

endothelial cells and myeloma cells. On the basis of these findings, Am80 could be a promising clinical agent against MM.

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**Supplementary Information**

Supplementary Information accompanies the paper on the *Leukemia* website (<http://www.nature.com/leu>).

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SPOTLIGHT

厚生労働科学研究研究費補助金  
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新しい薬物療法の導入と  
その最適化に関する研究

平成16年度～18年度 総合研究報告書

主任研究者 西條 長宏

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## Cooperative cell-growth inhibition by combination treatment with ZD1839 (Iressa) and trastuzumab (Herceptin) in non-small-cell lung cancer<sup>☆</sup>

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### Abstract

An important recent advance in anticancer therapy was the development of molecular-targeting drugs, such as the epidermal growth-factor receptor (EGFR)-targeting drug ZD1839 (Iressa) and the HER2-targeting anti-HER2 monoclonal antibody trastuzumab (Herceptin). ZD1839 and trastuzumab are reported to improve the therapeutic efficacy of treatment for non-small-cell lung cancer (NSCLC) and breast cancer, respectively, although the effectiveness of either drug alone is not satisfactory. NSCLC cells often express both EGFR and HER2. We therefore investigated whether a combination of ZD1839 and trastuzumab had an additive or synergistic antitumor effect. In culture ZD1839 inhibited the growth of four NSCLC cell lines (A549, NCI-H23, NCI-H727, and NCI-H661) that expressed various levels of EGFR, HER2, HER3, and HER4. A significant cytotoxic effect was observed when ZD1839 was combined with trastuzumab in A549 cells. However, this combination had no apparent effect in NCI-H23 cells. Significant G<sub>1</sub>-phase arrest, increased p27 expression and decreased cyclin E or D1 levels were detected in A549 cells treated with ZD1839 and trastuzumab. No significant effects were detected in NCI-H23 cells examined. The combination treatment significantly inhibited the phosphorylation of EGFR, HER2, retinoblastoma, extracellular signal-regulated kinase-1/2, and protein kinase B/Akt in A549 cells, but not in NCI-H23 cells. Our results indicated that increased levels of constitutive EGFR/HER2 heterodimers were formed in A549 cells in the presence of ZD1839, whereas no heterodimer formation was detected in NCI-H23 cells. We therefore suggest that combination treatment with ZD1839 and trastuzumab might have improved therapeutic efficacy against NSCLC cells expressing both EGFR and HER2. © 2005 Elsevier Ireland Ltd. All rights reserved.

**Keywords:** Heterodimer formation; Non-small-cell lung cancer; ZD1839; Trastuzumab

<sup>☆</sup> Iressa is a trademark of the AstraZeneca group of companies.

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## 1. Introduction

Epidermal growth-factor receptor (EGFR) is the prototypic member of the EGFR family of receptors, which also contains HER2/neu (ErbB2), HER3 (ErbB3), and HER4 (ErbB4) [1–4]. This family is involved in regulating signaling pathways that are implicated in the proliferation, invasion, migration, survival, adhesion, and differentiation of cancer cells [3,5]. EGFR and/or HER2 are expressed at high levels in many human malignancies of epithelial origin, including lung, breast, head and neck, and bladder cancer [6,7]. They exist as monomeric receptors spanning the plasma membrane and are activated by dimerization after binding to ligands, such as EGF/TGF $\alpha$ , heparin-binding EGF, epiregulin, betacellulin, and amphiregulin. The homodimerization and/or the heterodimerization of EGFR with other family members induces the ligand-specific activation of a number of intracellular signal-transduction cascades, including phospholipase C $\gamma$ , phosphatidylinositol-3-kinase (PI3K)-Akt, small G-proteins, Ras, Ras GTPase-activating protein, extracellular signal-regulated kinase (ERK)-1/2, Src family kinases, and signal transducer and activator of transcription (STAT) [8]. So far, no ligand has been identified for HER2, which seems to be the preferred heterodimeric partner for all other members of the EGFR family [9]. In fact, heterodimers containing HER2 have been reported to show greater affinity for ligands [10], to generate more prolonged signals and to enhance the biological effects of EGFR ligands, such as EGF [9,11]. High expression levels of EGFR and/or HER2 are associated with disease progression and poor prognosis in patients with various malignant cancers [4,5,12–14]. EGFR and HER2 proteins are therefore attractive targets for novel anticancer therapies [3,15].

The EGFR tyrosine-kinase inhibitor ZD1839 (Iressa) is a small-molecule anticancer agent and a synthetic anilinoquinazoline [16]. It is orally active and blocks signal-transduction pathways implicated in the proliferation and survival of cancer cells, as well as other host-dependent processes that promote cancer growth [3,17–19]. It shows antiproliferative activity in various different human cancer cell in vitro [20]. ZD1839-induced tumor-growth inhibition in vivo is

potentiated by combination with a range of cytotoxic anticancer agents [20–23]. Clinically significant antitumor activity and symptom relief were reported in two phase II trials (known as IDEAL 1 and 2) of ZD1839 monotherapy in patients with advanced non-small-cell lung cancer (NSCLC), all of whom had previously received treatment with platinum-based chemotherapy [24–27]. ZD1839 has now been approved in several countries—including Japan, Australia, and United States—for use in patients with inoperable or recurrent NSCLC.

The humanized anti-HER2 monoclonal antibody trastuzumab (Herceptin) is an anticancer agent that was developed against HER2. Trastuzumab activity is dependent on HER2-expression levels [28–31]. Clinical trials have clearly demonstrated that trastuzumab has significant activity against HER2-positive metastatic breast cancer [32,33].

EGFR and HER2 are overexpressed in 40–80% and 25–30%, respectively, of NSCLC patients [26, 34]. A recent report suggested that they might act in concert to sustain the autonomous proliferation of breast cancer cells [35–38]. Moreover, breast tumors that co-express EGFR and HER2 have a relatively poor prognosis compared with tumors that express only one of these receptors [39–41]. Improved therapeutic effects might therefore be obtained against NSCLC cells expressing both EGFR and HER2 through combined treatment with ZD1839 and trastuzumab.

The present study aimed to determine whether a combination of ZD1839 and trastuzumab showed significant cytotoxicity against NSCLC cells. The effects of this combination treatment are discussed with respect to cell growth, cell signaling and the cell cycle.

## 2. Materials and methods

### 2.1. Materials

ZD1839 was provided by AstraZeneca (Macclesfield, UK), trastuzumab was purchased from Chugai Pharmaceutical Company (Tokyo, Japan).

## 2.2. Cell lines and cell culture

The human NSCLC cell lines A549, NCI-H23, NCI-H727, and NCI-H661, and the human vulval squamous carcinoma cell line A431, were purchased from the American Type Culture Collection (Manassas, VA). Dulbecco's modified Eagle's medium (DMEM) and Roswell Park Memorial Institute (RPMI) 1640 were used as culture media, supplemented with 10% fetal bovine serum (FBS), 100 IU/ml penicillin, and 100 µg/ml streptomycin. A549 and A431 were cultured in DMEM, whilst NCI-H23, NCI-H727, and NCI-H661 were cultured in RPMI 1640. All cells were maintained under standard cell-culture conditions at 37 °C and 5% CO<sub>2</sub> in a humid environment.

## 2.3. Cell viability assay

For cell viability assays, WST-8 (2-(2-methoxy-4-nitrophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium, monosodium salt) assays (Dojindo, Kumamoto, Japan) were used in this study. Briefly, cells were seeded at a density of  $3\text{--}5 \times 10^3$  cell/well in 96-well plates. After 24 h, various concentrations of ZD1839 and/or trastuzumab were added, as indicated, and the cells were incubated for a further 96 h. Subsequently, the cells were washed with phosphate-buffered saline (PBS) and 10% WST-8 solution for 2 h at 37 °C. Absorbance was measured at 450 nm using a microplate reader model 550 (Bio-Rad Laboratories, Hercules, CA). Absorbance values were expressed as the percentage of untreated controls, and the concentration of ZD1839 and/or trastuzumab resulting in 50% growth inhibition (IC<sub>50</sub>) was calculated.

## 2.4. Colony-formation assay

Cells survival were determined by plating  $1 \times 10^3$  cells in 35-mm dishes. After 24 h, the medium was replaced with medium containing ZD1839 and/or trastuzumab at the concentrations indicated. The cells were incubated in a humidified chamber at 37 °C for 10 days. They were then washed with PBS and fixed with 100% methanol. Finally, the cells were stained with 10% crystal violet in water for at least 2 h at room temperature, and the number of colonies present was counted. Clonogenic survival was expressed as

the percentage of colony-forming units present in the treated cultures relative to the untreated controls.

## 2.5. Cell-cycle analysis

Cells were seeded at a density of  $1 \times 10^5$  cells/well in six-well plates. After 24 h, the medium was replaced with medium containing ZD 1839 and/or trastuzumab at concentrations of 1 and 1 µM, respectively, as indicated. Cells were incubated in a humidified chamber at 37 °C for 48 h, and then fixed with 70% ethanol, stained with 1 mg/ml propidium iodide (PI), and examined for changes in the cell-cycle distribution using flow cytometry (FACScan: Becton Dickinson).

## 2.6. Western blot analysis

Cells were cultured in six-well plates until subconfluence, and then incubated with ZD1839 and/or trastuzumab at the indicated concentrations for 3 h at 37 °C. Cells were washed with PBS and lysed in buffer containing 50 mM Tris-HCl, pH 6.8, 10% glycerol, 2% sodium dodecyl sulfate (SDS), and 5% β-mercaptoethanol, and then lysed by sonication. After the removal of cell debris by centrifugation, protein concentrations were determined using the Bio-Rad protein assay (Bio-Rad Laboratories). Cell lysates were boiled for 5 min at 100 °C, and equal amounts of protein (10 µg) were subjected to 7.5–15% SDS-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to nitrocellulose membranes (Millipore, Bedford, MA). Membranes were blocked with PBS containing 5% milk and 0.1% Tween 20, and then incubated with the following primary antibodies: anti-EGFR (1:2500; BD Transduction Laboratories, Lexington, KY); anti-HER2 (1:50; Zymed Laboratories, San Francisco, CA); anti-HER3 and anti-HER4 (1:500; Neomarkers, Fremont, CA); anti-phospho EGFR (1:2000; Upstate Biotechnology, Lake Placid, NY); anti-phospho HER2, anti-ERK-1/2, anti-phospho ERK-1/2, anti-Akt, anti-phospho Akt, anti-retinoblastoma (Rb), and anti-phospho Rb (1:1000; Cell Signaling Technology, Beverly, MA); and anti-p27, anti-cyclin E and anti-cyclin D1 (1:1000; Santa Cruz Biotechnology, Santa Cruz, CA). After washing three times with Tween 20-PBS (containing 0.1% Tween 20), membranes were

incubated with secondary antibody conjugated to anti-mouse or anti-rabbit horseradish peroxidase (HRP) (Bio-Rad Laboratories). The protein conducts were visualized using the enhanced chemiluminescence Western-blotting detection system (Amersham Pharmacia Biotech). The relative expression was calculated using the NIH Image version 1.62) program ([rsb.info.nih.gov/nih-image/download.html](http://rsb.info.nih.gov/nih-image/download.html)).

## 2.7. Immunoprecipitation and immunoblot analysis

Cells were cultured in 100-mm dishes until subconfluence, and then incubated with ZD1839 at the concentrations indicated for 3 h at 37 °C. Cells were washed with PBS and lysed in NP-40 buffer (50 mM Tris, 1 mM EDTA, 80 mM NaCl, 0.3% NP-40, and 10% glycerol containing 1 mM PMSF,

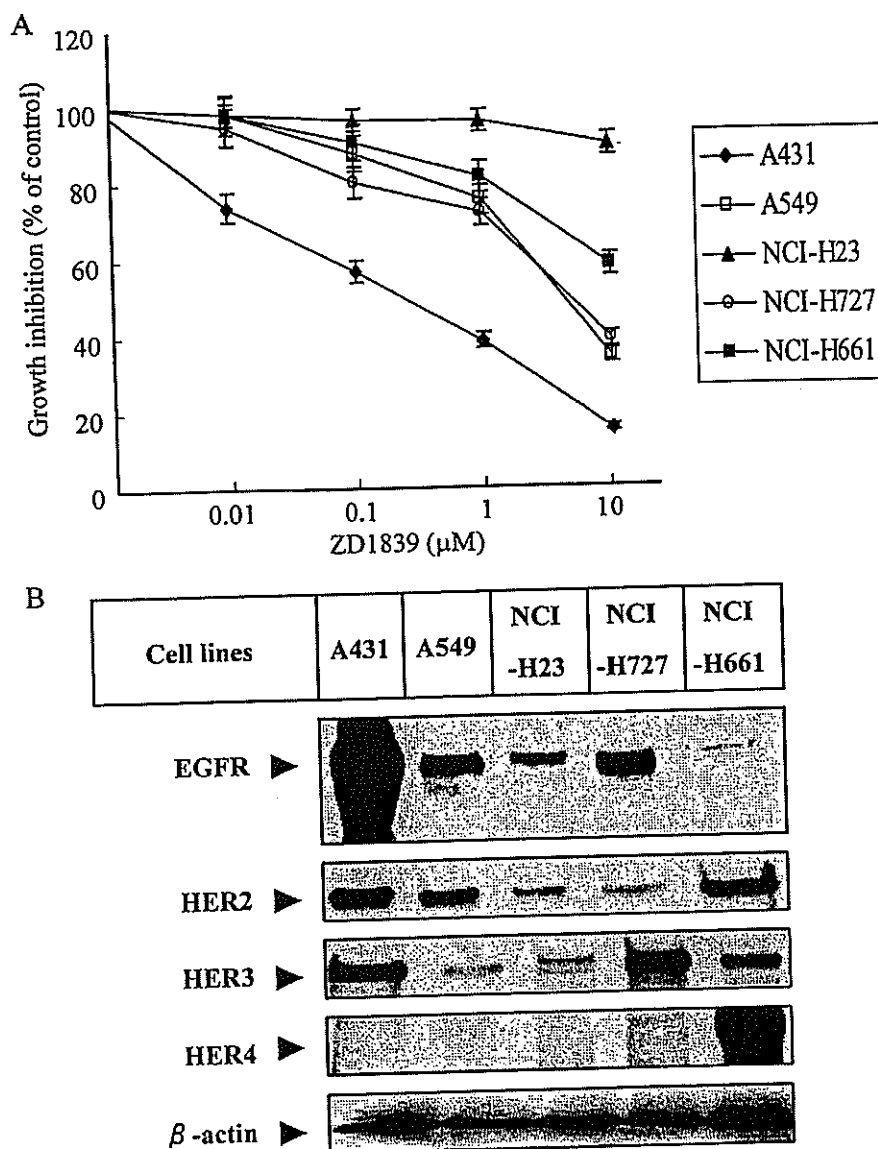


Fig. 1. Effects of ZD1839 on the growth of NSCLC cells and variation in the expression of EGFR family proteins. (A) Cell viability assays were performed as described in Section 2, with various concentrations of ZD1839. Cells ( $3-5 \times 10^3$ /well) were seeded into 96-well plates. After 24 h the cells were incubated with ZD1839 for 96 h. The number of viable cells in each well was estimated using WST-8 assays. Each data point represents the mean  $\pm$  SD of triplicate experiments, expressed as a percentage of the cell growth relative to untreated controls. (B) The expression levels of EGFR family proteins were measured using Western blot analysis. Cells were cultured in six-well plates until subconfluence, and then cells were harvested. Equal amounts of protein were loaded onto a 7.5% SDS-PAGE gel and immunoblotted with the antibodies indicated, as detailed in Section 2. EGFR, HER2, HER3, and HER4 protein levels were determined using anti-EGFR, anti-HER2, anti-HER3, and anti-HER4 antibodies. Similar results were obtained in repeated experiments.



10 µg/ml aprotinin, 10 µg/ml leupeptin, and 1 mM sodium vanadate). For immunoprecipitation, 2 mg of total protein from cell lysates were incubated with appropriate amounts of primary antibody at 4 °C for 3 h. Protein A/G PLUS agarose (15 µl, Santa Cruz Biotechnology) was then added for a further 2 h at 4 °C with rocking. Immunoprecipitates were pelleted by centrifugation and washed three times with lysis buffer. Captured proteins were then eluted by boiling the beads in SDS sample buffer for 5 min at 100 °C. Samples were subjected to 7.5% SDS-PAGE electrophoresis and transferred to nitrocellulose membranes. Immunoblot analysis was performed as described above.

### 2.8. Statistical analysis

Statistical analysis was performed using the Student's *t*-test. A probability (*P*) level of <0.05 was considered statistically significant.

## 3. Results

### 3.1. Differential effects of ZD1839 on NSCLC cell growth and EGFR family protein expression

We first examined the effects of ZD1839 on cell proliferation in four human NSCLC cell lines—A549, NCI-H23, NCI-H727, and NCI-H661—compared with the human vulval squamous carcinoma cell line A431, which is known to be highly susceptible to growth inhibition by ZD1839 [42]. ZD1839 induced dose-dependent growth inhibition in the NSCLC cell

lines, and significantly inhibited the proliferation of A431 cells (Fig. 1(A)). Among the NSCLC cell lines, A549 was the most sensitive to ZD1839, whereas NCI-H23 showed the least effects.

The four NSCLC cell lines examined expressed varying amounts of EGFR, HER2, HER3, and HER4 proteins as summarized in Table 1. Consistent with previous findings [42], A431 cells expressed high levels of EGFR (Fig. 1(B)). A549, NCI-H23, and NCI-H727 cells expressed comparable levels of EGFR, whereas little, if any, EGFR was expressed in NCI-H661 cells. Comparable levels of HER2 expression were detected in A549 and NCI-H661 cells, but the levels were much lower in NCI-H23 and NCI-H727 cells. NCI-H727 and NCI-H661 cells showed greater levels of HER3 expression than A549 and NCI-H23 cells. By contrast, only NCI-H661 cells expressed high levels of HER4.

### 3.2. Effects of combination treatment with ZD1839 and trastuzumab on NSCLC cell growth

In the next experiment, we evaluated the effects of various doses of ZD1839 on the growth of NSCLC cells in the presence or absence of trastuzumab at doses of 0.01, 0.1, and 1 µM. A combination of ZD1839 and trastuzumab induced significant growth inhibition in A549 cells (Fig. 2(A)). This combination appeared to have a slight additive effects, or no effects at all, on the growth of NCI-H23, NCI-H727, and NCI-H661 cells (Fig. 2(B), and data not shown). NCI-H23 cells in particular showed no significant growth inhibition.

Table 1  
Comparison of protein expression levels of EGFR family and ZD1839-induced growth inhibition

Cell lines	EGFR family protein levels (%) <sup>a</sup>				Growth inhibition <sup>b</sup> IC <sub>50</sub> (µM)
	EGFR	HER2	HER3	HER4	
A431	270	108	89	<10	0.7
A549	92	69	<10	<10	5.4
NCI-H23	41	15	<10	<10	>10
NCI-H727	100	<10	100	<10	7.6
NCI-H661	<10	100	40	100	>10

<sup>a</sup> Protein expression levels were examined by Western blotting. Further, the intensity of specific staining for each cell lines were quantitated using a NIH Image, according to the manufacture's instructions. Relative EGFR family levels of five cell lines are presented when normalized by EGFR level in NCI-H727, HER2 level in NCI-H661, HER3 level in NCI-H727, HER4 level in NCI-H661.

<sup>b</sup> ZD1839 concentrations (µM) responsible for 50% growth inhibition in WST-8 assay at 96 h, calculated with data of triplicate experiments.

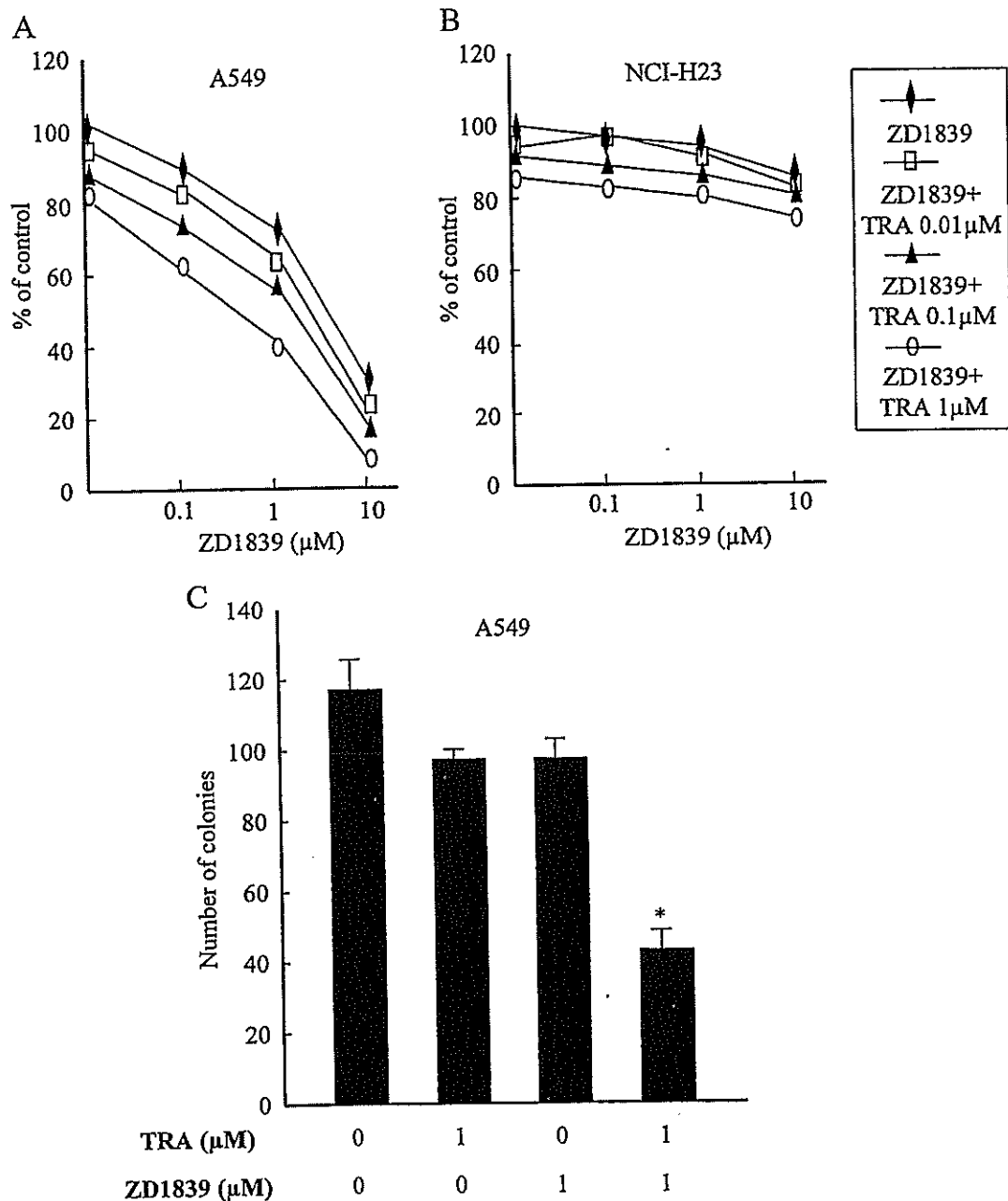


Fig. 2. Effects of combination treatment with ZD1839 and trastuzumab (TRA) on NSCLC cell proliferation and cell-colony survival. (A) A549 cells; (B) NCI-H23 cells. Cells ( $3\text{--}5 \times 10^3$ /well) were seeded into 96-well plates and incubated the following day with the concentrations of ZD1839 and/or TRA indicated. After 96 h of treatment, the number of viable cells in each well was estimated using WST-8 assays, as described in Section 2. Similar results were obtained in triplicate experiments. The coefficient of variation was always below 10%. For reasons of clarity, the error bars are not shown. (C) A549 cells ( $1 \times 10^3$ /dish) were seeded in colony-formation assays with the concentrations of ZD1839 and/or TRA indicated, as described in Section 2. Each column represents the mean number of colonies with a diameter  $> 50 \mu\text{m}$  in three dishes. The bars show the mean  $\pm$  SD of triplicate experiments. An asterisk denotes a statistically significant difference ( $P < 0.05$ ) compared with the untreated controls or cells treated with either drug alone.

We further evaluated the effects in A549 cells using a colony-formation assay. Combination treatment with ZD1839 at  $1 \mu\text{M}$  and trastuzumab at  $1 \mu\text{M}$  induced significant growth inhibition in A549 cells compared with untreated controls or cells treated with

either drug on its own (Fig. 2(C)). These data clearly show that a combination of ZD1839 and trastuzumab produces significant growth inhibition in A549 cells. These effects were not observed in the other cell line examined (data not shown).

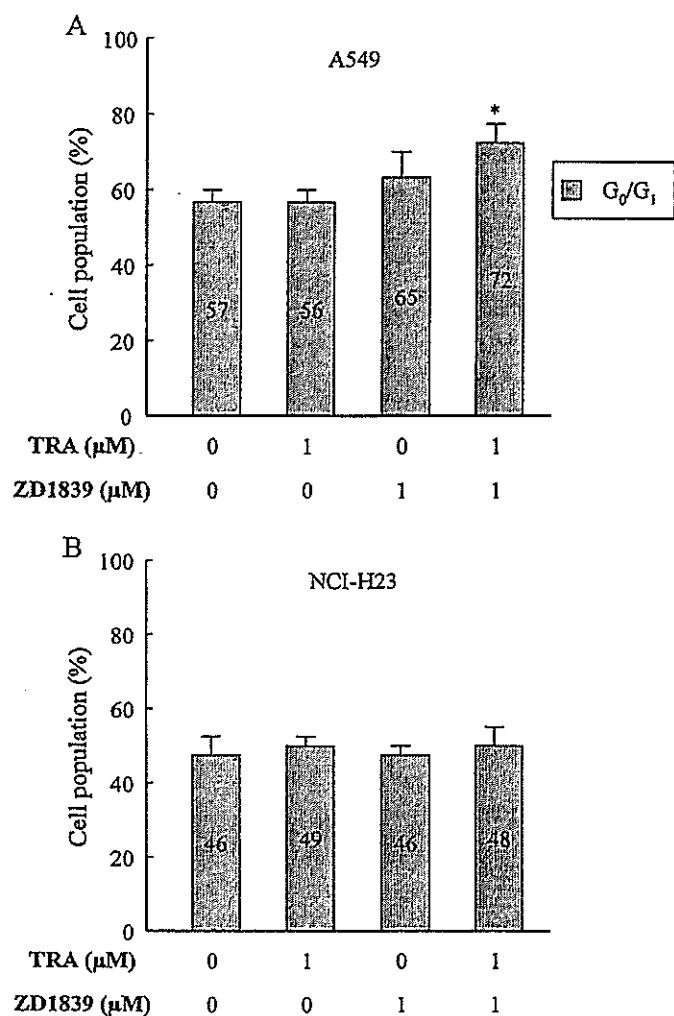


Fig. 3. Effects of combination treatment with ZD1839 and trastuzumab (TRA) on NSCLC cell-cycle progression. (A) A549 cells; (B) NCI-H23 cells. Cells were treated with ZD1839 at 1  $\mu\text{M}$  and/or TRA at 1  $\mu\text{M}$  for 48 h. They were then fixed with 70% ethanol, stained with PI, and changes in the cell-cycle distribution were examined using flow-cytometric analysis, as described in Section 2. The numbers of cells percentage in the G<sub>0</sub>/G<sub>1</sub> phase are shown in the bar graph. The data represent the mean values and the bars indicated the SD of triplicate experiments. An asterisk denotes a statistically significant difference ( $P < 0.05$ ) compared with the untreated controls or cells treated with either drug alone. Similar results were obtained in repeated experiments.

### 3.3. Effects of combination treatment with ZD1839 and trastuzumab on cell-cycle progression and expression of cell-cycle-related proteins

We then examined the cell growth inhibitory effects of a combination of ZD1839 and trastuzumab on cell-cycle progression using FACScan. In A549 cells, a combination of ZD1839 at 1  $\mu\text{M}$  and trastuzumab at 1  $\mu\text{M}$  produced a significant increase

in the numbers of cells in the G<sub>0</sub>/G<sub>1</sub> phase compared with untreated control cells or those treated with either drug on its own (Fig. 3(A)). This was accompanied by a corresponding decrease in the number of A549 cells in the S and G<sub>2</sub>/M phases. Combination treatment therefore induced G<sub>1</sub> arrest in A549 cells. By contrast, no significant changes were observed in cell-cycle distribution in NCI-H23, NCI-H727, and NCI-H661 cells after combination treatment (Fig. 3(B), and data not shown). We next examined the expression of cell-cycle regulators, such as p27 and cyclin E or D1, which are essential for G<sub>1</sub>/S phase progression, in NSCLC cells. Combination treatment increased the expression of cyclin-dependent kinase inhibitor p27, but decreased the expression of cyclin E or D1 (Fig. 4). The phosphorylation of Rb (Ser-780) protein was also inhibited compared with the untreated controls or cells treated with either drug alone. By contrast, the combination treatment had no significant effects on the expression of p27, cyclin E or D1, and Rb phosphorylation in NCI-H23 cells.

### 3.4. EGFR and HER2-induced cell-growth signaling in NSCLC cells after combination treatment with ZD1839 and trastuzumab

HER2 as well as EGFR activates a number of cytoplasmic signal transduction pathways such as the PI3K-Akt and Ras-MAP kinase. We then evaluated the effects of combination of ZD1839 and trastuzumab on EGFR, HER2, ERK-1/2, and Akt (ser-473) phosphorylation in A549 and NCI-H23 cells under basal growth conditions in the presence of 10% serum. Combination treatment with ZD1839 at 1  $\mu\text{M}$  and trastuzumab at 1  $\mu\text{M}$  produced significant inhibition of phosphorylation of EGFR and HER2 in A549 cells, compared with treatment with either drug alone (Fig. 5). Moreover, the combination treatment also significantly inhibited the phosphorylation of ERK-1/2 and Akt in A549 cells. No effects were detected in NCI-H23 cells.

### 3.5. Formation of constitutive EGFR/HER2 heterodimers in NSCLC cells

A combination of ZD1839 and trastuzumab was found to block EGFR and HER2 signaling in A549

Cell lines	A549				NCI-H23			
TRA (1 $\mu$ M)	-	+	-	+	-	+	-	+
ZD1839 (1 $\mu$ M)	-	-	+	+	-	-	+	+

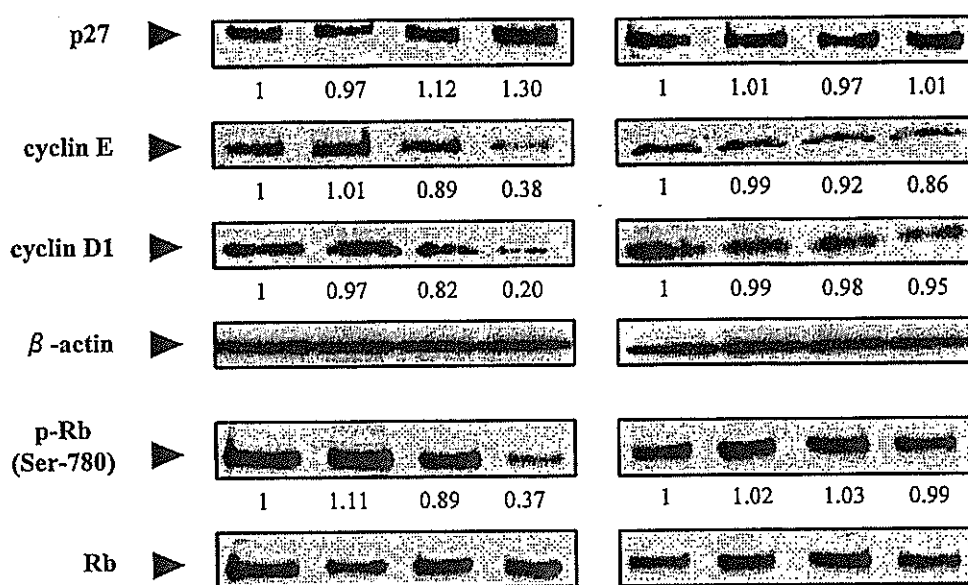


Fig. 4. Effects of combination treatment with ZD1839 and trastuzumab (TRA) on the expression of cell-cycle related proteins. The expression levels of p27, cyclin E or D1, and Rb were measured in drug-sensitive A549 and drug-resistant NCI-H23 cells. Cells were treated for 48 h with ZD1839 at 1  $\mu$ M and/or TRA at 1  $\mu$ M. Equal amounts of protein were separated by SDS-PAGE and subjected to immunoblot analysis with the antibodies indicated, as detailed in Section 2. p27 and cyclin E or D1 levels were determined using anti-p27 and anti-cyclin E or D1 antibodies, respectively. Rb activity was determined using an anti-phospho Rb antibody, and Rb protein levels were evaluated using anti-Rb antibody. Similar results were obtained in repeated experiments. Values indicate the density of the bands.

cells but not in NCI-H23 cells. The EGFR/HER2 heterodimer is frequently detected in cancer cell lines in culture [43,44] and is thought to have an important role in cell signaling. We therefore compared the formation of EGFR/HER2 heterodimers in A549 and NCI-H23 cells. Immunoprecipitation and immunoblot analysis revealed the formation of constitutive EGFR/HER2 heterodimers in A549 cells (Fig. 6), which showed a twofold increase in the presence of ZD1839. By contrast, NCI-H23 cells showed little, if any, formation of constitutive EGFR/HER2 heterodimers.

#### 4. Discussion

EGFR and HER2 are important molecular targets for anticancer drugs. ZD1839 (Iressa), which

selectively inhibits EGFR tyrosine kinase, is effective in patients with advanced NSCLC. The humanized anti-HER2 antibody trastuzumab (Herceptin) is effective against metastatic breast cancer [32,33]. Ye et al. [45] reported that a combination of trastuzumab and the anti-EGFR monoclonal antibody C225 had an additive antiproliferative effect in ovarian cancer cells. Moreover, several studies have demonstrated synergistic growth inhibition by trastuzumab and ZD1839 in breast cancer cells [35–38]. In the present study, we evaluated the potential cooperative antiproliferative effects of ZD1839 and trastuzumab on NSCLC cells in culture. These drugs showed significant cytotoxic effects on the proliferation of ZD1839-sensitive NSCLC cells in both WST-8 and colony-formation assays. By contrast, the combination treatment had either a slight additive effects or