

## Review

# Colorectal cancer: genetics of development and metastasis

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It has been well documented that there are two major pathways in colorectal carcinogenesis. One is the chromosomal instability pathway (adenoma–carcinoma sequence), which is characterized by allelic losses on chromosome 5q (*APC*), 17p (*p53*), and 18q (*DCC/SMAD4*), and the other is a pathway that involves microsatellite instability. Recent progress in molecular biology, however, has shown that colorectal carcinogenesis is not necessarily clearly divided into these two pathways, but is in fact more complicated. Other routes, including the transforming growth factor- $\beta$ /SMAD pathway, the serrated pathway, and the epigenetic pathway, have been reported. Cross talk among these pathways has also been reported. In the invasion and metastasis steps of colorectal cancers, many more genes have now been identified as being involved in proteolysis, adhesion, angiogenesis, and cell growth. Recently accumulated evidence indicates that colorectal cancer is a genetically heterogeneous and complicated disease.

**Key words:** colorectal cancer, chromosomal instability, microsatellite instability, metastasis

### Introduction

It is now generally accepted that colorectal cancer develops by genetic alterations. Analysis of the molecular mechanism makes it possible to develop a more targeted approach to prevention and treatment of this cancer. There are two major pathways in colorectal carcinogenesis. One is the chromosomal instability pathway (adenoma–carcinoma sequence), which is characterized by allelic losses, and the other is a pathway involving microsatellite instability (MSI). How-

ever, recent studies have suggested that colorectal carcinogenesis is not necessarily clearly divided into these two pathways. Other routes, including the serrated and epigenetic pathways, have been reported. There is also some cross talk among these pathways. Moreover, in the progression and metastasis steps of colorectal cancers, many more gene alterations are involved.

In this review, we describe the latest molecular biology of carcinogenesis and metastasis in colorectal cancers.

### Chromosomal instability pathway

Fearon and Vogelstein<sup>1</sup> proposed a multistep model of colorectal carcinogenesis, in which mutations in the adenomatous polyposis coli (*APC*) gene occur early during the development of polyps, *K-ras* mutations arise during the adenomatous stage, and mutations of *p53* and deletions on chromosome 18q occur concurrently with the transition to malignancy. This pathway is characterized by allelic losses on chromosome 5q (*APC*), 7p (*p53*), and 18q (*DCC/SMAD4*), and is therefore called the chromosomal instability (CIN) pathway. One of the cornerstones of the CIN pathway is the model represented by familial adenomatous polyposis (FAP), in which multiple small adenomas form as a result of initiation of tumorigenesis, namely, two hits in the *APC* gene, followed by mutations of *K-ras*, and subsequently mutations of *p53* and deletion on chromosome 18q. It has been surmised that this same mechanism is also applicable to sporadic colorectal carcinogenesis. The genes that have been reported to be involved in this pathway are listed in Table 1.

### *APC*/ $\beta$ -catenin

*APC* is a tumor suppressor gene on chromosome 5q whose germline mutation is responsible for FAP.<sup>2,3</sup> *APC*

**Table 1.** Oncogene genes and tumor suppressor genes in colorectal cancers

Gene	Chromosomal location	Prevalence of mutation (%)	Function of Gene product	References
<b>Tumor suppressor genes</b>				
<i>APC</i>	5q21	34–72	Inhibition of cell growth via $\beta$ -catenin degradation	8–11
<i>P53</i>	17p12	40–50	G1 cell-cycle arrest, apoptosis induction	18–21
<i>SMAD</i>	18q21	16–25	Growth arrest via p15 and p21 induction	53–55
<i>DCC</i>	18q21	6	Binding to netrin	34
<b>Oncogenes</b>				
<i>K-ras</i>	12p12	40–65	Growth promotion via RAF/MAPK, JNK, and PI3-K	15, 23–24
<i><math>\beta</math>-catenin</i>	31q21	5	Transcription of growth promoting genes ( <i>cyclin D1</i> , <i>c-myc</i> , etc.)	12

APC, adenomatous polyposis coli; DCC, deleted in colorectal cancer

protein, a member of the Wnt signal pathway, normally binds to  $\beta$ -catenin to form a complex with axin and GSK-3 $\beta$ , which is degraded through ubiquitylation.<sup>4–6</sup> When it is inactivated, accumulated  $\beta$ -catenin translocates from the lateral cell membrane to the nucleus, where it drives the transcription of multiple genes implicated in tumor growth and invasion. The large majority of *APC* mutations result in a premature stop codon and thus a truncated protein.<sup>7</sup> *APC* mutations are identified in approximately 30%–70% of sporadic adenomas and in 34%–72% of sporadic cancers.<sup>8–11</sup> About 50% of sporadic tumors with intact *APC* are reported to show mutations of  $\beta$ -catenin itself, resulting in the accumulation of  $\beta$ -catenin.<sup>12</sup> Thus, the APC/ $\beta$ -catenin pathway is considered to play a major role in colorectal carcinogenesis.

However, recent studies have claimed that the APC/ $\beta$ -catenin pathway is not necessarily invaluable first genetic alterations in colorectal cancer. For example, Umetani et al.<sup>13</sup> reported that the frequency of the *APC* mutation is 7% in flat tubular adenomas and 36% in polypoid tubular adenomas. We analyzed APC mutations in aberrant crypt foci (ACF), putative precursors of adenoma and cancer, by an in vitro synthesized protein (IVSP) assay and found no APC mutations in dysplastic or nondysplastic ACF.<sup>14,15</sup> Moreover, no  $\beta$ -catenin accumulation was observed in these lesions. Although one study reports APC mutations in dysplastic ACF, the positive rate was low.<sup>16</sup>

### *p53*

*p53* is the most commonly mutated tumor suppressor gene in various kinds of malignant tumors. *p53* protein normally induces G1 cell-cycle arrest to facilitate DNA repair during replication, or it induces apoptosis. *p53* mutations are generally considered to occur at the time of the transition from adenoma to cancer.<sup>1</sup> Most mutations occur in highly conserved areas of exons 5 to 8.<sup>17</sup> Moreover, the majority (approximately 80%) are mis-

sense mutations (GC to AT), which occur principally in five hotspot codons (175, 245, 248, 273, and 282).<sup>18</sup> *p53* mutations have been identified in 40%–50% of sporadic colorectal cancers.<sup>19</sup> The frequency of *p53* mutations is higher in distal colon and rectal cancers than in proximal colon cancers.<sup>20</sup> Patients with cancers involving a *p53* mutation have a worse outcome and shorter survival time than patients whose cancers do not have a mutation in this gene.<sup>21</sup>

### *K-ras*

*K-ras* mutations have been detected in various kinds of cancers, particularly in gastroenterological cancers, including colorectal, pancreatic, and bile duct cancers. They have been found in 15%–68% of sporadic colorectal adenomas and in 40%–65% of cancers.<sup>15,22–24</sup> The majority of *K-ras* mutations occur as an activating point mutation in codons 12, 13, and 64.<sup>25–27</sup> Mutated *K-ras* protein activates a variety of effector pathways, including RAF/MAPK, JNK, and phosphatidylinositol 3-kinase (PI3-K), leading to constitutive growth promotion. Some of the downstream gene targets of *K-ras* include the cyclin D1, DNA methyltransferase, and vascular endothelial growth factor (*VEGF*) genes.<sup>28–30</sup>

### *DCC/SMAD*

As noted above, allelic losses on chromosome 18q have been identified in approximately 70% of primary colorectal cancers, particularly in advanced colorectal cancers with hepatic metastasis, implying that an 18q deletion may contribute to the progression of colorectal cancers.<sup>1</sup> The *DCC* (deleted in colorectal cancer) gene was long ago proposed as a candidate tumor suppressor gene on 18q.<sup>31</sup> Point mutations of the *DCC* gene have been identified in approximately 6% of sporadic colorectal cancers.<sup>32</sup> However, the candidacy of this gene has recently been called into question. Mice het-

erozygous for *DCC* have been reported to lack the tumor predisposition phenotype.<sup>33</sup> Moreover, other tumor suppressor genes, including *SMAD4/2*, have been reported on 18q.<sup>34,35</sup> In particular, *SMAD4* is currently a candidate gene because the inactivation of *SMAD4* has been causally associated with progression of cancers. The detailed role of *DCC* in colorectal cancers needs further study.

### Microsatellite instability pathway

Microsatellite instability (MSI) is characterized by expansions or contractions in the number of tandem repeats of simple DNA sequences (microsatellites). MSI has been identified in colorectal cancer associated with hereditary nonpolyposis colorectal cancer (HNPCC) syndrome,<sup>36–38</sup> and DNA mismatch repair (MMR) enzymes, including hMSH2, hMLH1, hPMS1, hPMS2, and hMSH6, have since been shown to be responsible for MSI.<sup>39–43</sup> Moreover, mutations in microsatellites of target genes such as the transforming growth factor- $\beta$  (*TGF- $\beta$* ) gene (Table 2) have also been identified in MSI positive tumors.<sup>44–50</sup> Interestingly, MSI is also present in sporadic colorectal cancers: MSI-H (high-frequency MSI) is present in 10%–20% and MSI-L (low-frequency MSI) in 5%–50% of such cancers. In approximately 80% of MSI-H sporadic colorectal cancers, hypermethylation of the *hMLH1* promoter is observed.<sup>51</sup> Sporadic cancers with the MSI-H phenotype demonstrate distinct clinicopathological features compared with MSS (microsatellite stable) or MSI-L tumors, occurring predominantly in the proximal colon and more frequently in women than in men.<sup>52</sup> These cancers are also characterized by distinct histopathological features, including mucinous or signet-ring cell differentiation, medullary features, and excess lymphocyte infiltrations. However, neither MSI-L nor MSS tumors demonstrate such characteristic features. Moreover, MSI-L and MSS tumors more frequently have *K-ras* and *p53* mutations and loss

of heterozygosity (LOH) at 5q, 19p, and 18q. Therefore, it is still controversial whether MSI-L and MSS tumors are really different from each other. There is a possibility that MSI-L tumors develop and progress in association with both the MSI and CIN pathways. In addition, approximately 30%–40% of sporadic MSI-H cancers have *APC* mutations. Similarly, approximately 36% of sporadic MSI-H cancers have *p53* mutations. Thus, a subset of colorectal cancers develop in association with both MSI and *APC* or *p53* mutations.

### TGF- $\beta$ /SMAD signaling pathway

The TGF- $\beta$ /SMAD signaling pathway is composed of TGF- $\beta$  receptor type I (TGF $\beta$ RI) and type II (TGF $\beta$ RII) and SMAD proteins. When TGF- $\beta$  binds to TGF $\beta$ RII, which then complexes with TGF $\beta$ RI, TGF $\beta$ RI phosphorylates SMAD2, which binds to SMAD4. This complex translocates into the nucleus and induces the Cdk inhibitors, p15 and p21, leading to growth arrest. Mutations leading to the inactivation of the *SMAD4* gene have been found in 16%–25% of colorectal cancer cases.<sup>53–55</sup> Alterations of SMAD2 have been identified in 6% of cases.<sup>54</sup> In contrast, a *TGF $\beta$ RII* mutation is frequently identified in the 10-bp polyA tract in MSI-positive tumors.<sup>44</sup> In MSI-positive tumors without the *TGF $\beta$ RII* mutation, mutations of the IGF-II receptor have been frequently identified.<sup>45</sup> Both *TGF $\beta$ RII* mutation and *SMAD* mutation are reported to occur with the same timing during carcinogenesis, at the transition from adenoma to carcinoma.

Recently, studies suggesting cross talk between the TGF- $\beta$  and Wnt signal pathways have attracted much attention. A direct physical interaction between TGF- $\beta$  and Wnt pathway components has been reported. That is, the TGF- $\beta$  and Wnt pathways synergistically promote carcinogenesis of colorectal cancers through direct interaction of SMAD proteins and LEF-1.<sup>56</sup> Moreover, in heterozygote mice of both *APC* and

**Table 2.** Target genes of MSI in colorectal cancer

Gene	DNA repetitive sequence (nucleotides)	Incidence of mutations (%)		Function of the gene products	References
		HNPCC	Sporadic MSI positive tumor		
<i>TGF<math>\beta</math>RII</i>	(A) <sub>10</sub> (709–718)	75–83	80–90	Inhibition of cell growth	44
<i>BAX</i>	(G) <sub>8</sub> (114–121)	50–55	11–50	Induction of apoptosis	45
<i>IGFIIR</i>	(G) <sub>8</sub> (4089–4096)	13	9	Growth promotion	46
<i>hMSH6</i>	(C) <sub>8</sub> (3049–3056)	33	25–36	Mismatch repair enzyme	47
<i>hMSH3</i>	(A) <sub>8</sub> (1141–1148)	50–58	35–46	Mismatch repair enzyme	48
<i>PTEN</i>	(A) <sub>6</sub> (795–800)	—	18.8	Inhibition of cell growth	49
<i>E2F-4</i>	(CAG) <sub>13</sub> (918–956)	71	42–57	Progression of cell-cycle	50

TGF $\beta$ RII, TGF- $\beta$  receptor II; IGFIIR, IGF-II receptor; MSI, microsatellite instability; HNPCC, hereditary nonpolyposis colorectal cancer

**Table 3.** Gene alterations in serrated and classical adenoma

Gene	Serrated adenomas (%)	Classical adenomas (%)	References
<i>K-ras</i>	15–20	15–68	58, 60
<i>APC</i>	0–20	30–70	58
<i>p53</i>	5–8	0–25	58, 60
MSI			
MSI-H	17–21	5	58, 59
MSI-L	5–50	11	58, 59, 62

MSI-H, high-frequency microsatellite instability; MSI-L, low-frequency microsatellite instability

*SMAD4* genes, intestinal polyps develop into more malignant tumors compared with those of *APC* alone.<sup>57</sup> Thus, one signaling pathway is coordinately associated with another signaling pathway in the process of carcinogenesis.

### Serrated pathway

Recently, the potential role of serrated adenomas and hyperplastic polyps in the genesis of colorectal cancer has gained considerable attention. Serrated adenomas are histologically defined as adenomas that have the morphological features of hyperplastic polyps but which also contain cytological features of conventional adenomas. It has been reported that 30%–50% of serrated adenomas display MSI, mostly at a low level (MSI-L), whereas they show a low rate of *K-ras* and *APC* mutations (Table 3).<sup>58–60</sup> In serrated adenomas with the MSI-H phenotype, aberrant methylation [CpG island methylator phenotype (CIMP)] of the *hMLH1* gene, and loss of its expression have been frequently noted.<sup>61</sup> Moreover, mutations of the same target genes as those in MSI-H cancers, for example, *TGFβRII*, *BAX*, and *IGF1R*, have also been reported.<sup>45–47</sup> The genetic alterations of *p53* are still controversial. However, several recent studies have shown low incidences of *p53* mutations, similar to those in classical adenoma.<sup>58,60</sup> Thus, evidence of the MSI-H serrated pathway in colorectal cancers has accumulated. Regarding serrated adenoma with the MSI-L phenotype, expression of the DNA repair gene *O*-6-methylguanine DNA methyltransferase (*MGMT*) has been reported to be lost by methylation.<sup>62,63</sup> However, details remain unclear.

### Epigenetic mechanism of colon carcinogenesis

Recent molecular biology studies have revealed that an epigenetic mechanism plays an important role in colorectal carcinogenesis. CpG-rich regions located at the 5' end of coding sequences can undergo hypermethylation, leading to the silencing of the gene.

Cancers demonstrating methylation and silencing of multiple genes are described as CIMP positive. The *hMLH1* gene is a frequent target of hypermethylation, which leads to the MSI-H phenotype, as described above. Many genes other than *hMLH1*, including *p16<sup>INK4A</sup>*, *MGMT*, estrogen receptor (*ER*), *APC*, and *COX-2*, have been reported to undergo hypermethylation and silencing in human colorectal cancers.<sup>64</sup> Recently colorectal adenomas, in particular, villous and tubulovillous adenomas as well as cancers, have been reported to show CIMP.

### Genes related to invasion and metastasis

The conventional paradigm of invasion and metastasis of colorectal cancer consists of a complex series of steps, including proteolysis of the local extracellular matrix (ECM), adhesion, angiogenesis, dissemination, and cell growth. Many gene alterations are complexly involved in these processes (Table 4).

#### *Genes for proteolysis*

In the proteolysis step, proteinases, which are produced by cancer cells or fibroblasts, degrade ECM components and enable cancer cells to detach from the primary site. Of the many kinds of proteinases, matrix metalloproteinases (MMPs), which currently are known to comprise more than 25 enzymes, appear to exert a dominant effect. MMPs are collectively able to degrade virtually all ECM components, that is, collagens, laminin, fibronectin, vitronectin, enactin, and proteoglycans. In particular, MMP-7 (matrylsin) is reported to play an important role in the degradation of ECM. Matrylsin is overexpressed in the majority of colorectal cancers, and its expression is positively correlated with metastatic potential.<sup>65</sup> MMPs other than matrylsin, including collagenases, gelatinases, and stromelysin, are also reported to be involved in proteolysis of ECM.<sup>66–71</sup> On the other hand, tissue inhibitors of metalloproteinase (TIMP) in colorectal cancer tissues protect against ECM degradation.<sup>72</sup> Urokinase

**Table 4.** Genes related to invasion and metastasis in colorectal cancers

Genes	Characters of gene products	References
Genes for proteolysis		
<i>MMP-7</i> (matrylisin)	Digestion of fibronectin, laminin, collagen IV, and protoglycans	65, 71
<i>MMP-2, -9</i> (gelatinases)	Digestion of gelatins and collagen IV	66, 68
<i>MMP-1, -8, -13</i> (collagenases)	Digestion of collagens I, II, III, IV, VI, IX, X, and XI	66, 67
<i>MMP-3</i> (stromelysin-1)	Digestion of fibronectin and laminin	70
<i>TIMP-1</i>	Tissue inhibitors of MMP	72
<i>uPAR</i>	Activation of plasmin-plasminogen system	73
Genes for adhesion		
Integrins	Binding to laminin, collagen, fibronectin, and vitronectin	74, 75
Cadherins	Cell-cell adhesion	76, 77
<i>CD44</i>	Binding to hyaluronan	78
<i>CEA</i>	Binding to a receptor on Kupffer cells	79–81
Genes for angiogenesis		
<i>VEGF</i>	Angiogenesis, MMP-9 induction	82, 84–88
<i>PD-ECGF</i>	Angiogenesis	83
Genes for cell survival and others		
<i>TRAIL-R</i>	Binding to TRAIL to induce apoptosis	89–93
<i>CXCR4</i>	Binding to SDF-1 to enhance migration and invasiveness	94, 95
<i>Drg-1</i>	Cell differentiation	96
<i>c-Met</i>	Binding to HGF to enhance motility and invasiveness	97, 98

MMP, matrix metalloproteinase; TIMP, tissue inhibitor of metalloproteinase; uPAR, urokinase plasminogen activator receptor; CEA, carcinoembryonic antigen; VEGF, vascular endothelial growth factor; PD-ECGF, platelet-derived endothelial cell growth factor; TRAIL, tumor necrosis factor related apoptosis-inducing ligand; TRAIL-R, TRAIL receptor; SDF, stromal cell-derived factor; HGF, hepatocyte growth factor

plasminogen activator receptor (uPAR) is another factor that has been implicated in this process.<sup>73</sup>

#### Genes for adhesion

Many adhesion molecules, including integrins, cadherins, selectins, CD44, ICAM-1, VCAM-1, and carcinoembryonic antigen (CEA), have been identified in colorectal cancers.<sup>74–82</sup> For example, integrins can bind many ECM molecules, such as laminin, collagen, fibronectin, and vitronectin. Cancer cells expressing these adhesion molecules are more likely to adhere to the ECM, leading to subsequent invasion and metastasis.

E-cadherin is a cell-cell adhesion molecule that participates in a homotypic, calcium-dependent interaction to form an epithelial adherens junction. The invasiveness and metastatic potential of a broad range of cancers are often associated with downregulation of E-cadherin expression.<sup>76</sup> Previously, we also reported that expression of E-cadherin is inversely correlated with tumor aggressiveness.<sup>77</sup> CEA, a well-known human tumor marker, has also been reported to function as an intercellular adhesion molecule.<sup>79–81</sup> It is well documented that CEA expression is positively correlated with liver metastasis. However, the CEA receptor molecule and the mechanism of their binding are not yet clarified.

#### Genes for angiogenesis

Angiogenesis is an important step in the outgrowth of a primary tumor and also provides a source for hematogenous dissemination, progression, and metastasis. Many potential angiogenic factors have been characterized, including VEGF and platelet-derived endothelial cell growth factor (PD-ECGF).<sup>82,83</sup> Of these factors, VEGF is the most important, and it has been well examined for its role in the invasion and metastasis of cancer cells. Currently, six VEGF molecules (VEGF A–F), are known, and they induce angiogenesis by acting as highly specific mitogens for endothelial cells. Signal transduction involves binding to tyrosine kinase receptors (VEGF receptors; VEGFR) and results in endothelial cell proliferation, migration, and new vessel formation, as well as increased vascular permeability.<sup>84,85</sup> This process is also closely associated with other signal transductions such as mitogen-activated protein kinase.<sup>86</sup> Colorectal cancers with increased *VEGF* expression are well known to be associated with a poor prognosis.<sup>87,88</sup>

#### Genes related to cell growth and evasion of the immune system

Only a small population of tumor cells lodged in distant organs have the ability to survive, grow, and evade the immune system. Many molecular factors are involved in this process (Table 4). For instance, tumor necrosis factor-related apoptosis-inducing ligand (TRAIL), a mem-

ber of the TNF family, is known to be expressed in human hepatic NK cells.<sup>89,90</sup> Recent studies have revealed that a tumor cell that expresses TRAIL receptor 1 (TRAIL-R1) or 2 (TRAIL-R2) is destroyed by NK cells through apoptosis in the liver, whereas a tumor cell expressing TRAIL receptor 3 (TRAIL-R3) or 4 (TRAIL-R4) can survive by resisting apoptosis.<sup>91,92</sup> Patients with colorectal cancers with high TRAIL-R1 expression have been reported show a significantly poorer prognosis than those with low TRAIL-R1 expression.<sup>93</sup>

The gene for chemokine receptor CXCR4, whose ligand is the chemokine stromal cell-derived factor (SDF-1) has also been reported to be involved in metastasis of colorectal cancers.<sup>94</sup> There is growing evidence that CXCR4 and SDF-1 regulate migration and metastasis of cancer cells. Zeelenberg et al.<sup>95</sup> reported that CXCR4-deficient colon cancer cells did not proliferate but stayed as single cells in the liver, although the control cells grew there, indicating that CXCR4 plays an important role after the cancer cells colonize the liver. It has also been reported that other genes such as *Drg-1* and *c-Met* play a role in this process of metastasis.<sup>96-98</sup>

The gene alterations involved in invasion and metastasis occur by various mechanisms, including chromosomal instability, MSI, and promoter methylation.

## Epilogue

Rapid advances are being achieved in the understanding of the molecular genetics and epigenetics of colorectal cancers. Accumulating evidence has shown that colorectal cancer is heterogeneous and complex. However, we believe that detailed genetic and molecular biological analyses of colorectal cancer will contribute to its prevention and diagnosis and to effective therapeutics in the future.

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## Aberrant Crypt Foci: Detection, Gene Abnormalities, and Clinical Usefulness

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Human aberrant crypt foci (ACF) were first identified as lesions consisting of large thick crypts in colonic mucosa of surgical specimens after staining with methylene blue. Previously we succeeded in identifying ACF by using magnifying endoscopy and analyzed the number, size, and dysplastic features of ACF in normal controls and patients with adenoma or cancer patients. On the basis of these analyses, we strongly suggested that ACF, particularly dysplastic ACF, are precursor lesions of the adenoma-carcinoma sequence in humans. In most sporadic ACF, K-ras mutations were positive, but APC mutations were negative irrespective of nondysplastic or dysplastic features. Conversely, in most ACF from familial adenomatous polyposis patients, APC mutations were positive but K-ras mutations were negative. These results may suggest that the molecular mechanism of sporadic colon carcinogenesis is not necessarily the same as that of familial adenomatous polyposis. It was shown that ACF acquired resistance to apoptosis induced by bile salts, whereas normal colonic epithelial cells are turning over consistently by apoptosis. This apoptosis resistance was closely associated with glutathione S-transferase P1-1 expression. One of the most important clinical applications of ACF observation with magnifying endoscopy is its use as a target lesion for chemoprevention. Because ACF are tiny lesions, they should be eradicated during a short time by administration of chemopreventive agents. In fact, we performed an open chemopreventive trial of sulindac and found that the number of ACF was reduced markedly in 2 months. We currently are proceeding with a randomized double-blind trial targeting ACF.

Aberrant crypt foci (ACF) were first described by Bird<sup>1</sup> as lesions consisting of large thick crypts in methylene blue-stained specimens of colon from mice or rats treated with a carcinogen. Features of ACF were described in Bird's<sup>1</sup> report as follows: (1) grossly normal-appearing mucosa, (2) large crypts densely stained with methylene blue, and (3) often, a wide pericryptal space. Many studies have shown that ACF are precancerous

lesions in models of colon chemical carcinogenesis in animals. In humans, ACF were first identified in whole-mount preparations of normal-appearing colonic mucosa with methylene blue staining obtained from surgical resection from patients with colon cancer.<sup>2</sup> Subsequently, oncogenic abnormalities such as K-ras mutations have been reported in ACF. Increased proliferative activities such as proliferating cell nuclear antigen and bromodeoxyuridine in ACF also were reported. However, these studies were performed mainly on surgical specimens. Data from normal controls and patients with adenomas, which could provide essential information about the relation of ACF to cancer, were lacking until recently.

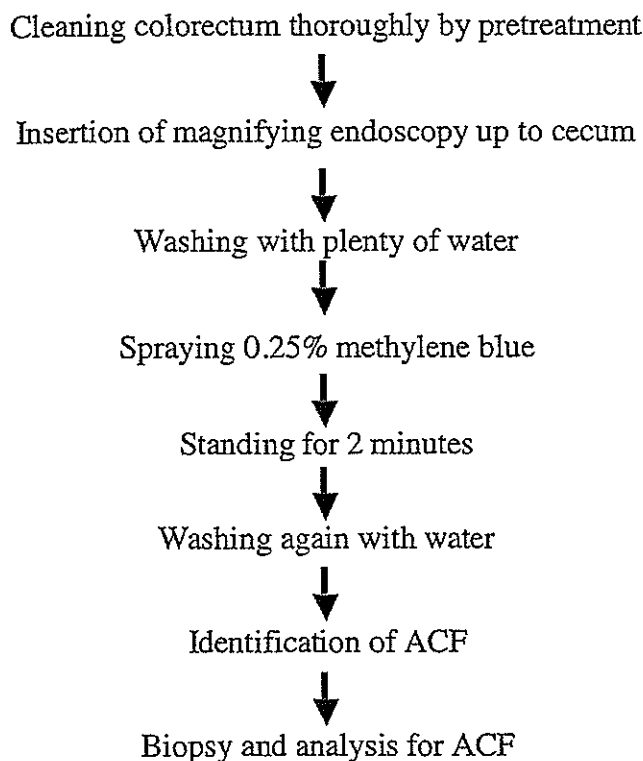
### Identification of Aberrant Crypt Foci Using Magnifying Endoscopy

ACF can be identified in situ by using magnifying endoscopy with the aid of methylene blue staining, as previously reported.<sup>3</sup> First, the colorectum should be cleaned thoroughly by pretreatment. A magnifying endoscope (models EC-490ZW and EC485-ZW; Fujinon-Toshiba ES System, Tokyo, Japan) is used throughout the examination, and all patients undergo complete colonoscopy. As shown in Figure 1, the colorectal mucosa was washed with water, sprayed thoroughly with .25% methylene blue, left to stand for about 2 minutes, and washed again with water. ACF are classified histologically as nondysplastic-nonhyperplastic, nondysplastic-hyperplastic, or dysplastic. These types of ACF can be identified distinctly by magnifying endoscopy (Figure 2). In general, nondysplastic-nonhyperplastic ACF consist of crypts with round or oval lumens. Nondysplastic-hyper-

*Abbreviations used in this paper:* FAP, familial adenomatous polyposis; GST P1-1, glutathione S-transferase P1-1; TUNEL, terminal deoxynucleotidyl transferase-mediated deoxyuridine triphosphate nick-end labeling.

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**Figure 1.** Identification of ACF by magnifying endoscopy. The panel shows ACF identified by magnifying endoscopy (EC-485-ZW; Fujinon-Toshiba ES system).

plastic ACF show the crypts with asteroid or dendritic (or slit-like) lumens. Dysplastic ACF consist of crypts in which the epithelial lining is thicker and each lumen is compressed or not distinct. Generally, dysplastic ACF show a darker staining with methylene blue.<sup>3</sup> Similar ACF also are identified in patients with familial adenomatous polyposis (FAP). ACF from FAP patients show a similar appearance to dysplastic ACF in sporadic cases.

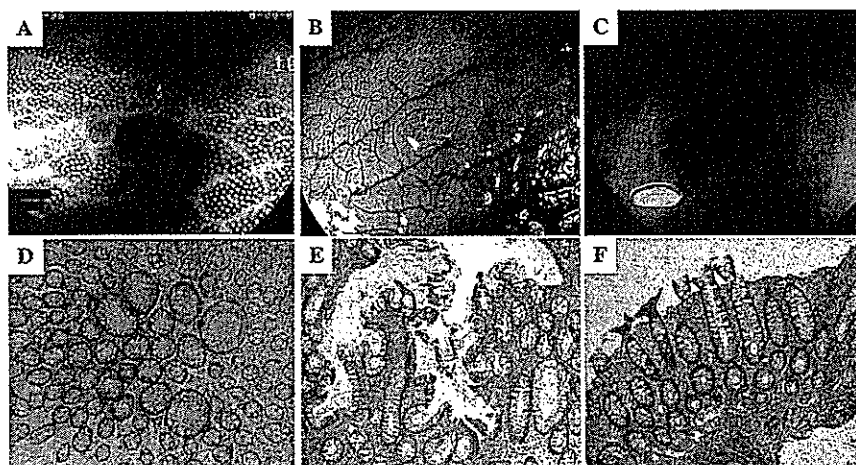
However, they show much weaker staining and each crypt lining may be obscure. Histologically, ACF from FAP patients consist of large crypts in which there are slight nuclear stratifications and nuclear disorientation, suggesting dysplastic features.<sup>4</sup>

We first examined ACF in the entire colorectal mucosa and found that ACF was highly prevalent in the rectosigmoidal colon. To quantify the number of ACF and to minimize the time needed, we confined further examinations to the lower rectal region from the middle Houston valve to the dentate line.

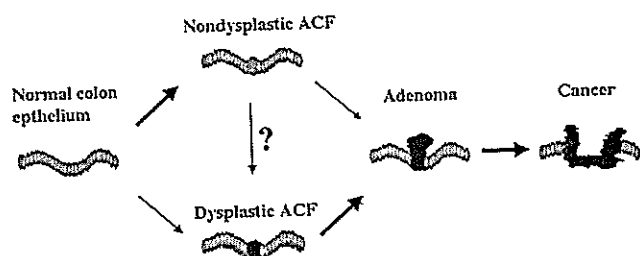
**Analysis of Aberrant Crypt Foci in Normal Patients, Patients With Adenoma, and Patients With Cancer**

To clarify the significance of ACF in human colonic carcinogenesis, it is important to analyze ACF in normal people with adenoma and patients with cancer by using a magnifying endoscope. We found that the number of ACF, in particular dysplastic ACF, progressively increased in normal controls, adenoma patients, and cancer patients.<sup>3</sup> We also found a significant correlation between the number of ACF and the number of adenomas. When ACF were classified into small, medium, or large according to the number of crypts, there was a clear correlation between the size of ACF and the number of adenomas. Moreover, in some cases, ACF were superimposed by small polyps. These results strongly suggested that ACF, particularly dysplastic ACF, are precursor lesions of adenomas and subsequent cancers (Figure 3).<sup>3</sup>

It still is controversial whether nondysplastic ACF precede dysplastic ACF or not. However, there is a report that some ACF contained dysplastic and nondysplastic



**Figure 2.** Three different types of ACF. Endoscopic appearances of (A) nondysplastic nonhyperplastic ACF, (B) nondysplastic hyperplastic ACF, and (C) dysplastic ACF. Histologic findings of (D) nondysplastic nonhyperplastic ACF, (E) nondysplastic hyperplastic ACF, and (F) dysplastic ACF.



**Figure 3.** Colon carcinogenesis through ACF. Accumulating data on ACF strongly suggest that ACF, particularly dysplastic ACF, are precursor lesions of adenoma and subsequent cancer.

features in the same lesions.<sup>5</sup> We also found and confirmed the existence of these kinds of ACF lesions.

### Gene Analysis of Aberrant Crypt Foci

In the adenoma-carcinoma sequence, it is well accepted that genetic alterations accumulate in the following order: APC, K-ras, and P53.<sup>6</sup> This is supported by many studies on FAP. Therefore, it is important to compare the genetic abnormalities in sporadic ACF with those of FAP.<sup>7,8</sup> We analyzed the mutation cluster region of APC genes by truncation assay and found that APC mutation was negative in all sporadic ACF irrespective of nondysplastic and dysplastic features.<sup>4</sup> Meanwhile, it was detected in all of the dysplastic ACF from FAP patients. These were somatic mutations because germline mutations were detected in other regions of the APC gene. Immunofluorescence showed  $\beta$ -catenin accumulation in FAP ACF, but not in sporadic ACF. The frequencies of K-ras mutations in sporadic ACF were 63%–82%, as shown by the 2-step polymerase chain reaction restriction fragment length polymorphism method,<sup>4</sup> which is consistent with other studies.<sup>9</sup> However, it hardly was detected in FAP ACF. Therefore, it is surmised that in sporadic colorectal carcinogenesis, K-ras mutation occurs during the formation of ACF, which then become adenomas wherein APC mutations occur. On the other hand, in FAP, somatic mutation of APC predominantly occurs during ACF formation, followed by K-ras mutation.

### Resistance of Aberrant Crypt Foci to Apoptosis Induced by Bile Acids

It has been suggested that normal colonic epithelial cells undergo apoptosis induced by some cytotoxic substances such as bile salts. We found that ACF are resistant to apoptosis induced by deoxycholic acid, a secondary bile acid, as shown by terminal deoxynucleotidyl transferase-mediated deoxyuridine triphosphate

nick-end labeling (TUNEL) staining in ACF specimens. Thus, some TUNEL-positive cells were detected in normal epithelial crypts, whereas much fewer TUNEL-positive cells were detected in ACF as well as in adenoma. When the specimens were treated with deoxycholic acid, the difference became more pronounced.<sup>10</sup>

It is well known that cyclooxygenase-2 plays an important role in colon carcinogenesis through its cytoprotective activity. Therefore, we examined the ACF for cyclooxygenase-2 expression by immunohistochemistry but found none or little, although it was detected in adenoma and cancers.<sup>10</sup> It also is known that glutathione S-transferase P1-1 (GST P1-1), a phase II detoxifying enzyme, is expressed in colonic adenomas and cancer. We found that GST P1-1 is expressed in ACF as well as in adenomas and cancer, and that it was induced by K-ras mutation.<sup>11</sup> Because GST P1-1 sequesters xenobiotics such as bile salts, it is plausible that GST P1-1 serves as a cytoprotecting factor in ACF.

### Clinical Applications of Aberrant Crypt Foci Observation by Magnifying Endoscopy

One clinical application of ACF is as a marker to predict the risk for colorectal cancer. For example, on the basis of our data on ACF in normal patients, adenoma patients, and cancer patients, we can calculate odds ratios for adenoma and cancer. Odds ratios for adenoma and cancer in patients with dysplastic ACF are 4.2 and 10.2, respectively. Odds ratios for adenoma and cancer in patients with large ACF ( $\geq 20$  crypts) are 5.3 and 24.6, respectively. Thus, the patients who have large and dysplastic ACF have a high risk for adenoma and subsequent cancer.

Perhaps the most important application of ACF is as a target lesion for chemoprevention. Currently, the most common target lesion for evaluation of chemopreventive agents has been the polyp. However, in using polyps as a target, the following problems have been raised: polyps are too large to be eradicated completely and the evaluation of chemopreventive effects on polyps requires a long period of time. In this context, ACF are the most appropriate lesions because they are the earliest and smallest detectable lesions with a simple genetic alteration (K-ras mutation). Therefore, the advantage in using ACF are as follows: (1) short-term treatment for evaluation; (2) complications are less frequent, as in the case with gastric ulcers caused by nonsteroidal anti-inflammatory drugs; and (3) there is good patient compliance because of the short-term treatment. In fact, we performed an open chemopreventive trial of sulindac and

found that the number of ACF was reduced markedly in only 2 months. We currently are proceeding with a randomized double-blind trial targeting ACF. The results will be obtained in the near future.

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## トピックス

## I. 疫学と病態

## 4. 大腸癌の前癌状態

高山 哲治 勝木 伸一 新津洋司郎

## 要 旨

大腸癌の発生母地として、メチレンブルーに濃染する微小病変であるaberrant crypt foci(以下ACF)が注目されている。われわれはこれまで、拡大内視鏡を用いて、ヒトACFを観察し、ACF(特にdysplastic ACF)が腺腫の前病変であることを指摘した。ACFではK-ras変異が高率に認められ、細胞増殖活性ならびにアポトーシス抵抗性が亢進している。ACFを内視鏡的に観察することは、大腸癌の高危険群の絞り込みや癌予防の臨床試験に有用である。 [日内会誌 96:220~225, 2007]

**Key words** : aberrant crypt foci (ACF), 大腸癌, 前癌病変

## はじめに

大腸癌の前癌病変として、古くからポリープ(腺腫)が良く知られている。ポリープは、通常の内視鏡検査で容易に観察し得る病変であり、診断されれば前癌病変として内視鏡的切除が行われている。しかし、ポリープより早期の病変についてはこれまで十分な検討は為されていなかった。一方、最近内視鏡技術の進歩により拡大内視鏡がルーチンに使用できるようになった。われわれは、拡大内視鏡を用いて、ヒトにおいて大腸の微小病変であるaberrant crypt foci (ACF)を観察し、ACFが腺腫、癌の前病変である可能性を指摘してきた<sup>1)</sup>。本稿では、ACFの前癌病変としての意義を中心に述べるとともに、潰瘍性大腸炎に合併する癌の前病変についても言及する。

## 1. Aberrant crypt foci (ACF) とは

1987年、Birdは発癌剤(アゾキシメタン)を投与したマウスやラットの大腸粘膜を実体顕微鏡下に観察し、メチレンブルーに濃染する微小病変を見出しaberrant crypt foci (ACF)と命名した<sup>2)</sup>。彼らは、ACFを以下のように定義している。すなわち、1)肉眼的には正常に見える大腸粘膜(ポリープのような明らかな隆起性病変を認めない)、2)実体顕微鏡を用いて観察しうるメチレンブルーに濃染する大きな腺管の集まり、である。その後の研究により、ACFは1)発癌プロモーターとして知られるケノデオキシコール酸により、その数や大きさが増加するとともに、核異型やdysplasiaを伴うこと、2)発癌に抑制的に働くと思われるアスピリンやドコサヘキサノイン酸によりその数が減少し、大きさも小さくなること、3)proliferating cell nuclear antigen (PCNA)などの細胞増殖活性の亢進や癌遺伝子の変化(K-ras, c-fosなど)が認められること、などが報告され、ACFは動物発癌の初期病変と

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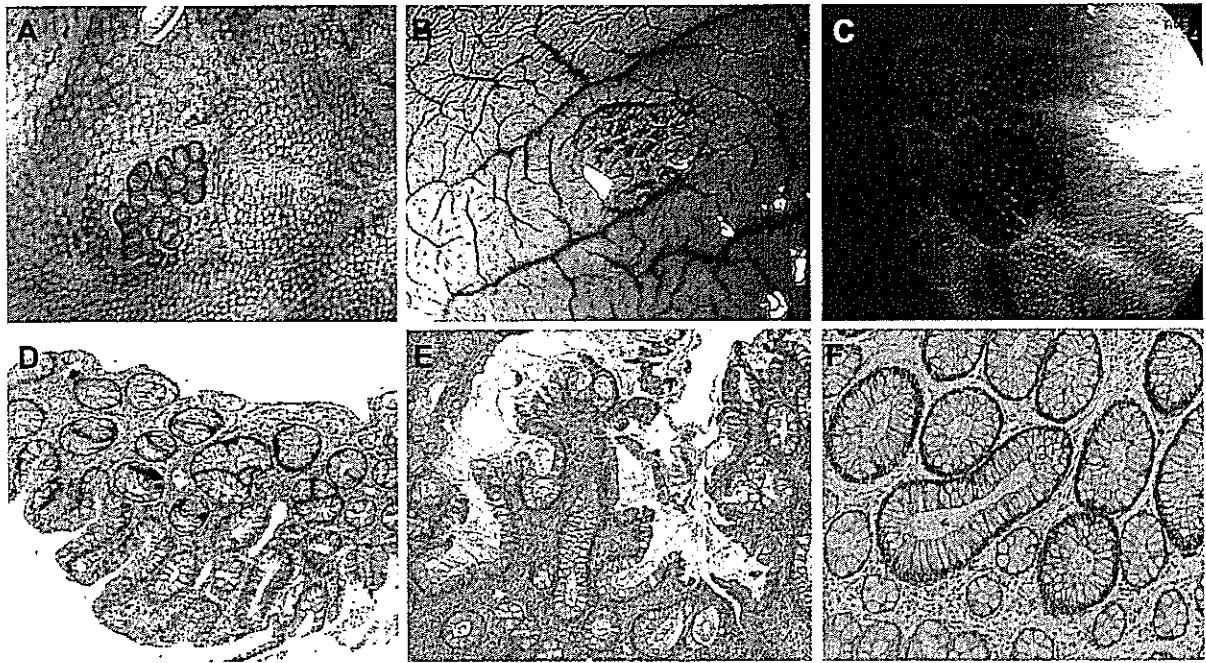


図1. ACFは、類円形の腺口形態を呈する Nondysplastic-nonhyperplastic ACF (A,D), 各々の腺管が星芒状を呈する Hyperplastic ACF (B,E), 各腺口形態が不明瞭 (又はスリット状) の Dysplastic ACF (C,F) に分類される。

考えられている。

一方、ヒトにおいては、Pretlowらが大腸切除標本を実体顕微鏡下に観察し、ヒトACFの存在を報告した。さらに、ヒトACFにおいても高率にK-ras変異が認められると報告された。しかし、ヒトACFの病的意義は十分には検討されていなかった。

## 2. 拡大内視鏡所見を用いたACFの観察

われわれは、ヒトACFを拡大内視鏡(フジノン東芝ESシステム社製 450CM)を用いて以下の方法により観察し得ることを報告した。すなわち、1)大腸粘膜を微温湯で良く洗浄する、2)0.2%メチレンブルーを十分に散布する、3)約2分間後に再度微温湯で良く洗浄する、4)拡大観察によりACFを観察する、という方法である。初めは全大腸のACFを観察したが、時間と労力を省くために代表的な場所として下部直腸領域(第2ヒューストン弁から歯状線まで)のACFを観察し、数を定量化するようにしている。このよう

にして観察したACFを図1に示す。ACFは内視鏡的に3つに分類することができる。全体の形が類円形で、各々の腺管も円形または類円形の腺口形態を呈するもの(図1A)、各々の腺管が星芒状を呈するもの(図1B)、全体の形がいびつで各腺口形態が不明瞭(またはスリット状)のもの(図1C)である。それぞれのACFは、病理組織学的にはnondysplastic-nonhyperplastic ACF(simple ACF), nondysplastic-hyperplastic type, dysplastic ACFに相当する(図1D~F)。

## 3. 腺腫の前病変としてのACF

ACFが腺腫の前病変であるかどうかを明らかにするためには、どうしても健常人と大腸腺腫患者、さらに大腸癌患者のACFを解析する必要がある。そこで、これらの症例におけるACFの数を検討したところ、健常人では $1.7 \pm 2.2$ 個、腺腫患者では $7.6 \pm 6.3$ 個、癌患者では $31.4 \pm 15.7$ 個であり、ACF数はこの群の順に有意に増加した。これらの群におけるACFのsubtypeを調べる

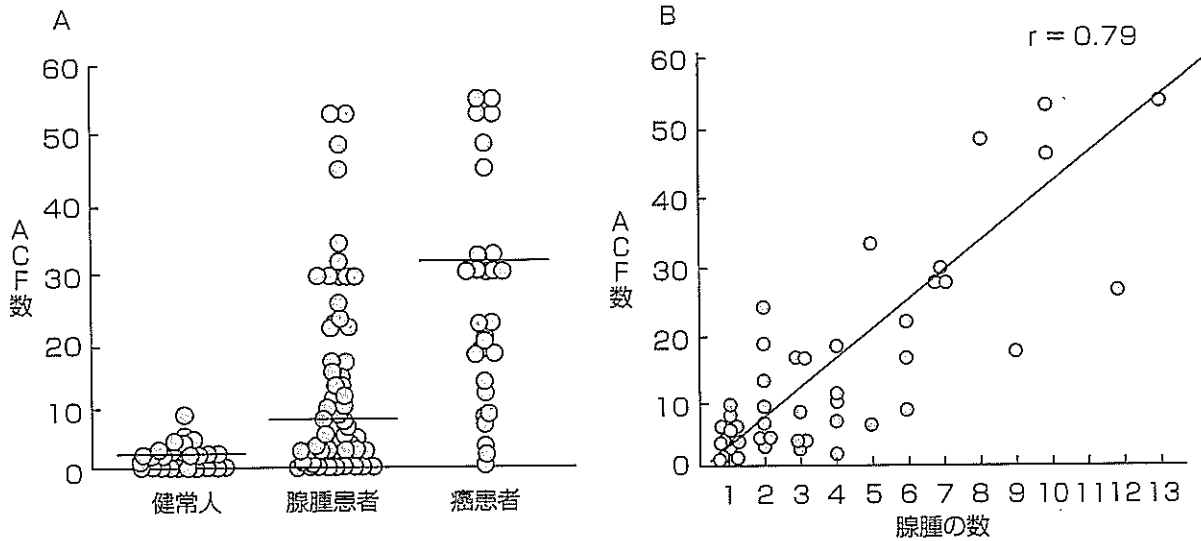


図2. A. 健常人, 大腸腺腫及び癌患者における ACF 数の検討. B. 大腸腺腫患者における ACF 数と腺腫数の関係

と, 特に dysplastic ACF の数が優先的に増加した. さらに, ACF を腺管の数により, large (20 腺管以上), medium (10~19 腺管), small (9 腺管以下) に分けて検討すると, large ACF が優先的に増加することも判明した. また, 腺腫患者における ACF の数と腺腫の数を比較検討すると, 両者の間には正の相関性が認められた. 以上の結果より, ACF が腺腫の前病変であることが示唆され, とりわけ dysplastic type の大きい ACF が腺腫の前病変であることが強く示唆される (図 2).

#### 4. ACF の遺伝子異常と細胞増殖活性

Adenoma-carcinoma sequence においては, 古くから APC 変異により腺腫が発生し, K-ras 変異が生じて異形度が増し, p53 変異が加わり癌になり, DCC 変異により転移・浸潤をきたす, と考えられてきた<sup>3)</sup>. この説は, 特に家族性大腸腺腫症 (familial adenomatous polyposis; FAP) のポリープや癌の解析結果に裏付けされている. ヒト ACF の遺伝子解析では, Pretlow らがその 73% に K-ras 変異が認められることを報告した. 一方, Ohtori らは hyperplastic ACF では APC 変異は認められないが, dysplastic ACF では 40% に変異

が認められると報告した. われわれは, 家族性大腸腺腫症 (FAP) と散発性症例に分けて ACF の遺伝子解析を行った. その結果, FAP 患者の ACF では APC 変異が全例に認められたが, K-ras 変異は低率 (17%) であった. 逆に, 散発性の ACF では APC 変異は認められなかったが, K-ras 変異は高率 (87%) に認められた. このように, FAP では, 従来の説の通り, APC, K-ras, p53 の順に変異が生じるのに対し, 散発性症例では K-ras, APC, p53 の順に変異が生じる経路があることが示唆された.

ACF における細胞増殖活性に関する検討も為されている. Roncucci らは, 正常腺管に比べて ACF では 1 腺管あたりの細胞数が有意に多いこと, さらに細胞増殖活性を表す Bromodeoxyuridine (BrdUrd) の発現が高いことを報告している. また, Spitz らは ACF, 特に dysplastic ACF では PCNA の陽性率が高く, 腺腫と同様に増殖帯が管腔側に移行していることを報告している.

#### 5. ACF におけるアポトーシス抵抗性の獲得

正常大腸上皮は, 絶えず二次胆汁酸などの毒

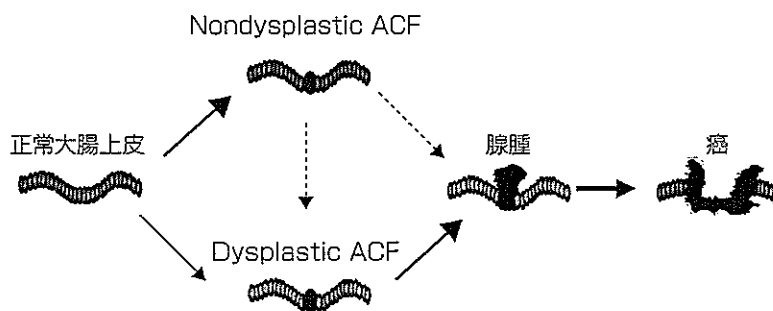


図3. ACFを介した発癌経路

性物質によりアポトーシスを受けて新陳代謝していると考えられる。われわれは、まず初めにACF組織を採取し、TUNEL染色という方法でアポトーシスを調べたところ、ACFでは正常上皮に比べてアポトーシス陽性細胞が少ないこと、つまり、腺腫と同様に細胞が死にくくなっていることを見出した。一方、腺腫や癌ではcyclooxygenase-2 (COX-2) が発現し、アポトーシスからの逸脱に関与することが指摘されている。そこで、ACFにおけるCOX-2の発現を調べたが、ACFではCOX-2の発現は認められなかった。それでは、どのような機序でACFはアポトーシス抵抗性を獲得しているのでしょうか？

## 6. ACFにおけるGST- $\pi$ の発現とその意義

以前より、大腸腺腫や癌ではGlutathione S-transferase- $\pi$  (GST- $\pi$ ) が発現増加していることが知られている。GST- $\pi$ は、もともと種々の毒性物質をグルタチオン抱合する解毒酵素であるが、いろいろな毒性物質から細胞を保護し、アポトーシスを抑制することも知られている。そこで、GST- $\pi$ に注目し、ACFにおけるGST- $\pi$ の発現を調べたところ、高率にその発現を認めた。また、ACF組織をGST- $\pi$ 阻害剤で処理(胆汁酸存在下)すると、アポトーシスが引き起こされることも判明した。そこで、大腸発癌におけるGST- $\pi$ の意義を検討するため、GST- $\pi$ のノックアウトマウスに大腸発癌物質を投与したところ、コントロールマウスに比べて、ACFの数、癌の数ともに有

意に減少することが確かめられた。つまり、大腸発癌において、GST- $\pi$ は発癌を促進させる重要な因子の一つであることが明らかとなった。

## 7. 拡大内視鏡を用いてACFを観察することの臨床的意義

拡大内視鏡を用いてACFを観察することには、大きく2つの意義があると考えられる。一つは、下部直腸領域のACFを数えることにより、腺腫や癌の高危険群を絞り込めることである。例えば、前述の結果から、dysplastic ACFを有する者は無い者に比べて癌である危険性(オッズ比)は約10倍高く、large ACFを有するものは約24倍高いと計算される。従って、直腸を観察するだけで、癌の高危険群をある程度予測できることになる。ACFを観察することのもう一つの意義は、大腸癌の予防試験に応用できることである。

## 8. ACFを標的とした大腸癌の予防

大腸癌の多い欧米では、種々の薬剤により癌を予防しようとするケモプリベンション(化学予防)が積極的に試みられている。例えば、最近大腸ポリープを切除したヒトを対象にアスピリンを3年間投与すると、アスピリン投与群(17%)ではプラセボ群(27%)に比べてポリープの発生率が有意に減少することが報告された。このように、これまでのケモプリベンションは



表. ACFに対するスリダクの効果

症例	年齢	性	ACF数	
			投与前	投与後*
1	53	男性	3	0
2	72	女性	9	1
3	67	男性	7	0
4	71	男性	4	0
5	73	女性	10	1

\*スリダク投与2～3カ月後に行ったACF数の評価

主にポリープ(腺腫)を評価基準としてNSIADsやCOX-2阻害剤を投与するものであった。しかし、このような従来の方法では、1)効果判定までに長期間(数年)かかること、そのため2)副作用の問題、3)コンプライアンスの問題、が指摘されている。一方、ACFはポリープより早期の病変であり、遺伝子異常も単純であることから、ケモプリベンションの格好の標的病変と考えられる。われわれは、実際にACFを有するものを対象に、NSAIDsの一種であるスリダクを投与すると、わずか2カ月間でACFの大部分が消失することを見出している(表)。このように、短期間の投与であれば副作用やコンプライアンスの問題も解決されると思われる。

スリダクによるACF消失の機序としては、ACFにCOX-2は発現していないこと、またスリダクを含むNSAIDsはGST- $\pi$ 活性を抑制することから、GST- $\pi$ 活性の抑制を介する機序を考えている。現在、ACFを有する者を対象に、スリダク、COX-2選択的阻害剤であるエトドラク、プラセボを投与する大規模な無作為抽出二重盲検試験を行っており、近々詳細な結果が出る予定である。このようなACFを標的としたケモプリベンションは、最近米国のNIHでも取り入れられ、全米規模の臨床試験としても進行中である。

## 9. Colitic cancerとその前癌病変

潰瘍性大腸炎(UC)に合併する癌は、慢性炎

症を有する大腸粘膜を発生母地とすることからcolitic cancerと呼ばれる。Colitic cancerは、通常の大腸癌と比較しびまん性に浸潤するものが多く、病理組織学的には低分化型腺癌や粘液産生癌が多く、発見が遅れることも重なり、予後不良である。Colitic cancerの周囲には高率にdysplasiaが存在すること、逆に生検組織にてdysplasiaが証明された腸切除標本には高率に癌が存在することなどから、dysplasiaはcolitic cancerの前病変と考えられている(dysplasia-carcinoma sequence)<sup>4)</sup>。しかし、dysplasiaを内視鏡的に診断することは必ずしも容易ではなく、欧米ではUC患者の大腸から一定間隔でランダムに生検(ランダムバイオプシー)してdysplasiaの診断を試みている施設もある。

Dysplasiaの発生機序や癌に進展する機序の詳細は不明である。Dysplasiaやcolitic cancerでは、通常の大腸癌や大腸癌と異なり、APCやK-rasの変異は認められない。一方、p53はdysplasiaとcolitic cancerのいずれにおいても高率に認められる。最近、dysplasiaではマイクロサテライト不安定性や、p16, estrogen receptorなどのメチル化が報告されており、これらの異常はdysplasiaのみならずUCの背景粘膜においても一定の頻度で認められる。従って、これらの遺伝子異常をもった粘膜上皮がdysplasiaの発生母地になっている可能性がある<sup>5)</sup>。

## 10. UC患者におけるACFの観察

われわれは、UC患者においてもACFを観察しうることを見出している。15例のUC患者を調べたところ、いずれの症例にもACFが観察された。但し、UC患者のACFは、メチレンブルーに淡く染色され、大型のACFが多く、前述の非UC症例のACFとは質的に異なることが示唆された。実際に、病理組織学的にも軽度の核異型を有するものが多く、間質にはリンパ球浸潤が認められた。Dysplasiaや癌を合併したUC症例では、ACF

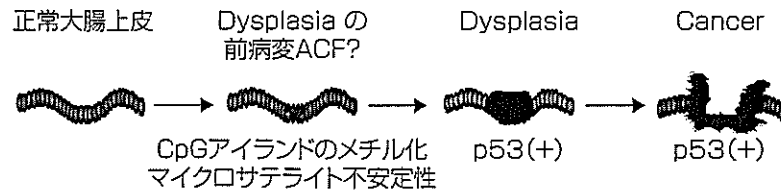


図 4. 潰瘍性大腸炎における発癌

の数が明らかに多いことも判明した。さらに、これらのACFでは、p16などのメチル化も高率に認められたことから、ACFがdysplasiaの前病変である可能性が示唆される(図4)。UCでは、dysplasiaや癌の診断が難しいことから、ACFが癌の良いサーベイランスマーカーになる可能性がある。

おわりに

大腸癌の前病変として、われわれが研究しているACFを中心に概説した。本稿では紙面の都合上割愛したが、最近Hyperplasia(過形成)から癌になるhyperplasia-carcinoma sequenceが注目されている。ACFの一部(特にdysplastic ACF)は腺腫に進展すると考えられるが、他の

一部は(特にhyperplastic ACF) hyperplasiaに進展し、やがて癌になる可能性がある。ACFがどのような経路から癌に至るのか、その詳細は近い将来明らかにされるものと思われる。

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## Associations between 5,10-methylenetetrahydrofolate reductase codon 677 and 1298 genetic polymorphisms and environmental factors with reference to susceptibility to colorectal cancer: A case-control study in an Indian population

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Although the incidence rate of colorectal cancer is very low, and rectal cancer remains more common in India, a significant increase in its incidence has been reported for both men and women over the last 2 decades. We evaluated *MTHFR* genetic susceptibility and common environmental risk factors in the development of colon and rectal cancer, and assessed the interactions between gene and environmental factors with colorectal cancer in a case-control study in the Indian population. The study included 59 colon cancer cases, 243 rectal cancer cases and 291 controls. The variant *MTHFR* 677T allele is rare, while the 1298C allele is common among Indians. *MTHFR* 677T showed no association with colon cancer (OR = 0.82; 95% CI 0.28–2.05) and a nonstatistically significantly elevated risk with rectal cancer (OR = 1.51; 95% CI 0.86–2.68), and *MTHFR* 1298 CC genotype was found to be associated with a significantly decreased risk for both colon cancer (OR = 0.30, 95% CI 0.09–0.81) and rectal cancer (OR = 0.43, 95% CI 0.23–0.80). High intake of nonfried vegetables or fruits was inversely associated with both colon and rectal cancer risk. Especially, the combination of a high intake of nonfried vegetables and *MTHFR* 1298CC genotype was associated with the lowest rectal cancer risk (OR = 0.22, 95% CI 0.09–0.52). Regarding alcohol consumption, indigenous Indian alcohol drinkers (OR = 2.26, 95% CI 0.86–6.36), and those consuming alcohol for duration more than 20 years (OR = 1.55, 95% CI 0.73–3.33), were at a somewhat higher rectal cancer risk. Moreover, the consumed alcohol amount (gram-years) may be also associated with colon or rectal cancer risk.

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**Key words:** 5,10-Methylenetetrahydrofolate reductase (*MTHFR*); polymorphisms; colorectal cancer; susceptibility

Colorectal cancer is a multifactorial disease involving genetic and environmental factors. Epidemiological studies have indicated that diets with a high intake of red meat and/or low consumption of vegetables, fruit and dietary fiber, obesity, high alcohol intake and smoking are associated with an increased colorectal cancer risk.<sup>1–7</sup> Folate is one of the important constituents of vegetables and fruit that may provide protection against colorectal cancer.<sup>7</sup> Folate is a water-soluble B vitamin that plays an essential role in many biochemical pathways such as DNA methylation and DNA synthesis. Its deficiency may lead to uracil misincorporation into DNA, DNA hypomethylation and inhibition of excision repair of DNA in human colon epithelial cells.<sup>8–11</sup> DNA methylation is a crucial epigenetic determinant in gene expression, maintenance of DNA integrity and stability, chromatin modifications and development of mutations.<sup>12,13</sup> Indeed, induction of DNA damage and disruption of its DNA integrity, impaired DNA repair and hypermutability are generally considered to be the primary mechanisms by which folate deficiency enhances colorectal carcinogenesis.<sup>10,14–17</sup>

5,10-Methylenetetrahydrofolate reductase (*MTHFR*) catalyzes the conversion of 5,10-methylenetetrahydrofolate to 5-methyltetrahydrofolate. The former converts dUMP to dTMP, a limiting

nucleotide for DNA synthesis, whereas the latter is required to produce methionine for DNA methylation.<sup>18</sup> *MTHFR* variant genotypes may confer elevated plasma homocysteine levels, compared with the wild-type form consistent with a decline in remethylation of homocysteine to methionine.<sup>19</sup>

The *MTHFR* gene is polymorphic, with single nucleotide variants within codon 677 in exon 4 (C→T, ala to val) and codon 1298 in exon 7 (A→C, glu to ala). The codon 677 variant encodes a thermolabile enzyme with reduced activity that leads to reduced plasma folate levels.<sup>20</sup> In general, individuals with *MTHFR* 677 variant are at a relatively low colorectal cancer risk if they have low-risk diet (high folate and low alcohol). An explanation of how lower *MTHFR* activity could decrease the risk of colorectal cancer is that lessening dUMP-induced DNA damage would outweigh the negative effects of reduced DNA methylation in cases where folate intake is adequate. The second *MTHFR* variant, codon 1298 A to C, is associated to a much lesser degree with reduced enzymatic activity, and individuals carrying the variant have frequently normal homocysteine and plasma folate concentrations.<sup>21,22</sup> However, some studies have noted a significantly decreased risk of colon cancer with the *MTHFR* 1298CC genotype.<sup>23,24</sup>

It is well established that colorectal cancer is a leading cause of death in Western countries. In contrast to the developed world, however, the incidence rate of colorectal cancer is low in India, where rectal lesions are more common than tumors of the colon.<sup>25</sup> There is a 20-fold difference in the prevalence of colorectal cancer between the areas of highest and lowest incidences (North America and Australia vs. India).<sup>26</sup> The rural incidence rate for colorectal cancer in India is approximately half that of its urban population,<sup>25</sup> presumably reflecting a low consumption of meat and a high intake of dietary fiber, vegetables and fruit, and the presence of natural antioxidants such as curcumin in Indian cooking.

Although the incidence of colorectal cancer in Indians is low, a significant increase has been reported for both men and women over the last 2 decades, and migrant studies reveal a shift toward the rate prevalent in the host country.<sup>27</sup> This may be partly attributed to changes in dietary habits and lifestyle. In Indian populations, studies detecting associations between dietary factors, lifestyle and colorectal cancer have gained attention. However, little is known about *MTHFR* genetic polymorphisms on the suscepti-

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bility to colorectal cancer, and studies focusing on gene-environment interactions are limited. In order to identify *MTHFR* genetic susceptibility and common environmental risk factors, and to assess interactions between gene and environmental factors in terms of intake of vegetables, fruit and alcohol consumption with colorectal cancer, we conducted our case-control study.

## Subjects and methods

### Study participants

All subjects were recruited at the Cancer Institute, Chennai in South-Eastern India, from 1999 to 2001. Cases were first diagnosed as suffering from primary colorectal carcinoma and had been confirmed as competent to complete an interview. Controls were cancer-free healthy individuals selected from relatives/visitors to patients other than those with cancers in the gastrointestinal tract during the same period of case collection, and matched to cases for age and sex. A total of 435 cases between 17 and 88 years of age at the time of diagnosis, and 340 controls between 20 and 75 years of age agreed to the interview. Of these, 315 cases and 292 controls donated blood specimens for our study. Most of the study subjects were of Tamil and Telugu language groups, both of which are Dravidian in race, living in south India. Cases aged over 75 were excluded from the analysis, along with 2 cases and 1 control for which inexact data for food frequency were found, so that the final numbers were 302 cases (59 colon cancer patients and 243 rectal cancer patients) and 291 controls. Written informed consent was obtained from all study participants.

### Data collection

Data were collected by trained interviewers at the Cancer Institute. Information was acquired on demographic variables, education, religion, mother tongue, marital status, socioeconomic conditions and family history of cancer using a standard questionnaire. Data on smoking status (including categories of tobacco, daily number smoked and duration of smoking habit), alcohol consumption (including categories of alcoholic beverages, frequencies and usual quantity of alcohol consumed) and chewing habits were also obtained simultaneously. Smokers were defined as persons who smoked a tobacco product at least once a day for at least 6 months. This definition was also applied to the chewing habit. Categories of tobacco included cigarette, bidi and chutta. Alcoholic beverages were classified into indigenous Indian varieties including toddy and arrack, and non-Indian beverages including beer, whisky and brandy. As a whole, alcoholic beverages were only consumed 1–2 times monthly, and quantity of alcohol consumed usually was less than 200 ml. As a result of low frequency and small quantity of alcohol consumed, alcohol drinkers were defined as individuals who drank at least once a month for more than 1 year for this special population. In addition, dietary information was collected using a food-frequency questionnaire (FFQ) specific to this population to measure long-term intake of food groups. Interviewers asked the subjects about the average intake frequency of food items per week over the past 1 year (for cases, 1 year before the diagnosis of colorectal cancer). Main categories of food groups were as follows: cereals and breads ( $n = 11$  food items), beans ( $n = 6$ ), vegetables ( $n = 22$ ), meats ( $n = 4$ , including mutton, beef, pork and chicken), sea food ( $n = 7$ ), eggs ( $n = 1$ ), fruit ( $n = 12$ ), pickles ( $n = 6$ ), milk and dairy products ( $n = 10$ ), beverages ( $n = 5$ ), snacks and desserts ( $n = 18$ ), spices ( $n = 7$ ) and oil ( $n = 10$ ). The categories of vegetables included green leaves, raw banana, ladies finger, drumstick, beans, karamani, cauliflower, tapioca, potato, onion, carrot, beet root, radish, pumpkin, cucumber, brinjal, tomato, cabbage, yam, plantain stem, bitter guard and snake guard. The categories of fruits included lime, watermelon, guava, banana, orange, grape, mango, apple, papaya, jack, canned fruit and others. Food intake frequencies were classified into 6 categories: never (0), occasional or  $\leq 1$  time per month (0.2), 1 time per half month (0.5), 1 time per week (1), 2–4 times per week (3) and 5–7 times per week (6); values in parentheses are the weights assigned.

### *MTHFR* genotyping

Genomic DNA was extracted from leukocytes of blood samples. *MTHFR* genotypes at C677T and A1298C sites were analyzed by PCR-based RFLP methods. The PCR primers for the C677T site were 5'-TGAAGGAGAAGGTGTCTGCCGGGA-3' and 5'-AGGACGGTGCGGTGAGAGTG-3', which produce a 198 bp fragment. PCR products were digested by the restriction enzyme *Hinf* I to cut the product from the mutated allele into 175 and 23 bp fragments.<sup>28</sup> With respect to genotyping for the *MTHFR* A1298C polymorphism, 2 primers, 5'-GGGAGGAGCTGACCAGTGCAG-3' and 5'-GGGGTCAGGCCAGGGGCAG-3', were used to generate a 138 bp fragment, which was digested with *Fnu*4H I into 119 and 19 bp fragments.<sup>18</sup> To ensure reliability, a 20% random sample of cases and controls was genotyped twice by different researchers (J. Wang and J. Jiang), and the reproducibility confirmed to be 100%.

### Statistical analysis

Differences in general characteristics between cases and controls were examined by using  $\chi^2$  test and *t*-test. For measuring associations between *MTHFR* genotypes or environmental exposure factors and colorectal cancer, ORs and 95% CIs were estimated from unconditional logistic regression models using the software package SAS (version 8.2) and adjusted for potential confounding factors such as age, sex, household income, education, religion, mother tongue, tobacco, alcohol, chewing habit and vegetarianism.

Statistical power calculations based on the prevalence of the 2 genetic polymorphisms and the sample size, our study has 70 and 95% power to detect the minimum odds ratio of 2.00 for *MTHFR* 677 and 1298 genotypes, respectively ( $\alpha = 0.05$ , 2-sided test).

In order to calculate total smoked pack-years with different tobacco products, we calculated cigarette equivalents by assigning a weight of 1 for cigarettes, 0.25 for bidis and 0.5 for chuttas, based on grams of tobacco content. The amount (gram-years) of alcohol consumption was also calculated by finding the product of duration (years) and quantity (grams) of alcohol consumed monthly, the percentage of the alcohol was assumed as 3% for beer, 10% for toddy and 40% for the others.<sup>29</sup> Pack-years smoked and the amount of alcohol consumed were calculated to provide cumulative doses, and allow division into 2 groups for each (pack-years,  $\leq 3$  and  $> 3$ ; alcohol consumption,  $\leq 800$  and  $> 800$ ). Plans call for the detailed evaluation of the possible relations between dietary factors and colorectal carcinoma risk at some future point. Here, we only used the intake of total vegetables and fruit, which was the sum of assigned weights of various vegetables or fruit. On the whole, the intake of vegetables or fruit is frequent in Indian population, according to the sum of assigned weights, low intake or high intake groups of nonfried vegetables ( $\leq 21$  and  $> 21$  servings per week) or fruit ( $\leq 3$  and  $> 3$ ), and intake or nonintake groups of fried vegetables were stratified. The combined effects of *MTHFR* 677 and 1298 genotypes were calculated using individuals who were homozygous wild-type at both loci as the referent group. We also assessed the joint effects between genotypes and alcohol consumption using nondrinkers with wild-type for either *MTHFR* 677 or 1298 as the reference. Interactions between vegetables or fruit intake and *MTHFR* genotypes were also evaluated.

The distribution of *MTHFR* genotypes among controls was tested for Hardy-Weinberg equilibrium, and the distribution of *MTHFR* 677 and 1298 genotypes among cases and controls was estimated for haplotypes and linkage disequilibrium, using SNP Alyze (version 3.2) software.

## Results

Selected characteristics of the study participants are presented in Table I. No significant differences were found between colon or rectal cancer cases and controls in terms of the distributions of sex, age, education level or religion. Both colon and rectal cancer