq$ 1,500/mm^3, platelet count $\geq$ 100,000/mm^3; and normal hepatic functions [serum bilirubin $\leq$ 1.5 mg/dl, and serum aspartate aminotransferase (ALT) and alanine aminotransferase (AST) $\leq$2.5 times the upper limit of normal (ULN), respectively]; and renal function (serum creatinine $\leq$ lower limit of normal). The left ventricular ejection fraction (LVEF), measured by ultrasound cardiography (UCG), had to be $\geq$60%. Patients with symptomatic brain metastases or known extensive bone marrow invasion were excluded.

Treatment and dose escalation

The dose escalation plan consisted of doses of 1.5, 1.65, and 1.8 mg/m^2. At least three patients were evaluated for the MTD at each dose. If one DLT was observed in a cohort, a total of six patients were enrolled at that dose. The dose escalation was discontinued when two or more of six patients experienced a DLT. The MTD was defined as the highest dose at which no more than one of six patients experienced a DLT during course 1.

The DLT was defined as follows: (a) grade 4 neutropenia with fever (>38.0°C) or lasting 5 days or longer; (b) platelet count < 25,000/mm^3; (c) grade 3/4 nonhematological toxicity excluding nausea and vomiting; (d) grade 3/4 nausea and vomiting with intensive support care; (e) inability to receive TZT-1027 on day 8 in course 1, which was defined as ANC < 1,000/mm^3, platelet count < 100,000/mm^3, a DLT by day 8, or the investigator or subinvestigator assessed it to be difficult to initiate administration; and (f) inability to start course 2 up to day 29. Treatment was resumed when meeting all the following criteria: (a) ANC $\geq$ 1,500/mm^3; (b) platelets $\geq$ 100,000/mm^3; (c) total bilirubin $\leq$ 1.5 mg/dl; (d) serum creatinine $\leq$ ULN.

Patients were withdrawn from the present study when they exhibited disease progression or the next course had to be delayed for more than 2 weeks due to any toxicity. The patients were subsequently treated at the dose one level below the level at which the DLT occurred. Toxicity was assessed using the National Cancer Institute Common Toxicity Criteria (version 2.0).

Treatment assessment

Baseline assessment, including a complete medical history, physical examination, vital signs, ECOG performance status, blood counts, serum biochemistry, and urinalysis, was conducted to assess patient eligibility and had to be completed within 7 days before the start of treatment. Routine biochemistry, hematology, and urinalysis were performed weekly during the treatment course and within 72 h prior to its start. ECG, as well as blood pressure and pulse rate monitoring were performed immediately before and at the end of drip infusion on days 1 and 8 and on day 2 in courses 1 and 2, as well as at the end of the study. The QT interval was corrected for heart rate (QTc) with Bazett's formula ($QTc = QT/RR^{0.5}$). LVEF was performed every two courses. Tumor response was evaluated after every course by RECIST.

Pharmacokinetic sampling and assay

The pharmacokinetics of TZT-1027 were evaluated on day 1 in courses 1 and 2. Blood samples were collected immediately before drip infusion, at 30 min after the start of the drip infusion, at the end of the drip infusion, and at 30 min and 1, 2, 4, 6, 8, and 23 h after drip infusion. Urine was collected at the following intervals: 0–6 h and 6–24 h after the start of drip infusion. All blood samples were centrifuged immediately after sampling at 1,200×*g* for 15 min at 4°C, and the plasma was stored at ≤-20°C until analysis. Concentrations of TZT-1027 in plasma and urine were determined according to a validated method of high-performance liquid chromatography/mass spectrometry. The lower limit of quantitation was set to 0.25 ng/ml.

Pharmacokinetic analysis

Pharmacokinetic analysis of the individual plasma and urine concentration data was made using standard model-independent (noncompartmental) methods (WinNonlin Professional 4.0.1; Pharsight Co., Mountain View, CA). The pharmacokinetic parameters included area under the plasma concentration–time curve extrapolated to infinity (AUC_{inf}) calculated using the linear trapezoidal rule and maximum observed plasma concentration (C_{max}). Total clearance (Cl_{tot}) was calculated as dose/AUC_{inf}. Volume of distribution at steady state (V_{ss}) was calculated using clearance and mean residence time. The terminal elimination half-life ($T_{1/2}$) was calculated using concentration data in the terminal log-linear phase. All computations used the actual sampling times. Pharmacokinetic variables are reported as mean ± SD. The nadir for ANC was used to assess the relationships between hematological toxicity and pharmacokinetic parameters (AUC_{inf} and C_{max}).

Results

General

Eighteen patients, whose characteristics are shown in Table 1, underwent 35 courses of TZT-1027 (median 2; range 1–5) at three doses (Table 2). All 18 patients were assessable for toxicity in course 1. Almost all patients had already received two regimens of chemotherapy. Sixteen patients (89%) had previously received cisplatin or carboplatin therapy, and 12 patients (67%) paclitaxel or docetaxel therapy. Six patients (33%) had previously received radiotherapy.

Table 1 Patient characteristics

Characteristics	Number of patients
Number of patients (evaluable)	18 (18)
Age, years; median (range)	66 (47–74)
Gender	
Males	16
Females	2
Performance status (ECOG)	
0	2
1	16
Prior treatments	
Chemotherapy	18
Number of regimens	
1	2
2	16
Containing platinum	16
Containing taxane	12
Radiotherapy	6
Tumor types	
Lung	12
Thymoma	2
Rectal	1
Gastric	1
Esophageal	1
Schwannoma	1

Non-small cell lung cancer (NSCLC) was the most common tumor type in the present study.

Dose-limiting toxicity

TZT-1027 was administered at three different doses (Table 2). At the first dose of 1.8 mg/m^2, two of four patients experienced the DLTs including febrile neutropenia and grade 4 neutropenia lasting 11 days. Three patients were then treated at a lower dose of 1.5 mg/m^2, without DLT. Five patients were then treated at a dose of 1.65 mg/m^2. Three of these five patients experienced the DLTs. One patient suffered grade 3 pneumonia with neutropenia. Another patient had grade 3 constipation, neuropathy, grade 4 neutropenia, and hyponatremia. The other patient developed grade 4 neutropenia and required a delay in starting course 2 due to neutropenia. To confirm the MTD, additional six patients were treated at a dose of 1.5 mg/m^2, and no DLTs were observed. Therefore, none of nine patients experienced DLT at 1.5 mg/m^2. TZT-1027 was well tolerated without grade 3 nonhematological toxicity or neutropenia up to grade 2 (Table 3), confirming that this dose was indeed the MTD.

At 1.8 mg/m^2, one patient developed a DLT on day 14 due to febrile neutropenia and was treated with granulocyte colony stimulating factor (G-CSF) and an antibacterial agent; the patient recovered on day 21 and was subsequently withdrawn from the present study based on the investigator's discretion. Another

Table 2 Dose escalation scheme and DLTs in course 1

Dose (mg/m^2)	Number of patients	Number of courses	Number of patients with any DLT/number of patients	ANC: <500/mm^3 for >5 days	Febrile neutropenia	Other grade 3–4 nonhematological toxicities	Inability to receive TZT-1027 on day 8	Inability to start course 2 up to day 29
1.5	9	21	0/9	0	0	0	0	0
1.65	5	9	3/5	0	0	1[a]	1[b]	1[c]
1.8	4	5	2/4	1	1	0	0	0

ANC absolute neutrophil count

[a] Patient with grade 3 pneumonia with neutropenia

[b] Patient with grade 3 constipation, neuropathy, grade 4 neutropenia, and hyponatremia

[c] Patient with grade 4 neutropenia

patient developed a DLT, i.e., grade 4 neutropenia, at 1.8 mg/m^2 and withdrew in course 1 at his own request due to grade 2 nausea and anorexia. At 1.65 mg/m^2, two patients developed DLTs, had the next course that was delayed due to neutropenia and pneumonia with neutropenia, required G-CSF and/or antibacterial agents, and recovered within 1 week. The dose for these patients was reduced to 1.5 mg/m^2 after course 1, and one of them subsequently required a further dose reduction to 1.35 mg/m^2 due to grade 4 neutropenia in course 2. Another patient developed DLTs at 1.65 mg/m^2, with grade 3 constipation, neuropathy, grade 4 neutropenia, and hyponatremia, and recovered with enemas, laxatives, and IV fluids. This patient was subsequently withdrawn from the present study based on the investigator's judgment. No treatment-related deaths were observed.

Hematological toxicities

Neutropenia was the major DLT of TZT-1027. Hematological toxicities as functions of the total numbers of patients and courses of TZT-1027 are shown in Table 3. Grade 3 or 4 neutropenia was observed at doses of $\geq$1.65 mg/m^2. No significant neutropenia was observed at 1.5 mg/m^2, although most patients underwent two or more courses. Both anemia and thrombocytopenia were relatively mild. Thrombocytopenia was only grade 1 in intensity and was observed in all five patients. The median time to ANC nadir was 18 days (range 14–22 days).

Nonhematological toxicities

Table 4 shows drug-related nonhematological toxicities observed in any course of treatment. The common nonhematological toxicities were infusion reaction (phlebitis, injection site reaction, and infusion arm pain), anorexia, malaise, nausea, vomiting, and constipation. The most frequently observed toxicity was phlebitis. There were no relationship between all nonhematological toxicities and doses.

In the present study, grade 2 phlebitis was observed in 12 of 18 patients almost always on the next day of administration and nearly completely disappeared in several days thereafter without medication. Four patients experienced grade 1 to 2 pain, three of whom had infusion arm pain. None of these patients experienced "redness" and "swelling" and had venous thrombosis subsequent to phlebitis. On the other hand, phlebitis was rarely observed in European studies [2, 6, 18]. In the present study, phlebitis alleviated when the patient underwent additional flushing consisting of

Table 3 Hematological toxicities

Dose (mg/m^2)	Number of patients	Number of courses	Number of patients with dose reduction	Neutropenia All courses (course 1) Grade				Anemia All courses (course 1) Grade			Thrombocytopenia All courses (course 1) Grade	
				1	2	3	4	1	2	3–4	1	2–4
1.5	9	21	0	2 (1)	4 (4)	0	0	3 (4)	5 (4)	0	2 (2)	0
1.65	5	9	2[a]	2 (2)	0	0	3 (3)	1 (1)	2 (1)	0	1 (1)	0
1.8	4	5	0	0	0	1 (1)	2 (2)[b]	0	2 (2)	0	1 (1)	0

[a] Dose was reduced in one patient twice

[b] Febrile neutropenia developed in one patient

Table 4 Nonhematological toxicities

Adverse events	Grade 1	Grade 2	Grade 3	Grade 4
Phlebitis		12		
Anorexia	4	6		
Nausea	3	5		
Alopecia	8			
Malaise	6	1		
Pigmentation disorder	5			
Constipation		3	1	
Vomiting	3	1		
Tenderness	4			
Pain[a]	3	1		
Peripheral neuropathy	1	1	1[b]	
Injection site reaction	3			
Headache	1	1		
Angiopathy	2			
Diarrhea	2			
Arthralgia	2			
Hematuria	2			
Pyrexia	2			
Pneumonia			1	
Neutropenic infection			1	

Drug-related adverse events (total number of patients: 18)

[a] Three of four patients had infusion arm pain

[b] Neuropathy at baseline was grade 1

200–250 ml of saline over 30–60 min following administration of TZT-1027.

Three patients experienced peripheral neuropathy in course 1 at 1.5 to 1.8 mg/m^2. Grade 1 neuropathy was observed in one patient at 1.8 mg/m^2. Another patient developed grade 2 neuropathy at 1.5 mg/m^2; however, dose reduction was not required during course 2. Another patient at 1.65 mg/m^2 worsened from grade 1 neuropathy at baseline to grade 3 neuropathy with grade 3 constipation on day 5, with recovery on day 13 and day 18, respectively; the patient was not retreated. Apart from the above patient, there were three patients with grade 1 neuropathy at base line; their disorder did not worsen during the study period.

One patient at 1.65 mg/m^2 experienced pneumonia with grade 3 neutropenia during course 1, was treated with G-CSF and an antibacterial agent, and recovered within 1 week. Therefore, this patient was treated at 1.5 mg/m^2 but again experienced pneumonia without neutropenia during course 2. The patient recovered within 1 week but was not retreated.

Cardiovascular toxicities such as grade 1 hypertension and ventricular arrhythmia were observed. One patient experienced grade 1 hypertension after the first treatment at 1.65 mg/m^2. The treatment of this patient was interrupted due to the DLTs including grade 3 constipation, neuropathy, grade 4 neutropenia, and hyponatremia. Another patient in the 1.65 mg/m^2 group sporadically experienced grade 1 ventricular arrhythmia at 1.65 mg/m^2 during the study period. All patients underwent 12-lead electrocardiography (ECG) before and after TZT-1027 administration. The 12-lead electrocardiograms had been evaluated by a medical expert on ECG as well as the investigator. Table 5 shows the QTc intervals after each administration of TZT-1027 in courses 1 and 2. The QTc intervals before administration were compared with those after administration, and no significant QTc prolongation was observed.

Pharmacokinetics studies

The pharmacokinetics of TZT-1027 were assessed in all patients on day 1 in course 1 (Table 6). Twelve patients receiving TZT-1027 on day 1 in course 2 were also assessed. C_{max} and AUC_{inf} tended to increase with dose. However, no statistically significant difference was found among doses. Renal clearance was a minor route of TZT-1027 elimination, since only 1–5% of the dose was excreted unchanged in urine in the first 24 h after administration. Pharmacokinetic parameters were compared between courses 1 and 2. None of Cl_{tot}, $T_{1/2}$, MRT, and V_{ss} of TZT-1027 differed between courses 1 and 2 at various doses.

Figure 2 shows that Cl_{tot} tended to decrease with increases in the plasma concentration of α1-AGP ($r = 0.57$). The correlation between C_{max} or AUC_{inf} and the nadir for ANC were not clear due to the small dose range. No correlation was found between clearance and body surface area (BSA) ($r = 0.16$).

Table 5 QT and QTc intervals (mean ± SD) at baseline and after administration of TZT-1027 on days 1 and 8 in 3-week courses

	Baseline	Course 1				Course 2				
		D1 after administration[a]	D2	D8 prior to administration	D8 after administration[a]	D1 prior to administration	D1 after administration[a]	D2	D8 prior to administration	D8 after administration[a]
Number of data (*n*)	18	18	17	17	17	12	12	11	11	11
QT (ms) (min–max)	356 ± 24 (320–400)	366 ± 29 (300–420)	351 ± 26 (300–400)	356 ± 25 (314–400)	370 ± 24 (320–410)	353 ± 14 (330–380)	374 ± 20 (350–420)	357 ± 14 (330–380)	351 ± 32 (310–400)	366 ± 20 (330–390)
QTc (ms)[b] (min–max)	412 ± 34 (366–473)	410 ± 27 (373–457)	424 ± 21 (396–469)	428 ± 26 (380–469)	420 ± 20 (392–454)	423 ± 32 (375–481)	413 ± 25 (377–461)	422 ± 24 (385–469)	428 ± 46 (380–549)	429 ± 20 (408–463)

D day

[a] At the end of drip infusion

[b] Calculated by Bazett's correction

Response evaluation

Five of 18 patients were considered not to be evaluable because treatment had ended during course 1 for reasons other than disease progression. One patient with esophageal cancer who had previously received cisplatin plus 5-fluorouracil with radiotherapy had a partial response at 1.65 mg/m^2. Duration of treatment was 14 weeks. Six of 13 patients exhibited prolonged stable disease. Tumor shrink was observed in two of six patients evaluated as SD. A patient with NSCLC underwent five courses at 1.5 mg/m^2 and showed a 21% tumor reduction and a decrease in pleural effusion. Another patient with NSCLC at 1.65 mg/m^2 showed a 27% tumor reduction. Another patient with gastric cancer in the 1.5 mg/m^2 group who had a metastatic subcutaneous mass was evaluated as exhibiting disease progression due to the detection of a new lesion in a cervical lymph node; however, the mass reduced with necrosis on the next day after treatment, and the mass reduction rate was 29%.

Discussion

Tubulin is a well-established target for anticancer agents. Although available antitubulin agents, including taxanes and vinca alkaloids, are highly effective in cancer therapy, their clinical usefulness is limited due to intrinsic or acquired resistance and systemic toxicities. Thus, it is important to develop new agents targeting at the tubulin/microtubule system that may be effective against tumors resistant to existing anticancer agents and an improved toxicity profile. A number of potent cytotoxic compounds have been discovered over the past decade, and candidate anticancer agents originating from marine life have been examined in human clinical trials. Of these compounds, dolastatin 10 and dolastatin 15 have been extensively evaluated in clinical studies. An analogue of dolastatin 15, cemadotin, underwent several administration schedules of phase I studies and showed a major DLT of neutropenia, apart from cardiac toxicity and hypertension [10]. A dolastatin 15 analogue tasidotin exhibited dose-limiting toxicities including neutropenia, ileus, and elevated transaminase levels [1, 3]. Phase I studies of dolastatin 10 were performed, and its DLT was neutropenia [8, 16].

TZT-1027 is designed with the goal of maintaining potent antitumor activity and reducing the toxicities of the parent compound. In mice, intravenous injection of TZT-1027 showed equivalent or greater efficacy than dolastatin 10. On the basis of the preclinical data, a

Table 6 Pharmacokinetic parameters of TZT-1027 on day 1 in course 1

Dose (mg/m^2)	Number of patients	C_{max}, ng/ml (mean, cv%)	AUC_{inf}, ng h/ml (mean, cv%)	Cl_{tot}, l/h/m^2 (mean, cv%)	V_{ss}, l/m^2 (mean, (cv%)	$T_{1/2}$, h (mean, cv%)
1.5	9	186.0 (31.1)	427.8 (37.9)	4.2 (48.3)	16.7 (46.1)	5.7 (11.7)
1.65	5	211.3 (29.3)	573.2 (45.4)	3.4 (46.3)	19.2 (20.3)	7.6 (32.8)
1.8	4	200.3 (20.9)	502.8 (10.7)	3.6 (10.4)	22.6 (37.3)	7.4 (30.5)

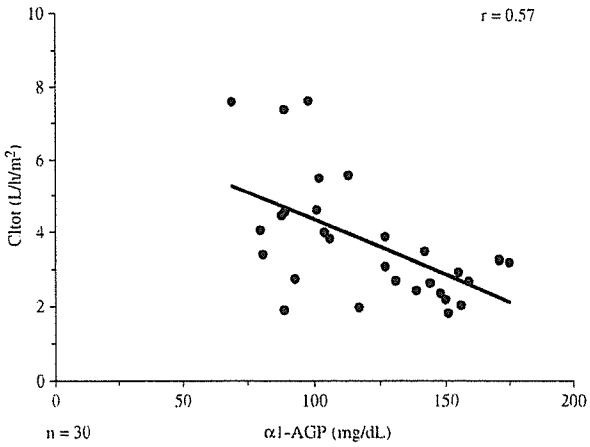

Fig. 2 Correlation between α1-AGP and the clearance of TZT-1027

repeated-dose study of TZT-1027 on days 1, 8, and 15 was conducted in Japan. The DLT according to the administration schedule was neutropenia. The MTD was determined to be less than 2.1 mg/m^2, and the recommended dose for phase II studies was considered to be 1.8 mg/m^2 [13, 20]. In that study, however, 14 of 40 patients could not receive TZT-1027 on day 15 on schedule due to toxicities. Therefore, a repeated-dose study on days 1 and 8 in 3-week courses was conducted in patients with solid tumors in the Netherlands, in whom TZT-1027 was escalated to 2.7 mg/m^2. Consequently, the DLTs were neutropenia and infusion arm pain. The recommended dose for phase II studies of TZT-1027 was determined to be 2.4 mg/m^2.

In the previous phase I study in the Netherlands, the recommended dose for phase II studies was 2.4 mg/m^2. Grade 3 neutropenia was observed in only 2 of >39 courses at 2.4 mg/m^2. To standardize the criterion on performance status with that in the Netherlands study and to exclude the influence of the prior chemotherapy to an extent possible, selection criteria were limited in the present study. The median value for the regimen of pretreatment was two courses in the both present and Netherlands study. Major differences between the present study and the previous study in the Netherlands were predominant types of tumor (NSCLC versus several tumors) and median age (66 versus 53 years old, respectively). The pharmacokinetic profiles of TZT-1027 were similar between the present study and the study in the Netherlands. In the Netherlands study at 1.8 mg/m^2, AUC_{inf}, C_{max}, $T_{1/2}$, and Cl_{tot} were 728.1 ng h/ml, 240.4 ng/ml, 6.65 h, and 4.7 L/h, respectively. It seems difficult to explain based on PK parameters alone why the MTD in the present study differed from that in the Netherlands. On the other hand, three of four patients in the repeated-dose study on days 1, 8, and 15 in Japan did not receive TZT-1027 on day 8 on schedule due to neutropenia at 2.1 mg/m^2, and one of four patients at 1.8 mg/m^2 in that study underwent no treatment on day 8 due to neutropenia. Between Japanese and European patients receiving TZT-1027, therefore, a difference appeared to exist especially in the severity of bone marrow toxicity.

In the present study, phlebitis was frequently observed as compared with European studies. No significant difference was found in the administration schedule between the present study and the study in the Netherlands. Other frequent nonhematological toxicities were anorexia, nausea, alopecia, constipation, and malaise similarly to European studies. In contrast to other dolastatin analogues, such as a dolastatin 15 analogue tasidotin, increased ALT or AST was rare.

In a previous study according to an administration schedule on day 1 in 3-week courses in Germany, neurotoxicity as a DLT was observed with two of five patients who were treated above the MTD (2.7 mg/m^2). Both patients had previously received oxaliplatin [18], leading us to conjecture that oxaliplatin predisposes neurotoxicity. In the present study, no patients had been treated previously with oxaliplatin. The neurotoxic influence of TZT-1027 after oxaliplatin should be considered in preclinical studies.

In contrast to the above dolastatin analogues, little cardiovascular toxicity was observed in the present study. Initial studies of cemadotin, a dolastatin 15 analogue, revealed severe hypertension. In the present study, therefore, we measured blood pressure and pulse rate, and conducted the 12-lead ECG before and after TZT-1027 administration for QT interval determination. There was no significant prolongation of the QTc interval at any time point.

Dose intensity in the present study was lower than that in the European studies. However, a partial

response was observed in a patient with metastatic esophageal cancer previously treated by radiochemotherapy. Antitumor activity in previously treated metastatic NSCLC was also seen in two patients who experienced a 21% tumor reduction, including a decrease in pleural effusion during five courses, and a 27% tumor reduction. Metastatic subcutaneous tumor in gastric cancer patient reduced with necrosis on the next day after TZT-1027 administration, with a tumor reduction rate of 29%. Preclinical studies have demonstrated the potent in vitro cytotoxicity of TZT-1027 against several tumor cell lines and its in vivo antivascular effects, e.g., disruption of the tumor vasculature.

In conclusion, the present study showed that TZT-1027, a synthetic analogue of the natural marine product dolastatin 10, is effective for Japanese patients with advanced solid tumors when administered on days 1 and 8 in 3-week courses, possesses an improved safety profile as compared with other dolastatin analogues, and is active at a tolerable dose.

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Phase II Study of Weekly Paclitaxel for Relapsed and Refractory Small Cell Lung Cancer

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Abstract. *The purpose of this study was to evaluate the efficacy and toxicity of single-agent paclitaxel given weekly to patients with relapsed and refractory small cell lung cancer (SCLC). Patients were treated with 80 mg/m^2 paclitaxel administered weekly for 1 h for 6 weeks in an 8-week cycle. Twenty-two patients were enrolled, 21 of whom were eligible. The patient characteristics included: 20 males, 1 female; median age 66 years (range 48 - 75); performance status 0/1 in 19 and 2 in 5 patients. Grade 3/4 leukopenia and neutropenia occurred in 47.5% and 64%, respectively. Other grade 3/4 toxicities included infection, skin rash, neuropathy and pulmonary toxicity. There were 5 partial responses in 3 out of the 11 sensitive cases and 2 out of the 10 refractory cases, respectively. Paclitaxel, administered as a weekly infusion at a dose of 80 mg/m^2, was effective in treating relapsed and refractory SCLC.*

More than 95% of patients with small cell lung cancer (SCLC), who are initially treated with paclitaxel 80 mg/m^2, present a relapse and their response to a second-line therapy is poor. The responses obtained are usually brief, and the median survival is generally less than 4 months (1). Nevertheless, second-line chemotherapy may provide a significant palliation of symptoms and does result in a prolongation of survival in many patients.

The activity of paclitaxel as a single agent has been investigated in both previously-untreated and -treated SCLC patients. Two phase II trials were conducted to investigate its efficacy as a first-line treatment for SCLC. In a trial conducted by the Eastern Cooperative Oncology Group (ECOG), Ettinger *et al.* administered 250 mg/m^2 paclitaxel as a 24-h infusion to 36 patients (2), among whom 11 partial responses were observed. Kirschling *et al.* obtained a similar response rate, 41%, in a group of 37 patients on an identical paclitaxel dose-schedule (3). The results of a phase II study in previously treated patients were reported by Smit *et al.* (4). All 24 patients in that trial developed progressive disease within 3 months of receiving at least one previous chemotherapy regimen. Seven patients (29%) had a partial response to 175 mg/m^2 paclitaxel as a 3-h infusion. These data show that paclitaxel exhibits single-agent efficacy in SCLC comparable to that of the best agents. The results of Smit *et al.'s* study in patients with refractory SCLC are particularly impressive, since most response rates reported with single-agent or combination regimens in this population have been less than 15%. However, life-threatening toxicity occurred in 4 of these patients, 2 of whom experienced hematological toxicity.

Recent reports of the activity and tolerability of weekly doses of paclitaxel have generated a great deal of clinical interest. Weekly paclitaxel therapy has generally been quite well tolerated, causing minimal toxicity and no apparent cumulative myelosuppression. Substantial evidence from clinical trials indicates that weekly paclitaxel is effective and generally well tolerated as both a first- and second-line treatment for advanced NSCLC. A phase I/II trial by Koumakis *et al.* in a second-line setting tested weekly paclitaxel infused for the first 6 weeks of each 8-week cycle, and demonstrated that a paclitaxel dose escalation from 60 mg/m^2 to 90 mg/m^2 was tolerated (5).

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Fennelly *et al.* reported a recommended dose of 80 mg/m^2 administered weekly for 6 weeks of an 8-week cycle in patients with recurrent ovarian cancer (6).

Based on this evidence, a phase II trial of 80 mg/m^2 weekly paclitaxel as a 1-h infusion for 6 consecutive weeks followed by 2 weeks without treatment (8-week cycle) was conducted in patients with relapsed SCLC. The objective of this study was to evaluate the efficacy and safety of weekly paclitaxel in patients with relapsed and refractory SCLC. The primary end-point was the response rate, while the secondary end-points were the toxicity profile and survival rate.

Patients and Methods

Patient selection. Patients who met all of the following criteria were considered eligible: a) histological or cytological proof of SCLC with no response to prior chemotherapy or progression after chemotherapy, b) measurable disease, c) most recent cytotoxic treatment less than 4 weeks before entry, d) ECOG performance status 0-2, e) age ≤75 years, f) adequate bone marrow function (leukocyte count ≥4,000/μl, hemoglobin level ≥9.0 g/dl and platelet count ≥100,000/μl), hepatic function (transaminases ≤2.5 times the upper limit of normal, bilirubin level ≤1.5 mg/dl), and renal function (creatinine ≤1.5 times upper limit of normal) and g) arterial oxygen partial pressure ≥60 torr. Excluded patients were those with any active concomitant malignancy, symptomatic brain metastases, a past history of drug allergy reactions, complication by interstitial pneumonia, treatment with non-steroidal anti-inflammatory drugs or steroids or other serious complications such as uncontrolled angina pectoris, myocardial infarction within 3 months, heart failure, uncontrolled diabetes mellitus or hypertension, massive pleural effusion or ascites or serious active infection. All patients gave written informed consent and our institutional review board for human experimentation approved the protocol.

Treatment schedule. Paclitaxel was infused intravenously (*i.v.*). over a 1-h period at a dose of 80 mg/m^2 each week for 6 consecutive weeks followed by a 2-week break. This 8-week period comprised one treatment cycle. Premedication consisted of 20 mg dexamethasone, 50 mg ranitidine and 50 mg diphenhydramine given *i.v.* 30 min prior to paclitaxel.

If the leukocyte count fell below 2,000/μl or the neutrophil count fell below 1,000/μl, recombinant granulocyte colony-stimulating factor (rhG-CSF) at a daily dose of 2 μg/kg was administered until the leukocyte count recovered to ≥10,000/μl, except on the days of paclitaxel administration. The toxicity assessment was based on the National Cancer Institute – Common Toxicity Criteria version 2.0. If grade 3 leukopenia, grade 4 neutropenia, grade 2 neuropathy or other grade 3 non-hematological toxicities occurred, the dose of paclitaxel in subsequent cycles was reduced by 10 mg/m^2 from the planned dose. Paclitaxel was not administered if the leukocyte count was <2,000/μl, the platelet count was <5,000/μl, or if there was grade 3 nausea/vomiting, infection with a fever of more than 38°C, or other grade 2 non-hematological toxicities except alopecia. The treatment was discontinued if there was disease progression, grade 3 neuropathy, other grade 4 non-hematological toxicities or a 2 consecutive weeks without paclitaxel administration.

Evaluation of response and survival. The tumor response was classified according to the WHO criteria (7). A complete response (CR) was defined as the total disappearance of all measurable and assessable disease for at least 4 weeks. Partial response (PR) was defined as a ≥50% decrease in the sum of the products of the 2 largest perpendicular diameters of all measurable tumors lasting for at least 4 weeks without the appearance of any new lesions. No change (NC) was defined as a decrease of <50% or an increase of <25% in tumor lesions for at least 4 weeks with no new lesions. Progressive disease (PD) was defined as the development of new lesions or an increase of 25% in the sum of the products of the 2 largest perpendicular diameters of all measurable tumors. The overall survival was measured from the time of study entry until death.

Statistical methods. The median probability of survival was estimated by the method of Kaplan and Meier (8). This study was designed as a phase II study, with the response rate as the main end-point. According to the Simons minimax design, with a sample size of 20 our study had a 90% power to accept the hypothesis that the true response rate was greater than 25%, while a 10% significance sufficed for rejection of the hypothesis that the true response rate was less than 5% (9).

Results

Patient characteristics. Between December 1999 and February 2002, a total of 22 patients were enrolled in the study, 1 of whom was deemed ineligible due to age (>75 years), leaving a total of 21 patients assessable for toxicity, response and survival. The main demographic characteristics of the cohort are summarized in Table I. The patient cohort consisted of 1 female and 20 males with a median age of 66 years (range, 48 to 75). Four patients exhibited limited disease and 19 exhibited extensive disease at the start of treatment. The majority of the patients had received no prior surgical treatment, while 67% had received prior radiation therapy. All patients had been treated with some form of cisplatin- or carboplatin-based combination chemotherapy regimen. Eighteen patients had received prior etoposide-containing chemotherapy and 10 prior irinotecan-containing chemotherapy. The median number of previous chemotherapy regimens administered was 1 (range, 1 to 2). Among the 10 patients who proved refractory to chemotherapy, 5 had NC or PD on first- or second-line treatment, 2 had PR but experienced disease progression during treatment and 3 had a relapse within a 90-day treatment-free interval after completing their treatments.

Toxicity. The toxicity of the regimen is summarized in Table II. Neutropenia was the main toxicity, with 6 out of the 21 patients experiencing grade 4 neutropenia during the entire study. Grade 3 anemia was observed in 2 patients. One patient experienced grade 4 anemia, secondary to digestive tract bleeding. Thrombocytopenia remained infrequent throughout the study. No cases of grade 3 or 4 thrombocytopenia were observed and there was no evidence of cumulative hematological toxicity.

Table I. *Baseline characteristics of all patients.*

Baseline characteristics		No. of patients
Sex	Male / Female	20 / 1
Age (years)	Median (Range)	66 (48-75)
ECOG PS	0/1/2	5 /12 /4
Disease extent	LD/ ED	4 / 17
Previous treatment	Chemotherapy only	4
	Chemotherapy + radiotherapy	14
	Chemotherapy + others	3
Previous chemotherapy	Platinum + etopoisde +/- others	18
	Including irinotecan HCl	10
	Others	1
No. of previous chemotherapy regimens	1 / 2 / 3	16 / 4 / 1
Response to prior chemotherapy	CR / PR / NC / PD / NE	2 / 13 / 5 / 0 / 1

No.: number
PS: performance status, LD: limited disease, ED: extensive disease.

Table II. *Toxicity of treatment for all cycles.*

Toxicity	No. of patients with event by grade				
	G0	G1	G2	G3	G4
Nausea	12	7	2	0	0
Vomiting	19	1	1	0	0
Diarrhea	17	3	1	0	0
Constipation	10	5	6	0	0
Mucositis	21	0	0	0	0
Gastric ulcer	20	0	1	0	0
Fever	16	3	2	0	0
Fatigue	13	0	8	0	0
Skin rash	20	0	0	1	0
Infection	18	0	0	3	0
Neuropathy	9	9	1	2	0
Myalgia	16	4	1	0	0
Dyspnea	17	0	1	2	1
Hemoglobin	1	9	9	1	1
WBC count	2	1	8	8	2
Neutrophil count	0	5	2	8	6
Platelet count	16	5	0	0	0
GOT	12	7	2	0	0
GPT	16	4	1	0	0
Total bilirubin	19	1	1	0	0

Other grade 3 and 4 toxicities included infection, skin rash, neuropathy and pulmonary toxicity. Grade 1 or 2 neuropathy was seen in 10 patients, and greater than grade 2 was observed in 2 individuals. No hypersensitivity reactions were encountered. Grade 3 or 4 pulmonary toxicity was reported in 3 patients and was characterized by dyspnea. Life-threatening complications of grade 4 infection and grade 4 dyspnea were encountered in 1 patient, who experienced febrile neutropenia and respiratory failure secondary to pneumonia after the third weekly dose. He was treated with antibiotics and supportive measures, but the respiratory distress worsened and he died on day 41. One of 2 grade 3 pulmonary toxicities was pneumonitis, probably induced by paclitaxel, but was resolved by steroid therapy.

Response to treatment and survival. The responses to therapy are shown in Table III according to whether the patient had primary refractory disease or primary sensitive cancer that subsequently relapsed. Although 1 out of the 21 patients was not assessable for response, having died during the first cycle, a ≥50% decrease in the sum of the products of the 2 largest perpendicular diameters of the tumor was achieved in this patient. Five of the 22 patients had a PR, but no CRs were observed and the overall response rate was 23.8% (95% confidence interval, 5.59 to 42.03). When only evaluable patients were included in the analysis, however, the response rate improved to 25% (95% confidence interval, 6.02 to 43.98). Two PRs (20%) occurred in refractory cases and 3 PRs (27%) were achieved in sensitive cases. Four patients showed no change, and 1 exhibited disease progression. The survival analysis was performed in January 2003, by which point 10 patients had died and 2 were still alive. The median survival time (MST) was 5.8 months and the 1-year survival rate was 13.4% (Figure 1).

Table III. *Response data.*

	No. of patients					Response rate (%)
		CR	PR	NC	PD	NE	
Total	21	0	5	4	11	1	23.8
Sensitive	11	0	3	3	5	0	27.3
Refractory	10	0	2	1	6	1	20.0

CI = confidence interval; CR = complete response; NE = not evaluable; PD = progressive disease; PR = partial response; NC = no change.

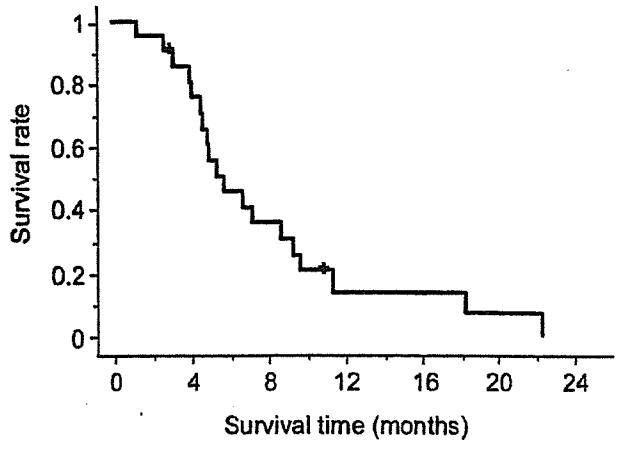

Figure 1. *Overall survival.*

Discussion

Since the outlook for SCLC patients who receive second-line therapy is poor, several new drugs, such as paclitaxel, docetaxel, gemcitabine, vinorelbine, topotecan and irinotecan, are currently under investigation. The new chemotherapy agents that have been most extensively evaluated in SCLC are the topoisomerase I inhibitors, including topotecan and irinotecan. Von Pawel *et al.* conducted a phase III study comparing single-agent topotecan with cyclophosphamide, doxorubicin and vincristine (CAV) in patients with progression at least 60 days after initial therapy and reported response rates of 24.3% for topotecan and 18.3% for CAV with a median survival time (MST) of 25.0 and 24.7 weeks, respectively, and found that topotecan was at least as effective as CAV in the treatment of patients with recurrent SCLC (10). Two studies of irinotecan in patients with refractory SCLC have been reported in Japan and the response rates in both studies were high, *i.e.*, 50% in 16 patients, and 47% in 15 patients, respectively (11, 12). We therefore consider that topoisomerase I inhibitors, such as topotecan and irinotecan, are key drugs in the second-line treatment of SCLC. However, the number of SCLC patients treated with an irinotecan-containing regimen as first-line chemotherapy has increased in Japan since, in a randomized phase III trial in Japan (13), a combination of irinotecan and cisplatin was shown to yield better survival than the standard etoposide and cisplatin regimen in patients with untreated extensive SCLC. Therefore, the search for effective drugs, other than topoisomerase I inhibitors, for previously treated SCLC, especially refractory SCLC, must be continued.

Single-agent paclitaxel, at a dose of 175 mg/m^2 as a 3-h infusion every 3 weeks in patients with previously treated SCLC, produced a response rate of 29% and an MST of 100 days (4). The results of our phase II study demonstrated that weekly paclitaxel at a dose of 80 mg/m^2 yielded a similar response rate of 23.8% and a much better MST of 5.8 months than that of paclitaxel given every 3 weeks. Because the antiproliferative activity of paclitaxel is cell-specific, prolonging patient exposure to a low dose of the drug beyond a threshold concentration is ultimately more efficacious than a short-term exposure to higher drug concentrations, a hypothesis supported by *in vitro* experiments with a variety of cell lines and suggested by the results of clinical studies. As clinical experience with paclitaxel treatment of various types of tumors has progressed, so has the use of weekly regimens at lower doses administered as 1-h infusions, as opposed to standard higher doses delivered once every 3 weeks as 3-h infusions.

A response rate of more than 10% is considered evidence of drug efficacy in previously-treated SCLC patients (14). Before newer drugs, such as topoisomerase I inhibitors, taxane, gemcitabine and vinorelbine were introduced, salvage chemotherapy did not usually prolong survival in SCLC and MSTs after relapse were 2.5 – 3.9 months (1). Single-agent phase II trials of gemcitabine, docetaxel and vinorelbine in patients with relapsed or refractory SCLC have been reported. Smyth *et al.* (15), using a 100 mg/m^2 dose of docetaxel, obtained a response rate of 25% in 28 assessable patients who had received prior chemotherapy. A trial of gemcitabine in 46 previously-treated patients yielded an 11.9% response rate (16) and vinorelbine provided response rates of 12% and 16% in second-line patients with sensitive disease (17,18). Thus, the MST of 5.8 months and response rate of 23.8% in this study compare favorably with those of published single-agent trials in relapsed or refractory SCLC.

The toxicity profile noted in this trial was predictable based on the toxicity profile previously described in weekly paclitaxel trials, neutropenia being the major toxic effect. All side-effects, except fatal neutropenic pneumonia in 1 case, were manageble. Grade 3 or 4 neutropenia occurred in 14 of the patients in our study but was immediately alleviated by treatment with G-CSF. Grade 3 or 4 anemia occurred in 1 patient, but there was no grade 3 or 4 thrombocytopenia in our study. The incidence of grade 3/4 myelosuppression was considered tolerable. There were 3 cases of grade 3 or 4 pulmonary toxicity, 2 of which occurred due to bacterial infection. This regimen required a dose of 20 mg of dexamethasone weekly as premedication. We believe that this occurrence of bacterial pneumonia might be related to the use of steroids.

Testing new drugs in previously-treated patients has the clear advantages of determining the degree of non-cross resistance with other drugs. Its greatest disadvantage is the risk of a considerable dose reduction (especially of myelotoxic drugs) to avoid extensive hematological side-

effects, perhaps resulting in doses that are too low to fairly evaluate the drug. Since a weekly administration of paclitaxel causes only mild myelosuppression and as there may be no cross resistance with platinum, etoposide, irinotecan, or topotecan, which are usually used to treat SCLC, we find this regimen suitable for previously-treated SCLC.

In summary, the weekly paclitaxel regimen is moderately effective in SCLC patients who have received prior chemotherapy. Based on the statistical design of this study, the 5 PR observed suggest that weekly paclitaxel warrants further evaluation in this patient population. Additional investigations will serve to clarify the role of this agent, either alone or in combination with other agents. Combining paclitaxel with other agents with proven non-cross resistance such as irinotecan, topotecan, or gemcitabine or new target-based agents is the next step needed to evaluate second-line situations, especially in patients with resistant disease.

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