treatment of ED SCLC, and IP has become one of the standard regimens for ED SCLC in Japan. Thereafter, several clinical trials of CPT-11-containing regimens for patients with limited disease (LD), ED, and relapsed SCLC have been conducted by Japanese clinical study groups (Masuda *et al*, 1998; Mori *et al*, 2002; Sekine *et al*, 2002).

Consequently, a phase I trial of CPT-11 combined with weekly CDDP (25 mg m^{-2}) and biweekly ETOP (60 mg m^{-2}) (PEI regimen) was conducted, and the recommended dose of 90 mg m^{-2} of CPT-11 was repeated every 2 weeks (JCOG 9507) (Sekine *et al*, 2003). This regimen showed promising antitumour activity in patients with untreated ED SCLC (response rate, 91%, 1-year survival rate 46%). Moreover, since the drug dose and treatment schedule can be easily modified in a weekly regimen, this protocol is considered to be suitable for relapsed SCLC patients, who usually present with severe haematological toxicities during salvage chemotherapy because of poor bone marrow reserve (Masuda *et al*, 1990; Faylona *et al*, 1995).

Based on these results, we conducted two phase II trials to evaluate the efficacy and toxicities of PEI in patients with sensitive and refractory relapsed SCLC, separately. In this paper, the final results for the sensitive relapsed SCLC group are reported.

PATIENTS AND METHODS

Patient selection

Patients with histologically or cytologically confirmed SCLC who respond to first-line chemotherapy or chemoradiotherapy and relapsed more than 8 weeks after the completion of first-line treatment were candidates for the present study. Additional eligibility criteria were as follows: (1) age of 75 years or younger; (2) performance status of 0–2 on the Eastern Cooperative Oncology Group scale; (3) measurable disease; (4) adequate organ function as documented by a $4.0 \times 10^9 l^{-1} \leqslant$ WBC count $\leqslant 12.0 \times 10^9 l^{-1}$, haemoglobin level of $\geqslant 9.0$ g dl^{-1}, platelet count of $\geqslant 100 \times 10^9 l^{-1}$, total serum bilirubin level of $\leqslant 1.5$ mg dl^{-1}, a hepatic transaminase level of $\leqslant 2$ times the institutional upper limit of normal, a serum creatinine level of $\leqslant 1.5$ mg dl^{-1}; and (5) written informed consent. Patients were not eligible for the study if they had experienced any of the following events: (1) massive pleural effusion requiring drainage; (2) prior radiotherapy with an irradiated area larger than one-third of the bone marrow volume; (3) active infection; (4) contraindications for the use of CPT-11, including diarrhoea, ileus, interstitial pulmonary fibrosis, massive ascites, or hypersensitive reaction to CPT-11; (5) serious concomitant medical illness, including severe heart disease, uncontrollable diabetes mellitus or hypertension; or (7) pregnancy or lactation. This study was approved by the institutional review board at each participating institution.

Treatment schedule

Figure 1 shows the treatment schema of the PEI regimen. CDDP (25 mg m^{-2}) was administered intravenously (i.v.) over 60 min on day 1 and at 1-week intervals for 9 weeks; ETOP (60 mg m^{-2}) was administered i.v. over 60 min on days 1–3 of weeks 1, 3, 5, 7, and 9; and CPT-11 (90 mg m^{-2}) was administered i.v. over 90 min on day 1 on weeks 2, 4, 6, and 8. Hydration (2000 ml) and granisetron (40 μg kg^{-1}) were given on day 1. After day 1 on week 2, granulocyte colony-stimulating factor (G-CSF) (50 μg m^{-2}) was administered routinely according to JCOG 9507 on days when the cytotoxic drugs were not given, unless the WBC count exceeded $10.0 \times 10^9 l^{-1}$. Patients were expected to complete at least six cycles of this regimen; if the toxicities were acceptable and the tumour responded to the treatment, a maximum of nine cycles of chemotherapy were performed.

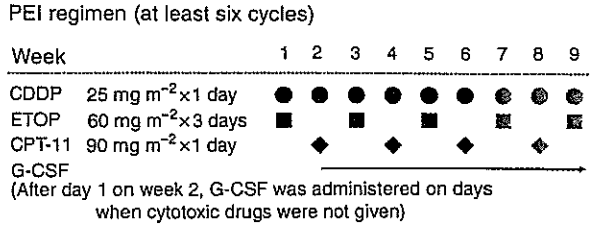

Figure 1 Treatment schedule.

Toxicity assessment and treatment

During the course of treatment, complete blood cell counts and differential counts were analysed twice a week, and routine chemistry measurements and a chest X-ray were performed once a week. Toxicity was graded according to the toxicity criteria of the JCOG (Tobinai *et al*, 1993), a modified version of the NCI Common Toxicity Criteria issued in 1991. Grade 4 neutropenia was defined as $<0.5 \times 10^9 l^{-1}$, and grade 3 neutropenia was defined as between (and including) $0.5-1.0 \times 10^9 l^{-1}$, according to the JCOG criteria. The second and subsequent cycles of chemotherapy were delayed for 1 week if one of the following toxicities was noted on day 1: a WBC count of $<2.0 \times 10^9 l^{-1}$, a platelet count of $<50 \times 10^9 l^{-1}$, a serum creatinine level of $\geqslant 2.0$ mg dl^{-1}, an elevated hepatic transaminase level or total serum bilirubin of grade 2 or higher, diarrhoea of grades 1–2, fever $\geqslant 38$°C, or a performance status of 3. The treatment was terminated if the above-mentioned criteria did not disappear in 3 weeks or if one of the following severe nonhaematological toxicities was noted: diarrhoea of grade 2 lasting for more than 1 week, diarrhoea of grade 3, neurotoxicity of grade 3, or drug-induced pneumonitis.

Dose modifications for toxicity

The CPT-11 dosage was reduced to 67.5 mg m^{-2} (25% reduction) in subsequent cycles if one of the following toxicities was noted: a WBC count of $<1.0 \times 10^9 l^{-1}$, or a platelet count of $<25 \times 10^9 l^{-1}$. If the above-mentioned toxicities reappeared after a 25% reduction in the dosage, the CPT-11 dosage was further reduced to 50 mg m^{-2} (44% reduction). Since CDDP (25 mg m^{-2}) and ETOP (60 mg m^{-2}) in this regimen were relatively low dose, no dose modifications for these drugs were permitted.

Pretreatment evaluation

Pretreatment assessment included a complete blood cell count, differential counts, routine chemistry measurements, creatinine clearance, blood gas analysis, electrocardiogram, chest X-rays, computed tomography (CT) scan of the chest, brain CT scan or magnetic resonance imaging (MRI), abdominal CT scan or ultrasound sonography, radionuclide bone scan, and bone X-rays, if indicated.

Response evaluation

Objective tumour responses were evaluated in all enrolled patients according to the WHO criteria issued in 1979 (WHO, 1979). A complete response (CR) was defined as the disappearance of all known disease for at least 4 weeks with no new lesions appearing. A partial response (PR) referred to a decrease in the total tumour size of at least 50% for at least 4 weeks without the appearance of new lesions. No change (NC) was defined as the absence of a partial or complete response and the appearance of no progressive or new lesions for at least 4 weeks. Progressive disease (PD) was

defined as a 25% or greater increase in the size of any measurable lesion or the appearance of new lesions. Patients whose responses were not evaluated were included in the analysis as not evaluable (NE).

Statistical methods

The primary end point of this study was the response rate, defined as the proportion of patients whose best response was CR or PR among all eligible patients, and its confidence interval was based on an exact binomial distribution. Simon's two-stage minimax design was used to determine the sample size and decision criteria. Assuming that a response rate of 40% in eligible patients would indicate a potential usefulness of the regimen while a rate of 20% would be the lower limit of interest and that alpha = 0.05 and beta = 0.20, the estimated number of required patients was 33 (Simon, 1989). Finally, this regimen would be considered worthy of further testing if 11 (33%) or more eligible patients showed an objective response. At the first stage decision, this regimen would be rejected if four (22%) or fewer of 18 eligible patients had an objective response. Thus, we determined that the sample size would be 35 registered patients. The planned accrual period was 2 years, and the follow-up period was set as 1 year after the completion of accrual. Secondary end points were toxicity and overall survival. The duration of overall survival was measured from the date of registration to the date of death from any cause or the last follow-up examination. Progression-free survival was calculated from the date of registration until evidence of PD. All patients started the treatment within 1 week of registration. The survival distribution was estimated by the method of Kaplan and Meier (1958).

RESULTS

Patient characteristics

From October 1998 to March 2001, 40 patients were enrolled in this study. The first-stage decision was made in October 1999, when 22 patients were registered. Three CRs and 13 PRs were observed in 18 analysed patients, resulting in a response rate of 89% (95% confidence interval (CI), 65.3–98.6%). This result did not meet the criteria for stopping the study as defined in the protocol, and the study was continued. At the time of the final analysis, there were three censored cases (8%). The median follow-up period for these cases was 25.5 months (range, 4.4–46.1 months).

The clinical characteristics of the enrolled patients are listed in Table 1. Of the 40 patients in the total, 29 (73%) were male and 11 (27%) were female; the median age was 67 years. A total of 39 patients (97%) had a good performance status of 0 or 1. The extent of the disease at the time of recurrence was LD in five patients (12%) and ED in 35 (88%). All 40 patients had been previously treated using platinum-based chemotherapy, such as PE in 11 patients, carboplatin plus ETOP in 11, PE plus weekly CDDP/VCR/ADM/ETOP (CODE) in six, CDDP plus CPT-11 in six, PEI in two, and other regimens in four. Eight (20%) of these patients received thoracic radiotherapy. All patients were eligible, and the toxicity and efficacy of the regimen was evaluated in all 40 patients.

Compliance with treatment

A total of 251 treatment cycles were administered, with a median of six cycles per patient (range, 1–9 cycles). A total of 32 patients (80%) completed six or more cycles of chemotherapy, and the median number of weeks for completing six cycles of chemotherapy was 7 weeks (range 6–10 weeks). Eight patients could not complete the planned six or more cycles for the following reasons: toxicities in four cases (grades 4 and 3 diarrhoea, grade 3 liver dysfunction, and grade 3 erythema); patient refusal in three cases; and PD in one case. Six patients (15%) had their dosage of CPT-11 reduced because of leucocytopenia in three, thrombocytopenia in two, and both in one.

Clinical response and survival

All the patients were included in the analyses of tumour response and survival. Five CRs (13%) and 26 PRs (65%) were observed, for an overall response rate of 78% (31 out of 40 patients; 95% CI, 61.5–89.2%). Four NC, four PD, and one NE were also observed. One patient was lost to follow-up and only two patients were still alive as of April 16, 2003. The median survival time (MST) was 11.8 months (95% CI, 10.1–13.5 months), and the estimated 1-year survival rate was 49% (Figure 2).

Table 1 Patient characteristics

Total no. of patients	40
Age, median (range)	67 (41–74)
Sex	
Male	29
Female	11
ECOG performance status	
0	9
1	30
2	1
Disease extent at relapse	
Limited disease	5
Extensive disease	35
Prior chemotherapy	
CDDP/ETOP	11
CBDCA/ETOP	11
CDDP/ETOP/CODE	6
CDDP/CPT-11	6
PEI	2
Others	4
Prior thoracic radiotherapy	8

ECOG = Eastern Cooperative Oncology Group; CDDP = cisplatin; ETOP = etoposide; CBDCA = carboplatin; CODE = cisplatin/vincristine/doxorubicin/etoposide; CPT-11 = irinotecan; PEI = cisplatin/etoposide/irinotecan.

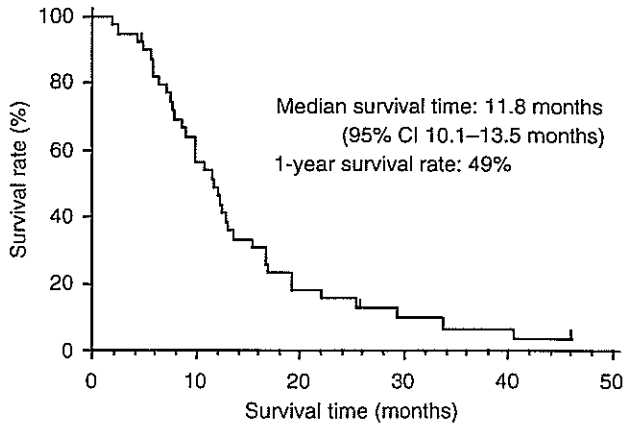

Figure 2 Overall survival ($n = 40$).

Clinical

Site of first relapse and progression-free survival

The majority of patients ($n=30$, 75%) experienced a systemic relapse after completing PEI, including 17 patients (43%) with central nerve metastases. Six patients (15%) developed only a locoregional recurrence, and one had no recurrence and died of acute myocardial infarction. No data on recurrence patterns were available in three patients because these patients were followed up at other hospitals. In all, 13 patients received additional chemotherapy treatment after recurrence (no data on response to third-line chemotherapy were available), while four patients underwent palliative chest radiotherapy and 18 underwent whole-brain irradiation for cerebral metastases. One patient, who achieved a CR by this regimen, developed a locoregional recurrence and underwent a right upper lobectomy. He has not experienced any further relapse and is still alive. The median progression-free survival period was 5.0 months (95% CI, 4.1–5.9 months) (Figure 3).

Toxicities

All the patients were included in the toxicity analysis. Severe toxicities were mainly haematological. Grades 3–4 leucopenia, neutropenia, and thrombocytopenia were observed in 22 (55%), 29 (73%), and 13 (33%) patients, respectively (Table 2). Nonhaematological toxicities were mild and transient in all patients. Grades 3–4 diarrhoea was noted in only three patients (8%) (Table 3). No treatment-related deaths occurred.

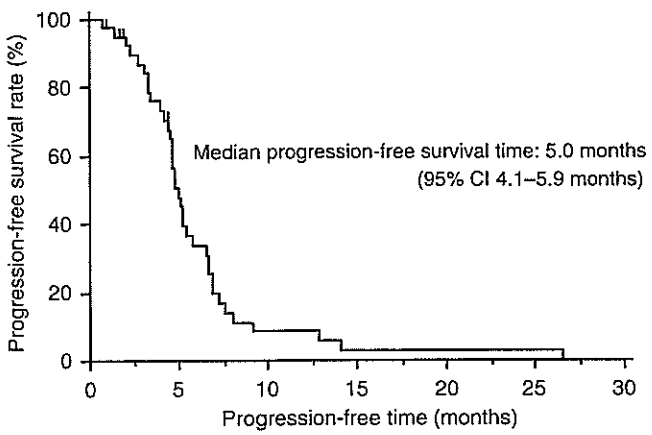

Figure 3 Progression-free survival ($n=40$).

Table 2 Haematological toxicities (JCOG toxicity criteria)

	0	1	2	3	4	% of Grs 3 and 4
Leucocytopenia	2	3	13	17	5	55
Neutropenia	3	4	4	12	17	73
Anemia	2	4	16	18	—	45
Thrombocytopenia	10	7	10	7	6	33
Elevated total bilirubin	33	—	6	1	0	3
Elevated GOT	32	7	0	1	0	3
Elevated GPT	30	7	2	1	0	3
Elevated creatinine	37	3	0	0	0	0
Hyponatremia	28	4	6	0	2	5
Hypokalemia	32	5	3	0	0	0

Grs = grades; GOT = glutamic oxaloacetic transaminase; GPT = glutamic pyruvic transaminase.

Table 3 Nonhaematological toxicities (JCOG toxicity criteria)

	0	1	2	3	4	% of Grs 3 and 4
PS	1	30	4	5	0	13
Infection	28	4	7	1	0	3
Fever	29	7	4	0	0	0
Nausea/vomiting	11	15	11	3	—	8
Diarrhoea	15	16	6	2	1	8
Mucositis	36	4	0	0	0	0
Arrythmia	36	2	0	1	1	5
Eruption	37	1	1	1	0	3
Alopecia	16	17	7	—	—	—
Allergy	39	0	1	0	0	0

Grs = grades; PS = performance status.

DISCUSSION

Despite a high response rate to first-line chemotherapy, most patients with SCLC experience a relapse within a year of the completion of therapy (Hansen, 1992). Although many relapsed patients in good physical condition undergo second-line chemotherapy, the results are disappointing. The obtained response is usually brief, and the median survival period is generally less than 4 months (Albain *et al*, 1993; Glisson, 2003).

Although one phase III trial for patients with relapse SCLC comparing the use of topotecan with CAV has been reported (von Pawel *et al*, 1999), a standard treatment for relapsed SCLC has not been agreed upon. However, the repeated use of the original induction regimen is the most popular treatment for sensitive relapsed patients. Reinduction chemotherapy has been reported to produce a response rate of 50%, and patients who relapsed more than 3 months after the end of their previous chemotherapy regimen were sensitive to reinduction chemotherapy (Giaccone *et al*, 1987; Postmus *et al*, 1987). Giaccone *et al* (1988) suggested that sensitive tumour cells, which were not completely eradicated by the induction chemotherapy, regrow spontaneously after the suspension of chemotherapy, eventually constituting a clinically significant part of the tumour burden. In the present study, two patients received the PEI regimen as a reinduction chemotherapy, and both patients showed PRs.

Many clinical trials of salvage chemotherapy for relapsed SCLC have been reported. In these studies, the single administration of CPT-11 or ETOP produced good results, with response rates of 16–47% and an MST of 3.5–6.2 months (Einhorn *et al*, 1990; Johnson *et al*, 1990; Masuda *et al*, 1992; Le Chevalier *et al*, 1997). Moreover, CPT-11 or ETOP-containing combined chemotherapy regimens showed favourable results, with response rates of 20–88% and an MST of 4.7–8.7 months (Table 4) (Evans *et al*, 1985; Masuda *et al*, 1990; Sculier *et al*, 1990; Gridelli *et al*, 1991; Roth *et al*, 1992; Faylona *et al*, 1995; Kubota *et al*, 1997; Masuda *et al*, 1998; Groen *et al*, 1999; Nakanishi *et al*, 1999; von Pawel *et al*, 1999; Domine *et al*, 2001; Kosmas *et al*, 2001). Therefore, these two drugs are considered to be key drugs for the treatment of relapsed SCLC. In particular, the combination of CPT-11 and ETOP (a combination of topoisomerase I and II inhibitors) produced a high response rate (71%) and the best survival results (MST, 8.7 months) (Masuda *et al*, 1998). In addition, a weekly chemotherapy regimen containing ETOP (CODE) was highly active in patients with relapsed SCLC, with a favourable response rate (88%) and survival duration (MST, 8.2 months) (Kubota *et al*, 1997). In the two studies mentioned above, four patients (16%) with refractory relapsed SCLC were included in the CPT-11 and ETOP study, and six patients (35%) with refractory relapsed SCLC were included in the CODE study. Three and five of these patients achieved PR, respectively.

Table 4 Combination chemotherapy studies for relapsed small-cell lung cancer

Author	Regimen	No. of pts	% of ref pts (%)	RR (%)	RR in ref pts (%)	MST (month)
Sculier	CAV	61	75	21	5	6.2–7.5
von Pawel	CAV	104	20	18	5	6.2
Roth	CAV	41	32	12	8	NM
Roth	PE	59	46	22	15	NM
Evans	PE	78	50	55	28	NM
Masuda	PE	20	NM	50	NM	4.7
Gridelli	CCNU/MTX	33	100	21	21	4.0
Faylona	PE/IFO	46	41	55	50	6.8
Kubota	CODE	17	35	88	83	8.2
Masuda	CPT-11/ETOP	25	16	71	75	8.7
Nakanishi	CPT-11/CDDP	5	100	20	20	NM
Domine	GEM/PTX	31	58	50	40	NM
Groen	CBDCA/PTX	35	100	74	74	7.2
Kosmas	CDDP/IFO/PTX	33	61	73	70	6.5

Pts = patients; ref = refractory; RR = response rate; MST = median survival time; CAV = cyclophosphamide/doxorubicin/vincristine; PE = cisplatin/etoposide; CCNU = lomustine; MTX = methotrexate; IFO = ifosfamide; CODE = cisplatin/vincristine/doxorubicin/etoposide; CPT-11 = irinotecan; ETOP = etoposide; CDDP = cisplatin; GEM = gemcitabine; PTX = paclitaxel; CBDCA = carboplatin; NM = not mentioned.

The response and survival data from Japanese clinical trials for relapsed SCLC were generally better than those obtained in western countries. We have no proof that this difference depends on either drug metabolism or tumour sensitivity. It is possibly related to the difference in patient follow-up interval between Japan and western countries. Since intensive follow up after completion of first-line treatment is common in Japan, relapses can be detected in the early stage by CT or MRI before becoming symptomatic. Therefore, relapsed patients had a relatively good performance status, and showed good responses to second-line chemotherapy as well as better survival results.

The weekly regimen was designed to increase the overall relative dose intensity of the chemotherapeutic drugs (Murray *et al*, 1991). However, several phase III trials have made it clear that intensive weekly chemotherapy does not improve the survival of patients with SCLC (Furuse *et al*, 1998; Murray *et al*, 1999). On the other hand, drug dosages and treatment schedules are easy to modify in weekly chemotherapy regimens. Since patients with relapsed SCLC may have lower bone marrow reserve, a high-dose regimen or intensified dosage can lead to treatment-related death (Masuda *et al*, 1990; Faylona *et al*, 1995). In the PEI regimen, the individual dosage of each drug is within the commonly used range and the dose given at one time is lower than that of a standard 3-week cycle regimen. The PEI regimen therefore permits greater flexibility in dosage adjustment and treatment delays based on laboratory data or the physical condition of patients. Thus, this regimen is considered to be suitable for the treatment of patients with relapse SCLC. In addition, this weekly schedule may be of great advantage for enabling the synergistic effects of ETOP (a topoisomerase II inhibitor) and CPT-11 to be realised because the development of resistance to topoisomerase II inhibitors has been reported to increase tumour sensitivity to subsequent treatment with topoisomerase I inhibitors (Vasey and Kaye, 1997).

Three cytotoxic drugs were used in this PEI regimen. However, three-drug combination chemotherapy was reportedly associated with more severe toxicity and showed no survival benefit as compared with the two-dug combination (Mavroudis *et al*, 2001; Niell *et al*, 2002). The main reason for mild toxicities was that the PEI regimen consists of a weekly schedule. With a weekly chemotherapy regimen, drug dosages and treatment schedules can easily be adjusted according to haematological data and the patient's physical condition. These careful modifications resulted in a mild toxicity profile with the PEI regimen. Moreover, the PEI regimen did not consist of concomitant administration of three drugs but rather weekly alternative administration of a two-drug combination chemotherapy, that is, PE and IP. As a result, the toxicity profile was similar with that of two-drug combination chemotherapy.

Although all the patients in this study were sensitive relapsed cases, the overall response rate of 78% is one of the best results reported for relapsed SCLC. Moreover, although only selected patients with a good performance status were included in this study, it is notable that the median survival time was 11.8 months and the 1-year survival rate was 49%. In JCOG- 9511, the MST was 12.8 months in the IP arm and 9.4 months in the PE arm for chemotherapy naive ED SCLC patients (Noda *et al*, 2002). Our survival data for PEI is almost equivalent to that of first-line treatment. Salvage chemotherapy may be possible to prolong the survival of sensitive relapsed SCLC patients who are in good physical condition.

Since second-line chemotherapy for relapsed SCLC patients is a palliative treatment, a reasonable toxicity profile is essential. The main toxicities of the PEI regimen were haematological. Although G-CSF was routinely administered, Grades 3–4 leucopenia and neutropenia were observed in 55 and 73% of patients, respectively. Grades 3–4 thrombocytopenia was observed in 33% of patients. However, the frequencies of these haematological toxicities were approximately equal to that of first-line PE treatment (Noda *et al*, 2002). Nonhaematological toxicities were mild and transient in all patients. Grades 3–4 diarrhoea was noted in only three patients (8%). Irinotecan dose modifications as a result of haematological toxicities were only performed in six patients (15%). All toxicities were easily manageable, and no treatment-related deaths occurred.

In conclusion, PEI is a highly active and well-tolerated treatment for sensitive relapsed SCLC. Another phase II trial restricted to refractory relapsed SCLC patients is presently being performed by our clinical group. Further phase III studies comparing PEI regimen with rechallenges of the same drugs used in the first-line chemotherapy regimen should clarify the role of second-line chemotherapy for sensitive relapsed SCLC and are now being planned.

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clinical

Phase I study of cisplatin, vinorelbine, and concurrent thoracic radiotherapy for unresectable stage III non-small cell lung cancer

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To determine the recommended phase II dose of vinorelbine in combination with cisplatin and thoracic radiotherapy (TRT) in patients with unresectable stage III non-small cell lung cancer (NSCLC), 18 patients received cisplatin (80 mg/m^2) on day 1 and vinorelbine (20 mg/m^2 in level 1, and 25 mg/m^2 in level 2) on days 1 and 8 every 4 weeks for 4 cycles. TRT consisted of a single dose of 2 Gy once daily for 3 weeks followed by a rest of 4 days, and then the same TRT for 3 weeks to a total dose of 60 Gy. Fifteen (83%) patients received 60 Gy of TRT and 14 (78%) patients received 4 cycles of chemotherapy. Ten (77%) of 13 patients at level 1 and all 5 patients at level 2 developed grade 3–4 neutropenia. Four (31%) patients at level 1 and 3 (60%) patients at level 2 developed grade 3–4 infection. None developed ≥grade 3 esophagitis or lung toxicity. Dose-limiting toxicity was noted in 33% of the patients in level 1 and in 60% of the patients in level 2. The overall response rate (95% confidence interval) was 83% (59–96%) with 15 partial responses. The median survival time was 30.4 months, and the 1-year, 2-year, and 3-year survival rates were 72%, 61%, and 50%, respectively. In conclusion, the recommended dose is the level 1 dose, and this regimen is feasible and promising in patients with stage III NSCLC. (Cancer Sci 2004; 95: 691–695)

Stage III locally advanced non-small cell lung cancer (NSCLC) accounts for about 25% of all lung cancer cases.[1] Successful treatment of this disease rests on the control of both clinically apparent intrathoracic disease and occult systemic micrometastases, and therefore a combination of systemic chemotherapy and thoracic radiotherapy is indicated in many patients with good performance status and no pleural effusion.[2] Concurrent chemoradiotherapy is superior to the sequential approach, as shown by recent phase III trials in unresectable stage III NSCLC, in which the median survival time was 15.0 to 17.0 months in the concurrent arm and 13.3 to 14.6 months in the sequential arm, although acute esophagitis was more severe in the concurrent arm.[3–5] Chemotherapy regimens combined with simultaneous thoracic radiotherapy have consisted of cisplatin plus etoposide and cisplatin plus vinca alkaloids,[3,4] and a combination of cisplatin plus vindesine, with or without mitomycin, has been widely used in Japan.[5–8]

Vinorelbine, a new semisynthetic vinca alkaloid with a substitution in the catharanthine ring, interacts with tubulin and microtubule-associated proteins in a manner different from the older vinca alkaloids, and it more selectively depolymerizes microtubules in mitotic spindles.[9] Several randomized trials have shown vinorelbine to be more active against advanced or metastatic NSCLC than vindesine as a single agent or in combination with cisplatin.[10–13] Thus, incorporation of vinorelbine into concurrent chemoradiotherapy instead of vindesine is an important strategy for the treatment of locally advanced NSCLC. The objective of this study was to determine the maximum tolerated dose (MTD) and recommended dose of vinorelbine for phase II studies in combination with cisplatin, with or without mitomycin, and thoracic radiotherapy for patients with unresectable stage III NSCLC. We planned to start with the cisplatin and vinorelbine combination and then add mitomycin.

Patients and Methods

Patient selection. The eligibility criteria were: histologically or cytologically proven NSCLC; unresectable stage IIIA or IIIB disease; no previous treatment; measurable disease; tumor within an estimated irradiation field no larger than half the hemithorax; age between 20 years and 74 years; Eastern Cooperative Oncology Group (ECOG) performance status 0 or 1[14]; adequate bone marrow function (12.0×10^9/liter ≥white blood cell [WBC] count ≥4.0×10^9/liter, neutrophil count ≥2.0×10^9/liter, hemoglobin ≥10.0 g/dl, and platelet count ≥100×10^9/liter), liver function (total bilirubin ≤1.5 mg/dl and transaminase ≤twice the upper limit of the normal value), and renal function (serum creatinine ≤1.5 mg/dl and creatinine clearance ≥60 ml/min); and a PaO_2 of 70 Torr or more. Patients were excluded if they had malignant pleural or pericardial effusion, active double cancer, a concomitant serious illness, such as uncontrolled angina pectoris, myocardial infarction in the previous 3 months, heart failure, uncontrolled diabetes mellitus, uncontrolled hypertension, interstitial pneumonia or lung fibrosis identified by a chest X-ray, chronic obstructive lung disease, infection or other diseases contraindicating chemotherapy or radiotherapy, pregnancy, or breast-feeding. All patients gave their written informed consent.

Pretreatment evaluation. The pretreatment assessment included a complete blood cell count and differential count, routine chemistry determinations, creatinine clearance, blood gas analysis, electrocardiogram, lung function testing, chest X-rays, chest computed tomographic (CT) scan, brain CT scan or magnetic resonance imaging, abdominal CT scan or ultrasonography, and radionuclide bone scan.

Treatment schedule. The dose levels and doses of each anticancer agent are shown in Table 1. Cisplatin and vinorelbine were administered at dose levels 1 and 2. It was planned to give cisplatin, vinorelbine, and mitomycin at dose levels 3–5, but because the MTD was determined to be dose level 2, dose levels 3–5 were not used. Cisplatin was administered on day 1 by intravenous infusion over 60 min together with 2500 to 3000 ml of fluid for hydration. Vinorelbine diluted in 40 ml of normal saline was administered by bolus intravenous injection on days 1 and 8. All patients received prophylactic antiemetic ther-

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apy consisting of a 5HT3-antagonist and a steroid. This chemotherapy regimen was repeated every 4 weeks for 4 cycles.

Thoracic radiotherapy with photon beams from a liniac or microtron accelerator with energy between 6 and 10 MV at a single dose of 2 Gy once daily given 15 times over 3 weeks was begun on day 2 of the first cycle of cisplatin and vinorelbine chemotherapy, and followed by a short rest period of 4 days. The same radiotherapy was begun on day 1 of the second cycle of chemotherapy to a total dose of 60 Gy. The clinical target volume (CTV) was based on conventional chest X-ray and CT scans, and included the primary lesion (CTV1), involved lymph nodes whose short diameter was 1 cm or larger (CTV2), and the ipsilateral pulmonary hilum and bilateral mediastinum area (CTV3). Anterior and posterior parallel opposed fields encompassed the initial planned target volume (PTV), consisting of CTV1–3 with the superior and inferior field margins extended to 1 to 2 cm and the lateral field margins extended to 0.5 cm for respiratory variation and fixation error. The boost PTV included only CTV1–2 based on the second CT scans with the same margins. The spinal cord dose was limited to 40 Gy by using oblique parallel opposed fields.

Toxicity assessment and treatment modification. Complete blood cell counts and differential counts, routine chemistry determinations, and a chest X-ray were performed once a week during the course of treatment. Acute toxicity was graded according to the NCI Common Toxicity Criteria version 2.0 issued in 1998, and late toxicity associated with thoracic radiotherapy was graded according to the RTOG Late Radiation Morbidity Scoring Schema.[15] Vinorelbine administration on day 8 was omitted if any of the following toxicities was noted: WBC count $<3.0\times10^9$/liter, neutrophil count $<1.5\times10^9$/liter, platelet count $<100\times10^9$/liter, elevated hepatic transaminase level or total serum bilirubin ≥grade 2, fever ≥38°C, or performance status ≥2. Subsequent cycles of chemotherapy were delayed if any of the following toxicities was noted on day 1: WBC count $<3.0\times10^9$/liter, neutrophil count $<1.5\times10^9$/liter, platelet count $<100\times10^9$/liter, serum creatinine level ≥1.6 mg/dl, elevated hepatic transaminase level or total serum bilirubin ≥grade 2, fever ≥38°C, or performance status ≥2. The doses of cisplatin and vinorelbine were reduced by 25% in all subsequent cycles if any of the following toxicities was noted: WBC count $<1.0\times10^9$/liter, platelet count $<20\times10^9$/liter, or grade 3 or severer non-hematological toxicity, except for nausea and vomiting. The dose of cisplatin was reduced by 25% in all subsequent cycles if the serum creatinine level was elevated to 2.0 mg/dl or higher. Thoracic radiotherapy was suspended if any of the following toxicities was noted: WBC count $<1.0\times10^9$/liter, platelet count $<20\times10^9$/liter, esophagitis ≥grade 3, fever ≥38°C, performance status ≥3, or PaO_2 <70 Torr. Thoracic radiotherapy was terminated if this toxicity persisted for more than 2 weeks. Granulocyte colony-stimulating factor support was used if the neutrophil count was $<0.5\times10^9$/liter for more than 4 days, the WBC count was $<1.0\times10^9$/liter, or febrile neutropenia ≥grade 3 was noted.

Dose-limiting toxicity, MTD, and recommended dose for phase II studies. The dose-limiting toxicity (DLT) was defined as a neutrophil count $<0.5\times10^9$/liter lasting 4 days or longer, febrile neutropenia ≥grade 3, platelet count $<20\times10^9$/liter, grade 3 or more severe non-hematological toxicity other than nausea and vomiting, and patient's refusal to receive subsequent treatment. Doses were escalated according to the frequency of DLT evaluated during the first and second cycles of chemotherapy and thoracic radiation. Six patients were initially enrolled at each dose level. If one or none of them experienced DLT, the next cohort of patients was treated at the next higher dose level. If 2 of the 6 patients experienced DLT, then 6 additional patients were enrolled at the same dose level to make a total of 12 patients. If 4 or fewer patients experienced DLT, the next cohort of patients was treated at the next higher dose level. If 5 or more of the 12 patients experienced DLT, that level was considered to be the MTD. If 3 of the initial 6 patients experienced DLT, that level was considered to be the MTD. The recommended dose for phase II trials was defined as the dose preceding the MTD.

Response evaluation. Objective tumor response was evaluated according to the WHO criteria issued in 1979.[16] A complete response (CR) was defined as the disappearance of all known disease for at least 4 weeks with no new lesions appearing. A partial response (PR) was defined as an at least 50% decrease in total tumor size for at least 4 weeks without the appearance of new lesions. No change (NC) was defined as the absence of a partial or complete response with no progressive or new lesions observed for at least 4 weeks. Progressive disease was defined as a 25% or greater increase in the size of any measurable lesion or the appearance of new lesions.

Study design, data management, and statistical considerations. This study was designed as a phase I study at two institutions, the National Cancer Center Hospital and Kanagawa Cancer Center. The protocol and consent form were approved by the Institutional Review Board of each institution. Registration was conducted at the Registration Center. Data management, periodic monitoring, and the final analysis were performed by the Study Coordinator. A patient accrual period of 24 months and a follow-up period of 18 months were planned. Overall survival time and progression-free survival time were estimated by the Kaplan-Meier method.[17] Survival time was measured from the date of registration to the date of death due to any cause. Progression-free survival time was measured from the date of registration to the date of disease progression or death. Patients who were lost to follow-up without event were censored at the date of their last known follow-up.

Table 1. Dose level and the dose of each anticancer agent

Dose level	Cisplatin (mg/m²)	Vinorelbine (mg/m²)	Mitomycin (mg/m²)
−1	80	15	—
1	80	20	—
2	80	25	—
3	80	15	8
4	80	20	8
5	80	25	8

Results

Registration and characteristics of the patients. From October 1999 to August 2000, 13 patients were registered at dose level 1 and 5 patients at dose level 2. The detailed demographic characteristics of the patients are listed in Table 2. All patients had unresectable IIIA-N2 or IIIB disease. One of the 6 patients enrolled at dose level 1 developed bacterial meningitis during the second cycle of chemotherapy, and that case is described in detail elsewhere.[18] We did not include it in the assessment of DLT, because the bacterial meningitis was not specifically related to treatment. We registered another patient at the same dose level, and 2 cases of DLT were noted among the initial 6 patients evaluable for DLT. We added another 6 patients, and DLT was noted in 4 of the 12 patients registered at the dose level 1. Of the 5 patients registered at level 2, 3 patients developed DLT. This dose level was determined to be the MTD, and patient accrual to this study was terminated.

Treatment delivery. Treatment delivery was generally well maintained, and it did not differ between the two dose levels (Table 3). Full dose (60 Gy) thoracic radiotherapy was completed in 77% and 100% of the patients at dose levels 1 and 2,

Table 2. Patients' characteristics

		Median (range)	N (%)
Number of patients			18
Gender	male		16 (89)
	female		2 (11)
Age	median (range)	59 (48–69)	
PS	0		4 (22)
	1		14 (78)
Body weight loss	<5%		12 (67)
	5–9%		4 (22)
	≥10%		2 (11)
T-factor	1		1 (6)
	2		6 (33)
	3		7 (39)
	4		4 (22)
N-factor	2		11 (61)
	3		7 (39)
Clinical stage	IIIA		9 (50)
	IIIB		9 (50)
Histology	adenocarcinoma		14 (78)
	squamous cell carcinoma		3 (17)
	adenosquamous carcinoma		1 (6)

Table 3. Treatment delivery

	Dose level 1 (N=13)	Dose level 2 (N=5)
	N (%)	N (%)
Initial irradiation field (cm^2) median (range)	171 (128–529)	182 (128–248)
Total dose of radiotherapy (Gy)		
60	10 (77)	5 (100)
50–59	1 (8)	0
<50	2 (15)	0
Delay of radiotherapy (days)[1)]		
<5	6 (60)	3 (60)
5≤	4 (40)	2 (40)
Number of chemotherapy cycles		
4	10 (77)	4 (80)
3	0	1 (20)
2	2 (15)	0
1	1 (8)	0
Omission of vinorelbine administration on day 8		
0	9 (69)	2 (40)
1	4 (31)	2 (40)
3	0	1 (20)

1) Evaluated in patients who received 60 Gy radiotherapy (N=15).

respectively. Delays in radiotherapy evaluated in patients who completed the full course of radiotherapy amounted to less than 5 days in 60% of the patients at both levels. Full cycles (4 cycles) of chemotherapy were administered to 77% and 80% of the patients at dose levels 1 and 2, respectively, but vinorelbine administration on day 8 was more frequently omitted at dose level 2 (Table 3).

Toxicity, MTD, and the recommended dose for phase II trials. Acute severe toxicity was mainly hematological (Table 4). Grade 3–4 leukopenia and neutropenia were noted in 77% and 100% of the patients at dose levels 1 and 2, respectively. Grade 3 anemia was observed in 23% and 20% of the patients at dose levels 1 and 2, respectively, but no blood transfusions were required. Thrombocytopenia was mild. Grade 4 transaminase elevation was observed in 1 patient during the first cycle of chemotherapy, but no subjective manifestations associated with liver dysfunction were noted. Chemotherapy was discontinued and the transaminases quickly decreased to within their normal ranges. Transient asymptomatic grade 3 hyponatremia was noted in 1 patient. Grade 3–4 infection was noted in 7 patients. Bacterial meningitis unassociated with neutropenia developed on day 6 of the second cycle of chemotherapy in 1 patient.[18)] The other grade 3–4 infections were all associated with neutropenia. Esophagitis was mild in this study, and no grade 3–4 esophagitis was noted. No deaths occurred during or within 30 days of therapy.

DLT was noted in 4 of the 12 (33%) evaluable patients at dose level 1, and in 3 of the 5 (60%) at dose level 2. Six of these 7 DLTs were grade 3–4 infection associated with neutropenia, and the other 1 was grade 4 transaminase elevation. Thus, we determined that dose level 2 was the MTD, and dose level 1 was recommended as the dose for phase II trials.

Table 4. Acute toxicity

Toxicity	Dose level 1 (*N*=13), Grade					Dose level 2 (*N*=5), Grade				
	1	2	3	4	3–4 (%)	1	2	3	4	3–4 (%)
Hematological										
Leukopenia	0	2	9	1	(77)	0	0	4	1	(100)
Neutropenia	1	1	7	3	(77)	0	0	1	4	(100)
Anemia	4	6	3	0	(23)	2	2	1	0	(20)
Thrombocytopenia	1	2	0	0	(0)	1	0	0	0	(0)
Non-hematological										
AST	2	0	0	1	(8)	1	0	0	0	(0)
ALT	7	0	0	1	(8)	0	1	0	0	(0)
Total bilirubin	2	1	0	0	(0)	2	0	0	0	(0)
Creatinine	2	2	0	0	(0)	1	0	0	0	(0)
Hyponatremia	6	0	1	0	(8)	1	0	0	0	(0)
Infection	1	3	2	2	(31)	0	0	3	0	(60)
Nausea	4	1	0	0	(0)	3	0	0	0	(0)
Diarrhea	0	1	0	0	(0)	0	0	0	0	(0)
Stomatitis	2	0	0	0	(0)	0	2	0	0	(0)
Esophagitis	6	1	0	0	(0)	4	0	0	0	(0)
Sensory neuropathy	2	0	0	0	(0)	0	0	0	0	(0)

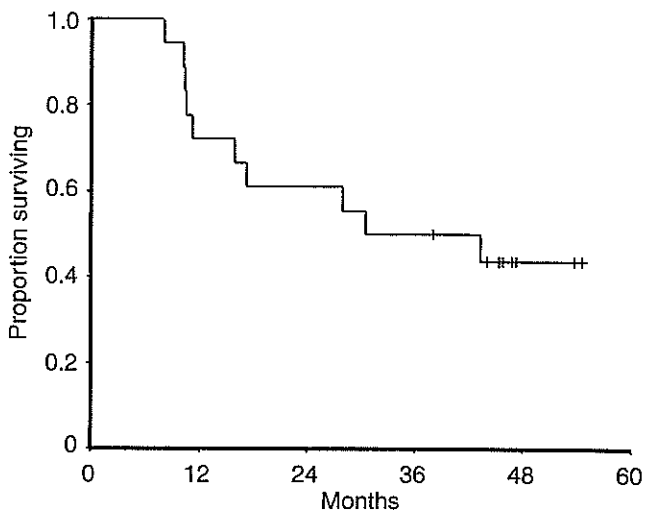

Fig. 1. Overall survival in 18 patients. The median (range) follow-up period of censored cases has been 35.4 (32.0–43.4) months, and the median overall survival time has not yet been reached.

Late lung toxicity associated with thoracic radiotherapy was grade 3 in 1 (6%) patient, grade 2 in 4 (22%) patients, and grade 1 in 8 (44%) patients. No late esophageal toxicity was noted.

Objective responses, relapse pattern, and survival. All patients were included in the analyses of tumor response and survival. No CR, 15 PRs, and 1 NC were noted, and the overall response rate (95% confidence interval) was 83% (59–96%). Relapse was noted in 12 (67%) of 18 patients. Initial relapse sites were locoregional alone in 5 (28%) patients, locoregional and distant in 3 (17%) patients, and distant alone in 4 (22%) patients. Brain metastasis was detected in 5 patients, and the brain was the most frequent site of distant metastasis. The median progression-free survival time was 15.6 months, and the median overall survival time was 30.4 months. The 1-year, 2-year, and 3-year survival rates were 72%, 61%, and 50%, respectively (Fig. 1).

Discussion

The combination of cisplatin, vindesine, and mitomycin with concurrent thoracic radiotherapy has been shown to yield an encouraging survival outcome, a median survival time of 17–19 months, and a 5-year survival rate of 16% in patients with unresectable stage III NSCLC.[5,7,8] A Japanese randomized trial revealed that replacement of vindesine by vinorelbine in combination with cisplatin and mitomycin yielded a promising response rate (57% versus 38%, P=0.025) and median survival time (15 months versus 11 months, P<0.01) in patients with stage IIIB or IV NSCLC.[13] Thus, the combination of cisplatin, vinorelbine, and mitomycin is a chemotherapy regimen with potential for combination with concurrent thoracic radiotherapy. The present study, however, showed that a DLT developed in 60% of patients who received cisplatin and vinorelbine 25 mg/m^2 days 1 and 8 (level 2), and since the DLTs were associated with myelosuppression, which is the major critical toxicity of mitomycin, we concluded that it would be impossible to incorporate mitomycin into this regimen.

The recommended doses of vinorelbine of 20 mg/m^2 on days 1 and 8 and cisplatin of 80 mg/m^2 on day 1 repeated every 4 weeks in this study are comparable to the doses used in the CALGB (vinorelbine 15 mg/m^2 on days 1 and 8 and cisplatin 80 mg/m^2 on day 1 repeated every 3 weeks),[19,20] and the Czech Lung Cancer Cooperative Group (vinorelbine 12.5 mg/m^2 on days 1, 8, and 15 and cisplatin 80 mg/m^2 on day 1, repeated every 4 weeks),[21] but lower than in a Mexican study (vinorelbine at 25 mg/m^2 on days 1 and 8 and cisplatin 100 mg/m^2 on day 1, repeated every 3 weeks).[22] These recommended doses are also lower than expected when compared with the recommended vinorelbine dose combined with cisplatin for metastatic NSCLC (vinorelbine 30 mg/m^2 on days 1 and 8 and cisplatin 80 mg/m^2 on day 1, repeated every 3 weeks),[23] and when compared with the results of vindesine, cisplatin, and mitomycin combined with thoracic radiotherapy, where the full doses can be administered concurrently.[8] Thus, vinorelbine can be safely administered with cisplatin and concurrent thoracic radiotherapy at a maximum dose of two-thirds the optimal dose without radiotherapy.

The results for response and survival in this study, however, were very encouraging. This may have been attributable to patient selection bias, but the percentage of patients who had stage IIIB disease in this study was similar to the percentage in the CALGB randomized phase II study.[20] In addition, 33% of the patients in this study had ≥5% body weight loss, whereas only 7% of the patients did in that study.[20] The median survival time was 30.4 months and exceeded the results of concurrent

chemoradiotherapy with old drug combinations that yielded a median survival time of 15–19 months.[3–8] Thus, it could be argued that the combination of cisplatin and vinorelbine is more active for locally advanced NSCLC than the older drug combinations, although there have not been any randomized trials comparing this regimen with old drug combinations in combination with thoracic radiotherapy in patients with stage III NSCLC. Our results also seem better than those of other trials using concurrent cisplatin, vinorelbine, and thoracic radiotherapy, in which the median survival time was 13 to 18 months.[20,22] Those trials used induction chemotherapy followed by chemoradiotherapy. Since the response rate to induction chemotherapy is no more than 40%, induction chemotherapy may be disadvantageous. This issue is being evaluated in an on-going CALGB phase III trial.

Severe esophagitis and pneumonitis have been DLTs in many trials of concurrent chemoradiotherapy, but neither was observed in this study. Nevertheless, since the occurrence of these non-hematological toxicities associated with thoracic radiotherapy is sporadic, the sample size in this study may have been too small to detect them. Thus, careful observation for these toxicities is needed in further phase II and phase III trials to definitely establish the safety profile of this regimen.

In conclusion, cisplatin and vinorelbine chemotherapy combined with concurrent full-dose thoracic radiotherapy is feasible, and the recommended dose of vinorelbine for phase II trials is 20 mg/m^2 on days 1 and 8 repeated every 4 weeks. This regimen was highly active in patients with stage III NSCLC.

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Risk factors for interstitial lung disease and predictive factors for tumor response in patients with advanced non-small cell lung cancer treated with gefitinib

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Summary A high incidence of interstitial lung disease (ILD) has been reported in patients with non-small cell lung cancer (NSCLC) treated with gefitinib in Japan. We retrospectively analyzed 112 patients with advanced NSCLC who received gefitinib monotherapy. Univariate and multivariate analyses were used to identify risk factors for gefitinib-related ILD and predictive factors for tumor response to gefitinib. The incidence of ILD was 5.4%, and it was higher in the patients with pre-existing pulmonary fibrosis (33% versus 2%; $P < 0.001$). The results of a multivariate analysis showed that pulmonary fibrosis was a significant risk factor for ILD (odds ratio: 177, 95% confidence interval: 4.53–6927, $P = 0.006$). The response rate was 33% in the 98 evaluable patients and higher in women (53% versus 23%; $P = 0.003$), patients with adenocarcinoma (38% versus 6%; $P = 0.010$), never-smokers (63% versus 18%; $P < 0.001$), and the patients with no history of thoracic radiotherapy (39% versus 13%; $P = 0.015$). The results of a multivariate analysis showed that the predictors of tumor response were "no history of smoking" and "no history of thoracic radiotherapy". Never-smokers had a significantly longer survival time than smokers ($P = 0.007$). Although gefitinib therapy confers a clinical benefit on patients with advanced NSCLC, especially on women, patients with adenocarcinoma, never-smokers, and patients with no history of thoracic radiotherapy, it also poses a high risk of ILD, especially to patients with pulmonary fibrosis. The risk-benefit ratio must be carefully considered.

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1. Introduction

Gefitinib (Iressa®; AstraZeneca, Osaka, Japan) is an orally available, selective epidermal growth factor receptor (EGFR) tyrosine kinase inhibitor that displays antitumor activity in patients with previously treated advanced non-small cell lung cancer (NSCLC). The safety and tolerability of gefitinib was established in four open-labeled, multicenter, phase I dose-escalation studies [1–4]. Although diarrhea, skin rash/acne, and nausea were common adverse effects, most of them were mild. Two large-scale, multicenter, randomized phase II studies (IDEAL 1 and 2; Iressa® Dose Evaluation in Advanced Lung Cancer) have demonstrated clinically significant antitumor activity of gefitinib monotherapy in patients with advanced NSCLC who had previously received platinum-based chemotherapy [5,6]. The response rate for gefitinib 250 mg per day in the IDEAL 1 and 2 trials was 18.4 and 11.8%, respectively. These studies also showed that gefitinib monotherapy significantly improved disease-related symptoms and quality of life.

Based on the results of the IDEAL trials, gefitinib was approved in Japan for the treatment of inoperable or recurrent NSCLC on 5 July 2002, and an estimated 28,300 patients had been treated with gefitinib as of April 2003. During the first few months after its approval, many patients demanded to be treated with gefitinib as a "magic bullet" cure; however, when the incidence of interstitial lung disease (ILD) came to light in October 2002, the media reported it in a sensational manner, and as a result patients have become confused by excessive expectations and fear of ILD. The Ministry of Health, Labour and Welfare of Japan reported that the number of gefitinib-related cases of ILD had reached 616 as of 22 April 2003 and that 246 of the patients had died of it. The incidence of ILD and mortality rate from it has been calculated at 2.2 and 0.87%, respectively. Some case reports also suggested a high incidence of gefitinib-related ILD in Japan [7]. In view of this situation, an evidence-based assessment of the risk-benefit of gefitinib for the treatment of NSCLC was urgently needed. However, many questions regarding gefitinib administration remained unanswered, particularly in regard to the risk factors associated with ILD complications. We therefore analyzed a series of cases treated with gefitinib at the National Cancer Center Hospital (NCCH) in Tokyo.

2. Patients and methods

Between July and December 2002, 115 NSCLC patients at the NCCH began taking gefitinib and the 112 of these patients who were followed at the NCCH were retrospectively analyzed in this study. The other three patients were excluded from the analysis because they were followed-up at other hospitals after the first prescription of gefitinib. All the 112 patients had histologically or cytologically confirmed NSCLC. Their disease was locally advanced, recurrent, and/or metastatic. They all received gefitinib monotherapy at a dose of 250 mg per day.

Two independent board-certified diagnostic radiologists (M.K. and U.T.) diagnosed pre-existing pulmonary fibrosis (PF) on the basis of the findings on chest X-rays taken within 1 week of the start of gefitinib therapy. The radiologists had no knowledge of the patients' outcome. The diagnostic criteria for PF were a diffuse linear or honey-comb pattern on chest X-rays that was predominant in the lower zone of the lung.

If a patient had measurable disease, the World Health Organization criteria were used to assess the tumor response. The response rate was calculated as the total percentage of patients with a complete or partial response. Drug-related adverse events were evaluated using the National Cancer Institute-Common Toxicity Criteria (Version 2.0). Chest X-rays were performed periodically to evaluate response and detect pulmonary toxicity, and computed tomography scans of the chest were performed as needed to confirm the response or diagnose ILD. The extent of patients' smoking history was evaluated by using pack-years, which are defined as the average number of cigarettes smoked per day multiplied by the total duration of smoking in years divided by 20. Patients who had smoked for 0, 1–39, and $\geq$40 pack-years were categorized as "never-smokers", "moderate smokers", and "heavy smokers", respectively.

Univariate and multivariate analyses were performed to identify risk factors for ILD and predictive factors for tumor response to gefitinib. The patient characteristics tested as potential risk factors for ILD and predictive factors for tumor response were age (<70 versus $\geq$70 years in the univariate analysis and as a continuous variable in the multivariate analysis), sex (female versus male), histological diagnosis (adenocarcinoma versus non-adenocarcinoma), smoking history (never-smokers versus moderate/heavy smokers), performance status (PS 0–1 versus PS 2–3), prior surgery (yes versus no), prior chemotherapy (yes versus no), prior thoracic radiotherapy (yes versus no), and PF (yes versus no). These factors were compared by using a chi-square test in the univariate analysis. Logistic regression analyses were also performed to adjust for each factor. Differences

in time to treatment failure (TTF) and overall survival (OS) among the subgroups were compared by using Kaplan–Meier curves and log-rank tests. TTF was defined as the interval between the start of gefitinib administration and discontinuation of treatment for any reason, confirmed disease progression, or death. All analyses were performed using SPSS statistical package (SPSS version 11.0 for Windows, SPSS Inc., Chicago, IL, USA).

3. Results

3.1. Patient characteristics

The patient characteristics are listed in Table 1. All patients were Japanese. Twenty-eight patients (25%) received gefitinib as a first-line treatment; 19 were considered unfit for platinum-based chemotherapy because of poor PS (10 patients) or advanced age (9 patients), and 9 refused platinum-based chemotherapy. The diagnosis of pre-existing PF was almost the same between two radiologists. Although discordance occurred in three cases, 12 patients were finally diagnosed as PF by consensus. All of the 12 patients had computed tomography findings consistent with idiopathic pulmonary fibrosis/usual interstitial pneumonia.

3.2. Interstitial lung disease (ILD) and other toxicities

Among the 112 patients reviewed, ILD developed in 6 (5.4%) during the course of gefitinib therapy, and 4 patients (3.6%) died from ILD. The characteristics of the six patients with ILD are listed in Table 2. All of them had acute onset or exacerbation of respiratory symptoms. In five patients, chest computed tomography scanning revealed new diffuse interstitial changes in both lungs with ground-glass appearances. Because bronchoalveolar lavage or lung biopsy was not performed, we cannot completely exclude lymphangiosis carcinomatosa or other diseases, but the clinical courses and imaging appearances were consistent with drug-induced ILD. Although the other patient (patient 3) died before imaging diagnosis, the autopsy revealed diffuse alveolar damage, and we concluded she died from gefitinib-related ILD.

The results of univariate and multivariate analyses on risk factors for ILD are shown in Table 3. The incidence of ILD was 33% (4/12) among patients with PF and 2.0% (2/100) among the other patients. PF was the only significant risk factor for ILD in the univariate analysis (odds ratio [OR]: 16.7, 95% confidence interval [95% CI]: 3.40–83.3, $P < 0.001$), and this finding was supported by the results of the multivariate analysis (OR: 177, 95% CI: 4.53–6927, $P = 0.006$). Since all of the patients with ILD were smokers, pack-years were analyzed as a continuous variable in the multivariate analysis, and the results of it suggested the association between increased pack-years and a higher risk of ILD ($P = 0.062$). Since all of the ILD cases had a PS score of 1 and had never undergone thoracic radiotherapy, it was impossible to assess the association between poor PS or prior thoracic radiotherapy and ILD in the multivariate analysis.

Table 1 Patient characteristics

	Patients ($n = 112$)	
	No.	%
Age		
Median (range) (years)	63 (29–83)	
<70 years	80	71
≥70 years	32	29
Sex		
Female	35	31
Male	77	69
Histological diagnosis		
Adenocarcinoma	93	83
Squamous cell carcinoma	12	11
Non-small cell carcinoma (not specified)	6	5
Large cell neuroendocrine carcinoma	1	1
Smoking history (pack-years)		
Never-smokers (0)	34	30
Moderate smokers (1–39)	30	27
Heavy smokers (≥40)	48	43
ECOG performance status		
0–1	92	82
2–3	20	18
Stage		
IIIA/IIIB	21	19
IV	58	52
Recurrence after surgery	33	29
Prior chemotherapy		
Yes	84	75
No	28	25
Prior thoracic radiotherapy		
Yes	26	23
No	86	77
Pre-existing pulmonary fibrosis		
Yes	12	11
No	100	89

Table 2 Characteristics of patients who developed interstitial lung disease

	Age (years)	Sex	Histological diagnosis	PS	PY	Stage	Prior chemotherapy		Thoracic radiotherapy	Pre-existing lung disease	Length of treatment (days)	Survival (days)
							First	Second				
1	66	M	Ad	1	44	IIIB	CDDP+VNR	DTX	No	PF	10	22[a]
2	69	M	Ad	1	28	IV	CBDCA+PTX	—	No	PF	32	67[a]
3	52	F	Ad	1	48	IV	CDDP+GEM	—	No	None	42	42[a]
4	71	M	Ad	1	51	IIIB	UFT	—	No	PF	47	123[a]
5	64	M	Sq	1	129	IV	CBDCA+PTX	DTX	No	None	18	237[b]
6	74	M	Ad	1	64	Rec	CBDCA+PTX	—	No	PF	39	400[b]

Ad: adenocarcinoma, Sq: squamous cell carcinoma, PS: performance status, PY: pack-years smoked, Rec: recurrence after surgery, CDDP: cisplatin, CBDCA: carboplatin, VNR: vinorelbine, DTX: docetaxel, PTX: paclitaxel, GEM: gemcitabine, PF: pulmonary fibrosis.

[a] Treatment-related death.

[b] Death from lung cancer.

Table 3 Risk factors for interstitial lung disease ($n = 112$)

	No. of patients	Incidence of ILD (%)	Univariate analysis		Multivariate analysis	
			Odds ratio (95% CI)	*P*-values	Odds ratio (95% CI)	*P*-values
Total	112	5.4				
Age						
<70 years	80	5.0	0.80 (0.15–4.18)	0.791	2.05 (0.46–9.17)	0.347[a]
≥70 years	32	6.3	1			
Sex						
Female	35	2.9	0.44 (0.053–3.62)	0.428	19.1 (0.44–837)	0.126
Male	77	6.5	1		1	
Histological diagnosis						
Adenocarcinoma	93	5.4	1.02 (0.13–8.26)	0.984	0.26 (0.012–5.46)	0.383
Non-adenocarcinoma	19	5.3	1		1	
Smoking history (pack-years)						
Heavy smokers (≥40)	48	10.4	–	0.096[b]	1.50 (0.98–2.29)	0.062[c]
Moderate smokers (1–39)	30	3.3	–			
Never-smokers (0)	34	0.0	1			
PS						
2–3	20	0.0	0	0.240		
0–1	92	6.5	1			
Prior surgery						
Yes (recurrence)	33	3.0	0.48 (0.056–3.94)	0.480	2.48 (0.14–43.2)	0.534
No (advanced disease)	79	6.3	1		1	
Prior chemotherapy						
Yes	84	7.1	–	0.146		
No	28	0.0	1			
Prior thoracic radiotherapy						
Yes	26	0.0	0	0.166		
No	86	7.0	1			
Pulmonary fibrosis						
Yes	12	33	16.7 (3.40–83.3)	<0.001	177 (4.53–6927)	0.006
No	100	2.0	1		1	

CI: confidence interval.

[a] Age was analyzed as a continuous variable in the multivariate analysis. Odds ratio was calculated per 10-year decrease.

[b] Smoking history was analyzed by comparing never-smokers and moderate/heavy smokers in the univariate analysis.

[c] Smoking history (pack-years) was analyzed as a continuous variable in the multivariate analysis. Odds ratio was calculated per 10-pack-year increase.

The incidence of drug-related adverse events is listed in Table 4. Grade 1 or 2 skin rash (81%) and diarrhea (56%) were the most frequent adverse events. Grades 1–3 elevation in glutamic-oxaloacetic transaminase (GOT) and/or glutamic-pyruvic transaminase (GPT) levels was observed in 46% of the patients.

3.3. Efficacy

Of the 112 patients, 98 had measurable disease. Four patients were not evaluated due to early discontinuation. Complete response, partial response, stable disease, and progressive disease were observed in 2, 30, 29, and 33 patients,

Table 4 Toxicity

	No. of patients evaluated	Grade			
		1	2	3	4
Skin rash	109	59	29	0	0
Diarrhea	109	57	4	0	0
GOT/GPT	106	31	8	10	0
Nausea	109	21	5	0	0
Interstitial lung disease (ILD)	112	0	1	1	4[a]

[a] Treatment-related death.

respectively. The response rate was 33% (32/98). The response rates in each subgroup of patients are listed in Table 5. According to the results of the univariate analysis, female gender ($P = 0.003$), adenocarcinoma ($P = 0.010$), no history of smoking ($P < 0.001$), and no history of thoracic radiotherapy ($P = 0.015$) were significant predictors of tumor response to gefitinib. The response rate of male smokers was 14% (8/56), which was lower than both that of female smokers (40%, $P = 0.052$) and that of male never-smokers (70%, $P < 0.001$). When pack-years were analyzed as a continuous variable among the smokers, the association between

Table 5 Response rates among subgroups of patients ($n = 98$)

	No. of patients	Response rate (%)	Univariate analysis		Multivariate analysis	
			Odds ratio (95% CI)	*P*-values	Odds ratio (95% CI)	P values
Total	98	33				
Age						
<70 years	69	36	1.50 (0.76–2.97)	0.244	1.57 (0.96–2.56)	0.071[a]
≥70 years	29	24	1			
Sex						
Female	32	53	2.34 (1.34–4.06)	0.003	1.84 (0.51–6.56)	0.349
Male	66	23	1		1	
Histological diagnosis						
Adenocarcinoma	81	38	6.51 (1.58–26.8)	0.010	4.27 (0.48–37.0)	0.191
Non-adenocarcinoma	17	6	1		1	
Smoking history (pack-years)						
Never-smokers (0)	32	63	3.44 (1.98–5.97)	<0.001[b]	3.92 (1.03–14.9)	0.045[b]
Moderate smokers (1–49)	22	23	1		1	
Heavy smokers (≥50)	44	16				
PS						
0–1	83	31	0.78 (0.38–1.62)	0.510	0.46 (0.10–2.09)	0.314
2–3	15	40	1		1	
Prior surgery						
No (advanced disease)	68	28	0.64 (0.36–1.14)	0.134	1.25 (0.35–4.41)	0.732
Yes (recurrence)	30	43	1		1	
Prior chemotherapy						
No	24	42	1.40 (0.76–2.58)	0.279	1.32 (0.35–4.95)	0.678
Yes	74	30	1		1	
Prior thoracic radiotherapy						
No	74	39	3.14 (1.24–7.90)	0.015	6.76 (1.30–35.7)	0.023
Yes	24	13	1		1	

CI: confidence interval.

[a] Age was analyzed as a continuous variable in the multivariate analysis. The odds ratio was calculated per 10-year decrease.

[b] Smoking history was analyzed by comparing never-smokers and moderate/heavy smokers.

increased pack-years and a lower response rate was also shown (OR per 10-pack-year increase: 0.74, 95% CI: 0.56–0.99, $P = 0.041$).

The results of a multivariate analysis showed that "no history of smoking" ($P = 0.045$) and "no history of thoracic radiotherapy" ($P = 0.023$) were significant predictors of response. It was also suggested that younger patients tended to obtain a higher response rate ($P = 0.071$). Although female gender and adenocarcinoma were not found to be predictive factors in the multivariate analysis, sex and histological diagnosis were significantly associated with smoking history, and these variables may have canceled each other's effect on the dependent variable. The proportion of never-smokers was 69% (22/32) among the women versus 15% (10/66) among the men (correlation coefficient [r] = 0.536, $P < 0.001$), and 67% (54/81) among the patients with adenocarcinoma versus 0% (0/17) among those with non-adenocarcinoma ($r = 0.319$, $P = 0.001$). When a multivariate analysis was performed excluding smoking history as a factor, the OR of the females and patients with adenocarcinoma was 3.81 (95% CI: 1.36–10.7, $P = 0.011$) and 6.45 (95% CI: 0.76–55.6, $P = 0.087$), respectively.

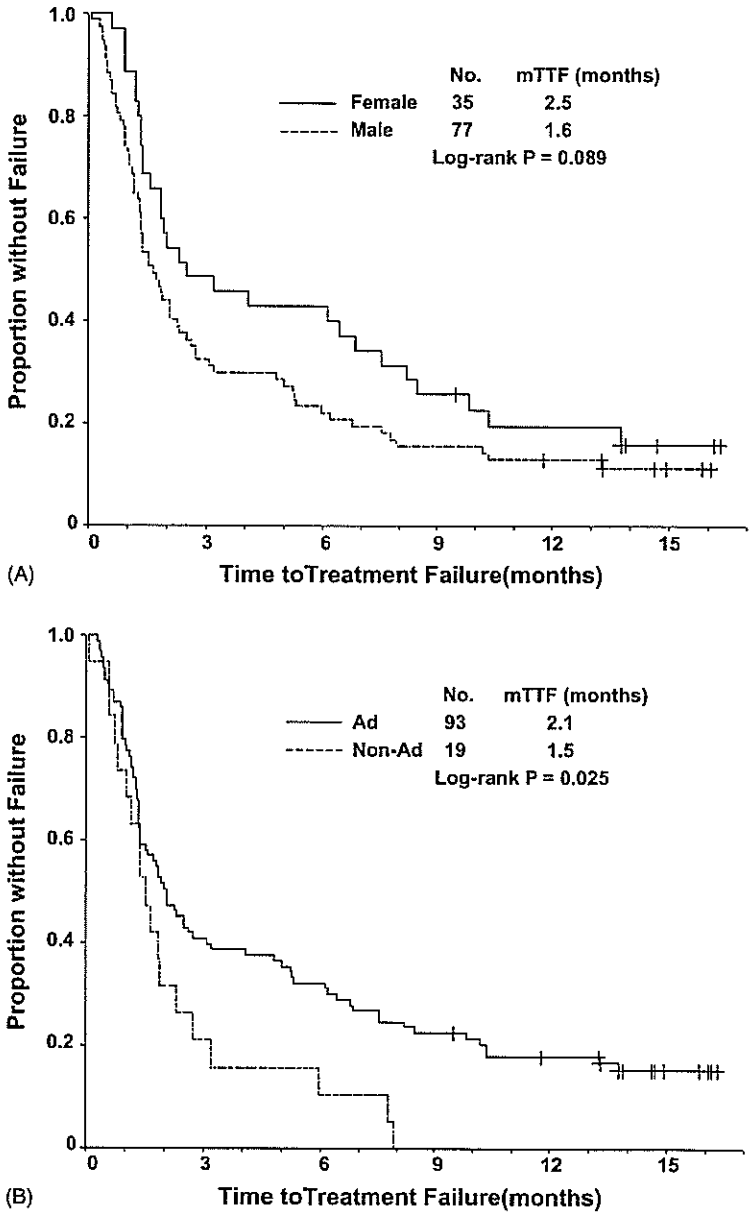

Fig. 1 Kaplan–Meier plot of time to treatment failure according to subgroups: (A) female versus male; (B) adenocarcinoma versus non-adenocarcinoma; (C) never-smokers versus moderate/heavy smokers. mTTF: median time to treatment failure, Ad: adenocarcinoma.

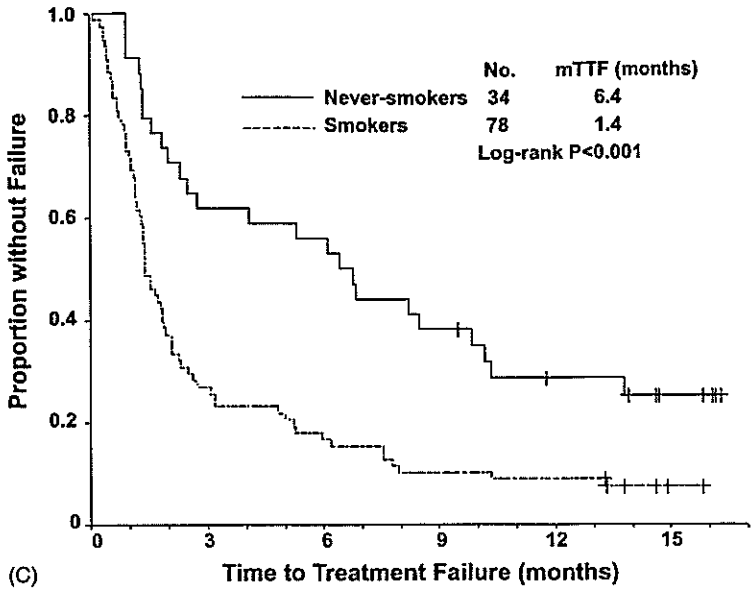

Fig. 1 (*Continued*).

The median follow-up time for survivors was 14.7 months, and ranged from 11.0 to 16.8 months. Sixty-nine patients (62%) died: 65 of disease progression and 4 of toxicity. Gefitinib treatment was terminated in 97 patients (87%) because of disease progression (68 patients), no tumor shrinkage (7 patients), toxicity (19 patients), or at the patients' request (3 patients). The median TTF and the median survival time (MST) for all patients were 1.9 and 10.7 months, respectively. The 1-year survival rate was 45%. The Kaplan–Meier plots of TTF and OS in each subgroup are shown in Figs. 1 and 2. The women had a longer TTF and OS than the men, but the difference was not significant. Patients with adenocarcinoma had a significantly longer TTF than those with non-adenocarcinoma, and "adenocarcinoma" was a marginally significant predictor of longer survival. "No history of smoking" was a highly significant predictor of longer TTF ($P < 0.001$) and longer survival ($P = 0.007$); the MST was 15.3 months in never-smokers and 8.8 months in moderate/heavy smokers.

We observed an association between efficacy and toxicity. As shown in Table 6, those who experienced skin rash or elevation in GOT/GPT levels tended to

Table 6 Association between efficacy and toxicity

	No. of patients	Response rate (%)	*P*-values*	Median survival (months)	1-year survival (%)	*P*-values†
Skin rash						
Grade 0	21	12	0.043	3.0	24	0.011
Grade 1	59	33		10.6	44	
Grade 2	29	46		15.3	66	
Diarrhea						
Grade 0	48	33	0.903	9.3	35	0.037
Grade 1–2	61	32		13.6	54	
GOT/GPT						
Grade 0	57	21	0.004	7.8	31	0.006
Grade 1	31	48		15.1	55	
Grade 2–3	18	50		Not reached	83	

* *P*-values for chi-square test between grade 0 and 1–3.
† *P*-values for log-rank test.

exhibit a response, and skin rash, diarrhea and elevation in GOT/GPT levels were significant prognostic factors of survival.

4. Discussion

Gefitinib is a promising agent for the treatment of advanced NSCLC, but risk assessment is of critical importance to using it properly. Gefitinib was thought to be a relatively safe agent at first, and physicians in Japan tended to prescribe it without careful consideration of risks. In the first 4 months after its approval, 17,000 patients began taking gefitinib, the most rapid adoption of any antitumor agent in Japan. The Ministry of Health, Labour and Welfare has estimated that the incidence of ILD was 2.2%. However, since a follow-up survey of all of the cases has not been conducted and only limited data from sporadic reports by physicians were available, many ILD cases may not have been reported, and the actual incidence may have been higher than 2.2%. Although the sample size in the present study was small, the incidence of ILD was

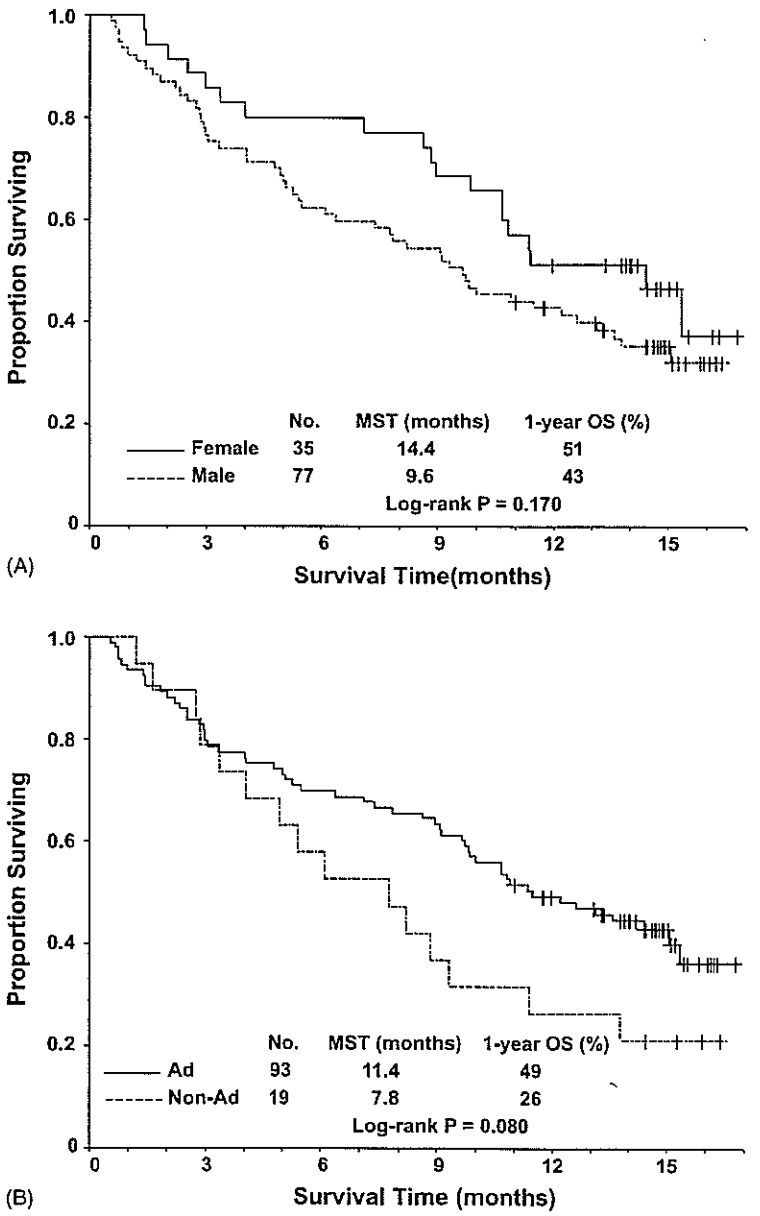

Fig. 2 Kaplan–Meier plot of overall survival according to subgroups: (A) female versus male; (B) adenocarcinoma versus non-adenocarcinoma; (C) never-smokers versus moderate/heavy smokers. MST: median survival time, OS: overall survival, Ad: adenocarcinoma.