

FIG. 6. Effects of AK602 on CD4<sup>+</sup>/CD8<sup>+</sup> ratios and the amounts of proviral DNA and HIV-1 p24 in infected hu-PBMC-NOG mice. (A) Overall profiles of CD4<sup>+</sup>/CD8<sup>+</sup> cell ratios. Note that the mean CD4<sup>+</sup>/CD8<sup>+</sup> cell ratio in mice given saline (*n* = 7) was 0.1, while those in mice given AK602 or ddi were 0.92 and 1.29, respectively. The mean ratio in uninfected mice was 1.0. (B) Numbers of CD4<sup>+</sup> cells per microliter in each mouse group. (C) HIV-1 proviral DNA copy numbers in CD4<sup>+</sup> cells from each mouse group were determined by real-time PCR assay. Values are shown per 10<sup>5</sup> CD4<sup>+</sup> cells, as described in Materials and Methods. Note that the mean number of HIV-1 proviral DNA copies was 2.0 × 10<sup>5</sup> per 10<sup>5</sup> CD4<sup>+</sup> cells in mice given saline, while those in AK602- and ddi-treated groups were 1.3 × 10<sup>3</sup> and 1.8 × 10<sup>2</sup> per 10<sup>5</sup> CD4<sup>+</sup> cells (both, *P* = 0.001), respectively. (D) Amounts of plasma p24 antigen. Note that the amounts of p24 in plasma were high in saline-treated mice while AK602 and ddi significantly suppressed the serum p24 amounts as examined on day 16 after HIV-1<sub>Ba-L</sub> inoculation. The short bars indicate the arithmetic (A) and geometric (B, C, and D) means obtained.

levels of HLA-DR, and rapidly and continuously proliferated immediately after intraperitoneal infusion (Fig. 3A, B, and D). Moreover, the implanted PBMC expressed as much as 2.8-fold-higher levels of CCR5 on day 3 following implantation compared to PHA-PBMC on day 3 in culture (Fig. 3E). The combination of rapid proliferation and high levels of CCR5 expression of the implanted PBMC should explain the reason R5 HIV-1 rapidly replicated in the hu-PBMC-NOG mice and presented such high levels of R5 HIV-1 viremia. In this regard, only a few groups to date have documented the levels of viremia in the scientific literature. Among them are those by Garaci et al. (8) and Koyanagi et al. (14). The former documented

high levels of viremia with a peak of 2.67 × 10<sup>6</sup> copies/ml in hu-PBL-NOD-SCID mice in which HIV-1-infected macrophages were inoculated, unlike our NOG mouse model where HIV-1 was directly inoculated. The latter report by Koyanagi et al. does not have viremia data but has data on p24 levels with a geometric mean of 11,092 pg/ml on day 14 after HIV-1 inoculation. However, the variation was much greater (178 to 1,434,444 pg/ml). Thus, one can say that the present model provides a greater reproducibility of high viremia levels than the mouse system reported by Koyanagi (14). It should be noted that the high levels of viremia and high engraftment rate achieved in this mouse model made it possible to monitor the

TABLE 2. Comparison of HIV-1 proviral DNA in human CD4<sup>+</sup> and CD4<sup>-</sup> cell fractions<sup>a</sup>

Sample	HIV-1 DNA copies (10 <sup>5</sup> cells)		
	SCID-PBMC	CD4 <sup>+</sup> cells	CD4 <sup>-</sup> cells
Saline 1	138,858	162,193	461
Saline 2	135,967	117,949	<100
Saline 3	83,863	94,590	<100
AK602 1	3,390	2,300	<100
AK602 2	5,575	4,606	<100
AK602 3	1,925	1,398	<100
ddI 1	301	516	<100
ddI 2	793	1,317	<100
ddI 3	<100	118	<100

<sup>a</sup> HIV-1 proviral DNA copy numbers were determined by real-time PCR assay of unseparated human PBMC and purified CD4<sup>+</sup> and CD4<sup>-</sup> cells, following recovery from hu-PBMC-NOG mice. Values are shown per 10<sup>5</sup> cells, as described in Materials and Methods.

changes in the viremia levels periodically in the same set of mice without sacrificing them, while most of the previously described SCID mouse models required mice to be sacrificed at each time point of testing (25, 29, 30) or needed further in vitro coculture of the PBMC recovered from the mice with freshly prepared uninfected target cells for an additional period of days (9, 34).

We demonstrated in this study that a novel SDP derivative, AK602, exerted highly potent activity against laboratory and primary R5 HIV-1 strains as well as MDR R5 HIV-1 variant with IC<sub>50</sub> values of subnanomolar concentrations (Table 1). It should be noted that AK602 represents a novel SDP derivative, which binds to human CCR5 but not to human CXCR4, CCR1, CCR2, CCR3, CCR4 or murine CCR5; blocks the binding of MIP-1 $\alpha$  to CCR5 with an extremely high affinity ( $K_d$  values of  $\sim$ 3 nM); potently blocks HIV-1-gp120/CCR5 binding; and exerts potent activity against a wide spectrum of laboratory and primary R5 HIV-1 isolates including MDR HIV-1 and HIV-1 strains of various clades with IC<sub>50</sub> values of 0.2 to 0.6 nM in vitro (K. Maeda, H. Ogata, S. Harada, Y. Tojo, T. Miyakawa, H. Nakata, Y. Takaoka, S. Shibayama, D. Fukushima, J. Moravek, E. Arnold, and H. Mitsuya, 11th Conf. Retrovir. Opp. Infect., abstr. 540, 2004; J. Demarest et al., XV Int. AIDS Conf., abstr. WeOrA1231, 2004). The plasma half-life of AK602 in the hu-PBMC-NOG mice, however, proved to be as short as 29 min when the agent was administered intraperitoneally (Fig. 4A). Considering that AK602 possesses such a high binding affinity to CCR5, we presumed that AK602 could remain on CCR5 for an extended period of time even after the agent was removed from the bloodstream in mice. The high and extensive level of AK602 occupancy observed in PBMC recovered from mice receiving AK602 substantiated this presumption (Fig. 4D). The subsequent in vitro experiment in which CCR5<sup>+</sup> MAGI cells were incubated with AK602 but exposed to R5 HIV-1 after the removal of the compound from the culture medium showed that AK602's anti-R5 HIV-1 activity can persist for an extensive period of time even if AK602 is no longer present in the culture (Fig. 4E). It is of note that unlike certain reports of in vivo anti-HIV-1 activity of

chemokine antagonists which were administered before HIV-1 inoculation, thus demonstrating prophylactic effects of such agents (9, 30), the present system demonstrates anti-HIV-1 treatment after the establishment of HIV-1 infection, analogous to antiviral therapy in clinical settings.

When highly active antiretroviral therapy exerts its potent antiviral effects in clinical settings, a decrease in HIV-1 viremia is seen often within weeks, ultimately resulting in undetectable viremia; however in the present study, the viremia levels in mice receiving AK602 or ddI continued to increase although the rate of increment significantly declined (Fig. 7). The failure of AK602 and ddI to decrease viremia levels could be due in part to such a rapid viral replication in hyperactivated and proliferating CD4<sup>+</sup> cells. As discussed earlier, PBMC recovered from the hu-PBMC-NOG mice were highly positive for CCR5 and HLA-DR (Fig. 3D and E), compared to the levels of activation seen in the same donor's PHA-PBMC. It should be noted, however, that the mean numbers of proviral DNA copies on day 16 in mice receiving AK602 and ddI were  $1.3 \times 10^3$  and  $1.8 \times 10^2$  per 10<sup>5</sup> CD4<sup>+</sup> cells, respectively (Fig. 6C), suggesting that most CD4<sup>+</sup> cells (98.7 and 99.8% on average, respectively) were free of HIV-1 and proliferating in those

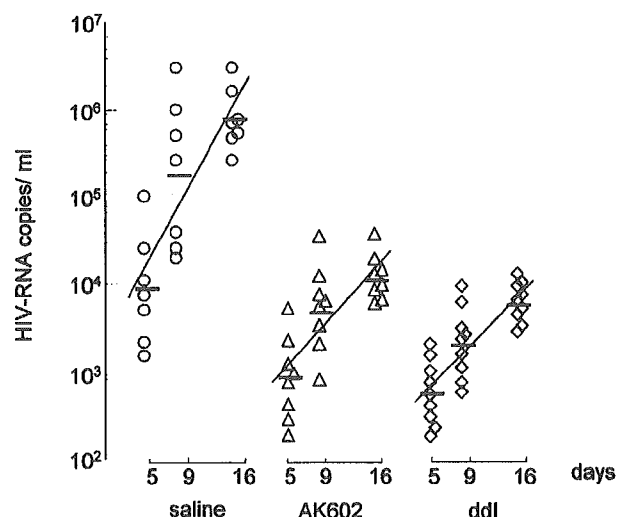


FIG. 7. AK602 suppresses R5 HIV-1 viremia in hu-PBMC-NOG mice. Blood samples were collected on days 5, 9, and 16 after inoculation and were subjected to the determination of R5 HIV-1 RNA copy numbers. Note that the copy numbers in saline-treated mice rapidly increased and reached  $\sim 10^6$ /ml by day 16, while AK602 significantly suppressed the viremia by 1.6 and 1.8 logs as examined on day 9 ( $P = 0.001$  compared to saline-treated mice) and day 16 ( $P = 0.001$ ), respectively. Comparable viremia suppression was seen in ddI-treated mice, except on day 16, when ddI activity was greater than that of AK602 ( $P = 0.027$ ). Note that there was a clear reduction in the rate of increase of viremia as well. When the values of log<sub>10</sub> HIV-1 RNA copies were calculated and the slopes corresponding to the rates of increase per day were determined, the resulting mean slope (solid line) for the saline-treated mice was  $0.167 \pm 0.042$ , whereas those for the AK602- and ddI-treated mice were  $0.102 \pm 0.041$  and  $0.091 \pm 0.037$ , respectively. The increase rate for saline-treated mice was significantly higher than those of AK602-treated mice ( $P = 0.0057$ ) and ddI-treated mice ( $P = 0.0023$ ), respectively. The horizontal bars and solid lines represent the geometric means of HIV-1 RNA copy numbers and the slopes calculated, respectively.

mice on day 16 after the virus inoculation, if one copy of proviral DNA was postulated to reside in one CD4<sup>+</sup> cell.

One of us (Y.K.) previously attempted to investigate the mechanism of CD4<sup>+</sup> cell depletion seen in individuals with HIV-1 infection by employing a PBMC-transplanted NOD (NOD/Shi) *scid/scid* mouse system (24). Massive apoptosis was observed in HIV-1-uninfected CD4<sup>+</sup> cells in the spleens of the HIV-1-infected NOD-*scid/scid* mice. A combination of terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling and immunostaining for death-inducing tumor necrosis factor (TNF) family molecules showed that apoptotic cells were frequently found in conjugation with TNF-related apoptosis-inducing ligand (TRAIL)-expressing CD3<sup>+</sup> CD4<sup>+</sup> human T cells. Further observation that a neutralizing anti-TRAIL antibody inhibited the development of CD4<sup>+</sup> cell apoptosis suggested that a large number of HIV-1-uninfected CD4<sup>+</sup> cells undergo TRAIL-mediated apoptosis, contributing to the marked depletion of CD4<sup>+</sup> cells (24). The observation by Miura and his colleagues that the number of TRAIL-positive cells was consistently higher in HIV-1-infected mice than in uninfected ones makes it apparent that TRAIL expression is induced upon HIV-1 infection (23, 24). In this regard, the present observation that AK602 and ddiI potently blocked the decrease in CD4<sup>+</sup> cells in spite of the rather increasing HIV-1 viremia in the face of AK602 or ddiI (Fig. 7) suggests that the mere presence of viremia might not be sufficient for the HIV-induced apoptosis in CD4<sup>+</sup> cells. Our observation that most surviving CD4<sup>+</sup> cells in mice receiving AK602 or ddiI were free of HIV-1 (see above) suggests that these anti-HIV-1 agents might block not only de novo HIV-1 infection, but also bystander killing of uninfected CD4<sup>+</sup> cells. The present data also suggest that a certain factor(s) such as cytokines produced by the freshly HIV-1-infected cells might mediate the apoptosis of bystander CD4<sup>+</sup> cells through the upregulation of TRAIL expression, death receptors (e.g., DR4 and DR5), and/or downregulation of decoy receptors (e.g., DcR1 and DcR2) (26, 27). However, experiments with a combination of terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling and TNF family molecules have to be conducted for better understanding of the bystander killing in regard to AK602's effects.

It is of note that several CCR5 antagonists are currently in various stages of development. AK602 has recently been administered to healthy adult subjects in a phase I clinical trial and shown to bind to CCR5 for an extended period of time, suggesting that an oral formulation with fewer administrations and lower dosage is possible for AK602 as a therapeutic agent for HIV-1 infection (J. Demarest, K. Adkison, S. Sparks, A. Shachoy-Clark, K. Schell, S. Reddy, L. Fang, K. O'Mara, S. Shibayama, and S. Piscitelli, 11th Conf. Retrovir. Opp. Infect., abstr. 139, 2004). Taken together, our observations that plasma viral load reached ~10<sup>6</sup> RNA copies/ml and that AK602 potently inhibited the replication of R5 HIV-1 strongly suggest that the present hu-PBMC-NOG mouse AIDS model could serve as a useful instrument for analyzing the pathogenesis of HIV-1 infection and testing the efficacy of antiviral agents.

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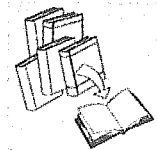
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## REVIEW



## Death ligand-mediated apoptosis in HIV infection

Yoshiharu Miura\* and Yoshio Koyanagi

Laboratory of Viral Pathogenesis, Research Center for AIDS, Institute for Virus Research, Kyoto University, Japan

### SUMMARY

Apoptosis has been suggested to cause severe CD4<sup>+</sup> T cell depletion in patients infected with HIV. This review focuses on the biological events involved in death ligand-induced apoptosis during HIV infection. Among these ligands, TRAIL appears critical in HIV-infection. Death ligand-induced apoptosis might be a major pathogenic event in many virus-induced diseases including AIDS and the clarification of its mechanism will aid in the development of therapeutic strategies. Copyright © 2005 John Wiley & Sons, Ltd.

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### INTRODUCTION

Severe CD4 depletion is a hallmark of acquired immunodeficiency syndrome (AIDS) and the gradual loss of CD4<sup>+</sup> T cells leading to the onset of AIDS appears to be a result of infection with human immunodeficiency virus (HIV). Apoptosis, which has been shown to be significantly induced in HIV-infected individuals, seems to trigger the CD4 depletion during disease progression. Two major pathways have been identified from extensive molecular biology-based analysis; an extrinsic pathway, which is initiated by the binding of tumor-necrosis factor (TNF) family ligands to their cognate death receptors, and an intrinsic pathway, which is initiated by an internal sensor system that mainly transmits signals to the mitochondria and is mediated by Bcl-2-related proteins [1,2]. This review summarises our present level of understanding of the molecular mechanisms behind the extrinsic pathway of T lymphocyte apoptosis with HIV infection.

\*Corresponding author: Dr Y. Miura, Institute for Virus Research, Kyoto University, 53 Shougoin-kawaramachi, Sakyou-ku, Kyoto 606-8507, Japan. E-mail: ymiura@virus.kyoto-u.ac.jp

#### Abbreviations used

AICD, activation-induced cell death; AIDS, acquired immunodeficiency syndrome; cFLIP, cellular FLICE inhibitory protein; DISC, death-inducing signaling complex; FADD, Fas-associated death domain; FLICE, FADD-like ICE; HIV, human immunodeficiency virus; TNF, tumor necrosis factor; TRAIL, TNF-related apoptosis-inducing ligand.

### HIV INFECTION AND APOPTOSIS

Apoptosis is thought to occur in HIV-infected individuals and arise from the following mechanisms; HIV-induced syncytium formation, HIV protein-induced cell death, activation-induced cell death (AICD) and bystander cell killing (Figure 1). Ballooning cells and multinucleic giant cells are frequently found in virus-infected cell cultures *in vitro*. The cytopathic effect (CPE) in HIV-infected CD4<sup>+</sup> T cell cultures is known to be the formation of syncytia between productively infected and adjacent uninfected cells and clearly induces the apoptosis in these cells, obviously dependent on viral replication [3,4]. Syncytia are also found in infected tissues [5,6]. The envelope glycoprotein complex of gp120-gp41 on the surface of the infected cells, which causes the death of both infected and adjacent uninfected cells, seems to be one of the dominant apoptosis-inducing molecules encoded by the HIV-1 genome (Figure 2). The envelope expressed on the plasma membrane of infected cells can interact with the CD4 molecule and a suitable co-receptor to trigger cell-to-cell fusion; resulting in syncytia and subsequently apoptosis [7,8]. It was reported that mitochondria-dependent apoptosis (intrinsic pathway) occurs with the fusion of envelope-expressing cells with CD4- and coreceptor-expressing target cells [9]. The shedding of HIV-encoded proteins such as envelope, Tat and Vpr (Figure 2) has also been shown to trigger apoptosis in both infected and

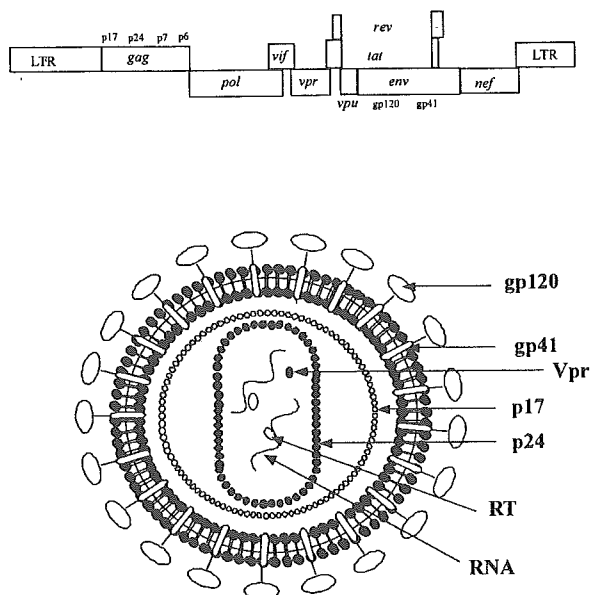


Figure 1. The HIV-1 provirus and its proteins. Gag and Gag-Pol polyprotein precursors are processed by the viral protease into nine subunits: protease, reverse transcriptase, integrase, matrix, capsid, p2, nucleocapsid, p1 and p6. Env is cleaved by cellular proteases, such as furin, into surface gp120 and transmembrane gp41 moieties. Tat is a transcriptional and translational regulator of expression. The RNA target region, the transactivation response (TAR) element, is located at the 5' end of all viral transcripts. Rev has a major role in the nuclear-export of large HIV-1 RNA (gag and env transcripts) and regulates the shift between early and late viral gene expression. The viral-infectivity factor (Vif), viral protein u (Vpu), viral protein R (Vpr) and negative effector (Nef) proteins are known as accessory proteins because they are dispensable for viral growth in some cell-culture systems

uninfected cells in culture. Tat effectively induces apoptosis by downregulating the expression of Bcl-2 and upregulating the expression of Bax as well as caspase 8 [10,11]. On the other hand, the typical intrinsic pathway can be triggered by the soluble form of Vpr protein, which causes a rapid disintegration of the mitochondrial transmembrane potential in intact cells, as well as the release of cytochrome c and subsequent apoptosis [12]. On the other hand, AICD, which is known to be dependent on death receptors, was observed in *ex vivo* cultured T cells from HIV-infected patients following activation with mitogens, superantigens or antibodies specific for TCR [13]. AICD was originally found to occur during the elimination of prolongly activated T cells when the inflammatory reaction is coming to an end. Significantly, increased destruction of CD4<sup>+</sup> T cells in secondary lymphoid organs such as lymph nodes and spleen

has been reported in HIV-infected individuals [14]. In addition, it has been postulated that HIV-1 infection causes uninfected CD4<sup>+</sup> T cells to die, and a bystander cell killing mechanism has been suggested based on histopathological analyses of lymph nodes in HIV-1-infected individuals and simian immunodeficiency virus (SIV)-infected monkeys [15]. The persistent existence of HIV proteins (Tat, gp120, Nef, Vpu) *in vivo* might stimulate apoptosis in uninfected bystander cells.

### APOPTOSIS AND DEATH LIGAND

The extrinsic pathway arises from the binding of the ligand molecule to its respective membrane-bound death receptor and the engagement of the caspase cascade (Figure 3). Death receptors are members of the TNF receptor superfamily, which initiate a rapid activation of the caspase cascade and commit the cell to apoptosis when triggered by their cognate TNF family ligands. These ligands include Fas ligand (FasL), TNF, TNF-related apoptosis-inducing ligand (TRAIL) and TWEAK, and all of the death receptors possess both a cysteine-rich extracellular domain and an intracellular cytoplasmic sequence motif, known as the death domain (DD).

FasL is a type 2 membrane protein and an exclusive ligand for Fas, inducing Fas-mediated apoptosis [16]. The ligation of FasL to Fas triggers the Fas monomers to combine into trimeric Fas-complexes (Figure 3). The intracellular domain of Fas contains the DD, a stretch of 80 amino acids. After the trimerisation of Fas molecules, the DD recruits many cytosol proteins and forms a multi-protein death-inducing signaling complex (DISC) [17,18]. Immediately following Fas/FasL ligation, the prompt recruitment of a serine-phosphorylated adaptor molecule, Fas-associated DD (FADD), is induced and then the Fas/FADD interaction is coordinated through the highly conserved DD motifs found in both proteins. FADD serves as a bridge between Fas and downstream molecules, such as Fas-like IL-1 $\beta$  converting enzyme (FLICE) as well as cytotoxicity-dependent APO-1-associated protein 3 (CAP3). The FADD-FLICE/CAP3 interaction allows the liberation of caspase 8 and CAP3 in an active form from their dormant states. Formation of the DISC, which is composed of Fas, FADD and FLICE/CAP3, results in the initiation of a signal cascade to downstream target molecules, such as procaspase 3, 6 and 7. The activated

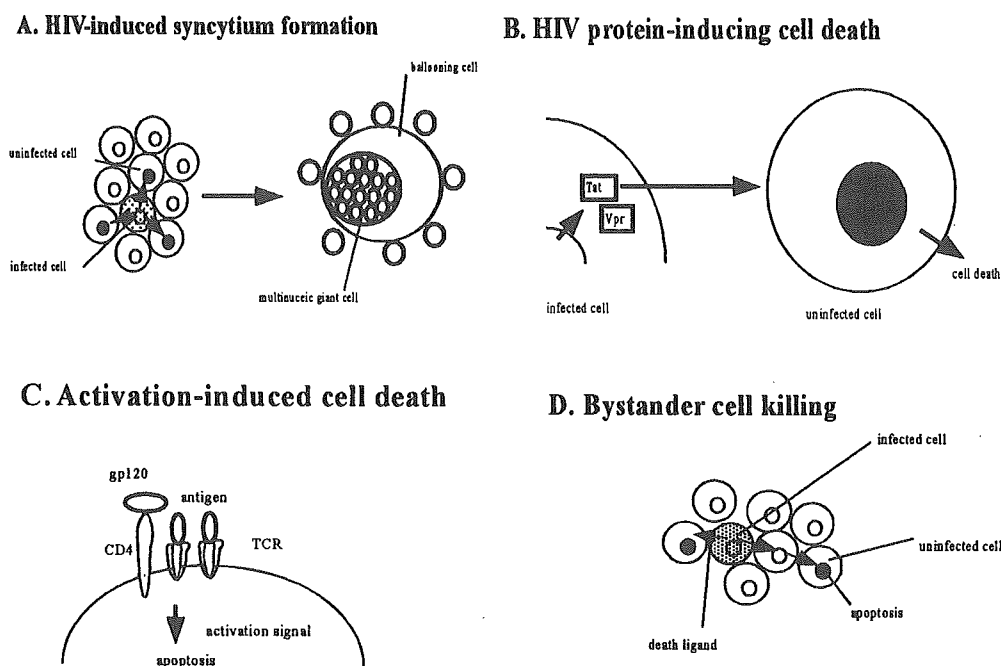
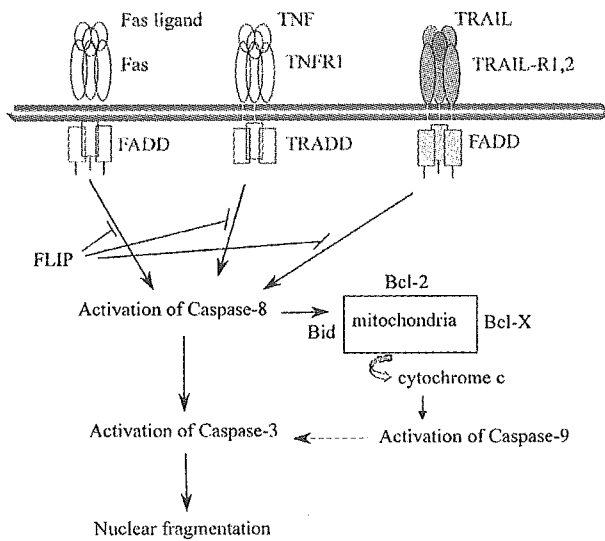


Figure 2. Mechanism of HIV-1-induced cell death. (A) HIV-induced syncytium formation arising from cell to cell fusion. (B) HIV protein-induced cell death. (C) Activation induced cell death through stimulation of TCR or gp120. (D) Bystander cell killing mediated by the death signal

form of caspase 3 efficiently cleaves a variety of cellular constituents, including the DNA repair enzymes, poly-ADP ribose polymerase and DNA-induced protein kinase, cytoskeletal proteins, lamins and actin, and the endonuclease modulator, caspase-activated deoxyribonuclease (CAD) inhibitor (ICAD) [19]. During Fas-mediated apoptosis, the concomitant activation of many other proteins appears to occur. Receptor-interacting protein (RIP), RIP-associated ICH/CED-3-homologous protein with a DD (RAIDD), and procaspase 2 from part of another signaling cascade of the Fas-mediated death pathway were shown to be activated [20]. The activation of the RIP-RAIDD arm of the cell death machinery was demonstrated to serve as a co-stimulator of the FADD-FLICE system [19]. Moreover, upregulation of cytoplasmic DAXX, leading to induction of the stress-activated protein kinase/c-Jun N-terminal kinase (SAP/JNK) pathway, represents yet another mechanism by which Fas-mediated apoptosis may occur [21]. The activated form of caspases executes the apoptotic process by cleaving various intracellular substrates leading to genomic DNA fragmentation and resulting in cell membrane blebbing and the exposure of phagocytosis signaling molecules

on the cell surface. As mentioned above, FasL-dependent apoptosis plays a critical role in the peripheral elimination of prolonged activated lymphocytes at the end of an immune response [22,23]. Thus, it may be true that FasL has a central role in many of the biological phenomena of activation-induced T-cell apoptosis. In fact, *gld* mice that carry hereditary mutations in the genes encoding FasL are found to suffer from accumulating lymphocytes and a lethal enlargement of lymph nodes. These findings indicate that the main biological role of FasL is to signal the Fas<sup>+</sup> cells to induce instructive apoptosis during the peripheral elimination of lymphocytes. FasL is also expressed in immuno-privileged tissue in which it is difficult to elicit an inflammatory response such as testis and eye [24,25]. FasL may also act as a barrier in the vessel [26]. Significant expression was found on vascular endothelial cells, where it may prevent leukocyte exfiltration into non-inflamed tissues, again by triggering the apoptosis of Fas-expressing leukocytes [27].

TNF, first identified in 1975, is a conventional cytokine and representative of a large superfamily of cytokines that exert physiological roles in cell proliferation, cell death and inflammation as well



**Figure 3.** The death ligand-mediated apoptotic pathway. Ligation of the death ligand receptor leads to the formation of DISC comprising the adaptor protein (FADD, TRADD) and procaspase-8 and resulting in the activation of caspase-8. The binding of FLIP to FADD inhibits caspase-8 activation. Caspase-8 can then either directly activate effector caspases (caspase-3) or cleave the proapoptotic Bcl-2 family member Bid. Bid acts with the proapoptotic Bcl-2 family. The involvement of the mitochondria is manifested by the release of cytochrome c into the cytosol where it associates with Apaf-1 and procaspase-9 to form the apoptosome, leading to caspase-9 activation and subsequent caspase-3 activation and nuclear fragmentation

as pathological roles in immunological processes. The best-characterised death receptor is TNFR1. Trimerised TNFR1 can recruit an adaptor molecule, TNFR-associated DD (TRADD), which subsequently recruits FADD and procaspase-8. The intracellular signaling cascade through TNFR1 seems to be more complex than that through Fas. Indeed, in addition to recruiting caspase-activating adaptor molecules, TNFR1 induces the recruitment of many other proteins that engage various signal transduction pathways, some of which either abrogate or potentiate the apoptotic response. For example, TRADD also binds serine-threonine kinase RIP, thereby coupling the stimulation of TNFR1 to the activation of nuclear factor- $\kappa$ B (NF- $\kappa$ B) and offering protection against TNF-induced apoptosis [28]. RIP possesses a functional N-terminal kinase domain and can autophosphorylate itself, but this phosphorylation does not induce activation of NF- $\kappa$ B. TNF receptor-associated factor 2 (TRAF2) has been likewise implicated in the activation of NF- $\kappa$ B through stimulation of NF- $\kappa$ B-inducing kinase (NIK).

However, studies TRAF-2-knockout mice indicated that RIP is most likely responsible for the activation of NF- $\kappa$ B, whereas TRAF2 preferentially activates JNK. TNF knockout mice exhibited increased susceptibility to microbial infection and suppressed inflammatory response when challenged with bacterial endotoxin. These findings together with results obtained *in vitro* suggested that the main biological role of TNF is the induction of inflammatory-response and stress-response genes through the transcription factors AP-1 and NF- $\kappa$ B.

TRAIL was first identified in 1995 based on its sequence homology to other members of the TNF superfamily [29]. TRAIL is also a type 2 transmembrane protein and its highest level of homology is with FasL, exhibiting 28% amino acid identity in the extracellular receptor-binding motif. Two other unique characteristics of TRAIL have been identified. First, TRAIL selectively induces apoptosis both *in vitro* in transformed cells and *in vivo* in tumor cells but not in most normal cells, except thymocytes, neural cells and hepatocytes [30–32]. Second, whereas the expression of other members of the TNF family is tightly regulated and often only transiently induced on activated cells, the transcript of TRAIL was constitutively expressed in some tissues including spleen, lung and prostate [29]. Because the level of TRAIL expression is not consistent with numbers of apoptotic cells, it was assumed that the expression of its cognate receptor would be restricted or alternatively, that apoptosis in only limited cells would be induced under some biological conditions. However, the regulation of TRAIL-induced cell death appears to be more complex than initially thought because five receptors for TRAIL have been identified including two death-inducing receptors (DR4 and DR5), two decoy receptors (DcR1 and DcR2) [33,34], and the secreted TNFR homologue receptor osteoprotegerin [35,36]. The cytoplasmic region of two of these receptors, DR4 and DR5, contains a region with significant homology to the DD of TNFR1 and Fas, and it was confirmed that DR4 and DR5 are able to induce signals for apoptosis [37]. By contrast, DcR2 contains an incomplete DD; and so is unable to transduce a death signal [38]. Similarly, DcR1, which unlike the others lacks a cytoplasmic domain and is bound to the cell surface via a glycosyl-phosphatidylinositol (GPI) anchor, does not mediate apoptosis upon ligation [39]. The



activation of caspase has been demonstrated in TRAIL-induced apoptosis *in vitro*. Many of the same caspases involved in Fas and TNF-induced apoptosis were shown to participate in the TRAIL-induced cell death. The activation of death-induced TRAIL-receptors (DR4 and DR5) initiates recruitment of FADD, which in turn directly recruits procaspase-8 to form DISC and results in autoactivation of caspase-8, which then activates downstream effector caspases or the cleaved RIP directly [40]. The NF- $\kappa$ B or JNK signaling pathway was also shown to be activated through DR4 and DR5, respectively, analogous to TNFR1 [41]. It has been suggested that decoy receptors operate to protect normal cells from TRAIL-mediated apoptosis [33,38]. Also, certain intracellular regulatory molecules that control TRAIL-induced apoptosis, such as cFLIP (cellular FLICE-inhibitory protein), may also operate [42]. Early studies indicated a high level of cFLIP in a TRAIL-resistant melanoma cell line [43]. Furthermore, the level of cFLIP clearly correlated with the sensitivity to TRAIL in some keratinocytes [42]. In addition, it was shown that overexpression of cFLIP frequently causes cells to become resistant to TRAIL because in the presence of cFLIP, procaspase-8 is unable to convert processed caspase-8 generated at the DISC and so remains inactivated [44]. However, inhibition of overall transcription and translation was observed in TRAIL-induced apoptosis without a change in cFLIP levels [45]. These results suggest a significant role for cFLIP in modulating the sensitivity to TRAIL of many cells. It was recently reported that mice deficient in TRAIL exhibit defects of apoptosis in the thymus and develop heightened autoimmune responses, hypersensitivity, to collagen-induced arthritis and streptozotocin-induced diabetes [46]. However, the physiological defect was slighter in the TRAIL-deficient mice than either the FasL- or TNF-deficient mice. Thus, TRAIL seems to have minor physiological roles.

The screening of an EST database revealed another death ligand, called TWEAK [47], whose closest homologue is TNF. Like TNF, TWEAK efficiently promotes apoptosis in certain tumor cell lines and the inhibition of protein synthesis in TWEAK-induced cells further augments apoptosis. TWEAK efficiently activates NF- $\kappa$ B and induces expression of IL-8. Unlike TNF, whose expression is found in activated lymphoid and

endothelial cells, the TWEAK transcript was found to be constitutively expressed in many tissues. DR3 was originally identified as a molecule interacting with TNFR1 and reported to be a receptor for TWEAK [48,49]. Similar to TNF-TNFR1 signaling, the activation of DR3 was demonstrated to induce apoptosis as well as the activation of NF- $\kappa$ B and the apoptosis is mediated via interactions with TRADD, FADD and caspase 8, while the activation of NF- $\kappa$ B is induced by a TRADD, TRAF2 and RIP-mediated pathway. However, a high level of TWEAK expression was found in many tissues, whereas DR3 expression was demonstrated to be restricted to peripheral blood lymphocytes (PBLs), thymus, spleen, colon and small intestine [50]. The physiological role of TWEAK remains to be elucidated.

#### HIV INFECTION AND DEATH LIGANDS

Extensive death ligand-mediated apoptosis is thought to occur in many infectious diseases. Significant augmentation of FasL expression is found in cells expressing hepatitis B and hepatitis C viruses [51,52], although some studies indicated that an increased level of FasL *in vivo* is not associated with HIV disease progression [53,54]. It is remarkable that HIV-1-infected T cells in culture as well as T cells from HIV-infected individuals are highly susceptible to Fas-induced apoptosis [55]. Clinical studies have shown that the expression of Fas as well as the susceptibility to Fas-induced apoptosis increased significantly in cultured CD4<sup>+</sup> and CD8<sup>+</sup> T cells derived from HIV-1-infected individuals and this high level of Fas expression was positively correlated with disease progression [56]. An increased level of soluble Fas was also found in the plasma of HIV-1-infected individuals and this can be used as a marker for the prognosis of AIDS [57]. FasL was also shown to be upregulated in cultured CD4<sup>+</sup> and CD8<sup>+</sup> T cells from AIDS patients and, a high level of soluble FasL in serum was found in AIDS patients. Furthermore, FasL expression on macrophages was detected in lymphoid tissue of HIV-1-infected subjects [58]. The observation that retinoic acid inhibits the expression of FasL and the subsequent apoptosis of CD4<sup>+</sup> T cells *ex vivo* further supports a causal role for Fas-FasL interactions in the CD4<sup>+</sup> T cell death that is induced by HIV infection [59]. Interestingly, the exposure of uninfected monocytes to HIV-1 particles *in vitro* has been

reported to enhance significantly FasL expression, suggesting that HIV-1 can induce Fas-dependent apoptosis through the interaction of monocytes with T cells [60,61]. Furthermore, the crosslinking of the CD4 molecule with HIV-1 gp120 on CD4<sup>+</sup> T cells activates the Fas-FasL pathway and Nef-expressing T cells co-express FasL, thereby becoming potential killer cells of uninfected Fas-expressing T cells [62,63]. Similarly, Tat, which is secreted by HIV-infected cells, was shown to upregulate Fas and FasL expression on uninfected cells and enhance their susceptibility to Fas-induced apoptosis [61,64]. Since it is known to be expressed even before integration, Nef may protect infected cells from apoptosis and permit infection in resting cells. Interestingly, significant protection against apoptosis is provided through the downregulation of ASK-1 signaling by Fas-FasL suggesting that Nef acts as an anti-apoptotic protein in the infected cells during its replication [65]. It is also clear that Vpu enhances susceptibility to Fas-induced apoptosis [66]. However, the possible involvement of the Fas/FasL pathway in AICD of CD4<sup>+</sup> T cells from HIV-1-infected individuals [67,68] remains controversial. Katsikis *et al.* reported that the AICD cultured CD4<sup>+</sup> T cells from HIV-infected patients was Fas-independent [69] and it was shown that neither Fas protein nor biologically active FasL was detectable at significant levels in freshly isolated T cells from HIV-1-infected individuals [54]. In addition, FasL-mediated apoptosis may contribute to the elimination of virus-infected cells by the virus-specific cytotoxic lymphocytes or NK cells [70,71].

Susceptibility to TNF in CD4<sup>+</sup> T cells isolated from HIV-infected individuals has also been investigated extensively. Although an early report found that peripheral blood T cells from HIV-positive patients were resistant to apoptosis that was induced by ligation of TNFR [56], a more recent study showed that both CD4<sup>+</sup> and CD8<sup>+</sup> T cells from HIV-infected individuals were significantly susceptible to TNFR1- and R2-induced apoptosis [72]. The possible contribution of TNFR-mediated apoptosis to CD8<sup>+</sup> T cell depletion was implied from the observation that ligation of Env to the CXCR4 coreceptor upregulated the expression of TNFR2 on CD8<sup>+</sup> T cells, which became susceptible to death induced by the membrane-bound form of TNF expressed on macrophages [73]. An increased level of TNF was also detected in the serum of

symptomatic individuals and clearly high levels of soluble TNFR2 were found to be predictive of HIV disease progression [74].

#### HIV INFECTION AND TRAIL

Treatment with interferon (IFN) significantly augmented the expression of TRAIL on CD4<sup>+</sup> T cells [75], monocytes [76] and dendritic cells (DC) [77]. In addition, infection with measles virus augmented TRAIL expression on DC [78]. Therefore, it is possible that TRAIL is involved in the pathogenesis of HIV. In fact, TRAIL, but not FasL-dependent AICD, was detected in CD4<sup>+</sup> T cells isolated from HIV-1-infected individuals *in vitro* [79,80]. TRAIL might contribute to a constructive apoptosis of virus-infected cells because T cells from HIV-1-infected patients were more susceptible to the induction of apoptosis by this ligand than uninfected cells, suggesting that TRAIL is involved in HIV-associated T-cell apoptosis [79]. In fact, AICD in CD4<sup>+</sup> T cells isolated from HIV-1-infected individuals was inhibited by antagonistic TRAIL-specific antibodies [80]. Furthermore, using an HIV-1-infected mouse model, a significant level of TRAIL-dependent apoptosis in uninfected CD4<sup>+</sup> T cells was found. The spleen tissue of hu-PBL-NOD-SCID mice was investigated following infection with HIV-1 and large numbers of TUNEL<sup>+</sup> CD4<sup>+</sup> cells were found mainly in uninfected cells. The number of TUNEL<sup>+</sup> cells was clearly inhibited after administration of anti-TRAIL but not anti-FasL antibody, suggesting that TRAIL is a major death ligand in HIV-1-infected tissues [81,82]. Following infection with HIV-1, Tat protein is released by macrophages or monocytes and seems to upregulate the expression of TRAIL on macrophages as shown by Zhang, indicating that TRAIL-dependent cell death occurs in bystander CD4<sup>+</sup> T cells, perhaps triggered by Tat produced from HIV-1-infected cells [83,84].

It is likely that TRAIL is primarily responsible for the apoptosis of bystander CD4<sup>+</sup> T cells in HIV-infected lymphoid organs. However, several issues remain to be resolved. First, the mechanism by which HIV-1 infection induces the expression of TRAIL in CD4<sup>+</sup> T cells remains to be determined. It was found that the number of TRAIL<sup>+</sup> cells was consistently higher in HIV-1-infected mice than uninfected mice. A similar upregulation of TRAIL expression with HIV-1 infection was observed especially on HIV-1-infected

macrophages [85]. The expression of TRAIL on T cells is induced by a variety of stimuli, including type I IFNs and TCR-mediated signals [75,86,87]. Thus it can be postulated that TRAIL was induced to express on HIV-1-uninfected CD4<sup>+</sup> T cells by viral or cellular factors from either HIV-1-infected or bystander cells in HIV-1-infected lymphoid organs. Second, it is necessary to determine the receptor molecule involved in TRAIL-mediated apoptosis. It is not yet clear which receptor contributes to this phenomenon. In other viral infections, viral proteins regulate the expression of these receptors. In adenovirus, E3 downregulates its receptor DR4 and DR5 [88]. In respiratory syncytial virus, infection strongly upregulated DR4 and DR5 expression [89]. In HIV infection, Tat and gp120 seem to upregulate DR4 and DR5 expression.

TRAIL seems to be one of the most significant molecules in HIV infection [81,85,90]. This ligand was predominantly expressed on macrophages and monocytes after HIV-1 infection, and was able to induce apoptosis in neurons *in vitro* and *in vivo*, which might explain the neuronal death in HIV-encephalopathy. Recently, a murine model of HIV-encephalopathy was developed and it was found that neuronal apoptosis was significantly induced by TRAIL expressed on HIV-infected macrophages [85]. Furthermore, neuronal apoptosis was confirmed in the brain tissue of HIV-1-encephalopathy patients and cells cultured *in vitro* [90,91]. It is possible that TRAIL has a central role in disease progression in some virus-induced diseases.

## CONCLUSION

Although the mechanism of the apoptosis in HIV-infected individuals is likely to be multifactorial, its induction is a critical event *in vivo*. The death ligands in HIV infection are important for this apoptosis in addition to mitochondria-mediated apoptosis. A novel immune-based therapy for modulating the apoptosis in HIV infection is awaited.

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# Reproduction of menstrual changes in transplanted human endometrial tissue in immunodeficient mice

Rui Matsuura-Sawada<sup>1,4</sup>, Takashi Murakami<sup>1</sup>, Yuka Ozawa<sup>1</sup>, Hiroshi Nabeshima<sup>1</sup>, Jun-ichi Akahira<sup>1</sup>, Yumi Sato<sup>1</sup>, Yoshio Koyanagi<sup>2</sup>, Mamoru Ito<sup>3</sup>, Yukihiro Terada<sup>1</sup> and Kunihiro Okamura<sup>1</sup>

<sup>1</sup>Tohoku University Graduate School of Medicine – Obstetrics and Gynecology, Seiryomachi1-1, Aoba-ku, Sendai Japan,

<sup>2</sup>Virus Research, Kyoto University – Laboratory of Viral Pathogenesis, Kyoto and <sup>3</sup>Central Institute for Experimental Animals, Kawasaki, Japan

<sup>4</sup>To whom correspondence should be addressed. E-mail: sawada@ob-gy.med.tohoku.ac.jp

**BACKGROUND:** Cultures of human endometrial tissue are useful for analysing the mechanisms underlying the menstrual cycle. However, long-term culture of endometrial tissue is difficult *in vitro*. Xenotransplantation of normal human endometrial tissue into immunodeficient mice could allow prolonged survival of the transplanted tissues. **METHODS:** Proliferative-phase endometrial tissue samples from three women were transplanted into the subcutaneous space of ovariectomized, immunodeficient, non-obese diabetic (NOD)/severe combined immunodeficiency (SCID)/ $\gamma C^{null}$  (NOG) mice. The mice were treated with  $17\beta$ -estradiol ( $E_2$ ) for the first 14 days after transplantation, followed by  $E_2$  plus progesterone for the next 14 days. The transplants were investigated morphologically and immunohistochemically at various times after implantation. **RESULTS:** The transplanted tissues contained large numbers of small glands, pseudostratification of the nuclei and dense stroma after treatment with  $E_2$  alone. After treatment with  $E_2$  plus progesterone, subnuclear vacuolation, luminal secretion and decidualization of the stroma were observed. When the hormone treatment ceased, tissue destruction occurred and the transplants returned to the proliferative phase. Lymphocytes were identified immunohistochemically: the numbers of CD56-positive and CD16-negative cells increased significantly in the stroma during the late secretory phase (day 28). **CONCLUSIONS:** Human endometrial tissue transplanted into NOG mice showed similar histological changes to eutopic endometrial tissue during treatment with sex steroid hormones for 1 month. Moreover, lymphocytes were produced in the transplanted human endometrial tissue. This system represents a new experimental model of the human endometrium *in vivo*.

**Key words:** endometrial transplants/human endometrium/immunodeficient mouse model/menstrual cycle

## Introduction

Endometrial tissue undergoes periodic cycles of proliferation, differentiation and degeneration that are precisely controlled by sex steroid hormones produced in the ovaries. If fertilization does not occur during a cycle, the cells of the endometrium degenerate and slough off, and the organ prepares itself for nidation in the next cycle. These tissue dynamics have, so far, been difficult to reproduce in experimental models. Therefore, the exact mechanisms that are responsible for these processes are poorly understood.

In 1908, the earliest comprehensive description of the cyclical histological changes that occur in the human endometrium was published (Hitschmann and Adler, 1908). Subsequently, Noyes and colleagues described several histological features that are still used today as criteria for endometrial dating: gland mitoses, pseudostratification of the nuclei, subnuclear vacuolation, gland secretion, stromal oedema, stromal mitoses, pseudodecidual reactions and

leukocytic infiltration (Noyes *et al.*, 1950). Although these histological changes have been used widely in clinical diagnosis, their implications are not yet fully understood.

To understand the mechanisms of reproduction, it is essential to establish experimental models of the endometrium throughout the menstrual cycle. Many experiments have examined the mechanism of action of steroid hormones on the endometrium in laboratory animals (Jensen and Jacobson, 1962; O'Mally *et al.*, 1970; Flickinger *et al.*, 1977). However, in mature mice and rats, the reproductive cycle is only 4 or 5 days long and decidualization does not occur unless it is triggered by implantation or stimulated by pregnancy (Finn *et al.*, 1992). Similarly, natural ovulation does not occur in mature rabbits unless mating has occurred. Menstruation occurs only in a very limited number of species: humans, a few Old World primates and a few bats. However, there are significant differences between, for example, rhesus monkeys and humans, in terms of the changes that occur in the endometrium at

implantation (Heuser *et al.*, 1945) and the distribution of the aortic branches supplying the uterus (Nelson, 1964). The reproductive cycle, biochemical responses and mechanisms of menstruation clearly differ between species. Therefore, the results of studies in laboratory animals have been difficult to apply directly to humans.

There have been several studies of the human endometrium using *in vitro* endometrial stroma and/or glandular cell cultures over the past two decades (Centola *et al.*, 1984; Irwin *et al.*, 1989; Sugawara *et al.*, 1997). Although these studies have produced many interesting findings, they may not accurately reflect the events that occur *in vivo*. Tissue culture is a useful method for biochemical and histological analyses. However, it is difficult to culture human endometrial tissue over long periods of time—bearing in mind the 1-month duration of each menstrual cycle—in order to observe the relationship between the glandular and stromal cells.

On the other hand, there have been many reports of successful transplantation of human tissues into immunodeficient mice. Nude mice, which lack a thymus and cannot generate mature T lymphocytes, were first used as recipients of xenotransplants of human adenocarcinoma of the sigmoid colon (Rygaard and Povlsen, 1969), and severe combined immunodeficiency (SCID) mice, which are deficient in both T and B lymphocytes, were used as a model of human stem-cell engraftment (McCune *et al.*, 1988). Subsequently, non-obese diabetic (NOD)-SCID mice were developed, which have a higher acceptance rate not only for neoplastic tissue but also for tissue from normal human organs. Weissman and colleagues successfully transplanted normal human ovarian cortex tissue into NOD-SCID mice and observed primordial follicle growth (Weissman *et al.*, 1999). In addition, Sato and colleagues detected by immunohistochemistry the expression of steroidogenic enzymes in NOD-SCID mice after transplantation of human ovarian grafts (Sato *et al.*, 2003). However, although these mice lack T and B lymphocytes, they do have some natural killer (NK) cell activity, which might interfere with engraftment efficiency.

Recently, NOD/SCID/ $\gamma$ C<sup>null</sup> (NOG) immunodeficient mice have been developed; these are double homozygotes for the SCID mutation and the interleukin-2 receptor  $\gamma$ -chain (IL-2R $\gamma$ ) allelic mutation ( $\gamma$ C<sup>null</sup>). NOG mice lack both T and B lymphocytes, and are defective in NK-cell activity. This severe immunodeficiency results in high engraftment efficiency for human haematopoietic stem cells and full lineage differentiation in NOG mice (Ito *et al.*, 2002).

The aims of the present study were to culture human endometrial tissue in NOG mice, and to reproduce menstrual changes by examining their morphological and immunohistochemical features.

## Materials and methods

### Ethical approval

All procedures for collecting human specimens and all animal experiments were approved by the Ethics Committee of Tohoku University Graduate School of Medicine, Japan.

## Animals

Mature female NOG mice aged 7–8 weeks and weighing 20–25 g were obtained from the Central Institute for Experimental Animals (Kawasaki, Japan). The animals were housed in micro-isolator cages in a barrier facility under well controlled, pathogen-free conditions. The monitored ambient temperature was 22 °C and the animals were maintained under a 12-h light/dark cycle. All housing materials were autoclaved before use. The mice were fed laboratory chow and water *ad libitum*.

### Human endometrial tissue

Human endometrial tissues at the proliferative phase of the menstrual cycle were obtained from three premenopausal women (aged 35–49 years) who were undergoing hysterectomy as a result of the benign gynaecological disease, myoma uteri, at Tohoku University Hospital, Japan. Informed consent was obtained from each patient. No lesions of endometriosis were found in the abdomen of the patients during surgery. Each of the patients had a regular menstrual cycle, which had reached the early proliferative phase at the time of surgery; this was confirmed by measuring serum concentrations of 17 $\beta$ -estradiol (E<sub>2</sub>) and progesterone. A sample of the endometrial tissue was fixed in 10% neutral buffered formalin, embedded in paraffin, and stained with haematoxylin and eosin in order to determine the menstrual phase according to the method of Noyes *et al.* (1950).

Fresh endometrial tissue was collected in cold sterile Dulbecco's phosphate-buffered saline, cut into fragments (diameter 2 mm) with a safety razor blade and washed twice to remove cellular debris.

### Transplantation of endometrial fragments into mice

Eighteen NOG mice were placed under NEMBUTAL (Dainippon Pharmaceutical Co., Ltd, Osaka, Japan) anaesthesia by intraperitoneal injection. A small dorsolateral laparotomy was created in the abdomen of each mouse, and a bilateral ovariectomy was performed to prevent the sex hormones of the animals having an effect on the transplanted tissue. After ovariectomy, two fragments of the human endometrial tissue were transplanted into the subcutaneous space of each mouse. The ovariectomy and transplantation procedure was carried out within 3 h of hysterectomy. The treatment with sex hormones was initiated at the time of tissue transplantation. E<sub>2</sub> (FEMIEST; Yakult Honsha Co., Ltd, Tokyo, Japan) was administered to the mice using a transdermal patch, and progesterone (Progehormone; Mochida Pharmaceutical Co., Ltd, Tokyo, Japan) was administered by subcutaneous injection. The FEMIEST patches were cut into 0.64- or 1-cm<sup>2</sup> sections and attached to the backs of the mice in areas from which the fur had been removed. Mice received E<sub>2</sub> alone for the first 14 days (0.64 cm<sup>2</sup> containing 0.2 mg of E<sub>2</sub> for the first 7 days, and 1 cm<sup>2</sup> containing 0.3 mg of E<sub>2</sub> for the following 7 days), and E<sub>2</sub> (0.64 cm<sup>2</sup>) plus progesterone (0.5 mg/kg) for the next 14 days. The patches were changed every 3 days. Hormone administration was stopped after the 28-day treatment period. All procedures were performed



under aseptic conditions in a clean-bench environment. The animals were maintained for a maximum of 35 days without antibiotics.

### Histological assessment

Mice were sacrificed by removing blood from the heart under ether anaesthesia at 14, 16, 21, 28, 31 or 35 days after transplantation. The implanted endometrial fragments were extracted and fixed in 10% neutral buffered formalin for ~24 h, then embedded in paraffin. Sections (thickness 3  $\mu$ m) were stained with haematoxylin and eosin for histological identification. Serum samples were measured using an enzyme-linked immunosorbent assay (Cayman Chemical Co., Ann Arbor, MI, USA).

### Immunohistochemical analyses

**Single immunohistochemical labelling.** Immunohistochemical analyses were performed in order to demonstrate proliferative activity and to determine the type of lymphocytes that appeared in the human endometrium. The primary human antibodies used in these analyses are summarized in Table I. A HISTFINE kit (Nichirei, Tokyo, Japan) and an EnVision kit (DakoCytomation, Inc., Carpinteria, CA, USA) were used to identify the human lymphocytes. Sections (1.5  $\mu$ m) were deparaffinized and treated with methanol/3% hydrogen peroxide to block endogenous peroxidase. In order to retrieve masked antigens, the slides were immersed in citrate buffer (pH 6.0) and heated in an autoclave for 5 min at 121 °C. They were then incubated with primary antibody overnight, followed by biotinylated secondary antibody for 30 min and peroxidase-labelled streptavidin for 30 min. The antigen-antibody complex was visualized with 3,3'-diaminobenzidine (DAB) solution and counterstained with haematoxylin. Positive controls were samples of endometrial cancer (Ki-67), small-cell carcinoma (CD56), thymus (CD16) and normal human lymph nodes (CD3 and CD79 $\alpha$ ). The pairs of mirror-image sections were obtained simultaneously and stained for CD56 and CD16.

**Double immunohistochemical labelling.** The sections of day 28 were also labelled using a sequential double immunohistochemical staining for CD56 and CD3. Sections were incubated with CD56 overnight at first and the reaction was developed with DAB. After that, sections were incubated with CD3 overnight, and the reaction was developed with 4-chloro-1-naphthol.

## Results

### Transplantation

All of the NOG mice that received transplants of normal human endometrial tissue into the subcutaneous space survived and were sacrificed between 14 and 35 days after surgery. The success rate of xenotransplantation was 100% and the recovery rate of fragments was 94%. The two fragments transplanted into each mouse showed similar histological changes, which were not dependent upon the patient.

### Histological assessment

Before transplantation, the endometrial tissue contained numerous small narrow glands with columnar glandular cells. Evidence of pseudostratification of the nuclei was also observed. The stroma was dense, and mitotic figures were identified in both the glandular and stromal cells. These findings indicate that the endometrial tissue was in the early proliferative phase (Figure 1A).

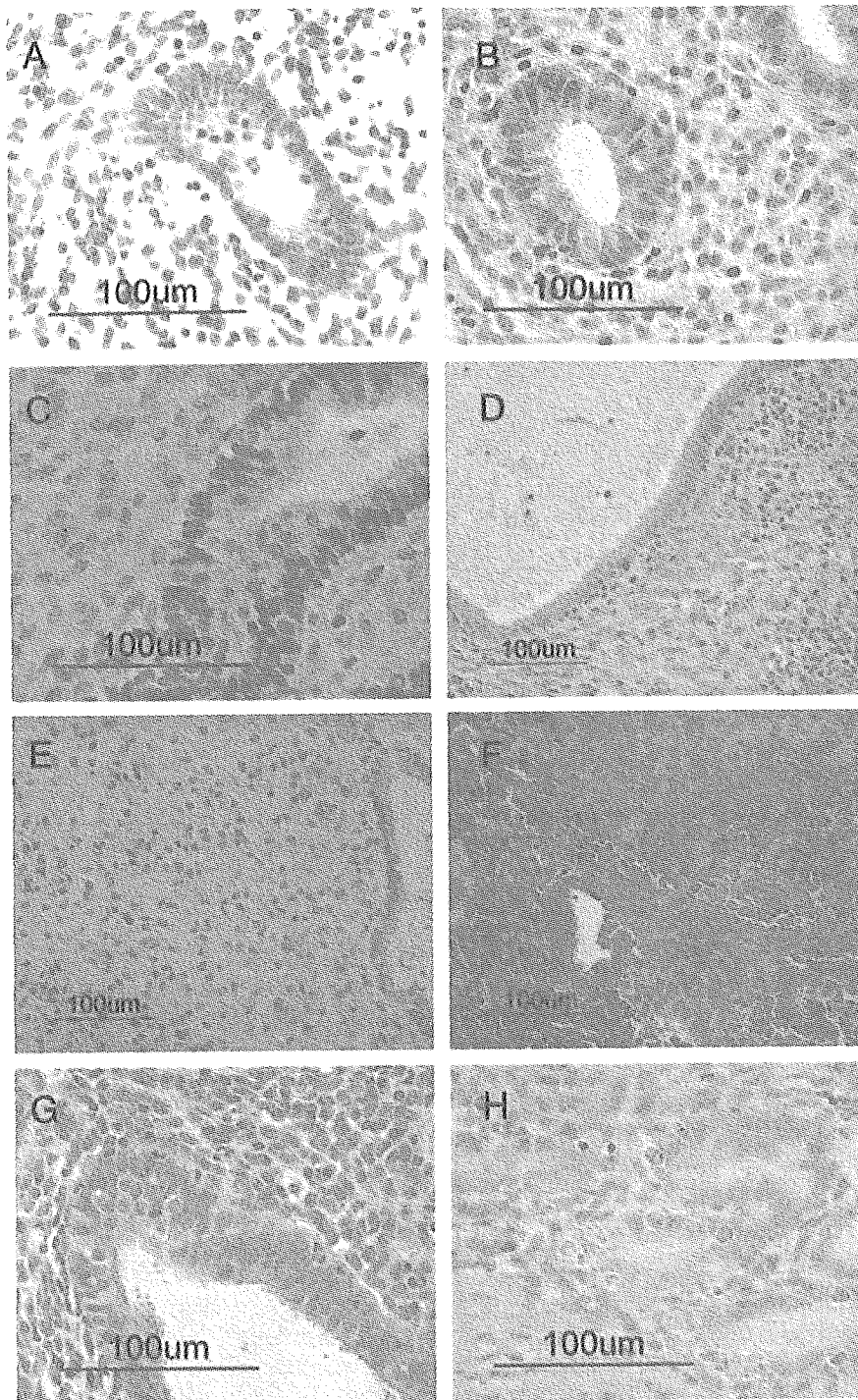
After 14 days, the tissues contained numerous small narrow glands with columnar glandular cells and pseudostratification of the nuclei. The stroma was dense, and many mitotic figures were observed in both the glandular and stromal cells (Figure 1B). These findings suggest that the cells were actively proliferating. The mean serum concentration of E<sub>2</sub> was 293.1 pg/ml at this stage.

By contrast, progressive development of secretory-phase characteristics was observed after treatment commenced with progesterone plus E<sub>2</sub>. Subnuclear vacuolation of the glandular epithelium, the first detectable feature of the secretory phase, began to appear after 2 days of E<sub>2</sub> plus progesterone treatment (Figure 1C). The mean serum concentrations of E<sub>2</sub> and progesterone were 115 pg/ml and 12.0 ng/ml, respectively. By 21 days after transplantation, the glands were noticeably dilated and filled with fluid. In addition, the glandular cells appeared cuboidal and the pseudostratification of the nuclei had disappeared. Many lymphocytes were present throughout the stroma and tended to aggregate around the glands (Figure 1D). The mean serum concentrations of E<sub>2</sub> and progesterone were 158 pg/ml and 19.2 ng/ml, respectively. Progressive decidual change occurred in the stroma and marked decidualization was observed 28 days after transplantation. The typical late-secretory structure was present by this stage and numerous lymphocytes were identified throughout the stroma (Figure 1E). The mean serum concentrations of E<sub>2</sub> and progesterone were 54.5 pg/ml and 16.5 ng/ml, respectively.

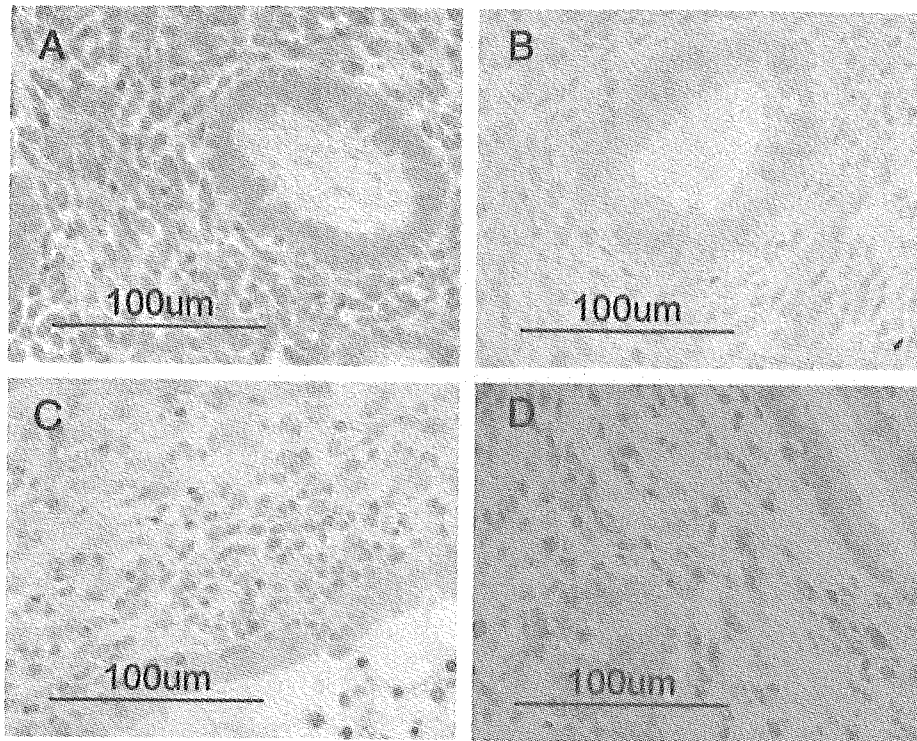
Table I. Summary of the primary antibodies used in this study

Antibody (clone number)	Source	Optimal dilution	Antigen retrieval
Ki-67 (monoclonal: MIB-1)	Dako (Glostrup, Denmark)	1:50	Autoclave <sup>a</sup>
CD56 (monoclonal: 123C3)	Monosan (Am uden, The Netherlands)	1:80	Autoclave <sup>a</sup>
CD16 (monoclonal: 2H7)	Novo Castra (Newcastle, UK)	1:160	Autoclave <sup>a</sup>
CD3 (polyclonal)	Dako (Glostrup, Denmark)	1:500	Autoclave <sup>a</sup>
CD79 $\alpha$ (monoclonal: JCB117)	Dako (Glostrup, Denmark)	1:40	Autoclave <sup>a</sup>

<sup>a</sup>Autoclave: heat in an autoclave for 5 min in citric acid buffer.



**Figure 1.** Histological sections stained with haematoxylin and eosin (A–G) and the immunohistochemical stain Ki-67 (H). (A) Pretransplantation endometrial tissue: the proliferative phase. The glands are small straight and narrow, with columnar glandular cells and prominent pseudostratification of the nuclei. The stromal cells are dense (magnification  $\times 400$ ). (B) Endometrial tissue 14 days after transplantation:  $E_2$  has been administered for 14 days. The glands are small and narrow, with tall columnar cells. Evidence of pseudostratification of the nuclei is present. The stromal cells are dense (magnification  $\times 400$ ). (C) Endometrial tissue 16 days after transplantation:  $E_2$  has been administered for 14 days, followed by  $E_2$  plus progesterone for 2 days. The glands still show pseudostratified structures but they have begun to enlarge, and subnuclear vacuolation of the glandular cells is visible. The stromal cells remain dense (magnification  $\times 400$ ). (D) Endometrial tissue 21 days after transplantation:  $E_2$  has been administered for 14 days, followed by  $E_2$  plus progesterone for 7 days. The glands are significantly dilated, the glandular cells are cuboidal and the pseudostratification of the nuclei has disappeared. Stromal decidualization is beginning. Many lymphocytes are present throughout the stroma and are aggregating around the glands (magnification  $\times 200$ ). (E) Endometrial tissue 28 days after transplantation:  $E_2$  has been administered for 14 days, followed by  $E_2$  plus progesterone for 14 days. The glandular cells are cuboidal. Evidence of stromal decidualization is clearly seen and lymphocytes are present in the stroma (magnification  $\times 200$ ). (F) Endometrial tissue 31 days after transplantation:  $E_2$  had been administered for 14 days, followed by  $E_2$  plus progesterone for 14 days, and then no hormones for the remaining 3 days. The glands and endometrial stroma have collapsed during the evolution of the transplant. There is prominent bleeding in the stroma (magnification  $\times 200$ ). (G) Endometrial tissue 35 days after transplantation:  $E_2$  had been administered for 14 days, followed by  $E_2$



**Figure 2.** Immunohistochemical staining of human CD56. (A) Pretransplantation endometrial tissue: human CD56-positive cells are present in small numbers (magnification  $\times 400$ ). (B) Day 14 after transplantation: human CD56-positive cells have completely disappeared from the stroma (magnification  $\times 400$ ). (C) Day 21 after transplantation: human CD56-positive cells are present in small numbers (magnification  $\times 400$ ). (D) Day 28 after transplantation: human CD56-positive cells have significantly increased in number in the stroma (magnification  $\times 400$ ).

After 28 days hormone treatment was stopped, the decidual change ceased and tissue destruction accompanied by bleeding was observed in the stroma (Figure 1F). These findings suggest the occurrence of menstruation. By day 31, the mean serum concentrations of  $E_2$  and progesterone were 0.9 pg/ml and 0.5 ng/ml, respectively. However, by day 35 the tissues contained many small narrow glands with columnar cells and pseudostratification of the nuclei was detected (Figure 1G). The stromal cells were dense, and mitotic figures were detected in both the glandular and stromal cells, suggesting the return of the proliferative phase.

#### Immunohistochemical analyses

A number of nuclei in the glandular and stromal cells were stained with Ki-67 on day 35 after transplantation (Figure 1H). Human CD56-positive cells were detected in small numbers before transplantation (Figure 2A); however, these had disappeared from the stroma by day 14 (Figure 2B). A few human CD56-positive cells were again observed in the stroma at day 21 (Figure 2C) and their numbers had significantly increased by day 28 (Figure 2D). Moderate numbers of human CD3-positive cells were detected before transplantation and at day 14; however, they had increased by days 21 and 28. Small numbers of human CD16-positive

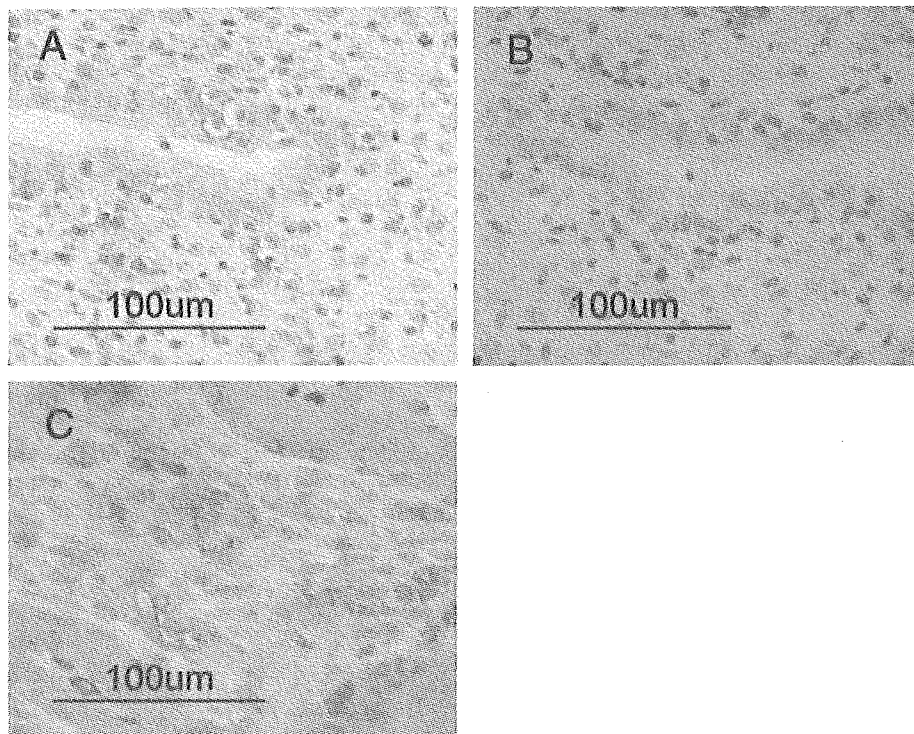
cells were detected in all specimens throughout the menstrual cycle; in contrast, human CD79a-positive cells were barely detected at any stage. Moreover, CD56-positive cells (Figure 3A) did not stain for CD16 (Figure 3B), and CD56-positive cells hardly stained for CD3 (Figure 3C) by single immunohistochemical staining of paired mirror-image sections for CD56 and CD16 and double staining for CD56 and CD3.

#### Discussion

We have demonstrated that human endometrial tissue transplanted into the subcutaneous space of NOG mice responded to sex-hormone treatment by showing the normal cyclical changes observed in the human endometrium. The recovery rate of the fragments was 94%, and the failures were probably caused by the transplants being too small to detect at day 35 (one contained only mouse tissue and the other only stromal tissue of human endometrium).

Several previous workers have transplanted human endometrial tissue into immunodeficient mice (Zamah *et al.*, 1984; Bergqvist *et al.*, 1985; Zaino *et al.*, 1985; Aoki *et al.*, 1994; Awwad *et al.*, 1999; Tabibzadeh *et al.*, 1999; Nisolle *et al.*, 2000a; b; Grummer *et al.*, 2001; Beliard *et al.*, 2002; Hull *et al.*, 2003). These experiments were highly variable

plus progesterone for 14 days, and then no hormones for the remaining 7 days. The glands are small and narrow with tall columnar cells. Evidence of pseudostratification of the nuclei is present. The stromal cells are dense (magnification  $\times 400$ ). (H) Endometrial tissue 35 days after transplantation: a number of nuclei have been immunohistochemically stained with Ki-67, showing proliferation of glandular and stromal cells (magnification  $\times 400$ ).



**Figure 3.** Paired mirror-image sections for CD56 (A) and CD16 (B), and double immunohistochemical staining section for CD56 and CD3 (C) at day 28: There are many CD56-positive cells in the stroma; however, they are not stained with CD16. There are many CD56-positive cells and also many CD3-positive cells in the stroma (brown deposit shows CD56-positive cells and the blue CD3-positive cells); there was minimal overlap in labelling for CD56 and CD3 (magnification  $\times 400$ ).

with respect to the strain of mouse used, the phase of the menstrual cycle of the transplanted tissue, the site of transplantation, whether or not ovariectomy was performed, whether or not the endometrium was pretreated and the method by which sex hormones were administered. However, despite these differences, most previous studies concluded that this system was a suitable model of endometriosis.

Zaino and colleagues transplanted human endometrial tissue into the subcutaneous space of ovariectomized athymic mice in four treatment groups ( $E_2$ ,  $E_2$  and progesterone, progesterone, and no exogenous hormone) and suggested that their model was useful for examining the histological response of normal endometrial tissue to sex hormones (Zaino *et al.*, 1985). The novelty of the approach described in the present report involves the simultaneous administration of sex steroids similar to those involved in the human menstrual cycle. Moreover, our model utilizes NOG mice that lack both T and B lymphocytes and NK-cell activity.

We observed many small narrow glands with columnar cells and pseudostratification of the nuclei in the grafted tissue 14 days after transplantation. Treatment with  $E_2$  plus progesterone resulted in the following sequence of secretory changes in the endometrial tissue: subnuclear vacuolation and glandular secretion, followed by notable dilation of the glands, the presence of cuboidal glandular cells and the gradual decidualization of the stromal cells. This corresponds to the normal secretory phase of the endometrium. Furthermore, lymphocytes were observed during  $E_2$  plus progesterone treatment in the mid-to-late secretory phase. After the sex-hormone treatment had ceased, tissue destruction and bleeding

were observed in the stroma. We subsequently observed the reconstruction of the endometrium, the presence of small glands with columnar cells, pseudostratification of the nuclei, and mitoses in both the glandular and stromal cells. The proliferative activity was confirmed by immunohistochemistry. Ferenczy (1976) demonstrated that reconstruction of the eutopic endometrium during the early stage of the menstrual cycle is independent of ovarian hormone. Our findings suggest that the transplanted human endometrial tissue in our model returned to the proliferative phase, despite the low concentration of  $E_2$ .

The endometrial tissue in our system contained numerous lymphocytes at 21 and 28 days after transplantation. The number of large granular lymphocytes in human endometrial tissue has been reported to increase during the mid to late secretory phase, and CD56-positive, CD16-negative uterine NK cells have been identified (King *et al.*, 1989; Bulmer *et al.*, 1991; Klentzeris *et al.*, 1992) We detected small numbers of CD56-positive and CD16-negative cells on day 21, and their numbers had increased by day 28. These cells showed very little CD3 staining. It was also reported that CD3-positive cells increased from 4–7 days after the LH surge, and remained unchanged in number after that (Klentzeris *et al.*, 1992). In this model, moderate numbers of CD3-positive lymphocytes were detected at day 14; they increased on day 21 and similar numbers of these cells were detected on day 28. From these points of view, our model successfully maintained the human endometrial structure and reproduced normal menstrual changes. It is therefore suitable for studying the human endometrium both from a histological