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# A UGT2B17-positive donor is a risk factor for higher transplantrelated mortality and lower survival after bone marrow transplantation

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Allogeneic haematopoietic stem cell transplantation (HSCT) from a human leucocyte antigen (HLA)-identical donor is curative for various haematological malignancies but also carries a risk of transplant-related mortality (TRM) caused by graft-versus-host disease (GVHD), toxicity from chemoradiotherapy, and infections (Storb et al, 1986). Development of GVHD results from donor T-cell responses to recipient minor histocompatibility (H) antigens, which consist of HLA-bound peptides derived from cellular proteins encoded by polymorphic genes that differ between transplant donor and recipient (Goulmy, 1997). The identification and characterization of genes that encode minor H antigens may lead to strategies to improve the outcome of allogeneic HSCT. However, only a small number of human

minor H antigens have been molecularly characterized, and

### Summary

We recently identified a human minor histocompatibility (H) antigen, encoded by UDP glycosyltransferase 2 family, polypeptide B17 (UGT2B17), whose immunogenicity results from differential expression in donor and recipient cells as a consequence of a homozygous deletion of the UGT2B17 gene. UGT2B17 is highly expressed in the liver and colon, which are major targets for graft-versus-host disease (GVHD). To assess the significance of homozygous UGT2B17 gene deletion in allogeneic haematopoietic stem cell transplantation (HSCT), we analysed DNA from 435 stem cell transplant recipients with a haematological malignancy and their human leucocyte antigen-identical unrelated bone marrow donors using sequence-specific primer polymerase chain reaction. Homozygous deletion of the UGT2B17 gene was observed in 85% of normal donors and in 82% of patients. The analysis showed no significant association between UGT2B17 mismatch in the GVHD direction and the incidence of acute GVHD, chronic GVHD, relapse, or survival. However, the use of a UGT2B17-positive donor was an independent risk factor for higher transplant-related mortality and lower survival after transplantation. UGT2B17 is a metabolic enzyme for hormones, drugs, and potentially toxic exogenous compounds and is expressed in subsets of haematopoietic cells. Thus, the enzyme function of UGT2B17 in donor cells may affect the outcome of allogeneic HSCT.

Keywords: haematopoietic stem cell transplantation, UDP glycosyltransferase, minor histocompatibility antigen, transplant-related mortality, graft-versus-host disease.

their influence on HSCT outcome remains speculative (Roopenian et al, 2002).

A minor H antigen encoded by the UDP glycosyltransferase 2 family, polypeptide B17 (UGT2B17) gene was recently identified (Murata et al, 2003). This minor H antigen is presented by HLA-A29 and was recognized by a T-cell clone isolated from a patient with GVHD involving the gastrointestinal tract, liver and skin. UGT2B17 is highly expressed in the liver and colon, and antigen-presenting cells, prepared from the pretransplant patient blood, stimulated the T-cell clone to release interferon- $\gamma$  consistent with a role for recipient antigen-presenting cells in the induction of acute GVHD (Shlomchik et al, 1999). UGT2B17 is immunogenic because of differential expression in donor and recipient cells as a consequence of a homozygous deletion of the UGT2B17 gene in the donor involving a large

portion of the gene, including the ATG initiation codon and the last exon (Murata et al, 2003).

UGT2B17 is a member of UGT2B multigene family, which serve a major role in the conjugation and subsequent elimination of potentially toxic exogenous compounds, as well as endogenous compounds including steroid hormones and bilirubin (Tukey & Strassburg, 2000). There is a high degree of homology in the amino acid sequences of UGT2B17 and other UGT2B family members, and overlapping substrate specificity presumably explains the lack of an observed clinical phenotype in individuals with UGT2B17 deletion. However, there is some disparity in the amino acid sequence of UGT2B17 and other family members and it is possible that UGT2B17 provides minor H antigens in addition to the epitope presented by HLA-A29. It is also possible that differences in UGT2B17 enzymatic function could affect the metabolism of drugs used for HSCT. A recent study showed that UGT2B7, another UGT2B family member, glucuronidates ciclosporin A (CsA) and tacrolimus, and additional UGT members have been suggested to participate in the metabolism of these drugs (Strassburg et al, 2001). Thus, the UGT2B17 genotype of the donor or recipient could influence the outcome of allogeneic HSCT, including GVHD and TRM, by serving as a source of minor H antigens or by modifying metabolism of drugs used in transplantation.

In this study, we determined the frequency of the homozygous deletion of UGT2B17 gene in patients with haematological diseases and their unrelated bone marrow donors, and analysed the association between homozygous UGT2B17 deletion either in the donor or recipient with outcome after allogeneic HSCT. Homozygous UGT2B17 deletion was observed in 85% of normal Japanese donors, which is dramatically higher than that (11%) observed in normal White donors (Murata et al, 2003). There was no significant association between transplant of UGT2B17-positive recipient from UGT2B17-deleted donor and incidence of GVHD. However, the use of an UGT2B17-positive donor was an independent risk factor for higher TRM and lower survival after transplantation. These results suggest the enzymatic function of UGT2B17 in donor haematopoietic cells may affect the outcome of allogeneic HSCT.

### Patients and methods

### Patients

The study population was selected from the patients who received a bone marrow transplantation from an unrelated donor through the Japan Marrow Donor Program (JMDP) between January 1993 and March 2000. The selection criteria for the patients and donors in the study population were (i) recipient/donor pairs matched for all genotypes of HLA-A, B, C and DRB1, (ii) an unmanipulated marrow graft, (iii) the use of CsA or tacrolimus as GVHD prophylaxis, (iv) DNA samples were stored and available for genotyping, and (v) clinical

outcome data were available. The genotypes of each allele at the HLA-A, B, C and DRB1 loci were determined by high-resolution DNA typing as described previously (Sasazuki *et al*, 1998; Morishima *et al*, 2002).

The characteristics of the 435 patients are summarized in Table I. Standard-risk disease was defined as acute myeloid leukaemia or acute lymphoblastic leukaemia in first remission, chronic myeloid leukaemia (CML) in first chronic phase, or myelodysplastic syndrome classified as refractory anaemia. There was no patient with refractory anaemia with ringed sideroblasts in the study population. All other haematological malignancies including Hodgkin's disease and non-Hodgkin's lymphoma were considered advanced disease. Severe aplastic anaemia was also included in this study population.

All patients received an intensive myeloablative pretransplant conditioning regimen, which varied according to disease or stage of disease at transplantation. A total of 349 patients were conditioned with total body irradiation (TBI) and chemotherapeutic agent(s), while 86 patients received non-TBI-containing regimen. GVHD prophylaxis consisted of either CsA and short-term methotrexate (sMTX)  $\pm$  anti-thymocyte globulin, or tacrolimus + sMTX.

The assessment and grading of acute and chronic GVHD were performed as previously described (Sullivan et al, 1981; Przepiorka et al, 1995). A final clinical survey of these patients was carried out on 1 July 2001, and the median follow-up period was 45 months (range, 0–111). Stored DNA was available from all 435 transplant recipients and from 377

Table I. Patient characteristics.

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Number (male/female)	435 (250/185)
Median age [years (range)]	24 (0-51)
Disease	
AML	118
ALL	113
CML .	112
MDS	31
HD	13
NHL	21
SAA	27
Status of malignant disease	
Standard	188
Advanced	213
Unknown	7
Preconditioning regimen	
TBI containing	349
Non-TBI containing	86
GVHD prophylaxis	
CsA + sMTX (+anti-thymocyte globulin)	351 (48)
Tacrolimus + sMTX	36

AML, acute myeloid leukaemia; ALL, acute lymphoblastic leukaemia; CML, chronic myeloid leukaemia; MDS, myelodysplastic syndrome; HD, Hodgkin's disease; NHL, non-Hodgkin's lymphoma; SAA, severe aplastic anaemia; TBI, total body irradiation; GVHD, graft-versus-host disease; sMTX, short-term methotrexate; ciclosporin A (CsA).

donors for analysis of *UGT2B17* genotype. Informed consent was obtained from all patients and donors, and approval was obtained from the ethics committee at Nagoya University.

# Determination of homozygous deletion of the UGT2B17 gene

The homozygous deletion of the UGT2B17 gene was determined by sequence-specific primer-PCR on genomic DNA from donor and recipient cells. The sense and antisense primers used for polymerase chain reaction (PCR) were 5'-TGTTGGGAATATTCTGACTATAA-3' and 5'-CCCACTTC TTCAGATCATATGC-3' respectively. The sense and antisense primers for PCR to detect the β-globin gene as an internal control in each assay were 5'-ACACAACTGTGTTCACTAGC-3' and 5'-CAACTTCATCCACGTTCACC-3' respectively. Thirty cycles of amplification were performed using a thermalcycler (Model 9600; Perkin-Elmer, Boston, MA, USA) on 0.5 µl genomic DNA extracted from peripheral blood before transplantation or Epstein-Barr virus-transformed lymphoblastoid cells, which were established from pretransplant cryopreserved peripheral blood mononuclear cells. Each reaction contained 0.4 µl of Advantage 2 Polymerase Mix (Clontech Laboratories, Inc., Palo Alto, CA, USA), 0.2 mmol/l of each of the four deoxyribonucleotides, 8 pmol of each primer for UGT2B17, 8 pmol of each primer for β-globin, and PCR buffer in a volume of 20 µl. Each cycle consisted of denaturation (95°C; 30 s), annealing (63°C; 15 s) and elongation (72°C; 25 s). A 10 µl of the PCR product was analysed by electrophoresis on a 1.5% agarose gel.

### Statistical analysis

A chi-squared test with 2 × 2 contingency tables was used to evaluate differences of the frequencies of homozygous UGT2B17 gene deletion between patients and donors. The Cox proportional hazard model was applied to multivariate analysis for acute and chronic GVHD, relapse, TRM, survival and disease-free survival (DFS) (Cox, 1972). TRM was defined as any death that occurred while the patient was in remission. The following variables were evaluated: patient age (continuous); patient sex; donor sex; sex mismatch (female donor to male patient versus other pairs); disease status of haematological malignancy at the time of transplantation (advanced disease versus standard disease); GVHD prophylaxis (CsA versus tacrolimus); pretransplant conditioning regimen (TBIcontaining vs non-TBI containing); incidence of acute GVHD (grade II-IV versus grade 0-I and grade III-IV versus grade 0-II); patient UGT2B17 genotype (deleted versus positive); donor UGT2B17 genotype (deleted versus positive); UGT2B17 mismatch in GVHD direction (UGT2B17-deleted donor to UGT2B17-positive patient versus other pairs). P < 0.05 were regarded as statistically significant, and those between 0.05 and 0.1 as suggestive of a trend. The TRM, survival and DFS were estimated by using the Kaplan-Meier method, and log rank test was used to analyse differences (Kaplan & Meier, 1958).

### Results

### UGT2B17 encodes peptides predicted to bind to class I HLA molecules

The amino acid sequence of UGT2B17 was scanned using the SYFPEITHI algorithm (http://www.syfpeithi.de) to identify peptides that are predicted to bind to common class I HLA molecules. UGT2B17 peptides that are identical to corresponding sequences encoded by other UGT2B family members are not likely to be immunogenic since donor T cells should be tolerant to these epitopes, even if they were displayed on recipient cells. However, we found many UGT2B17 peptides that were predicted to bind to class I HLA molecules including HLA-A\*01, A\*0201, A\*03, A\*2402, A\*26, B\*0702, B\*08, B\*1510, B\*4402, and B\*5101 and were distinct from all other UGT2B family members (data not shown). Thus, transplantation of UGT2B17 positive recipients who expressed one or more of these HLA alleles with bone marrow from UGT2B17 negative donors might be associated with GVHD if these peptides were presented as minor H antigens.

### Frequencies of homozygous deletion of the UGT2B17 gene

To evaluate a potential contribution of UGT2B17 genotype to transplant outcome, we analysed 435 recipients who received an HLA-matched bone marrow transplant from an unrelated donor. A homozygous deletion of the UGT2B17 gene was found in 358 (82·3%) of 435 patients and in 320 (84·9%) of 377 healthy unrelated donors (not significant, P = 0.32). There were no statistical significant differences in the frequencies of homozygous UGT2B17 deletion between each disease group and donor group. However, of the 112 patients with CML, 88 (78·6%) lacked the UGT2B17 gene, which was relatively lower than that (84·9%) in unrelated healthy donors (P = 0.12).

### Graft-versus-host disease

Acute GVHD developed in 324 (75%) of 432 evaluable patients. The severity of GVHD was grade I in 170 (39%), grade II in 105 (24%), grade III in 31 (7%), and grade IV in 18 (4%). In a univariate analysis, a significant association with a higher incidence of grades II–IV acute GVHD was observed with the use of CsA as GVHD prophylaxis (P = 0.03) (Table II). A trend for a higher incidence of grades II–IV acute GVHD was found in the patients with advanced disease (P = 0.099). No significant association was detected between the incidence of grades II–IV acute GVHD and UGT2B17 deletion in the patient or UGT2B17 deletion in the donor. Recipients who were mismatched for the UGT2B17 genotype with their donors, in which UGT2B17 could serve as a target of

Table II. Univariate and multivariate analysis of risk factors for outcome.

Outcome and significant factor	Univariate analysis (P-value)	Relative risk (95% CI)	Multivariate analysis (P-value)
aGVHD (II–IV)			
Advanced disease	0.099		NS
CsA as GVHD prophylaxis	0.03	2.76 (1.13-6.76)	0.026
cGVHD (Lt + Ex)			
Male patient	0.08		NS
aGVHD (II–IV)	0.001	1.78 (1.26-2.52)	0.001
aGVHD (III–IV)	0.03		NS
UGT2B17 deletion in donor*	0.08		NS
Relapse			
Advanced disease	<0.001	4.18 (2.42-7.19)	< 0.0001
TRM			
Higher patient age	< 0.001	1.04 (1.03-1.06)	< 0.0001
Advanced disease	0.01	1.85 (1.22-2.79)	0.004
aGVHD (II–IV)	0.008		NS
aGVHD (III–IV)	<0.001	3.16 (1.97-5.05)	<0.0001
UGT2B17 deletion in donor*	0.007	0.53 (0.33-0.84)	0.007
Survival			
Higher patient age	0.0002	1.03 (1.02-1.05)	<0.0001
Advanced disease	<0.001	2.42 (1.71-3.41)	<0.0001
aGVHD (II–IV)	0.05		NS
aGVHD (III–IV)	<0.001	2.44 (1.62-3.68)	<0.0001
UGT2B17 deletion in donor*	0.008	0.57 (0.39-0.83)	0.004
DFS			
Higher patient age	0.001	1.03 (1.02-1.04)	<0.0001
Advanced disease	<0.0001	2.48 (1.78-3.48)	<0.0001
aGVHD (II–IV)	0.07		NS
aGVHD (III–IV)	<0.0001	2.04 (1.36-3.04)	<0.0005
UGT2B17 deletion in donor*	0.02	0.65 (0.44-0.95)	0.024

<sup>\*</sup>Favourable factor.

GVHD (combination of UGT2B17-positive patient and UGT2B17-deleted donor) did not exhibit a higher incidence of GVHD. In a multivariate analysis, the use of CsA as GVHD prophylaxis was significantly associated with higher incidence of grades II–IV acute GVHD. No factor was significantly associated with higher incidence of grades III–IV acute GVHD.

Of 305 evaluable patients, 129 (42%) developed chronic GVHD including 48 (16%) with limited cGVHD, and 81 (27%) with extensive cGVHD. In a univariate analysis, significant associations with a higher incidence of chronic GVHD were observed for grades II–IV acute GVHD (P=0.001) and grades III–IV acute GVHD (P=0.001) and grades III–IV acute GVHD was found in the male patients (P=0.08) and UGT2B17 deletion in the donor (P=0.08). However, in a multivariate analysis, only grades II–IV acute GVHD was significantly associated with a higher incidence of chronic GVHD.

### Relapse

Of 365 evaluable patients with malignant disease, 73 (21%) relapsed after transplantation. In a univariate analysis, a

significant association with higher rate of relapse was observed in the patients with advanced disease (P < 0.001) (Table II). No significant association was detected between the relapse rate and UGT2B17 deletion in the patient, UGT2B17 deletion in the donor, or UGT2B17 mismatch between patient and donor in the GVHD direction. In a multivariate analysis, advanced disease was significantly associated with higher rate of relapse.

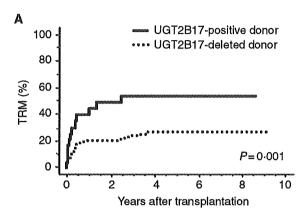
### Transplant-related mortality

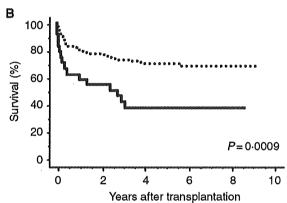
In a univariate analysis of 360 patients evaluable for TRM, significant associations with increased TRM were observed for higher patient age (P < 0.001), advanced disease (P = 0.01), grades II–IV acute GVHD (P = 0.008) and grades III–IV acute GVHD (P < 0.001) (Table II). In a multivariate analysis, higher patient age, advanced disease and grades III–IV acute GVHD were significantly associated with higher TRM.

Surprisingly, UGT2B17 deletion in the donor was a favourable factor for TRM in the univariate analysis (P = 0.007), and remained a significant factor in the multivariate analysis [relative risk, 0.53; 95% confidence interval (CI), 0.33–0.84;

CI, confidence interval; aGVHD, acute GVHD; cGVHD, chronic GVHD; NS, not significant.

P=0.007]. TRM was analysed in the subset of patients with standard-risk malignancy in relation to UGT2B17 genotype in the donor by the Kaplan–Meier method (Fig 1A). TRM in the patients transplanted from an UGT2B17-deleted donor was markedly lower than in those transplanted from an UGT2B17-positive donor (23.7% vs. 52.9%; P=0.001).





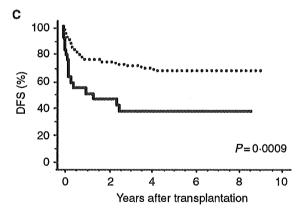


Fig 1. Impact of homozygous deletion of *UGT2B17* on TRM, survival and DFS in the subset of patients with standard-risk of malignancy. (A) TRM in the patients transplanted from UGT2B17-positive donor was 52·9% (n=24) and that from UGT2B17-deleted donor was 23·7% (n=120). (B) Survival in those two groups was 37·5% (n=24) and 68·3% (n=119), respectively, and (C) DFS was 37·5% (n=24) and 66·9% (n=120), respectively.

Seventy-five patients with malignancy who were transplanted from an UGT2B17-deleted donor died while they were in remission. Causes of death in the patients were rejection/graft failure (n = 4; 5%), GVHD (n = 12; 16%), interstitial pneumonia (n = 17; 23%), sepsis (n = 11; 15%), bleeding (n = 5;7%), liver failure (n = 5; 7%), renal failure (n = 2; 3%), thrombotic microangiopathy (n = 2; 3%), veno-occlusive disease (n = 2; 3%) and others (n = 15; 20%). Twenty-four patients with malignancy who were transplanted from an UGT2B17-positive donor died while they were in remission. Causes of death in the patients were rejection/graft failure (n = 1; 4%), GVHD (n = 3; 13%), interstitial pneumonia (n = 9; 37%), sepsis (n = 5; 21%), bleeding (n = 2; 8%) and others (n = 4; 17%). There was no significant difference in the frequencies of each cause of death between the patients transplanted from UGT2B17-deleted donor and those from UGT2B17-positive donor.

### Survival and DFS

Of the 364 evaluable patients with malignant disease, 190 (52%) were alive at the time of survey and 180 (49%) were alive in remission. In a univariate analysis, higher patient age, advanced disease, grades II–IV acute GVHD and grades III–IV acute GVHD were significantly associated with lower survival and DFS (Table II). In a multivariate analysis, the association of higher patient age, advanced disease and grades III–IV acute GVHD with lower survival and DFS remained significant.

Similar to the results with TRM, UGT2B17 deletion in the donor was a favourable factor for survival (relative risk, 0.57; 95% CI, 0.39–0.83; P=0.004) and DFS (0.65; 0.44–0.95; P=0.024). In the subset of patients with standard-risk malignancy analysed by the Kaplan–Meier method, both survival and DFS were significantly higher in patients transplanted from a UGT2B17-deleted donor than those transplanted from a UGT2B17-positive donor (survival, 68·3% vs. 37·5%, P=0.0009) (Fig 1B and C).

### Discussion

T-cell responses to minor H antigens that are broadly expressed have been implicated in GVHD after HLA-identical HSCT (Warren et al, 1998). The identification of minor H antigens that are associated with GVHD could potentially improve donor selection and identify recipients who are at high risk for GVHD. In this study, we focused attention on the UGT2B17 gene that maps to chromosome 4q13 and has been identified to encode a minor H antigen presented by HLA-A29. A T-cell response to UGT2B17 was associated with GVHD after transplantation of an UGT2B17-positive recipient with stem cells from a donor with a homozygous deletion of the UGT2B17 gene (Murata et al, 2003). Although homologous to other UGT2B family members, the UGT2B17 protein contains polymorphic sequences in addition to the region containing

the HLA-A29 epitope, including sequences that are predicted to bind to other class I HLA alleles and could provide additional minor H antigens. Therefore, we examined in a population study whether the transplant of an UGT2B17-positive recipient from an UGT2B17-deleted donor was associated with a higher incidence of GVHD.

Genotyping of unrelated individuals in Japan who served as transplant donors revealed a frequency of homozygous deletion of *UGT2B17* of 85%, which was substantially higher than the frequency of 11% observed in White people (Murata *et al*, 2003). A study of another UGT, UGT1A1 showed that the frequency of UGT1A1\*28 allele was 29% in White people but only 9% in Japanese (Hall *et al*, 1999; Kohle *et al*, 2003). These striking differences in genotypes in different ethnic groups suggest that the components of the UGT enzyme family are quite distinct in different races.

The present study revealed no statistically significant association between UGT2B17 mismatch (UGT2B17-deleted donor and UGT2B17-positive recipient) in the GVHD direction and a higher incidence of GVHD. Several reasons may explain the lack of an association with GVHD. First, it is unknown how many of the polymorphic UGT2B17 peptides that were predicted by computer algorithm are actually processed and presented on the cell surface for potential recognition as a minor H antigen. Secondly, there may be an unidentified UGT2B family member whose amino acid sequence is homologous to UGT2B17. The UGT2B family presently consists of seven members, 2B4, 2B7, 2B10, 2B11, 2B15, 2B17 and 2B28 (Levesque et al, 2001; Belanger et al, 2003). If an unidentified UGT2B member encodes peptides with the same amino acid sequence as the corresponding UGT2B17 peptides, the UGT2B17 determinant could not serve as a minor H antigen. Thirdly, there is likely to be a large number of unknown minor H antigens encoded by other genes that are mismatched between each unrelated donor and patient pair and the effect of a UGT2B17 might be obscured in this setting. Fourthly, it is possible that the HLA-DPB1 allele was disparate between some donor and patient pairs, and this might obscure the effect of UGT2B17 mismatch (Petersdorf et al, 2001). Thus, the absence of a significant association between a UGT2B17 mismatch and GVHD in a population study does not exclude the possibility that UGT2B17 is a target of GVHD in selected patients.

Only a few minor H antigens have been studied for their contributions to the graft-versus-host reactions after allogeneic HSCT (Bleakley & Riddell, 2004). The autosomal minor H antigen HA-1 is the most extensively studied for its association with GVHD after HLA-identical stem cell transplantation and contradictory results have been reported (Goulmy et al, 1996; Tseng et al, 1999; Murata et al, 2000; Gallardo et al, 2001; Lin et al, 2001). For HA-8, which is ubiquitously expressed in tissues, a single study showed a significantly increased risk of grades II–IV acute GVHD in HA-8-positive recipients who received transplants from HA-8-negative donors, although the odds ratio of 1·8 (95% CI, 1·0–3·1) was small (Akatsuka et al,

2003). Discordance between donors and recipients at the ACC-1 minor H antigen was analysed for association with transplant outcome after HLA-identical unrelated bone marrow transplant. Although ACC-1 is restricted to haematopoietic cells, including leukaemia cells, there was no statistically significant association between ACC-1 mismatch in the graft-versus-leukaemia direction and lower rate of relapse after transplantation (Nishida et al, 2004). These results suggest that an analysis of discordance at a single minor H antigen locus in an outbred human population may have a limited ability to detect an association between a minor H antigen mismatch and GVHD or a graft-versus-leukaemia effect.

A surprising finding in our study was that transplantation from donors with a homozygous deficiency of UGT2B17 was associated with a significantly lower risk of TRM and superior overall survival and DFS. UGT2B17 is an enzyme that is highly expressed not only in the liver and gastrointestinal tract, but also in subsets of haematopoietic cells. The enzyme is important in androgen metabolism and also serves to conjugate a variety of drugs, dietary components, and toxic exogenous compounds (Tukey & Strassburg, 2000). Some exogenous compounds were recently analysed as potential substrates for UGT2B17, however drugs used in allogeneic HSCT, such as chemotherapeutic agents, immunosuppressants, antibiotics, antiviral and antifungal drugs, have not been extensively analysed (Turgeon et al, 2003). Another member of the UGT2B family, UGT2B7, has been shown to glucuronidate CsA and tacrolimus (Strassburg et al, 2001). UGT2B17 is 88% identical to UGT2B7 at the amino acid level, and it is conceivable that UGT2B17 plays a role in the metabolism of these immunosuppressive drugs. We have previously shown that UGT2B17 RNA and protein are both expressed in haematopoietic cells including B cells and dendritic cells (Murata et al, 2003), and others have shown glucuronyltransferase activity in lymphocytes (Gessner et al, 1978; Li et al, 1981). Glucuronidation in lymphocytes may not make a major quantitative contribution to the overall metabolism of a compound in the body, but might increase the local deactivation of pharmacologically active compounds in the cell. The data in this study suggests the presence of UGT2B17 in donorderived haematopoietic cells increases the susceptibility of the recipient to TRM. The increased mortality could not be attributed to a particular increase in GVHD, infections, other complications or relapse, and was observed in the subset of patients with standard risk malignancy. This observation suggests that additional study of the role of UGT2B17 in haematopoietic cells is warranted.

In summary, we studied the effects of homozygous deletion of *UGT2B17* gene with outcome after allogeneic unrelated donor HSCT. The use of an UGT2B17-positive donor was an independent risk factor for higher TRM and lower survival and DFS. Given that *UGT2B17* encodes a glucuronosyltransferase enzyme, it is possible the enzymatic function of this protein in haematopoietic cells impacts TRM and survival. Further analysis in a larger study population is warranted, and if our

findings are confirmed, it may be important to consider UGT2B17 genotype in donor selection strategies.

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## Identification of CCND3 and BYSL as Candidate Targets for the 6p21 Amplification in Diffuse Large B-Cell Lymphoma

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Abstract Purpose: Increases in gene dosage through DNA amplification represents a common feature of many tumors and can result in the up-regulation of tumor-promoting genes. Our recent genome-wide, array-based comparative genomic hybridization analysis of 66 cases of diffuse large B-cell lymphoma found that genomic gain of 6p21 was observed in as many as 17 cases, including 14 cases with low-level copy number gain and three cases with high-level copy number gains (amplifications).

> Experimental Design and Results: To identify the target gene(s) for 6p21 amplification, we constructed a detailed amplicon map at the region of genomic amplification with the aid of high-resolution contig array-based comparative genomic hybridization glass slides, consisting of contiguously ordered bacterial artificial chromosome/P1-derived artificial chromosome clones covering 3 Mb throughout the 6p21 amplification region. Alignment of the amplifications identified a minimally overlapping 800 kb segment containing 15 genes. Quantitative expression analysis of the genes from both patient samples and the SUDHL9 cell line revealed that CCND3 and BYSL (1.9 kb telomeric to the CCND3 gene locus) are the targets of 6p21 genomic gain/amplification.

> Conclusions: Although it is known that t(6;14) (p21;q32) induces aberrant overexpression of CCND3 in B-cell malignancies, we were able to show that CCND3, which encodes the cyclin D family member protein that controls the G1-S phase of cell cycle regulation, can also be a target of genomic gain/amplification. Overexpression of CCND3 through genomic amplification is likely to lead to aberrant cell cycle control, although the precise biological role of BYSL with respect to tumorigenesis remains to be determined.

Deregulation of oncogenes via genomic amplifications is a common occurrence in various tumors, including malignant lymphomas. Previous studies have reported several candidate genes of genomic amplification in malignant lymphomas, such as 2p15 amplification with REL overexpression (primary large B-cell lymphomas of the gastrointestinal tract, Hodgkin

lymphoma; refs. 1-3), 9p24 amplification with JAK2 and/or PDL2 overexpression (primary mediastinal B-cell lymphoma, Hodgkin lymphoma; refs. 4-6), and 10p12 amplification with BMI-1 overexpression (mantle cell lymphoma; ref. 7). Very recently, we showed that C13orf25, which includes a micro-RNA cluster, was overexpressed in association with the 13q31q32 genomic amplification in various B-cell lymphomas (8). However, the majority of the genes responsible for genomic amplification remain to be identified.

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Note: Y. Kasugai and H. Tagawa equally contributed to this work and share with the first authorship.

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Our recent array-based comparative genomic hybridization (array CGH) study of diffuse large B-cell lymphoma (DLBCL) has identified recurrent high-level genomic aberrations as 1q31-q32, 2p15, 6p21, 9p24, 11q22-q24, 13q31, and 18q21 (9). Of these genomic alterations, the various cytogenetic abnormalities of chromosome band 6p21 in mature B-cell malignancies include translocations and amplifications. t(6;14)(p21;q32) has been previously reported in a variety of B-cell malignancies, such as DLBCL and splenic marginal zone lymphomas, and it has been shown that deregulation of CCND3 is a result of this translocation (10).

Although recurrent amplifications of 6p21 have been detected and described in B-cell lymphomas, such as follicular cell lymphoma, mantle cell lymphoma, and DLBCL (11-13), no detailed studies have been conducted of the gene(s) responsible for the amplification. To further identify these target gene(s) in DLBCL, we did the "contig" array CGH using

glass slides on which contiguously ordered bacterial artificial chromosome/P1-derived artificial chromosome (BAC/PAC) clones were spotted throughout 3 Mb of the 6p21 genome.

### **Materials and Methods**

Tumor samples and B-cell lymphoma cell lines. Data of genomic gains and losses region of 66 DLBCL cases have been reported previously (9). The cell lines used in the study presented here were SUDHL9 (Southwestern University: diffuse large B-cell lymphoma cell line), SP49 (mantle cell lymphoma cell line; ref. 14), and OCI-LY8 (immunoblastic B-cell lymphoma; ref. 15). These cell lines were maintained in RPMI 1640 supplemented with 10% FCS at 37°C.

DNA and RNA samples. DNA was extracted with a standard phenolchloroform method from lymphoma specimens of tumors and of SUDHL9, SP49, and OCI-LY8. Normal DNA was prepared from peripheral blood lymphocytes of healthy male donors. Total RNA was extracted with the standardized guanidium isothiocyanate and cesium chloride method from human placenta and normal lung as well as from SUDHL9, SP49, and OCI-LY8.

Fluorescence in situ hybridization and comparative genomic hybridization analyses. Fluorescence in situ hybridization (FISH) and CGH were done as described elsewhere (8).

Genome-wide array-based comparative genomic hybridization. DNA preparation, labeling, array fabrication, and hybridization were done as described elsewhere (8, 9, 16). Briefly, the array consisted of 2,088 BAC and PAC clones, covering the human genome at a 1.5 Mb resolution, from library RP11 and RP13 for BAC clones and RP1, RP3, RP4, and RP5 for PAC clones. Of the 2,088 clones spotted on the glass slides, 121 were of chromosome 6; of the 121 BAC/PAC clones, 50 were of the short arm of chromosome 6 (6p). These clones were obtained from the BAC/PAC Resource Center at the Children's Hospital Oakland Research Institute in Oakland, CA (http://bacpac.chori.org/). The thresholds for the log2 ratio of gains and losses were set at log2 ratios of +0.2 and −0.2, respectively. High-level gain (amplification) was defined as  $\log_2$  ratio ≥+1 and low-level copy number gain was defined as +0.2 ≤  $\log_2$  ratio < +1.0 (8).

Contig array-based comparative genomic hybridization. Twenty-five BAC/PACs of 6p21 were isolated from their bacterial cultures with the relevant antibiotics and extracted with a plasmid mini kit (Qiagen, Valencia, CA). The exact location of each clone was determined by standard FISH analysis. Degenerate oligonucleotide primed PCR (17) was done on the DNA of BAC/PAC clones as described before (8). Degenerate oligonucleotide primed PCR products were dissolved in 30 μL of TE buffer [100 mmol/L Tris-HCl and 1 mmol/L EDTA (pH 7.5)], and 10 µL of Solution I (Takara Bio, Inc., Tokyo, Japan) was added to each of the products, which were then spotted in triplicate onto the Hubble-activated slides (Takara Bio) using the Stampman Arrayer (Nippon Laser and Electronics Lab, Nagoya, Japan) with a split pin. Slides were fixed in 0.2% SDS for 2 minutes and in 0.3 N NaOH for 5 minutes, then dehydrated with 100% cold ethanol for 3 minutes, and finally air dried. DNA preparation, labeling, array fabrication, and hybridization were done according to the method described previously

Image scanning. The Agilent Micro Array Scanner (Agilent Technologies, Palo Alto, CA) was used for scanning analysis. The array images thus acquired were analyzed with the Genepix Pro 4.1 (Axon Instruments, Inc., Foster City, CA).

Reverse transcription-PCR analysis for screening of candidate genes. Human placenta, normal lung, SUDHL9, SP49, and OCI-LY8 were subjected to reverse transcription-PCR (RT-PCR) analysis, whereas SuperScriptII (Life Technologies, Division of Life Technologies, Inc., Gaithersburg, MD) was used for cDNA derived from human placenta and normal lung. Each 5  $\mu g$  of total RNA was reverse-transcribed into cDNA dissolved in 40  $\mu L$  of distilled water. RT-PCR was done for 25 genes using the specific corresponding primers. Gene names and accession

numbers were as follows: FOXP4 (NM\_138457), MDF1 (NM\_005586), TFEB (NM\_007162), PGC (NM\_002630), FRS3 (NM\_006653), C6orf49 (NM\_013397), USP49 (NM\_004275), BYSL (NM\_004053), CCND3 (NM\_001760), TBN (NM\_138572), LOC389389 (XM\_371820 XP\_371820), GUGA1A (NM\_000409), GUGA1B (NM\_002098), MRPS10 (NM\_018141), TRERF1 (AF297872, AL096814), C6orf133 (NM\_015255), RDS (NM\_000322), TBCC (NM\_003192), KIAA0240 (XM\_166479 XP\_166479), RPL7L1 (NM\_198486), PTCRA (NM\_138296), TNRC5 (NM\_006586), LOC389390 (XM\_374167 XP\_374167), GNMT (AF101477), and PEX6 (NM\_000287). Each primer was designed so that the Tm value would be between 55°C and 60°C. Amplifications were done on a Thermal Cycler (Perkin-Elmer Corporation, Norwalk, CT), and RT-PCR was done with the touchdown PCR method. The reactions comprised 10 cycles of denaturation (94°C, 0.5 minutes), annealing (63°C, 0.5 minutes, 1°C decrease per two cycles), and extension (72°C, 2.5 minutes), followed by 35 cycles of denaturation (94°C, 0.5 minute), annealing (58°C, 0.5 minute), and extension (72°C, 2.5 minutes), and a final extension of 5 minutes at 72°C. Basically, the annealing temperature of the reaction ranged from 63°C to 58°C. RT-PCR was also done under different conditions by changing the annealing temperature from 65°C to 60°C or from 60°C to 55°C. If no PCR products were obtained, we designed new primer sets to confirm the negativity of genes. All PCR products were separated by electrophoresis and purified using the QIA quick Gel Extraction kit (Qiagen). Direct sequence determination with the same primers used for nested-PCR was done with an ABI PRISM 310 Genetic Analyzer (Applied Biosystems, Foster City, VA). DNA sequences were compared with those in the Genbank databases with the aid of the BLAST program available at web site, http://www.ncbi.nlm.nih.gov.

Northern blot analysis. Several cell lines and normal tissues were subjected to Northern blot analysis. Probes were radiolabeled with a random primer DNA labeling kit (Nippon Gene, Tokyo, Japan) with  $[\alpha^{-32}P]$ dCTP. Total cellular RNA (5 µg) was size-fractioned on 1% agarose/0.66 mol/L formaldehyde gel and transferred onto a Hybond-N<sup>+</sup> nylon membrane (Amersham Pharmacia Biotech, Tokyo, Japan). The membranes were then hybridized overnight at 42°C with  $[\alpha^{-32}P]$ dCTP-labeled probes, washed, and exposed to BIOMAX MS films (EKC, Rochester, NY). All the genes were equally exposed for 24 hours on BIOMAX films at  $-20^{\circ}$ C after hybridization. Densitometric scanning for radiographical signals was done by an ImageMaster VDS-CL (Amersham Pharmacia Biotech).

Quantitative real-time reverse transcription-PCR. Expression levels of CCND3 and BYSL mRNA were measured by means of real-time fluorescence detection using a previously described method (20). Briefly, the primers of CCDN3 were sense: 5'-GACCGACAGGCCTTGGTCAA-3' and antisense: 5'-AGTGCCAGTGATCCCTGCCA-3', and those of BYSL were sense: 5'-AGAAGGCTGCCACAATGACA-3' and antisense: 5'-GACATGACTGTCTCAACCTC-3'. The real-time PCR using CYBR Green and the primers was done with a Smart Cycler System (Takara Bio) according to the protocol of the manufacturer. G6PDH served as an endogenous control, whereas the expression levels of CCDN3 and BYSL mRNA in each sample were normalized on the basis of the corresponding G6PDH content and recorded as relative expression levels.

Statistical analysis. The Mann-Whitney *U* test was done for detecting significance in expression levels of *CCND3* and *BYSL* between groups with and without 6p21 genomic amplifications. All the statistical analyses were conducted with the STATA version 8 statistical package (StataCorp, College Station, TX).

### Results

Recurrent high-level amplification at 6p21 in diffuse large B-cell lymphoma. The array CGH analysis at a resolution of 1.5 Mb throughout the whole genome showed that 26 of 66 DLBCL cases had copy number gains on chromosome 6p (Fig. 1). Seventeen of the 26 cases included 6p21 gain, with 3 of

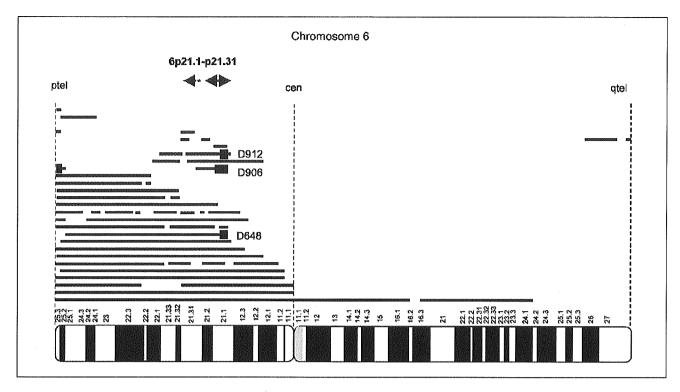


Fig. 1. Schematic illustration of genomic amplification at chromosome 6. These data were obtained by genome-wide array CGH analysis of 66 DLBCL cases. Thin lines, low copy number gain ( $+0.2 \le \log_2 \text{ ratio } \le +1.0$ ); thick lines, high copy number gain (amplifications;  $\log_2 \text{ ratio } \ge +1.0$ ). Genomic amplifications were observed at 6p21 in three cases and at 6p25 (*IRF4* locus) in one case.

the 17 cases showing genomic amplification (log<sub>2</sub> ratio >1) at PAC, RP5-973N23. As shown in Fig. 2, partial genomic profiles of three individual tumors (D906, D648, and D912) and the SUDHL9 cell line showed that each of the highest peaks was detected at PAC, RP5-973N23, indicating that the peaks may be biologically significant. FISH analysis using PAC, RP5-973N23 as the probe confirmed strong genomic amplification at 6p21 in SUDHL9 (>15 copies; Fig. 3). The amplification-overlapping region of the three tumors (D906, D648, and D912) and SUDHL9 could be clearly defined to the restricted region between BAC, RP11-552E20 (40.3 Mb) and PAC, RP5-895C5 (43.5 Mb) at 6p21.

Determination of amplicon core by contig array comparative genomic hybridization. We speculated the target genes of the high copy number gains of 6p21 were within the 3 Mb region between 40.3 Mb (BAC, RP11-552E20) and 43.5 Mb (PAC, RP5-895C5). To specify the alterations of 6p21 in greater detail, we constructed high-resolution contig array glass slides containing 25 BAC/PAC clones, which were contiguously placed throughout the 3 Mb region at the 6p21 genome. Contig array CGH was conducted for the SUDHL9 cell line and three tumors (D906, D648, and D912), for which the genomewide array CGH showed high copy number gains at 6p21. The analysis was also conducted for OCI-LY8 and SP49 cell lines that did not show genomic amplification at chromosome 6. Mixed partial individual genomic profiles of 6p21 for D906, D648, D912, SUDHL9, and OCI-LY8 are shown in Fig. 4. It was found that the "amplicon core" region of 6p21 was narrower than could be expected from data obtained from the genomewide array CGH. Contig array CGH showed that the minimal common region (amplicon core region) of the three tumors

and SUDHL9 was 800 kb in length and ranged from BAC, RP11-328M4 (41.6 Mb) to PAC, RP1-139D8 (42.3 Mb). The amplicon core contained 15 genes and it was speculated that candidate genes of 6p21 amplification were located within this region.

Reverse transcription-PCR analysis of the genes within the 6p21 amplification region of SUDHL9. The contig array CGH for SUDHL9 showed that 25 known genes reside within the 6p21 amplification region (2.5 Mb in length), which includes the "amplicon core." Fifteen of these genes lie within the amplicon core, whereas the other 10 are localized centromerically to it (Fig. 4). RT-PCR using human placenta, normal lung, SUDHL9, SP49, and OCI-LY8 cell lines was used to screen for expressions of these 25 genes. The genes and primers used for RT-PCR and the results are shown in Supplemental Table S1. The expected sizes of all products obtained by RT-PCR were confirmed by electrophoresis. Expression of 13 genes (FOXP4, TFEB, FRS3, USP49, BYSL, CCND3, TBN, MRPS10, TRERF1, TBCC, KIAA0240, PTCRA, and TNRC5) could be detected in SUDHL9 but not of the other 12 genes. MDF1 and GUGA1A did not result in any PCR products in the five RNA samples studied, and although the RT-PCR of MDF1 and GUGA1A was done under different conditions by changing the annealing temperature from 65°C to 60°C or from 60°C to 55°C, and with different primer pairs to detect these genes, no bands were detected. We, therefore, concluded that the expression levels of these two genes were undetectable and excluded them for further expression analysis.

Northern blot analysis for screening gene expressions. Because expressions of the 13 genes were confirmed in SUDHL9 by RT-PCR analysis, we next did Northern blotting for quantitative

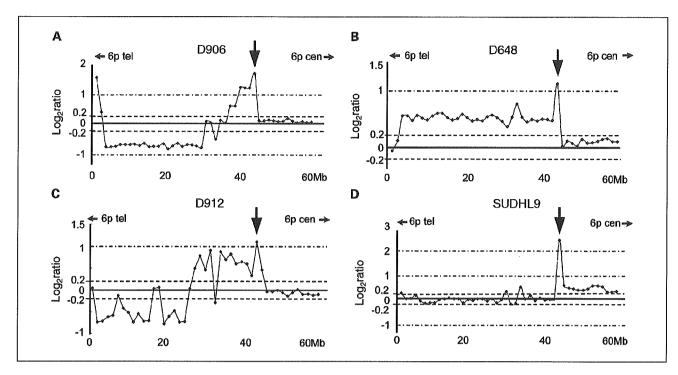


Fig. 2. Individual partial genomic profiles of chromosome 6p for three patient samples (D906, D648, and D912) and the SUDHL9 cell line. Horizontal lines, megabase from 6p telomere to centromere; vertical lines, log<sub>2</sub> ratio. Each spot is contiguously ordered from p telomere to centromere with, on average, 1.5 Mb resolution. The threshold for gain and loss was defined as the log<sub>2</sub> ratio of +0.2 and -0.2, respectively. A, D906; B, D648; C, D912; D, SUDHL9. Vertical thick arrow, highest peak at 6p21. Log<sub>2</sub> ratios: D906, 1.7; D648, 1.1; D912, 1.1; SUDHL9, 2.6.

analyses of gene expression in five samples (human placenta, normal lung, SUDHL9, SP49, and OCI-LY8). Expression levels of BYSL, CCND3, TBN, TBCC, and KIAA0240 in SUDHL9 were on average 1.5 to 4 times higher than in human placenta, normal lung, SP49, and OCI-LY8 (Table 1). However, expressions of the other eight genes did not show good correlation with the level of genomic amplification. The possible candidate genes for 6p21

amplification were thus *BYSL*, *CCND3*, *TBN*, *TBCC*, and *KIAA0240*. To examine gene expressions of other hematologic malignancies, we conducted Northern blot analysis of these five genes for a variety of hematologic malignant cell lines that did not feature 6p21 amplification. These cell lines comprised five B-cell lymphomas, three T-cell lymphomas, one multiple myeloma, and two acute myeloid leukemias. Expression levels

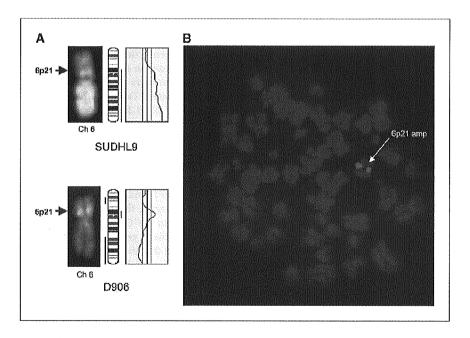


Fig. 3. CGH (A) and FISH (B) data of a patient sample (D906) and SUDHL.9. A, conventional CGH. Conventional CGH accurately shows amplification at 6p21 in D906. Conventional CGH of SUDHL.9 also shows genomic amplification at 6p21-qtel but fails to detect the amplicon at 6p21. B, FISH. RP5-973N23 (red signal) was the probe used for FISH analysis of the SUDHL.9 cell line. Arrows, 6p21 locus.

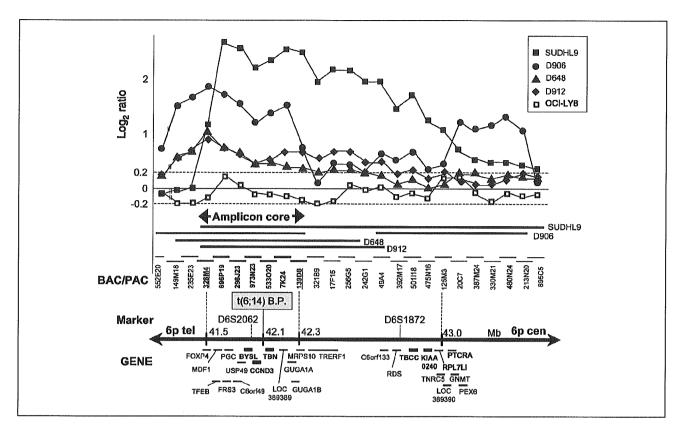


Fig. 4. 6p21 genomic profiles obtained with contig array CGH of three DLBCL patients (D906, D648, and D912) and two cell lines (OCI-LY8 and SUDHL9). Vertical line, log<sub>2</sub> ratio. The threshold for gain and loss was defined as the log<sub>2</sub> ratio of +0.2 and -0.2, respectively. Each 25th spot was contiguously placed from telomere to centromere according to its National Center for Biotechnology Information mapping position. tel, telomere; cen, centromere. BAC/PAC, sequence-tagged site markers, and gene symbols are given below the genome profile. The amplicon core is 800 kb long from BAC, RP11-328M4 (41.6 Mb) to PAC, RP1-139D8 (42.3 Mb).

of BYSL, CCND3, TBN, TBCC, and KIAA0240 in the SUDHL9 cell line were again higher than in other cell lines (Fig. 5A).

Candidate gene identification by Northern blot analysis. Five patient samples, three of which possessed 6p21 amplifications,

were subjected to Northern blot analysis. The expression level of BYSL in two patients (D906 and D912) with 6p21 amplifications was, on average, 1.7 times higher than that in patients without the amplification (Fig. 5B). Similarly, the

Gene	Gene size (kb)	Probe size (bp)	Human placenta	Lung	SUDHL9	OCI-LY8	SP49
FOXP4	4.2	190	_	_	+	+	+
TFEB	2.5	190	++	+	+	+	++
FRS3	2.2	410		_		ND	_
USP49	2.4	440	++	++	++	ND	++
BYSL	1.3	300	+	+	+++		++
CCND3	2.0	2,000	_	+	+++	+/	+
TBN	1.8	450	_	+/-	+	_	+
MRPS10	2.1	190	++	+	+	+	++
TRERF1	4	320		_	_	ND	_
ТВСС	1.6	200	_		+	_	+/-
KIAA0240	7.1	210	_	· _	+	_	+/-
PTCRA	1.1	140	****	_	_	ND	
TNRC5	1.7	630	_		++	++	++

NOTE: The strength of the signal within each blot is represented from strongest (+++) to undetected (-). The mRNA expression level for each sample was normalized on the basis of the corresponding  $\beta$ -actin expression. Gene expression was normalized relative to  $\beta$ -actin (gene expression/ $\beta$ -actin): -, gene/ $\beta$ -actin < 0.25; -/+, 0.25 \( \) gene/ $\beta$ -actin \( \) 0.75; +, 0.75 \( \) gene/ $\beta$ -actin \( \) 1.25; ++, 1.25 \( \) gene/ $\beta$ -actin \( \) 1.75; +++, gene/ $\beta$ -actin \( \) 1.75. Abbreviation: ND, not done.

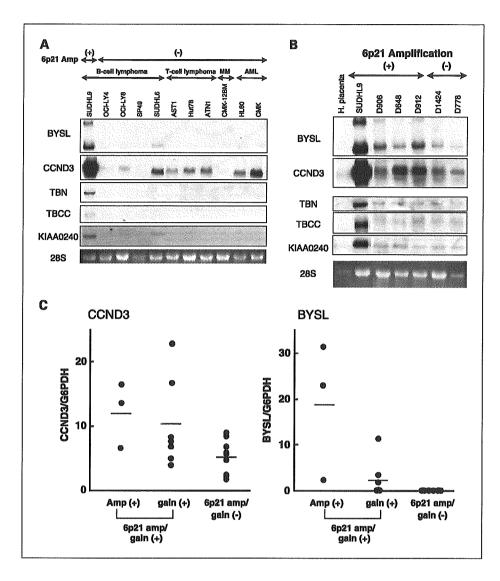


Fig. 5. Northern blot analysis of candidate genes. A, expression of five candidate genes of 6n21 amplification in various hematologic malignant cell lines, MM, multiple myeloma; AML, acute myeloid leukemia. Lane 1, SUDHL9; lane 2, OCI-LY4; lane 3, OCI-LY8; lane 4, SP49; lane 5, SUDHL6; lane 6, AST-1; lane 7, Hut78; lane 8, ATN1; lane 9, KMS-12BM; lane 10, HL60; lane 11, CMK. All samples were exposed under equal conditions for 24 hours on BIOMAX films at -20°C following hybridization, B, Northern blot analysis of candidate genes in five samples, three of which possessed 6p21 amplification. The expression level of BYSL and CCND3 in patients with 6p21 amplification was on average 1.7 and 2.5 times higher than that in patients without 6p21 amplification respectively. The expression of TBN, TBCC and KIAA0240 did not differ. C, quantitative real-time reverse transcription-PCR to detect the expression level of CCND3 and BYSL in DLBCL patients with or without 6p21 gain/amplification. Twenty cas divided into three groups with amplification (6p21 amp +), low copy number gains (6p21 gain +), and no copy number changes (6p21 gain -). Horizontal bars for each group, mean. Significantly higher expressions of *CCND3* (P = 0.0343) and BYSL (P = 0.0082) were observed in samples with (10 cases) rather than without (10 cases) 6p21 gain/amplification.

CCND3 expression level in two patients (D648 and D912) with 6p21 amplifications was on average 2.5 times higher than that in patients without the amplification. On the other hand, the expression level of TBN, TBCC, and KIAA0240 did not differ between patients with or without 6p21 amplification. This suggested that the most likely candidate genes for 6p21 amplification are BYSL and CCND3. CCND3 and BYSL mRNA expression is higher in the SUDHL9 cell line than in patient cases. This might account for the higher  $log_2$  ratio of  $log_2$  ratio of patient cases was lower than the cell line  $log_2$  ratio < 2).

Quantitative real-time reverse transcription-PCR for CCND3 and BYSL. Quantitative real-time reverse transcription-PCR analysis of CCND3 and BYSL was then done on 20 patient samples. As shown in Fig. 5C, the 20 DLBCL cases were divided into three groups with amplification (3 cases), low copy number gains (7 cases), and no copy number changes (10 cases). Samples derived from D648 and D912 with 6p21 amplification showed overexpression of CCND3. These two cases also showed overexpression of BYSL. The expression level of both CCND3 and BYSL in cases that had shown low or high

copy number gains (10 cases) was significantly higher than in cases without 6p21 gain (10 cases; CCND3, P=0.0343; BYSL, P=0.0082). These results lead us to conclude that the target genes of gain/amplification at 6p21 are BYSL and CCND3.

### Discussion

Genomic amplification has been observed in a variety of tumors and represents one aberrant molecular pathway by which gene expression is constitutively enhanced beyond the level of physiologically normal variation. It can be expected that the "driver" genes are located at the narrow region with the highest level of copy number changes as shown in our study. Our strategy for the identification of target genes was to use analyses combining genome-wide (1.5 Mb resolutions throughout the genome) and contig array CGH (3 Mb in length at 6p21). Chromosome 6p may harbor several candidate oncogenes responsible for chromosome 6p gain/amplification, such as *IRF4* for 6p25 amplification and *E2F3*, *DEK*, and *RBKIN/KIF13A* that are associated with 6p22.3 gain. We were able to investigate candidate targets using three tumors and one cell

line that showed genomic amplification at the 6p21 region. We constructed a detailed 3 Mb physical map of the 6p21 amplicon, which included the 800 kb amplicon core. The structure of the 6p21 amplicon could be mapped in detail, and the number of copies throughout the amplified region was accurately estimated. The approach used by us and described here proved useful in characterizing amplified genomic regions of a wide variety of tumors, not only DLBCL. This strategy was also used in a previous study of ours in which we detected the aberrant expression of *FHIT* that had originated from a 3p14 small deletion in DLBCL (18).

Quantitative expression analyses showed that *BYSL* (21) and *CCND3* (22) are target genes of the amplicon core at 6p21, whereas *CCND3* is the translocation target of t(6;14) (p21.1;q32.3) in B-cell lymphoma (10). Moreover, *BYSL* and *CCND3* are located near each other. *CCND3* is centromeric to *BYSL*. Finally, the amplicon core includes the breakpoint of 6p21 translocation, and both *CCND3* and *BYSL* are generally telomeric to this breakpoint, indicating that both genes could be the targets for 6p21 chromosome translocation.

Although it has been widely speculated that the CCND3 is the target gene for 6p21 genomic gain/amplification, no detailed investigations have been reported. The findings in the present report may, therefore, constitute the first evidence that CCND3 is in fact the target for genomic gain/amplification in malignant lymphomas. Because CCND3 is the cyclin D family member protein that controls the G<sub>1</sub>-S phase of cell cycle regulation, overexpression of CCND3 through genomic amplification is likely to lead to aberrant cell cycle control and may contribute to tumorigenesis (23). Although BYSL is known as a bystin-like gene that mediates cell adhesion between trophoblasts and endometrial epitheral cells through its interaction with trophinin, tastin, and cytokeratine (21), the link between BYSL and tumorigenesis remains to be determined. It is likely that overexpression of BYSL results from its close proximity to CCND3. Interestingly, a similar

co-overexpression pattern of two closely located genes has been reported in oral cancer cell lines by Huang et al. (24). They showed that the *TAOS1* gene, which is located ~12 kb distal to the *CCND1* gene, is co-overexpressed with *CCND1* with 11q13 amplification. Similarly, coexpression of *EMS1* with *CCND1* with 11q13 genomic amplification has been detected in several solid tumors (25–29). Although *EMS1* is known as an oncogene, it is not known whether it is associated with *TAOS1*-related tumorigenesis as in the case of *BYSL*.

Finally, we investigated whether 6p21 gain/amplification of DLBCL was reflected in the clinical data. Four cases with 6p21 gain could be subjected to gene expression clustering (19). These four cases were evenly distributed into activated B-cell-like and germinal center B-cell-like types. We found that 6p21 gain was frequently found in younger patients (<60 years, P = 0.02) but no significance was found for other prognostic factors, such as lactate dehydrogenase, performance status, and stage. Additional studies will be needed to confirm these observations in larger series of patients.

In summary, although it is known that t(6;14)(p21;q32) induces aberrant overexpression of CCND3 in B-cell malignancies, we were able to show that CCND3 can be also a target of genomic gain/amplification. Overexpression of CCND3 through genomic gain/amplification is likely to lead to aberrant cell cycle control, although the precise biological role of BYSL with respect to tumorigenesis remains unknown. Further biological studies are needed to determine the tumorigenic function of these candidate genes in DLBCL.

### Acknowledgments

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### 002

### **Post-transplant events**

# Solid tumors after hematopoietic stem cell transplantation in Japan: incidence, risk factors and prognosis

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### **Summary:**

To evaluate the incidence, risk factors and prognosis for solid tumors after hematopoietic stem cell transplantation (HSCT) in Japan, 809 patients who had received HSCT between 1981 and 2000 were retrospectively analyzed. In all, 19 newly diagnosed secondary cancers were observed. The risk for cancer development was 2.8 times as high as that for expected cases. The cumulative incidence ratios at 5 and 10 years were 1.9 and 4.2%, respectively. The risk was significantly elevated for buccal cavity cancer (standard incidental ratio (SIR), 44.42: 95% confidence interval (CI) 17.86-91.51), esophageal cancer (SIR, 22.36: 95% CI 6.09-57.25), and cervical cancer (SIR, 8.58: 95% CI 1.04-31.01). Of 15 patients who developed solid cancers following allografting, 12 had chronic graftversus-host disease (GVHD), and all 10 patients with squamous cell carcinoma of the buccal cavity or esophagus had chronic GVHD. On multivariate analysis, extensive chronic GVHD and age over 45 years at the time of transplantation were associated with a higher risk for solid cancers. In all, 17 patients received therapy for secondary cancers, nine of whom are still alive and the 5-year probability of survival from the diagnosis is 42.8%. Our data suggest that early detection of secondary cancers is very important in prolonging overall survival. Bone Marrow Transplantation (2005) 36, 115-121.

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Keywords: hematopoietic stem cell transplantation; secondary cancers; solid tumors; chronic graft-versus-host disease

Hematopoietic stem cell transplantation (HSCT) is widely used to treat patients with hematologic malignancies and other nonmalignant hematologic disorders. The improvement in survival after HSCT has drawn increased attention

to late complications in long-term survivors. The development of second malignancies is recognized as one of the most serious complications in such patients.1 Second malignancies following HSCT fall into three categories: solid tumors, therapy-related myelodysplastic syndrome/ acute myeloid leukemia (t-MDS/AML), and posttransplant lymphoproliferative disorder.<sup>2 5</sup> tumors, the incidence in previous reports was found to be 2-6% at 10 years, and 6-13% at 15 years. 1,6 8 Although these studies have demonstrated a low but significant risk of a second solid tumor after HSCT, few data exist in Japanese patients with different specific incidence rates for cancers at specific anatomical sites. We undertook a retrospective analysis of 809 Japanese patients who received HSCT, using the Nagoya Blood and Marrow Transplantation Group (NBMTG) database to assess the incidence and risk factor of solid tumors following HSCT. In addition, we present the treatment outcomes of these patients.

### Patients and methods

### Patients and treatment

A total of 1451 patients who received allogeneic and autologous HSCT at 16 institutes cooperating in the NBMTG between 1981 and 2000 were identified from the computerized NBMTG database, where pretransplant and post-transplant data are consecutively collected and maintained on a regular basis by requesting follow-up information and reporting of events. For each second cancer identified in the database, clinical data including date of diagnosis, type and characteristics of the cancer, method of treatment, and outcome were obtained. Each record and pathology report was reviewed to ensure accuracy of the database, contents, and updated for the current analysis.

Patient characteristics are detailed in Table 1. Among the 809 patients who survived for at least 1 year after transplantation, the median age at transplantation was 34 years (range, 15–70 years). Allogeneic transplantation was performed in 591 patients, 211 patients received autologous marrow and seven patients received syngeneic donor marrow. In all, 410 patients received donations from an HLA-identical sibling and 31 from



Table 1 Characteristics of patient population

	Tota	al	Solid tumors
	Number	%	Number
No. of patients	809		19
Sex			
Male	485	60.0	10
Female	324	40.0	9
Age at HSCT (years)			
Range	15-70		28-61
Median	34		46
Primary diagnosis			
AA	57	7.0	0
AML	153	18.9	4
ALL	139	17.2	3
NHL	135	16.7	4
HL	15	1.9	1
CML	186	23.0	4
MDS	42	5.2	1
MM	18	2.2	1
ATL	3	0.4	0
Breast cancer	38	4.7	1
Others	23	2.8	0
Type of HSCT			
Allogeneic	591	73.1	12
Sibling	410	50.7	12
Other relative	31	3.8	0
Unrelated	150	18.5	3
Syngeneic	7	0.9	0
Autologous	211	26.1	4
Conditioning regimen			
TBI	475	58.7	8
Non-TBI	325	40.2	11
GVHD prophylaxis			
MTX + CSP	489	60.4	14
Others	99	7.9	1
None	214	26.5	4
Acute GVHD total	343	42.4	9
Grades II to IV	106	13.1	5
Chronic GVHD total	263	32.5	12
Limited type	106	13.1	3
Extensive type	157	19.4	9

HSCT = hematopoietic stem cell transplantation; TBI = total body irradiation; MTX = methotrexate; CSP = cyclosporine.

other family donors. A total of 150 patients received unrelated donor marrow grafts matched for HLA phenotype. Most of the grafts for allogeneic transplantation were bone marrow. Conditioning regimens for 475 patients consisted of total body irradiation (TBI) combined with cyclophosphamide and/or other drugs. Prophylaxis for graft-versus-host disease (GVHD) consisted mainly of methotrexate plus cyclosporine in 489 patients (82.7% of allogeneic transplants). Acute GVHD (grade II–IV) developed in 106, and chronic GVHD in 263 patients (17.9 and 44.5% of allogeneic transplants), respectively. The median duration of follow-up was 5.3 years (range, 1.0–19.9 years) and 6.6 years for survivors.

### Statistical analysis

Standardized incidence ratios (SIRs) were calculated to determine whether the number of patients in our cohort who developed a second cancer after receiving a transplant was excessive. These calculations are based on the ratio of the number of patients who developed subsequent invasive cancers after their transplant (observed number) to the number of people in the general population who would be expected to develop primary invasive cancer (expected number). The latter number was determined as follows: for each patient, the number of person-years at risk was calculated from the date of transplantation until the date of the diagnosis of second cancer, date of the last contact, or death, whichever came first. Age (5 years)-, sex-, and calendar year (5 years)-specific incidence rates for all cancers at specific anatomical sites were applied to the appropriate person-years at risk to compute the expected numbers of cancers. The median year of each 5-year period was chosen to represent the incidence rate of that period. For the last period (from 2000 to 2003), the most recent incidence-rate data available (from the year 1998) were used. Incidence rates for all invasive cancers were obtained from the data base of the Research Group for Populationbased Cancer Registration in Japan. The 95% confidence intervals (CIs) for the SIRs were calculated on the assumption that the observed number of cancers followed a Poisson distribution.<sup>13</sup> Cumulative probabilities for the development of secondary solid tumors were calculated by the Kaplan-Meier method with 95% CI calculated using Greenwood's formula. The log-rank test was used to compare the distributions between groups. The influence of potential risk factors was estimated by the Cox proportional-hazard model. Variables selected were those previously described. A stepwise multivariate approach was used to identify the most important predictor variable with respect to the development of secondary solid tumors. A P-value < 0.05, after adjustment for the effects of other variables, was required for inclusion in the model. The Kaplan-Meier method was used to calculate survival probability among patients who developed solid tumors.

### Results

Among the 809 patients who underwent stem cell transplantation and survived more than 1 year, 19 new cases of solid cancer were identified (Table 2). Seven patients were diagnosed with buccal cavity carcinoma, and the remaining 12 with secondary cancers included three with esophageal, two each with cervix uteri and gastric cancer, and one each with uterine cancer, rectal cancer, colon cancer, breast cancer, and squamous cell carcinoma of unknown origin. The latency period from HSCT to the emergence of a new solid cancer ranged from 12 to 139 months. The cumulative incidence rates at 5 and 10 years after transplantation were 1.9 and 4.2%, respectively (Figure 1). The overall risk of developing new solid cancers had significantly increased, with 19 invasive cancers observed compared with 6.7 cases expected in an age- and sex-matched general population (standard incidental ratio



 Table 2
 Patient characteristics of secondary malignancies

					,										
No.	Age (years)	Sex	Secondary cancers	Primary diseases	Donor relation	Pre- and post- transplant RT	Conditioning regimen	TBI	aGVHD (II–IV)	GWHD	IM at diagnosis	Latency from HSCT (mo)	Therapy	Outcome	Survival from Dx (mo)
_	39	Z	Buccal Ca	ALL	i	z	CY	12	Ϋ́	EX	¥	84	ST	Alive	115+
7	49	Œ	Uterus Ca	Breast Ca	Auto	⊁	CY, E, Thio	0	Z	z	Z	84	ST+CT	Alive	+61
m	30	Z	Buccal Ca	CML		Z	CA, CY	12	Z	EX	¥	36	ST + RT	Alive	+66
4	47	ഥ	Buccal Ca	CML		Z	BU, CY	0	Z	EX	Y	89	ST	Alive	78+
5	53	Z	Gastric Ca	HL	Auto	Y	E, CY, Mei	0	Z	Z	z	63	ST	Dead	43
9	22	Z	Rectum Ca	AML	Siblir	Z	BU, CY	0	z	LD	Z	69	ST	Alive	47+
7	19	Z	Esophageal Ca	AML	Siblir	Z	BU, CY	0	Z	以	Z	59	CT + RT	Dead	23
∞	46	ſĽ,	Buccal Ca	CML	Siblir	Z	BU, CY	0	¥	Ä	Y	39	CT+RT	Dead	m
6	44	Į,	Esophageal Ca	AML		Z	BU, CY	0	Z	EX	¥	74	CT + RT	Dead	∞
10	78	[I4	Cervical Ca	ALL	Unre	Z	CA, CY	12	Z	z	Z	46	ST+CT	Dead	34
П	4	Œ	Gastric Ca	MM	Siblir	Z	Mel	12	Z	以	z	53	BSC	Dead	m
12	30	Ľ	Cervical Ca	CML	Siblir	Z	CA, CY	12	¥	Z	Z	59	ST	Alive	+0+
13	22	M	Buccal Ca	ALL	Siblin	X	BU, CY	0	Z	CD	Z	41	CT+RT	Dead	34
14	19	M	Colon Ca	H	Auto	Z	CY, Mel, E, Dex	0	Z	Z	Z	27	ST	Alive	36+
15	51	ᄕ	Breast Ca	MDS		z	BU, CY	10	Z	Z	Υ	12	ST	Alive	36+
16	49	Z	SCC (CO)	HE	Auto	Z	E, CBDCA, Mel	0	Z	Z	Z	74	RT	Dead	6
17	34	M	Buccal Ca	H	Siblin	Y	Mel, TAI	0	Z	EX	Y	73	ST	Alive	12+
18	53	M	Buccal Ca	HE		×	E, Mei	12	×	ΓD	Z	139	BSC	Dead	m
19	48	ப	Esophageal Ca	AML	Siblin	Z	BU, CY	12	×	EX	Z	43	ST	Dead	5

Ca = cancer, CY = cyclophosphamide; E = etoposide, Thio = thiotepa; BU = busulfan; Mel = melphalan; Dex = dexamethasone, TAI = thoracoabdominal irradiation; IM = immunosuppression; aGVHD = acute graft-versus-host disease; cGVHD = chronic graft-versus-host disease; bx = extensive type, LD = limited type, mo = months; SCC = squamous cell carcinoma; UO = unknown origin; ST = surgical therapy; CT = chemotherapy; RT = radiation therapy; BSC = best supportive care; Dx = diagnosis of secondary cancers; M = male; F = female; Y = yes; N = no.