

# Artificial Baroreflex

## Clinical Application of a Bionic Baroreflex System

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**Background**—We proposed a novel therapeutic strategy against central baroreflex failure: implementation of an artificial baroreflex system to automatically regulate sympathetic vasomotor tone, ie, a bionic baroreflex system (BBS), and we tested its efficacy in a model of sudden hypotension during surgery.

**Methods and Results**—The BBS consisted of a computer-controlled negative-feedback circuit that sensed arterial pressure (AP) and automatically computed the frequency (STM) of a pulse train required to stimulate sympathetic nerves via an epidural catheter placed at the level of the lower thoracic spinal cord. An operation rule was subsequently designed for the BBS using a feedback correction with proportional and integral gain factors. The transfer function from STM to AP was identified by a white noise system identification method in 12 sevoflurane-anesthetized patients undergoing orthopedic surgery involving the cervical vertebrae, and the feedback correction factors were determined with a numerical simulation to enable the BBS to quickly and stably attenuate an external disturbance on AP. The performance of the designed BBS was then examined in a model of orthostatic hypotension during knee joint surgery (n=21). Without the implementation of the BBS, a sudden deflation of a thigh tourniquet resulted in a  $17\pm 3$  mm Hg decrease in AP within 10 seconds and a  $25\pm 2$  mm Hg decrease in AP within 50 seconds. By contrast, during real-time execution of the BBS, the decrease in AP was  $9\pm 2$  mm Hg at 10 seconds and  $1\pm 2$  mm Hg at 50 seconds after the deflation.

**Conclusions**—These results suggest the feasibility of a BBS approach for central baroreflex failure. (*Circulation*. 2006; 113:634-639.)

**Key Words:** baroreceptors ■ blood pressure ■ computers ■ electrical stimulation ■ nervous system, sympathetic

The arterial baroreflex acts to maintain cerebral perfusion by quickly attenuating the effect of an external disturbance, such as the assumption of an upright position, on arterial pressure (AP).<sup>1-4</sup> Therefore, functional restoration of dynamic properties of the arterial baroreflex is essential for the treatment of patients with various syndromes of baroreflex failure,<sup>5</sup> including Shy-Drager syndrome,<sup>6-9</sup> baroreceptor deafferentation,<sup>10,11</sup> and traumatic spinal cord injuries.<sup>12,13</sup> However, most commonly used interventions, including salt loading,<sup>14,15</sup> cardiac pacing,<sup>16,17</sup> and adrenergic agonists,<sup>18,19</sup> can neither restore nor reproduce the functioning of the native vasomotor center, and most affected patients remain bedridden.

### Clinical Perspective p 639

We recently developed a framework for identifying an operational rule of the vasomotor center and a prototype of a bionic baroreflex system (BBS) in rats.<sup>20-22</sup> The BBS consisted of a negative-feedback system controlled by a computer (ie, the artificial vasomotor center) that sensed AP and automatically computed the frequency of a pulse train re-

quired to stimulate sympathetic efferent nerves through a pair of wire electrodes placed in the celiac ganglion. Previous experimental work demonstrated that the BBS restored native baroreflex function in rats with central baroreflex failure; however, an applicable neural interface with quick and effective controllability of AP is required for application of this technology in the clinical setting. The goal of the present study was to determine the efficacy of a novel bionic technology for the intraoperative restoration of AP in the context of central baroreflex failure and to validate this technology in a clinical model of orthostatic hypotension.

### Methods

All studies were approved by the institutional review committee, and all subjects gave informed consent.

### Theoretical Considerations

As previously described,<sup>20-22</sup> the principle of the BBS is based on a negative-feedback mechanism (Figure 1). The instantaneous AP is measured by a pressure transducer connected to a computer that functions as a controller or artificial vasomotor center. Instead of the disabled native vasomotor center, the controller automatically ex-

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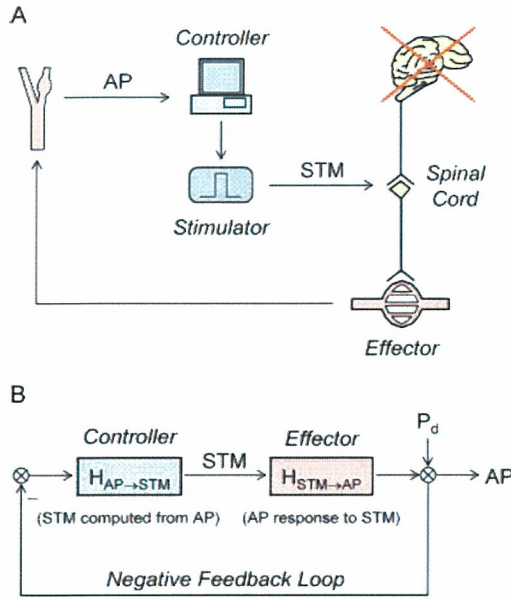
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**Figure 1.** Schematic illustration (A) and block diagram (B) of a BBS. In the context of central baroreflex failure, the BBS automatically computes the frequency (STM) of a pulse train to stimulate sympathetic nerves through an epidural catheter placed at the level of lower thoracic spinal cord, while simultaneously sensing the change in AP.  $H_{AP \rightarrow STM}$  denotes a transfer function for the controller functioning as an artificial vasomotor center.  $H_{STM \rightarrow AP}$  is a transfer function showing the dynamic response of AP to STM. The overall transfer function of the BBS is given by  $H_{AP \rightarrow STM} \times H_{STM \rightarrow AP}$ . Therefore, the effect of an external disturbance ( $P_d$ ) on AP is attenuated to  $1/(1 + H_{AP \rightarrow STM} \times H_{STM \rightarrow AP})$ .

cuts real-time operations that determine the frequency of electrical stimulation (STM) required to minimize the effect of an external disturbance ( $P_d$ ) on AP and then commands an electrical stimulator to deliver a stimulus of the same frequency to the vasomotor sympathetic nerves via epidural-catheter electrodes placed at the lower thoracic level of the spinal cord. The lower thoracic level was selected as the site for the neural interface of the BBS because the abdominal splanchnic vascular bed is a major effector mechanism for the arterial baroreflex.<sup>23–25</sup>

According to a classic feedback-control theory, ie, feedback correction with proportional and integral gain factors,<sup>26,27</sup> the following algorithm was used to program the controller for the calculation of STM in the frequency domain:

$$(1) \quad H_{AP \rightarrow STM} = K_p + \frac{K_i}{2\pi f j}$$

where  $H_{AP \rightarrow STM}$  is a transfer function from AP to STM,  $K_p$  is the proportional correction factor,  $K_i$  is the integral correction factor, and  $j$  is the imaginary unit. The proportional factor determines the feedback amplification based on the absolute value of the instantaneous control error due to  $P_d$ , and the integral factor adjusts the feedback amplification based on the cumulative value of the instantaneous control error. Therefore, STM is computed as follows:

$$(2) \quad STM = -AP \cdot H_{AP \rightarrow STM}$$

and AP is also expressed as follows:

$$(3) \quad AP = STM \cdot H_{STM \rightarrow AP} + P_d$$

where  $H_{STM \rightarrow AP}$  denotes the frequency response of AP to STM. From Equations 2 and 3, the effect of  $P_d$  on AP is estimated as follows:

$$(4) \quad AP = \frac{1}{1 + H_{AP \rightarrow STM} \cdot H_{STM \rightarrow AP}} P_d$$

Thus, if  $H_{AP \rightarrow STM} \cdot H_{STM \rightarrow AP}$  is far larger than unity, the BBS can nullify the effect of  $P_d$  on AP.

### Subjects and Experimental Protocols

A total of 33 patients (46 to 84 years old, 19 males) who underwent orthopedic operations were enrolled in the present study. Ten patients had hypertension, and 4 had diabetes mellitus. None of the subjects had frequent ectopic beats or atrial fibrillation. After induction anesthesia with propofol, an endotracheal tube was introduced orally. The patients were mechanically ventilated with 67% nitrous oxide and 1.5% to 2% end-tidal sevoflurane in oxygen during experimental protocols, while end-tidal carbon dioxide was maintained at 35 to 38 mm Hg. An arterial catheter was placed in the radial artery for AP measurement. To record central venous pressure (CVP), a central venous catheter was placed in the femoral vein, and the tip of the catheter was advanced into the inferior vena cava just above the diaphragmatic level. Furthermore, an epidural catheter was placed percutaneously, and the tip, which contained a pair of electrodes (Unique Medical, Tokyo; interelectrode distance 15 mm), was placed at the level of  $Th_9-11$ . Placement of the central venous catheter and the epidural catheter was verified by chest radiograph.<sup>28</sup>

Before making an incision of affected areas, we performed 2 different protocols in separate groups of patients. In the first group of patients ( $n=12$ , 46 to 76 years old, 7 males) undergoing operations for cervical spondylosis and canal stenosis, the averaged  $H_{STM \rightarrow AP}$  was estimated and the  $H_{AP \rightarrow STM}$  was designed parametrically with Equation 1 to minimize the effect of  $P_d$  on AP. After we programmed the designed  $H_{AP \rightarrow STM}$  into the computer, the efficacy of the BBS was tested against the rapid progressive hypotension induced by use of a thigh tourniquet<sup>29–31</sup> in the second group of patients ( $n=21$ , 64 to 84 years old, 12 males) undergoing operation for knee joint osteoarthritis. During each protocol, the muscle twitches induced by spinal cord stimulation were prevented by the intravenous administration of vecuronium bromide. Analgesia for the pain provoked by spinal cord stimulation and tourniquet inflation was provided by intravenous injection of fentanyl citrate. In a preliminary study, the validity of the analgesic preparation was confirmed for the experimental protocols, and the safety of spinal cord stimulation for 20 minutes was verified.

### Estimation of Transfer Function From STM to AP

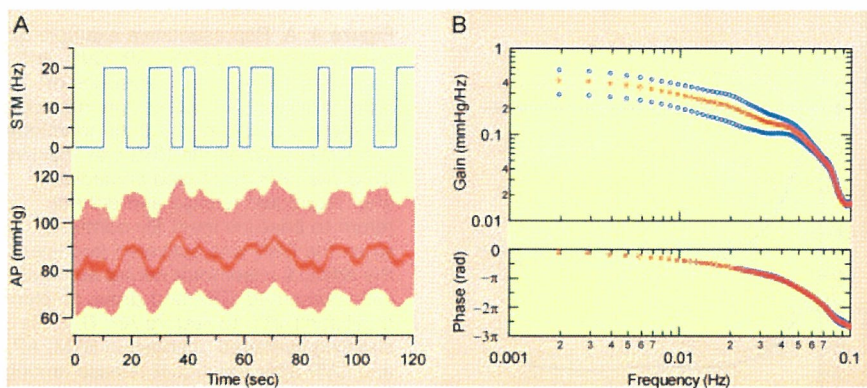
To characterize the dynamic nature of the AP response to STM, ie,  $H_{STM \rightarrow AP}$ , the lower thoracic sympathetic nerves were randomly stimulated for 15 minutes while we recorded AP. According to a white noise method for system identification, the STM was altered between 0 and 20 Hz every 4 seconds. The pulse width of electrical stimuli was fixed at 0.1 ms. The stimulation current was adjusted for each patient so as to produce a pressor response of  $\approx 10$  mm Hg at 20 Hz. This resulted in an average current of  $15 \pm 4$  (mean  $\pm$  SD) mA. The electrical signals of STM and AP were digitized at 100 Hz. As described previously,<sup>20–22</sup> the transfer function from STM to AP,  $H_{STM \rightarrow AP}$ , was estimated with a fast Fourier transform algorithm. Finally, the average of  $H_{STM \rightarrow AP}$  among 12 patients was calculated.

### Design of Artificial Vasomotor Center

With substitution of the averaged  $H_{STM \rightarrow AP}$  for Equation 4, the instantaneous AP response to  $P_d$  was simulated numerically, and a stepwise decline with an amplitude of 20 mm Hg was imposed on the BBS. While the feedback parameters of  $H_{AP \rightarrow STM}$ , ie,  $K_p$  and  $K_i$ , were altered, the effect of the parameters on the AP response was investigated. Finally, the parameters that enabled the BBS to quickly and stably minimize the effect of  $P_d$  on AP were determined.

### Efficacy of BBS in a Clinical Model of Transient Hypotension

The performance of the BBS was evaluated in a clinical model of rapid transient hypotension ( $n=21$ ). Rapid hypotension was evoked by the sudden deflation of a thigh tourniquet, which is widely used to achieve bloodless dissection during total knee arthroplasty.<sup>29–31</sup> Acute hypotension immediately after tourniquet release is a well-



**Figure 2.** A, Representative example of time series data of the response of AP to random stimulation of the lower thoracic spinal cord. According to quasi-white noise, the STM was randomly altered between 0 and 20 Hz. The AP seems to slowly respond to STM with a delay. B, Transfer function of the AP response to the STM change. Data are expressed as mean  $\pm$  SD for 12 patients. rad indicates radians. See text for explanation.

known phenomenon that results from a rapid decrease in peripheral vascular resistance and an increase in venous pooling in the affected limb.<sup>29</sup> The degree of hypotension can be potentiated by the use of volatile anesthetic agents such as sevoflurane, which are central depressants of arterial baroreflex function.<sup>32,33</sup> Therefore, tourniquet-related hypotension during sevoflurane anesthesia can be used as a model of orthostatic hypotension in central baroreflex failure.

Briefly, a tourniquet was applied to the upper femur and inflated at 300 mm Hg for 60 minutes and then quickly deflated for 10 minutes. The procedure was then repeated. The BBS was activated during 1 of the 2 trials of tourniquet-related hypotension, and the electrical signals of STM, CVP, and AP were digitized at 100 Hz.

### Statistical Analysis

The hemodynamic responses to tourniquet release were measured for each subject while the BBS was being activated and inactivated. The effects of the BBS execution on the hemodynamic changes at 10, 50, and 100 seconds after tourniquet release were analyzed by paired *t* tests with Bonferroni adjustment. Differences were considered significant at overall  $P < 0.05$ .

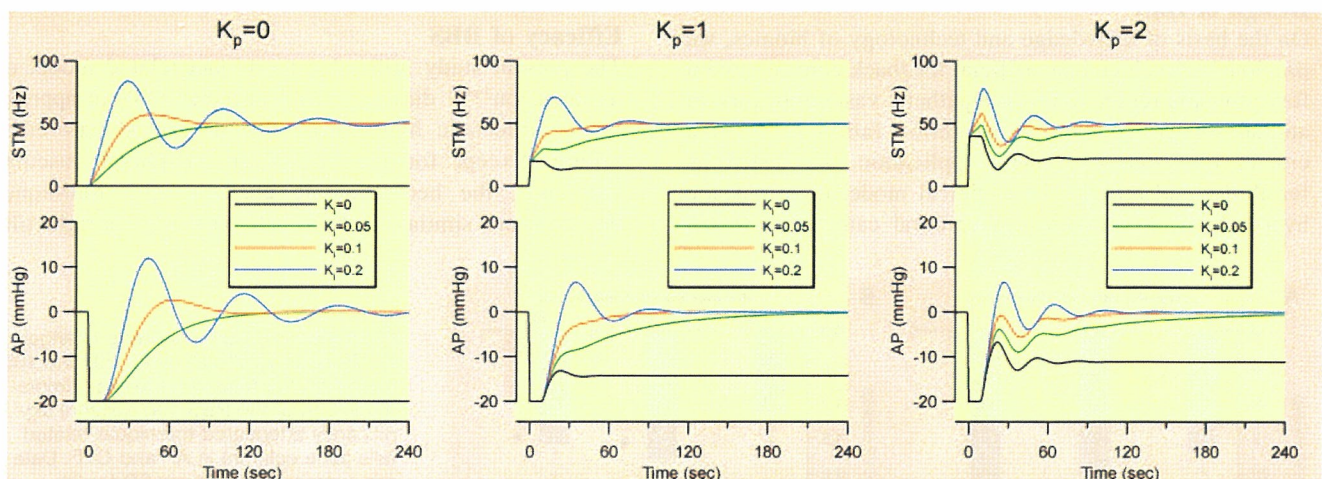
### Results

A representative example of original tracings of STM and AP during random stimulation of the spinal cord is shown in Figure 2A. Random on-off change in STM produced a delayed and slow change in AP. The relationship between STM and AP was quantitatively characterized by the frequency domain analysis (Figure 2B). The averaged transfer

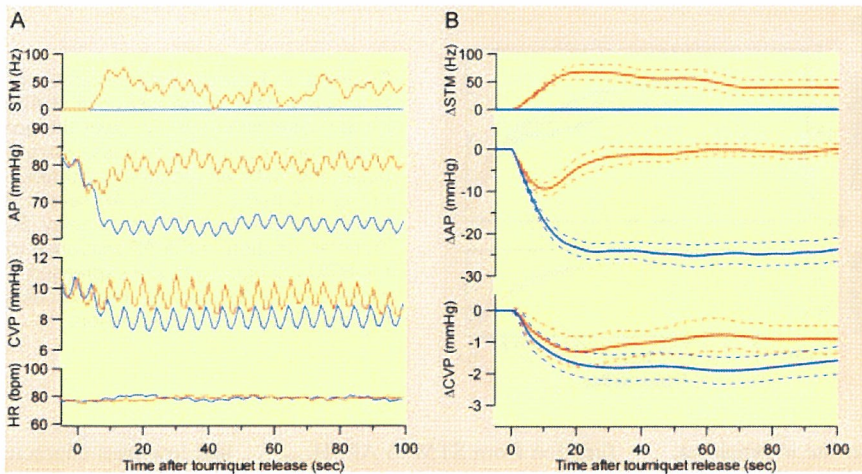
function from STM to AP,  $H_{STM \rightarrow AP}$ , had low-pass characteristics with a corner frequency of 0.06 Hz. The gain factor was  $0.43 \pm 0.13$  mm Hg  $\cdot$  Hz<sup>-1</sup> at the steady state (lowest frequency) and gradually decreased with input frequency. The phase spectrum showed that the input-output relationship was in phase and that the phase delay increased toward higher frequencies. The squared coherence, a measure of linear dependence between STM and AP, was  $>0.9$  in the frequency range of interest (data not shown).

The results of simulation for the design of the artificial vasomotor center,  $H_{AP \rightarrow STM}$ , are presented in Figure 3. The AP responses to the external disturbance  $P_d$  were simulated under 12 different combinations with feedback correction factors. Without feedback compensation, ie, when both feedback correction factors were zero, there was no attenuation of the effect of the external disturbance on AP. Therefore, AP fell by 20 mm Hg immediately after the imposition of  $P_d$  (Figure 3A, black line). By contrast, if either or both of the correction factors were too large, the underdamped oscillatory response of AP appeared, and the BBS became unstable. On the basis of these results,  $K_p$  was set at 1, and  $K_i$  was set at 0.1, so that the BBS could quickly and effectively attenuate the effect of the external disturbance (Figure 3B, red line).

A representative example of the results of the performance tests of the BBS is shown in Figure 4A. A sudden



**Figure 3.** Numerical simulations of a feedback controller of the BBS. A stepwise pressure decline with an amplitude of 20 mm Hg is assumed to be imposed. Results are shown for 12 combinations of proportional ( $K_p$ ) and integral ( $K_i$ ) correction factors. See text for explanation.



**Figure 4.** A, Representative example of original tracings of STM, AP, CVP, and heart rate (HR) during 2 episodes of rapid progressive hypotension induced by sudden deflation of a thigh tourniquet in a patient. When the BBS was inactive (blue line), AP decreased immediately after tourniquet release and did not return to baseline level. By contrast, when the BBS was activated (red line), the artificial vasomotor center automatically computed STM and drove an electrical stimulator to restore AP. B, Plots showing averaged changes in STM, AP, and CVP after tourniquet release among 21 patients. Data are expressed as mean (solid line) ± SD (dotted line). See text for explanation.

deflation of the thigh tourniquet produced a rapid progressive fall in AP of  $\approx 20$  mm Hg within 10 seconds, while lowering CVP by 2 mm Hg. By contrast, when the BBS was activated, STM was computed automatically, and the spinal cord was stimulated appropriately to quickly and effectively attenuate the drop in AP and CVP. Figure 4B summarizes the results obtained from 21 patients, demonstrating effectiveness of the BBS performance in buffering the AP fall in response to the sudden release of the tourniquet. As demonstrated in Figure 5, tourniquet release resulted in an AP decrease of  $17 \pm 3$  mm Hg at 10 seconds,  $25 \pm 2$  mm Hg at 50 seconds, and  $24 \pm 3$  mm Hg at 100 seconds. By contrast, during real-time execution of the BBS, the decrease in AP was  $9 \pm 2$  mm Hg at 10 seconds,  $1 \pm 2$  mm Hg at 50 seconds, and  $0 \pm 1$  mm Hg at 100 seconds after the deflation. These data indicated that the BBS significantly attenuated the decrease in AP at these 3 time points and nullified the hypotensive effect of tourniquet release within 50 seconds. Similarly, the BBS significantly suppressed the decrease in CVP within 50 seconds after the release of the tourniquet.

### Discussion

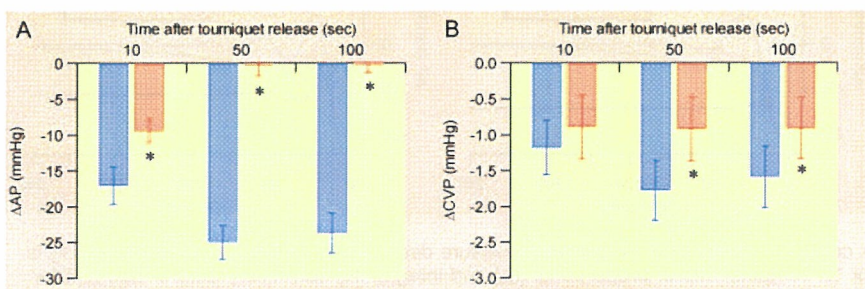
#### Design of BBS

On the basis of knowledge and technology of bionics, we previously developed an artificial feedback control system for automatic regulation of sympathetic vasomotor tone in animal models of central baroreflex failure.<sup>20–22</sup> As a crucial first step to clinical application, we tested its feasibility and efficacy in a clinical model of orthostatic hypotension. A percutaneous epidural catheter approach

was established for the monitoring of spinal function during surgery and for pain management,<sup>28</sup> and the lower thoracic level was selected for spinal cord stimulation based on earlier reports that the abdominal splanchnic vascular bed is a major effector mechanism for arterial baroreflex in animals<sup>23,24</sup> and humans.<sup>25</sup> Although the percutaneous epidural approach is less invasive than implantation surgery, spinal cord stimulation excites motor and sensory nerves<sup>12,22,28</sup> in addition to sympathetic vasomotor efferents. Therefore, administration of sufficient doses of muscle relaxants and analgesics was required during experimental protocols. Under these conditions, the dynamic response of AP to STM was easily characterized by the white noise system identification method. Furthermore, the quantitatively estimated results of transfer function analysis (Figure 2B) enabled simulation of the effects of feedback correction factors<sup>27</sup> on performance of the BBS. As demonstrated in Figure 3, the simulation results suggested that the specific combination of feedback correction factors could optimize the performance of the BBS. On the basis of these results, the feedback correction factors were determined to allow the BBS to quickly stabilize AP against the external disturbances.

#### Efficacy of BBS

The present study utilized a tourniquet-related model of hypotension<sup>29–31</sup> during general anesthesia<sup>32,33</sup> to approximate orthostatic hypotension due to central baroreflex failure. Except for the change in peripheral vascular resistance, the hemodynamic changes after tourniquet deflation are similar to those achieved after upright tilt-



**Figure 5.** Bar graphs showing changes in AP (A) and CVP (B) at 10, 50, and 100 seconds after tourniquet release. Implementation of the BBS (red column) significantly attenuated tourniquet-related falls (blue column) in AP and CVP. Data are expressed as mean ± SD for 21 patients. \*Overall  $P < 0.05$ .

ing.<sup>29,31</sup> For example, tourniquet release results in a rapid increase in venous pooling in the affected limb with a subsequent decrease in venous return and cardiac output. Under general anesthesia with volatile gases such as sevoflurane,<sup>32,33</sup> arterial baroreflex function is inhibited, and the hemodynamic disturbance produced by the tourniquet inevitably results in abrupt hypotension. In rare instances, tourniquet deflation can also trigger fatal circulatory collapse.<sup>29</sup>

Despite the fact that the BBS was implemented with fixed values of feedback correction factors for all patients, the BBS successfully stabilized AP against the hemodynamic challenge induced by sudden tourniquet release (Figure 4). These data indicate that the BBS may compensate for some individual differences in the dynamic response of AP to STM.

Finally, the CVP response to STM (Figure 4) in the present study suggests that the BBS attenuated a decrease in venous return. Previous studies have demonstrated that the baroreflex-mediated vasoconstriction in the splanchnic vascular bed is a major mechanism for recruitment of venous return during head-up tilting.<sup>23,25</sup> Therefore, the BBS may functionally mimic the baroreflex control of venous return and control of AP.

### Study Limitations

This study possessed several limitations. First, based on the previous results<sup>20–22</sup> obtained from animal studies, the stimulation electrodes were placed in the epidural space at the level of the lower thoracic cord; however, further study to determine the optimal site of electrode placement would be of benefit. Second, it is unclear whether or not the feedback controller designed in the present study is universally applicable to other cases. Although preset parameters for feedback correction were used in the present study, other approaches based on a robust control theory could yield a better result. Finally, the epidural catheter method for sympathetic nerve stimulation is associated with significant pain and discomfort. Thus, practical use of the BBS requires an appropriate method for stimulating only efferent sympathetic nerves.

### Clinical Implications

The present study confirmed the efficacy of the BBS in a clinical setting and suggests that the BBS has tremendous potential as a new therapeutic modality for treatment of severe orthostatic intolerance in patients with various syndromes of central baroreflex failure, including Shy-Drager syndrome, baroreceptor deafferentation, and traumatic spinal cord injuries.

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### Disclosures

None.

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### CLINICAL PERSPECTIVE

Central baroreflex failure due to Shy-Drager syndrome, baroreceptor deafferentation, and traumatic spinal cord injuries results in severe orthostatic hypotension. However, most commonly used interventions, such as salt loading, cardiac pacing, and pharmacological approaches, can neither restore nor reproduce the functioning of a native vasomotor center. Here, we proposed a novel therapeutic strategy against central baroreflex failure and developed a bionic baroreflex system (BBS). The BBS consisted of a pressure sensor, computer, electrical stimulator, and epidural catheter with sympathetic nerve stimulation electrodes. While automatically calculating the frequency of a pulse train in response to a change in arterial pressure, the computer drove the stimulator at the appropriate frequency to stabilize arterial pressure against an external disturbance. According to a parametric negative-feedback control theory, we designed an algorithm of the computer functioning as an artificial vasomotor center. The efficacy of the BBS was tested in a clinical model of orthostatic hypotension during knee joint surgery. Without the implementation of the BBS, a sudden deflation of a thigh tourniquet resulted in rapid progressive hypotension. By contrast, during real-time execution of the BBS, arterial pressure was quickly restored to the baseline level before tourniquet release. These results suggest the technical feasibility of functional restoration of arterial baroreflex with the BBS.

## TRANSLATIONAL PHYSIOLOGY

# Automated drug delivery system to control systemic arterial pressure, cardiac output, and left heart filling pressure in acute decompensated heart failure

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Uemura, Kazunori, Atsunori Kamiya, Ichiro Hidaka, Toru Kawada, Shuji Shimizu, Toshiaki Shishido, Makoto Yoshizawa, Masaru Sugimachi, and Kenji Sunagawa. Automated drug delivery system to control systemic arterial pressure, cardiac output, and left heart filling pressure in acute decompensated heart failure. *J Appl Physiol* 100: 1278–1286, 2006. First published December 22, 2005; doi:10.1152/jappphysiol.01206.2005.—Pharmacological support with inotropes and vasodilators to control decompensated hemodynamics requires strict monitoring of patient condition and frequent adjustments of drug infusion rates, which is difficult and time-consuming, especially in hemodynamically unstable patients. To overcome this difficulty, we have developed a novel automated drug delivery system for simultaneous control of systemic arterial pressure (AP), cardiac output (CO), and left atrial pressure (Pla). Previous systems attempted to directly control AP and CO by estimating their responses to drug infusions. This approach is inapplicable because of the difficulties to estimate simultaneous AP, CO, and Pla responses to the infusion of multiple drugs. The circulatory equilibrium framework developed previously (Uemura K, Sugimachi M, Kawada T, Kamiya A, Jin Y, Kashihara K, and Sunagawa K. *Am J Physiol Heart Circ Physiol* 286: H2376–H2385, 2004) indicates that AP, CO, and Pla are determined by an equilibrium of the pumping ability of the left heart ( $S_L$ ), stressed blood volume ( $V$ ), and systemic arterial resistance ( $R$ ). Our system directly controls  $S_L$  with dobutamine,  $V$  with dextran/furosemide, and  $R$  with nitroprusside, thereby controlling the three variables. We evaluated the efficacy of our system in 12 anesthetized dogs with acute decompensated heart failure. Once activated, the system restored  $S_L$ ,  $V$ , and  $R$  within 30 min, resulting in the restoration of normal AP, CO, and Pla. Steady-state deviations from target values were small for AP [4.4 mmHg (SD 2.6)], CO [5.4 ml·min<sup>-1</sup>·kg<sup>-1</sup> (SD 2.4)] and Pla [0.8 mmHg (SD 0.6)]. In conclusion, by directly controlling the mechanical determinants of circulation, our system has enabled simultaneous control of AP, CO, and Pla with good accuracy and stability.

computers; negative feedback; circulatory equilibrium

IN THE MANAGEMENT OF PATIENTS with acute decompensated heart failure after myocardial infarction or after cardiac surgical procedures, cardiovascular agents such as inotropes and/or vasodilators are commonly used to control systemic arterial pressure (AP), cardiac output (CO), and left heart filling pressure (2, 13, 20). Because responses to these agents vary between patients and within patient over time, strict monitoring

of patient condition and frequent adjustments of drug infusion rates are usually required. This is a difficult and time-consuming process, especially in hemodynamically unstable patients. Several closed-loop systems to automate drug infusion have been developed to facilitate this process (10, 11, 18, 26, 27). Closed-loop control of AP with vasodilators was more precise and stable than manual controls (10, 11). Chitwood et al. (10) demonstrated that, compared with manual control, closed-loop control of postoperative hypertension significantly improves patient outcome by reducing the transfusion requirement and postoperative blood loss. Although closed-loop control of hemodynamics has been suggested to be useful in clinical settings, no closed-loop system so far developed is capable of controlling the overall hemodynamics; i.e., controlling AP, CO, and left heart filling pressure simultaneously (18). This is because all previous systems attempted to directly control the hemodynamic variable by estimating response of the variable to drug infusion (10, 11, 18, 26, 27). Although such an approach worked well in controlling a single variable, it cannot be applied to control of the three variables, because it is difficult to simultaneously estimate their responses to the infusions of multiple drugs.

In this study, we developed a new automated drug delivery system that is capable of controlling AP, CO, and left atrial pressure (Pla). We modeled the entire cardiovascular system by extending Guyton's framework of circulatory equilibrium (16, 17, 24, 25). As shown in Fig. 1, the extended framework consists of an integrated cardiac output curve characterizing the pumping ability of the left and the right heart and a venous return surface characterizing the venous return property of the systemic and pulmonary circulation (24, 25). The intersection point of the integrated CO curve and the venous return surface predicts the equilibrium point of CO, Pla, and right atrial pressure (Pra) (Fig. 1) (24, 25). Once CO, Pla, and Pra are predicted from the intersection point, systemic arterial resistance determines AP. On the basis of this framework, instead of directly controlling AP, CO, and Pla, our system controls the integrated CO curve with dobutamine (Dob), the venous return surface with 10% dextran 40 (Dex) and furosemide (Fur), and systemic arterial resistance with sodium nitroprusside (SNP), thereby controlling the three hemodynamic variables.

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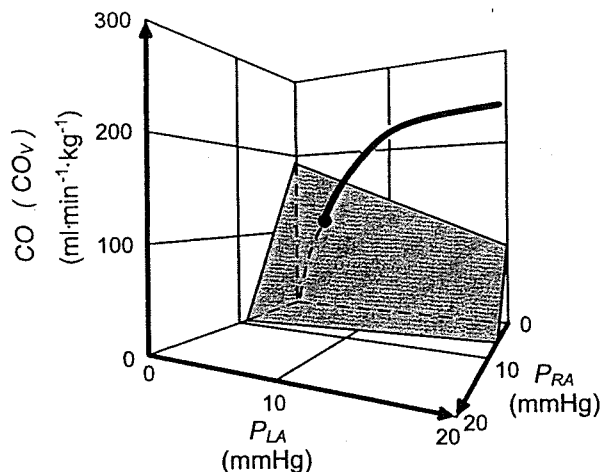


Fig. 1. Diagram of circulatory equilibrium for cardiac output (CO), venous return (CO<sub>v</sub>), left atrial pressure (PLa), and right atrial pressure (Pra). The equilibrium CO, PLa, and Pra are obtained as the intersection point of the venous return surface and integrated cardiac output curve. [Modified from Uemura et al. (Ref 25).]

The purpose of this study was, therefore, to develop and validate the new automated drug delivery system. We evaluated the efficacy of our system in a canine model of acute ischemic heart failure. Our results indicated that this novel automated drug

delivery system was able to control AP, CO, and Pla simultaneously with reasonably good accuracy and stability.

**METHODS**

*Cardiac Output Curve, Venous Return Surface, and Arterial Resistance*

On the basis of previous studies, we parameterized the integrated CO curve by the pumping ability of the left heart ( $S_L$ ), the venous return surface by total stressed blood volume ( $V$ ), and the systemic arterial resistance by  $R$  (see APPENDIX A) (24, 25). Our system aims to control these cardiovascular parameters to achieve target AP ( $AP^*$ ), target CO ( $CO^*$ ), and target Pla ( $Pla^*$ ).

*Automated Drug Delivery System*

Figure 2A illustrates a block diagram of the automated drug delivery system, using a negative feedback mechanism.

Target values of  $S_L$  ( $S_L^*$ ),  $V$  ( $V^*$ ), and  $R$  ( $R^*$ ) are determined according to the  $AP^*$ ,  $CO^*$ , and  $Pla^*$  (see APPENDIX B). The subject's  $S_L$ ,  $V$ , and  $R$  are calculated from the measured AP, CO, Pla, and Pra (Fig. 2A).  $S_L$ ,  $V$ , and  $R$  are compared with  $S_L^*$ ,  $V^*$ , and  $R^*$ , respectively.

To minimize the difference between  $S_L^*$  and  $S_L$  ( $\Delta S_L = S_L^* - S_L$ ) and the difference between  $R^*$  and  $R$  ( $\Delta R = R^* - R$ ), proportional-integral (PI) feedback controllers adjust infusion rates of Dob and SNP, respectively (Fig. 2A). In the PI controller (Fig. 2B),  $\Delta S_L$  (or  $\Delta R$ ) and the difference integrated with an integral gain ( $K_i$ ) are summed and scaled by a proportional gain ( $K_p$ ) to give the infusion rate of Dob (or SNP). We determined values of  $K_i$  and  $K_p$  on the

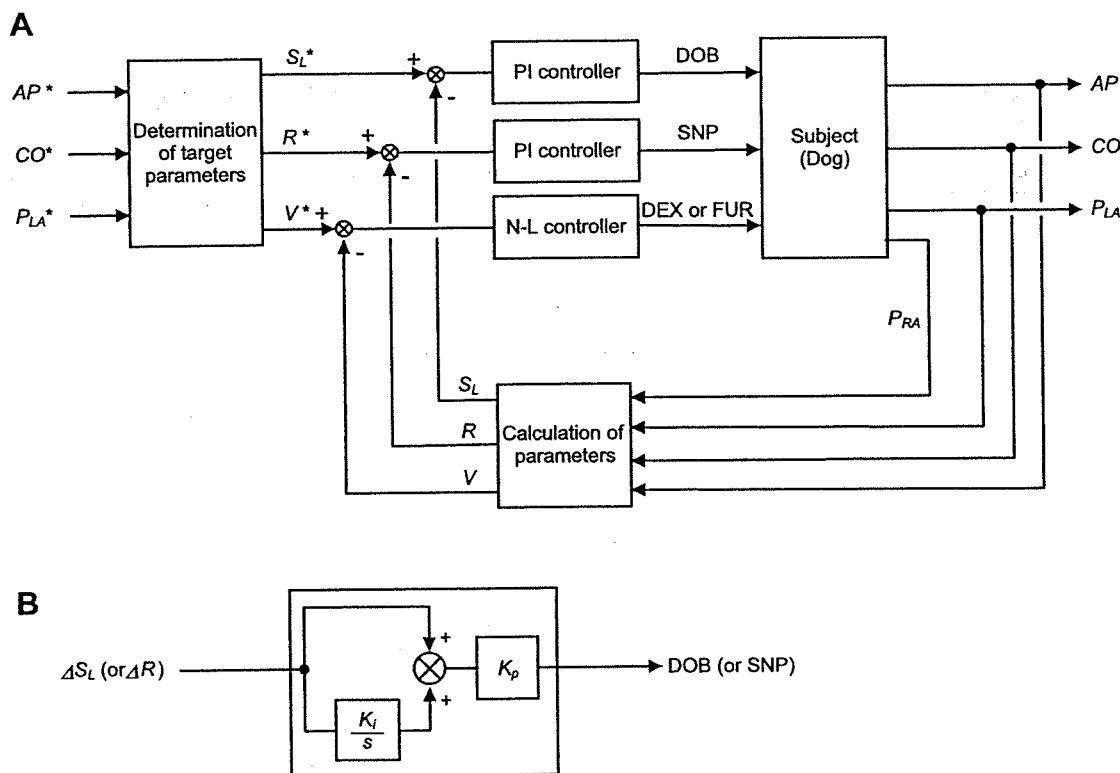


Fig. 2. A: block diagram of an automated drug delivery system for simultaneous control of systemic arterial pressure (AP), CO, and Pla.  $AP^*$ ,  $CO^*$  and  $Pla^*$  represent target AP, target CO, and target Pla, respectively. From these target variables, target values of pumping ability of the left heart ( $S_L^*$ ), stressed blood volume ( $V^*$ ), and systemic arterial resistance ( $R^*$ ) are determined. Subject's  $S_L$ ,  $V$ , and  $R$  are calculated from measured AP, CO, Pla, and Pra. Proportional-integral (PI) controllers adjust infusion rate of dobutamine (Dob) and sodium nitroprusside (SNP) to minimize the difference between  $S_L$  and  $S_L^*$  ( $\Delta S_L$ ), and the difference between  $R$  and  $R^*$  ( $\Delta R$ ), respectively. Nonlinear (N-L) controller adjusts infusion of 10% dextran 40 (Dex) or injection of furosemide (Fur) so that the difference between  $V$  and  $V^*$  is minimized. B: block diagram of the PI controller.  $K_i$  and  $K_p$  represent the integral and proportional gain constants, respectively;  $s$  is a Laplace operator.



basis of open-loop response of  $S_L$  (or R) to the infusion of Dob (or SNP) (4, 9).

To minimize the difference between  $V^*$  and  $V$  ( $\Delta V = V^* - V$ ), a nonlinear (NL) feedback controller (Fig. 2A) adjusts the infusion of Dex or injection of Fur on the basis of the following "if-then" rules:

Rule 1: If  $\Delta V \geq X_1$  ml/kg then infuse Dex ( $Y_1$ , ml/min)

Rule 2: If  $\Delta V \leq X_2$  ml/kg then inject Fur ( $Y_2$ , mg)

We determined values of  $X_1$ ,  $Y_1$ ,  $X_2$ , and  $Y_2$  on the basis of the open-loop response of  $V$  to the infusion of Dex and Fur.

These adjustment processes are repeated in parallel and continued until the differences disappear.

#### Preparation

We used 35 adult mongrel dogs in this study [both sexes, body weight 25 kg (SD 4)]. Care of the animals was in strict accordance with the guiding principles of the Physiological Society of Japan. All protocols were approved by the Animal Subjects Committee of the National Cardiovascular Center. Anesthesia was induced with pentobarbital sodium (25 mg/kg). Animals were intubated endotracheally. Isoflurane (1.0%) was inhaled continuously to maintain an appropriate level of anesthesia during the experiment. A catheter (8-Fr) was placed in the right femoral artery, which was connected to a pressure transducer (DX-200, Nihon Kohden, Tokyo, Japan) to measure AP. After a median sternotomy, a small pericardial incision was made at the level of the aortic root. Through the incision, an ultrasonic flow meter (20A594, Transonics, Ithaca, NY) was placed around the ascending aorta to measure CO. Fluid-filled catheters were placed in the left and right atria to measure Pla and Pra, respectively. They were connected to pressure transducers (DX-200, Nihon Kohden). The junction between the vena cavae and the right atrium was taken as the reference point for zero pressure. The undamped natural frequency and the damping ratio of the fluid filled catheters for the pressure measurements were 21 Hz and 0.22, respectively. A urinary catheter was inserted to measure urine volume.

A catheter (6-Fr) was placed in the right femoral vein. A roller pump (Minipuls 3, Gilson, Middleton, WI) was attached to the venous line to infuse Dex. A double-lumen catheter was also introduced into the right femoral vein for administration of Dob and SNP. Infusion pumps (CFV-3200, Nihon Kohden) were used for Dob and SNP infusion. The infusion rates of Dex, Dob, and SNP were controlled with a personal computer (MA20V, NEC, Tokyo, Japan) through a 12-bit digital-to-analog converter (DA12-8PCI, Contec, Osaka, Japan). A catheter (6-Fr) was placed in the right external jugular vein, from which Fur was injected after a command signal from the computer.

#### Experimental Protocols

We induced left ventricular failure (LVF) in all the animals by embolizing the left circumflex coronary artery with glass microspheres (90  $\mu$ m in diameter) (24, 25). We adjusted the amount of injected microspheres to increase Pla to more than 18 mmHg or decrease CO to less than 70 ml $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$ . When ventricular tachycardia or frequent premature ventricular contractions were noted, lidocaine (1 mg/min) was infused to suppress the arrhythmia.

**Response of cardiovascular parameters to drug infusion.** Under open-loop conditions, we examined the response of cardiovascular parameters to drug infusions in 21 dogs with LVF. In 10 dogs, we infused Dob in a stepwise manner at 6  $\mu$ g $\cdot$ kg $^{-1}$  $\cdot$ min $^{-1}$  for 10 min to obtain a step response of  $S_L$ . In six dogs, we infused SNP at 2  $\mu$ g $\cdot$ kg $^{-1}$  $\cdot$ min $^{-1}$  for 10 min to obtain a step response of R. In five dogs, we infused Dex at 0.4 ml $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$  for 10 min to observe the response of  $V$ . In seven dogs, we injected Fur (20 mg, bolus iv) and observed the response of  $V$  and urine volume for 50 min.

**Application of the automated drug delivery system.** We applied the system to the other 14 dogs with LVF. We first defined AP\* (90–105

mmHg), CO\* (90–100 ml $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$ ), and Pla\* (8–12 mmHg), which were fed into the system to determine  $S_L^*$ ,  $V^*$ , and  $R^*$  (see APPENDIX B). The controllers were then activated by closing the loops. In 12 dogs (*group 1*), we observed the performance of the system over 50–60 min. In two dogs (*group 2*), we observed the performance of the system over 100–150 min to evaluate stability of the closed-loop control over a longer periods of time.

With the use of the computer, analog signals of AP, CO, Pla, and Pra were digitized at 200 Hz with a 12-bit analog-to-digital converter [AD12-16U(PCI)E, Contec, Osaka, Japan] and stored on a hard disk for offline analysis. In the closed-loop control, the digitized signals were smoothed by a low-pass filter (time constant, 10 s) and were used as the system controlled variables. The infusion rates of Dob, SNP, and Dex were also stored. Urine volume after the injection of Fur was recorded.

#### Data Analysis

**Evaluation of the response of cardiovascular parameters and design of the controller.** We described the step response of  $S_L$  and R by a transfer function of a first-order model with a transport delay. In this model, change in  $S_L$  from baseline ( $\delta S_L$ ) in response to Dob infusion can be expressed by the following formula:

$$\delta S_L(t) = \begin{cases} G \cdot \left[ 1 - \exp\left(-\frac{t-L}{T}\right) \right] & (t \geq L) \\ 0 & (t < L) \end{cases} \quad (1)$$

where  $G$  is static gain [ml $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$ ( $\mu$ g $\cdot$ kg $^{-1}$  $\cdot$ min $^{-1}$ ) $^{-1}$ ],  $L$  is transport delay (s), and  $T$  is time constant (s). Change in R from baseline ( $\delta R$ ) in response to the SNP infusion can be expressed similarly and is characterized by  $G$  [mmHg $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$ ( $\mu$ g $\cdot$ kg $^{-1}$  $\cdot$ min $^{-1}$ ) $^{-1}$ ],  $L$  (s), and  $T$  (s). We estimated the parameters of the transfer function by approximating  $\delta S_L$  and  $\delta R$  to Eq. 1 using the least square method. We averaged the parameters of the transfer function of  $S_L$  response for 10 animals and those of R response for 6 animals. The averaged parameters were used to determine the PI gain constants,  $K_i$  and  $K_p$ , in accordance with the method of Chien et al. (9). Their method provides PI constants that permit the regulated variable to respond rapidly without overshoot (4, 9).

We evaluated the change in  $V$  from baseline ( $\delta V$ ) in response to the infusion of Dex and Fur. On the basis of  $\delta V$ , we determined the constants ( $X_1$ ,  $Y_1$ ,  $X_2$ , and  $Y_2$ ) of the if-then rules.

**Efficacy of the automated drug delivery system.** We calculated the following indexes to evaluate the accuracy and stability of the control of AP, CO, and Pla by the new system: the time required for the hemodynamic variables to reach the acceptable ranges of the target values ( $\pm 10$  mmHg for AP,  $\pm 10$  ml $\cdot$ min $^{-1}$  $\cdot$ kg $^{-1}$  for CO,  $\pm 2$  mmHg for Pla), and the standard deviations of the steady-state differences between AP and AP\*, between CO and CO\*, and between Pla and Pla\*. Because steady states were reached within 30 min in all the variables in the present study, standard deviations were calculated from 30 min after the loop was closed.

#### Statistics

Group data are expressed as means (SD) unless otherwise stated. Student's paired  $t$ -test was used to compare hemodynamic data at baseline and after the coronary embolization. One-way ANOVA with Tukey's post hoc test was used to compare hemodynamic data before, during, and after the closed-loop control of hemodynamics. The level of statistical significance was defined as  $P < 0.05$ .

#### RESULTS

Hemodynamic data at baseline and after left circumflex coronary artery embolization are summarized in Table 1. Coronary embolization more than doubled Pla [from 7.5 (SD 1.9) to 19.4 (SD 6.2) mmHg] and halved CO [from 131.4 (SD

Table 1. Hemodynamic data at baseline and after left circumflex coronary artery embolization

	Baseline	Embolization
HR, beats/min	141.3 (19.5) [112.0–188.3]	146.2 (28.8) [81.4–197.9]
AP, mmHg	109.1 (18.7) [76.4–140.0]	90.9 (16.5) [66.9–135.6]*
CO, ml·min <sup>-1</sup> ·kg <sup>-1</sup>	131.4 (40.9) [64.5–229.2]	66.8 (23.3) [30.3–121.7]*
Pla, mmHg	7.5 (1.9) [4.7–12.8]	19.4 (6.2) [7.9–34.5]*
Pra, mmHg	4.2 (1.2) [2.1–7.2]	6.0 (1.8) [3.5–9.9]*
S <sub>L</sub> , ml·min <sup>-1</sup> ·kg <sup>-1</sup>	54.3 (18.1) [25.2–105.9]	19.1 (7.6) [8.0–33.7]*
R, mmHg·min·ml <sup>-1</sup> ·kg	0.9 (0.4) [0.4–1.8]	1.4 (0.5) [0.7–2.6]*
V, ml/kg	31.0 (6.6) [21.7–45.2]	32.3 (4.9) [20.6–43.7]

Values are means (SD) ( $n = 35$  in each group). Numbers in brackets are the ranges. HR, heart rate. AP, systemic arterial pressure; CO, cardiac output; Pla, left atrial pressure; Pra, right atrial pressure; S<sub>L</sub>, pumping ability of the left heart; R, systemic arterial resistance; V, stressed blood volume. \* $P < 0.01$  vs. baseline.

40.9) to 66.8 (SD 23.3) ml·min<sup>-1</sup>·kg<sup>-1</sup>. This decreased S<sub>L</sub> to about one-third of the baseline value, which indicates substantial downward shift of the left cardiac output curve. These changes are compatible with severe LVF.

#### Response of Cardiovascular Parameters to Drug Infusion

Figure 3 shows the open-loop responses of cardiovascular parameters to drug infusions. Figure 3, A and B, shows the averaged time course of  $\delta S_L$  during Dob infusion ( $n = 10$ )

and that of  $\delta R$  during SNP infusion ( $n = 6$ ), respectively. Dob infusion increased  $\delta S_L$ , and SNP infusion decreased  $\delta R$  exponentially. The results of the fit of  $\delta S_L$  and  $\delta R$  to Eq. 1 are summarized in Table 2. The fact that the correlation coefficients were close to unity, with a small standard error of the estimate relative to the amount of  $\delta S_L$  and  $\delta R$ , suggested that the approximation of  $\delta S_L$  and  $\delta R$  to Eq. 1 was reasonably accurate. On the basis of the averaged parameters of the transfer function (Table 2), we determined the PI gain constants for Dob infusion [ $K_i = 0.01$  s<sup>-1</sup>,  $K_p = 0.06$   $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (ml·min<sup>-1</sup>·kg<sup>-1</sup>)<sup>-1</sup>] and for SNP infusion [ $K_i = 0.007$  s<sup>-1</sup>,  $K_p = -1.37$   $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (mmHg·min·ml<sup>-1</sup>·kg)<sup>-1</sup>].

Figure 3C shows the averaged time course of  $\delta V$  in response to Dex infusion ( $n = 5$ ).  $\delta V$  increased and plateaued [7.2 ml/kg (SD 2.2)] after the cessation of Dex infusion.  $\delta V$  at the plateau was greater than the total volume of Dex infused (4 ml/kg), suggesting transvascular fluid absorption by colloid osmotic pressure (3). Figure 3D shows the averaged time course of  $\delta V$  after a single intravenous injection of Fur (20 mg,  $n = 7$ ).  $\delta V$  gradually decreased and reached a trough [-4.3 ml/kg (SD 3.5)] around 30 min after the Fur injection. Average urine volume was 180 ml (SD 94). On the basis of these responses, we determined the constants of the if-then rules as  $X_1 = 1$  ml/kg,  $Y_1 = 10$  ml/min,  $X_2 = -2$  ml/kg, and  $Y_2 = 10$  mg. To avoid oscillation between hypovole-

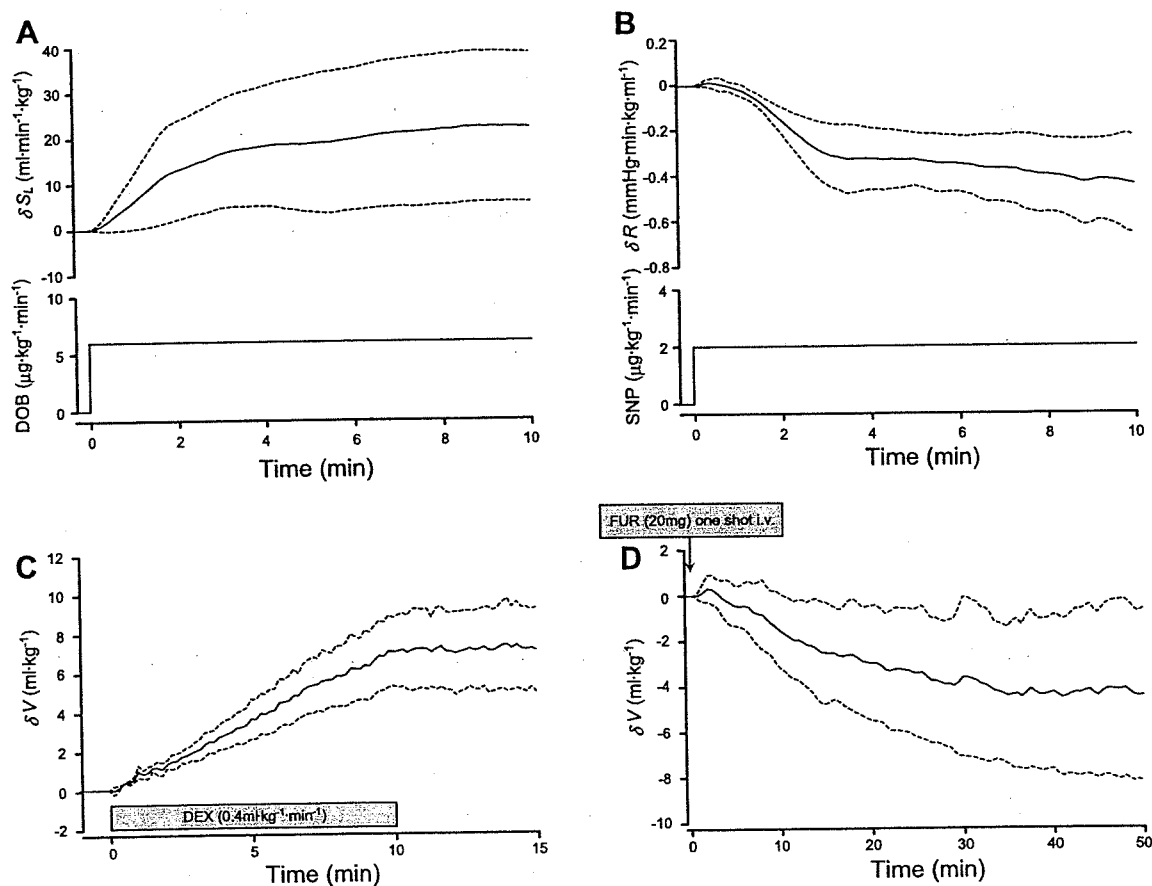


Fig. 3. Response of cardiovascular parameter to drug infusion. A: averaged response of S<sub>L</sub> to stepwise Dob infusion (6  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) ( $n = 10$ ). The ordinate indicates change in S<sub>L</sub> from baseline ( $\delta S_L$ ). B: averaged response of R to stepwise SNP infusion (2  $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) ( $n = 6$ ). The ordinate indicates change in R from baseline ( $\delta R$ ). C and D: averaged response of V to Dex infusion (0.4 ml·min<sup>-1</sup>·kg<sup>-1</sup>) (C,  $n = 5$ ) and to Fur (20 mg) injection (D,  $n = 7$ ). The ordinates indicate change in V from baseline ( $\delta V$ ). Data are expressed by mean (solid line)  $\pm$  SD (broken line).

Table 2. Parameters of step response of  $S_L$  and  $R$ 

	$G$	$L$	$T$	$r$	SEE
$\delta S_L$	3.6 (2.7)	63.5 (46.9)	79.0 (78.0)	0.91 (0.09)	2.0 (0.7)
$\delta R$	-0.21 (0.08)	69.8 (26.1)	117.1 (80.2)	0.93 (0.02)	0.06 (0.02)

Values are means (SD).  $\delta S_L$ , change in  $S_L$  from baseline;  $\delta R$ , change in  $R$  from baseline;  $G$ , static gain of  $\delta S_L$  [ $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  ( $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) $^{-1}$ ] and of  $\delta R$  [ $\text{mmHg}\cdot\text{min}\cdot\text{ml}^{-1}\cdot\text{kg}$  ( $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) $^{-1}$ ];  $L$ , transport delay (s);  $T$ , time constant (s);  $r$ , correlation coefficient; SEE, standard error of the estimate of  $\delta S_L$  ( $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ ) and of  $\delta R$  ( $\text{mmHg}\cdot\text{min}\cdot\text{ml}^{-1}\cdot\text{kg}$ ).

mia and hypovolemia (hence infusion of Dex and injection of Fur), we introduced a dead zone ( $-2 \text{ ml/kg} < \Delta V < 1 \text{ ml/kg}$ ) into the rules (4). We set continuous checking for *rule 1* and checking at 20-min intervals for *rule 2*.

With the controllers thus designed, we evaluated the performance of the automated system in the next protocol.

#### Performance of the Automated Drug Delivery System

Figure 4 shows the experimental trial in a representative case. The automated system was activated at 0 min. Figure 4A shows the time courses of the infusion rates of Dob and SNP and the accumulated volume of infused Dex. In this case, Fur was not injected. Figure 4B shows the time courses of  $S_L$ ,  $R$ , and  $V$ . Infusion rates of Dob, SNP, and Dex were adjusted so that  $S_L$ ,  $R$ , and  $V$  reached their respective target values. By controlling the cardiovascular parameters, the automated system controlled AP, CO, and Pla accurately and stably as demonstrated in Fig. 4C. AP, CO, and Pla reached their respective target levels within 30 min and remained at these levels.

Figure 5 summarizes the results obtained for 12 dogs (*group I*), demonstrating the effectiveness of the performance of the automated system. Figure 5A shows averaged time courses of

the infusion rates of Dob and SNP, and the accumulated volume of infused Dex. The average infusion rates of Dob and SNP were  $4.7 \mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (SD 2.6) and  $4.2 \mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (SD 1.8), respectively. The average volume of infused Dex was  $2.4 \text{ ml/kg}$  (SD 1.9). Fur was injected once in one animal and twice in another animal. In these two animals,  $V$  decreased by  $3.8\text{--}10.2 \text{ ml/kg}$  in response to the injection of Fur with a total urine volume of  $250\text{--}300 \text{ ml}$ . Figure 5B shows averaged time courses of difference between  $S_L$  and  $S_L^*$  ( $S_L - S_L^*$ ), difference between  $R$  and  $R^*$  ( $R - R^*$ ), and difference between  $V$  and  $V^*$  ( $V - V^*$ ). Once the system was activated, these differences rapidly converged to the zero lines in all the animals.  $S_L$  was restored to subnormal conditions [ $33.0 \text{ ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  (SD 2.6)] irrespective of the magnitude of depression before the control [ $13.8 \text{ ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  (SD 3.5), from  $9.4$  to  $20.5 \text{ ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ ]. These resulted in accurate and stable control of AP, CO, and Pla (Fig. 5C). The ordinates of Fig. 5C indicate the difference between AP and AP\* ( $AP - AP^*$ ), difference between CO and CO\* ( $CO - CO^*$ ), and difference between Pla and Pla\* ( $Pla - Pla^*$ ). These differences also converged to the zero lines rapidly. The average times for AP, CO, and Pla to reach the acceptable ranges were  $5.2 \text{ min}$  (SD 6.6),  $6.8 \text{ min}$  (SD 4.6), and  $11.7 \text{ min}$  (SD 9.8),

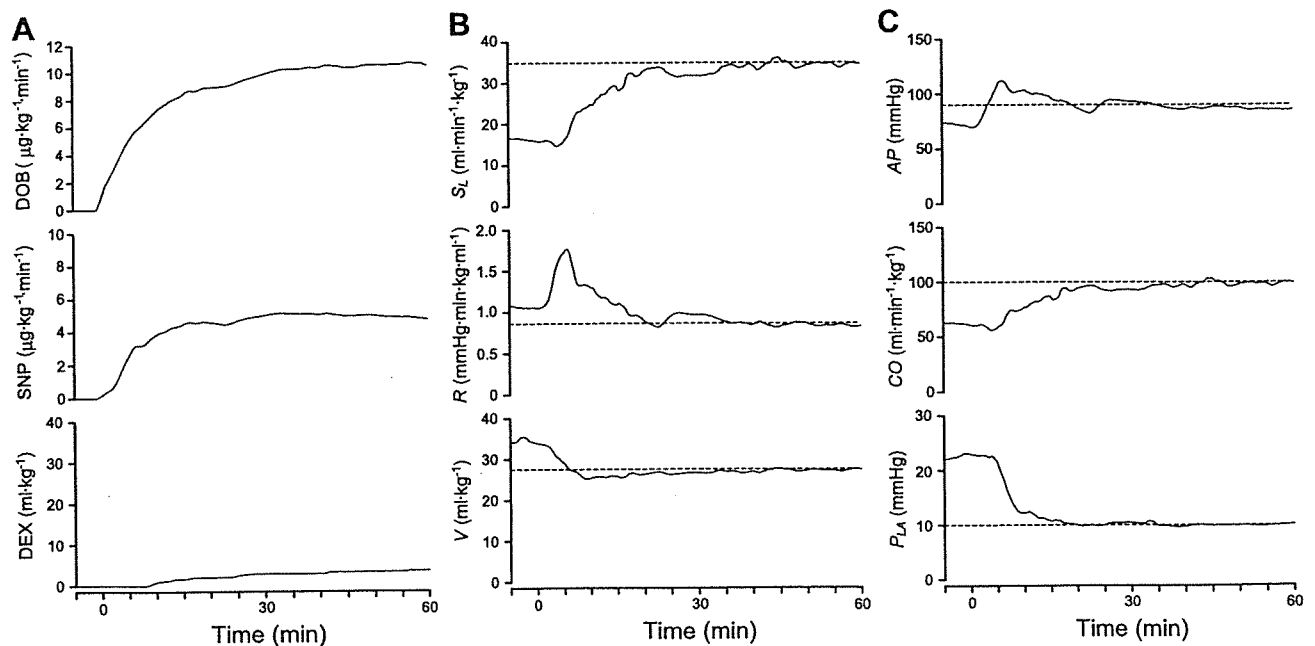


Fig. 4. Time courses of infusion rates of Dob and SNP, and cumulated volume of infused Dex (A), cardiovascular parameters (B), and hemodynamic variables (C) in 1 representative animal during closed-loop control of hemodynamics. Broken horizontal lines in B indicate target parameters (top,  $S_L^*$ ; middle,  $R^*$ ; bottom,  $V^*$ ). Broken horizontal lines in C indicate target hemodynamic variables (top,  $AP^*$ ; middle,  $CO^*$ ; bottom,  $Pla^*$ ). Drug infusion rates were adjusted so that the cardiovascular parameters reached the respective target values. As the parameters got closer to their targets, all 3 hemodynamic variables approached their respective target values.

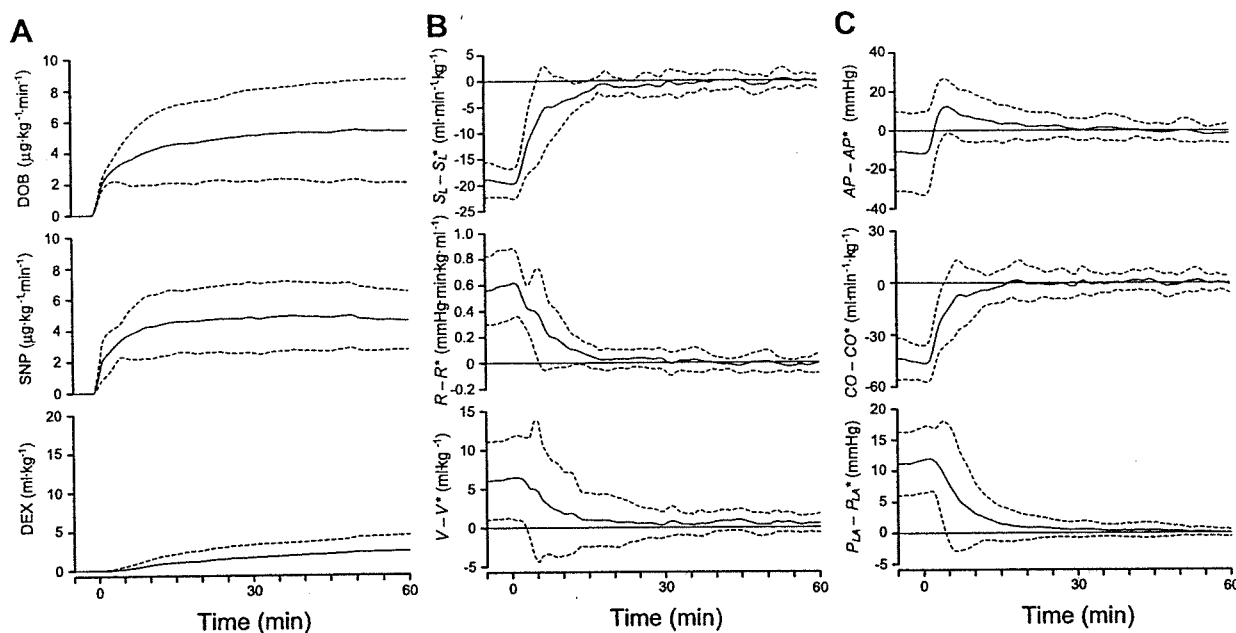


Fig. 5. Time courses of infusion rates of Dob and SNP, and cumulated volume of infused Dex (A), differences between measured and target cardiovascular parameters (B), and differences between measured and target hemodynamic variables (C) averaged for 12 dogs during closed-loop control of hemodynamics. Data are expressed as mean (solid line)  $\pm$  SD (broken line). As the differences between measured and target parameters converged to the zero lines, the differences between measured and target hemodynamic variables also converged to the zero lines and remained at those levels.

respectively. The average standard deviations from the target values were small for AP [4.4 mmHg (SD 2.6)], CO [5.4  $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  (SD 2.4)] and Pla [0.8 mmHg (SD 0.6)]. In case of severe hypotension, restoring normal AP should be done within a few minutes to prevent cerebral ischemia. Four of 12 dogs exhibited severe hypotension [AP, 67 mmHg (SD 6)]. In these animals, AP\* [95 mmHg (SD 4)] was attained within 4 min [mean 2.8 min (SD 0.7)]. Hemodynamic data before, during, and after the closed-loop control of hemodynamics are summarized in Table 3. After the system was turned off, AP, CO, and Pla gradually returned to their precontrol levels in 11 animals. In one animal, however, progressive hypotension followed by intractable ventricular fibrillation occurred  $\sim$ 3 min after the system was turned off.

In two dogs (group 2), AP, CO, and Pla were controlled with reasonable stability over a longer periods of time (100–150 min). Standard deviations from target values were small for AP (3.9–7.8 mmHg), CO (2.7–6.6  $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$ ), and Pla (0.7–2.5 mmHg).

Table 3. Hemodynamic data before, during, and after the closed-loop control of hemodynamics

	Before (n = 12)	During (n = 12)	After (n = 11)
HR, beats/mm	147.4 (26.8)	149.4 (25.0)	135.7 (25.2)†‡
AP, mmHg	86.7 (22.4)	97.0 (7.4)	75.2 (21.1)‡
CO, $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$	53.7 (14.6)	96.7 (5.3)†	53.5 (8.6)‡
Pla, mmHg	21.8 (5.5)	10.8 (1.2)†	18.5 (3.4)‡
Pra, mmHg	6.9 (1.8)	4.4 (0.9)†	7.4 (2.2)‡
SL, $\text{ml}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$	14.3 (4.0)	32.7 (2.6)†	15.1 (2.9)‡
R, $\text{mmHg}\cdot\text{min}\cdot\text{ml}^{-1}\cdot\text{kg}$	1.5 (0.3)	1.0 (0.1)†	1.3 (0.4)*‡
V, ml/kg	34.2 (4.9)	28.5 (2.3)†	34.0 (5.4)‡

Values are means (SD). \* $P < 0.05$ , † $P < 0.01$  vs. Before; ‡ $P < 0.01$  vs. During.

## DISCUSSION

To the best of our knowledge, the automated drug delivery system we have developed is the first to successfully control AP, CO, and Pla simultaneously with reasonably good accuracy and stability. In a canine model of acute heart failure, our system automatically normalizes AP, CO, and Pla and maintains the levels stably within the desired ranges. Therefore, our system is potentially useful in the management of patients with acute decompensated heart failure.

### Previous Closed-Loop Systems Controlling Hemodynamic Variables

Several previous systems have attempted to control two hemodynamic variables simultaneously (18, 26, 27). However, it is difficult to expand them to closed-loop control of the overall hemodynamics.

Voss et al. (26) and Yu et al. (27) reported closed-loop systems to control AP and CO using inotropes and vasodilators in dogs. In these systems, all possible input-output relations between drug infusion and the response of the controlled variable have to be estimated; namely, inotrope-AP, inotrope-CO, vasodilator-AP, and vasodilator-CO relations. The reason for this is that these drugs affect AP and CO simultaneously to almost the same degree. If this approach is applied to simultaneous control of AP, CO, and Pla, at least nine input-output relations have to be estimated, because at least three drugs are required to independently control the three variables. This would make the system extremely complicated and therefore be practically unfeasible.

In addition, the input-output relations must be estimated online in individual animals to tune the drug controllers. The reason for this is that the relations differ widely between animals and within animal over time. Even the direction of the

output response can change. For example, CO usually increases in response to SNP infusion in subjects with failing hearts but may also decrease in subjects with preserved cardiac function (23, 26). In the closed-loop system of Voss et al., such estimation induced unacceptably large fluctuations in AP (26). The feasibility of such online estimation is questionable when drug infusion rates are allowed to vary simultaneously because of the difficulty to differentiate between drug effects. To avoid this problem, Hoeksel et al. (18) allowed only one drug to be varied at a time, whereas other drugs were kept constant in closed-loop control of AP and pulmonary arterial pressure during cardiac surgery. However, their adjustments of volume supplementation or dobutamine infusion were manual. Their system did not completely automate the control of hemodynamics.

### Characteristics of Our System

Our system controls the cardiovascular parameters characterizing the integrated CO curve, venous return surface, and arterial resistance and as a result achieves target values for hemodynamic variables. Compared with previous systems, our system may appear to adopt a rather roundabout approach. Our concept is that controlling the cardiovascular parameters is physiologically more rational, because it is equivalent to directly controlling the mechanical determinants of circulation. As indicated by Guyton et al. (16, 17), when the mechanics of the circulation are considered, the hemodynamic variables such as AP, CO, and atrial pressures are the effects, or dependent variables. Blood volume and the mechanical properties of the heart and vasculature, such as heart rate, ventricular contractility, and vascular resistance, are the causes, or independent variables. The integrated CO curve and venous return surface display these properties through the relationship between the flow and atrial pressures (24, 25). The total artificial heart control system developed by Abe et al. (1) adjusted its output in accordance with the vascular conductance ( $1/\text{resistance}$ ) and AP, thereby achieving appropriate response to peripheral metabolic demands and avoiding hemodynamic abnormalities exhibited by other total artificial heart control systems. Their results also suggest that it is essential to consider the mechanical determinant of circulation for the control of the hemodynamic variables.

Our approach is advantageous from the perspective of control engineering. The three drug controllers (Fig. 2A) are designed on the basis of only four input-output relations between the drug infusion and the response of the controlled parameter; namely, Dob- $S_L$ , SNP-R, Dex-V, and Fur-V (Fig. 3). We also found that Dob decreases R and increases V, and SNP increases  $S_L$  and decreases V (data not shown), which are compatible with previous studies (7, 22, 23). If these secondary effects induce significant interactions among the three closed loops, additional controllers would be needed to compensate for the interactions (4). However, our results indicate that these secondary effects are small enough to be compensated by the three drug controllers, and additional controllers are not necessary. The fact that the three closed loops are effectively decoupled drastically simplifies the entire system. This also permits system operators to understand its behavior easily (4).

Although we fix the PI gain constants and the constants of if-then rules, controls of cardiovascular parameters are accu-

rate and stable (Fig. 4B). There are interindividual differences in the response of the parameters to drug infusion (Fig. 3). There should also be intraindividual differences in the response over time. However, our results indicate that the three drug controllers effectively compensate for all of these differences and do not require adaptive tuning in individual animals as in the previous system. As long as each cardiovascular parameter responds sensitively to the corresponding agent, our system is able to achieve target values for all the parameters, thereby achieving target hemodynamic variables.

Our system explicitly quantifies cardiac pump function, preload, and afterload, thereby controlling the overall hemodynamics. We believe that this unique feature of our system is intuitively appealing and is acceptable to clinicians.

### Clinical Application of Our System

Our system will reduce the stress and work imposed on physicians and nurses who are managing patients with unstable hemodynamic conditions. These personnel will be able to spend more time on other patient-related activities, thereby improving the quality of patient care (10, 11). We believe that the closed-loop control of overall hemodynamics can extend the improvement in postoperative outcome demonstrated by Chitwood et al. (10) to various aspects of clinical cardiology or cardiac surgery.

In clinical settings, multisystem disorders such as renal disease, anemia, and diabetes may affect the performance of our system. Renal disease can weaken the response of V to the infusion of Fur. The hemodynamic changes in anemia include increased preload and reduced arterial resistance as compensatory mechanisms for the reduced oxygen-carrying capacity of the blood (8). These changes may affect the control of V and R by our system. In patients with diabetic cardiomyopathy, the sensitivity of  $S_L$  to Dob infusion may be reduced (5). Drugs prescribed before hospitalization such as  $\beta$ -blockers, or used during hospitalization such as morphine may also affect the performance of our system. Chronic  $\beta$ -adrenergic blockade can weaken the sensitivity of  $S_L$  to Dob infusion (2). Administration of morphine may change the response of V and R to the drugs infused (15). We must clarify these effects on the performance of our system as thoroughly as possible before our system can be considered for clinical application.

In the routine clinical environment, CO, and pulmonary artery occlusion pressure, a substitute for  $Pl_a$ , are measured intermittently with a Swan-Ganz catheter. For clinical application of our system, it is a prerequisite to monitor these variables continuously. Several methods have been developed to continuously monitor CO or the pulmonary artery occlusion pressure (6, 12). Integrating these methods into our system would bring the clinical application of our system closer to reality.

### Limitations

All the experiments of this study were conducted in anesthetized, open-chest dogs. Anesthesia and surgical trauma affect the cardiovascular system significantly. Whether the present system is efficacious in conscious, closed-chest animals (including humans) remains to be seen.

We parameterized the integrated cardiac output curve and the venous return surface using Eqs. A1, A2, and A4 (24, 25). Even if the actual curve or surface deviate slightly from those

estimated by these equations, our system compensates such deviations by the negative feedback mechanism. However, we did not confirm whether the estimation works well outside the physiological ranges of  $Pl_a$  and  $Pra$ , particularly under low atrial pressures (24, 25). The efficacy of our system in such conditions remains to be evaluated.

Our system controls  $R$  with vasodilators only and lacks a controller to increase  $R$  with vasoconstrictors. This will not be a major problem because the pathophysiology of acute heart failure is characterized by excessive vasoconstriction due to enhanced activity of sympathetic and renin-angiotensin systems (19). Vasoconstrictor control is necessary, however, for the management of patients with excessive vasodilatation, such as those in septic shock (21).

In this study, control of  $S_L$  was accurate and stable. However, it would be impossible to restore  $S_L$  pharmacologically if  $S_L$  is more severely depressed than those seen in this study as in the case of more diffuse myocardial disease or superimposed coronary artery disease. We must clarify in future studies to what magnitude of  $S_L$  depression can our system restore it reliably. In addition, how to use our system with mechanical circulatory support such as the intra-aortic balloon pump in case of the severe  $S_L$  depression remains to be established.

In the present design, if  $S_L$  is unable to respond to the infusion of Dob, the system will automatically increase the infusion rate of Dob owing to its negative feedback mechanism. This would be problematic especially in case of arrhythmia, which is a serious noise in the closed-loop control of  $S_L$ . If not appropriately suppressed, frequent premature ventricular contractions or ventricular tachycardia will depress  $S_L$  owing to disorganized ventricular contraction. In response to the depressed  $S_L$ , the system automatically increases the infusion rate of dobutamine. This could further exacerbate the arrhythmia, thus leading to a vicious cycle and collapse of the hemodynamics. To prevent such malfunction, a smart "sensor" that will filter these unwanted artifacts should be included in our system.

In the present study, we recorded only the urine volume. Measurement of urine flow and sodium excretion is essential to evaluate renal function, which is a very important prognostic indicator in patients with acute decompensated heart failure (14). It would be desirable to add the monitoring of these parameters to our system to improve the quality of patient care.

In conclusion, by directly controlling the mechanical determinants of circulation, our automated drug delivery system allows simultaneous control of  $AP$ ,  $CO$ , and  $Pl_a$  with reasonable accuracy and stability and is potentially a powerful clinical tool for the management of patients with acute decompensated heart failure.

#### APPENDIX A

##### *Parameters of Integrated Cardiac Output Curve, Venous Return Surface, and Arterial Resistance*

We parameterized the integrated  $CO$  curve, the venous return surface and the systemic arterial resistance on the basis of previous studies (24, 25). In the integrated  $CO$  curve,  $CO$  ( $\text{ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ ) is closely related to  $Pl_a$  ( $\text{mmHg}$ ) by the following formula (24):

$$CO = S_L \times [\ln(Pl_a - 2.03) + 0.80] \quad (A1)$$

and  $CO$  to  $Pra$  ( $\text{mmHg}$ ) as follows:

$$CO = S_R \times [\ln(Pra - 1.0) + 0.88] \quad (A2)$$

$S_L$  and  $S_R$  ( $\text{ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ ) are parameters representing the preload sensitivity of  $CO$ , i.e., the pumping ability of the left and right heart, respectively. These relations are consistent among different animals (24). In a preliminary study, we found that the ratio of  $S_R$  to  $S_L$  ( $\alpha$ ) remains fairly constant during infusion of dobutamine (data not shown). This suggests that once we know  $\alpha$ , we can predict  $S_R$  in relation to a known change in  $S_L$ . Therefore we used  $S_L$  to parameterize the integrated  $CO$  curve.  $S_L$  can be calculated from  $CO$  and  $Pl_a$  by rewriting Eq. A1 as follows:

$$S_L = CO / [\ln(Pl_a - 2.03) + 0.80] \quad (A3)$$

The venous return surface can be mathematically expressed by the following formula (25):

$$CO_V = V / 0.129 - 19.61Pra - 3.49Pl_a \quad (A4)$$

$V$  ( $\text{ml}/\text{kg}$ ) is total stressed blood volume, and  $CO_V$  ( $\text{ml} \cdot \text{min}^{-1} \cdot \text{kg}^{-1}$ ) is integrated venous return from systemic and pulmonary circulations. This relationship is also consistent among different animals (25). We used  $V$  to parameterize the venous return surface.  $V$  can be calculated from  $CO$  ( $= CO_V$ ),  $Pl_a$ , and  $Pra$  by rewriting Eq. A4 as follows:

$$V = (CO + 19.61Pra + 3.49Pl_a) \times 0.129 \quad (A5)$$

We parameterized the systemic arterial resistance ( $R$ ) ( $\text{mmHg} \cdot \text{ml}^{-1} \cdot \text{min} \cdot \text{kg}$ ) by the following formula:

$$R = (AP - Pra) / CO \quad (A6)$$

#### APPENDIX B

##### *Determination of Target Parameters*

On the basis of  $AP^*$ ,  $CO^*$ , and  $Pl_a^*$ , our system determines  $S_L^*$ ,  $V^*$ , and  $R^*$  as follows:  $S_L^*$  is calculated by substituting  $CO^*$  and  $Pl_a^*$  into Eq. A3. By substituting baseline  $CO$ ,  $Pl_a$ , and  $Pra$  into Eqs. A1 and A2, baseline  $S_L$  and  $S_R$  are calculated to determine  $\alpha$ .  $S_R$  ( $S_R^*$ ) corresponding to  $S_L^*$  is predicted as:

$$S_R^* = \alpha \cdot S_L^* \quad (B1)$$

From Eq. A2 and B1, target  $Pra$  ( $Pra^*$ ) is predicted as:

$$Pra^* = \exp[(CO^*) / (S_R^*) - 0.88] + 1.0 \quad (B2)$$

By substituting  $CO^*$ ,  $Pl_a^*$ , and  $Pra^*$  into Eq. A5,  $V^*$  can be determined. By substituting  $AP^*$ ,  $CO^*$ , and  $Pra^*$  into Eq. A6,  $R^*$  can be calculated.

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# Postexercise $\text{VO}_2$ “Hump” phenomenon as an indicator for inducible myocardial ischemia in patients with acute anterior myocardial infarction

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## Abstract

**Objectives:** At exercise testing with respiratory gas analysis in patients with inducible myocardial ischemia, we have occasionally observed abnormal transient oxygen uptake ( $\text{VO}_2$ ) components with a characteristic “Hump”-shaped morphology early after exercise, which may serve as an index for inducible ischemia. We examined this hypothesis in patients with anterior q-wave myocardial infarction in whom the accuracy to identify ischemia by exercise ECG is limited.

**Design:** From patients with acute anterior q-wave infarction but without clinically overt heart failure who underwent pre-discharge exercise testing, we examined patients with (Group-I,  $n=30$ ) and without (Group-N,  $n=29$ ) inducible ischemia. To identify “Hump”, postexercise  $\text{VO}_2$  (up to 4 min) standardized for peak  $\text{VO}_2$  was exponentially fitted with use of peak  $\text{VO}_2$  and  $\text{VO}_2$  of 90–240 s, yielding “expected  $\text{VO}_2$ ”. “D-curve” was obtained by subtracting “expected  $\text{VO}_2$ ” from measured  $\text{VO}_2$ .

**Results:** Although exercise-induced ST depressions more frequently appeared in Group-I (27%) than in Group-N (3%,  $p<0.05$ ), the prevalence was low. D-curve peaked later ( $p<0.01$ ) and its value was greater ( $p<0.05$ ) in Group-I than in Group-N. When “Hump” was defined to be present if D-curve peaked  $\geq 40$  s and its peak value  $\geq 15\%$ , it was far more frequently found in Group-I ( $n=17/30$ ) than in Group-N ( $n=1/29$ ,  $p<0.01$ ). Thus, “Hump” could diagnose inducible ischemia with a sensitivity of 57% and a specificity of 97%.

**Conclusions:** Although not highly sensitive, postexercise  $\text{VO}_2$  “Hump” with its peak occurring around 60 s after exercise is a specific marker for inducible ischemia. The identification may be useful, particularly in patients with limited accuracy of exercise ECG such as those with q-wave anterior infarction.

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**Keywords:** Exercise test; Respiratory gas analysis; Myocardial ischemia; Oxygen uptake

## 1. Introduction

In patients after acute myocardial infarction, the evaluation of inducible myocardial ischemia is important in the subsequent management, [1–3]] however, the diagnostic accuracy of exercise ECG is known to be limited in those patients [4–8]]. This is particularly crucial in patients with q-wave anterior infarction, in whom exercise-induced ST-

segment depression would be often obscured by the presence of q-wave in the precordial leads.

Exercise testing with respiratory gas analysis is most often performed for evaluating the functional capacity and predicting prognosis in patients with heart failure, however, we have conducted the test in a considerable number of these post-infarct patients (approximately 200 tests/year) in our institute for more than 10 years [9]. Although the concomitant use of respiratory gas analysis is conducted mainly for the same purpose as above, postexercise oxygen uptake ( $\text{VO}_2$ ) kinetics may provide useful information for detecting inducible ischemia in these patients. In practice,

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we have occasionally observed abnormal components with a characteristic “Hump”-shaped morphology in the early portion of the postexercise  $\text{VO}_2$  decay in some of patients with evidence of inducible ischemia. The mechanism is unclear, however, it is conceivable that this phenomenon may be caused by enhanced stroke volume following resolution of ischemia during exercise, that is presumably responsible for delayed recovery of postexercise systolic blood pressure in patients with ischemia [10–15].

Abnormal  $\text{VO}_2$  kinetics after exercise has been reported in patients with heart failure due to left ventricular dysfunction [16–18]. However, to our knowledge, no studies have examined the significance of the abnormal  $\text{VO}_2$  kinetics after exercise occurring in association with inducible ischemia. We thus examined the diagnostic utility of this phenomenon (“Hump”) as an indicator for inducible ischemia in patients after acute anterior q-wave infarction without clinically overt heart failure.

## 2. Methods

### 2.1. Study population

From the consecutive inpatients with acute anterior q-wave myocardial infarction but without overt heart failure who underwent both pre-discharge exercise testing with respiratory gas analysis (within 3 weeks after the onset of infarction) and coronary angiography (approximately 4 weeks after the onset), we recruited the study population as follows. As a control group, we selected 29 patients (Group-N) who had no significant (>50% luminal diameter narrowing) stenosis in the coronary arteries at angiography, although 83% ( $n=24/29$ ) of these patients had received percutaneous coronary intervention (PCI) during the acute phase of their infarction. It was assumed that this group did not have inducible ischemia. In 30 patients with abnormal coronary arteries, exercise thallium-201 scintigraphy (single-photon emission computed tomography; SPECT) showed reversible perfusion defects corresponding to the anatomic lesions demonstrated (Group-I). Of these patients, 43% ( $n=13/30$ ) had received PCI during the acute phase, and at subsequent angiography 22 were left with single, five with double, and three with triple vessel disease (Table 1). All patients with Group-I subsequently received revascularization with either percutaneous transluminal angioplasty ( $n=26$ ) or coronary artery bypass graft surgery ( $n=4$ ).

We excluded patients with primary lung disease, orthopedic difficulties that precluded maximal exercise, arteriosclerotic obliteration and significant arrhythmias including atrial fibrillation. The patients with  $\text{VO}_2$  plateau or leveling off (defined as an increase in  $\text{VO}_2$  of less than 50 ml/min) around at peak exercise were also excluded ( $n=2$ ), because the present study aimed to evaluate the significance of abnormal  $\text{VO}_2$  kinetics (“Hump”) only seen in the recovery period.

Table 1  
Patients' characteristics

	Group-I (N=30)	Group-N (N=29)	p value
Sex (M/F)	26/4	22/7	NS
Age (years)	64±8	61±10	NS
LV EF (%)	39±7	43±9	NS
History of prior MI	7(23%)	2(7%)	NS
PCI therapy	13(43%)	24(83%)	<0.01
Coronary artery disease			
SVD	22(73%)	–	
DVD	5(17%)	–	
TVD	3(10%)	–	
Medication			
beta-blocker	13(43%)	6(21%)	NS
Ca antagonist	15(50%)	18(62%)	NS
Nitrate	21(70%)	10(34%)	<0.05
Digitalis	0 (0%)	2(7%)	NS

Values are expressed as mean±SD.

LVEF, left ventricular ejection fraction; MI, myocardial infarction; PCI, percutaneous coronary intervention; SVD, single vessel disease; DVD, double vessel disease; TVD, triple vessel disease.

Left ventricular ejection fraction (LVEF) was similar between the two groups (Table 1). There were no significant differences in sex, age, and history of prior myocardial infarction. The use of cardiovascular drugs was similar in the two groups except for nitrate, and it was neither altered nor withheld for the exercise test. All patients gave informed consent for the study.

### 2.2. Exercise testing

Symptom-limited exercise testing with respiratory gas analysis was performed on an upright bicycle ergometer in a ramp fashion. After a 2-min rest, exercise was begun with a 1-min warm up at 0 W at 60 rpm, followed by 15 W incremental loading every 1 min. ECGs ( $V_1$ ,  $V_5$ ,  $aV_F$ ) and heart rate (HR) were monitored throughout the testing, while recording hardcopies of 12-lead ECG every 1 or 2 min. HR and blood pressure (BP) measured by a conventional cuff sphygmomanometer were recorded at rest, at 1-min intervals during exercise, and 1, 2, and 4 min into the recovery period. All patients stopped exercise because of dyspnea and/or leg fatigue, and there was no patient in whom exercise was stopped because of angina, marked ST-segment depressions or fall of blood pressure. Patients were asked to stop pedaling soon after exercise (up to 10 s) to avoid the possible influence of cool-down exercise on recovery  $\text{VO}_2$  kinetics.

Expired gas was measured on a breath-by-breath basis at rest, during the exercise, and recovery period (at least up to 4 min) with a respiromonitor AE280 (Minato Medical Electronics, Osaka, Japan). The system was carefully calibrated before each study.  $\text{VO}_2$ , carbon dioxide production ( $\text{VCO}_2$ ), and minute ventilation (VE) were stored in a computer hard disk every 6 s for later analysis.

We identified a significant ST-segment depression induced by exercise according to the following criteria; (1) a horizontal or downsloping ST-segment displacement at J-point  $\geq 0.1$  mV (2) up-sloping ST-segment displacement at 80 ms after the J-point  $\geq 0.15$  mV in at least 3 consecutive beats at peak exercise. A significant ST-segment elevation was defined as an upward shift of the ST-segment  $\geq 0.1$  mV at the J-point compared with the resting level.

### 2.3. Exercise SPECT

The test was performed with symptom-limited bicycle exercise. At near-maximal exercise, thallium-201 was intravenously injected and the patient was encouraged to exercise for another 1 min. SPECT images were obtained at 15 min (initial images) and 4 h (delayed images). The images were assessed by two experienced physicians unaware of the patient clinical information. Thallium uptake was classified as normal, mildly, moderately or severely reduced, or absent. A reversible defect was defined when the classification improved by at least one category from the initial to delayed image.

### 2.4. Data analysis

By using our custom-made software, we evaluated abnormal manifestations (“Hump”) in early postexercise  $\text{VO}_2$  decay (Fig. 1). To characterize “Hump”, we performed the following procedures, assuming that postexercise  $\text{VO}_2$  decay would normally (i.e., without inducible ischemia) follow an approximately exponential curve and that

“Hump” would be expressed by the components that was not fitted by this approximation. We first standardized the time-series of  $\text{VO}_2$  data following exercise up to 4 min for peak  $\text{VO}_2$ . The curve was monoexponentially fitted with use of peak  $\text{VO}_2$  and continuous  $\text{VO}_2$  data over the period of 90–240 s; i.e., the data from 6 to 90 s were excluded from the fitting because we had observed abnormal components in this period. Nonlinear least-squares fitting was made assuming a monoexponential model:  $y = Z_0 \times e^{-t/\mu} + Z_\infty$  (where  $y$  is the standardized  $\text{VO}_2$  data,  $Z_0$  is the initial standardized  $\text{VO}_2$  above  $Z_\infty$ ,  $\mu$  is the time constant,  $t$  is time after the termination of exercise and  $Z_\infty$  is the asymptote to which standardized  $\text{VO}_2$  decay).

The fitted curve was termed the “expected  $\text{VO}_2$  curve” in this study (Fig. 1). To characterize “Hump” phenomenon, we obtained the “D-curve” by subtracting the expected  $\text{VO}_2$  curve from actually measured  $\text{VO}_2$  curve. In the D-curve that was a function of time (every 6 s) after exercise, we determined the peak value ( $D_{\max}$ ) in amplitude and the elapsed time at the time point of  $D_{\max}$  ( $T_{\max}$ ). These two indices were compared between the two groups.

Systolic BP and HR at rest, at peak exercise and at the recovery period of 1, 2, and 4 min after exercise were also analyzed.

### 2.5. Statistical analysis

Values are expressed as mean  $\pm$  SD. Between-group differences for unpaired values were analyzed by Student’s  $t$  test and by the Mann–Whitney  $U$  test when appropriate. Repeated measures of analysis of variance were used to

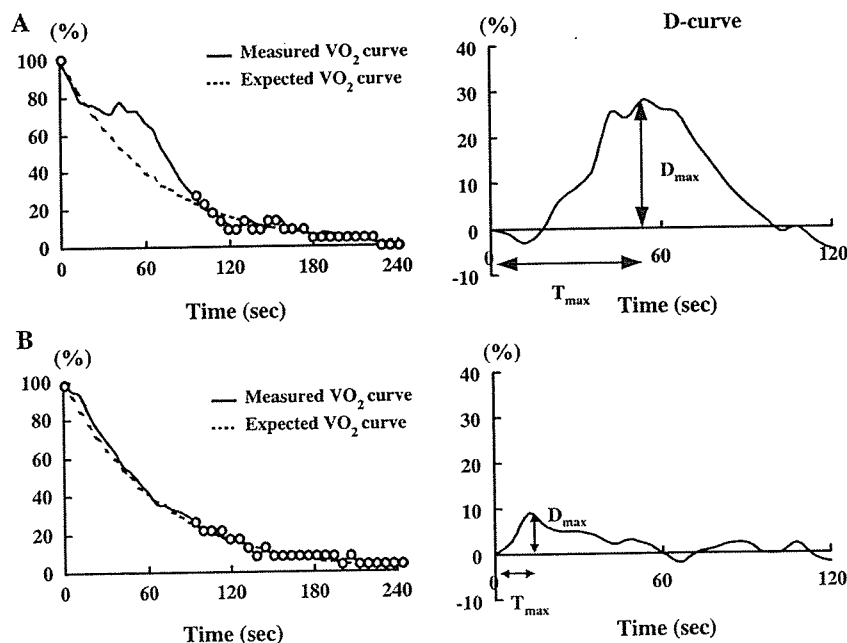


Fig. 1. Representative examples of postexercise  $\text{VO}_2$  decay (left panel) and the derived D-curve (right panel) of two patients. Illustrated in the upper panels (A) are graphs for a patient of Group-I, and the lower (B) depict those for a patient of Group-N. After  $\text{VO}_2$  decay curve standardized for peak  $\text{VO}_2$  was exponentially fitted with use of peak  $\text{VO}_2$  and  $\text{VO}_2$  over the period of 90–240 s (“expected  $\text{VO}_2$  curve”, broken line), we obtained D-curve by subtracting “expected  $\text{VO}_2$  curve” from actually measured  $\text{VO}_2$  curve. In the D-curve,  $D_{\max}$  (peak value) and  $T_{\max}$  (time to peak) were estimated.  $\text{VO}_2$  = oxygen uptake.

Table 2  
Exercise variables

	Group-I (N=30)	Group-N (N=29)	p-value
Rest HR (bpm)	70±12	75±17	NS
Rest SBP (mm Hg)	128±26	119±16	NS
Duration of exercise (s)	510±66	553±87	<0.05
Exercise-induced angina	3(10%)	0(0%)	NS
Peak HR (bpm)	137±27	147±24	NS
Peak SBP (mm Hg)	174±25	178±25	NS
ECG change			
ST elevation	14(47%)	16(55%)	NS
ST depression	8(27%)	1(3%)	<0.05
Peak WR (watt)	113±19	123±22	NS
Peak VO <sub>2</sub> (ml/min)	1179±192	1335±278	<0.05

Values are expressed as mean±SD. HR, heart rate; SBP, systolic blood pressure; VO<sub>2</sub>, oxygen uptake; WR, work rate.

compare the values during recovery period. When this test was significant, the Newman–Keuls post hoc test was performed for multiple comparisons. Difference in categorical variables was analyzed by chi-square analysis. A *p*-value <0.05 was considered statistically significant. Receiver operating characteristics curves (ROC) were used to assess the ability of  $T_{\max}$  and  $D_{\max}$  to diagnose inducible ischemia [19].

### 3. Results

#### 3.1. Exercise testing results

Table 2 shows exercise parameters for Group-I and Group-N. There were no significant differences in rest HR,

rest systolic BP (SBP), peak HR or peak SBP between the two groups. Although peak work rate was similar between the two groups, the duration of exercise was shorter and peak VO<sub>2</sub> was lower in Group-I than Group-N (*p*<0.05, both). However, these 2 parameters were of little help for differentiating the two groups; for instance, there was considerable overlap in peak VO<sub>2</sub> between the groups. Only 3 patients of Group-I complained of anginal symptoms after exercise.

As for ECG parameters, the frequency of a significant exercise-induced ST-segment elevation was comparable between Group-I and Group-N (47% and 55%, respectively). Despite the presence of inducible ischemia, a significant ST-segment depression was found in only 8 of 30 patients (27%) in Group-I, although it was more frequently observed in Group-I than in Group-N (27% vs. 3%, *p*<0.05).

#### 3.2. Comparison of postexercise VO<sub>2</sub>

To evaluate the overall difference in postexercise VO<sub>2</sub> decay (D-curve) between the 2 groups, we averaged the value of D-curve over every 30 s in the first 2 min of the recovery period (Fig. 2, upper two panels). As a result, the magnitude was significantly greater in the period of 30–60 s than in the period of 0–30 s in Group-I (13.7%±8.2% vs. 8.7%±5.1%, *p*<0.01, Fig. 2A), whereas such a difference was not observed in Group-N (11.0%±5.2% vs. 10.2%±5.0%, NS, Fig. 2B).

To characterize the “Hump” phenomenon in D-curve, the peak value ( $D_{\max}$ ) and its elapsed time ( $T_{\max}$ ) were compared between the groups.  $T_{\max}$  was significantly longer in Group-I than in Group-N (42.6±14.6 vs. 31.2±13.2 s,

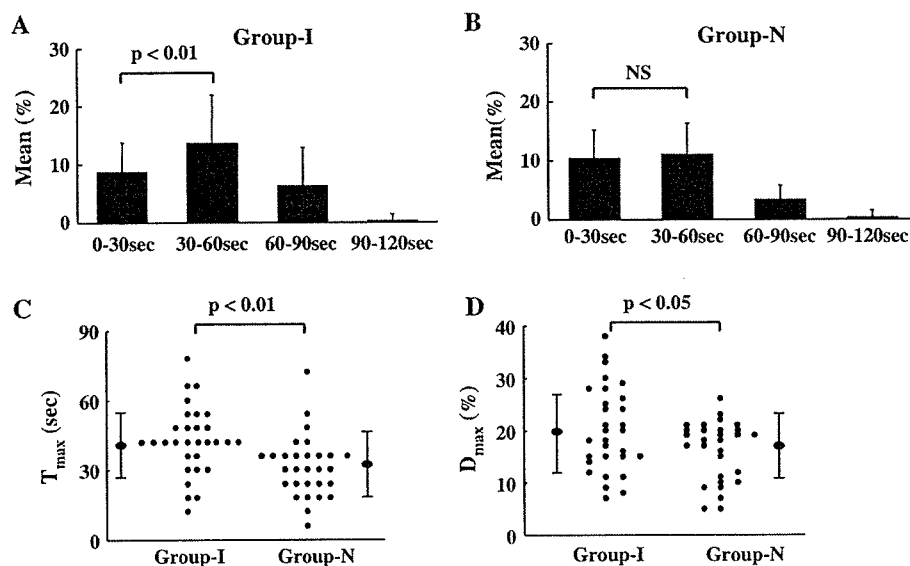


Fig. 2. The time-course changes in the D-curve for the first 2 min of recovery (upper panel) and comparisons of  $T_{\max}$  (C, lower left panel) and  $D_{\max}$  (D, lower right panel) between Group-I and Group-N. The D-curve values averaged over every 30 s were shown as bar graphs for Group-I (A, upper left panel) and Group-N (B, upper right panel). The mean for 30–60 s was greater than that for 0–30 s in Group-I (*p*<0.01), but not in Group-N.  $T_{\max}$  was longer in Group-I than in Group-N (*p*<0.01), and  $D_{\max}$  was greater in Group-I than in Group-N (*p*<0.05).

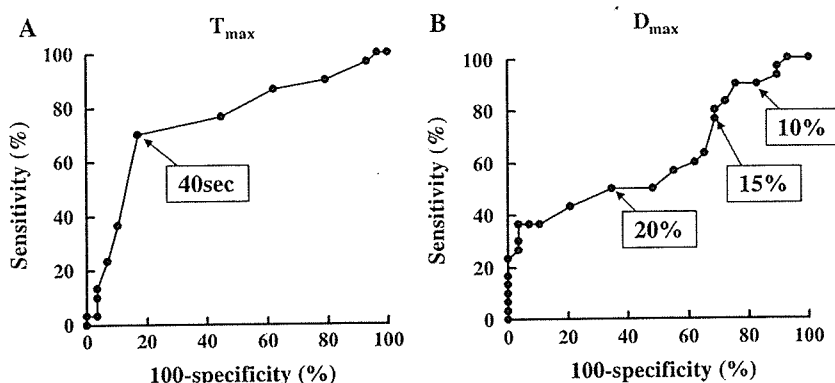


Fig. 3. ROC curves describing the ability for the diagnosis for inducible ischemia using  $T_{max}$  (A, left panel) and  $D_{max}$  (B, right panel).

$p < 0.01$ , Fig. 2C), and  $D_{max}$  was also significantly greater in Group-I than in Group-N ( $20.1\% \pm 8.1\%$  vs.  $16.2\% \pm 5.7\%$ ,  $p < 0.05$ , Fig. 2D).

We used ROC analysis to determine the optimum cutoff values of these two parameters for identifying Hump phenomenon, i.e., inducible ischemia. When ROC analysis was conducted separately for  $T_{max}$  (Fig. 3A) and  $D_{max}$  (Fig. 3B), we could easily recognize 40 s as the optimum cutoff for  $T_{max}$  in differentiating the two groups, whereas such an optimum point was not found for  $D_{max}$ . Since it is considered that the combination of the two optimum cutoff values, each of which was determined by a separate ROC analysis, would not necessarily have the highest discriminative power, ROC analysis of  $T_{max}$  was repeated for a given  $D_{max}$ , while shifting  $D_{max}$  every 1% from 0% to 40%. As a result, when assuming that the best cutoff was defined as the point with highest sum of sensitivity and specificity, the combination of  $D_{max} \geq 10\%$  and  $T_{max} \geq 40$  s (from 37 to 41 s, because temporal resolution was 6 s) could most accurately discriminate Group-I from Group-N (sensitivity 67%, specificity 90%, accuracy 78%, Table 3). When  $D_{max} \geq 15\%$  was applied (Fig. 4), the specificity was increased to 97%, although the sensitivity decreased to 57%.

### 3.3. Comparison of postexercise SBP

Although resting and peak SBP were not different between the two groups, Group-I had a higher SBP than Group-N at 2 and 4 min of recovery (both  $p < 0.05$ , Fig. 5A).

Furthermore, among patients of Group-I, patients with “Hump”, defined as  $T_{max} \geq 40$  s and  $D_{max} \geq 15\%$ , had a higher SBP at 1 and 2 min of recovery than those without “Hump” (both  $p < 0.05$ , Fig. 5B). A significant decrease in

SBP from peak exercise was found at 1 min of recovery in patients without “Hump”, but not in those with “Hump”.

## 4. Discussion

Although exercise-induced ST-segment depression is a cardinal index to identify inducible myocardial ischemia on exercise testing, the diagnostic accuracy by the standard ST criteria is limited in post-infarct patients [4–8]. In our population consisting of patients after acute anterior q-wave myocardial infarction, ST-segment depressions during exercise appeared in only 27% of patients with inducible myocardial ischemia (Group-I), that was comparable to the incidence of ST depression reported previously [6–8]. The most likely explanation for the low sensitivity of ST depression in these patients is that exercise-induced ST elevation over q-wave leads, related to left ventricular asynergy, would mask the appearance of ST depression [5,20]. In patients with anterior q-wave myocardial infarction, the vector of ST-segment depression, which most frequently appears in the left precordial leads of  $V_4$  to  $V_6$ ,

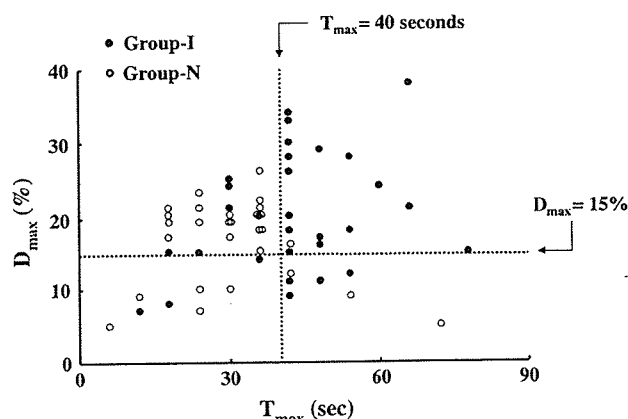


Fig. 4. Scatterplots showing  $D_{max}$  plotted against  $T_{max}$  for each patient. Approximately half (57%) of patients of Group-I (closed circle) were distributed in the limited area of  $T_{max} \geq 40$  s and  $D_{max} \geq 15\%$ , whereas only one patient in Group-I (open circle) was distributed in this area. Using this criterion, we could diagnose the presence of inducible ischemia (Group-I) with a sensitivity of 57% and a specificity of 97%.

Table 3  
Diagnostic accuracy of “Hump” for identifying inducible ischemia (Group-I)

$T_{max}$ (s)	$\geq 40$	$\geq 40$	$\geq 40$
$D_{max}$ (%)	$\geq 10$	$\geq 15$	$\geq 20$
Sensitivity	67% (20/30)	57% (17/30)	37% (11/30)
Specificity	90% (26/29)	97% (28/29)	100% (29/29)
Accuracy	78%	76%	68%