Prospective Validation for Prediction of Gefitinib Sensitivity by Epidermal Growth Factor Receptor Gene Mutation in Patients with Non-Small Cell Lung Cancer

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Introduction: We evaluated the efficacy of gefitinib monotherapy prospectively in patients with advanced or pretreated non-small cell lung cancer (NSCLC) harboring epidermal growth factor receptor (EGFR) mutations.

Methods: Patients with NSCLC were examined for EGFR exon 19 deletion mutations by fragment analysis and for EGFR L858R point mutations by the Cycleave polymerase chain reaction technique. EGFR mutation-positive patients with locally advanced, metastatic, or recurrent/refractory NSCLC that was not curable with surgery or thoracic radiotherapy were candidates for gefitinib treatment administered at 250 mg/day until disease progression.

Results: Mutations of the EGFR gene were detected in 27 (41%) of 66 patients. Ten had exon 19 deletion, and 17 had L858R. Twenty-one patients harboring EGFR mutations were treated with gefitinib and were considered assessable for responses and adverse events. Nineteen patients with EGFR mutations achieved objective responses (three complete responses and 16 partial responses), resulting in an overall response rate of 90.5% (95% confidence interval, 69.6%–98.8%). The median progression-free survival was 7.7 months (95% confidence interval, 6.0 mo to not reached). The median overall survival has not been reached. Common adverse events were skin toxicity, diarrhea, and elevated aminotransferases, but no pulmonary toxicity was observed.

Conclusions: Detection of common EGFR mutations seems to be useful for selecting patients with NSCLC who would likely benefit from gefitinib monotherapy.

Key Words: EGFR, Gefitinib, Lung cancer, Mutations, Drug sensitivity.

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Lung cancer remains the most common cause of cancer death in both men and women worldwide. Lung cancer frequently presents at an advanced and biologically aggressive stage, resulting in poor prognosis. Non-small cell lung cancer (NSCLC) accounts for more than 80% of all lung cancers. Currently, platinum-based combination chemotherapy regimens, including several active new chemotherapeutic agents, comprise the standard option for patients with advanced NSCLC. However, various combinations of drugs have similar efficacy, producing objective response rates of 30 to 40%, median survival time of eight to 10 months, and 1-year survival rates of 30 to 40%.[1,2] These results remain unsatisfactory, and new modalities of treatment are urgently awaited. Recently, novel molecular targeted strategies that block cancer progression pathways have been suggested as the ideal treatment to control cancer and are considered an exciting therapeutic approach for treating NSCLC.[3]

The epidermal growth factor receptor (EGFR) is a 170 kDa receptor tyrosine kinase and a member of the erbB receptor family that plays a pivotal role in the signaling processes of tumor progression.[4–6] EGFR is overexpressed in several solid tumors, including NSCLC, and it is one of the leading therapeutic molecular targets.[7] Gefitinib is an orally bioavailable, selective EGFR tyrosine kinase inhibitor (TKI) and was the first targeted drug for NSCLC. Phase II and III monotherapy trials for patients pretreated for NSCLC demonstrated objective response rates of only 8 to 18%.[8–10] However, subset analyses of these trials and a retrospective study[11] showed a small group of clinical responders comprising women, patients with adenocarcinomas, nonsmokers, and Japanese or Asian patients. These results suggest that identifying predictive molecular or genetic biomarkers for gefitinib sensitivity may be useful for selecting patients who are most likely to benefit from treatment.

In 2004, three independent groups reported that somatic EGFR mutations correlated with sensitivity of NSCLC to gefitinib or erlotinib, another EGFR TKI.[12–14] Subsequently, several groups confirmed this striking correlation between EGFR mutations and gefitinib sensitivity, yielding a response rate of about 60 to 94% in retrospective analyses.[15–22] EGFR mutations are likely to be significantly associated with survival benefit attributed to gefitinib treatment.[17,18,21] In con-

trast to these results, recent reports concerning molecular analyses of large-scale phase II and III trials showed lower response rates than previously reported and no survival benefit in patients with mutations treated with TKIs.[23–26] Around the same time, the EGFR gene amplification/copy number was demonstrated as another useful predictive molecular marker of TKI efficacy.[23,26–28] However, these contradictory results were obtained through the retrospective collection of tumor samples, and prospective validation studies that predict TKI efficacy by EGFR mutations are needed.

Data from previous reports show that in-frame deletions in exon 19 and specific missense mutation of codon 858 in exon 21 (L858R) account for about 90% of all EGFR mutations, and about 80% of responders to gefitinib or erlotinib harbor either of these two hotspot mutations. Therefore, we developed a rapid, sensitive screening assay of two hotspot mutations[29] and conducted a prospective cohort study to explore the prediction of gefitinib sensitivity in EGFR mutation-positive patients.

MATERIALS AND METHODS

Study Design

This prospective cohort study was conducted to identify patients with NSCLC who would most likely benefit from gefitinib treatment according to their EGFR mutation. Patients with EGFR mutation were treated with oral administration of gefitinib at a dose of 250 mg once a day until disease progression or intolerable toxicity occurred, or until the patient refused to continue treatment. The primary endpoint was objective tumor response rate. Secondary endpoints included adverse effects, disease control rate (response + stable disease), progression-free survival (PFS), and overall survival (OS). This study was approved by the institutional review board of Aichi Cancer Center Hospital.

Patient Eligibility

Eligibility criteria for gefitinib treatment were adult (age ≥20 yr) with cytologic or histologic confirmation; locally advanced, metastatic, or recurrent/refractory NSCLC that was not curable by surgery or radiotherapy; harboring EGFR mutation; and one or more measurable or assessable lesions. All patients were admitted to the study regardless of prior treatment, extent of performance status (PS), or main organ functions. The exclusion criteria were pulmonary fibrosis, interstitial pneumonia, or prior treatment with an EGFR TKI or antibody. All patients gave written informed consent in accordance with institutional regulations before entering the study.

Efficacy and Toxicity Evaluation

Tumor responses were evaluated according to the Response Evaluation Criteria in Solid Tumors[30] and were confirmed by repeated imaging studies after 4 to 8 weeks of gefitinib treatment. During the treatment and for 30 days after the last dose of gefitinib, patients were monitored for adverse events, which were graded using Common Terminology Criteria for Adverse Events, version 3.0. PFS was assessed from the date of gefitinib treatment until the date of objective disease progression, death from any cause, or the last follow-up. OS was assessed from the date of gefitinib treatment until the date of death from any cause, or the last follow-up.

Detection of EGFR Mutations

Genomic DNA was extracted from tumors embedded in paraffin blocks or from aspirated tumors obtained in pleural effusions, superficial lymph nodes, or subcutaneous metastasis. All specimens were reviewed by a single reference pathologist (Y.Y.) and marked grossly near the tumor-rich lesion on an unstained slide to enrich the tumor cell population as much as possible.

We performed mutational analyses of exon 19 deletion and the L858R point mutation of the EGFR gene, as previously described.[29] Briefly, exon 19 deletion was determined by common fragment analysis using polymerase chain reaction (PCR) with an FAM-labeled primer set, and the PCR products were electrophoresed on an ABI PRISM 310 (Applied Biosystems, Foster City, CA). The shorter segment of DNA amplified by PCR showed a deletion mutation in a new peak in an electropherogram. The L858R mutation was detected by the Cycleave real-time quantitative PCR technique using the Cycleave PCR core kit (Takara Co. Ltd., Ohtsu, Japan) with an L858R-specific cycling probe and a wild-type probe. Fluorescence intensity was measured with a Smart Cycler system (SC-100, Cepheid, Sunnyvale, CA).

Statistical Analysis

Data were analyzed using the chi-square test; $p < 0.05$ was regarded as significant. Confidence intervals (CI) were calculated using binomial CIs. PFS and OS were calculated using the Kaplan–Meier method and compared between two EGFR mutation groups using log-rank test. All the analyses were performed with Stata 8.2 for Macintosh (Stata Corp, College Station, TX).

RESULTS

Sampling Procedure for Detecting EGFR Mutations

Sixty-six consecutive patients with NSCLC were examined to detect the EGFR mutations from November 2004 through August 2005 at Aichi Cancer Center Hospital. Of these patients' samples, 23 specimens were obtained from bronchoscopic biopsy, 22 from computed tomography/ultrasound-guided needle biopsy, 13 from percutaneous aspiration (seven from pleural effusion, four from lymph nodes, and two from skin metastases), two from biopsy (one from tonsil metastasis and one from skin metastasis), and six from surgery with general anesthesia (three from thoracotomy, two from thoracoscopy, and one from mediastinoscopy (Table 1). Sixty samples (91%) were obtained from the biopsy or aspiration method. Tumor tissues or aspirates were procured at the time of initial diagnosis in 52 patients and at the time of tumor progression in 14 patients.

Patient Characteristics and EGFR Mutations

Mutations of the EGFR gene were detected in 27 (41%) of 66 patients. Ten of these had the deletion in exon 19, and

TABLE 1. Patient Characteristics and Sample Procurement According to EGFR Mutation Status

	EGFR Mutation Status			
	All	**Mutation**	**Wild type**	***p***
All cases	66	27 (21)	39	
Sex				0.175
Male	36	10 (8)	26	
Female	30	17 (13)	13	
Age (yr)				0.5084
≤64	31	14 (11)	17	
>64	35	13 (10)	22	
Histology				0.0199
Adenocarcinoma[a]	59	27 (21)	32	p ([a] vs. [b])
Squamous cell[b]	2	0	2	
Large cell[b]	2	0	2	
Pleomorphic[b]	1	0	1	
NSCLC NOS[b]	2	0	2	
Smoking status				0.0002
Never smoker[c]	24	17 (13)	7	p ([c] vs. [d])
Former smoker[d]	17	9 (7)	8	
Current smoker[d]	25	1 (1)	24	
Stage at initial diagnosis				0.6348
IA[e]	2	1	1	p ([e] vs. [f])
IIB[e]	4	2 (2)	2	
IIIA[f]	3	0	3	
IIIB[f]	16	3 (2)	13	
IV[f]	41	21 (17)	20	
Performance status				0.6059
0/1	51	20 (14)	31	p (0/1 vs. ≥2)
2	7	3 (3)	4	
3	3	1 (1)	2	
4	5	3 (3)	2	
Prior first treatment				ND
No	8	5 (5)	3	
Surgery	3	3 (1)	0	
Thoracic irradiation	4	2 (2)	2	
Chemoradiotherapy	10	2 (1)	8	
Bone irradiation	6	3 (3)	3	
Brain irradiation	6	3 (2)	3	
Sclerotherapy for effusion	1	1 (1)	0	
Chemotherapy	28	8 (6)	20	
Prior chemotherapy				0.4337
0	28	13 (12)	15	p (0 vs. ≥1)
One regimen	28	10 (6)	18	
Two regimens	8	4 (3)	4	
Three regimens	2	0	2	
Method for sample procurement				ND
Bronchoscopic biopsy	23	11	12	
CT/US-guided needle biopsy	22	6	16	
Pleural effusion aspiration	7	4	3	
LN/skin aspiration	6	2	4	
Tonsil/skin biopsy	2	0	2	
Thoracotomy	3	2	1	
VATS	2	1	1	
Mediastinoscopy	1	1	0	

EGFR, epidermal growth factor receptor; NSCLC, non-small cell lung cancer; NOS, not otherwise specified; ND, not done; CT/US, computed tomography/ultrasound; LN, lymph node; VATS, video-assisted thoracoscopy. Superscript letters indicate groups compared in the statistical analysis. Numbers in parentheses represent the numbers of patients receiving gefitinib treatment.

17 were the point mutation at codon 858. As previously reported,[12–14,17] the EGFR mutations were significantly associated with adenocarcinoma histology and never-smoking status (Table 1). However, the EGFR mutation status was not significantly correlated with sex, age, PS, stage at initial diagnosis, or prior chemotherapy. Twelve patients received gefitinib treatment as the first-line chemotherapy; five patients desired first-line gefitinib therapy, and the other seven were unfit for conventional chemotherapy because of age (one patient, age 84 yr), cardiac disease (one patient), widespread bone metastases (two patients), and poor PS (3–4 in three patients).

Clinical Response and Survival

Of 27 patients harboring EGFR mutation, 21 were treated with gefitinib and were assessable for objective responses (Table 2) and adverse events (Table 3). The median interval of gefitinib treatment was 5.9 months (range, 0.67 to 11.4 mo). Of the assessable 21 patients, 19 patients achieved objective responses (three complete response and 16 partial response), for an overall response rate of 90.5% (95% CI, 69.6–98.8%). One patient had stable disease, giving an overall disease control rate of 95.2% (95% CI, 76.2–99.9%). According to EGFR mutation classes and PS, the objective responses were seven of eight for the exon 19 deletion, 12 of 13 for the L858R point mutation, 13 of 14 in PS 0 to PS 1 patients, and 6 of seven in PS 2 to PS 4 patients. The response to gefitinib did not differ significantly according to the mutation class or PS.

The median PFS was 7.7 months (95% CI, 6.0 mo to not reached) (Figure 1A). The median OS has not been reached at present (Figure 1B). Subset analyses showed that PFS was greater in patients with the exon 19 deletion than in those with the L858R point mutation (log rank test, $p = 0.04$; Fig 2*A*). The median PFS for the exon 19 deletion group was 7.8 months (95% CI, 7.6 mo to not reached); for the L858R mutation group, median PFS was 6.0 months (95% CI, 2.6 to 7.7 mo). OS did not differ significantly between the two types of mutations (Figure 2B). No difference was observed in PFS and OS between never-smokers and current/former smokers (data not shown).

TABLE 2. Response of EGFR Mutation-Positive Patients to Gefitinib Treatment

	EGFR Mutation Status		
	Exon 19 Deletion ($n = 8$)	L858R Mutation ($n = 13$)	Total ($n = 21$)
CR	1 (12.5%)	2 (15.4%)	3 (14.3%)
PR	6 (75%)	10 (76.9%)	16 (76.2%)
Overall response rate (CR + PR)	7 (87.5%)	12 (92.3%)	19 (90.5%)
SD	1 (12.5%)	0	1 (4.8%)
Disease control (CR + PR + SD)	8 (100%)	12 (92.3%)	20 (95.2%)
Progressive disease	0	1 (7.7%)	1 (4.8%)

EGFR, epidermal growth factor receptor; CR, complete response; PR, partial response; SD, stable disease.

TABLE 3. Number (%) of Patients with Treatment-Related Adverse Events ($n = 21$)

	Grade				
	0	1	2	3	4
Skin toxicity	15 (71)	4 (19)	2 (10)	0	0
Diarrhea	13 (62)	3 (14)	3 (14)	2 (10)	0
Elevated aspartate aminotransferase/ alanine aminotransferase	15 (71)	1 (5)	2 (10)	3 (14)	0
Nail changes	17 (81)	3 (14)	1 (5)	0	0
Mucositis	20 (95)	1 (5)	0	0	0
Joint pain	20 (95)	1 (5)	0	0	0

Adverse Events

All 21 patients were evaluated for drug-related adverse events. The most common adverse events were skin toxicity, diarrhea, and elevated asparatate aminotransferase/alanine aminotransferase (AST/ALT) (Table 3). The grade 3 adverse events of diarrhea and elevated AST/ALT occurred in two (10%) and three (14%) patients, respectively. These events occurred slightly more frequently than in previous studies.[8,9] No grade 4 adverse events or pulmonary toxicity were observed. Seven patients required an interruption of treatment, lasting 2 to 4 weeks, because of grade 2/3 diarrhea or grade 3 elevated transaminases. Two patients withdrew: one after 3 weeks of gefitinib treatment because of grade 3 diarrhea, and the other after 9 weeks of gefitinib treatment because of grade 2 nail changes.

DISCUSSION

In the present study, we have observed that the objective response rate in our patients was similar to that in previous reports. We also found that PFS and OS seem promising in identifying gefitinib-sensitive patients regardless of whether the study includes patients unsuited for conventional cytotoxic chemotherapy because of age, cardiac disease, widespread bone metastases, or poor PS (3 to 4). Our favorable data might have resulted because we selected patients harboring one of two hotspot mutations (exon 19 deletion and exon 21 L858R mutation). Greulich et al.[31] examined NIH-3T3 cells transformed with various EGFR mutants and showed that a distinct EGFR mutation confers differential sensitivity to TKIs. They demonstrated greater sensitivity to TKIs in cell lines with the two hotspot mutations than with the G719S mutation, and insensitivity to TKIs in cell lines with exon 20 insertion (D770-N771 ins) mutation. These in vitro data may explain, at least partially, our promising results for detecting these two sensitive mutations.

We previously reported that patients with the EGFR exon 19 deletion respond significantly better to gefitinib than those with the L858R mutation ($p = 0.0108$).[17] Our current data show no difference in gefitinib sensitivity and OS after

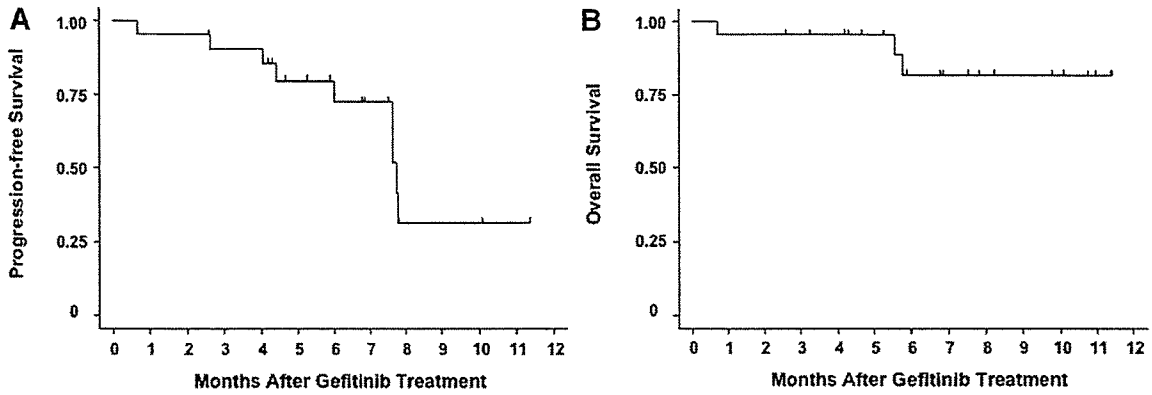

FIGURE 1. Kaplan–Meier estimates of (*A*) progression-free survival and (*B*) overall survival for patients with EGFR mutations (n = 21). The median progression-free survival was 7.7 months (95% CI, 6.0 mo to not reached). The median survival was not reached.

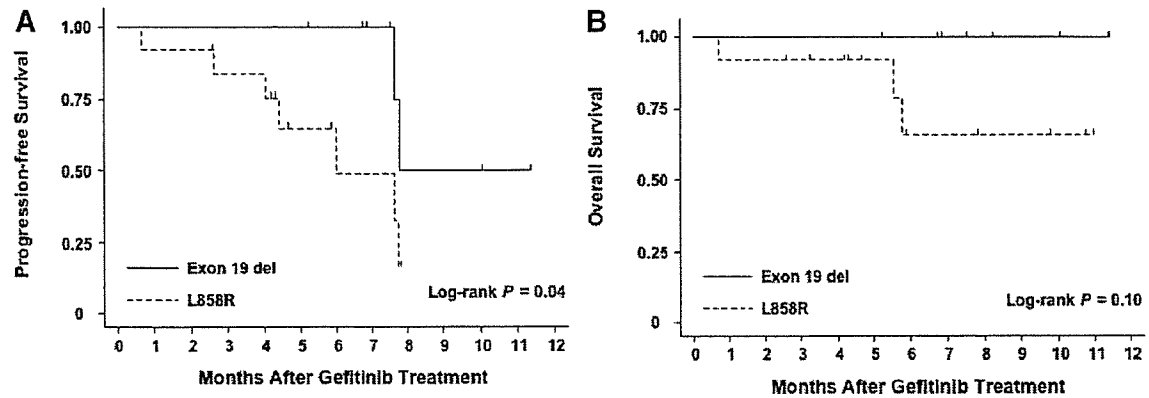

FIGURE 2. Kaplan–Meier estimates of (*A*) progression-free survival and (*B*) overall survival for patients with EGFR mutations according to the exon 19 deletion (n = 8) and L858R mutation (n = 13). The median PFS for the exon 19 deletion group was 7.8 months (95% CI, 7.6 mo to not reached); for the L858R mutation group, median PFS was 6.0 months (95% CI, 2.6 to 7.7 mo).

gefitinib treatment between these two groups of patients, although we observed a greater PFS in the EGFR exon 19 deletion group than in the L858R group. It is possible that the number of patients (eight with exon 19 deletion and 13 with L858R) was too small to detect a statistically significant difference in OS. Riely et al.[32] reported recently that patients with exon 19 deletion have a significantly longer survival after TKI treatment than those with the L858R mutation (p = 0.01). These findings suggest that the EGFR exon 19 deletion might be a better predictor of the efficacy of TKIs than the L858R mutation.

EGFR mutations are significantly associated with patients with adenocarcinomas, patients of Asian origin, females, and patients who had never smoked—clinical factors also associated with patients who respond to gefitinib.[13,14,24,33] A phase II trial using gefitinib monotherapy as the first-line therapy for patients with adenocarcinoma histology and never-smoking status was recently completed in South Korea and reported promising data (e.g., an objective response rate of 69% and estimated 1-year survival rate of 73%).[34] However, this trial did not select patients using biomarkers, and we believe the benefit of gefitinib therapy could be enhanced by selecting individual patients according to appropriate biomarkers. Very recently, two prospective phase II studies that had selected patients based on molecular biomarkers demonstrated that EGFR mutations[35] and gene copy number assessed by fluorescence in situ hybridization (FISH)[36] can predict clinical outcomes in TKI-treated NSCLC patients.

The grade 3 adverse events of diarrhea and elevated AST/ALT were observed in five patients (24%); this is a higher rate than that reported in two previous phase II studies that reported rates of adverse events of 1.5%[8] and 7%[9] at a gefitinib dose of 250 mg per day. The reasons for our higher rate of adverse events are unknown. Although adverse events related to gefitinib treatment are generally thought to be mild and tolerable, they should not be discounted.

Most studies have detected EGFR mutations using direct sequencing or single-strand conformation polymorphism analysis for exons 18 to 21.[37] These techniques are less sensitive when applied to a small amount of tumor cells from the biopsy or aspiration samples.[38] We were able to detect

two hotspot mutations with our sensitive rapid screening assay in most biopsy or aspiration samples in the routine clinical setting. Although this assay needs precise assessment of tumor samples by a pathologist to enrich the tumor cells, it is very sensitive and accurate for detection, and it can be completed within 4 hours without need for microdissection or nested PCR process.[29]

The key genetic event for TKI sensitivity has not been perfectly identified and is the subject of a growing debate about the role of EGFR mutations versus EGFR gene amplification/copy number in NSCLC. EGFR mutant NSCLC cell lines are strongly associated with increased EGFR gene copy number.[39,40] Cappuzzo et al.[27] and Takano et al.[22] found that EGFR mutations in NSCLC patients correlate significantly with gene copy number assessed by FISH and quantitative real-time PCR, respectively. However, Cappuzzo et al.[27] demonstrated that in patients treated with gefitinib, a high EGFR gene copy number is a better predictor of survival than EGFR mutations.[27] In contrast, Takano et al.[22] reported that the status of the EGFR mutations, rather than gene copy number, is the major determinant of gefitinib efficacy. Recent reports of the molecular analyses from the largest phase III TKI monotherapy trials failed to show that the EGFR mutation is superior to gene copy number in predicting the efficacy of TKIs.[23,26] These conflicting results on EGFR mutations and gene amplification/copy number could be explained by (i) differences in the detection methodologies and assessment of mutation and gene amplification/copy number (e.g., direct sequence versus PCR-based DNA testing for detecting EGFR mutations, or FISH versus PCR-based amplification for detecting EGFR gene amplification/copy number), (ii) failure to reconfirm these results in other institutions, and (iii) other unknown factors underlying drug sensitivity, especially those related to ethnicity. Further prospective studies are needed to investigate the crucial molecular markers involved in the EGFR network, using adequate tissue samples and assays to more precisely detect molecular events.

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A Rapid, Sensitive Assay to Detect EGFR Mutation in Small Biopsy Specimens from Lung Cancer

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It has been demonstrated that lung cancers, specifically a subset of pulmonary adenocarcinomas, with epidermal growth factor receptor (EGFR) mutation are highly sensitive to EGFR-targeted drugs. Therefore, a rapid, sensitive assay for mutation detection using routine pathological specimens is demanded in clinical practice to predict the response. We therefore developed a new assay for detecting EGFR mutation using only a paraffin section of a small biopsy specimen. The method was very sensitive, detecting as few as 5% cancer cells in a background of normal cells, the results usually being obtained within 4 hours. Furthermore, it was accurate, as shown by the high concordance with reverse transcriptase-polymerase chain reaction-coupled direct sequencing (186 of 195, 95%). The practical application of this assay to 29 cases treated with gefitinib resulted in a high prediction rate: 10 of the 11 responders were shown to be positive for the mutation, and all patients with progressive disease were negative. In addition, a mutation at codon 790, conferring gefitinib resistance, was successfully analyzed in a similar manner. In conclusion, the assay is a rapid, sensitive method using paraffin sections of biopsy specimens without a tumor cell-enrichment procedure and is quite useful to select a treatment of choice in clinical practice. *(J Mol Diagn 2006, 8:335–341; DOI: 10.2353/jmoldx.2006.050104)*

During the last decade, small molecules that inhibit receptor protein kinase activity have been developed.[1] Gefitinib is one such drug that targets epidermal growth factor receptor (EGFR) kinase. The EGFR, also known as HER1 or ErbB, is a 170-kd receptor tyrosine kinase (TK) that dimerizes and phosphorylates several tyrosine residues on the binding of several specific ligands.[2,3] These phosphorylated tyrosines serve as binding sites for several signal transducers that initiate multiple signaling pathways, resulting in cell proliferation, migration and metastasis, evasion of apoptosis, or angiogenesis, through Ras-Raf-MEK-ERK, phosphatidylinositol-3 kinase-AKT, and PAK-JNKK-JNK pathways. EGFR is expressed in more than 80% of non-small-cell lung cancers (NSCLCs), in addition to a wide range of epithelial cancers. However, clinical trials have shown significant variability in response to gefitinib: 10 to 20% of patients respond to gefitinib treatment, and in some patients, the response is dramatic, whereas the remaining patients show no response. Although further analysis has revealed some prevalence in responders, no definite determinant of the response has been established.

Recently, it has been reported that EGFR somatic mutation can be identified in a subset of pulmonary adenocarcinomas and that tumors with EGFR mutations are highly sensitive to gefitinib.[4,5] This correlation has subsequently been confirmed by our group and others,[6–9] and thus the development of a rapid and sensitive assay to predict gefitinib response by means of the presence or absence of the mutation is demanded clinically. Paraffin sections are a convenient source for such an assay in practice, but most studies using immunohistochemistry failed to predict the response.[10–12]

In this study, we introduce a practical approach using a rapid screening assay of EGFR mutation to predict gefitinib response. This method uses only a single paraffin section of a small biopsy specimen and does not require a tumor cell-enrichment procedure. The result is usually obtained within 4 hours and can be applied to a large number of samples.

Materials and Methods

Patients and Tissues

A series of 195 NSCLCs, in which the mutational status of the EGFR-TK domain with both reverse transcriptase-polymerase chain reaction (RT-PCR)-coupled direct sequencing and the new assay presented here was accessible, was used for this study. Some of the mutational results by RT-PCR-coupled direct sequencing have been

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reported previously.[13] DNA for the new assay was prepared from a section of tissue microarray blotted with 0.6-mm tissue cores of the 195 cases. To examine a correlation with the clinical response evaluated according to the guidelines of Response Evaluation Criteria in Solid Tumors (RECIST), a paraffin section of each biopsy specimen was examined for EGFR mutation in 29 patients treated with gefitinib because of the failure of first or second line therapy. To analyze the codon 790 mutation, which has been reported in association with acquired resistance to gefitinib treatment, four tissues were examined. One, reported as a rare case, was shown to have T790M, independent of gefitinib treatment.[13,14] The other three presented with a recurrent tumor after gefitinib treatment, and the recurrent tumor and corresponding initial tumor tissue were examined. Appropriate approval was obtained from the institutional review committee in addition to written informed consent from the patients.

Mutation Assay by RT-PCR-Coupled Direct Sequencing

Frozen tissue from the tumor specimens was grossly dissected to pass as many tumor cells as possible into the extraction solution (at least 25% of tumor cell content), followed by the extraction of total RNA with an RNeasy kit (Qiagen, Valencia, CA). For RT-PCR-coupled direct sequencing, the EGFR tyrosine kinase domain (exon 18 to 24) was amplified, and then the products were directly sequenced with an ABI PRISM 310 Genetic Analyzer (Applied Biosystems, Foster City, CA). The primer set used was described previously.[13]

DNA Extraction from Paraffin-Embedded Tissues

Tumor cell-rich area in a hematoxylin and eosin-stained section was marked under a microscope, and tissues were scratched from the area of another deparaffinized unstained section. Pieces of the scratched tissue were incubated with 1× PCR buffer containing 100 μg/ml proteinase K for 1 hour at 54°C. After heat inactivation with 95°C for 3 minutes, the solution was directly used for template DNA for the assay.

EGFR Mutation Detection

To detect the point mutations at codons 858 and 790 of the EGFR gene, we used the cycleave PCR technique. This technique is based on a chimeric DNA-RNA-DNA probe labeled with a fluorescent dye and quencher at each end. The RNA sequence of the probes corresponds to that of the wild type and point mutation labeled with FMA and ROX, respectively. When mutant molecules are present in the sample and PCR-amplified DNA generates a complete hybrid with the RNA portion of the mutant probe, RNase-H digests the probe at the RNA-DNA heteroduplex into two pieces, leading to a significant increase in fluorescence intensity by separation of the fluorescent dye from the quencher. The intensity of the wild-type probe served as an internal control for the assay. This assay was performed using a cycleave PCR core kit (TAKARA, Co., Ltd., Ohtsu, Japan), and sequences of the primer set and the probes were as follows: PCR forward primer for L858R, 5′-AGGAACGTACTGGTGAAAAC-3′; PCR reverse primer for L858R, 5′-TCCCTGGTGTCAGGAAAATG-3′; wild-type probe for L858R, 5′ FAM-CCA *U* CCCAAAAT-Eclipse 3′; probe for L858R mutation, 5′ FAM-CCC*G*CCCAAAAT-Eclipse 3′; PCR forward primer for T790M, 5′-ATCTGCCTCACCTCCAC-3′; PCR reverse primer for T790M, 5′-CAATATTGTCTTTGTGTTC-3′; wild-type probe for T790M, 5′ FAM-TGC*G*TGATGAG-Eclipse 3′; probe for T790M mutation, 5′ FAM-TGC*A*TGATGAG-Eclipse 3′ (italics represent RNA). Fluorescent signals were quantified with a Smart Cycler system (SC-100; Cepheid, Sunnyvale, CA).

To detect the deletion in exon 19 of the EGFR gene, common fragment analysis was used. Sample DNA was amplified with an FAM-labeled primer set as follows: forward, 5′ FAM-TCACAATTGCCAGTTAACGTCT-3′, and reverse, 5′-CAGCAAAGCAGAAACTCACATC-3′. PCR products were electrophoresed on an ABI PRISM 310. When a deletion mutation was present, PCR amplified the shorter segment of DNA, creating a new peak in an electropherogram.

Sensitivity Assay

In the preliminary examination, we prepared a mutation-positive control DNA, which contained exactly one-half each of wild-type and mutant molecules. According to the mixture ratio, the mutation-positive control DNA was mixed up with normal DNA, the concentration of which was equal to that of the mutation-positive control DNA. Therefore, 5% of tumor cells corresponded to 2.5% of mutant molecules in background of wild-type molecule. Using these mixtures of DNA, we examined the sensitivity of the assays (deletion of exon 19 and point mutation of L858R and T790M).

Statistical Analysis

The χ^2 test and Fisher's exact test for independence compared incidences of EGFR mutation, using SYSTAT software (SYSTAT Software Inc., Richmond, CA). A P value below 0.05 was considered statistically significant.

Results

Sensitivity of the New Assay

It is known that mutations in the EGFR tyrosine kinase domain are restricted to four exons, and the results of previous reports[4,5,8,9,13,15] revealed that the deletion in exon 19 and the point mutation of codon 858 in exon 21 covers about 90% of cases with EGFR-TK mutation. We therefore established assays using fragment analysis for

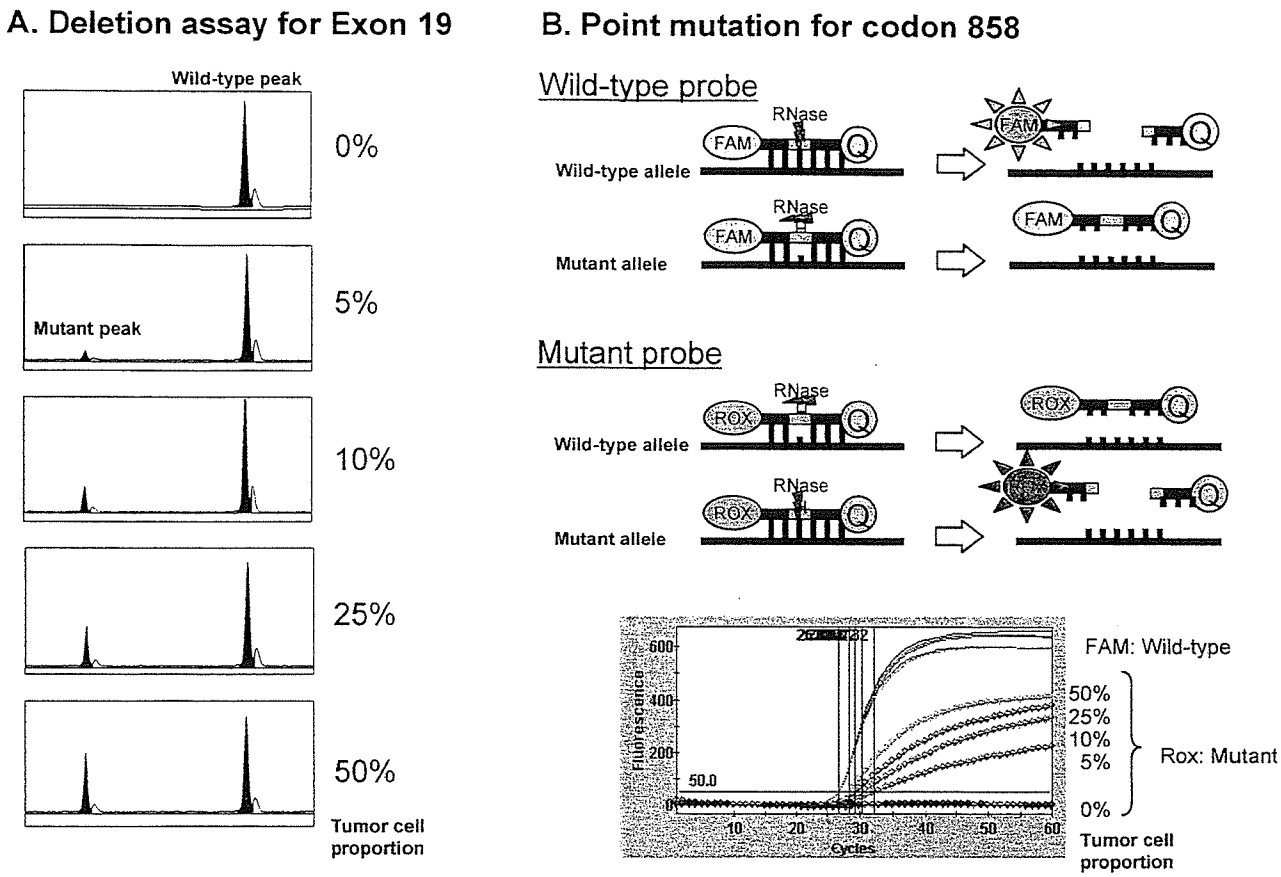


Figure 1. Sensitivity of the new assay. **A:** The sensitivity of the fragment analysis in the new assay. As few as 5% of tumor cells with the deletion could be detected. In the **top** of **B**, a brief explanation of the cycleave technology is displayed. Using this technique, as few as 5% of tumor cells with point mutation at codon 858 could be detected (**bottom** of **B**).

the deletion and cycleave real-time PCR for the point mutation of codon 858. The positive detection of mutated molecules makes this assay very sensitive, as shown in Figure 1. As few as ~5% of tumor cells could be detected in this assay.

Specificity of the New Assay and Concordance with Direct Sequencing

We evaluated the concordance of results between the new assay and conventional direct sequencing using 195 NSCLCs. The results are summarized in Table 1. Overall concordance was 186 of 195 (95%). When we excluded the seven evaluation cases, which were mutated in regions other than the targets of this assay, 99% of cases were concordant. In one case, mutation was only detected with the new assay, whereas one case was negative for mutation with the new assay but positive with direct sequencing. This disagreement resulted from the different tumor cell population in the samples examined. In the preliminary examination, at least 25% of tumor cells were required for detection of the gene mutation by direct sequencing (data not shown). Although tumor tissues in this analysis were dissected to contain more than 25% of tumor cells from most frozen sections, this case contained around 25% tumor cells, on the threshold of that detectable by the sequencing approach. In contrast, the paraffin section used for the new assay was rich in tumor cells. This difference in tumor cell content between frozen and paraffin sections may be the cause of the discrepancy. We confirmed this result by direct sequencing of the frozen section, using DNA microdissected with a laser capture microdissection system.

Practical Application for the Prediction of Gefitinib Response

To confirm whether the new assay is useful for the prediction of gefitinib response in clinical practice, we applied the assay to 29 gefitinib-treated cases whose response had been evaluated according to RECIST. A paraffin section of the large tumor tissue, which had been surgically resected a few years before relapse, was used in seven cases, whereas DNA was extracted from a paraffin section of transbronchial biopsy or computer tomography-assisted fine needle biopsy in 20 cases (Figure 2). Partial response was achieved in 11 cases; all but one were positive for the mutation, whereas five cases with progressive disease were negative with this assay (Table 2). EGFR mutation was detected in only 2 of 13 cases evaluated as stable disease. The correlation be-

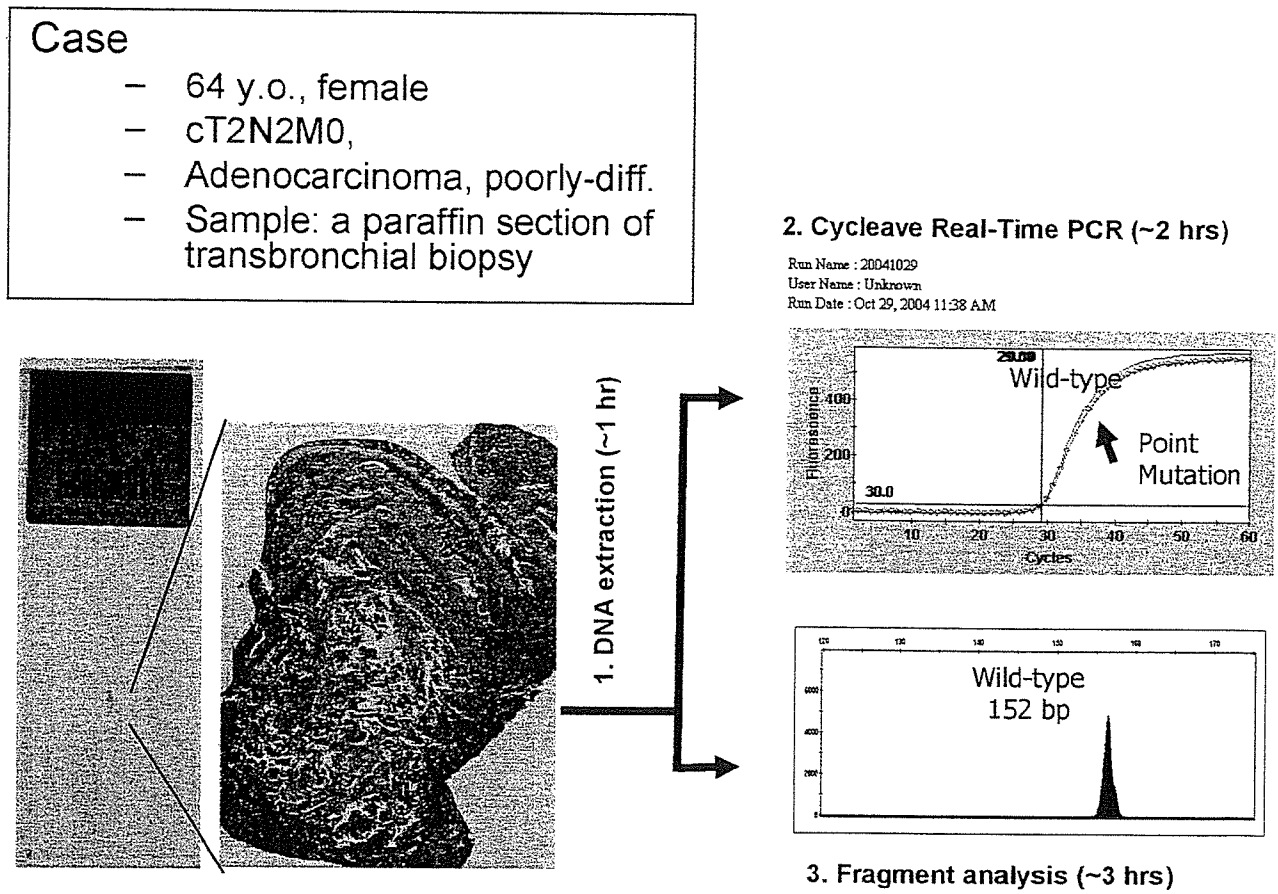


Figure 2. A representative result of the new assay. DNA was extracted from a paraffin section of the biopsy followed by simultaneous analysis using cycleave real-time PCR and fragment analysis. The entire procedure was completed within 4 hours. In this case, point mutation at codon 858 was detected, and the patient responded to gefitinib therapy.

tween EGFR mutation and gefitinib response was highly significant ($P = 0.0001$).

All of the 12 EGFR-mutated specimens were also examined by direct sequencing. In seven cases, identical results were obtained with both methods, whereas background noise prevented us from evaluating the results in the other five cases, all of which were small biopsy specimens. This may not indicate a lack of confirmation but rather suggests the superiority of this new assay, considering the good correlation of this result with clinical response and with the results obtained with direct sequencing using sufficient amounts of surgical tissue.

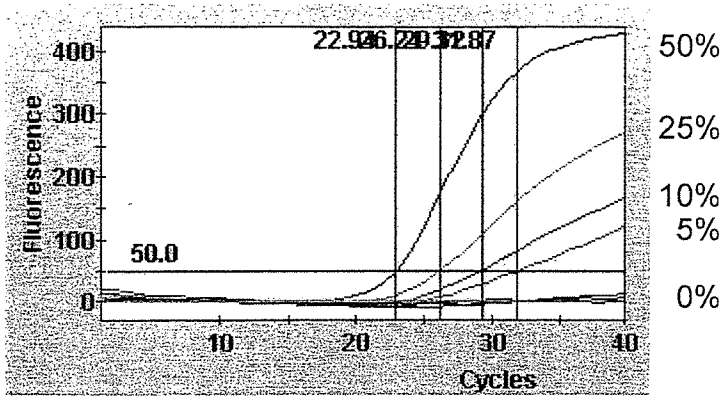
Detection of Mutation at Codon 790 Conferring Acquired Resistance to Gefitinib

Recently, it has been reported that a second mutation, at codon 790, was associated with acquired resistance to gefitinib.[16,17] On very rare occasions, the mutation was also detected independently of gefitinib treatment.[13,14] An assay for this mutation, using cycleave PCR, was similarly established (Table 3). In this assay, as few as 5% of tumor cells could be detected, as shown in Figure 3. A rare case, whose tumor was known to have T790M

Table 1. Comparison of Results between the Conventional and New Assays

		New assay	
Direct sequencing	Wild type	Mutation at codon 858	Deletion at exon 19
Wild type	116	1	0
Point mutation at codon 858	0	32	0
Deletion at exon 19	1	0	38
Point mutation at codon 719	3	0	0
Insertion at exon 20	3	0	0
Point mutation at codon 742	1	0	0

A. Sensitivity analysis

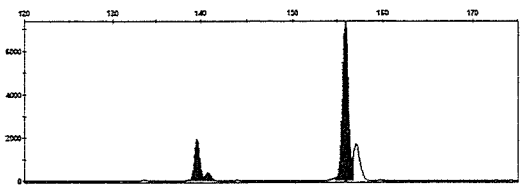


B. Representative case of acquired second mutation

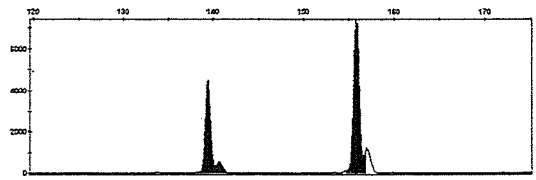
Primary tumor before treatment

Recurrent tumor in pleural effusion

Exon 19, fragment analysis

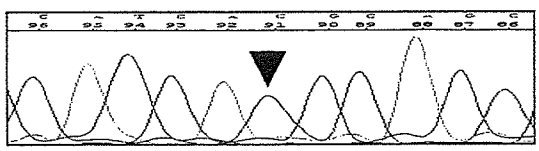


15bp-deleted

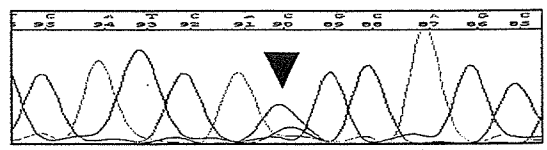


15bp-deleted

Exon 20, PCR-direct sequencing

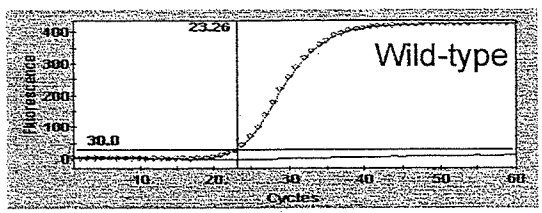


Wild-type



T790M

T790M cycleave PCR



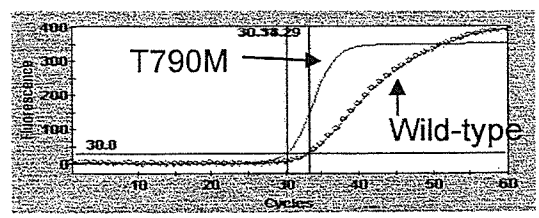


Figure 3. Detection of acquired mutation at codon 790. **A:** The sensitivity of this cycleave assay for T790M mutation. As few as 5% of tumor cells with T790M mutation could be detected. A representative result of acquired mutation at codon 790 after gefitinib treatment is displayed in **B** (Table 3, case 2). In contrast to the 15-bp deletion in exon 19 of the EGFR gene in both primary and recurrent tumors, T790M was detected only in the recurrent tumor, suggesting acquired mutation after gefitinib treatment. The result of the cycleave method was more obvious than that with direct sequencing.

Table 2. Practical Application of the New Assay

	Clinical response		
EGFR status	PD	NC	PR
Wild type	5	11	1
Mutated	0	2	10
Deletion in exon 19	0	2	5
Point mutation of codon 858	0	0	5

PD, progressive disease; NC, no change; PR, partial response.

mutation independently of gefitinib treatment,[16,17] was also positive in this assay. In one of the other three recurrent tumors, this assay clearly demonstrated the mutation (Figure 3), although it was often difficult to detect the mutated signal with direct sequencing of the PCR product.

Discussion

Paez et al[5] and Lynch et al[4] simultaneously published the result that somatic mutation of EGFR in lung adenocarcinoma predicts a clinical response to gefitinib. Erlotinib is another targeted small-molecule inhibitor of EGFR, and lung adenocarcinoma sensitive to erlotinib also harbored EGFR mutations. In addition, *in vitro* studies support the observation that EGFR mutations make tumor cells significantly sensitive to gefitinib[18] and erlotinib. This increased sensitivity may be explained by the "addiction to oncogene" hypothesis proposed by Weinstein.[19] Tumor cells with EGFR mutation are highly dependent on the activated EGFR pathway and are thus very susceptible to inhibition of this dependence. We have reported that patients with EGFR mutations survived longer than those without mutations after the initiation of gefitinib treatment.[7] Recently, failure to show a survival benefit in the IRESSA Survival Evaluation in Lung Cancer was announced. Gefitinib may not be effective enough to kill tumor cells that are not under a state of "addiction to EGFR mutation." Conversely, these findings suggest that selection of patients with EGFR-mutated tumors has the advantage of increasing the response rate of EGFR-targeted therapy. Furthermore, selection may also be efficient at preventing serious interstitial pneumonia occurring as a side effect.[20]

Although an assay using paraffin sections is very practical, immunohistochemical analysis of the tumors failed to predict the response. Currently, the microdissection of tumor cells and direct sequencing of PCR products is commonly used as a standard method. Regarding practical applications, the new assay reported here provides two benefits compared with the conventional method. First, microdissection is not necessary for the assay because a positive mutated signal makes this assay very sensitive. Second, this assay is rapid, does not require a purification step, and is usually completed within 4 hours: digestion with proteinase K for 1 hour, real-time PCR or regular PCR for 3 hours, and electrophoresis for 1 hour. In addition to paraffin sections, pleural effusion and specimens for fine needle aspiration cytology can be used. All three specimens of pleural effusion for the T790M cycleave assay were successfully analyzed, whereas direct sequencing occasionally resulted in an ambiguous result (Figure 3). The main targets for gefitinib or erlotinib therapy are recurrent and refractory tumors, and an assay using such specimens is therefore quite useful. However, the examination of limited regions of the EGFR gene appears to be a disadvantage of this study. Recent studies suggested that an insertion of exon 20 was shown to be resistant to EGFR inhibitors[25], whereas the gefitinib sensitivity of cells expressing the G719S mutant was significantly less than that of cells expressing the L858R mutant form[26]. Therefore, these results suggest that examination of the L858R mutation and deletion in exon 19 is reasonable, because these two mutations are likely to be a major target of the EGFR inhibitors.

A few approaches for the detection of EGFR mutation have been reported recently.[21–23] Comparing the assays, the advantage of the method presented here is its practical clinical use. Biopsy specimens frequently result in small, fragmented tissues containing only a few cancer cells. Using such biopsy specimens, the assay successfully demonstrated the EGFR mutations that correlate with gefitinib response, in contrast to failure of the direct sequencing of some biopsy specimens. Furthermore, the cycleave technique can be simultaneously applied for the detection of the K-ras mutation, which has been proposed to be an adverse prognostic marker for chemotherapy with erlotinib.[24]

In summary, we have introduced a new practical approach for the detection of EGFR mutations. This assay is very sensitive and useful for predicting gefitinib response. This rapid screening assay uses paraffin sections from biopsy without the need for a microdissection

Table 3. Detection of T790M Mutation Associated with Acquired Resistance to Gefitinib

Patient	Gefitinib treatment	Tissue examined	T790M mutation	Comments
Case 1*	No	Primary tumor	Yes	A rare case, harboring T790M mutation independent of gefitinib treatment
Case 2	Yes	Pleural effusion	Yes	15-bp deletion of exon 19 in the primary and recurrent cancers (Figure 3)
Case 3	Yes	Pleural effusion	No	9-bp deletion of exon 19 in the primary and recurrent cancers
Case 4	Yes	Pleural effusion	No	15-bp deletion of exon 19 in the primary and recurrent cancers

*The mutation of codon 790 in a primary cancer, which was demonstrated with RT-PCR direct sequencing, has been reported previously.

procedure and has significant advantages over other methods.

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Analysis of *Epidermal Growth Factor Receptor* Gene Mutation in Patients with Non–Small Cell Lung Cancer and Acquired Resistance to Gefitinib

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Abstract

Purpose: Non–small cell lung cancers carrying activating mutations in the gene for the epidermal growth factor receptor (EGFR) are highly sensitive to EGFR-specific tyrosine kinase inhibitors. However, most patients who initially respond subsequently experience disease progression while still on treatment. Part of this "acquired resistance" is attributable to a secondary mutation resulting in threonine to methionine at codon 790 (T790M) of EGFR.

Experimental Design: We sequenced exons 18 to 21 of the *EGFR* gene to look for secondary mutations in tumors with acquired resistance to gefitinib in 14 patients with adenocarcinomas. Subcloning or cycleave PCR was used in addition to normal sequencing to increase the sensitivity of the assay. We also looked for T790M in pretreatment samples from 52 patients who were treated with gefitinib. We also looked for secondary *KRAS* gene mutations because tumors with *KRAS* mutations are generally resistant to tyrosine kinase inhibitors.

Results: Seven of 14 tumors had a secondary T790M mutation. There were no other novel secondary mutations. We detected no T790M mutations in pretreatment specimens from available five tumors among these seven tumors. Patients with T790M tended to be women, never smokers, and carrying deletion mutations, but the T790M was not associated with the duration of gefitinib administration. None of the tumors had an acquired mutation in the *KRAS* gene.

Conclusions: A secondary T790M mutation of *EGFR* accounted for half the tumors with acquired resistance to gefitinib in Japanese patients. Other drug-resistant secondary mutations are uncommon in the *EGFR* gene.

Activating mutations in the gene for the epidermal growth factor receptor (EGFR) are present in a subset of pulmonary adenocarcinomas. Tumors with *EGFR* mutations are highly sensitive to gefitinib and erlotinib, small-molecule EGFR-specific tyrosine kinase inhibitors (1–3). These mutations occur in the tyrosine kinase domain of the *EGFR* gene. Deletion mutations in exon 19 and the substitution of leucine with arginine at codon 858 (L858R) account for ~90% of all these mutations (4). *EGFR* mutations are more prevalent in women, never smokers, patients of Asian ethnicity, and those with adenocarcinoma histology (4). These features are the same as those of patients whose tumors have elevated sensitivity to EGFR-specific tyrosine kinase inhibitors. The response rates of lung cancers with an *EGFR* mutation are as high as 80% (5). Responses are often dramatic, and several reports have shown that patients with *EGFR* mutations survive significantly longer after gefitinib treatment than patients without mutations (6). However, it is also common for patients to show disease progression after presenting with an initial marked response to EGFR-specific tyrosine kinase inhibitors. The mean duration of the initial response is about 3 to 7 months (7, 8).

Recently, it has been reported by two groups that a secondary threonine-to-methionine mutation at codon 790 (T790M) of the *EGFR* gene is related to the acquired resistance to gefitinib and erlotinib (9, 10). Crystal structure modeling has shown that residue T790 is located in the ATP-binding pocket of the catalytic region of EGFR, and it seems to be critical for the binding of erlotinib and gefitinib (9). Substitution of the threonine at codon 790 with a bulkier residue, such as methionine, would result in steric hindrance to the binding of these two drugs. A secondary T790M mutation has been identified in one tumor (9) and in three of six tumors (10) with acquired resistance to gefitinib.

Imatinib is a tyrosine kinase inhibitor specific for BCR-ABL, KIT, and platelet-derived growth factor A, which is used to treat

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chronic myelogenous leukemia (CML) and gastrointestinal stromal tumor. Analogous secondary mutations in the kinase domains of these genes are considered to constitute one of the mechanisms of acquired drug resistance (11–14). The structural similarity between ABL and EGFR tyrosine kinases is fairly high, and the most common mutation related to acquired resistance is a threonine-to-isoleucine mutation at codon 315 (T315I), corresponding to T790M in the *EGFR* gene (15). In CML, 20 to 30 other mutations of the *ABL* gene have been identified as responsible for acquired resistance to imatinib (12, 16–19), so secondary *EGFR* gene mutations other than T790M are possible (Fig. 1).

Secondary mutations of the *ABL* gene have also been detected in pretreatment samples from some CML patients, although the fraction of mutant cells was very low (16, 20). The existence of a similar mechanism is expected for non–small cell lung cancer. Furthermore, we and others have reported that the T790M mutation of the *EGFR* gene exists as a major mutation independently of gefitinib treatment, although instances are very rare (21, 22).

It has also been reported that *KRAS* mutations are associated with a lack of sensitivity to gefitinib and erlotinib (23, 24). Therefore, it is possible that acquired *KRAS* mutations are also associated with acquired resistance.

In this study, we looked for the T790M mutation and other secondary mutations of the *EGFR* gene in tumors from patients who showed disease progression after presenting with an initial response to EGFR-specific tyrosine kinase inhibitor treatment and in tumors before gefitinib treatment. We also looked for *KRAS* mutations in the same tumors.

Materials and Methods

Patients. Patients with non–small cell lung cancer who initially responded but subsequently experienced disease progression while on gefitinib treatment were defined as having "acquired resistance." A detailed definition of the effectiveness of gefitinib treatment was described in our previous study (25). Briefly, gefitinib treatment is judged to be effective when tumors show a decrease of at least a 30% in tumor diameter in imaging studies or when elevated carcinoembryonic antigen levels decrease to a level less than half the baseline level.

Fourteen tumor samples and 10 corresponding pretreatment tumor samples from eligible patients were obtained according to this definition at the time of diagnosis or treatment. The selection of patients depended only on whether a second tumor sample collected at the time of progression could be obtained. Appropriate approval from the institutional review board and the patients' written informed consent were obtained. Patient characteristics and details of the samples are shown in Table 1. All patients had adenocarcinomas, and the median duration of gefitinib treatment was 367 days (range, 69-921 days). We also analyzed the samples of 52 patients who had been treated with gefitinib for recurrent disease after they had undergone pulmonary resection. This cohort was part of our previous study, and their clinical details are described elsewhere (25).

Subcloning mutational analysis of the* EGFR *gene. Genomic DNA and total RNA (if possible) were extracted from each sample (Table 1). Exons 18 to 21 of the *EGFR* tyrosine kinase domain were amplified using PCR or reverse transcription-PCR (RT-PCR) methods. PCR for genomic DNA was done using AmpliTaq Gold (Applied Biosystems, Foster City, CA) and the following primers: exon 18, 5′-GAGGTGACCC-TTGTCTCTGTGT-3′ (forward) and 5′-CCCAAACACTCAGTGAAACAAA-3′ (reverse); exon 19, 5′-TGCCAGTTAACGTCTTCCTTCT-3′ (forward) and 5′-ATGTGGAGATGAGCAGGGTCTA-3′ (reverse); exon 20, 5′-TGAAACTC-AAGATCGCATTCAT-3′ (forward) and 5′-CATGGCAAACTCTTGCTATCC-3′

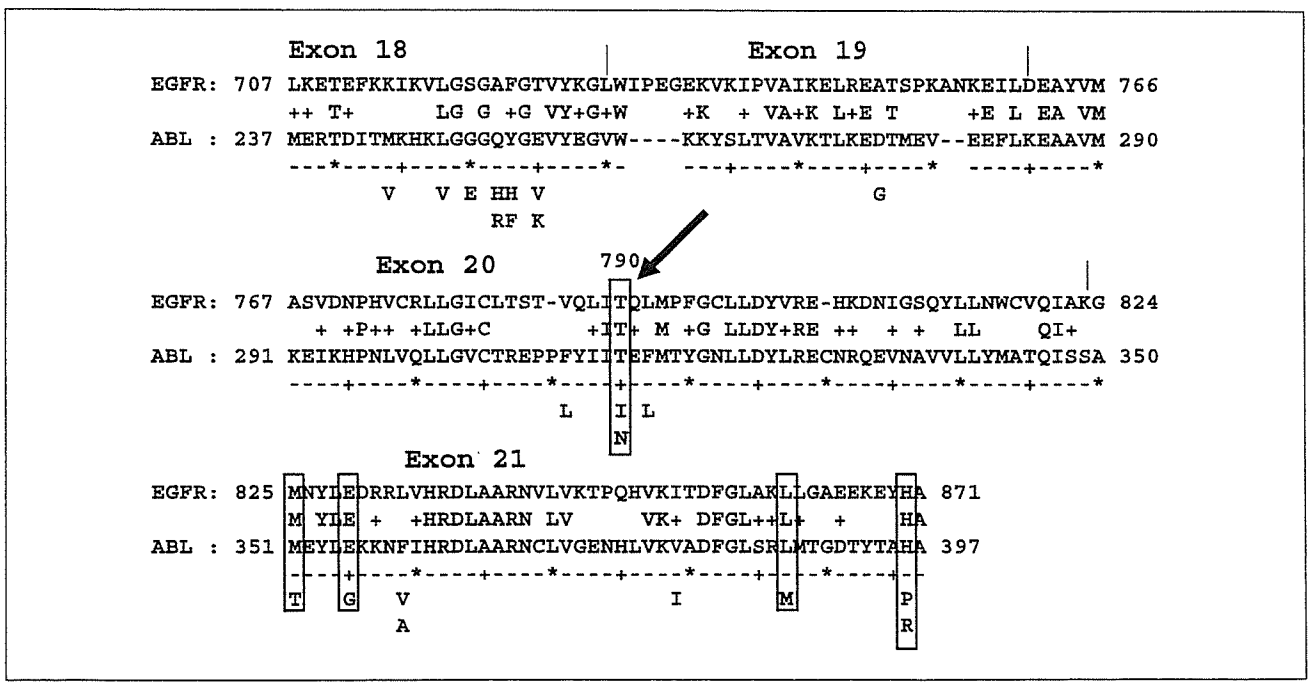

Fig. 1. Structural similarity between EGFR tyrosine kinase and ABL. This amino acid alignment was obtained using basic local alignment search tool, and both sequences were obtained from Genbank (accession nos.: EGFR, NM 005228; ABL, NM 005157). Top line, EGFR; bottom line, ABL. Vertical lines, boundaries between exons. Numbers at each end, codon numbers. Capital letters under the alignment, amino acid changes in ABL that have been reported as acquired imatinib resistance mutations. Square frames, qualifying codons as common codons in EGFR and ABL and as acquired resistance mutant codons in ABL. Arrow, location of codon 790 of EGFR and codon 315 of ABL.

Table 1. Patient characteristics and results of sequencing analysis

Patient no.	Sex	Smoking status	Prior treatment	Gefitinib response	Gefitinib treatment days	Analyzed specimen (state)	Nucleic acid	Activating mutation	T790M mutation	T790M (pre-gefitinib samples)
1	F	NS	S	E	642	LN (Fr)	RNA	Δ2	+	—
2	M	FS	S	E	368	PE (Al)	RNA	Δ3	–	—
3	M	NS	S	E	116	PE (Al)	RNA	Δ1	–	—
4	F	FS	CT	E	599	PE (CL)	RNA	Δ1	–	NA
5	F	NS	CRT	E	921	LU (Al)	RNA	Δ1	+	NA
6	F	NS	None	E	181	PE (Al)	RNA	Δ1	+	—
7	F	FS	CT	E	346	BO (Al)	RNA	Δ1	+	—
8	F	NS	S→CRT	E	623	LN (Al)	RNA	L858R	–	NA
9	M	FS	S	E	915	BR (Fr)	DNA	L858R*	–	—
10	M	FS	S→CRT	NE	69	PE (Al)	DNA	L858R	–	—
11	F	FS	None	E	560	LU (Fr)	RNA	L858R*	+	NA
12	F	NS	CT	E	239	PE (Al)	RNA	Δ1	+	—
13	F	NS	S	E	367	PE (Al)	RNA	L858R	–	—
14	F	NS	CRT	E	235	LN (Al)	RNA	Δ1	+	—

NOTE: Patients 1, 4, and 13 received gefitinib therapy twice. Pretreatment samples from patients 4, 5, 8, and 11 were not available. Patient 10 was defined as not evaluable according to our definition. However, this patient showed a 46% decrease in carcinoembryonic antigen and a marked reduction in pleural effusion on initial treatment before subsequent progression. Therefore, we regarded this case as eligible for this study.

Abbreviations: Al, alcohol fixed; BO, bone metastasis; BR, brain metastasis; CL, cell line; CRT, chemoradiotherapy; CT, chemotherapy; del, deletion; E, effective; F, female; Fr, frozen; FS, former smoker; ins, insertion; LN, lymph node; LU, lung tumor; M, male; NA, not available; NE, not evaluable; NS, never smoker; PE, pleural effusion; RT, radiotherapy; S, surgery; Δ1, del E746-A750; Δ2, del L747-P753 insS; Δ3, del L747-A750 insP.

*Patients 9 and 11 had another point mutation (L833V in patient 9 and R776H in patient 11).

(reverse); and exon 21, 5′-GAGCTTCTTCCCATGATGATCT-3′ (forward) and 5′-GAAAATGCTGGCTGACCTAAAG-3′ (reverse). The PCR conditions were as follows: 1 cycle of 95°C for 11 minutes, 45 cycles of 95°C for 30 seconds, 60°C for 30 seconds, and 72°C for 40 seconds followed by 1 cycle of 72°C for 4 minutes.

RT-PCR for RNA was done with primers 5′-AGCTTGTGGAGCCTCT-TACACC-3′ (forward 1) and 5′-TAAAATTGATTCCAATGCCATCC-3′ (reverse 1) in a one-step RT-PCR setup using Qiagen OneStep RT-PCR kits (Qiagen, Valencia, CA) as described previously (26). RT-PCR conditions were as follows: 1 cycle of 50°C for 30 minutes and 95°C for 15 minutes, 40 cycles of 94°C for 50 seconds, 62°C for 50 seconds, and 72°C for 1 minute followed by 1 cycle of 72°C for 10 minutes.

The PCR products were subcloned using TOPO TA Cloning kits (Invitrogen, Carlsbad, CA) according to the manufacturer's instructions. Each clone was then directly amplified with the same primers using AmpliTaq Gold and cycle sequenced using BigDye Terminator v3.1/1.1 cycle sequencing kits (Applied Biosystems). Subcloning PCR conditions were as follows: 1 cycle of 95°C for 11 minutes, 45 cycles of 95°C for 50 seconds, 62°C for 50 seconds, and 72°C for 70 seconds followed by 1 cycle of 72°C for 4 minutes.

The sequencing reaction products were electrophoresed using an ABI PRISM 3100 system (Applied Biosystems). Both forward and reverse sequences were analyzed with basic local alignment search tool, and the chromatograms were analyzed by manual review.

Cycleave real-time PCR assay. Details of the cycleave real-time PCR assay have been described previously (27). Briefly, genomic DNA was extracted, and exon 20 of the *EGFR* gene was amplified by real-time quantitative PCR assay on a SmartCycler (TaKaRa, Gifu, Japan) using Cycleave PCR Core kits (TaKaRa) with a T790M-specific cycling probe and a wild-type cycling probe. As few as ~5% of tumor cell molecules could be detected in this assay.

Mutational analysis of the KRAS *gene.* A RT-PCR direct sequence assay was done for RNA, and a cycleave real-time PCR assay was done for DNA. *KRAS* primers for PCR were 5′-GGCCTGCTGAAAATGACTGA-3′ (forward 1) and 5′-TCTTGCTAAGTCCTGAGCCTGTT-3′ (reverse 3). Codon 12 cycling probes and a wild-type cycling probe were used in cycleave real-time PCR assays. Direct sequencing was used to identify codon 12, 13, and 61 mutations.

Results

Detection of secondary mutations in the EGFR *gene or the* KRAS *gene.* For the analysis of secondary mutations, we first amplified exons 18 to 21 of the *EGFR* gene, which include the region homologous to the region of the *ABL* gene that contains all the secondary mutations thus far reported to be responsible for imatinib resistance in CML. All 14 tumors with acquired resistance had activating mutations of the *EGFR* gene, either deletion mutations, including codons 746 to 750 (nine patients), or L858R (five patients). Seven tumors had a secondary T790M mutation (Table 1; Fig. 2).

When we sequenced corresponding tumor samples that had been obtained before gefitinib treatment, the same activating mutations were always present, whereas T790M was not detected in any of the available pretreatment samples (samples for patients 4, 5, 8, and 11 were not available).

Mutant bands for T790M in the sample from patient 7 were as strong as the wild-type bands, and the mutant bands were stronger than the wild-type bands in patient 12 (Fig. 2). However, in most cases, the T790M mutant bands were weaker than the wild-type bands.

Two tumors had another point mutation as well as L858R (L833V in patient 9 and R776H in patient 11). L833 corresponds to F359 of ABL, where a secondary mutation to valine or alanine has been reported in CML (Fig. 1; ref. 12). However, the pretreatment sample of patient 9 revealed that L833V existed before treatment in the same ratio as the L858R band. The ratios of L833V and L858R bands were unchanged

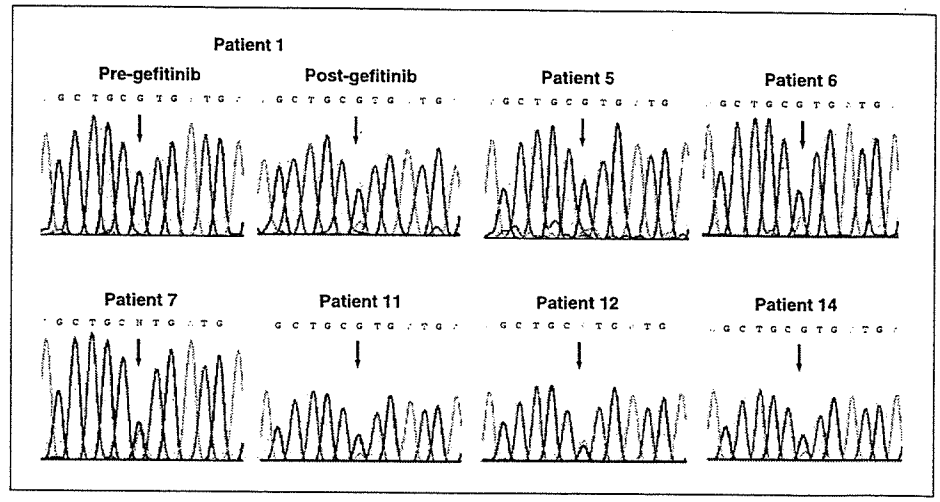

Fig. 2. Sequencing chromatograms for *EGFR* exon 20. Secondary T790M mutations were observed in seven patients. Antisense strands of each chromatogram. Arrows, small peaks of the C→T substitution at nucleotide 2,369 (G→A on the antisense strand), which results in the T790M mutation. This substitution was observed only in posttreatment samples. T790M mutant bands were clearly detected on sequencing chromatograms, except in that of patient 5; in this patient, it was unclear because of artifacts.

before and after gefitinib treatment. Although the T790M mutant band was weaker than the L858R mutant band in patient 11, the intensity of the R776H mutant band was the same as that of the L858R mutant band and both mutations were heterozygous. We considered these point mutations to be primary mutations and not associated with "acquired" resistance.

To increase the sensitivity for the detection of T790M and other possible secondary mutations in the tyrosine kinase domain, each PCR product was subcloned and multiple subclones were amplified and sequenced directly. All the T790M mutations found by sequencing the noncloned PCR products were confirmed by this subcloning method, but no new T790M mutations were detected even when >50 clones were analyzed in samples from patients 2 and 3 (Table 2). Furthermore, we detected no secondary mutations in exons 18 to 21 other than T790M.

The T790M mutations were either present in clones with activating (or sensitizing) mutations or in other clones without activating mutations (Table 2). In three tumors (of patients 1, 5, and 14), T790M was present only in clones with activating mutations, whereas in the remaining four tumors (patients 6, 7, 11, and 12), T790M was present in both clones with and without activating mutations. No tumor carried the T790M mutation only in the wild-type clones. However, four of five T790M mutations were in clones without activating mutations in the tumor of patient 6.

We also looked for mutations in codon 12 (and codons 13 and 61 in RNA samples) in the *KRAS* gene. However, none of the samples from the tumors studied had *KRAS* mutations.

Relationship between T790M mutation and clinical and genetic features. T790M mutations were more frequent in women (women, 7 of 10; men, 0 of 4), who had never smoked (never smoker, 5 of 8; previous smoker, 2 of 6), and with deletion mutations (deletion, 6 of 9; L858R, 1 of 5). There was no difference in the incidence of T790M in the presence or absence of prior chemotherapy (with, 4 of 8; without, 3 of 6; Table 1).

We also compared the duration of gefitinib treatment, which is considered to correlate roughly with the time to progression, with the presence or absence of T790M. However, the median treatment times were almost identical (tumors with T790M, 346 days; tumors without T790M, 368 days; Fig. 3).

Analysis of corresponding tumor tissues before gefitinib treatment in patient 1. To determine whether rare T790M

Table 2. Analysis of acquired mutation using the subcloning method

Patient no.	Activating mutation	Total clones	Activating mutant clones		Wild-type clones	
			With T790M	Without T790M	With T790M	Without T790M
1	Δ2	21	8	10	0	3
2	Δ3	54	0	52	0	2
3	Δ1	51	0	50	0	1
4	Δ1	21	0	13	0	8
5	Δ1	51	3	39	0	9
6	Δ1	47	1	17	4	25
7	Δ1	20	4	5	1	10
8	L858R	18	0	14	0	4
9	L858R	20	0	14	0	6
10	L858R	20	0	5	0	15
11	L858R	21	5	10	1	5
12	Δ1	23	11	9	1	2
13	L858R	21	0	8	0	13
14	Δ1	19	7	8	0	4

mutant clones existed before gefitinib treatment, we analyzed the corresponding tumor tissues of patient 1, whose tissue after gefitinib treatment had a secondary T790M mutation. Tumor tissue was obtained at the time of operation. PCR products from the tumor before gefitinib treatment were subcloned, and 103 subclones were amplified and sequenced directly. However, at this sensitivity, we detected no clone carrying the T790M mutation. Among 103 clones, 92 (89%) had activating deletion mutations, suggesting that the mutant allele was amplified before gefitinib treatment. The incidence of clones with deletional mutations was similar (18 of 21, 85%) in a cervical lymph node taken after gefitinib resistance had developed.

To further explore of possible association of T790M with metastatic spread, we looked for the T790M mutation in hilar and mediastinal lymph nodes with metastases dissected at the time of surgery. Genomic DNA was extracted from lymph nodes from four stations (aortopulmonary, ascending aorta, main bronchus, and intrapulmonary) and analyzed using cycleave real-time PCR. However, we detected no T790M mutations.

Analysis of tumors for T790M before gefitinib treatment in 52 patients who were treated with gefitinib. The possible presence of T790M at a low frequency in tumors before gefitinib treatment might affect the tumor response or the time to progression after gefitinib treatment. In a previous study, we sequenced exons 18 to 23 of the *EGFR* genes of 52 patients who had been treated with gefitinib for recurrent disease after they had undergone pulmonary resection. None of them had the T790M mutation. Here, we used a cycleave real-time PCR assay, which is more accurate analysis than normal sequence, to investigate whether rare T790M mutant cells were present. However, we detected no T790M mutations in these 52 tumors.

Discussion

We studied 14 tumors with acquired resistance to gefitinib for secondary mutations occurring in the *EGFR* tyrosine kinase domain. Seven of the 14 tumors had a secondary T790M mutation, an incidence consistent with those of previous studies (9, 10). Whereas clones with activating mutations (deletion or L858R) might well have been eliminated by selection pressure during gefitinib treatment, those clones were always present in tumors that developed acquired resistance. In most cases, clones with the T790M mutation were not predominant.

The T790M mutations occur more frequently in women who had never smoked and who had a deletion-type mutation. Time to progression did not differ between tumors that acquired secondary T790M mutations and those that did not. However, these tendencies require careful interpretation because of the number of samples was small.

In a previous report, Kobayashi et al. (9) showed that the T790M mutation was observed with either wild-type or deletion mutation sequences, whereas Pao et al. (10) showed that both the T790M and L858R mutations were in the same allele. Our data showed that three samples had the T790M mutation only in the clones with activating mutation and four samples had the T790M mutation in the clones with and without activating mutation, whereas the most of T790M mutation was in the clones with activating mutation, except for the samples of patient 6. It is possible that this could result from a PCR error or DNA repair error at the subcloning step. Bell et al. (28) have reported that artifactual PCR-generated allelic separation occurred with probability of ~30% in their analysis. However, it is also possible that the T790M mutation occurs in both alleles or that tumor heterogeneity exists.

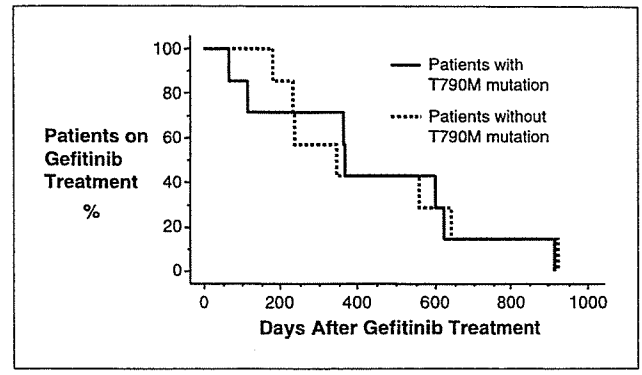

Fig. 3. Effect of the T790M mutation on the length of gefitinib treatment. The length of gefitinib treatment was considered to be roughly related to time to progression. Median treatment times were almost identical in both the presence and absence of the T790M mutation.

In CML, 20 to 30 mutations in the *ABL* gene are responsible for acquired resistance to imatinib. Many types of mutations have been detected, and there are four distinguishable clusters (P-loop, T315, M351, and A-loop; ref. 29). Furthermore, secondary mutations in the ABL kinase domain are found in 50% to 90% of patients (29), many more than in patients with non-small cell lung cancer. We detected no novel mutations in the *EGFR* gene other than T790M. Two tumors had another point mutations together with L858R, L833V, or R776H. We considered these point mutations to be primary mutations and not associated with acquired resistance. However, these conclusions were based only on sequencing and subcloning methods, and we have no evidence of the functional effects of these mutations. There may be differences in the mechanisms of acquired resistance between non-small cell lung cancer and CML.

We previously reported that, in a series of 397 unselected patients with non-small cell lung cancer who had undergone surgery, 2 female patients with no history of smoking had L858R plus T790M mutations (21). Because these patients were not treated with gefitinib, T790M might well have conferred a growth advantage. These tumors were aggressive and later developed recurrent disease. One was treated with gefitinib but was refractory to treatment. A similar case was reported by another group (22). Inspired by this observation and because the secondary mutations related to imatinib resistance in CML were detected at low frequencies (0.01-0.9%) in pretreatment samples (16, 20), we attempted to detect minor clones with the T790M mutation in samples before gefitinib treatment. However, we could not detect the T790M mutation by assays that can detect mutant cells if there is about 1% to 5% at least. It remains unclear whether a more sensitive method would have detected rare clones with the T790M mutation in our samples.

Why tumors with T790M mutant cells acquire resistance to gefitinib despite the fact that mutant band for the T790M

mutation was almost always weaker than wild-type band remains unclear. It is possible that cells with the T790M mutation preexist at a very low frequency and gradually increase during gefitinib treatment by clonal selection as in cases of CML (16). It is also possible that amplification of the activating mutant allele occurs in resistant tumors and parts of them have the T790M mutation. Another possibility is that multiple coexisting mechanisms, including the T790M mutation, cause acquired resistance cooperatively or independently. A recent study suggested that increased internalization of ligand-bound EGFR is one of the mechanisms underlying acquired gefitinib resistance (30). It is also likely that *EGFR* gene amplification (31) by alteration of downstream molecules, such as AKT (32), might play a role in the acquisition of resistance to gefitinib.

Mutations in *KRAS* are associated with a lack of sensitivity to gefitinib and erlotinib (23). We looked for *KRAS* mutations because of the possibility that acquired *KRAS* mutations are associated with acquired resistance. There were no *KRAS* mutations in any tumor. The same finding has been reported in a previous study (10), suggesting that *KRAS* mutations are not associated with acquired resistance.

In conclusion, half of tumors with acquired resistance to gefitinib had secondary T790M mutations. No novel mutations in the *EGFR* gene were present in contrast to CML.

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