

Lamivudine, a nucleoside analogue that inhibits reverse transcriptases, was first developed as an anti-viral agent against human immunodeficiency virus (HIV). It was later also found to be effective against hepatitis B virus (HBV) because HBV is a member of the Hepadnaviridae family of viruses, which use reverse transcriptases in their replication process (1, 2). Lamivudine was found to inhibit the replication of HBV, reduce hepatitis, and improve histological findings of the liver in long-term treatment (3–5). Furthermore, it has been shown that lamivudine treatment improves the long-term outcome of patients with chronic hepatitis B (6, 7). However, there are a number of problems with lamivudine therapy, such as relapse of hepatitis because of the appearance of YMDD mutant viruses and the reactivation of hepatitis after discontinuation of the treatment (8–11).

The concentration of HBV DNA in serum decreases and usually becomes undetectable during lamivudine administration, but it rapidly increases when HBV becomes resistant to lamivudine. Thus, the measurement of HBV DNA is useful for monitoring the anti-viral effects of lamivudine. However, a negative result of HBV DNA in serum does not necessarily indicate a good outcome of lamivudine therapy, because lamivudine resistance may occur even if HBV DNA levels remain undetectable during therapy (11–13). Recently, a chemiluminescence enzyme immunoassay (CLEIA) was developed in our laboratory for the detection of hepatitis B virus core-related antigen (HBVcrAg) (14, 15). The assay reflects the viral load of HBV in a similar manner to that used in assays, which detect HBV DNA. HBVcrAg consists of HBV core and e antigens; both proteins are transcribed from the precore/core gene and their first 149 amino acids are identical (16–18). The HBVcrAg CLEIA simultaneously measures the serum levels of hepatitis B core (HBc) and e (HBe) antigens, using monoclonal antibodies, which recognize common epitopes of these two denatured antigens. In the present study, we analyzed the clinical significance of the HBVcrAg assay in monitoring the anti-viral effects of lamivudine treatment.

Patients and methods

Patients

A total of 81 patients with chronic hepatitis B, who received lamivudine therapy, were enrolled in the present study. These were 58 men and 23 women with a median age of 49 years (range 24–79 years). The 81 patients were selected retro-

spectively from six medical institutions in Japan (Shinshu University Hospital, Toranomon Hospital, Nagoya City University Hospital, Kyoto Prefectural University Hospital, Hiroshima University Hospital, National Nagasaki Medical Center). Eight to 25 patients who met the following three criteria were selected consecutively in each institution: the first, a daily dose of 100 mg lamivudine was administered for at least 6 months in a period from 1999 to 2004; the second, histologically confirmed for chronic hepatitis without liver cirrhosis; and the third, serum samples at several time points available for testing. All patients were naive for lamivudine therapy. Chronic hepatitis B was defined as positive hepatitis B surface (HBs) antigen for more than 6 months with elevated levels of serum transaminases. The HBV genotype was A in two patients, B in three and C in 76. Serum HBV DNA was detectable in all patients, and HBe antigen was positive in 51 (63%) of the 81 patients just before lamivudine administration. The median follow-up period was 19 months with a range from 6 to 50 months. Follow-up of patients ended when lamivudine administration was discontinued. Written informed consent was obtained from each patient.

The occurrence of lamivudine resistance was defined as a rapid increase in serum HBV DNA levels with the appearance of the YMDD mutations during lamivudine administration. Using this criteria, resistance appeared in 27 (33%) of the 81 patients. The median period from the start of lamivudine administration to the occurrence of resistance was 12 months with a range from 4 to 37 months.

Serological markers for HBV

HBs antigen, HBe antigen and anti-HBe antibody were tested using commercially available enzyme immunoassay kits (Abbott Japan Co., Ltd., Tokyo, Japan). Six major genotypes (A–F) of HBV can be detected using the method reported by Mizokami et al. (19), in which the surface gene sequence amplified by polymerase chain reaction (PCR) is analyzed by restriction fragment length polymorphism. The YMDD motif, that is, lamivudine resistant mutations in the active site of HBV polymerase, was detected with an enzyme-linked mini-sequence assay kit (HBV YMDD Mutation Detection Kit, Genome Science Laboratories Co., Ltd., Tokyo, Japan) (20).

Serum concentration of HBV DNA was determined using Amplicor HBV monitor kit (Roche, Tokyo, Japan), which had quantitative range from 2.6 to 7.6 log copy/ml. Sera containing

over 7.0 log copy/ml HBV DNA were diluted 10- or 100-fold with normal human serum and re-tested to obtain the end titer.

Serum concentrations of HBVcrAg were measured using the CLEIA method reported previously (10, 11). Briefly, 100 µL serum was mixed with 50 µL pretreatment solution containing 15% sodium dodecylsulfate and 2% Tween 60. After incubation at 70 °C for 30 min, 50 µL pretreated serum was added to a well coated with monoclonal antibodies against denatured HBc and HBe antigens (HB44, HB61 and HB114) and filled with 100 µL assay buffer. The mixture was incubated for 2 h at room temperature and the wells were then washed with buffer. Alkaline phosphatase-labeled monoclonal antibodies against denatured HBc and HBe antigens (HB91 and HB110) were added to the well, and the mixture was incubated for 1 h at room temperature. After washing, CDP-Star with Emerald II (Applied Biosystems, Bedford, MA) was added and the plate was incubated for 20 min at room temperature. The relative chemiluminescence intensity was measured, and the HBVcrAg concentration was determined by comparison with a standard curve generated using recombinant pro-HBe antigen (amino acids, 10–183 of the precore/core gene product). The HBVcrAg concentration was expressed as units/ml (U/ml) and the immunoreactivity of recombinant pro-HBe antigen at 10 fg/ml was defined as 1 U/ml. In the present study, the cutoff value was tentatively set at 3.0 log U/ml. Sera containing over 7.0 log U/ml HBVcrAg were diluted 10- or 100-fold in normal human serum and re-tested to obtain the end titer.

Statistical analysis

The Mann–Whitney *U*-test and Wilcoxon signed-ranks test were utilized to analyze quantitative data, and Fisher's exact test was used for qualitative data. A log-rank test was used to compare the occurrence of lamivudine resistance. Statistical analyses were performed using the SPSS 5.0 statistical software package (SPSS, Inc., Chicago, IL). A *P*-value of less than 0.05 was considered to be statistically significant.

Results

Table 1 shows a comparison of the clinical and virological backgrounds of the 27 patients who showed lamivudine resistance and the 54 patients who did not. Median age, gender distribution and median follow-up period did not differ between the two groups, and the positive rate of HBe

Table 1. Comparison of the clinical and virological backgrounds of patients who showed lamivudine resistance and those who did not

Characteristics	Appearance of lamivudine resistance		<i>P</i>
	Negative (<i>n</i> = 54)	Positive (<i>n</i> = 27)	
Age (years)*	47.0 (24–79)	50.6 (34–67)	0.140†
Gender (male %)	74%	67%	> 0.2‡
Follow-up period (months)*	16 (6–50)	21 (9–43)	> 0.2‡
HBV genotype (A/B/C)	2/2/50	0/1/26	> 0.2‡
HBe antigen (positive %)	59%	70%	> 0.2‡
ALT (IU/ml)*			
Initial	85 (22–713)	95 (20–1140)	> 0.2‡
At 6 months	27 (11–115)	30 (15–92)	> 0.2‡
HBV DNA (log copy/ml)*			
Initial	7.0 (3.5–9.1)	7.3 (4.2–9.2)	> 0.2‡
At 6 months	<2.6 (<2.6–4.8)	3.3 (<2.6–6.6)	<0.001†
HBVcrAg (log U/ml)*			
Initial	6.2 (<3.0–8.8)	7.3 (4.4–9.1)	0.073†
At 6 months	5.2 (<3.0–6.7)	5.8 (4.7–8.4)	<0.001†

HBe antigen, hepatitis B e antigen; HBV, hepatitis B virus; ALT, alanine aminotransferase; HBVcrAg, HBV core-related antigen. *Data are expressed as median (range). †Mann–Whitney *U* test. ‡ χ^2 -test.

antigen was similar. Both HBV DNA and HBVcrAg levels at the beginning of lamivudine administration were similar between the two groups; however, both HBV DNA and HBVcrAg levels at 6 months after the start of lamivudine administration were significantly lower in the lamivudine resistance negative group than in the positive group. ALT level was normal at the beginning in eight (15%) of the 54 patients without lamivudine resistance and in two (7%) of the 27 patients with it (*P* > 0.2).

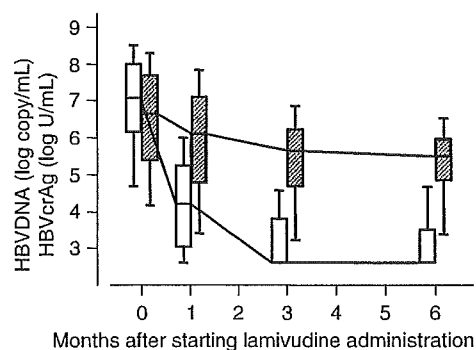


Fig. 1. Changes in the median levels of hepatitis B virus core-related antigen (HBVcrAg) and hepatitis B virus (HBV) DNA during lamivudine administration. The box plots show the 10th, 25th, 50th, 75th and 90th percentiles, with the open boxes indicating HBV DNA and shaded boxes indicating HBVcrAg. The median amount of decrease from the baseline in HBVcrAg levels was significantly smaller (Wilcoxon signed-ranks test) than that in HBV DNA level at 1 (2.80 log copy/ml vs. 0.27 log U/ml, *P* < 0.001), 3 (3.60 log copy/ml vs. 0.83 log U/ml, *P* < 0.001) and 6 months (3.90 log copy/ml vs. 1.15 log U/ml, *P* < 0.001) after the initiation of lamivudine administration.

Prediction of lamivudine resistance

Figure 1 shows changes in HBV DNA and HBVcrAg levels during lamivudine treatment in all patients. The level of HBV DNA decreased rapidly and became undetectable at 3 months after treatment was initiated. On the other hand, although HBVcrAg levels decreased continuously, the median amount of decrease from the base-line was significantly lower than that in HBV DNA levels at 1, 3 and 6 months after starting lamivudine administration (Wilcoxon signed-ranks test, $P < 0.001$ at all analyzed points in time).

Changes in HBV DNA and HBVcrAg levels during lamivudine administration are compared in Fig. 2 between the 27 patients who showed lamivudine resistance and the 54 patients who did not. Serum HBV DNA levels were found to decrease rapidly and become undetectable within 6 months in 45 (83%) of the 54 patients without lamivudine resistance. On the other hand, only 11 (41%) of the 27 patients with lamivudine resistance showed a similar rapid decrease, and the HBV DNA levels of the remaining patients stayed above the detection limit during the follow-up period. HBVcrAg levels decreased but did not reach levels lower than 4.7 log U/ml (5000 U/ml) in the 27 patients with lamivudine

resistance. In 19 (35%) of the 54 patients without lamivudine resistance, on the other hand, the levels decreased to levels below 4.7 log U/ml within 6 months after the start of lamivudine administration. The level of HBVcrAg increased rapidly as did the level of HBV DNA when lamivudine resistance occurred.

The occurrence of lamivudine resistance was significantly less frequent in the 56 patients whose HBV DNA level was less than 2.6 log copy/ml at 6 months after the initiation of treatment than in the remaining 25 patients (Fig. 3). The cumulative occurrence of lamivudine resistance was as high as 70% within 2 years in the latter group, while it was only 28% in the former group. There was no occurrence of lamivudine resistance during the follow-up period in the 19 patients whose HBVcrAg levels were less than 4.6 log U/ml at 6 months after the initiation of lamivudine therapy (Fig. 3). On the other hand, lamivudine resistance occurred in 50% of the remaining patients within 2 years.

Discussion

The HBVcrAg assay is a unique assay, which measures the amounts of e and core antigens

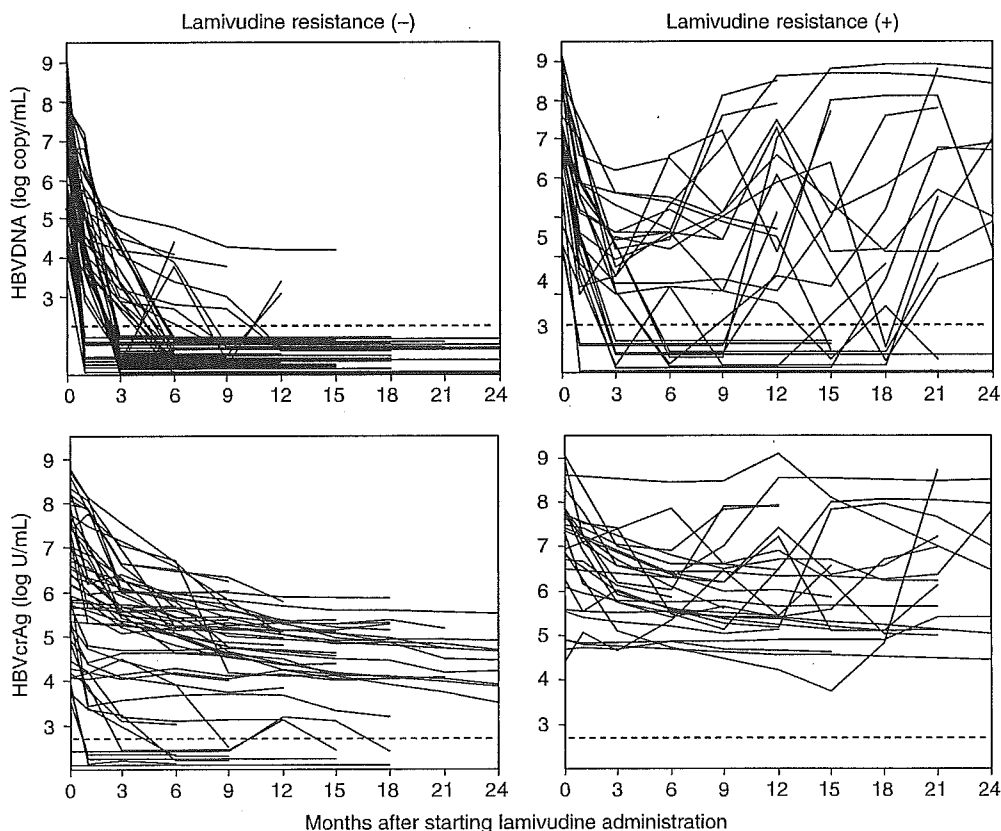


Fig. 2. Comparison of changes in serum hepatitis B virus (HBV) DNA and serum HBV core-related antigen (HBVcrAg) levels between patients who showed lamivudine resistance and those who did not. The broken lines indicate the detection limit of each assay.

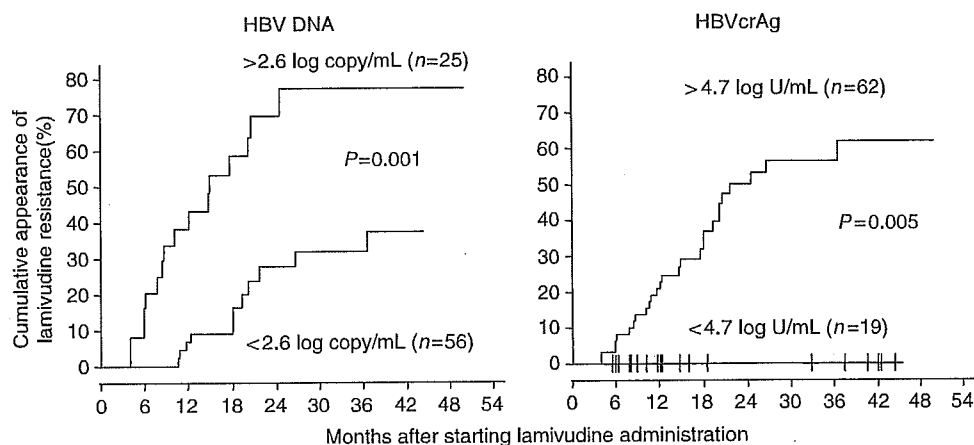


Fig. 3. Comparison of the cumulative occurrence of lamivudine resistance between patients who showed hepatitis B virus (HBV) DNA levels of less than the detection limit (2.6 log copy/ml) at 6 months after starting lamivudine administration and those who did not (left figure), and similarly between patients who showed HBV core-related antigen (HBVcrAg) levels of less than 4.7 log U/ml and those who did not (right figure).

coded by the core gene of the HBV genome with high sensitivity and a wide quantitative range. Serum HBVcrAg levels reflect the viral load in the natural course because these levels correlate linearly with those of HBV DNA (14, 15). On the other hand, the character of HBVcrAg is somewhat different from that of HBV DNA in patients undergoing anti-viral therapies such as lamivudine. That is, HBVcrAg levels decrease significantly more slowly than those of HBV DNA after the initiation of lamivudine administration.

HBV is an enveloped DNA virus containing a relaxed circular DNA genome, which is converted into a covalently closed circular DNA (cccDNA) episome in the nucleus of infected cells (18, 21–23). The cccDNA molecules serve as the transcriptional template for the production of viral RNAs that encode viral structural and non-structural proteins. Reverse transcription of the viral pregenomic RNA and second-strand DNA synthesis occur in the cytoplasm within viral capsids formed by the HBV core protein. Because lamivudine, a nucleoside analogue, inhibits reverse transcription of the pregenomic RNA, it directly suppresses the production of HBV virion. Thus, serum HBV DNA levels decrease rapidly after the initiation of lamivudine administration. On the other hand, the production of viral proteins is not suppressed by lamivudine because the production process does not include reverse transcription. Furthermore, it has been reported that the amount of cccDNA, which serves as a template for mRNA, decreases quite slowly after starting the administration of nucleoside analogues (24–26). Thus, it is reasonable that serum HBVcrAg levels decrease much more slowly than

HBV DNA levels after the initiation of lamivudine therapy.

Significant markers that can predict the presence or absence of lamivudine resistance are clinically valuable because the emergence of this resistance and the subsequent recurrence of hepatitis are fundamental problems in lamivudine therapy. Serum markers that reflect the activity of HBV replication have been reported to be associated with the occurrence of lamivudine resistance (11, 12, 27, 28). However, neither the pretreatment existence of HBe antigen nor pretreatment levels of HBV DNA or HBVcrAg were found to be significant markers in the present study. These results may reflect a weak association between the pretreatment activity of HBV replication and the occurrence of lamivudine resistance (13, 29). Changes in HBV DNA and HBVcrAg levels after starting lamivudine administration clearly differed between patients with and without lamivudine resistance. Thus, HBV DNA and HBVcrAg levels at 6 months after starting lamivudine administration were analyzed to determine whether these levels might serve as predictive markers; both were found to be significantly lower in patients without lamivudine resistance at the tested point in time. Furthermore, patients who showed higher levels of HBV DNA and HBVcrAg at 6 months after the initiation of treatment were significantly more likely to develop lamivudine resistance than those who showed lower levels.

We believe that the measurement of HBV DNA levels is useful to identify patients who are at high risk for lamivudine resistance because as many as 70% of patients who were positive for HBV DNA at 6 months after starting lamivudine

administration developed lamivudine resistance within 2 years. However, a negative result of HBV DNA at 6 months does not necessarily guarantee the absence of lamivudine resistance because nearly 30% of such patients developed resistance within 2 years. On the other hand, HBVcrAg levels of less than 4.7 log U/ml at 6 months are a useful indicator of patients who are unlikely to develop lamivudine resistance, because no such patients developed resistance during the follow-up period in the present study. Lower serum HBVcrAg levels may reflect lower levels of cccDNA in hepatocytes because the mRNAs of HBVcrAg are transcribed from the cccDNA (18, 22, 23). This possibility may explain our finding that patients whose HBVcrAg levels decreased sufficiently were unlikely to develop lamivudine resistance, because cccDNA provides the templates for viral and pregenomic messenger RNA (18, 22, 23), which may be a source of lamivudine-resistant strains.

In conclusion, our results suggest that measurement not only of HBV DNA but also of HBVcrAg is useful for predicting the occurrence of lamivudine resistance. HBV DNA measurement is valuable for identifying patients who are at high risk of developing this resistance and HBcrAg measurement is valuable for identifying those who are at low risk.

Acknowledgements

This research was supported in part by a research grant on hepatitis from the Ministry of Health, Labour and Welfare of Japan.

References

- DOONG S L, TSAI C H, SCHINAZI R F, LIOTTA D C, CHENG Y C. Inhibition of the replication of hepatitis B virus in vitro by 2', 3'-dideoxy-3'-thiacytidine and related analogues. *Proc Natl Acad Sci USA* 1991; 88: 8495-9.
- BENHAMOU Y, DOHIN E, LUNEL-FABIANI F, POYNARD T, HURAUX J M, KATLAMA C, et al. Efficacy of lamivudine on replication of hepatitis B virus in HIV-infected patients. *Lancet* 1995; 345: 396-7.
- DIENSTAG J L, GOLDIN R D, HEATHCOTE E J, HANN H W, WOESSNER M, STEPHENSON S L, et al. Histological outcome during long-term lamivudine therapy. *Gastroenterology* 2003; 124: 105-17.
- DIENSTAG J L, PERRILLO R P, SCHIFF E R, BARTHOLOMEW M, VICARY C, RUBIN M. A preliminary trial of lamivudine for chronic hepatitis B infection. *N Engl J Med* 1995; 333: 1657-61.
- LAI C L, CHIEN R N, LEUNG N W, CHANG T T, GUAN R, TAI D I, et al. A one-year trial of lamivudine for chronic hepatitis B. *Asia Hepatitis Lamivudine Study Group. N Engl J Med* 1998; 339: 61-8.
- LIAW Y F, SUNG J J, CHOW W C, et al. Lamivudine for patients with chronic hepatitis B and advanced liver disease. *N Engl J Med* 2004; 351: 1521-31.
- MATSUMOTO A, TANAKA E, ROKUHARA A, et al. Efficacy of lamivudine for preventing hepatocellular carcinoma in chronic hepatitis B: a multicenter retrospective study of 2,795 patients. *Hepatology* 2005; 42: 173-84.
- LING R, MUTIMER D, AHMED M, et al. Selection of mutations in the hepatitis B virus polymerase during therapy of transplant recipients with lamivudine. *Hepatology* 1996; 24: 711-3.
- LOK A S, LAI C L, LEUNG N, YAO G B, CUI Z Y, SCHIFF E R, et al. Long-term safety of lamivudine treatment in patients with chronic hepatitis B. *Gastroenterology* 2003; 125: 1714-22.
- TIPPLES G A, MA M M, FISCHER K P, BAIN V G, KNETEMAN N M, TYRRELL D L. Mutation in HBV RNA-dependent DNA polymerase confers resistance to lamivudine in vivo. *Hepatology* 1996; 24: 714-7.
- LIAW Y F, CHIEN R N, YEH C T, TSAI S L, CHU C M. Acute exacerbation and hepatitis B virus clearance after emergence of YMDD motif mutation during lamivudine therapy. *Hepatology* 1999; 30: 567-72.
- SUZUKI F, TSUBOTA A, ARASE Y, SUZUKI Y S, AKUTA N, HOSAKA T, et al. Efficacy of lamivudine therapy and factors associated with emergence of resistance in chronic hepatitis B virus infection in Japan. *Intervirology* 2003; 46: 182-9.
- ZOLLNER B, SCHAFFER P, FEUCHT H H, SCHROTER M, PETERSEN J, LAUFS R. Correlation of hepatitis B virus load with loss of e antigen and emerging drug-resistant variants during lamivudine therapy. *J Med Virol* 2001; 65: 659-63.
- KIMURA T, ROKUHARA A, SAKAMOTO Y, YAGI S, TANAKA E, KLYOSAWA K, et al. Sensitive enzyme immunoassay for hepatitis B virus core-related antigens and their correlation to virus load. *J Clin Microbiol* 2002; 40: 439-45.
- ROKUHARA A, TANAKA E, MATSUMOTO A, KIMURA T, YAMAURA T, ORII K, et al. Clinical evaluation of a new enzyme immunoassay for hepatitis B virus core-related antigen; a marker distinct from viral DNA for monitoring lamivudine treatment. *J Viral Hepatol* 2003; 10: 324-30.
- BRUSS V, GERLICH W H. Formation of transmembranous hepatitis B e-antigen by cotranslational in vitro processing of the viral precore protein. *Virology* 1988; 163: 268-75.
- GARCIA P D, OU J H, RUTTER W J, WALTER P. Targeting of the hepatitis B virus precore protein to the endoplasmic reticulum membrane: after signal peptide cleavage translocation can be aborted and the product released into the cytoplasm. *J Cell Biol* 1988; 106: 1093-104.
- LEE W M. Hepatitis B virus infection. *N Engl J Med* 1997; 337: 1733-45.
- MIZOKAMI M, NAKANO T, ORITO E, TANAKA Y, SAKUGAWA H, MUKAIDE M, et al. Hepatitis B virus genotype assignment using restriction fragment length polymorphism patterns. *FEBS Lett* 1999; 450: 66-71.
- KOBAYASHI S, SHIMADA K, SUZUKI H, TANIKAWA K, SATA M. Development of a new method for detecting a mutation in the gene encoding hepatitis B virus reverse transcriptase active site (YMDD motif). *Hepatology* 2000; 31: 31-42.
- MASON W S, HALPERN M S, ENGLAND J M, SEAL G, EGAN J, COATES L, et al. Experimental transmission of duck hepatitis B virus. *Virology* 1983; 131: 375-84.
- SUMMERS J, SMITH P M, HORWICH A L. Hepadnavirus envelope proteins regulate covalently closed circular DNA amplification. *J Virol* 1990; 64: 2819-24.
- TUTTLEMAN J S, POURCEL C, SUMMERS J. Formation of the pool of covalently closed circular viral DNA in hepadnavirus-infected cells. *Cell* 1986; 47: 451-60.

Tanaka et al.

24. MORALEDA G, SAPUTELLI J, ALDRICH C E, AVERETT D, CONDREAY L, MASON W S. Lack of effect of antiviral therapy in nondividing hepatocyte cultures on the closed circular DNA of woodchuck hepatitis virus. *J Virol* 1997; 71: 9392-9.
25. WERLE-LAPOSTOLLE B, BOWDEN S, LOCARNINI S, WORS-THORN K, PETERSEN J, LAU G, et al. Persistence of cccDNA during the natural history of chronic hepatitis B and decline during adefovir dipivoxil therapy. *Gastroenterology* 2004; 126: 1750-8.
26. ZHU Y, YAMAMOTO T, CULLEN J, et al. Kinetics of hepadnavirus loss from the liver during inhibition of viral DNA synthesis. *J Virol* 2001; 75: 311-22.
27. LAU D T, KHOKHAR M F, DOO E, GHANY M G, HERION D, PARK Y, et al. Long-term therapy of chronic hepatitis B with lamivudine. *Hepatology* 2000; 32: 828-34.
28. YUEN M F, SABLON E, HUI C K, YUAN H J, DE-CRAEMER H, LAI C L. Factors associated with hepatitis B virus DNA breakthrough in patients receiving prolonged lamivudine therapy. *Hepatology* 2001; 34: 785-91.
29. MIHM U, SARRAZIN C, HERRMANN E, TEUBER G, VON WAGNER M, KRONEA BERGER B, et al. Response predictors and results of a long-term treatment with lamivudine in patients with chronic hepatitis B. *Z Gastroenterol* 2003; 41: 249-54.

Assessment of KL-6 as a tumor marker in patients with hepatocellular carcinoma

Amal Gad, Eiji Tanaka, Akihiro Matsumoto, Moushira Abd-el Wahab, Abd el-Hamid Serwah, Fawzy Attia, Khalil Ali, Howayda Hassouba, Abd el-Raouf el-Deeb, Tetsuya Ichijyo, Takeji Umemura, Hidetomo Muto, Kaname Yoshizawa, Kendo Kiyosawa

Amal Gad, Eiji Tanaka, Akihiro Matsumoto, Moushira Abd-el Wahab, Tetsuya Ichijyo, Takeji Umemura, Hidetomo Muto, Kaname Yoshizawa, Kendo Kiyosawa, Second Department of Internal Medicine, Shinshu University School of Medicine, Matsumoto, Japan

Amal Gad, Abd el-Hamid Serwah, Fawzy Attia, Khalil Ali, Howayda Hassouba, Abd el-Raouf el-Deeb, Suez Canal University School of Medicine, Ismailia, Egypt

Kendo Kiyosawa, Shinshu University Graduate School of Medicine, Institutes of Organ Transplants, Reconstructive Medicine and Tissue Engineering, Matsumoto, Japan

Supported by the Takeda Foundation, Osaka, Japan

Correspondence to: Eiji Tanaka, MD, Second Department of Internal Medicine, Shinshu University School of Medicine, 3-1-1 Asahi, Matsumoto 390-8621,

Japan. etanaka@hsp.md.shinshu-u.ac.jp

Telephone: +81-263-37-2634 Fax: +81-263-32-9412

Received: 2005-03-13 Accepted: 2005-04-30

improved specificity of AFP for HCC diagnosis from 78% for AFP alone; 93% for AFP plus PIVKA-II to 99% for both plus KL-6 value ($P < 0.001$). Mean serum alkaline phosphatase level was significantly higher in KL-6 positive (564 ± 475) in comparison with KL-6 negative (505 ± 469) HCC patients ($P = 0.021$), but such a difference was not found among non-HCC corresponding groups.

CONCLUSION: KL-6 is suggested as a tumor for HCC. Its positivity may reflect HCC-associated cholestasis and/or local tumor invasion.

© 2005 The WJG Press and Elsevier Inc. All rights reserved.

Key words: Tumor markers; Liver disease; Hepatocellular carcinoma

Gad A, Tanaka E, Matsumoto A, Wahab MA, Serwah AeH, Attia F, Ali K, Hassouba H, el-Deeb AeR, Ichijyo T, Umemura T, Muto H, Yoshizawa K, Kiyosawa K. Assessment of KL-6 as a tumor marker in patients with hepatocellular carcinoma. *World J Gastroenterol* 2005; 11(42): 6607-6612
<http://www.wjgnet.com/1007-9327/11/6607.asp>

Abstract

AIM: To investigate the clinical significance of KL-6 as a tumor marker of HCC in two different ethnic groups with chronic liver disease consecutively encountered at outpatient clinics.

METHODS: Serum KL-6 was measured by the sandwich enzyme immunoassay method using the KL-6 antibody (Ab) as both the capture and tracer Ab according to the manufacturer's instructions (Eisai, Tokyo, Japan). Assessment of alpha fetoprotein (AFP) and protein induced vitamin K deficiency or absence (PIVKA-II) was performed in both groups using commercially available kits.

RESULTS: A significantly higher mean serum KL-6 (556 ± 467 U/L) was found in HCC in comparison with non-HCC groups either with (391 ± 176 U/L; $P < 0.001$) or without (361 ± 161 U/L; $P < 0.001$) liver cirrhosis (LC). Serum KL-6 level did not correlate with either AFP or PIVKA-II serU/Levels. Using receiver operating curve analysis for KL-6 as a predictor for HCC showed that the area under the curve was 0.574 (95%CI = 0.50-0.64) and the KL-6 level that gave the best sensitivity (61%) was found to be 334 U/L but according to the manufacturer's instructions; a cut-off point of 500 U/L was used that showed the highest specificity (80%) in comparison with AFP and PIVKA-II (78% vs 72% respectively). Combining the values of the three markers

INTRODUCTION

Hepatocellular carcinoma (HCC) is the 4th most common cancer worldwide, and it is a well-known complication of chronic hepatitis^[1,2]. Asymptomatic patients diagnosed as HCC through screening programs are more likely to be candidates for curative treatment and have improved short- and medium-term survival^[3,4]. Although serum alpha-fetoprotein (AFP) had been shown to be associated with HCC since 1963^[5], unfortunately it is also elevated in a wide variety of non-hepatic malignancies^[6,7] and benign hepatic conditions^[8,9]. Moreover, it is uncertain whether serum AFP is a useful marker for HCV-related HCC in some ethnic groups e.g., North American patients of African origin^[10]. Thus, searching another tumor marker, that together with AFP could improve the diagnostic utility of the later, seemed to be justified. KL-6 was originally found using a murine monoclonal antibody that recognized an undefined sialylated carbohydrate chain on a mucin-like glycoprotein^[11] which was also defined as MUC1^[12]. The cell membrane MUC1 was found to regulate cell adhesion properties^[13]. KL-6 has been first shown to be

Table 1 Background data of the study groups

	Egyptian		P	Japanese		P
	HCC (+) n = 65	HCC (-) n = 106		HCC (+) n = 45	HCC (-) n = 128	
Mean age (SD, yr)	57±11 ^b	47±9	<0.001	66±10 ^b	63±10	NS
Age <50 yr	16 (25) ^d	65 (61)	<0.001	3 (7) ^d	17 (13)	NS
Male	50 (77)	82 (77)	NS	38 (84)	87 (68)	0.024
Liver disease						
Viral	61 (94)	96 (91)		44 (98)	107 (84)	
HCV-related	59 (91)	92 (87)	NS	36 (80)	81 (63)	0.031
HBV-related	2 (3) ⁱ	4 (4)	NS	8 (18) ⁱ	28 (22)	NS
Non-viral	4 (6)	10 (9)	NS	1 (2)	20 (16)	0.010
Cirrhosis	46 (71)	45 (42)	<0.001	40 (89)	40 (31)	<0.001
Child's C	25 (38) ^f	17 (16)	0.001	4 (9) ^f	1 (1)	0.017
Mean±(SD)						
ALT (IU/L)	73±95	66±45	0.08	55±35	50±39	NS
Serum Albumin (g/L)	3.0±0.7	3.0±0.5	NS	3.6±0.5	4.2±0.4	<0.001
Platelet count×1 000/mL ³	186±107 ^h	89±53	0.001	130±51 ^h	170±71	<0.001
AFP >10 ng/mL (+)	64 (99)	28 (26)	<0.001	30 (67)	23 (18)	<0.001
PIVKA>40 mAU/L (+)	51 (79)	38 (36)	<0.001	16 (36)	27 (21)	0.047

^bP<0.001, ^dP<0.001, ^fP<0.001, ^hP<0.001 vs Japanese, ⁱP = 0.001.

elevated in patients with interstitial pneumonia^[14]. It was also reported to have a high positive rate in different non-hepatic malignancies and its expression was also correlated with metastatic potential of the primary tumor in some of them^[15-17]. It has also been studied as a fibrosis marker in patients with HCV-related chronic liver disease^[18] and was found to correlate with the degree of irregular regeneration of hepatocytes^[19]. A recent study addressed its clinical significance as a tumor marker in HCV-related HCC^[20]. However, all these studies investigated KL-6 in HCV-related disease only so that its actual significance as a marker for screening HCC in patients with different chronic liver disease is not yet fully understood. In this study, we aimed to investigate KL-6 as a tumor marker in consecutive patients with chronic liver disease seen at outpatient settings in two different ethnic groups of possible different risk factors for HCC, so that we could get a wider spectrum of disease in order to assess KL-6 validity for HCC screening.

MATERIALS AND METHODS

Study population

We conducted a cross-sectional study between October 2001 and November 2002. Data were gathered from two Affiliations; Shinshu University (Japan) and Suez Canal University (Egypt) Hospitals. A total of 334 consecutive patients with chronic liver disease seen at outpatient liver clinics in the two settings (who met our inclusion/exclusion criteria) were included; of them: 110 patients were diagnosed as HCC with a mean age of 61±11 years and M:F (4:1). Sixty-five were Egyptians and 45 Japanese with viral-related liver disease accounting for 94% and 98% of them respectively. Non-HCC patients were 234 with a mean age of 56±13 years; M:F (7/3). One hundred and six were Egyptians and 128 Japanese with viral-related liver disease accounting for 91% and 84% of them respectively

(Table 1).

Chronic liver disease and cirrhosis were identified and diagnosed according to liver biopsy findings, clinical and/or radiological evidence of portal hypertension. HCC was excluded by imaging studies (abdominal ultrasound (US), computed tomography (CT), magnetic resonance imaging (MRI) and/or hepatic angiography), one of which must have been performed at least 6 months following the measurement of AFP.

HCC was diagnosed when meeting our inclusion criteria of positive cytology and/or histology or by the presence of characteristic hepatic masses on liver CT, MRI and/or hepatic angiography (i.e., enlarging tumors and/or tumors with typical arterial vascularization).

We excluded patients with alcoholic and schistosomal liver diseases from our study populations. We had also excluded patients known from their medical history to have interstitial lung fibrosis or any other lung disease from our study population.

Tumor markers measurement

Serum KL-6 was measured by the sandwich enzyme immunoassay method using the KL-6 antibody (Ab) as both the capture and tracer Ab (14) according to the manufacturer's instructions (Eisai, Tokyo, Japan). KL-6 cut-off point was set at 500 U/L for this study. Assessment of alpha fetoprotein (AFP) and protein-induced vitamin K deficiency or absence (PIVKA-II) was performed using commercially available kits. Cut-off points were set at 10 ng/mL for AFP and 40 mAU/L for PIVKA-II.

Statistical analysis

Univariate statistical analysis was performed using Student's *t*-test for quantitative and χ^2 test with Yates' correction for qualitative data. Fisher's exact test was used

for comparison of small numbers; statistical significant level was set at $P < 0.05$. Statistical analysis was performed using a computer software (SPSS, version 6.0).

RESULTS

Population background

A difference in mean age, prevalence of advanced Child class and HBV infection was observed between Egyptian and Japanese patients with HCC (Table 1). However, no difference in tumor characteristics was found between the two studied populations (Table 2).

KL-6 and other tumor markers in HCC

A significantly higher mean serum KL-6 (556 ± 467) was found in HCC in comparison with non-HCC groups of patients with (391 ± 176 ; $P < 0.001$) and without (361 ± 161 ; $P < 0.001$) liver cirrhosis (LC). Serum KL-6 level did not correlate with either AFP (Figure 1) or PIVKA-II (Figure 1) serU/Levels. Using receiver operation characteristic (ROC) curve, the KL-6 level that gave the best sensitivity (61%) was found to be 334 U/L with a specificity of 50%, while PIVKA-II and AFP showed a sensitivity/specificity of (60/72)% and (80/78)% respectively. However, according to the manufacturer's instructions; a cut-off point of 500 U/L was used in this study that showed the highest

Table 2 Comparison of background tumor characteristics between Egyptian and Japanese HCC patients

Tumor characteristic	Egyptian (n = 65)	Japanese (n = 45)	P
Tumor multiplicity			
Solitary	25 (38)	22 (49)	>0.2
Multiple	40 (62)	23 (51)	0.06
Tumor size			
<3 cm	32 (49)	20 (44)	>0.2
3:< 5cm	15 (23)	14 (31)	>0.2
≥5 cm	18 (28)	11 (25)	0.13
Metastases	01 (2)	>0.2	
Tumor grade ¹			
Well differentiated	5 (16)	2 (8)	>0.2
Poorly differentiated	5 (16)	4 (16)	>0.2

¹Tumor grade is analyzed in 32 of the Egyptian and 22 of the Japanese groups who passed HCC resection operation during the study period.

specificity (80%) for KL-6 in comparison with the other two markers. Combining the values of KL-6; AFP and PIVKA-II resulted in improvement in the specificity of AFP for HCC diagnosis from 78% for AFP alone; 93% for AFP plus PIVKA-II to 99% for both plus KL-6 ($P < 0.001$) (Table 3).

Factors associated with positive KL-6 in the study population

Univariate analysis (Table 4) of possible factors that

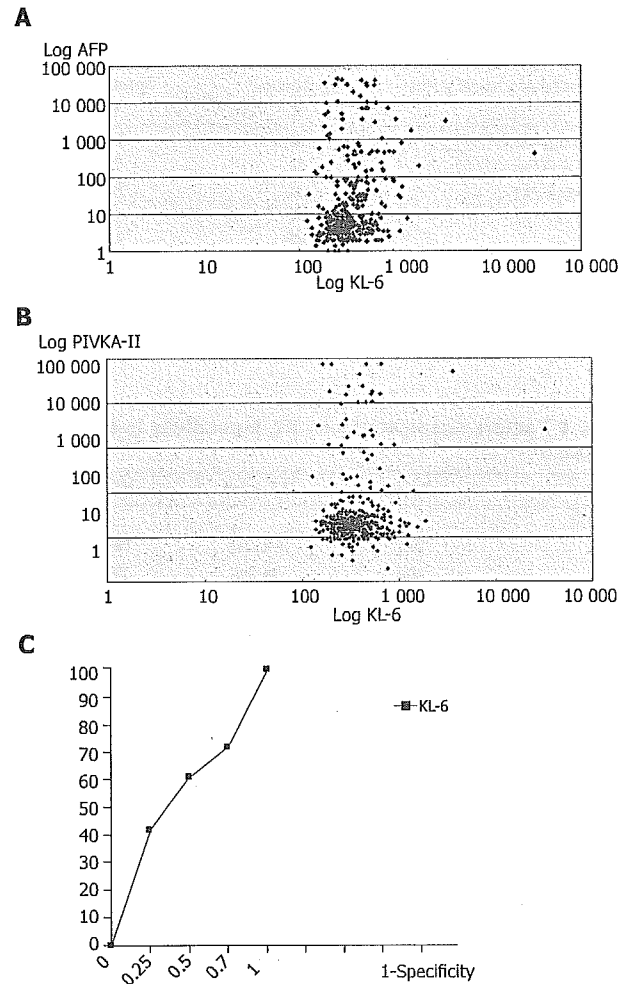


Figure 1 A: Correlation between KL-6 U/L and AFP ng/ml serU/Levels in the study population. $C = 0.04$, $P > 0.1$. The Log values of both markers are shown; B: Correlation between KL-6 U/L and PIVKA-II mAU/L serU/Levels in the study population. $C = 0.03$, $P > 0.5$. The Log values of both markers are shown; C: Receiver operating characteristic curves for KL-6 as predictors of HCC. The area under the ROC was found to be 0.574 (95%CI = 0.50–0.64). The best KL-6 sensitivity was obtained at a cut-off point = 334 U/L.

could be associated with elevated serum KL-6 in our study group showed that elevated AFP ($P < 0.001$), Child's class C ($P = 0.002$), Egyptian race ($P = 0.003$) and HCC ($P = 0.008$) were significantly associated with positive serum KL-6. Also mean serum alkaline phosphatase level was significantly higher in KL-6 positive (564 ± 475) in comparison with KL-6 negative (505 ± 469) HCC patients ($P = 0.021$), but such a difference was not found among non-HCC corresponding group (Table 5). Mean serum bilirubin was found to be higher in KL-6 positive subgroups in both HCC and non-HCC ($P = 0.077$, 0.023) respectively, while mean serum albumin was significantly lower in both groups ($P = 0.029$, 0.041), respectively (Table 5).

KL-6 in Egyptian vs Japanese

Mean KL-6 was significantly higher in Egyptians (576 ± 522) in comparison with Japanese (510 ± 300) HCC

Table 3 Comparison of the result of different tumor markers between HCC and non-HCC group

Tumor marker (cut-off point)	Sensitivity	Specificity
	%	%
AFP (10 ng/mL)	86	78
PIVKA-II (35 mAU/L)	61	72
KL-6 (500 U/L)	34	80
AFP+PIVKA-II	86 ¹	93
AFP+KL-6	87 ²	94
AFP+PIVKA-II+KL-6	87	99

¹All PIVKA-II (+) HCC patients are AFP (+). ²One KL-6 (+) HCC patient is AFP (-).

Table 4 Factors associated with KL-6 positivity in the study population

Factors	Total	KL-6 (+)	KL-6 (-)
Age (yr)			
>=50	244	60 (24)	184 (75)
<50	100	24(24)	76 (76)
P	NS		
Sex			
Male	257	61 (24)	196 (76)
Female	87	23 (26)	64 (73)
P	NS		
Ethnicity			
Egyptian	171	53 (31)	118 (69)
Japanese	173	31 (18)	142 (82)
P	0.003		
Underlying liver disease			
HCV-related	267	71 (27)	196 (73)
HBV-related	42	5 (12)	37 (88)
Non-viral	35	8 (23)	27 (77)
P	NS		
Cirrhosis			
(+)	169	46 (27)	123 (73)
(-)	175	38 (22)	137 (78)
P	NS		
Child's class			
C	47	20 (43)	27 (57)
A&B	297	64 (21)	233 (78)
P	0.002		
HCC:			
(+)	110	37 (34)	73 (66)
(-)	234	47 (20)	187 (80)
P	0.008		
AFP			
(+)	145	49 (34)	96 (66)
(-)	199	35 (17)	164 (82)
P	<0.001		

patients ($P = 0.041$) (Table 6). Although a significant difference in mean KL-6 level between HCC and non-HCC was observed in both Egyptian and Japanese patients with chronic liver disease ($P < 0.001$ respectively), the difference was not statistically significant among Japanese patients with HCV-related disease (Table 6). No difference in mean KL-6 level was found between cirrhotic and non-cirrhotic in either HCC or non-HCC patients.

KL-6 and tumor characteristics

In the HCC groups of both Egyptian and Japanese patients; KL-6 showed no significant association with tumor site, echogenicity or multiplicity. However, a significantly lower mean KL-6 (Table 7) was noticed in larger size tumors of >5 cm (371 ± 168 U/L) in comparison with tumors of less than or equal to 5 cm (537 ± 323) ($P < 0.05$ in the Japanese group).

DISCUSSION

KL-6 was studied as a tumor marker in different malignancies like breast, lung and pancreatic cancer and it was reported to be elevated in up to 50% of these malignancies^[14]. Two previous studies by Moriyama *et al.*^[19, 20] addressed KL-6 as a tumor marker for HCC in patients with HCV-related chronic liver disease, and his results showed that the estimated cumulative incidence of HCC development in HCV-related chronic liver disease patients was significantly greater in patients with positive KL-6^[19] and suggested KL-6 to be used as a serological marker for HCC development in HCV-positive patients^[20]. In our study, we included consecutive patients with chronic liver disease seen at outpatient settings in two different ethnic groups of possible different risk factors for HCC^[22, 23] in order to have a wider spectrum of disease to judge KL-6 validity as a diagnostic test for HCC; however, one limitation was that most of the encountered patients in the two settings were actually with HCV-related disease with low proportion of HBV and non-viral-related disease. Our results showed a significantly higher mean KL-6 in HCC compared with non-HCC; either with or without LC; in addition no difference in mean KL-6 was found among HCC patients with and without LC; such findings together point to KL-6 association with HCC independent on the presence or absence of LC. A significantly higher mean KL-6 level was found in HBV-related in comparison with HCV-related HCC in both Egyptian and Japanese populations; a finding that deserves future study on a larger population of HBV-related disease. Our results also showed a significantly higher mean KL-6 level in HCC patients of Egyptian compared with Japanese race. The finding of a difference in the clinical background between both in terms of lower mean age and lower prevalence of HBV-related HCC could reflect a difference in the risk factors for HCC in both groups. Also, a higher prevalence of advanced Child class in the HCC Egyptian patients was observed that could stand behind the finding of higher mean KL-6 level in this group compared to their corresponding Japanese group. Although we excluded patients with overt schistosomal from this study, still some Egyptian patients had a past history of schistosomiasis with US evidence of hepatic periportal fibrosis (denoting a background of schistosomal liver disease) that could also explain the finding of higher mean KL-6 level in Egyptian HCC patients, if we consider the possibility that KL-6 could be a fibrosis marker too^[21]. This topic is highly suggested for future study.

Table 5 Comparison of the clinical profile of KL-6 positive and negative patients with and without HCC

	HCC (+)		P	HCC (-)		P
	KL-6 (+)	KL-6 (-)		KL-6 (+)	KL-6 (-)	
	n = 37	n = 73		n = 47	n = 187	
Mean age (yr) ¹	59±12	62±10	NS	57±12	56±13	NS
Cirrhosis	39 (81)	55 (76)	NS	16 (34)	65 (35)	NS
Child's C	13 (35)	15 (21)	NS	7 (15)	11 (6)	0.045
Mean ALT	74±101	62±61	NS	59±33	57±45	NS
Serum						
Albumin (g/L) ¹	2.9±0.7	3.3±0.7	0.029	3.5±0.9	3.8±0.8	0.041
Bilirubin (mmol/L) ¹	2.7±2.8	2.5±3.0	0.077	2.4±2.9	1.4±1.9	0.023
ALP (IU/L) ¹	564±475	505±469	0.021	316±139	299±152	NS
AFP (+)	36 (97)	58 (80)	0.013	13 (28)	37 (20)	NS
PIVKA (+)	23 (62)	44 (60)	NS	14 (30)	50 (27)	NS

¹Data is shown as mean±SD. Other data is shown as n (%).

Table 6 Comparison of mean serum KL-6 level among different study sub-groups

	Egyptian		P	Japanese		P
	HCC (+)	HCC (-)		HCC (+)	HCC (-)	
Chronic liver disease ¹	576 (522)	398 (185)	0.001	510 (300)	350 (147)	<0.001
HCV-related	558 (524)	400 (172)	0.008	356 (290)	382 (209)	>0.2
HBV-related	778 (663)	246 (72)	>0.2	877 (292)	340 (163)	<0.001
Non-viral	729 (538)	446 (309)	>0.2	262 ² ()	357 (160)	-
Cirrhotics	599 (586)	406 (159)	0.035	510 (350)	374 (196)	0.035
Non-cirrhotics	518 (325)	398 (185)	0.045	225 (73)	349 (222)	<0.001

¹The KL-6 values are shown as mean (SD) U/L. ²Only one patient's data.

Table 7 Difference in mean KL-6 level according to HCC size

	Egyptian (n = 65)	P ¹ value	Japanese (n = 45)	P value
Tumor size				
<3 cm	485±227		618±361	
3:<5 cm	643±685	>0.1	456±285	0.17
≥5 cm	581±420	>0.1	371±168	0.04

¹P value is shown for the difference group (<3 cm) and the other two groups.

We used a cut-off point of 500 U/L for KL-6 positivity in this study; however, applying the ROC analysis showed that a cut-off point of 334 U/L would give the best sensitivity in our study population of 60% compared with only 32% for a cut-off (500 U/L); however, the best specificity was obtained using the later. Moriyama *et al.* used a cut-off point of 300 U/L in his analysis of KL-6 in HCV-related disease^{19,20}. KL-6 serU/Level did not correlate with either serum AFP or PIVKA-II levels, which points to its behavior independently from either of them and this may justify its clinical significance as an independent tumor marker for HCC diagnosis when considered with both AFP and PIVKA-II. Our results also supported this finding as AFP specificity for HCC diagnosis improved from 78% for AFP alone and 93% of both AFP and PIVKA-II to 99% when combined with KL-6. Univariate analysis showed that low serum albumin, hyperbilirubinemia and elevated ALP were significantly

associated with positive KL-6 in HCC patients, while KL-6 showed no association with LC in turn, and this denotes a possible association between positive KL-6 and deterioration of hepatic condition in HCC patients independent from their cirrhotic status; a finding that might point to KL-6 as a predictor of tumor aggression and/or local or systemic metastasizing potential. A follow-up study is needed to confirm its exact role in this regard.

ACKNOWLEDGMENTS

We would like to thank Takeda Foundation, Osaka, Japan for their financial support. We also thank Dr. Alla Sad, Dr. Essam Abd Alla, Dr. Khaled Gad for their help with various laboratory techniques.

REFERENCES

- 1 Sherman M. Hepatocellular carcinoma: epidemiology, risk factors, and screening. *Semin Liver Dis* 2005; 25: 143-154
- 2 Szilagyi A, Alpert L. Clinical and histopathological variation in hepatocellular carcinoma. *Am J Gastroenterol* 1995; 90: 15-23
- 3 Yuen MF, Cheng CC, Laufer JJ, Lam SK, Ooi CG, Lai CL. Early detection of hepatocellular carcinoma increases the chance of treatment: Hong Kong experience. *Hepatology* 2000; 31: 330-335
- 4 Wong LL, Limm WM, Severino R, Wong LM. Improved survival with screening for hepatocellular carcinoma. *Liver Transpl* 2000; 6: 320-325
- 5 Johnson PJ. The role of serum alpha-fetoprotein estimation in the diagnosis and management of hepatocellular carcinoma.

- Clin Liver Dis* 2001; 5: 145-159
- 6 Iwai M, Kashiwadani M, Takino T, Ibata Y. Demonstration by light and ultrastructural immunoperoxidase study of alpha-fetoprotein-positive non-hepatoma cells and hepatoma cells during 3'-methyl-4-dimethylaminoazobenzene hepatocarcinogenesis. *Virchows Arch B Cell Pathol Incl Mol Pathol* 1988; 55: 117-123
 - 7 McIntire KR, Waldmann TA, Moertel CG, Go VL. Serum alpha-fetoprotein in patients with neoplasms of the gastrointestinal tract. *Cancer Res* 1975; 35: 991-996
 - 8 Gallo V, Cerutti E, Riberi A, Re M, Petrino R, Pecchio F. Alpha-fetoprotein and tissue polypeptide antigen in non neoplastic hepatic disorders. *J Nucl Med Allied Sci* 1989; 33: 89-93
 - 9 Alpert E, Feller ER. Alpha-fetoprotein (AFP) in benign liver disease. Evidence that normal liver regeneration does not induce AFP synthesis. *Gastroenterology* 1978; 74: 856-858
 - 10 Nguyen MH, Garcia RT, Simpson PW, Wright TL, Keeffe EB. Racial differences in effectiveness of alpha-fetoprotein for diagnosis of hepatocellular carcinoma in hepatitis C virus cirrhosis. *Hepatology* 2002; 36: 410-417
 - 11 Kohno N, Kyoizumi S, Awaya Y, Fukuhara H, Yamakido M, Akiyama M. New serum indicator of interstitial pneumonitis activity. Sialylated carbohydrate antigen KL-6. *Chest* 1989; 96: 68-73
 - 12 Stahel RA, Gilks WR, Lehmann HP, Schenker T. Third International Workshop on Lung Tumor and Differentiation Antigens: overview of the results of the central data analysis. *Int J Cancer Suppl* 1994; 8: 6-26
 - 13 Wesseling J, van der Valk SW, Vos HL, Sonnenberg A, Hilkens J. Episialin (MUC1) overexpression inhibits integrin-mediated cell adhesion to extracellular matrix components. *J Cell Biol* 1995; 129: 255-265
 - 14 Kohno N. Serum marker KL-6/MUC1 for the diagnosis and management of interstitial pneumonitis. *J Med Invest* 1999; 46: 151-158
 - 15 Sagara M, Yonezawa S, Nagata K, Tezuka Y, Natsugoe S, Xing PX, McKenzie IF, Aikou T, Sato E. Expression of mucin 1 (MUC1) in esophageal squamous-cell carcinoma: its relationship with prognosis. *Int J Cancer* 1999; 84: 251-257
 - 16 Utsunomiya T, Yonezawa S, Sakamoto H, Kitamura H, Hokita S, Aiko T, Tanaka S, Irimura T, Kim YS, Sato E. Expression of MUC1 and MUC2 mucins in gastric carcinomas: its relationship with the prognosis of the patients. *Clin Cancer Res* 1998; 4: 2605-2614
 - 17 Tanimoto T, Tanaka S, Haruma K, Yoshihara M, Sumii K, Kajiyama G, Shimamoto F, Kohno N. MUC1 expression in intramucosal colorectal neoplasms. Possible involvement in histogenesis and progression. *Oncology* 1999; 56: 223-231
 - 18 Suzuki K, Takada H, Oka S, Kanouzawa S, Iimuro M, Kitazumi Y, Arima T, Ohyama R, Kuwayama H. Clinical significance of KL-6, a marker of interstitial pneumonia, in cases of HCV-associated chronic liver disease. *Intern Med* 2003; 42: 650-654
 - 19 Moriyama M, Matsumura H, Mikuni M, Arkawa Y, Ohshiro S, Aoki H, Yamagami H, Kaneko M, Shioda A, Saito H, Tanaka N, Arakawa Y. The clinical significance of serum KL-6 levels in patients with type C liver diseases. *Hepatol Res* 2003; 25: 385-395
 - 20 Moriyama M, Matsumura H, Watanabe A, Nakamura H, Arakawa Y, Oshiro S, Aoki H, Shimizu T, Yamagami H, Kaneko M, Shioda A, Tanaka N, Arakawa Y. Detection of serum and intrahepatic KL-6 in anti-HCV positive patients with hepatocellular carcinoma. *Hepatol Res* 2004; 30: 24-33
 - 21 Hirasawa Y, Kohno N, Yokoyama A, Inoue Y, Abe M, Hiwada K. KL-6, a human MUC1 mucin, is chemotactic for human fibroblasts. *Am J Respir Cell Mol Biol* 1997; 17: 501-507
 - 22 Hassan MM, Zaghloul AS, El-Serag HB, Soliman O, Patt YZ, Chappell CL, Beasley RP, Hwang LY. The role of hepatitis C in hepatocellular carcinoma: a case control study among Egyptian patients. *J Clin Gastroenterol* 2001; 33: 123-126
 - 23 Tanaka K, Sakai H, Hashizume M, Hirohata T. A long-term follow-up study on risk factors for hepatocellular carcinoma among Japanese patients with liver cirrhosis. *Jpn J Cancer Res* 1998; 89: 1241-1250

Science Editor Guo SY Language Editor Elsevier HK

Identification of Novel HCV Subgenome Replicating Persistently in Chronic Active Hepatitis C Patients

Shintaro Yagi,¹ Kenich Mori,¹ Eiji Tanaka,² Akihiro Matsumoto,² Fumiko Sunaga,¹ Kendo Kiyosawa,² and Kenjiro Yamaguchi^{1*}

¹R&D Group, Advanced Life Science Institute, Inc., Saitama, Japan

²2nd Department of Internal Medicine, Shinshu University School of Medicine, Nagano, Japan

In an effort to clarify the life cycle of HCV, the HCV genome in liver biopsies taken from chronic active hepatitis C patients undergoing interferon treatment was investigated. Molecular cloning by long distance reverse-transcription polymerase chain reaction (RT-PCR) revealed that the HCV genome in two patients with high viral loads in the liver had in-frame deletions of approximately 2 kb between E1 and NS2, which encode the E1–NS2 fusion protein and six other HCV proteins: core, NS3, NS4A, NS4B, NS5A, and NS5B. Among the remaining 21 chronic active hepatitis C patients, these types of deletion were found in another two patients and in two hepatocellular carcinoma patients. Out-of-frame deletions in the structural region were isolated from the other five patients, but the dominant RT-PCR products were non-truncated genomes. Retrospective analysis of a series of serum samples taken from a patient carrying the subgenome with the in-frame deletion revealed that both the subgenome and the full genome persisted through the 2-year period of investigation, with the subgenome being predominant during this period. Sequence analysis of the isolated cDNA suggested that both the subgenome and the full genome evolved independently. Western blotting analysis of HCV proteins from the HCV subgenome indicated that they were processed in the same way as those from the full genome. HCV subgenomes thus appear to be involved in the HCV life cycle. *J. Med. Virol.* 77:399–413, 2005. © 2005 Wiley-Liss, Inc.

KEY WORDS: HCV; deletion; replication; biopsy

INTRODUCTION

Hepatitis C virus (HCV) is primarily transmitted via blood and blood-derived materials [Alter et al., 1989]

and often causes chronic hepatic diseases that progressively worsen to chronic active hepatitis, cirrhosis, and finally to hepatocellular carcinoma (HCC) [Kiyosawa et al., 1990, 1994, 2004; Alter and Seeff, 2000]. Interferon (IFN) and interferon with ribavirin treatment are effective in eradicating HCV from patients [Iino et al., 1994; McHutchison and Fried, 2003], improving liver histological findings, and in prolonging life in patients with hepatitis C [Yoshida et al., 1999; Kasahara et al., 2004]; however, their efficacy is limited.

HCV was first identified as cDNA clones, and was characterized molecularly using cDNA isolates [Choo et al., 1989; Kuo et al., 1989]. The HCV genome is single-stranded RNA of about 9,600 nucleotides with an untranslated region (UTR) at each end, and encodes a polyprotein of about 3,010 amino acids [Choo et al., 1989; Kato et al., 1990], which is processed into 10 proteins by a host peptidase and two HCV proteases [Hijikata et al., 1991, 1993; Grakoui et al., 1993a]; Core, E1, and E2 are structural proteins for virion formation, and NS3, NS4A, NS4B, NS5A, and NS5B are components of the replication machinery for the RNA genome [Houghton et al., 1994]. However, isolation of virion particles has been difficult owing to a lack of in vitro culture systems for HCV.

HCV replication in chimpanzee following intrahepatic injection of an RNA transcript from HCV genomic cDNA proved that a molecular clone could represent a functional HCV genome [Yanagi et al., 1997]. Lohmann

Shintaro Yagi's present address is Laboratory of Cellular Biochemistry, Animal Resource Science, Graduate School of Agricultural and Life Science, The University of Tokyo, Tokyo, Japan.

*Correspondence to: Kenjiro Yamaguchi, Advanced Life Science Institute, Inc., R&D Group, 2-10-23 Maruyamadai, Wako, Saitama, 351-0112, Japan. E-mail: kmori@alsi-i.co.jp

Accepted 20 July 2005

DOI 10.1002/jmv.20469

Published online in Wiley InterScience
(www.interscience.wiley.com)

et al. [1999] established a dicistronic subgenomic RNA that replicates in a hepatoma cell line (Huh7) and consists of the HCV NS protein coding region, the 5'- and 3'-UTR of HCV and a selective marker gene. HCV subgenomic RNA replicon systems are vital to the study of the mechanisms of HCV RNA replication, but there remain problems with regard to viral replication. Information obtained from liver biopsies of hepatitis C patients thus remains important in clarifying the life cycle of HCV.

Histological grading for diagnosis [Perrillo, 1997], immunohistochemical analysis, immuno-staining [Infantolino et al., 1990; Hiramatsu et al., 1992], electron microscopic analysis [Fagan et al., 1992], in-situ hybridization and in-situ reverse transcription polymerase chain reaction (RT-PCR) [Lau et al., 1996; Dries et al., 1999], and quantitation of HCV RNA in liver biopsy specimens [Sakamoto et al., 1994; Nuovo et al., 2002] have all been used to demonstrate HCV replication in liver. However, molecularly characterized data have been limited; HCV RNA isolated from liver was found to be equivalent in size to the well-characterized RNA seen in circulating HCV [Nielsen et al., 2004], thus confirming HCV replication in liver.

In order to obtain data to elucidate the nature of HCV in liver, viral loads and the structure of the HCV genome in patient liver biopsy specimens were examined. A highly sensitive ELISA for quantitation of the HCV core antigen [Aoyagi et al., 1999; Tanaka et al., 2000] and a quantitative RT-PCR system were applied to estimate viral loads in serum and liver biopsies. For structural analysis of the whole genome in specimens, molecular clones were used. Surprisingly, it was found that novel HCV subgenomes were predominant in several patients and, in one patient, these persisted for several years. The nature of these subgenomes are described and discussed in this paper.

MATERIALS AND METHODS

Samples and Antibodies

Serum and liver biopsy specimens were taken from patients undergoing IFN- α treatment at Shinshu University Hospital. Informed consent was obtained from all patients from whom samples were taken. A 7.2 mega-unit dose of IFN- α was administered daily for 2 weeks, followed by three times per week for 22 weeks. Serum samples were collected 1 or 2 months before administration of IFN- α . A series of serum specimens was collected from one patient from before IFN treatment until 1 year after treatment. Liver biopsy specimens from two HCC patients were obtained from surgically removed cancerous liver tissues.

An anti-core monoclonal antibody (5E3) has been described previously [Kashiwakuma et al., 1996]. Anti-E1 monoclonal antibody was raised against recombinant E1 and E1/E2 proteins expressed in Sf-9 cells infected with recombinant baculoviruses (Yamaguchi unpublished). Anti-NS3 rabbit polyclonal antibody was purified from the serum of rabbits immunized with the

recombinant NS3 antigen expressed in *E. coli* [Saito et al., 1992]. Anti-mouse and rabbit immunoglobulin antibody conjugated with HRP were purchased from Kirkegaard & Perry Laboratories, Inc. (Gaithersburg, MD) and Bio-Rad Laboratories (Tokyo, Japan), respectively. All primers were purchased from Sigma Genosys (Tokyo, Japan) and Texas Genomics Japan (Tokyo, Japan), and sequences are available on request.

Quantitation of HCV Core Antigen

Quantities of HCV core antigen were measured by EIA as described previously [Aoyagi et al., 1999; Kato et al., 2003]. The concentration of core antigen was expressed in fmol/L, and the cut-off value of the assay was set at 7.5 fmol/L. For quantitation of the core antigen in liver, extracts were diluted to 100 μ g of liver protein per milliliter with negative control serum before pretreatment of the samples. Samples were heated at 56°C for 30 min with pretreatment solution containing SDS, CHAPS, and Triton X-100, and were then added to wells pre-coated with anti-HCV core antibodies, and reaction buffer was used to fill the wells. Captured core antigen after 1-hr incubation was reacted for 30 min with anti-HCV antibodies conjugated with horseradish peroxidase after stringent washing. Bound enzyme activities were measured using a Fusion plate reader (PerkinElmer, Tokyo, Japan) with a chemiluminescent reagent (SuperSinal Pico ELISA, Pierce, Rockford, IL)

Real-Time PCR Assay for HCV RNA

HCV RNA was recovered from samples by using the QIAamp viral RNA kit (QIAGEN K.K., Tokyo, Japan) according to the manufacturer's instructions. HCV RNA was reverse-transcribed and amplified using QuantiTect One-Step RT-PCR kit (QIAGEN) with primers. For quantitation of the 5'-UTR, the forward primer, chiba-s (5'-TAGTGGTCTGCGGAACCGGT-3'), and reverse primer, chiba-as (5'-TGCACGGTCTACGAGACCT-3'), yielded fragments corresponding to nucleotides 141–339 of HCV RNA. In the case of the E2 region, HC1986S (5'-TGTTTCGGCTGYACATGGATGAA-3') and HC2199AS (5'-GGRTAGTGCCARAGCCTGTATGGGTA-3') primers were used. Reactions were performed with a LightCycler system (Roche Diagnostics K.K., Tokyo, Japan), and fluorescence by SYBR green was monitored after each elongation reaction for real-time monitoring of DNA products during PCR. The amount of HCV RNA was calculated according to the calibration curve produced with serial dilutions of standard RNA synthesized by T7 RNA polymerase (Ambion, Inc., Austin, TX) from plasmids carrying the HCV cDNA isolate (genotype 1b). To examine the specificity of PCR, the melting point of DNA products was analyzed by melting curve analysis using LCDA software (Roche Diagnostics).

Cloning and Analysis of HCV cDNA

HCV cDNA was amplified by long distance RT-PCR (LD-RT-PCR) as described previously [Tellier et al., 1996;

TABLE I. Viral Data of Patients

Patient No.	HCV genotype	Viral loads				PCR primer sets for positive results		
		Serum		Liver biopsy		Non-truncated genome	Truncated genome	Test primer sets
		Core antigen (fmol/L)	HCV RNA (copies/ml)	Core antigen (fmol/g protein)	HCV RNA (copies/g protein)			
368	1b	17,108.5	1.73×10^5	5,462.4	4.71×10^8	—	j	j
207	1b	12,695.2	1.44×10^5	30,792.3	1.43×10^{10}	NT	NT	NT
204	1b	5,082.4	5.74×10^4	8,779.7	2.22×10^9	i	—	i
274	1b	1,034.4	4.24×10^3	2,651.7	3.56×10^7	a, b, c, d	e	a-e
193	1b	988.8	3.09×10^4	14,519.9	1.07×10^9	a, b, c, d, e	—	a-e
331	1b	922.2	2.03×10^3	2,387.1	2.84×10^8	a, c, d	b, e	a-e
325	1b	623.5	3.82×10^3	10,127.9	7.28×10^7	a, b, c, d, e	d	a-e
288	1b	254.5	1.00×10^1	4,037.9	9.50×10^6	a, b, c, d, e	d, e	a-e
299	1b	166.6	1.14×10^3	1,287.8	5.35×10^7	c, d	—	a-e
295	1b	1.0	5.11×10^1	261.5	2.62×10^7	a, b, c, d, e	b	a-e
171	1b	1,077.3	6.42×10^3	3,781.8	6.91×10^6	c, d	b	a-e
257	1b	12.7	1.06×10^2	568.5	2.78×10^7	d	—	a-e
372	1b	723.7	2.28×10^4	1,784.1	3.35×10^8	a, b, c, d, e	—	a-e
373	1b	597.0	8.31×10^3	33,919.0	2.65×10^9	—	a, c, d	a-e
248	2a	209.3	2.58×10^2	4,417.1	3.70×10^8	—	—	a-e
235	2a	3,616.2	3.66×10^2	7,462.1	1.55×10^9	c	—	a-e
203	2b	95.1	1.46×10^2	5,590.9	1.82×10^9	—	b, d	a-e
178	2b	34.5	8.08×10^1	609.1	4.51×10^7	—	—	a-e
297	2	3,112.7	8.35×10^3	2,883.6	1.14×10^8	—	—	a-e
298	2a	180.0	8.09×10^2	3,015.0	1.76×10^9	b	—	a-e
305	2a	173.6	1.12×10^3	1,782.8	5.96×10^7	b	—	a-e
201	2a	127.6	2.40×10^3	497.6	1.87×10^7	—	—	a-e
357	2	227.2	3.11×10^3	321.9	2.29×10^7	—	—	a-e

NT: not tested.

Yanagi et al., 1998]. HCV cDNA was synthesized using Superscript II reverse transcriptase (Invitrogen K.K., Tokyo, Japan) with HC1b9405R primer (5'-GCCTA-TTGCCCTGGAGTGTTTAGCTC-3'). After RNase H

(Invitrogen) treatment at 37°C, a cDNA mixture was subjected to PCR with KlenTaq DNA polymerase (BD Biosciences Clontech, Tokyo, Japan), HClong A1 primer (5'-GCCAGCCCCCTGATGGGGCGACA-

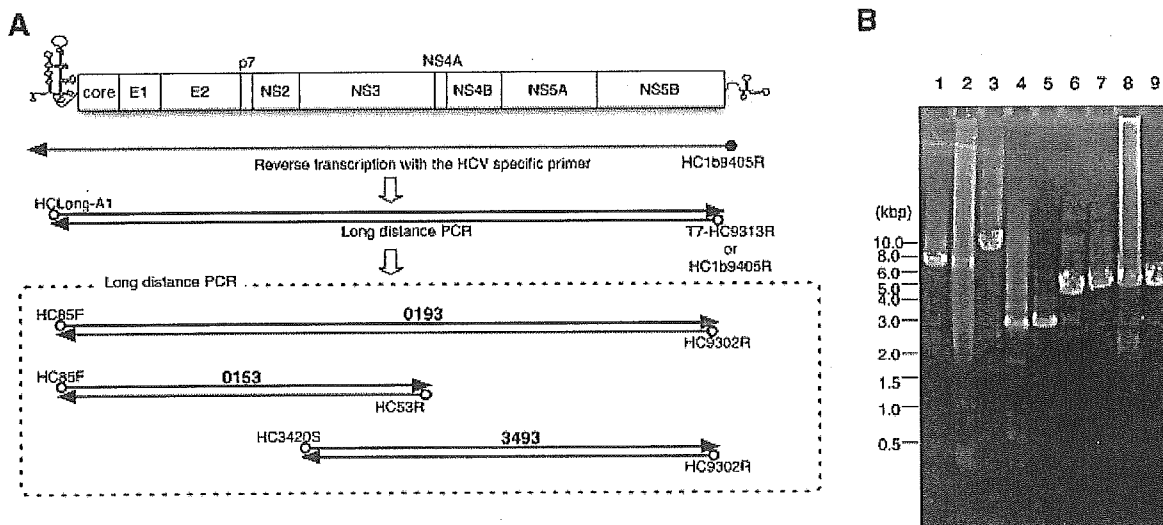


Fig. 1. Molecular cloning of HCV genome by long distance reverse-transcription PCR. A: Schematic view of HCV RNA is shown at the top of the figure. HCV cDNA, which was synthesized from total RNA from liver using reverse transcriptase with HCV-specific primers for the 3'-UTR, was amplified by nested PCR with HCV-specific primers. The longest LD-RT-PCR product, 0193, covered 99% (amino acids 1-2,987) of the HCV polyprotein coding sequence of genotype 1b HCV (length: 3,011 amino acids). Fragments obtained by LD-RT-PCR using HC85F

and HC9302R, HC85F, and HC53R; and HC3420S and HC9302R were designated 0193, 0153, and 3493, respectively. After agarose gel electrophoresis, LD-RT-PCR products from liver biopsy samples were stained with ethidium bromide. B: Lanes 1-3, lanes 4-6, and lanes 7-9 represent 0193, 0153, and 3493 fragments from Patient 207 (lanes 1, 4, and 7), 373 (lanes 2, 5, and 8), and control HCV cDNA (lanes 3, 6, and 9), respectively. The positions of markers are indicated at the left side of the image.

CTCCACC-3') and T7-HC9313R primer (5'-TCTAGTC-GACGGCCAGTGAATTGTAATACGACTCACTCTAG-GGCGGCGGGTTCGGGCWCGNGACABGCTGTGA-3') or HC1b9405b for 35 cycles of denaturation at 94°C for 20 sec and extension at 68°C for 9 min. Second-round PCR was carried out with the primers, HC85F (5'-ATGGCGTTAGTATGAGTGTCTGTCGAGCCT-3') and HC9302R (5'-TCGGGCACGAGACAGGCTGTGATA-TATGTCT-3'), HC85F and HC53R (5'-GCTTAAGTG-ACGACCTCCAGGTCAGCCGACAT-3'), and HC3420S (5'-GCGCCCATCACGGCCTACTCCCAACAA-3') and HC9302R, for 20 cycles under the same conditions as

first-round PCR. PCR products were purified from the gel using a QIA-quick gel kit (QIAGEN), and were then cloned into the pGEM-T easy vector (Promega K.K., Tokyo, Japan). The cDNA clones, LV207-0193-1, -3, -15, and -6, and LV373-0193-10, LV373-0153-5, and LV373-0153-6 were obtained from liver biopsy samples from Patient 207 and 373, respectively.

Nucleotide sequences of the cloned cDNA fragments were determined using a CEQ-2000 XL analysis system with a DTCS quick start kit and HCV-specific primers according to the manufacturer's instructions. Sequence data were analyzed on Macintosh computers with the

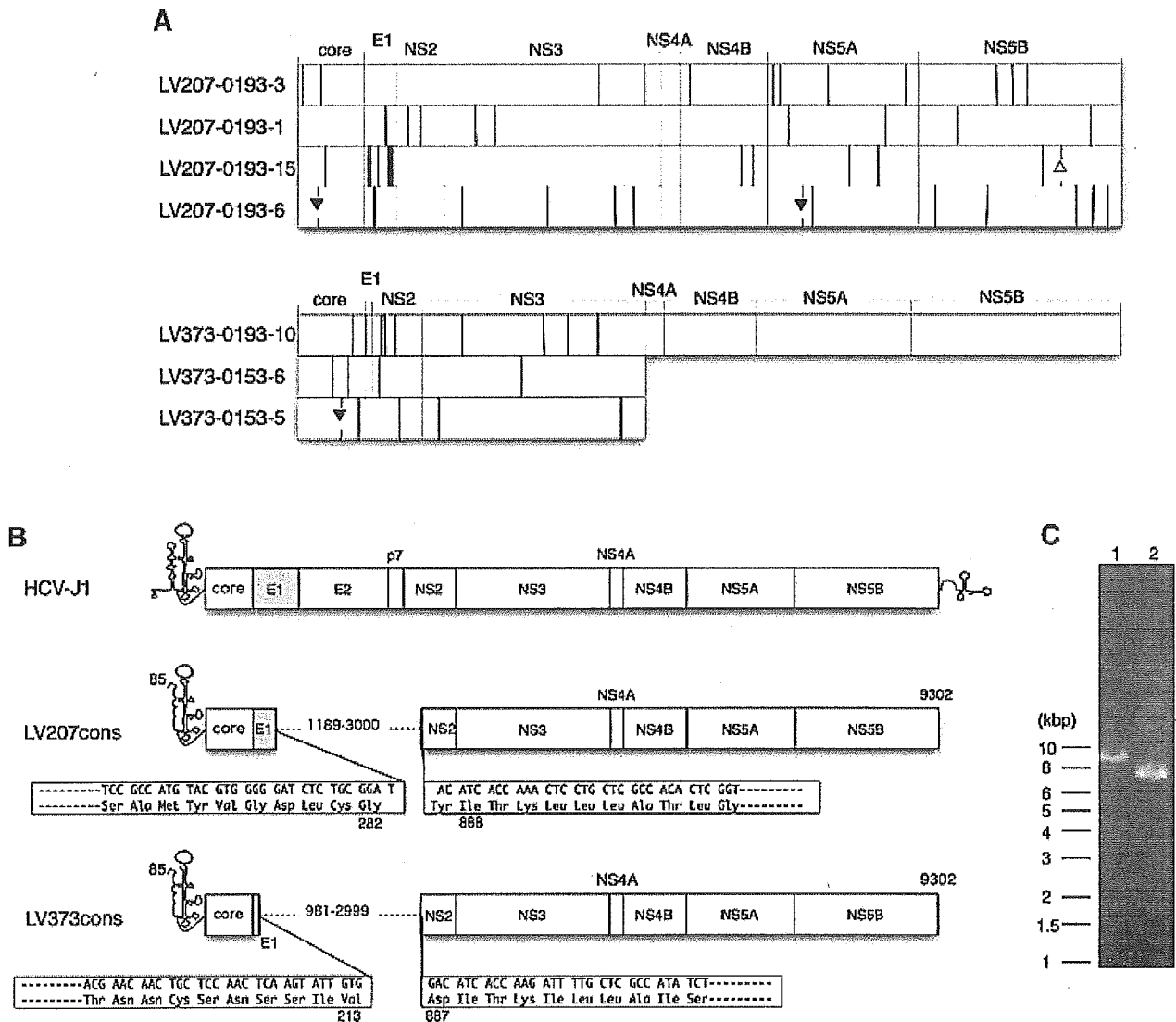


Fig. 2. Schematic presentation of HCV subgenomes from livers containing in-frame deletion. **A**: Comparisons of the deduced amino acid sequences of LD-RT-PCR fragments cloned with pGEM-T Easy are depicted. The cDNA isolates from liver biopsy are designated by the prefix LV followed by Patient numbers and fragment names described in legend of Figure 1. Bold bars indicate the positions of amino acids that differed. Closed triangles show the positions of stop codons, and open triangles indicate base deletions. **B**: Schematic HCV cDNA structures with their nucleotide sequences and deduced amino acid

sequences around the boundary of the deletions are depicted. The deleted regions were represented as nucleotide positions as those corresponding to HCV-J1 by numbers between the dotted tagged boxes. Boundaries of the deletions are shown as amino acid positions corresponding to those of HCV RNA (HCV-J1) on the bottom line of the graphs. **C**: Images of agarose gel electrophoresis of LD-RT-PCR products amplified from in vitro non-truncated (lane 1) G14 and truncated (lane 2) RNA transcripts from Donor G14 and Patient 207 cDNAs, respectively.

Sequencer (Gene Code Corporation, Ann Arbor, MI), MacVector (Accelrys K.K., Tokyo, Japan), and EMBOSS [Rice et al., 2000] software packages.

Construction of HCV cDNA Expression Vectors

HCV RNA reverse transcribed with HC1b9405R from Patient 207 liver biopsy was subjected to PCR using the primers T7-HCLongH1 (5'-TCTAGTCGACGGCCAGTGAATTGTAATACGACTCACTATAGGGCGGCCAGCCCCCTGATGGGGGCGACACTCCACC-3') and core-cla-as (5'-GCCGCATGTAAGGGTATCGATGACC-3') in order to amplify the entire 5'-UTR, and cloned (LV207-H1-Cla). To obtain the 3'-UTR cDNA (LV373-3'UTR), cDNA was synthesized with HC8913F primer (5'-CTTGAAAAAGCCCTGGATTGTCAGAT-3') from the minus strand RNA of Patient 373 liver sample. cDNA was amplified by PCR with HC8913F and RP2 (5'-ACATGATCTGCAGAGAGGCC-3'), and followed by PCR with HC8939F and R1 (5'-ACATGATCTGCAGAGAGGCCAGTATCAGCACTCTC-3').

Ligation of the fragments from LV207-0193-1, -15, and -6, the 5'-UTR-core fragment and the 3'-UTR fragments gave the chimeric HCV cDNA (LV207cont). The cDNA encoding the HCV subgenome was inserted into pcDNA3.1 (Invitrogen) to construct pcD/LV207cont. A Cla I site in the core region and an Asc I site in the NS2 region of pcD/LV207cont were introduced using Quick-Change II site-directed mutagenesis kits with primers. Insertion of the corresponding fragment from E1 to NS2, which was obtained from HCV-J1 cDNA [Aizaki et al., 1998] by PCR with core-cla-s (5'-GGTCATCGA-TACCCTTACATGCGGC-3') and Asc-M-as (5'-CCTTC-CTCGGCGCGCCGAGACRGGTAGACCCRAGATGAT-GTCCCCACA-3') generated pcD/J1NLV.

In Vitro Synthesis of Truncated and Non-Truncated RNA Transcripts and Subsequent RT-PCR

Truncated cDNA, LV207cont, having the T7 promoter sequence was inserted into pBluescript II (Stratagene) to give pLV207cont. A plasmid carrying non-truncated HCV cDNA was constructed by inserting full-length cDNA derived from G14 plasma, in which only full-length HCV RNA was detected, into pBluescript II. Truncated and non-truncated RNA was synthesized using MEGAscript T7 kit (Ambion, Inc.) according to the manufacturer's instructions.

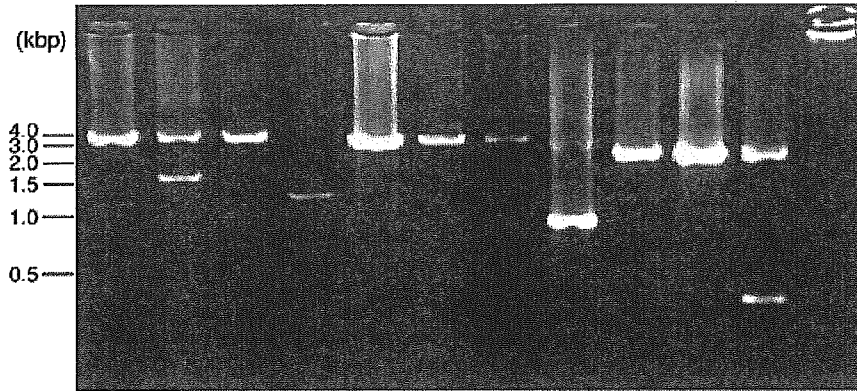
RNA transcripts (10^4 copies) were mixed with RNA extracted from uninfected liver tissue, and were reverse-transcribed and amplified by same protocol used to obtain truncated cDNAs. In addition, RNA transcripts and extracted RNA from plasma or serum were amplified by RT-PCR using primers targeting the junction site of LV207 cDNA. RNA was reverse-transcribed and amplified using QuantiTect SYBR GREEN RT-PCR Kit (QIAGEN) with sense, LV207-1S (5'-GCGTCCCC-ACTAAGGCAATA-3'), and antisense primers, LV207-3AS (5'-AGCAGGAGTTTGGTGATGATCCG-3'), for the

TABLE II. List of Primers Used for Detecting Truncated and Non-Truncated HCV Genomes

Reverse transcription	Primer sets									
	a	b	c	d	e	f	g	h	i	j
Sense	HC3945R	HC3481R	HC3945R	HC3945R	HC3297R	HC3945R	HC3945R	HC3945R	HC3945R	HC3481R
Antisense	HClongA1	HClongA1	HC813S	HC813S	HClongA1	HClongA1	HClongA1	HClongA1	HC813S	HClongA1
1st PCR	HC3945R	HC3481R	HC3945R	HC3945R	HC3297R	HC3945R	HC3945R	HC3945R	HC3297R	HC3481R
2nd PCR	HC85F	HC85F	HC841S	HC841S	HC85F	HC85F	HC85F	HC841S	HC841S	HC85F
	HC3297R	HC3297R	HC3759R	HC3111AS	HC3174AS	HC3297R	HC3759R	HC3759R	HC3174S	HC3297R

A

Primers	HC3481R				HC3945R				HC3945R			
cDNA synthesis												
1st PCR	HClongH1 X HC3481R				HC813S X HC3945R				HC813S X HC3174R			
2nd PCR	HC85F X HC3297R				HC841S X HC3759R				HC841S X HC3111AS			
Patient no.	274	295	325	373	274	295	325	373	274	295	325	373



B

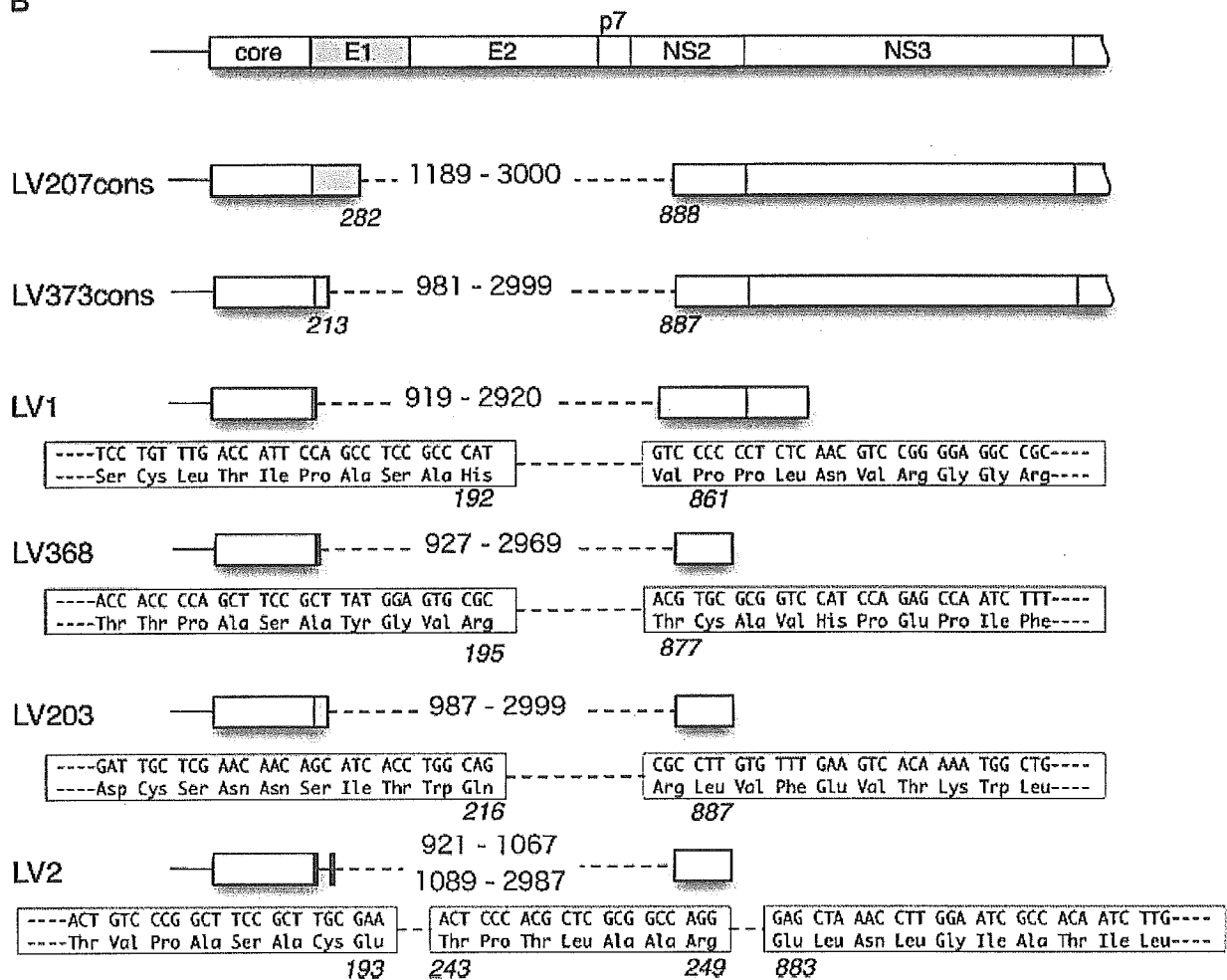


Fig. 3.

junction site. The expected length of the PCR fragment was 112 bp.

Analysis of HCV Proteins by Western Blotting

HCV cDNA expression plasmids were transfected into HEK293 cells with Lipofectamine 2000 (Invitrogen) according to the manufacturer's instructions. After 24 hr, cells were recovered and lysed in RIPA buffer containing protease inhibitor cocktails. After removing debris by centrifugation, the lysate was subjected to SDS-polyacrylamide gel electrophoresis (10–20% gradient gel, Daiichi Chemical, Tokyo, Japan), and proteins were transferred to a PVDF membrane (Millipore, Tokyo, Japan) under semi-dry conditions. The membrane was probed with the relevant antibodies. Bound antibodies were detected with anti-mouse or rabbit antibodies conjugated with HRP, and visualized by detecting the chemiluminescent signals developed using SuperSignal West Pico reagent (Pierce) with the LAS-1000 image analysis system (Fujifilm K.K., Tokyo, Japan) or exposure to Kodak Bio Max film. The membrane was rinsed in Restore reagent (Pierce) in order to remove bound antibodies, and was then probed with other antibodies.

RESULTS

Quantitation of HCV in Clinical Specimens

Table I summarizes viral parameters in 23 chronic active hepatitis C patients subsequently treated with interferon. All patients responded to IFN administration, exhibiting reduced virus titers and alanine transaminase (ALT) levels in serum; however, IFN efficacy varied (not shown). Serum and liver specimens were taken before IFN administration, and HCV viral loads were then determined by quantitation of HCV RNA by real-time RT-PCR, and by measuring HCV core antigen levels using core antigen ELISA (Table I). Correlations among viral loads were analyzed. The amount of HCV core antigen and RNA in serum and liver were well correlated ($R = 0.968$ and $R = 0.728$, respectively). In contrast, the correlation between HCV titers in serum and liver was significant but poor ($R = 0.575$ stand for core antigen and $R = 0.373$ for RNA). No obvious correlation was observed between efficacy and viral load in liver biopsy specimens.

Truncated Subgenomic HCV RNA in the Liver

In order to investigate the structure of the HCV genome in patient liver, HCV cDNAs were cloned from

two cases with high viral load (207 and 373). Figure 1A shows the strategy of LD-RT-PCR for cloning of the HCV genome. The length of LD-RT-PCR products varied between the patients. Comparison with the control cDNA, which was previously cloned from a blood donor (G14) plasma sample, indicated a 2-kb deletion occurred in the 5'-half of the HCV RNA from these patients (Fig. 1B).

The nucleotide sequences of cDNA clones from Patient 207 liver (LV207-0193-1, -3, -6 and -15) were analyzed and the deduced amino acid sequences were compared (Fig. 2A). All clones had almost identical sequences. While the open reading frames (ORFs) of two isolates were discontinued (by two stop codons in LV207-0193-6 and a one-base deletion in LV207-0193-15), LV207-0193-1 and -3 encoded a continuous 2,383 amino acid polypeptide. In the case of Patient 373, LV373-0193-10 encoded a 2,314 amino acid polypeptide. Two cDNA clones, LV373-0153-6 and -5, overlapped with LV373-0193-10, and identity in the nucleotide sequence of the overlapped region was 98%. With the exception of LV373-0153-5, which contained an in-frame stop codon, each cDNA had a contiguous ORF. The consensus HCV cDNA sequence for each patient was generated from these cDNA isolates (Fig. 2B).

Sequence comparison with authentic genotype 1b HCV (J1) [Aizaki et al., 1998] is illustrated in Figure 2B. Both consensus sequences from patient livers lacked sequences between E1 and NS2; the regions corresponded to the nucleotides 1189–3000 (amino acids 283–887), and 981–2999 (amino acids 214–886) of HCV-J1.

To confirm that the deletion was not produced during LD-RT-PCR (due to effects such as strong RNA secondary structure), non-truncated RNA transcripts were reverse-transcribed and amplified by the same protocol to give the truncated genome in Patient 207. While a deleted DNA fragment of about 7 kbp was amplified from truncated RNA transcripts of Patient 207, a full-length DNA fragment of about 9 kbp was amplified from non-truncated RNA transcripts of Donor G14, (Fig. 2C).

Deletions Found in Livers of Other Patients

In order to examine the incidence of HCV subgenomes with E1–E2 deletions, HCV genomes in other liver specimens were examined by RT-PCR with the primers listed in Table II. Two surgical samples (Patient 1 and 2) from hepatic cirrhosis patients with hepatocellular carcinoma were also subjected to this analysis. While the patterns of PCR fragments depended on the primer sets (Fig. 3A, Table II), they were classified into four

Fig. 3. Demonstration of deletions in the structural protein coding sequences of HCV genomes from patient livers. A: RNA from patient biopsies was analyzed by RT-PCR with primers for 5'-UTR to NS2 or core to NS2. Primer sets and Patient numbers are shown above the agarose electrophoresis image, and positions of the DNA markers are indicated on the left side of the image. B: Illustration shows schematic views of in-frame deletions and sequences of PCR fragments obtained by RT-PCR with the 5'-UTR and NS2 primers. cDNA isolates were designated as described in legend of Figure 2. The clones (LV1, LV368,

LV203, and LV2) of Patient 1, 368, 203, and 2 were obtained by RT-PCR using primer sets g, j, b, and f (Table II), respectively. Only truncated fragments were amplified in these cases after RT-PCR for 5'-UTR to NS2 (Table I). Structures of the truncated HCV genomes, LV207cons and LV373cons, are shown for comparison. Numbers between dot-tagged graphs represent deleted regions as they correspond to HCV-J1. Bottom lines show the corresponding amino acid positions of the deletion boundaries.

types (Table I): no amplified fragments (five cases); fragments corresponding to the non-truncated HCV genome (eight cases); fragments corresponding to both the truncated and non-truncated genomes (six cases); and fragments corresponding only to the truncated genome (four cases, including Patient 207 and 373).

RT-PCR of HCV RNA in Patient 1, 368, and 203 yielded only fragments corresponding to the truncated HCV genome. The isolated molecular clones contained in-frame deletions of amino acids extending from E1 to NS2, similarly to those isolated from Patient 207 and 373. Although cDNA from Patient 2 contained two in-frame deletions in E1 and E1–NS2, it shared the characteristics of the truncated genome; entire core, partial E1, and NS2 (Fig. 3B).

In cases with both truncated and non-truncated HCV cDNA, the predominant PCR product was non-truncated (Table I, Fig. 3A). Figure 4 shows the structures of the cDNA isolates. Deletions similar to those described above were found only in Patient 325. Patient 295, 288, 274, and 331 had out-of-frame deletions, and except for a clone from Patient 331 [LV331-(i)], sequence comparison between truncated and non-truncated HCV cDNA indicated sequence identity (3–8 nucleotides in length) in these out-of-frame deletions between the deletion donor and acceptor regions.

In Patient 207, Both Truncated and Non-Truncated HCV RNA Co-Existed for Years

E2 primers for PCR were designed to avoid PCR bias under competitive PCR conditions in order to confirm the presence of the non-truncated HCV genome in Patient 207 [Alvarez et al., 2000]. Two overlapping HCV cDNA sequences (LV0922 and LV2030) were isolated from a liver biopsy specimen from Patient 207 (Fig. 5A). The overlapping regions of the consensus sequences (LV0922cons and LV2030cons in Fig. 5B) were identical; however, the amino acid sequence identity to the truncated HCV genome (LV207cons: consensus sequence determined with isolates) was 92.1% in the E1 region, and 82.1% in the NS2 region (Fig. 5B).

Both the truncated and non-truncated genomes were detected by RT-PCR in serum from Patient 207 (Fig. 5A). The sequence of an isolate (S831) showed 99.4% nucleotide and amino acid sequence identity with LV207cons and the same in-frame deletions (Fig. 5A). The cDNA for the non-truncated genome (S2531) was also isolated from the serum by PCR with primers for E2 sequences. This cDNA was nearly identical (99.8% in nucleotide sequence) to that from the liver (LV2030cons), but differed from the truncated HCV genome identified in the liver (LV207cons) and serum (S831) (Fig. 5B).

From the RNA extracted from Patient 207 serum, a PCR fragment of the expected length was amplified using anti-sense primer for junction site at the deleted position between E1 and NS2 of the truncated genome of Patient 207. However, the PCR fragment was not amplified from the RNA of Donor G14 plasma, in which only the full-length HCV genome was detected. Simi-

larly, the PCR fragment was amplified from truncated RNA transcripts, but not amplified from non-truncated RNA transcripts (Fig. 5C).

In order to examine the persistence of both HCV genomes, a series of serum specimens consisting of samples obtained from Patient 207 were examined. Only the truncated RNA was detected by RT-PCR with primers for the core and NS2 in all samples. The sequences of PCR fragment clones were conserved at both the nucleotide and amino acid level (92–99% identity, Fig. 6A). All clones had the same deletions as observed in biopsy samples (Fig. 6A) at the E1–NS2 junction. Novel quasispecies with amino acid deletions at 13 amino acids upstream of the junction were found in March 1998 (designated as 9803). Another quasispecies was identified in March 1999. HCV E2 cDNAs of the non-truncated genome were isolated by RT-PCR from all serum samples, and were found to have conserved sequences (Fig. 6B).

Significant Difference in the Ratio of Truncated to Non-Truncated Genome Between Serum and Liver

HCV RNA from Patient 207 was measured by real-time RT-PCR for the 5'-UTR and E2 sequences. The quantity of 5'-UTR is indicative of the entire HCV genome, while that of E2 is only indicative of the non-truncated genome. The ratio of HCV E2 RNA to 5'-UTR RNA in serum was nearly constant throughout the 15-months monitoring period (Table III). Significant differences in the ratio of truncated to non-truncated genome were observed between liver and serum RNA samples; the ratio of HCV 5'-UTR to E2 RNA in liver specimens was about a hundred times of those in serum.

Expression of Truncated HCV cDNA In Vitro

HCV proteins processed from subgenomic HCV cDNA were investigated in a transient cDNA expression experiment. Chimeric cDNA for the truncated genome, LV207cont, consisted of cDNA fragments selected from 4 cDNA isolates from Patient 207 and the 3'-end of NS5B to the 3'-UTR sequence isolated from another patient (Fig. 7A). For expression of full-length HCV cDNA, chimeric cDNAs having the core–NS2 sequence of LV207cont instead of the corresponding region of J1 HCV cDNA (J1NLV) were used.

Figure 7B shows the Western blots of lysates from cells transfected with cDNA expression vectors. Anti-core monoclonal antibody detected a 19-kDa protein, thus indicating that the truncated polyprotein was correctly processed at the core–E1 junction. Each 35-kDa protein expressed from HCV cDNA, which was reactive to anti-E1 monoclonal antibody, was susceptible to endoglycosidase (Endo H), and converted to different molecular masses by enzyme treatment. The migration of deglycosylated E1 reactive peptide from the truncated HCV cDNA corresponded to the predicted molecular mass of the E1–NS2 fusion protein, 24 kDa, which differed from that of the non truncated E1 (19 kDa). The