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# Bickerstaff's brainstem encephalitis: clinical features of 62 cases and a subgroup associated with Guillain–Barré syndrome

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## Summary

Bickerstaff reported eight patients who, in addition to acute ophthalmoplegia and ataxia, showed drowsiness, extensor plantar responses or hemisensory loss. This condition has been named Bickerstaff's brainstem encephalitis (BBE). One patient had gross flaccid weakness in the four limbs. Presumably because of the rarity of this disorder, there has been no reported study on a large number of patients with BBE. To clarify its clinical features, we reviewed detailed clinical profiles and laboratory findings for 62 cases of BBE diagnosed by the strict criteria of progressive, relatively symmetrical external ophthalmoplegia and ataxia by 4 weeks, and disturbance of consciousness or hyperreflexia. Ninety-two per cent of the patients involved had had an antecedent illness. Besides ophthalmoplegia and ataxia, disturbance of consciousness was frequent (74%), and facial diplegia (45%), Babinski's sign (40%) and pupillary abnormality and bulbar palsy (34%) were present. Almost all the patients had a monophasic remitting

course and generally a good outcome. Serum anti-GQ1b IgG antibody was positive in 66%, and MRI showed brain abnormality in 30% of the patients. Another striking feature was the association with flaccid symmetrical tetraparesis, seen in 60% of the patients. An autopsy study of a BBE patient clearly showed the presence of definite inflammatory changes in the brainstem: there was perivascular lymphocytic infiltration with oedema and glial nodules. Electrodiagnostic study results suggested peripheral motor axonal degeneration. Limb weakness in the BBE cases studied was considered the result of overlap with the axonal subtype of Guillain–Barré syndrome. These findings confirm that BBE constitutes a clinical entity and provide additional clinical and laboratory features of BBE. A considerable number of BBE patients have associated axonal Guillain–Barré syndrome, indicative that the two disorders are closely related and form a continuous spectrum.

**Keywords:** Bickerstaff's brainstem encephalitis; Guillain–Barré syndrome; Fisher syndrome; anti-GQ1b antibody

**Abbreviations:** AMAN = acute motor axonal neuropathy; BBE = Bickerstaff's brainstem encephalitis; CMAP = compound muscle action potential; ELISA = enzyme-linked immunosorbent assay; FS = Fisher syndrome; GBS = Guillain–Barré syndrome; IVIg = intravenous immunoglobulin; MRC = Medical Research Council

## Introduction

In 1951, Bickerstaff and Cloake (1951) reported three cases of drowsiness, ophthalmoplegia and ataxia, which they designated 'mesencephalitis and rhombencephalitis', and proposed that the lesion responsible for these clinical signs was in the midbrain. Five years later, Fisher (1956) described three cases of ophthalmoplegia, ataxia and areflexia. He postulated that this disorder is a variant of Guillain–Barré

syndrome (GBS) because of the presence of areflexia and CSF albuminocytological dissociation. The condition was named Fisher syndrome (FS). The following year, Bickerstaff (1957) added five more cases to the original study, and as a definitive syndrome, named the condition 'brain-stem encephalitis'. Table 1 shows that almost all the patients had symmetrical ophthalmoplegia, ataxia and disturbance of

**Table 1** Clinical features of cases reported by Fisher, Bickerstaff and Al-Din et al.

Authors and Case Nos	Antecedent illness	Neurological signs					CSF albumino-cytological dissociation	Our diagnosis	
		Consciousness disturbance	Ophthalmoplegia	Limb weakness	Tendon reflex	Babinski's sign			Ataxia
Fisher (1956)									
1	Sore throat, malaise, headache	-	+	Mild	Absent	-	+	+	FS with overlapping GBS
2	Malaise, fever, cough	Drowsiness	+	-	Absent	-	+	-	BBE
3	Cough, headache	-	+	-	Absent	-	+	-	FS
Bickerstaff (1957)									
1*	Headache, muscular pains	Drowsiness	+			+	+	-	BBE
2*	Malaise, headache	Drowsiness	+		Decreased		+	+	BBE
3*	Headache	Drowsiness	+				+	-	BBE
4	Vertigo, vomiting	Drowsiness	+	Gross flaccid		+	+	-	BBE with overlapping GBS
5	Headache, malaise	Drowsiness	+	-	Absent	Equivocal	+	-	BBE
6	Malaise, fever, vomiting	Drowsiness	-				+	-	Brainstem encephalitis
7	Headache, malaise, vomiting	Drowsiness	+		Absent	+	+	-	BBE
8	Muscular pain, malaise	Drowsiness	+		Decreased		+	+	BBE
Al-Din et al. (1982)									
1	Headache	Drowsiness	+		Brisk	+	+	-	BBE
2	Headache	Drowsiness	+		Brisk		+	-	BBE
3			+		Absent		+	-	FS
4		Drowsiness	+		Normal	+	+	-	BBE
5			+		Absent		+	-	FS
6		Drowsiness	+	Slight	Absent		+	-	BBE with overlapping GBS
7		Drowsiness	+	Flaccid	Brisk	+	+	-	BBE with overlapping GBS
8			+	+	Brisk		+	-	BBE with overlapping GBS
9	Flu-like illness	Drowsiness	+		Absent		+	-	BBE
10	Headache, giddiness with vomiting	Drowsiness	+		Normal		+	-	BBE
11	Cough, headache	Drowsiness	+		Absent		+	-	BBE
12	Malaise	Comatose	+		Normal		+	-	BBE
13	Pharyngitis, diarrhoea, headache	Drowsiness	+	Mild	Absent		+	+	BBE with overlapping GBS
14	Headache	Drowsiness	+		Absent		+	-	BBE
15	Malaise		+		Absent		+	-	FS
16	Sore throat		+		Absent		+	-	FS
17	Upper respiratory tract infection	Drowsiness	+		Absent	+	+	+	BBE
18	Sore throat		+	Mild	Absent		+	+	FS with overlapping GBS

BBE = Bickerstaff's brainstem encephalitis; FS = Fisher syndrome; GBS = Guillain-Barré syndrome. \*Three cases described by Bickerstaff and Cloake in 1951. Blank: information not available.

consciousness, and some also had Babinski's sign and hemisensory loss, suggestive of central involvement. The

aetiology of this syndrome was speculated to be similar to that of GBS because of evidence of prodromal upper

respiratory infection, areflexia and CSF albuminocytological dissociation. Bickerstaff (1978) reviewed the syndrome for the *Handbook of Clinical Neurology* under the title 'Brain stem encephalitis (Bickerstaff's encephalitis)', but did not cite Fisher's 1956 paper. Since then, such a condition has been called Bickerstaff's brainstem encephalitis (BBE). A subsequent publication from a group of researchers, which included Bickerstaff (Al-Din *et al.*, 1982), reported 18 other patients with 'brainstem encephalitis and the syndrome of Miller Fisher' and argued a central origin. All 18 patients had ophthalmoplegia and ataxia. Eleven of them showed drowsiness, and one was comatose. Tendon reflexes were absent in 11, normal in three and brisk in four. Four had Babinski's sign and two had long-tract sensory disturbance (Table 1). On the basis of radiological (three patients) and pathological (one patient) changes in the brainstem and abnormal EEG findings (12 patients), they insisted that the condition represents a clinical entity distinct from GBS. The next year, Ropper (1983) criticized their report. He considered that six of the 18 cases were typical FS, and the other 12 represented obscure brainstem lesions without peripheral polyneuropathy. From this historical perspective, BBE should be the diagnosis for patients who have acute onset of disturbance of consciousness, brisk tendon reflexes or long-tract sensory impairment in addition to ophthalmoplegia and ataxia.

The nosological relationship of BBE to FS or GBS remains controversial, and it is not clear whether BBE is a distinct disease entity or a variant of FS or GBS. Presumably, because of its rarity, there has been no study of a large number of BBE patients. Studies published in the 1990s showed that serum anti-GQ1b IgG antibody levels are frequently elevated in patients with FS (Chiba *et al.*, 1992; Willison *et al.*, 1993) and in those with BBE (Yuki *et al.*, 1993). The immunological profile common to BBE and FS lends support to a common pathogenesis, but it does not provide the answer to the question of whether these syndromes are separate entities. Our study was undertaken to clarify the clinical, electrophysiological, neuroimaging and immunological features of a large number of patients with BBE. In addition, we present pathological findings for a patient with BBE.

## Patients and methods

### *Diagnostic criteria for BBE*

On the basis of the findings of Bickerstaff (1957) and Al-Din *et al.* (1982), 'progressive, relatively symmetric external ophthalmoplegia and ataxia by 4 weeks' and 'disturbance of consciousness or hyperreflexia' are required as clinical features for the diagnosis of BBE. With these strict criteria, BBE, not FS, was diagnosed for patients with drowsiness, although in Case 2 in the original report by Fisher (1956) there was mild drowsiness. For a diagnosis of BBE, the following diseases must be excludable: vascular disease involving the brainstem; Wernicke's encephalopathy; botulism, myasthenia gravis; brainstem tumour; pituitary

apoplexy; acute disseminated encephalomyelitis (disorders described in the papers of Bickerstaff and Cloake, 1951; Bickerstaff, 1957; Fisher, 1956; Al-Din *et al.*, 1982); multiple sclerosis; neuro-Behçet disease; vasculitis; and lymphoma (our addition). One patient among Bickerstaff's original cases had flaccid limb weakness, and BBE cases were divided into 'BBE without limb weakness' and 'BBE with limb weakness'.

### *Patients and clinical data*

One of the present authors (M.O.) reviewed the medical records of 98 patients who had BBE ( $n = 48$ ), brainstem encephalitis ( $n = 25$ ), FS with CNS involvement ( $n = 14$ ) or GBS associated with disturbance of consciousness ( $n = 11$ ), as diagnosed by the primary physicians. Four of the patients had been admitted to our hospital and the others were referred to our neuroimmunological laboratory for serum antiganglioside antibody testing from hospitals throughout Japan between December 1994 and February 2000. On the basis of the preceding criteria, BBE was the rediagnosis for 62 of these 98 patients.

Information on the following was obtained from each primary physician: antecedent illness; initial symptoms; neurological signs during the illness; the clinical course; CSF, MRI, and neurophysiological (EEG, motor nerve conduction study, and needle electromyography) findings; treatment provided; and outcome. The neurological signs were assessed as disturbance of consciousness (drowsiness, stupor, semicoma or coma); blepharoptosis, external and internal ophthalmoplegia; nystagmus; facial weakness; bulbar palsy; limb weakness of 4 or  $\leq 3$  on the Medical Research Council (MRC) scale (two or more muscle groups); deep tendon reflexes (brisk, normal, absent or decreased); Babinski's sign; truncal or limb ataxia; and deep or superficial sense impairment.

CSF samples were tested at the various hospitals. CSF albuminocytological dissociation was defined as high protein ( $\geq 45$  mg/dl) with normal cellularity ( $\leq 5$  cells/ $\mu$ l). Serum IgM and IgG antibodies to GM2, GM1, GD1a, GalNAc-GD1a, GD1b, GT1b and GQ1b were measured by an enzyme-linked immunosorbent assay (ELISA), as described elsewhere (Odaka *et al.*, 1998). Serum was considered positive when the antibody titre was  $\geq 500$ . Evidence of recent *Campylobacter jejuni* infection was tested serologically, as reported elsewhere (Koga *et al.*, 1998). In the nerve conduction studies, when motor distal latency was prolonged ( $\geq 10\%$  of the upper normal limit) or conduction velocity was slowed ( $\leq 90\%$  of the lower normal limit) in two or more nerves, the motor nerves were classified as demyelinated (Ho *et al.*, 1995). When patients had a decrease in the amplitude of the distal compound muscle action potentials (CMAPs) ( $\leq 80\%$  of the lower normal limit) and no evidence of demyelination as defined above, the condition of the motor nerves was regarded as axonal degeneration.

### Statistical analysis

Statistical calculations were made with Statcel<sup>®</sup> software (OMS, Saitama, Japan). Comparisons between groups of clinical features were made by *post hoc*. Differences in percentages were tested with  $\chi^2$  or Fisher's exact test. *P* values of  $\leq 0.05$  denoted statistical significance.

## Results

### Case reports

#### Illustrative case of typical BBE

##### Patient 1

A 29-year-old man experienced coughing and nasal discharge, which resolved in 7 days. Ten days after resolution, he suffered numbness of the arms and legs, diplopia, dysarthria, drowsiness and unsteady gait (day 1). On day 7, he was drowsy but easily aroused by stimulation. Neurological examination revealed mild bilateral blepharoptosis, limitation of horizontal gaze and incomplete convergence. There was upbeating nystagmus on upward gaze, and bilateral horizontal gaze-evoked nystagmus. His pupils were dilated and light reflexes were absent. Bell's phenomenon and oculocephalic reflexes were preserved. Slurred speech was present, but there was no facial or oropharyngeal palsy. There was no weakness. Finger-to-nose and heel-to-knee tests were ataxic. Deep tendon reflexes were diminished in the upper limbs and absent in the lower ones. Pathological reflexes were lacking. He could hold himself erect with a wide-based stance, but could not walk without support. Hypoaesthesiae of the glove-and-stocking type were present, but vibration sense was intact.

High-resolution MRI with and without gadolinium enhancement detected no plaques in the brainstem and brain. The serum anti-GQ1b IgG antibody titre was high (51 200), and between days 13 and 22 the patient underwent seven sessions of immunoadsorption with a tryptophan-conjugated column (TR-350<sup>®</sup>; Asahi Medical, Tokyo, Japan), which highly adsorbs this autoantibody (Yuki, 1996). He became fully conscious on day 14, and the next day could walk around the room with a wide-based gait, holding onto the furniture. There was slight movement of the eyeballs in downward gaze. CSF protein was 149 mg/dl, with normal cellularity. On day 20 he could walk >5 m without support, although unsteadily. Motor and sensory nerve conduction velocities were normal. On day 29 there was no extraocular muscles paresis, except for limitation of abduction. Ataxic gait disappeared, and on day 35 he could stand on one foot. On day 60 his range of eye movements was complete, and he was discharged. By day 73, nystagmus had disappeared.

#### Illustrative cases of BBE with overlapping GBS

##### Patient 2

A 37-year-old man had chills and a cough. On the morning after the sixth day (day 1) he had dysaesthesiae, dysarthria

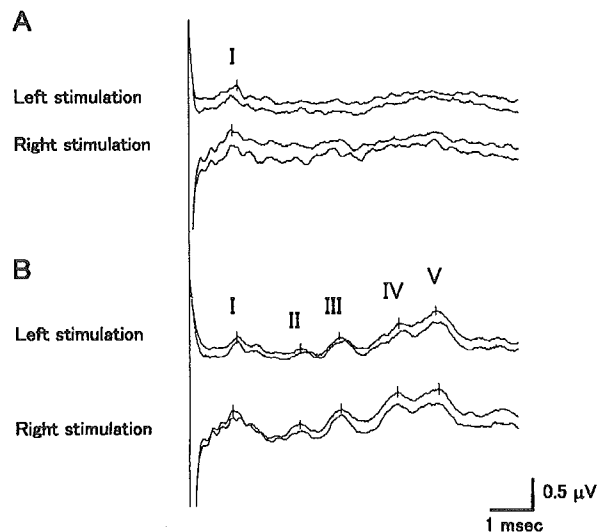


Fig. 1 (A) Auditory evoked brainstem responses showing the absence of II–V waves on both sides on day 7. (B) On day 32, the patient's auditory evoked brainstem responses were normal.

and ataxic gait, but he was alert. Limitation of ocular movement was detected in the lateral gaze of both eyes. Limb strength in the arms and legs was 4 on the MRC scale. All tendon jerks were absent. Plantar responses were indifferent. Formal tests of limb coordination showed decomposition and dysmetria. Paraesthesiae and hypoaesthesiae of the glove-and-stocking type were present. Vibration and position senses were intact. GBS was diagnosed. By the evening he could not swallow. He was intubated and placed on a respirator as respiration was apneustic breathing and he was comatose. At that time, he was considered to have overlapping GBS and BBE.

CSF protein was 59 mg/dl associated with pleocytosis (57 cells/ $\mu$ l). He underwent four sessions of immunoadsorption, on days 2, 5, 7 and 12. On day 7, auditory evoked brainstem responses showed the absence of waves II–V on both sides (Fig. 1A). MRI on days 6 and 21, with and without enhancement, detected no abnormalities in the brainstem and brain. Because coma continued, he was given 0.4 g/kg of human immunoglobulin daily for 5 days, starting on day 13. Electrophysiological examination on day 9 showed reduced amplitudes of CMAPs with normal motor conduction velocities in the median, ulnar and tibial nerves, indicative of primary axonal degeneration (Table 2). On day 18 the distal and proximal CMAP amplitudes had further decreased, but the motor conduction velocity continued to be normal. ELISA confirmed that serum obtained on day 2 had high IgG antibody titres to GM1 (4000), GD1a (4000), GD1b (2000), GalNAc-GD1a (4000) and GQ1b (500). He began to recover from respiratory failure on day 15, but a tracheostomy was performed because his coma continued. Recovery from coma began on day 23, and he was fully alert on day 33. Limb weakness lessened, and on day 32 his auditory evoked

**Table 2** Motor nerve conduction study results for Patients 2 and 3

	Patient 2			Patient 3			Normal limit		
	Median nerve	Ulnar nerve	Tibial nerve	Median nerve	Ulnar nerve	Tibial nerve	Median nerve	Ulnar nerve	Tibial nerve
Distal latency (ms)	4.1	2.3	4.6	4.2	2.5	6.0	<4.5	<3.5	<5.6
Motor nerve conduction velocity (m/s)	60.2	60.8	44.1	52.2	50.7	37.9	>48	>48	>38
Distal CMAP amplitude (mV)	4.3	5.3	4.0	2.1	1.6	1.9	>4.4	>4.6	>5.8
Proximal CMAP amplitude (mV)	4.2	5.1	3.9	2.4	1.2	0.9	>4.2	>4.2	>5.8

CMAP = compound muscle action potential.

brainstem responses were normal (Fig. 1B). He was taken off the mechanical respirator. However, he suddenly died of suffocation due to obstruction with sputum on day 45.

An autopsy was performed. The brain weighed 1530 g. Histological examination results for the cerebrum were normal. The cerebellum showed occasional grumose degeneration in the dentate nucleus. The brainstem had perivascular lymphocytic infiltration with perivascular oedema (Fig. 2A) and glial nodules (Fig. 2B). The trigeminal motor nucleus showed marked central chromatolysis of the neurons (Fig. 2C), and chromatolytic changes in the neuronal cytoplasm were frequent in the spinal anterior horn. Focal lymphocytic infiltration was seen in the dorsal root ganglia. Bronchial mucosal lymphocytic infiltration was detected in the lungs, indicative of systemic infective processes. No pulmonary embolism and coronary artery occlusion were present.

### Patient 3

A 54-year-old man suffered coughing with sputum and diarrhoea, which all abated after a few days. Several days after their resolution, he experienced diplopia in the morning (day 1). The next day there was worsened diplopia and ataxic gait. On day 3 he remained alert. In addition to complete ophthalmoplegia, there were mild bifacial palsy, severe oropharyngeal palsy and slightly explosive speech. Light reflexes were prompt. There was proximal upper limb weakness, of 4 on the MRC scale. Deep tendon reflexes were absent, but extensor plantar responses were present. Finger-to-nose and heel-to-knee tests showed ataxia. Paraesthesiae of the glove-and-stocking type were present. Vibration sense was mildly decreased in the lower limbs.

CSF protein was 31 mg/dl, with normal cellularity. MRI detected no abnormalities in the brainstem. Motor nerve conduction velocities were not reduced in the median, ulnar and tibial nerves, whereas CMAP amplitudes were decreased markedly (Table 2). ELISA confirmed that serum obtained on day 3 had high IgG antibody titres to GD1a (500), GD1b (500), GT1b (2000) and GQ1b (64 000). On day 4 he had become disorientated and his limb weakness had worsened. Deltoid and triceps muscle weakness registered 3 on the MRC scale. Laboratory studies of the identifiable causes of

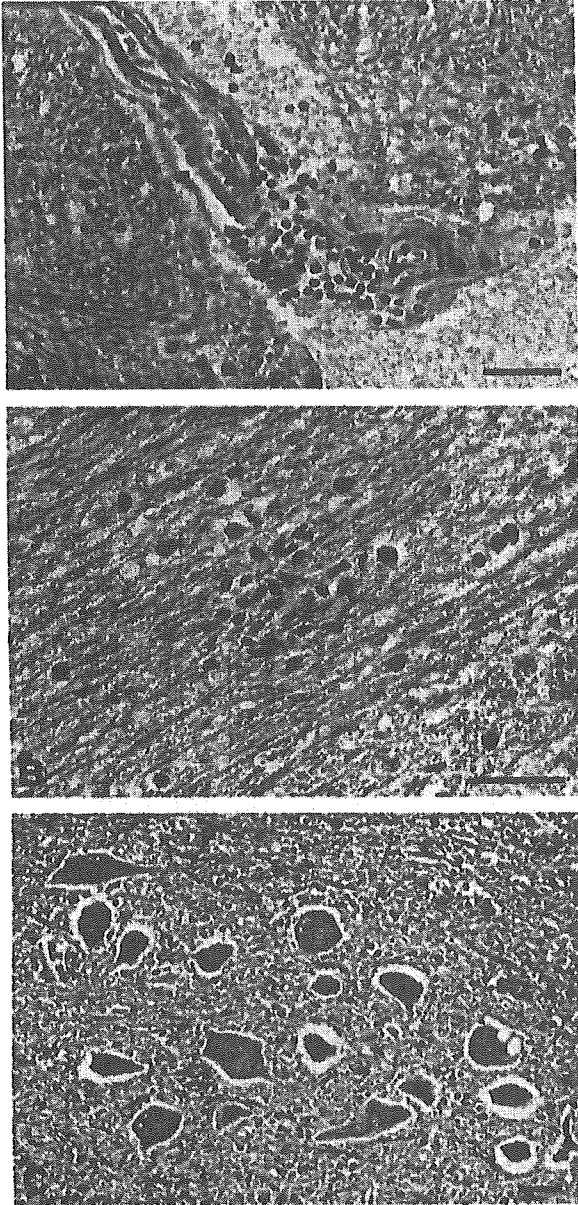
disorientation (analysis of blood gas, blood sugar, sodium, creatinine, thiamin, renin activity and ammonia) were all normal. Hypoxia and metabolic diseases, such as diabetes mellitus, hyponatraemia, uraemia, Wernicke's encephalopathy, Addison's disease, hepatic encephalopathy and alcoholism, were excluded on the basis of physical and laboratory findings. On days 4, 5, 8, 9, 10, 11 and 12, he underwent seven sessions of immunoadsorption. Recovery began on day 24. His neurological signs disappeared in the order of ophthalmoplegia, ataxia, limb weakness and dysaesthesia. Two months later, he could climb stairs without support.

### Clinical features of 62 patients with BBE

According to our BBE diagnostic criteria, the condition was diagnosed for 62 of the 98 patients. Table 3 shows the clinical profiles of the 62 patients, 37 of whom were men and 25 women, aged 3–91 years (median, 39 years). Fifty-seven (92%) patients had had antecedent illnesses (66% upper respiratory infectious symptoms only, 9% fever only, 6% fever and headache, 5% diarrhoea only, and 5% both upper respiratory infectious symptoms and diarrhoea).

The most common initial symptoms were diplopia (52% of the patients) and gait disturbance (35%), and 14 (23%) developed both on the same day. Twelve patients (19%) had dysaesthesiae of the upper and/or lower limbs, eight (13%) disturbance of consciousness, and seven (11%) dysarthria or limb weakness. Other initial symptoms were blepharoptosis and photophobia (<5%).

According to the BBE diagnostic criteria, all the patients had external ophthalmoplegia and ataxia (both truncal and limb, 71%; truncal only, 18%; limb only, 11%). During the illness, consciousness was disturbed in 74% (drowsiness, 45%; stupor, semicomatose or coma, 29%). All those without disturbance of consciousness had hyper-reflexia. Limb weakness was appreciable in 60%. Deep tendon reflexes were absent or decreased in 58%, normal in 8%, and brisk in 34%. Babinski's sign was present in 40%. All the patients had ataxia, but only 16% had deep sense impairment. Facial weakness (45%), internal ophthalmoplegia and bulbar palsy (34%), superficial sense impairment (31%), blepharoptosis (29%) and nystagmus (27%) were relatively frequent.



**Fig. 2** Photomicrographs of the central and peripheral nervous systems of Patient 2, who had BBE and limb weakness. Paraffin sections stained with haematoxylin and eosin. (A) Perivascular lymphocytic infiltration with surrounding oedema in the medulla oblongata. (B) A glial nodule composed of microglia in the medulla oblongata. (C) Trigeminal motor nucleus showing central chromatolysis. Scale bars = 40  $\mu$ m.

Table 4 shows abnormal MRI and neurophysiological findings. Detailed information was available for 54 patients for MRI, 33 for EEG and 34 for motor nerve conduction studies. Sixteen (30%) had high-intensity abnormalities on T<sub>2</sub>-weighted images of the brainstem, thalamus, cerebellum or white matter of the cerebrum. Twenty-four (73%) showed

$\theta$  or  $\delta$  activity at rest. Fifteen (44%) had abnormal motor nerve conduction study findings, the predominant process being axonal degeneration in 13 (38%) and demyelination in two (6%). Needle electromyography was performed in six patients. Active denervation potentials (positive sharp waves or fibrillation potentials) were present in three, with limb weakness on days 21–53. Data on CSF were obtained 1–3 times (median, 1) in 54 patients, all within 4 weeks after the neurological onset (median, 6 days; range, 1–26 days). Table 5 shows CSF findings during the first week, the second week, and the third and fourth weeks. The CSF cell count ranged from 0 to 142/ $\mu$ l (median, 2 cells/ $\mu$ l) and protein concentration from 10 to 678 mg/dl (median, 47 mg/dl). The CSF protein concentration was increased in 32 (59%) patients during the first 4 weeks after the onset. Cell count was >5 cells/ $\mu$ l in 20 (37%), none of whom had human immunodeficiency virus infection. The median CSF protein concentration after the second week was higher than that during the first week. CSF albuminocytological dissociation was found in 19 patients (35%) during the first 4 weeks, and the frequency increased from 19% in the first week to 57% in the third and fourth weeks. Of the 62 patients with BBE, anti-GQ1b IgG antibody was present in 41 (66%) and anti-GM1, anti-GD1a or anti-GalNAc-GD1a IgG antibody in 11 (18%) (Table 6). Serological evidence of recent *C. jejuni* infection was found in 14 (23%) of 60 patients; sera from the other two patients were not available.

Treatment of the 62 patients was as follows: combinations of steroids and plasmapheresis (plasma exchange, double-filtration plasmapheresis or immunoadsorption therapy) were given to 16 (26%) patients, plasmapheresis only to 14 (23%), steroids only to 13 (21%), combinations of steroids and intravenous immunoglobulin (IVIg) to five (8%), combinations of steroids and plasmapheresis followed by IVIg to three (5%), plasmapheresis followed by IVIg and IVIg only to two (3%), and no specific immunotherapy to seven (11%). Assisted ventilation was required for 10 (16%) of the 62 BBE patients during the acute phase. By 6 months after disease onset, 37 (66%) of the 56 patients for whom outcome data were available showed complete remission with no residual symptoms. Dysaesthesiae or limb weakness persisted in eight (14%) and diplopia or gait disturbance in five (9%). Three patients had residual symptoms; one each had psychic change, dementia and dysphagia. In addition to Patient 2 described in the case reports, two patients died, one of sudden cardiopulmonary arrest and the other of haemorrhagic infarction, a complication in the right cerebrum caused by candida meningitis.

#### **Clinical features of BBE with overlapping GBS**

In the 37 patients who had BBE with limb weakness, muscle weakness was symmetrical and flaccid. The clinical diagnosis was therefore BBE with overlapping GBS. Twenty-five had GBS with limb weakness of 4 on the MRC scale, and the other 12 had scores of  $\leq 3$ . There was no significant

**Table 3** Clinical profiles of patients with BBE and BBE with limb weakness

	Total	BBE without limb weakness	BBE with limb weakness
Number of patients	62	25	37
Sex (male/female)	37/25	17/8	20/17
Age (years): median (range)	39 (3-91)	48 (3-91)	37 (13-80)
Antecedent illness: <i>n</i> (%)			
Upper respiratory infection	45 (73)	16 (64)	29 (78)
Diarrhoea	7 (11)	3 (12)	4 (11)
Other	9 (15)	5 (20)	4 (11)
Initial symptoms: <i>n</i> (%)			
Diplopia	32 (52)	13 (52)	19 (51)
Gait disturbance	22 (35)	7 (28)	15 (41)
Dysaesthesia	12 (19)	2 (8)	10 (27)
Consciousness disturbance	8 (13)	6 (24)	2 (5)
Dysarthria	7 (11)	1 (4)	6 (16)
Limb weakness	7 (11)	0	7 (19)
Blepharoptosis	3 (5)	2 (8)	1 (3)
Photophobia	2 (3)	1 (4)	1 (3)
Neurological signs during the course of the illness			
Consciousness disturbance			
Drowsiness	28 (45)	12 (48)	16 (43)
Stupor, semicoma or coma	18 (29)	4 (16)	14 (38)
Blepharoptosis	18 (29)	8 (32)	10 (27)
External ophthalmoplegia	62 (100)	25 (100)	37 (100)
Internal ophthalmoplegia	21 (34)	7 (28)	14 (38)
Nystagmus	17 (27)	11 (44)	6 (16)
Facial weakness	28 (45)	7 (28)	21 (57)
Bulbar palsy	21 (34)	5 (20)	16 (43)
Limb weakness	37 (60)	0	25 (68)*, 12 (32)**
Tendon reflex			
Brisk	21 (34)	10 (40)	11 (30)
Normal	5 (8)	4 (16)	1 (3)
Absent or decreased	36 (58)	11 (44)	25 (67)
Babinski's sign	25 (40)	7 (28)	18 (49)
Ataxia	62 (100)	25 (100)	37 (100)
Deep sense impairment	10 (16)	2 (8)	8 (22)
Superficial sense impairment	19 (31)	3 (12)	16 (43)

\*4 on the MRC scale; \*\*≤3 on the MRC scale.

**Table 4** Abnormal MRI and neurophysiological study findings for patients with BBE and BBE with limb weakness

Abnormal findings of	BBE, total ( <i>n</i> = 62)		BBE without limb weakness ( <i>n</i> = 25)		BBE with limb weakness ( <i>n</i> = 37)	
	Available data	<i>n</i> (%)	Available data	<i>n</i> (%)	Available data	<i>n</i> (%)
MRI	54	16 (30)	23	9 (39)	31	7 (23)
EEG	33	24 (73)	13	10 (77)	20	14 (70)
Motor nerve conduction study	34	15 (44)	10	1 (10)	24	14 (58)
Axonal degeneration		13 (38)		1 (10)		12 (50)
Demyelination		2 (6)		0		2 (8)
Needle electromyography	6	3 (50)	2	0	4	3 (75)

difference in the clinical profiles of the two subgroups. Upper respiratory infection was the most frequent preceding symptom, diplopia (51%) or gait disturbance (41%) again being the

most frequent initial neurological symptom. Dysaesthesiae was present in 27%, limb weakness in 19% and dysarthria in 16% (Table 3).



**Table 5** CSF findings during the first, second, and third and fourth weeks for patients with BBE and BBE with limb weakness

	BBE, total (n = 62)			BBE without limb weakness (n = 25)			BBE with limb weakness (n = 37)		
	1st week	2nd week	3rd and 4th weeks	1st week	2nd week	3rd and 4th weeks	1st week	2nd week	3rd and 4th weeks
Available data of patients (%)	54 (87)			20 (80)			34 (92)		
Day of sampling from onset: median (range)	6 (1–26)			6 (1–19)			5 (1–26)		
Number of samples (%)	42 (68)	14 (23)	14 (23)	15 (60)	7 (28)	3 (12)	27 (73)	7 (19)	11 (30)
Cell count (cells/ $\mu$ l): median (range)	3 (0–71)	2 (0–142)	1 (0–21)	4 (0–60)	1 (0–63)	1 (0–5)	3 (0–71)	2 (1–142)	1 (0–21)
Pleocytosis (%)	15 (36)	6 (43)	3 (21)	6 (40)	3 (43)	1 (33)	9 (33)	3 (43)	2 (18)
Protein concentration (mg/dl): median (range)	42 (10–292)	89 (24–295)	89 (24–678)	42 (10–81)	81 (24–121)	46 (24–149)	34 (16–292)	106 (25–295)	89 (25–678)
Elevated protein concentration (%)	16 (38)	11 (79)	9 (64)	7 (47)	6 (86)	2 (67)	9 (33)	5 (71)	8 (73)
CSF albuminocytological dissociation (%)	8 (19)	6 (43)	8 (57)	4 (27)	4 (57)	2 (67)	4 (15)	2 (29)	6 (55)

**Table 6** Anti-ganglioside IgG antibodies for patients with BBE and BBE with limb weakness

IgG antibody to	BBE, total (n = 62)	BBE without limb weakness (n = 25)	BBE with limb weakness (n = 37)
GM2	2 (3)	0	2 (5)
GM1	6 (10)	2 (8)	4 (11)
GD1a	8 (13)	1 (4)	7 (19)
GalNAc-GD1a	1 (2)	0	1 (3)
GD1b	11 (18)	2 (8)	9 (24)
GT1b	3 (5)	0	3 (8)
GQ1b	41 (66)	15 (60)	26 (70)
GM1, GD1a or GalNAc-GD1a	11 (18)	2 (8)	9 (24)

Data are n (%).

Consciousness was disturbed in 81% (drowsiness, 43%; stupor or coma, 38%). All those without disturbance of consciousness had hyper-reflexia. Deep tendon reflexes were absent or decreased in 67%, normal in 3% and brisk in 30%. Babinski's sign was present in 49%. All the patients had ataxia, but only 22% had deep sense impairment. Facial weakness (57%), bulbar palsy and superficial sense impairment (43%), internal ophthalmoplegia (38%), blepharoptosis (27%) and nystagmus (16%) were again relatively common. As shown in Table 3, except for limb weakness, there was no significant difference in the clinical profiles of the subgroups of BBE without weakness and BBE with overlapping GBS.

Abnormal MRI findings were detected in 23% (seven of 31) and abnormal EEG in 70% (14 of 20) (Table 4). Abnormal motor nerve conduction study findings were obtained for 58% (14 of 24 patients; axonal degeneration 50%, demyelination 8%), suggestive of predominant axonal involvement. Needle electromyography showed positive sharp waves or fibrillation potentials in three patients on

days 21–53. The CSF protein concentration was increased in 19 (56%) of the 34 patients during the first 4 weeks. CSF albuminocytological dissociation was present in 10 (29%), and the frequencies were increased from 15% in the first week to 55% in the third and fourth weeks (Table 5). Anti-GQ1b IgG antibody was present in 70%, and anti-GM1, anti-GD1a or anti-GalNAc-GD1a IgG antibody in 24% of the 37 patients with BBE and overlapping GBS (Table 6) and in 50% of the 12 patients with a score of  $\leq 3$  or less. Serological evidence of recent *C. jejuni* infection was found in eight (22%) of 36 patients, and *C. jejuni* was isolated from one patient. There was no significant difference in frequency of positive *C. jejuni* serology between BBE and BBE with overlapping GBS.

Assisted ventilation was required for nine (24%) of the 37 patients with BBE with limb weakness. Six months after onset, 18 (51%) of the 35 patients for whom outcome data were available showed complete remission with no residual symptoms. Limb weakness persisted in eight (23%).

## Discussion

### *Nosological entity of BBE*

Detailed clinical and laboratory features of BBE were documented in a large number of patients. The findings confirmed that BBE can be defined as a syndrome presenting acute ophthalmoplegia, ataxia and disturbance of consciousness as its major manifestations, in association with certain symptoms and signs indicative of central involvement, and that it constitutes a clinical entity. Furthermore, a considerable number of patients with BBE developed flaccid tetraparesis, which is presumed to be a sign of overlapping GBS.

### *Our diagnosis for the original cases reported by Fisher and Bickerstaff et al.*

One of the three patients described by Fisher in 1956 showed mild drowsiness (Fisher, 1956). Although, according to his report, drowsiness may be accompanied by FS, all eight patients reported in Bickerstaff's paper (Bickerstaff, 1957) showed drowsiness. BBE, not FS, therefore was the diagnosis for those who showed drowsiness. Six (Nos 1, 2, 3, 5, 7 and 8) of the eight cases described by Bickerstaff (1957) fulfil our tentative criteria for BBE, whereas none satisfy the criteria for FS (Table 1). The patient of Case 4 showed gross flaccid weakness, and in our study the tentative diagnosis was BBE with overlapping GBS. Another patient (No. 6) who did not show ophthalmoplegia did not fulfil our strict criteria for FS or BBE. According to the criteria, BBE was the diagnosis in 9 (Nos 1, 2, 4, 9, 10, 11, 12, 14 and 17) of the 18 cases described by Al-Din *et al.* (1982), and FS in four (Nos 3, 5, 15 and 16). Because four patients (Nos 6, 7, 8 and 13) showed drowsiness and limb weakness, or hyper-reflexia and limb weakness, BBE with overlapping GBS was diagnosed. These diagnoses are consistent with those made by Ropper (1983), who considered the presence of drowsiness and Babinski's sign difficult for FS.

### *Clinical analysis of BBE*

Our findings have added information about the clinical features of BBE. The male : female ratio for the 62 patients with BBE was about 3 : 2. The most frequent preceding symptom was upper respiratory infection, and the most frequent initial symptoms were diplopia and gait disturbance. By means of our diagnostic criteria, the clinical features of the patients with BBE were characterized as disturbance of consciousness, ophthalmoplegia, hyper-reflexia and ataxia. Pupillary abnormalities, facial weakness, bulbar palsy and Babinski's sign were frequent, occurring in one-third to one-half of the BBE patients. Half the patients had normo- or hyper-reflexia, the others hypo- or areflexia. Deep sensation was frequently unimpaired despite profound ataxia.

Abnormal lesions (high-intensity areas on T<sub>2</sub>-weighted images of the brainstem, thalamus, cerebellum and cerebrum)

on MRI findings were present in about one-third of the BBE patients. Normal MRIs have been reported for some BBE patients, whereas in others high-signal lesions, documented on T<sub>2</sub>-weighted images of the upper mesencephalon, cerebellum, thalamus or brainstem, may move and regress with the clinical course of the illness (Yaqub *et al.*, 1990; Camarda *et al.*, 1992; Kikuchi *et al.*, 1997; Chataway *et al.*, 2001; Mondéjar *et al.*, 2002). The frequency of our patients who had slow-wave activity in the  $\theta$  to  $\delta$  range in EEG findings also indicated CNS involvement, consistent with disturbance of consciousness. Al-Din *et al.* (1982) reported the likelihood of abnormality within the brainstem itself on the basis of results of clinical, neurophysiological, radiological and pathological studies of BBE, and that areflexia is explained by the involvement of the mesencephalic and upper pontine reticular formations. In the nerve conduction studies, most patients with BBE without limb weakness were normal, whereas half of those who had BBE and overlapping GBS showed indications of motor nerve dysfunction, predominantly axonal dysfunction. Two of the eight patients described in the original paper of Bickerstaff (1957) had CSF albuminocytological dissociation and the other six had CSF pleocytosis (median, 26 cells/ $\mu$ l; range, 10–153 cells/ $\mu$ l). The CSF protein concentration was normal in about two-thirds of our BBE patients during the first week, but its frequency increased during subsequent weeks. CSF pleocytosis was present in about one-third during the first 4 weeks. In our previous study, CSF albuminocytological dissociation was detected in 62% of 120 patients with GBS during the first 3 weeks after the onset (47% during the first week, 60% during the second week, 74% during the third week) and in 59% of 123 with FS (35% during the first week and 75% during the second week) (Y. Nishimoto, N. Yuki, M. Okada, K. Itivata, unpublished data). The frequency of CSF albuminocytological dissociation tended to be lower in our BBE series than in GBS and FS series, because CSF pleocytosis was also present. Pleocytosis may reflect severe breakdown in the blood–CSF barrier or invasion of leucocytes into the CSF. CSF studies showed some degree of elevated protein concentration with and without pleocytosis in most patients with BBE during the first 4 weeks, but some patients were normal throughout the course of the illness.

From an immunological perspective, anti-GQ1b IgG antibody is frequently detected in sera from patients with BBE (Yuki *et al.*, 1993; Odaka *et al.*, 2001; Williams, 2001). Anti-GQ1b IgG antibody was present in two-thirds of our BBE patients. The fact that BBE and FS have an autoantibody in common suggests that the two disorders are closely related. BBE patients definitely have CNS involvement that is responsible for disturbance of consciousness, extensor plantar responses and hemisensory loss, but not all the features of BBE, including ophthalmoplegia and ataxia, are necessarily explained by central lesions. Chiba *et al.* (1993) showed the presence of a GQ1b epitope in the extramedullary regions of human ocular motor nerves, indicative of a role for anti-GQ1b antibody in the pathogenesis of ophthalmoplegia in FS.

Further research is needed to clarify whether the mechanisms of ophthalmoplegia and ataxia in BBE and FS are identical.

Most patients with BBE were given immunotherapy, such as steroids, plasmapheresis and IVIg. Three of the 62 patients died during the course of illness, but the outcome for the BBE patients was generally good. Effective therapy for BBE has yet to be established. Several reports have suggested that plasmapheresis and IVIg have a beneficial effect in patients with BBE (Yuki *et al.*, 1993; Yuki, 1995; Kikuchi *et al.*, 1997; Shimokawa *et al.*, 1998; Fox *et al.*, 2000). In GBS, IVIg is as effective as plasma exchange (van der Meché and Schmitz, 1992; Plasma Exchange/Sandoglobulin Guillain-Barré Trial Group, 1997). Moreover, combined therapy of IVIg and high-dose methylprednisolone was a more efficacious therapy than IVIg alone in patients with GBS (van Koningsveld *et al.*, 2002). BBE and GBS are so closely related that combined therapy of IVIg and high-dose methylprednisolone should be used. Controlled clinical trials are needed to test this proposal.

#### *Definitive inflammatory changes in the brainstem of a patient with BBE*

Unlike the many clinical findings of apparent brainstem involvement in BBE, neuropathological findings in this disease are relatively few. In Bickerstaff's (1957) original report, the brainstem of an autopsy patient (Case 8) showed no apparent neuronal death, but there were oedematous changes with astrocytic proliferation. The cerebellum showed diffuse, slight loss of Purkinje cells, but some folia were completely lacking these cells. Lymphocytic cuffing was present around some blood vessels in the frontal lobe. Bickerstaff considered these changes to be caused by cerebral oedema secondary to viral infection or to be the result of hypersensitivity to infection. In the report by Al-Din *et al.* (1982), in one autopsy patient (Case 17) the numerous necrotic lesions in the brainstem were associated with activated microglia, astrocytic proliferation and small foci of round cell (lymphocyte) infiltration. There was also very marked perivascular round cell cuffing, sometimes with numerous macrophages. These changes were interpreted as evidence of encephalitis. Although the pathological process, as reported by Al-Din *et al.* (1982), was predominantly in the CNS, Ropper (1983) doubted the presence of BBE and considered that CNS involvement in GBS could be mimicked by peripheral nerve disease. The post-mortem examination of our Patient 2, however, clearly showed the presence of definite inflammatory changes in the brainstem: perivascular lymphocytic infiltration with perivascular oedema and glial nodules. Inflammatory conditions, which affected the brainstem, may have exerted a certain influence on dentate neurons. These inflammatory processes probably have a pivotal role in the development of the characteristic phenotypes of BBE.

#### *Subgroup of BBE associated with GBS*

Analysis of the clinical features of 62 patients with BBE, based on our proposed criteria, showed that 37 (60%) patients with BBE associated with GBS had coexisting limb weakness. The clinical features of these patients were disturbance of consciousness, ophthalmoplegia, hyper-reflexia, ataxia and limb weakness. Because, except for limb weakness, the clinical profiles of BBE and BBE with overlapping GBS did not differ significantly, the conditions may be closely associated. The frequency of disturbance of consciousness in patients with BBE with overlapping GBS was almost the same for drowsiness and for stupor, semicomatose and coma. The frequencies of facial weakness, bulbar palsy, Babinski's sign and superficial sense impairment were about 1/2, those of internal ophthalmoplegia 1/3, and those of blepharoptosis and deep sense impairment 1/4. The ratio of patients with normo- or hyperreflexia and those with hypo- or areflexia was about 1 : 2. The outcomes for the patients were generally good, but one-quarter of those who scored  $\leq 3$  on the MRC scale had persistent limb weakness.

Our findings based on electrodiagnostic criteria suggest that motor nerve dysfunction is predominantly axonal and that the form of GBS that overlaps with BBE may be the axonal subtype, i.e. acute motor axonal neuropathy (AMAN) (Ho *et al.*, 1995). Needle electromyography results confirmed active denervation potentials in three patients studied 3–8 weeks after the onset. Patients with AMAN invariably have low distal CMAPs, which could be caused by either axonal degeneration or distal conduction block (Ogawara *et al.*, 2000), and once axonal degeneration develops recovery is slow and incomplete. Accumulated evidence supports the speculation that AMAN is caused by the IgG antibody against the gangliosides GM1, GD1a or GalNAc-GD1a (Yuki *et al.*, 1990, 2001; Kornberg *et al.*, 1994; Kusunoki *et al.*, 1994; Carpo *et al.*, 1999; Ho *et al.*, 1999; Ogawara *et al.*, 2000; Hadden *et al.*, 2001). Our previous study showed that anti-GM1 antibodies were present in 64% of 33 AMAN patients, anti-GD1a in 45% and anti-GalNAc-GD1a in 33%, and anti-*C. jejuni* antibody in 14 (42%) (Ogawara *et al.*, 2000). The BBE patients in the present study, with or without overlapping GBS, also had these antibodies, although the frequencies were lower than in the AMAN patients. This suggests that elements of the autoimmune mechanism are common to both, and that they are not distinct but are closely related conditions.

A number of reports described GBS patients experiencing coma with abolished oculocephalic reflex (Carroll and Mastaglia, 1979; Najim Al-Din *et al.*, 1987; Coad and Byrne, 1990; Hassan and Mumford, 1991; Martí-Massó *et al.*, 1993). These cases with severe brainstem involvement should be diagnosed as having BBE. Autopsy findings have been reported for a patient in whom BBE associated with GBS was diagnosed clinically (Yuki *et al.*, 1997), although the case was not included in this study: there was loss of large myelinated fibers in the nerve roots, including the cranial

nerves, but a normal midbrain, pons and medulla oblongata. The clinical, electrophysiological and immunological findings reported in our study support the original hypothesis of Bickerstaff and Cloake (1951), that BBE is closely related to GBS and that they form a continuous spectrum.

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# Anti-GM1 antibody IgG subclass

## A clinical recovery predictor in Guillain-Barré syndrome

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**Abstract—Objective:** To determine whether the anti-GM1 antibody IgG subclass (IgG1 to 4) is associated with clinical profiles and patterns of recovery in Guillain-Barré syndrome (GBS). **Methods:** The IgG subclassification of anti-GM1 antibody was examined and compared with clinical data on 42 GBS patients positive for the antibody. **Results:** Frequent anti-GM1 antibody subclasses were IgG1 (76%) and IgG3 (31%). IgG1 antibody was associated with preceding gastroenteritis and *Campylobacter jejuni* serology, whereas IgG3 antibody was associated with preceding respiratory infection. Although the severity at nadir was similar for IgG1- and IgG3-positive patients, the percentage of patients who could not walk independently was greater for the IgG1-positive group 1 month (42 vs 0%;  $p = 0.02$ ), 3 months (28 vs 0%), and 6 months (25 vs 0%) after onset. Rapid recovery within 1 month occurred frequently in the patients with the IgG3 antibody but rarely in those with the IgG1 antibody (67 vs 11%;  $p = 0.003$ ). **Conclusions:** The IgG1 subclass of anti-GM1 antibody is a major subtype indicative of slow recovery, whereas isolated elevation of IgG3 subclass antibody titer suggests rapid recovery. Variation in subclass patterns may depend on which pathogen precipitates GBS.

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Guillain-Barré syndrome (GBS) is a self-limited, autoimmune-mediated neuropathy. Muscle weakness usually reaches its nadir within 2 to 3 weeks, partial or complete recovery occurring over weeks to

months. Patients with anti-GM1 IgG autoantibody constitute a subgroup of GBS that has the uniform features of antecedent *Campylobacter jejuni* infection, pure motor neuropathy, and electrophysiologic

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evidence of primary axonal dysfunction.<sup>1-3</sup> Our previous study found that there were two patterns of clinical recovery in patients with anti-GM1 IgG antibody: faster or slower recovery than those without the antibody.<sup>4</sup> Although patients with anti-GM1 IgG antibody more often show poor outcome, probably because of extensive axonal degeneration, some patients show markedly rapid recovery during the first 4 weeks. Which factors predict the speed of recovery and outcomes, however, have yet to be clarified.

There are four subclasses of human IgG, IgG1 to 4, which differ in both their structural and biologic properties. Several autoimmune diseases show a skewed increase in IgG subclass autoantibodies.<sup>5-7</sup> Furthermore, determination of the IgG subclass of some autoantibodies is reported to be important in understanding clinical features.<sup>8-11</sup> In GBS, the main anti-ganglioside antibodies are IgG1 and IgG3.<sup>12-16</sup> In Fisher syndrome, a clinical variant of GBS, the IgG subclass of anti-GQ1b antibody and prior infection are closely associated, IgG3 being the main subclass in patients with Fisher syndrome after respiratory infection and IgG2 in patients with preceding gastrointestinal infection.<sup>17</sup> In GBS, however, it is not clear whether the IgG subclassification of anti-GM1 antibody is related to clinical features. We therefore examined the IgG subclassification of anti-GM1 antibodies in patients with GBS to determine whether a particular IgG subclass is associated with clinical profiles, particularly patterns of clinical recovery and outcomes.

**Methods. Patients.** Of 134 consecutive GBS patients seen at Chiba University Hospital or its affiliated hospitals between 1989 and 2001, 42 (31%) had serum anti-GM1 IgG antibody. They fulfilled the clinical criteria for GBS.<sup>18</sup> Their disabilities were evaluated by the Hughes functional grading scale (grade 1: minimal symptoms and signs, able to run; grade 2: able to walk 5 meters independently; grade 3: able to walk 5 meters with aid; grade 4: chair or bed bound; grade 5: requires assisted ventilation). Patients were followed up for 6 months after onset.

**Serologic assays.** Serum samples taken during the first 3 weeks after onset, before immune treatment, were frozen and stored at  $-80^{\circ}\text{C}$  until used. An ELISA, done as reported elsewhere,<sup>19</sup> was used to measure serum IgG antibodies to GM1, GM1b, GM2, GD1a, GalNAc-GD1a, GD1b, GT1b, and GQ1b but with peroxidase-conjugated anti-human  $\gamma$ -chain-specific antibody (Dako, Glostrup, Denmark) at the dilution of 1:4,000 (1:1,000 in our other studies) as the secondary antibody. This dilution rate corresponded to activities of the secondary antibodies in the IgG subclass-determinant assay, as reported below. Anti-ganglioside antibody titer was the highest serum dilution that gave an optical density of  $\geq 0.1$  at 492 nm. Serum was considered positive for anti-ganglioside antibodies when the titer was  $\geq 500$ . Evidence of recent infection by *C. jejuni* or *Haemophilus influenzae* was assayed serologically as reported elsewhere.<sup>20,21</sup>

**Determination of anti-ganglioside antibody IgG subclasses.** IgG subclasses of anti-ganglioside antibodies were examined in an ELISA done with peroxidase-conjugated mouse anti-human  $\gamma 1$ ,  $\gamma 2$ ,  $\gamma 3$ , and  $\gamma 4$  chain-specific monoclonal antibodies (Southern Biotechnology Associates, Birmingham, AL) as the secondary antibodies. These antibodies were used at various dilutions ( $\gamma 1$  at 1:250,  $\gamma 2$  at 1:500,  $\gamma 3$  and  $\gamma 4$  at 1:8,000), which give similar optical density values for equal quantities of purified human myeloma plasma protein of different IgG subclasses (Athens Research and Technology, Athens, GA).

**Statistical analysis.** Differences in percentages were examined by the  $\chi^2$  or Fisher's exact test. Differences in medians were examined by the Mann-Whitney *U* test. A difference was considered significant when the *p* value was  $< 0.05$ . All statistical analyses were done with Statcel software (OMS, Saitama, Japan).

**Results. IgG subclassification.** Of the 42 anti-GM1-positive patients, 32 (76%) had the IgG1 and 13 (31%) had the IgG3 antibodies, whereas none had the IgG4 antibody. Two (5%) patients had the IgG2 antibody: One had isolated elevation of IgG2 antibody activity and one low IgG2 antibody activity together with high IgG1 antibody activity. The most frequent subclass pattern was isolated elevation of IgG1 antibody (64%) and the second isolated elevation of IgG3 antibody (21%), whereas these antibodies coexisted in only four patients (10%). Those with anti-GM1 IgG antibody often had IgG antibodies against other gangliosides, and the IgG subclass patterns of the other anti-ganglioside antibodies were almost the same as the subclass pattern of anti-GM1 antibody in individual cases.

**Clinical characteristics and anti-GM1 antibody IgG subclass.** The IgG subclassification of anti-GM1 antibody was closely associated with antecedent infectious symptoms (table). Patients with the IgG1 antibody frequently had a history of gastrointestinal infectious symptoms and positive serology for recent *C. jejuni* infection. In contrast, preceding upper respiratory tract infection was common in patients with the IgG3 antibody. There was no association of the IgG subclass with age, sex, anti-GM1 IgG antibody titer, compound muscle action potential amplitude after median nerve stimulation at the wrist during the acute phase of illness, or *H. influenzae* serology. Patients with the IgG1 or IgG3 antibody received similar treatment.

**Correlation of IgG subclass with severity and functional outcome.** The patients ( $n = 4$ ) who had both IgG1 and IgG3 antibodies were excluded from subsequent analysis to simply compare the outcome between the IgG1- and the IgG3-positive patients. At the peak of the disease, there was no significant difference in Hughes grades between the IgG1 and IgG3 antibody-positive patients (median grade 3 [range 1 to 5] vs 4 [1 to 4]). One month after onset, however, the median Hughes grade was higher for patients who had the IgG1 antibody (median grade 3 [range 0 to 5] vs 1 [1 to 2];  $p = 0.02$ ). The percentage of patients who could not walk independently was greater in the IgG1 antibody-positive than the IgG3-positive group (42 vs 0%;  $p = 0.02$ ) (figure 1). Three and 6 months after onset, a grade of  $\geq 3$  disability remained, respectively, 28% (7/25) and 25% (6/24) for patients with the IgG1 antibody, whereas by 1 month after onset, all patients ( $n = 9$ ) with the IgG3 antibody had a grade of  $\leq 2$ .

Of the 42 patients who were anti-GM1-positive, 21 received plasmapheresis and 9 IV immunoglobulin (IVIg). In the plasmapheresis-treated group, most patients who had the IgG1 antibody had slow recoveries, and 50% had disabilities of a Hughes grade of  $\geq 3$  even 6 months after onset. In contrast, all nine patients treated with IVIg had a Hughes grade of  $\leq 2$  at 3 months after onset, irrespective of their anti-GM1 antibody subclassification.

**Rapid recovery.** Rapid recovery, which was defined as improvement of two or more Hughes grades from the peak by 1 month after onset, was more frequent in the IgG3 antibody-positive than the IgG1 antibody-positive groups (67 vs 11%;  $p = 0.003$ ). In the IgG3 antibody-positive group, all six patients who showed rapid recovery received plasmapheresis ( $n = 4$ ) or IVIg ( $n = 2$ ), whereas all three patients who did not show rapid recovery received neither of them. In the IgG1 antibody-positive group, three of five patients treated with IVIg showed rapid recovery, whereas none of 22 patients treated with plasmapheresis ( $n = 14$ ) or conservative therapies ( $n = 8$ ) showed (60 vs 0%;  $p = 0.003$ ).

**Analysis of anti-GM1 IgG antibody activity on serial sampling.** Longitudinal change of anti-GM1 IgG activity was investigated to examine whether serum half-life is different between the IgG1 and IgG3 antibodies. Because serial samples were not available from the patients in this study, anti-GM1 IgG-positive samples in our serum bank were used. Decreasing rate of the antibody activity did not differ apparently between the IgG1 and IgG3 antibody-positive patients (figure 2, A and B).

**Discussion.** Our study shows that IgG1 is the most frequent subclass of anti-GM1 antibody in GBS

**Table** Anti-GM1 antibody IgG subclass association with clinical features

Clinical features	Anti-GM1 IgG1 antibody			Anti-GM1 IgG3 antibody		
	(+), n = 32	(-), n = 10	p Value	(+), n = 13	(-), n = 29	p Value
Median age, y	43	34	NS	33	44	NS
Sex, M/F	21/11	4/6	NS	6/7	19/10	NS
Prior symptoms, %						
URTI	28	90	0.001	77	28	0.004
Gastrointestinal infection	63	10	0.004	23	62	0.019
Fever	3	0	NS	0	3	NS
Median anti-GM1 IgG titer	8,000	4,000	NS	4,000	4,000	NS
Median CMAP amplitude,* mV	2.8	3.2	NS	3.8	2.6	NS
<i>C. jejuni</i> serology, %	56	20	0.048	31	55	NS
<i>H. influenzae</i> serology, %	9	10	NS	8	10	NS
Therapy, %						
Plasmapheresis	53	40	NS	54	48	NS
IVIg	19	30	NS	15	24	NS
None	28	30	NS	31	28	NS

\* Distal amplitude of the abductor pollicis brevis CMAP.

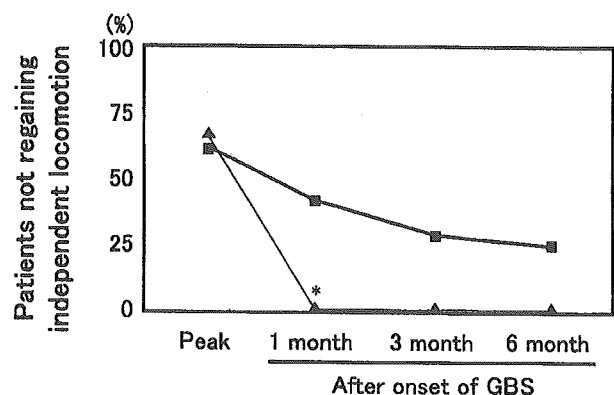
URTI = upper respiratory tract infection; CMAP = compound muscle action potential; IVIg = IV immunoglobulin; NS = not significant.

and is associated with preceding *C. jejuni* infection and slow recovery. Anti-GM1 IgG1 antibody therefore can serve as a predictor of delayed recovery by GBS patients. In contrast, our findings suggest that isolated elevation of the IgG3 subclass of anti-GM1 antibody is related to preceding respiratory illness, rapid recovery, and good outcome. There was no difference between IgG subclasses in severity at nadir. The outcome could be influenced by various treatment modes the patients had received. We, however, concluded that at least in plasmapheresis-treated patients, IgG subclassification of anti-GM1 antibody is closely associated with outcome because the frequency of plasmapheresis treatment did not differ between the patients with the IgG1 and IgG3 antibodies, as shown in the table.

Several features that indicate a poor outcome for GBS have been identified: old age, preceding gastrointestinal illness (*C. jejuni* infection), recent cytomegalovirus infection, severe muscle weakness at nadir, a short period to becoming bed bound, ventilation required, and low or absent compound muscle action potential amplitudes.<sup>22,23</sup> Preserved tendon reflexes, preceding *H. influenzae* infection, as well as IVIg treatment were predictors of rapid clinical recovery.<sup>24</sup> We found that the anti-GM1 antibody IgG subclassification is related to *C. jejuni* serology but not to age, disability scale at nadir, compound muscle action potential amplitudes, or *H. influenzae* serology. Because serologic assays for *C. jejuni* infection often give false-positive or -negative results, we concluded that the IgG subclassification of anti-GM1 antibody is useful for predicting outcome in GBS. Furthermore, IgG subclass patterns of the other anti-ganglioside antibodies were the same

as the subclass pattern of anti-GM1 antibody in individual cases, indicating that the subclass profiles of antibodies against gangliosides other than GM1 also may serve as predictors for types of recovery.

The different biological properties of the IgG subclasses may account for the close association between IgG1/IgG3 antibodies and the type of clinical recovery. First, IgG3 has a much shorter serum half-life than the other subclasses (8 vs 23 days). We, however, failed to find any difference in the anti-GM1 decrease rate in patients with the IgG1 or IgG3 antibody. Second, human IgG1 is the most effective subclass in the mediation of antibody-dependent cell-mediated cytotoxicity.<sup>25</sup>



**Figure 1.** Percentage of patients with a Hughes grade of  $\geq 3$ . Patients had IgG1 or IgG3 anti-GM1 antibody. Patients with the IgG1 antibody often have severe residual disabilities, but patients with the IgG3 antibody rarely. \* $p = 0.02$ . —■— = IgG1 Ab (+); —▲— = IgG3 Ab (+).



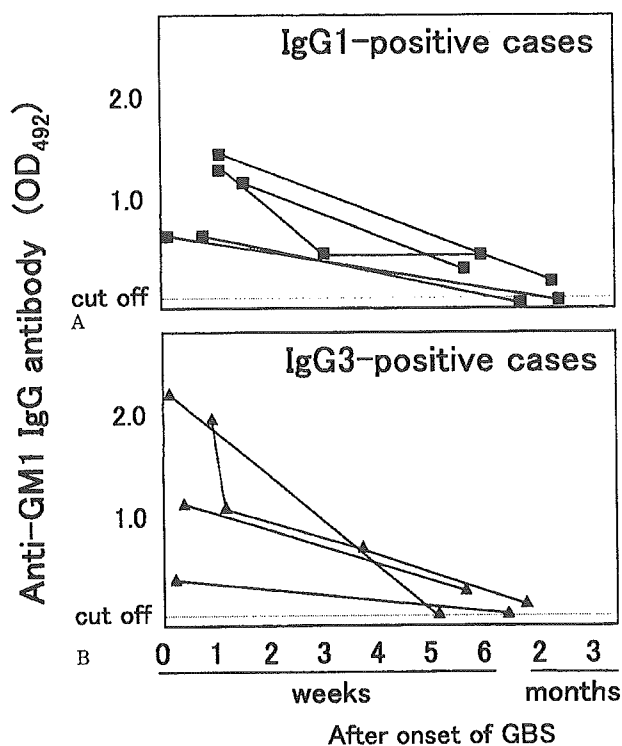


Figure 2. Longitudinal change of anti-GM1 IgG activity. (A) shows the anti-GM1 IgG antibody activities in patients ( $n = 5$ ; ■) with the IgG1 but without IgG3 antibody. (B) shows those in patients ( $n = 4$ ; ▲) with the IgG3 but without IgG1 antibody. Note that all patients with the IgG3 antibody as well as some with the IgG1 antibody had higher anti-GM1 IgG activity than cutoff value (optical density 0.1) even 5 weeks after the onset of the disease.

Pathologic studies of autopsy cases of acute motor axonal neuropathy (AMAN) showed IgG and complement activation product deposition in the axolemma of motor fibers, indicating that AMAN is an antibody- and complement-mediated disorder.<sup>26,27</sup> We suggest that the greater potency of anti-GM1 IgG1 antibody in mediating antibody-dependent cell-mediated cytotoxicity contributes to slow clinical recovery in GBS. Third, there is a difference in antibody affinity for GM1 ganglioside. A previous study found that patients with a high-affinity anti-GM1 IgG antibody were likely to have axonal involvement, but there was no correlation between the affinity of anti-GM1 IgG antibody and the IgG subclass.<sup>28</sup> The possibility cannot be excluded that the characteristic IgG subclass profiles may reflect levels of other factors, including cytokines, which are affected by the type of antecedent infection<sup>29</sup> and which actually define the type of recovery from GBS.

It is noteworthy that all the patients treated with IVIg had good recoveries, irrespective of the anti-GM1 antibody IgG subclassification. Previous findings suggest that IVIg is more effective than plasmapheresis for patients with anti-GM1 (IgG) antibody.<sup>2,4</sup> This tendency seems to depend on the supe-

rior efficacy of IVIg for those patients who have the IgG1 subclass antibody.

Our study confirms the previous findings that the anti-GM1 IgG antibody present is mainly IgG1 or IgG3.<sup>12-16</sup> We also found that the IgG1 subclass antibody was associated with preceding *C. jejuni* enteritis, which is compatible with previous reports of the detection of IgG1 antibody in all cases in which there was *C. jejuni* serology.<sup>15,28</sup> Notably, our study showed that several patients had only the IgG3 antibody, and they often had had a preceding upper respiratory infectious illness, but the causative agents that induce anti-ganglioside IgG3 antibodies were not identified. In Fisher syndrome, anti-GQ1b IgG antibody is skewed toward the IgG3 subclass in patients who have had a respiratory infectious illness.<sup>17</sup> Our findings suggest that the IgG subclass pattern reflects the immune response elicited by the particular pathogen that precipitates the disease, even though IgG subclass responses against some bacteria are partly affected by the host's genetic factors.<sup>30</sup> Identification of IgG3 antibody-inducing agents may be helpful to clarify the mechanism of rapid recovery frequently seen in GBS patients with anti-GM1 IgG3 antibody.

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ANALYSIS OF AN ANTI-NUCLEAR IgM ANTIBODY  
DETECTED IN PATIENTS WITH CIDP

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**Objective:** We investigated a new serum autoantibody raised in patients with CIDP and analyzed the antigen protein. **Materials and Methods:** Serum autoantibodies were investigated with a Western blot technique using various nervous tissue protein fractions as antigens. In quantitative comparisons, antibody titers were represented by optical density of bands. **Results:** During screening with a total protein fraction, extracted from the human sciatic nerve with SDS, the IgM antibody which strongly reacted with a protein of approximately 19 kDa was detected in a patient with CIDP. Bands of the same mobility on Western blot membranes were also detected in samples from other nervous as well as non-nervous tissues. The protein fraction from the cerebellum showed the strongest reaction with the same amount of total protein. Further, in an experiment using subcellular fractions prepared from cerebellar tissue, the strongest reaction was detected in the nuclear fraction. Serum from this patient showed nuclei stained diffusely in IgM class immunohistochemically, and the antibody titer did not change before or after IVIg with clinical improvement. Five of 11 patients with CIDP showed antibody titers above the mean + 2.5SD (cut-off level) of the healthy controls (n = 20). Moreover, 3 of 10 patient with GBS also showed antibody titers above the cut-off level. **Discussion:** When considering antigen localization and chronological correlation with clinical course, it is unlikely that the detected antibody is directly involved in the pathogenesis of peripheral nerve lesions in CIDP. However, its significant increase in some patients with CIDP as well as GBS suggests that it may have an association with their immunological background.

CLOSE OBSERVATION OF CHRONOLOGICAL CHANGES OF ANTI-GQ1b IgG ANTIBODY TITER IN MILLER FISHER SYNDROME AND GUILLAIN-BARRÉ SYNDROME WITH OPHTHALMOPLÉGIA, WITH SPECIAL REFERENCE TO ITS PATHOGENIC ROLE TO OPHTHALMOPLÉGIA

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**Objective:** To investigate the pathogenic role of anti-GQ1b IgG antibody in ophthalmoplegia in MFS and GBS based on the close chronological observation of the antibody titers. **Materials and Methods:** In 8 patients with MFS and GBS with ophthalmoplegia, the first and follow-up serum samples were obtained within 6 and 14 days, respectively, after the appearance of ophthalmoplegia. In 4 of them, serum samples were taken during progressive phase of ophthalmoplegia, and the relationship between chronological change of the antibody titers and clinical symptoms was studied. Anti-GQ1b IgG antibody was measured by ELISA. **Results:** In 7 of the 8 patients in whom the first serum was taken after the appearance of ophthalmoplegia, the highest antibody titer was seen in the first sample, which decreased over time. Further, 3 of the 4 patients who had serum samples taken during progressive phase of ophthalmoplegia showed that the antibody titer was in the decreasing phase while the symptoms were worsening. In the patient whose first sample was taken prior to the appearance of ophthalmoplegia, the antibody titer had already elevated before ophthalmoplegia occurred, and then decreased gradually as ophthalmoplegia appeared and the symptoms progressed. **Discussion:** Our results found that the serum anti-GQ1b IgG antibody is elevated prior to the appearance of ophthalmoplegia and has already entered a decreasing phase while the symptoms continue to worsen. These findings suggest that the antibody is not produced secondarily as a result of tissue damage, but rather in relation to a preceding infection. Further, it may assume a pathogenic role as a trigger during the early phase of the process of ophthalmoplegia in patients with MFS and GBS.