

disease such as Lewy body formation and demise of dopaminergic neurons in the substantia nigra are not generally observed in the post-mortem brains of mood disorder subjects, depression is reported to be a risk factor for developing Parkinson's disease,^{74,75} suggesting a mechanism shared in part by both illnesses. Recent studies have revealed that genes playing roles in the ubiquitin-proteasome pathway cause some familial forms of Parkinson's disease (SNCA, PARK2 (PARKIN), UCHL1).⁷⁶ Several other components that play crucial roles in this pathway have also been reported; for example, Parkin-associated endothelin receptor-like receptor (Pael-R)⁷⁷ and CDCrel-1 (PNUTL1)⁷⁸ suggested for one of the substrates for PARKIN-mediated ubiquitination. Also, a protein called carboxy-terminus of Hsp70p-interacting protein (CHIP) is known to modulate the function of PARKIN.⁷⁹

Also, the hypothesis that one subclass of major affective disorders shares susceptibility genes in common with schizophrenia is particularly promising. Genetic epidemiology has provided evidence for this overlap, primarily in family studies. Gershon *et al* observed an excess of major depression and schizoaffective disorder in the relatives of both mood disorder and schizophrenia probands.^{60,61} The excess of major depression in relatives of both mood disorders and schizophrenia has been a consistent finding.⁶² Studies from three data sets have addressed the issue of psychotic mood disorder/schizophrenia overlap. Two of the data sets found elevated rates of psychotic mood disorder in relatives of schizophrenic probands, and *vice versa*,⁶³⁻⁶⁶ the third also suggested shared liability.⁶⁷ In addition, some twin studies have found evidence of shared heritability between psychotic mood disorder and schizophrenia.^{68,69} Further, linkage studies of bipolar illness and schizophrenia have implicated overlapping chromosomal regions, including 10p12-13, 13q31-33, 18p11.2, and 22q11-13,^{90,91} although not all analyses agree.⁹² There has been considerable progress in identifying genes associated with schizophrenia, particularly in chromosomal regions where evidence of linkage was suggested. Among them, the G72/G30 gene locus on 13q33 has been demonstrated to be associated with both schizophrenia and bipolar disorder.^{3,4,6-8} The notion of shared susceptibility gene is also supported by a very recent association study on DISC1 gene.⁹³ Other schizophrenia genes such as NRG1 and DTNBP might also be worth studying for possible association with mood disorders. In addition, a recent study has demonstrated convergent expression alterations of genes involved in myelination in both schizophrenia and bipolar disorder.⁹⁴ Such genes would be good candidates for susceptibility genes shared by major psychiatric illnesses. Studying these genes implicated in the pathophysiology of schizophrenia may contribute to eventual reconstruction of the current diagnostic nosology, and to identification of new molecular targets with broad therapeutic spectra.

Prioritizing candidate genes by quantitative trait loci (QTL) analysis

Combining microarray gene expression data and gene mapping methods to identify genetic determinants of gene expression (expression phenotypes) has recently been applied in several species, including mouse and human.⁹⁵⁻⁹⁷ This has resulted in the successful identification of QTLs, which control the baseline expression levels of some genes. We have used this approach to identify regulators of the expression of the candidate genes we compiled, in the adult BXD recombinant inbred mice. We decided to use QTL mapping data in mouse instead of human, because the only available human QTL mapping results are from lymphoblast cell lines, and it has been shown in mouse that QTLs in brain and hematopoietic stem cells differ greatly.⁹⁸ Interval mappings were performed at the WebQTL site (see Electronic-Database Information), using UTHSC Brain mRNA U74Av2 (Mar04) RMA Orig database. QTL with an empirical genome-wide *P*-value less than 0.05 was detected for six genes, namely HTR2B, HTR4, GRIN2B, PRKCE, PER3, and BCL2.

We then determined if any of the QTLs is in syntenic regions to human bipolar linkage findings. If a *cis*-acting QTL, for which the QTL is in the target gene itself, overlaps with bipolar linkage, the target gene itself merits testing in association studies as positional candidate for bipolar linkage. If the overlapping QTL is a *trans*-acting QTL, the regulator at the QTL is a new candidate gene for association study. Thus, linkage results to gene expression may point to new candidate genes and underlying regulatory pathways for the bipolar linkage. We found that two QTLs overlap with bipolar linkage regions. A *trans*-linked QTL for two genes, HTR4 and BCL2, is mapped to the same region in mouse genome, and may thus represent a single linkage. This *trans*-linked QTL for the two genes can be divided into four segments, three of which are in syntenic regions to bipolar linkage findings at 2q,⁹² 6q,⁹⁹ and 10q,⁹² respectively. In addition, a *cis*-QTL for the gene PER3 is in syntenic region to bipolar linkage finding at 1p.⁹² This suggests that PER3 is a good candidate for this bipolar linkage. With the identification of more bipolar linkages and the improvement of QTL mapping methods, the list of genes with QTLs overlapping with bipolar linkage will certainly grow.

Requirements for implementation of the systems genetic approach and future directions

The approach being suggested would benefit from the feasibility of much denser genotyping compared to the whole-genome LD mapping. It requires collection of information on functional importance of polymorphic markers, as well as positions, flanking sequences, validation status, and allele frequencies. Since the information is scattered on multiple web-based databases such as those from UCSC Genome

Bioinformatics, dbSNP, HapMap, and SNP Consortium (see Electronic-Database Information), manual mining of information can be tedious and sometimes infeasible. What is needed is a sophisticated informatics system facilitating compilation of pieces of information from different resources into a single platform. We might further assign priority of genotyping to each polymorphism according to its potential functional effect and the degree of LD with other polymorphisms.

Genotype data obtained by the study of multiple genes in a biologic system may provide a set of multiple susceptibility genes either through conventional association analyses or through multilocus association analyses such as the one developed by Hoh *et al.*²¹ Although the latter may provide a list of susceptibility genes, in which some of them are exerting interacting effects, we further need computational modeling, which allows for systems analysis describing specific relationships between genes and clinical features. This would provide a basis for putting genetic results back into biological and clinical context. The systems listed in Table 1 are considered more complex in reality than described above, and it is also possible that interactions between systems rather than within a system increase the risk for major affective disorders. For example, a suggested integral model views multiple systems from a single perspective of neuronal death/survival. Hyperfunction of glutamatergic neurotransmission and HPA axis can lead to neuronal death, whereas adrenergic/serotonergic neurotransmission and neurotrophic factors favor neuronal survival/arborization or neurogenesis, with each system interacting with several others.⁵⁰⁻⁵² The hypothesis-based study described so far is expected to increase the likelihood of obtaining outputs that can be reasonably interpreted through the current biological and epidemiological knowledge of major affective disorders. The systems functioning conclusions from the genetic outputs, although, would not necessarily be completely consistent with the current hypothesis-based systems. The biological meaning of the genetic outputs could be tested by further research designs such as multiple gene manipulations in rodents.

Electronic-Database Information

Databases for biologic pathways

Gene Ontology (GO) Consortium:

<http://www.geneontology.org/>

Kyoto Encyclopedia of Genes and Genomes (KEGG) databases:

<http://www.genome.ad.jp/kegg/pathway.html>

Databases for genomic information and gene expression

UCSC Genome Bioinformatics:

<http://genome.ucsc.edu/>

dbSNP: <http://www.ncbi.nlm.nih.gov/SNP/>

The International HapMap Project:

<http://www.hapmap.org/>

The SNP Consortium: <http://snp.cshl.org/>

Gene Expression Omnibus (GEO):

<http://www.ncbi.nlm.nih.gov/geo/>

WebQTL: <http://www.genenetwork.org/>

Candidate gene projects involving resequencing

The NIEHS SNPs program:

<http://egp.gs.washington.edu/>

The Cardiogenomics program:

<http://www.cardiogenomics.org>

The SeattleSNPs program:

<http://pga.gs.washington.edu/>

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Genetic association analyses of *PHOX2B* and *ASCL1* in neuropsychiatric disorders: evidence for association of *ASCL1* with Parkinson's disease

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Abstract We previously identified frequent deletion/insertion polymorphisms in the 20-alanine homopolymer stretch of *PHOX2B* (*PMX2B*), the gene for a transcription factor that plays important roles in the development of oculomotor nerves and catecholaminergic neurons and regulates the expression of both tyrosine hydroxylase and dopamine β -hydroxylase genes. An association was detected between gene polymorphisms and overall schizophrenia, and more specifically, schizophrenia with ocular misalignment. These prior results implied the existence of other schizophrenia susceptibility genes that interact with *PHOX2B* to increase risk of the combined phenotype. *ASCL1* was considered as a candidate interacting partner of *PHOX2B*, as *ASCL1* is a transcription factor that co-regulates catecholamine-synthesizing enzymes with *PHOX2B*. The genetic contributions of *PHOX2B* and

ASCL1 were examined separately, along with epistatic interactions with broader candidate phenotypes. These phenotypes included not only schizophrenia, but also bipolar affective disorder and Parkinson's disease (PD), each of which involve catecholaminergic function. The current case-control analyses detected nominal associations between polyglutamine length variations in *ASCL1* and PD ($P=0.018$), but supported neither the previously observed weak association between *PHOX2B* and general schizophrenia, nor other gene-disease correlations. Logistic regression analysis revealed the effect of *ASCL1* dominant \times *PHOX2B* additive ($P=0.008$) as an epistatic gene-gene interaction increasing risk of PD. *ASCL1* controls development of the locus coeruleus (LC), and accumulating evidence suggests that the LC confers protective effects against the dopaminergic neurodegeneration inherent in PD. The present genetic data may thus suggest that polyglutamine length polymorphisms in *ASCL1* could influence predispositions to PD through the fine-tuning of LC integrity.

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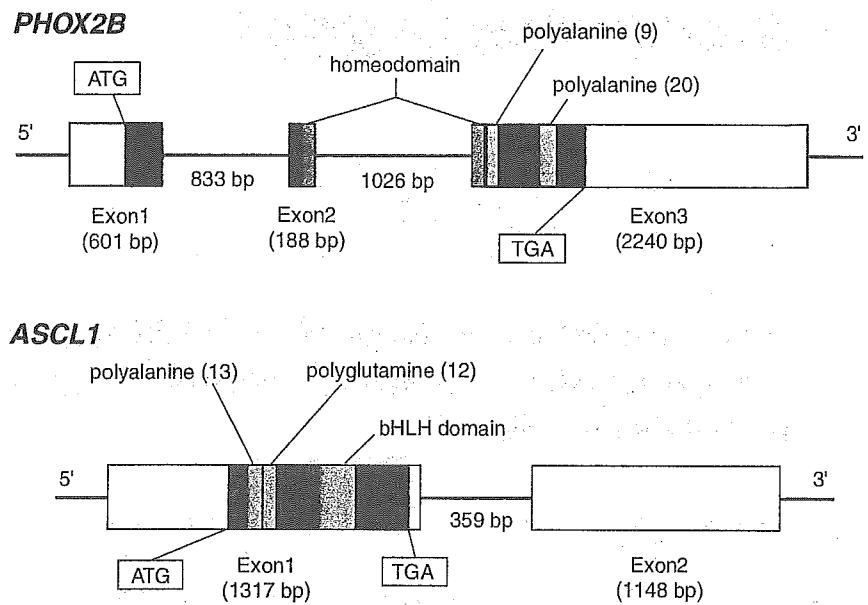
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Introduction

Paired-like homeobox 2b (*PHOX2B*, also known as *PMX2B* or *NBPhox*) is a homeodomain transcription factor, and is known to determine noradrenergic phenotype (Pattyn et al. 2000) and play a role in the development of cranial motor nerves, including the oculomotor (nIII) and trochlear (nIV) nerves (Pattyn et al. 1997) controlling ocular alignment and movement. As a transcription factor, *PHOX2B* regulates the expression of tyrosine hydroxylase (TH) and dopamine β -hydroxylase (DBH) genes. TH catalyzes the conversion of L-tyrosine to L-dihydroxyphenylalanine (L-DOPA), a precursor of dopamine, and DBH catalyzes the conversion of dopamine to noradrenaline. The protein structure of *PHOX2B* is characterized by two

Fig. 1 Schematic representation of the *PHOX2B* (NM_003924) (above) and *ASCL1* (NM_004316) genes (below). Exons are boxed, and initiation and stop codons and protein domains are indicated



homopolymeric stretches of alanine residues: one consisting of nine alanines located downstream of the homeodomain; the other comprising 20 alanines (Ala20) on the C-terminal side (Fig. 1). Our prior genomic screening of *PHOX2B* identified frequent length variations in the Ala20 stretch in the general population, representing an unusual phenomenon compared with other polyalanine-containing transcription factors (Toyota et al. 2004). Variations included -3Ala , -5Ala , -7Ala , -13Ala and $+2\text{Ala}$. These alterations in alanine length resulted in decreased transcriptional ability of the protein and represented the only functional polymorphisms found in the gene. In accordance with the known function of *PHOX2B* and the functional consequences of these variations, associations between the polymorphisms and general schizophrenia were detected, particularly for schizophrenia manifesting with strabismus (ocular misalignment) (Toyota et al. 2004). That study also raised a possibility of interactions between *PHOX2B* and other schizophrenia-precipitating factors (genes) for increased risk of the combined phenotype (Toyota et al. 2004).

Human achaete-scute homologue 1 (HASH1; *ASCL1* in HUGO nomenclature), a human orthologue of mouse *Mash1*, is a basic helix-loop-helix (bHLH) transcription factor that is known to co-regulate differentiation of the autonomic system along with *PHOX2B* (Pattyn et al. 2000). Cross-regulation by the *Phox2* and *Mash1* genes, and the importance of the HASH1-PHOX pathway in the development of neurons in the noradrenergic lineage have been demonstrated in both mice (Pattyn et al. 1999, 2000), and a human disease mechanism (De Pontual et al. 2003). We therefore speculated that *PHOX2B* and *ASCL1* may affect predispositions to broad catecholamine-related diseases both separately and in combina-

tion. The present study examined genetic associations between *PHOX2B* and *ASCL1* and schizophrenia, bipolar disorder and Parkinson's disease (PD).

Materials and methods

Study subjects

Subjects included 715 schizophrenic patients (394 men, mean age 48.3 ± 12.3 years; 321 women, mean age 50.7 ± 13.3 years), 249 bipolar disorder patients (118 men, mean age 52.6 ± 13.2 years; 131 women, mean age 55.8 ± 12.9 years), 100 PD patients (32 men, mean age 67.3 ± 7.8 years; 68 women, mean age 67.8 ± 7.0 years) and 801 healthy controls (369 men, mean age 40.9 ± 11.4 years; 432 women, mean age 41.3 ± 13.7 years). Compared with the prior study (Toyota et al. 2004), the number of schizophrenia patients was increased by 369 and the number of controls was increased by 260, but these newly added subjects were not screened for strabismus. All subjects were recruited from a geographic area located in central Japan. Diagnosis of schizophrenia and bipolar disorder was based on the *Diagnostic and statistical manual of mental disorders* (American Psychiatric Association 1994). PD was diagnosed according to the standardized criteria. All PD patients underwent brain computed tomography examination to exclude organic abnormalities. Control subjects were recruited from hospital staff and company employees who were documented as free of psychoses or any kind of neurodegenerative disorder. None of the current subjects displayed mental retardation or congenital central hypoventilation syndrome (De Pontual et al. 2003). This study was approved by the Ethics Commit-

tees of RIKEN, Hamamatsu University and Juntendo University, and all subjects provided written informed consent to participate.

Mutation screening of *ASCL1*

ASCL1 is located on human chromosome 12q22-q23 (Renault et al. 1995) and comprises two exons, with the first exon including both the initiation and stop codons (Fig. 1). The protein-coding region contains a polyalanine stretch comprising 13 alanines, and a polyglutamine tract of 12 glutamine residues (Gln12), in addition to the bHLH. The two exons and their flanking genomic stretches were screened using polymerase chain reaction (PCR) amplification and subsequent direct sequencing of genomic DNA from 24 randomly chosen patients. Sequencing was performed using a DYEnamic ET terminator cycle sequencing kit (Amersham, Piscataway, N.J., USA). Information on primer sequences and PCR conditions employed in this study is available on request. Screening detected the insertion of three CAG repeats (coding glutamine) into the polyglutamine stretch. This was the only non-synonymous polymorphism identified, and we therefore focused on this Gln12 length polymorphism in subsequent analyses.

Genotyping

Genotyping of Ala20 length variations in the *PHOX2B* was performed according to the methods described elsewhere (Toyota et al. 2004). To genotype Gln12 polyglutamine length variations in *ASCL1*, template DNA was amplified using fluorescently labeled forward (5'-AGCTCTGCCAAGATGGAGAG; 3' end at nt c.26) and reverse (5'-gtttcttTTGCTTGGGCGC-TGACTTGT; 3' end at nt c.236) primers. The underlined tail sequence was added because Taq DNA polymerase catalyzes the non-templated addition of adenosine to the 3' end of PCR products to varying degrees. This phenomenon is primer-specific and represents a potential source of genotyping error. Placing the gtttctt sequence at the 5' end of reverse primers produces nearly 100% adenylation of the 3' end of the forward strand, facilitating accurate genotyping (Brownstein et al. 1996; Ito-kawa et al. 2003). PCR products were run on an ABI 3700 genetic analyzer (Applied Biosystems, Foster City, Calif., USA), and the resulting data were analyzed using GeneScan software (Applied Biosystems). Genotypes were confirmed by subcloning the amplicons into a TA vector (Invitrogen, Carlsbad, Calif., USA) and sequencing. Primers were designed to produce a 249-bp DNA fragment for the wild-type allele (Gln12), but GeneScan analysis yielded a band approximately 14 bp shorter than expected (Fig. 2a), with occasional inconsistent genotype results compared with those obtained by subcloning, which could not be resolved by applying

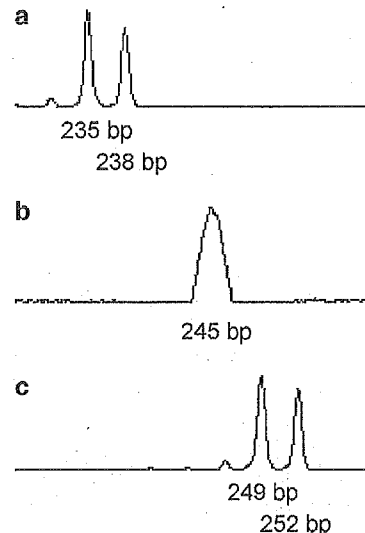


Fig. 2a-c GeneScan migration patterns of *ASCL1* Gln12 length polymorphisms. DNA fragments with Gln12 or Gln13 genotypes were run after PCR under varying concentrations of c^7 dGTP. Exact sizes of the Gln12 and Gln13 alleles were 249 and 252 bp, respectively. **a** The c^7 dGTP was not added to the PCR mixture. Note that displayed allele sizes were 14 bp shorter than actual sizes. **b** Addition of c^7 dGTP to 25% resulted in fusion of the two peaks. **c** When all dGTP in the PCR reaction mixture was replaced with c^7 dGTP, peaks appeared at expected sizes with good separation of the two adjacent alleles

the constant 14-bp difference to GeneScan results. This phenomenon was attributed to the secondary DNA structure generated by abundant GCs in the PCR products (Toyota et al. 2004). When 7-deaza-2'-deoxyguanosine triphosphate (c^7 dGTP) was added to the PCR reaction mixture (c^7 dGTP:dGTP = 1:3) to breakdown hydrogen bonds in the GC-rich templates, GeneScan peaks were broadened and two adjacent peaks merged (Fig. 2b). We replaced all dGTP in the PCR reaction mixture with c^7 dGTP, and obtained sharp and correctly sized bands, enabling accurate genotyping (Fig. 2c).

Statistical analysis

Associations of either *PHOX2B* or *ASCL1* polymorphisms with each neuropsychiatric disorder were evaluated using the Monte-Carlo method implemented in the CLUMP program (T1-T4 modes; number of simulations set to 10,000; random number seed, 100) (Sham and Curtis 1995) or Fisher's exact test when appropriate. Rare alleles or genotypes showing frequencies of <1% in both comparison groups were removed from the analysis. Hardy-Weinberg equilibrium was evaluated using Arlequin software (<http://lgb.unige.ch/arlequin/>) (Schneider and Excofier 2000). Logistic regression analysis in the SPSS Regression Models software (SPSS Japan, Tokyo, Japan) was performed to test the joint

effects of the two genes. Letting P represent the probability of an individual being a case rather than a control, we modeled P as

$$\log \text{it}(P) = \beta_0 + \sum_{i=1}^4 \beta_i x_i + \sum_{i=1}^2 \sum_{j=3}^4 \beta_{ij} x_i x_j$$

where x_1 , x_2 , x_3 and x_4 represent covariants depending on the genotypes of the individual, β_0 is the intercept, and β_i and β_{ij} are coefficients to be estimated. When applied to the formula, genotypes were dichotomized into two groups: wild-type (w); and mutant (m). Following the approach of Cordell and Clayton (2002) for the possible genotypes of w/w , m/w and m/m , we coded -1 , 0 and 1 , respectively, to represent the additive effects of allele m and -0.5 , 0.5 , -0.5 , respectively, to represent the dominant effect of allele m over allele w .

Results

Table 1 shows the results of association analyses between *PHOX2B* Ala20 length polymorphisms and the three disease categories. We detected six different genotypes, and distributions of genotypes in each group were all in Hardy–Weinberg equilibrium. None of the modes T1–T4 on CLUMP analysis displayed significant associations for any disease groups. The number of different alleles observed in this study was the same as in our previous study (Toyota et al. 2004), although much larger cohorts were examined here. Again, no allelic associations were detected for any of the three neuropsychiatric disorders.

Tables 2 and 3 show the results of genotypic and allelic analyses of *ASCL1* Gln12 stretch polymorphisms, respectively. Analysis of the 1,866 subjects yielded 13 different length variations in the Gln12 homopolymer repeat region of *ASCL1*. These polymorphisms were not genotypically associated with schizophrenia or bipolar disorder, but displayed associations with PD ($P < 0.05$ in T2, T3 and T4) (Table 2). Allelic analysis demonstrated that the allele containing 12 glutamine repeats, the most common of these alleles, was more frequent in PD than in the control group (2×2 Fisher's exact test, two-sided, $P = 0.015$; odds ratio = 1.68, 95% CI = 1.10–2.54), while the allele containing 15 glutamine repeats, as the second most common allele, exhibited an opposite distribution pattern ($P = 0.011$; odds ratio = 0.57, 95% CI = 0.36–0.89) (Table 3). These results suggest that the *ASCL1* allele harboring 15 glutamine repeats may play a protective role against PD manifestation.

Logistic regression analysis was then performed to test the joint effect of the two genes on PD. The Ala20 allele of *PHOX2B* and the Gln12 allele of *ASCL1* were classified as w , with the remaining alleles as m . As a result, only the effect of *ASCL1* dominant \times *PHOX2B* additive was found to be significant ($P = 0.008$), among the effects of all possible interaction modes (Table 4).

Discussion

PHOX2B/ASCL1 and psychiatric disorders

We have previously reported genotypic associations between Ala20 polymorphisms in *PHOX2B* and overall

Table 1 Genotypic and allelic distributions of the *PHOX2B* Ala20 repeat polymorphism

	Schizophrenia ($n = 715$)	Bipolar disorder ($n = 249$)	Parkinson's disease ($n = 100$)	Controls ($n = 802$)
Genotype ^a	Genotype counts (% frequency)			
15/15	0 (0)	0 (0)	1 (1.0)	3 (0.4)
20/7	1 (0.2)	0 (0)	0 (0)	0 (0)
20/13	6 (0.9)	2 (1.2)	0 (0)	7 (0.9)
20/15	57 (8.8)	14 (8.4)	9 (9.3)	59 (7.4)
20/20	579 (89.8)	151 (90.4)	87 (89.7)	727 (91.2)
20/22	2 (0.3)	0 (0)	0 (0)	1 (0.1)
$P^{b,c}$				
T1	0.35	0.81	0.50	
T2	0.50	0.76	0.71	
T3	0.54	0.83	0.60	
T4	0.46	0.89	0.79	
Allele ^a	Allele counts (% frequency)			
7	1 (0.1)	0 (0)	0 (0)	0 (0)
13	6 (0.5)	2 (0.6)	0 (0)	7 (0.4)
15	57 (4.4)	14 (4.2)	11 (5.7)	65 (4.1)
20	1224 (94.9)	318 (95.2)	183 (94.3)	1521 (95.4)
22	2 (0.2)	0 (0)	0 (0)	1 (0.1)
$P^{b,d}$	0.64	0.88	0.34	

^aNumber of alanine repeats

^bMinor genotypes and alleles with frequencies (<1% in both comparison groups were omitted from analyses

^cCalculated using the Monte Carlo method

^dCalculated using Fisher's exact test

Table 2 Genotypic distribution of the *ASCL1* Gln12 repeat polymorphism

	Schizophrenia (<i>n</i> =715)	Bipolar disorder (<i>n</i> =249)	Parkinson's disease (<i>n</i> =100)	Controls (<i>n</i> =802)
Genotype ^a	Genotype counts (% frequency)			
6/12	1 (0.1)	0 (0)	0 (0)	0 (0)
6/15	1 (0.1)	0 (0)	0 (0)	0 (0)
7/12	0 (0)	0 (0)	0 (0)	1 (0.1)
8/12	0 (0)	0 (0)	0 (0)	1 (0.1)
9/12	1 (0.1)	0 (0)	0 (0)	2 (0.3)
9/15	1 (0.1)	0 (0)	0 (0)	0 (0)
11/12	1 (0.1)	0 (0)	0 (0)	1 (0.1)
12/12	429 (61.5)	144 (60.0)	74 (75.5)	481 (61.0)
12/13	21 (3.0)	8 (3.3)	3 (3.1)	21 (2.7)
12/14	2 (0.3)	0 (0)	1 (1.0)	1 (0.1)
12/15	186 (26.6)	66 (27.5)	16 (16.3)	232 (29.4)
12/16	6 (0.9)	4 (1.7)	0 (0)	8 (1.0)
12/17	2 (0.3)	0 (0)	0 (0)	1 (0.1)
12/18	0 (0)	0 (0)	0 (0)	1 (0.1)
12/19	1 (0.1)	0 (0)	0 (0)	1 (0.1)
13/13	1 (0.1)	0 (0)	0 (0)	0 (0)
13/15	9 (1.3)	3 (1.3)	1 (1.0)	3 (0.4)
14/15	1 (0.1)	0 (0)	0 (0)	0 (0)
15/15	34 (4.9)	14 (5.8)	3 (3.1)	31 (3.9)
15/16	1 (0.1)	0 (0)	0 (0)	3 (0.4)
15/17	0 (0)	1 (0.4)	0 (0)	0 (0)
<i>P</i> ^{b,c}				
T1	0.41	0.50	0.052	
T2	0.28	0.33	0.016	
T3	0.25	0.61	0.010	
T4	0.33	0.39	0.046	

^a Number of glutamine repeats^b Minor genotypes and alleles with frequencies <1% in both comparison groups were omitted from analyses^c Calculated using the Monte Carlo method

schizophrenia ($P=0.012$), with a more prominent association for schizophrenia with strabismus ($P=0.004$) (Toyota et al. 2004). However, the present study did not detect this association in a larger case-control panel with a 2.2-fold increase in the schizophrenia population and a

1.6-fold increase in control samples. This discrepancy may be partly due to the fact that prior control samples had undergone ocular examinations, and only those subjects who did not suffer from strabismus were chosen, while the present study used control samples with-

Table 3 Allelic distribution of the *ASCL1* Gln12 repeat polymorphism

	Schizophrenia (<i>n</i> =715)	Bipolar disorder (<i>n</i> =249)	Parkinson's disease (<i>n</i> =100)	Controls (<i>n</i> =802)
Allele ^a	Allele counts (% frequency)			
6	2 (0.1)	0 (0)	0 (0)	0 (0)
7	0 (0)	0 (0)	0 (0)	1 (0.1)
8	0 (0)	0 (0)	0 (0)	1 (0.1)
9	2 (0.1)	0 (0)	0 (0)	2 (0.1)
11	1 (0.1)	0 (0)	0 (0)	1 (0.1)
12	1079 (77.3)	366 (76.3)	168 (85.7)	1232 (78.2)
13	32 (2.3)	11 (2.3)	4 (2.0)	24 (1.5)
14	3 (0.2)	0 (0)	1 (0.5)	1 (0.1)
15	267 (19.1)	98 (20.4)	23 (11.7)	300 (19.0)
16	7 (0.5)	4 (0.8)	0 (0)	11 (0.7)
17	2 (0.1)	1 (0.2)	0 (0)	1 (0.1)
18	0 (0)	0 (0)	0 (0)	1 (0.1)
19	1 (0.1)	0 (0)	0 (0)	1 (0.1)
<i>P</i> ^{b,c}				
T1	0.30	0.40	0.036	
T2	0.29	0.40	0.022	
T3	0.27	0.51	0.018	
T4	0.27	0.51	0.026	

^a Number of glutamine repeats^b Minor genotypes and alleles with frequencies <1% in both comparison groups were omitted from analyses^c Calculated using the Monte Carlo method

Table 4 Logistic regression analysis of effects of *PHOX2B* and *ASCL1* genes on Parkinson's disease

Variable	β^a	SE ^b	Wald ^c	df ^d	<i>P</i>	Exp (β) ^e	95% CI ^f
<i>ASCL1</i> dominant by <i>PHOX2B</i> additive	0.71	±0.27	7.0	1	0.008	2.0	1.2–3.4

^aLogistic regression coefficient in the model^bStandard error of the coefficient^cWald statistic to test significance of the coefficient^dDegrees of freedom for the Wald chi-square test^eExponentiation of the β coefficient (odds ratio)^f95% confidence interval of exponentiation (β)

out determining the presence of ocular misalignment. The newly added schizophrenic samples in this study were also not screened for ocular misalignment. While the genetic contributions of *PHOX2B* Ala20 variations to general schizophrenia are more likely to be very weak or even negligible, even by considering genetic interactions with *ASCL1* (data not shown), these contributions may be evident only in a subset of schizophrenia (i.e., schizophrenia with strabismus). As might be expected according to this hypothesis, no association was apparent between *PHOX2B* and schizophrenia without strabismus ($P=0.076$) in our previous study (Toyota et al. 2004). We also tested here *ASCL1* as a singleton or *PHOX2B-ASCL1* epigenetic interaction (data not shown) for altered risk of another major psychosis, bipolar disorder, but no significant signals were detected. As a whole, the current results do not support these genetic mechanisms in the manifestation of functional psychoses.

PHOX2B/ASCL1 and Parkinson's disease

PD is a common neurodegenerative disorder, characterized clinically by resting tremor, rigidity and bradykinesia. Neuropathological studies have revealed degeneration of the dopamine-producing substantia nigra and various other regions, including the basal ganglia, brainstem, autonomic nervous system and cerebral cortex (Dekker et al. 2003). Clinically defined PD represents an etiologically heterogeneous group of conditions encompassing a small population of individuals with Mendelian-type inheritance and a larger population of apparently sporadic cases (Hattori et al. 2003). Accumulating evidence has suggested that genetic predispositions exist even for sporadic PD (Marder et al. 1996). Dopamine deficiency is a primary pathomechanism in PD, and genes involved in dopamine neurotransmission, such as those for dopamine transporter, dopamine receptors, tyrosine hydroxylase, catechol-O-methyltransferase and monoamine oxidase, have been examined in population-based association studies over the past decade. However, few of these genes have been definitively established as conferring susceptibility to sporadic PD (reviewed in Warner and Schapira 2003).

Perturbation of *PHOX2B* and *ASCL1* function has the potential to disturb catecholaminergic neurons, as these genes control the expression of the *TH* and *DBH* genes, which encode enzymes for the biosynthesis of

dopamine (TH) and noradrenalin (TH and DBH) biosynthesis. Ludecke et al. (1996) reported a female infant who manifested L-dopa responsive Parkinsonism and carried a Leu²⁰⁵Pro mutation in exon 5 of the *TH* gene, reducing the catalytic ability of TH. The current study identified a positive association between PD and *ASCL1* polymorphisms. However, whether these *ASCL1* variants result in a predisposition to PD through direct effects on dopamine neurons remains unclear, as *ASCL1* expression in the human substantia nigra has not yet been confirmed. In contrast, expression of *ASCL1* in developing noradrenergic neurons in the human brainstem (locus coeruleus: LC) has been reported (De Pontual et al. 2003). The LC is known to play an important role in the pathophysiology of PD (reviewed in Gesi et al. 2000). Zarow et al. (2003) found more severe neuronal loss in the LC than in the substantia nigra in a postmortem examination of brains from PD patients. Mavridis et al. (1991) demonstrated that monkeys with LC lesions displayed impaired recovery from Parkinsonism induced using 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP). Other studies have also shown that animals with LC lesions exhibit marked dopamine loss on administration of MPTP or methamphetamine (Bing et al. 1994; Fornai et al. 1997). These data suggest a protective role of the LC against the development of PD. Indeed, Srinivasan and Schmidt (2004) reported that the enhancement of noradrenergic transmission in the LC by β_2 -adrenoceptor antagonists exerts a prophylactic effect against 6-hydroxydopamine-induced Parkinsonism. The present finding that the *ASCL1* allele containing 15 glutamines is less represented in PD than in controls might suggest that the 15-repeat allele could confer protective benefits compared to the most common 12-repeat allele, perhaps allowing the development of a well-functionalized LC that in turn helps to protect the substantia nigra from various insults.

Because of the presumed multigenic nature of complex traits, it would be desirable to analyze several polymorphisms jointly and investigate their effects and possible interactions on disease outcome (Ott 2001). One of the statistical methods that can be used to resolve this problem is logistic regression analysis. When applied to the current data, this analysis indicated that the dominant effect of *ASCL1* with the additive effect of *PHOX2B* was positive. The biological consequences resulting from the interaction between *ASCL1* and *PHOX2B* might thus offer useful insights into the pathogenesis of PD. Further studies elucidating the detailed mechanisms of this interaction are thus warranted.

Polyglutamine length variations in *ASCL1*

Polyglutamine expansion has been found in various neurodegenerative disorders, including Huntington's disease, spinocerebellar ataxia types 1, 2, 3 and 7, dentatorubral-pallidum-luysian atrophy and spinobulbar muscular atrophy (Lipinski and Yuan 2004). The aggregation or accumulation of proteins with expanded polyglutamine sequences is considered to represent a critical contribution to neurodegeneration in these diseases. Generally these aggregate-forming proteins display more than 30 glutamine repeats, while *ASCL1* displays repeats of less than 20 glutamines. None of the Gln12 length variations for *ASCL1* detected in this study are thus likely to exert deteriorative effects on neurons. However, the functional consequences evoked by variations of the polyglutamine stretch in *ASCL1* are yet to be examined.

In summary, we performed an association study for *PHOX2B* and *ASCL1*, genes that are functionally closely related and display imperative roles in the development of neurons in the noradrenergic (dopaminergic) lineage, in three major neuropsychiatric diseases. Significant contributions of *ASCL1* and *ASCL1-PHOX2B* interactions to PD were detected. These results require genetic replication studies in different populations and further biological investigations to clarify the precise mechanisms and effects.

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ORIGINAL RESEARCH ARTICLE

Gene expression and association analyses of *LIM* (*PDLIM5*) in bipolar disorder and schizophrenia

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We previously reported that expression level of *LIM* (*ENH*, *PDLIM5*) was significantly and commonly increased in the brains of patients with bipolar disorder, schizophrenia, and major depression. Expression of *LIM* was decreased in the lymphoblastoid cells derived from patients with bipolar disorders and schizophrenia. *LIM* protein reportedly plays an important role in linking protein kinase C with calcium channel. These findings suggested the role of *LIM* in the pathophysiology of bipolar disorder and schizophrenia. To further investigate the role of *LIM* in these mental disorders, we performed a replication study of gene expression analysis and performed genetic association studies. Upregulation of *LIM* was confirmed in the independent sample set obtained from Stanley Array Collection. No effect of sample pH or medication was observed. Genetic association study revealed the association of single nucleotide polymorphism (SNP)1 (rs10008257) with bipolar disorder. In an independent sample set, SNP2 (rs2433320) close to SNP1 was associated with bipolar disorder. In total samples, haplotype of these two SNPs was associated with bipolar disorder. No association was observed in case-control analysis and family-based association analysis in schizophrenia. These results suggest that SNPs in the upstream region of *LIM* may confer the genetic risk for bipolar disorder.

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The role of genetic factors in bipolar disorder has been well established from twin, adoption, and family studies.¹ Extensive linkage analyses suggested many candidate loci.² In such loci, genes having functions related to bipolar disorder were examined as candidate genes, and several promising results have been reported. Among them, association with *G72* at 13q34 has been replicated in several studies.^{3–5}

The other strategy to identify candidate genes is gene expression analysis. Mirnics *et al*⁶ performed gene expression analysis using cDNA microarray and reported that *RGS4* was downregulated in the post-mortem brains of patients with schizophrenia. They further examined the association of *RGS4* with schizophrenia and found a positive association.⁷ Several studies confirmed this finding.^{8–10} A similar approach to identify candidate genes may also be effective for bipolar disorder.

We have performed comprehensive gene expression analysis of the frontal lobes obtained from Stanley Foundation Brain Bank using oligonucleotide microarray.¹¹ By analyzing 50 brains, we found that two genes, *LIM* and *PRPF4B*, were commonly altered in three mental disorders, bipolar disorder, schizophrenia, and major depression. Of the two genes, upregulation of *LIM* in the postmortem brain was confirmed by RT-PCR. Subsequently, we also found that *LIM* was significantly downregulated in the lymphoblastoid cell lines from patients with bipolar disorder. Since we cultured lymphoblastoid cells for more than 1 month after blood collection, effects of drugs and secondary effects of other confounding factors, such as endocrinological abnormalities, can be ruled out in this analysis.

Next, we performed a replication study of *LIM* expression in lymphoblastoid cells.¹² Reduced expression was confirmed in the extended samples with bipolar I disorder (*N*=26). We also found that *LIM* was significantly downregulated in bipolar II disorder (*N*=10) and schizophrenia (*N*=13). Thus, we speculated that regulation of *LIM* might be genetically impaired in bipolar disorder and other mental disorders.

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LIM encodes an adapter protein connecting protein kinase C (PKC) ϵ and N-type calcium channel.¹³ Altered PKC activity in peripheral blood cells of bipolar patients is reported.¹⁴ Furthermore, altered calcium signaling has been postulated as an important pathophysiological mechanism of this disorder.¹⁵ Thus, it is reasonable to hypothesize that genetic variation of LIM causes genetically determined dysregulation of LIM, which causes calcium-signaling abnormalities in bipolar disorder.

LIM is located at 4q22,¹⁶ for which some linkage signal has been detected in bipolar disorder¹⁷ and schizophrenia.¹⁸ Only a few studies revealed the loci in 4q for bipolar disorder^{19–21} or schizophrenia.^{22–24} Although the support by linkage studies is marginal, above-mentioned findings by gene expression analyses seemed strong enough to start genetic association analysis of this gene in bipolar disorder.

Here, we performed a replication study of altered expression levels of LIM in a larger number of samples of postmortem prefrontal cortex of bipolar disorder and schizophrenia obtained from the Stanley Array Collection, and analyzed possible confounding factors. We further performed association study of LIM in bipolar disorder and schizophrenia. While LIM was not associated with schizophrenia, it was associated with bipolar disorder, which was replicated in a different sample set. These results suggest that polymorphisms of LIM may confer a genetic risk for bipolar disorder.

Subjects and methods

RNA samples

RNA samples extracted from the prefrontal cortices (Brodmann's Area 46) were donated by the Stanley Array Collection. They contain total RNA samples from 35 individuals in each of three diagnostic groups (BD, SZ, and controls). Diagnoses was made according to the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (American Psychiatric Association). Detailed information about the diagnosis, and summary of demographic variables of each diagnostic group can be found at the website (http://www.stanleyresearch.org/programs/brain_collection.asp).

Real-time quantitative RT-PCR

In all, 3–5 μ g of total RNA was used for cDNA synthesis by oligo(dT) and SuperScript II reverse transcriptase (Invitrogen). RT-PCR using SYBER/GREEN I (Applied Biosystems, Foster city, CA, USA) was performed with an ABI PRISM 7900HT (Applied Biosystems). The comparative C_t method was used for quantification according to the manufacturer's protocol (Applied Biosystems). Measurement of delta C_t was carried out at least in triplicate. Amplification of the single product was confirmed by monitoring the dissociation curve and by gel electrophoresis. We used two control genes (*GAPDH* and *CFL1*) for normalization to control for possible

fluctuations in quantitative values of the target transcripts. The validity of the use of *CFL1* as an internal control gene in postmortem brain samples was shown previously. Primer pairs used in this study were according to the previous report.¹¹ Among the 105 samples, four samples showing poor RNA qualities were not analyzed.

Subjects for genetic analyses: bipolar disorder

The first sample set was collected in the Shiga University of Medical Science Hospital, University of Tokyo Hospital, and Laboratory for Molecular Dynamics of Mental Disorders (called 'MDMD' samples). These include 128 patients with bipolar disorder (47.8 \pm 13.6 years old, 50 males and 78 females) and 130 controls (48.8 \pm 15.3 years old, 65 males and 65 females). They were diagnosed with the consensus of two senior psychiatrists without using any structured interviews, or were diagnosed by a senior psychiatrist after an interview using SCID-IV (Structured Clinical Interview for DSM-IV). Controls were selected from students, nurses, office workers, and doctors in participating institutes, and their friends. A senior psychiatrist interviewed them and they did not have major mental disorders. Only a part of them were interviewed using a structured interview, Mini-International Neuropsychiatric Interview (M.I.N.I.).²⁵

The replication sample set was collected in the Tokyo Medical and Dental University, Hamamatsu University School of Medicine, and Lab. for Molecular Psychiatry ('MPS' samples). These include 240 patients with bipolar disorder (51.2 \pm 13.1 years old, 132 males and 108 females) and 240 controls (51.4 \pm 10.7 years old, 120 males and 120 females).

For the quantification of copy number of LIM gene, 28 patients with bipolar disorder were selected from 'MDMD' samples.

Subjects for genetic analyses: schizophrenia

Subjects for the case-control analysis consist of 570 patients with schizophrenia (48.6 \pm 12.0 years old, 285 males and 285 females) and an equal number of control subjects (48.4 \pm 11.8 years old, 285 males and 285 females) collected by the Laboratory for Molecular Psychiatry. Control subjects were recruited from hospital staff and their acquaintances. They were interviewed by an experienced psychiatrist without using structured interviews and found not to have psychoses. Most of the controls in the MPS samples are included in this control group. All were Japanese. Diagnosis of the patients by DSM-IV criteria was made by consensus of two psychiatrists based on unstructured interviews of the patients, chart reviews, and information from family members and hospital staff.

We presumed that all these subjects were unrelated to each other, but it cannot be totally ruled out that some of the patients were related, because the ethics policy of the Japanese Government requires stringent anonymity.

The subjects for TDT analysis consisted of 124 families: 80 trios (schizophrenic offspring and their parents), 15 probands with one parent, and 13 probands with affected siblings, and 30 probands with discordant siblings.²⁶ They were diagnosed according to DSM-IV criteria by at least two experienced psychiatrists, on the basis of direct interviews, available medical records, and information from hospital staff and relatives.

The ethics committee of RIKEN and participating institutes approved the present study, and written informed consent was obtained from all participants.

Genotyping

Genotyping was performed using commercially available TaqMan probes and ABI7900HT according to the protocol recommended by the manufacturer.

Quantitative genomic PCR (gQ-PCR)

The copy number of *LIM* gene was analyzed by the real-time PCR method using SYBR/GREEN dye (Applied Biosystems). *MLC1* was used as a single copy control gene. For the gQ-PCR, DNA solution was once quantified by the ultraviolet spectrophotometer, and again quantified by TaqMan assay using *RnaseP* (Applied Biosystems). For the quality control, a gene on the X chromosome (*PF2K*) was also examined

using SYBR/GREEN dye, and separation between males and females was confirmed. The DNA samples with intermediate copy number of X chromosome gene were regarded as having poor quality and were not used for further analysis. Sequences of primers and probes for these analyses except for *RNaseP* will be provided upon request.

Data analysis

The Mann-Whitney U test was used for comparison of expression level of *LIM* between control and bipolar disorder or schizophrenia.

Family-based association analysis was performed by pedigree disequilibrium test (PDT) program, v3.12.²⁷ Extended transmission disequilibrium test (ETDT) algorithm, v2.2,²⁸ was also performed in 80 complete trios. Detailed methods for data analysis were described elsewhere.²⁶ For the haplotype-based TDT analysis, the TRANSMIT program, v2.5.4,^{29,30} was used.

Linkage disequilibrium (LD) patterns were assessed in Japanese controls by the standardized disequilibrium coefficient (*D'*) and the squared correlation

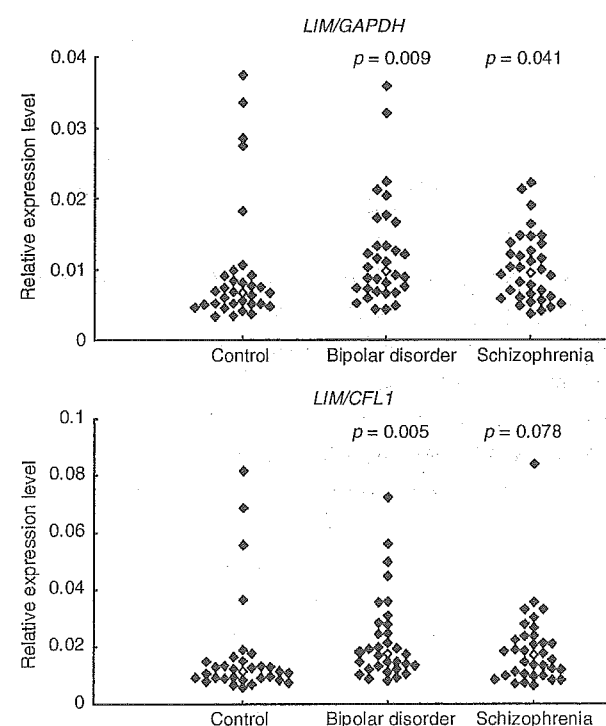


Figure 1 Increased expression levels of *LIM* (*PDLIM5*) in the postmortem brain samples of bipolar disorder and schizophrenia. Each closed diamond represents each subject. Open diamonds indicate the average of each group. In (b), a control subject with extremely high value of *LIM/CFN1* (0.23) is not shown.

Table 1 Effects of medication and suicide status on the expression levels of *LIM*

	Drug	N	Mean	SD	P-value
<i>Valproate</i>					
<i>LIM/GAPDH</i>	-	85	0.0103	0.0075	0.206
	+	16	0.0128	0.0057	
<i>LIM/CFN1</i>	-	85	0.0217	0.0287	0.896
	+	16	0.0227	0.0103	
<i>Antidepressants</i>					
<i>LIM/GAPDH</i>	-	74	0.0107	0.0079	0.855
	+	27	0.0104	0.0049	
<i>LIM/CFN1</i>	-	74	0.0227	0.0305	0.578
	+	27	0.0194	0.0103	
<i>Lithium</i>					
<i>LIM/GAPDH</i>	-	90	0.0105	0.0075	0.644
	+	11	0.0116	0.0042	
<i>LIM/CFN1</i>	-	90	0.0220	0.0281	0.861
	+	11	0.0205	0.0083	
<i>Antipsychotics</i>					
<i>LIM/GAPDH</i>	-	51	0.0094	0.0073	0.071
	+	50	0.0120	0.0069	
<i>LIM/CFN1</i>	-	51	0.0210	0.0340	0.737
	+	50	0.0228	0.0162	
<i>Suicide</i>					
<i>LIM/GAPDH</i>	-	80	0.0108	0.0076	0.684
	+	21	0.0101	0.0056	
<i>LIM/CFN1</i>	-	80	0.0226	0.0293	0.592
	+	21	0.0191	0.0121	

coefficient (r^2) calculated by the COCAPHASE program.³¹

Assessment of sample stratification

For population homogeneity assessment, a total of 20 single nucleotide polymorphisms (SNPs) were genotyped for all participants in this study, except for recently recruited 'sample Set C ($N=196$ each for schizophrenia and controls)'. *STRUCTURE* software³² (<http://pritch.bsd.uchicago.edu/software.html>) was used to identify genetically similar diploid subpopulations by grouping individuals. In the application of this Markov chain Monte Carlo method, 1 000 000 replications were used for the burn-in period of the chain and for parameter estimation. The number of populations present in the sample (K) was unknown, so analysis was run at $K=1, 2, 3, 4,$ and 5 . From these

results, best estimate of K was found by calculating posterior probabilities, $\Pr(K=1, 2, 3, 4, \text{ or } 5)$, as described by Pritchard *et al.*³² No evidence for stratification was identified in our samples, with a $\Pr(K=1) > 0.99$.

Results

Gene expression analysis

Patients with bipolar disorder ($P < 0.01$) and schizophrenia ($P < 0.05$) showed significantly higher expression levels of *LIM* normalized by *GAPDH* in the postmortem cortex (Figure 1). This difference was also confirmed using the normalization by *CFN1* (bipolar disorder, $P < 0.01$, schizophrenia, $P = 0.07$, respectively). There is a critical pH threshold in these

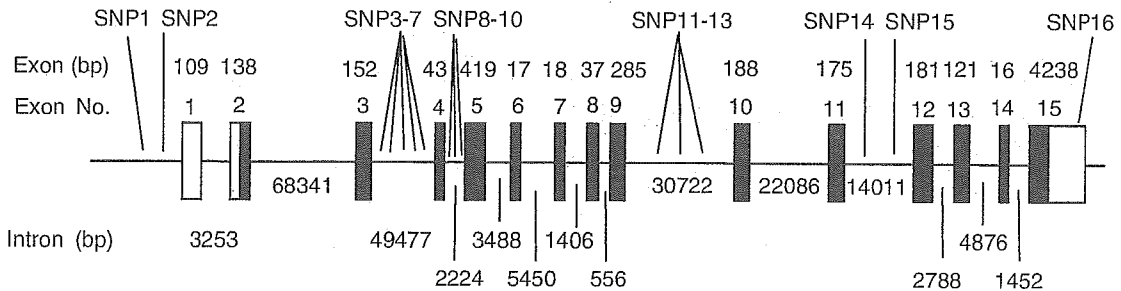


Figure 2 Genomic structure and the location of single nucleotide polymorphisms of *LIM* (*PDLIM5*) gene.

Table 2 Intermarker linkage disequilibrium (LD) patterns in Japanese controls

	SNP1	SNP2	SNP3	SNP4	SNP5	SNP6	SNP7	SNP8	SNP9	SNP10	SNP11	SNP12	SNP13	SNP14	SNP15	SNP16
	rs10008257	rs2433320	rs2433327	rs2438146	rs2438140	rs2452563	rs2433324	rs2452574	rs2452578	rs902981	rs4634230	rs12510147	rs6854173	rs12641023	rs951613	rs14082
r ² SNP1		0.414	0.488	0.376	0.205	0.153	0.055	0.321	0.299	0.283	0.31	0.318	0.296	0.207	0.211	0.208
rs10008257																
SNP2	0.021						0.855	0.856		0.845				0.41	0.402	0.455
rs2433320																
SNP3	0.039	0.667				0.669		0.55	0.521	0.482	0.46	0.475		0.308	0.293	0.322
rs2433327																
SNP4	0.016	1	0.649				0.885	0.926		0.824				0.377	0.373	0.429
rs2438146																
SNP5	0.011	0.444	0.664	0.451		0.946		0.454		0.416	0.532			0.181	0.174	0.175
rs2438140																
SNP6	0.007	0.395	0.494	0.403	0.806		0.881	0.811	0.543		0.495	0.4		0.103	0.094	0.092
rs2452563																
SNP7	0.002	0.442	0.341	0.462	0.461	0.532		1	0.826	0.854	0.859	0.81	0.834	0.151	0.132	0.156
rs2433324																
SNP8	0.086	0.103	0.09	0.118	0.163	0.22	0.222		0.953	0.841	0.85	0.935	0.937	0.197	0.201	0.203
rs2452574																
SNP9	0.048	0.129	0.099	0.134	0.098	0.154	0.245	0.58		1	0.935	1	0.921	0.242	0.245	0.232
rs2452578																
SNP10	0.067	0.101	0.057	0.133	0.121	0.172	0.173	0.697	0.628		1	1	0.941	0.279	0.281	0.279
rs902981																
SNP11	0.077	0.088	0.051	0.086	0.117	0.17	0.179	0.689	0.588	0.953		1	0.955	0.26	0.267	0.257
rs4634230																
SNP12	0.051	0.098	0.081	0.102	0.088	0.137	0.252	0.53	0.905	0.603	0.632		1	0.176	0.189	0.17
rs12510147																
SNP13	0.068	0.067	0.051	0.077	0.093	0.134	0.173	0.59	0.587	0.829	0.882	0.635		0.318	0.32	0.297
rs6854173																
SNP14	0.018	0.05	0.041	0.041	0.021	0.007	0.011	0.037	0.036	0.073	0.061	0.017	0.087			
rs12641023																
SNP15	0.018	0.048	0.037	0.04	0.019	0.006	0.008	0.038	0.037	0.073	0.063	0.02	0.088	1		
rs951613																
SNP16	0.019	0.059	0.043	0.05	0.018	0.006	0.011	0.041	0.035	0.077	0.062	0.017	0.081	0.939	0.939	
rs14082																

■ 1 ≥ D > 0.8
 ■ 0.8 ≥ D > 0.6
 ■ 0.6 ≥ D > 0.4