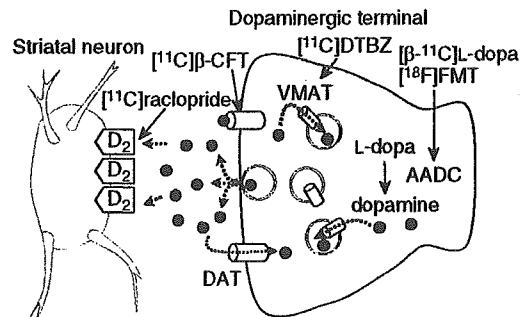


would provide sustained GDNF production in the brain. This method does not require implantation of an infusion device, which is likely to increase patient morbidity as well as long-term risks of infection. Many studies have demonstrated that delivery of the *GDNF* gene via rAAV [63-67], adenoviral [68-73] or lentiviral [74,75] vectors protects nigral DA neurons in rodent and primate models of PD. Intra-striatal administration of rAAV vector expressing GDNF prevented the degeneration of DA neurons and promoted behavioural recovery, even 4 – 5 weeks after the onset of progressive degeneration [66,67]. This delayed rescue is important for clinical application, as a substantial number of DA neurons are lost prior to the appearance of symptoms characteristic of PD.

Striatal GDNF appears to be important for the occurrence of functional reinnervation. However, the expression of GDNF in nigral DA neurons may be detrimental, because intense local sprouting may prevent regeneration of the lesioned axons toward the striatum [64,76,77]. Expression of low levels of GDNF in the striatum is sufficient to provide protective effects without affecting DA synthesis [78]. Although it remains to be verified whether neurotoxin-induced animal models faithfully reflect the human disease, neuroprotective gene therapy with neurotrophic factors holds promise as a novel treatment of PD. Furthermore, neuroimaging techniques [79] and genetic analysis of some familial cases [1,3] have provided an opportunity for detecting at-risk individuals prior to the appearance of the symptoms characteristic of PD, thus enabling the application of earlier gene therapy with GDNF.

### 5. Suppression of the overactive subthalamic nucleus

Hyperactivity of the subthalamic nucleus (STN) is considered a major functional abnormality of PD [80]. Based on a model of basal ganglia circuitry, depletion of DA in the striatum increases the activity of neurons expressing the D<sub>2</sub> receptor. These neurons send their inhibitory projections to the external segment of the globus pallidus (GPe), which leads to the over-inhibition of GPe neurons. Reduced inhibitory input from GPe in the STN results in overactivation of the nucleus, and excessive drive of the STN to the internal segment of the globus pallidus (GPi) and to the substantia nigra pars reticulata (SNr) exerts inhibitory effects on the thalamocortical projection and brainstem nucleus. During the past decade, deep brain stimulation of the STN, which may modify STN output, has become a routine treatment for advanced PD patients, providing improvements in motor function, although the fundamental mechanism of STN stimulation remains to be defined. The success of STN stimulation in PD patients, together with the symptomatic relief mimicked by infusion of the GABA<sub>A</sub> agonist into the STN [81] or directly into the SNr [82] in a primate model of PD, suggests another gene therapy approach: rAAV vector-mediated gene transfer of glutamate decarboxylase (GAD), an enzyme involved in the biosynthesis of the inhibitory neurotransmitter  $\gamma$ -aminobutylic



**Figure 2. Schematic diagram of a DA synapse, demonstrating specific ligands for PET.** [ $\beta$ - $^{11}\text{C}$ ]L-dopa and [ $^{18}\text{F}$ ]FMT are AADC substrates. Transporter markers include high-affinity ligands for the neuronal DAT, including the cocaine analogue [ $^{11}\text{C}$ ]beta-CFT, as well as ligands that bind the VMAT2, such as [ $^{11}\text{C}$ ]DTBZ. Ligands for the D<sub>2</sub> receptor, such as [ $^{11}\text{C}$ ]raclopride, compete with DA and reflect the synaptic DA level. Black circles represent DA.

[ $\beta$ - $^{11}\text{C}$ ]L-dopa: [ $\beta$ - $^{11}\text{C}$ ]L-3,4-dihydroxyphenylalanine;  
 [ $^{11}\text{C}$ ]beta-CFT: [ $^{11}\text{C}$ ]2 $\beta$ -carbomethoxy-3 $\beta$ -(4-fluorophenyl)tropane;  
 AADC: Aromatic-L-amino acid decarboxylase; DA: Dopamine;  
 DAT: DA transporter; [ $^{11}\text{C}$ ]DTBZ: [ $^{11}\text{C}$ ]dihydrotetabenazine;  
 [ $^{18}\text{F}$ ]FMT: 6-[ $^{18}\text{F}$ ]-*m*-tyrosine; PET: Positron emission tomography;  
 VMAT: Vesicular monoamine transporter.

acid (GABA), into the STN [83,84]. The underlining physiological changes in PD include, in addition to increases in the firing rate of the STN, the tendency of pallidal neurons to fire in more irregular patterns, as well as abnormal oscillatory synchronisation in the basal ganglia [85]. A Phase I clinical trial is underway at present to determine the extent to which *GAD* gene transfer into the STN remedies these abnormalities.

### 6. In vivo monitoring of transgenes by PET

PET is a valuable technique for imaging altered DA function in PD [86-88]. The effects of therapeutic gene delivery can be assessed using specific positron-labelled ligands developed for evaluating each process of DA turnover [89] (Figure 2). In addition, the level and duration of transgene expression can be directly monitored *in vivo* when the tracer is a substrate for the transgene product [90]. The first tracer used to visualise and assess the integrity of DA presynaptic systems was 6-[ $^{18}\text{F}$ ]-fluoro-L-dopa (FDOPA), a fluoro-analogue of L-dopa. FDOPA is taken up into the DA terminals, decarboxylated by AADC, trapped and stored in synaptic vesicles. FDOPA uptake is highly correlated with viable DA cells in MPTP-lesioned monkeys [91] and in post-mortem human PD brains [92]. One shortcoming that complicates the use of this agent is that metabolites of FDOPA, such as 3-*O*-methyl-FDOPA, formed by the action of the ubiquitous enzyme COMT, enter the brain and diminish image contrast. An alternative tracer is [ $\beta$ - $^{11}\text{C}$ ]L-dopa, which undergoes less 3-*O*-methylation [93,94] and has a shorter half-life (20 min for  $^{11}\text{C}$ ). The use of this tracer has made it possible to obtain sequential imaging data not only

during the early steps of DA metabolism (e.g., decarboxylation by AADC), but also during DA receptor binding and DA transporter availability in the same subject on the same day. With an ~ 2-h interval between PET scans, each can be performed without any interference by radioactivity from the previous scan. In addition, PET scans can be performed using the non-catecholic tracer 6- $^{18}\text{F}$ -*m*-tyrosine (FMT), which is also a good substrate for AADC and is not metabolised by COMT [87,90,95]. As FMT uptake has about twice the sensitivity of FDOPA uptake, FMT and  $[\beta\text{-}^{11}\text{C}]\text{L-dopa}$  are the ligands of choice for assessing the distribution and activity of AADC delivered by rAAV vectors.

To evaluate the functional effects of gene therapy in PD, DA release following pharmacological challenges can be measured indirectly *in vivo*, as reflected by reductions of DA receptor availability to selective antagonists such as  $^{11}\text{C}$ raclopride [96-98]. A 1% change in striatal  $^{11}\text{C}$ raclopride binding corresponds to at least an 8% change in synaptic DA levels [99]. PET measurements with  $^{15}\text{O}$ H<sub>2</sub>O can also monitor alterations in regional cerebral blood flow, both during resting conditions and in response to stimuli. In PD, activation was found to be reduced in the premotor and prefrontal cortices during performance of a paced motor task [100].

## 7. Regulation of transgene expression

Most of the existing rAAV vectors rely on strong viral promoters that exhibit constitutive expression of transgenes. Excess production of therapeutic proteins, however, can often cause adverse effects. Vector constructs that allow the regulation of gene expression are necessary to maintain functional concentrations within therapeutic windows. However, so far there are no clinically available regulatable systems based on rAAV vectors, although several systems have been developed for preclinical studies. The most popular systems incorporate inducible promoters, which can respond to drugs and/or hormones. Inducing agents employed in these systems include RU486 (mefipristone) [101], rapamycin [102] and tetracycline [103]. Another system by which transgene expression can be shut down is viral vector-mediated delivery of bacteriophage Cre recombinase [104]. In this system, the therapeutic gene is flanked by *loxP* sequences, allowing the Cre recombinase to excise the transgene. RNA technology, including RNA interference [105,106] and ribozymes [107,108], has become more popular, and these systems can be used to reduce transgene expression. In addition, cell type-specific promoters may be useful for targeting specific brain regions, although rAAV vectors based on AAV2 preferentially transduce neurons.

## 8. Expert opinion and conclusion

rAAV vectors have been found to expand the potential of gene therapy, allowing the treatment of a wide range of neurological diseases, including PD [25,109]. Two Phase I clinical trials testing rAAV vectors for PD have been initiated in the US. These trials involve the delivery of the *GAD* gene into the STN and the delivery of the *AADC* gene into the putamen. Vectors used in these protocols do not contain a regulatory component for controlling gene expression. However, stimulation or coagulation of STN potentially reverses the unanticipated effects of GAD expression, and DA cannot be synthesised in the absence of L-dopa administration after transfer of the *AADC* gene. Incorporation of a regulatory component is necessary to increase safety in future clinical trials that are intended to allow local DA synthesis without L-dopa administration. However, DA receptor antagonists, such as haloperidol, could be used in cases of DA overproduction.

Fetal cell transplantation has been applied clinically to patients with advanced PD, with the intention of replacing the degenerated DA neurons. If the primary mechanism underlying recovery in these cell therapies is restoration of dopaminergic neurotransmission, a more straightforward approach would be direct delivery of genes encoding DA-synthesising enzymes into the striatum. However, replacement of DA in the striatum may have no effect on non-motor problems in PD, including affective and autonomic disturbances associated with pathologies not involving dopaminergic pathway degeneration. Broad regions of the brain beyond the motor parts of the basal ganglia are involved in the manifestation of L-dopa-induced dyskinesia [110]. This should be considered when delivery of the *TH* and *GCH* genes is intended to reduce dyskinesia. If reconstruction of the neural network, including the nigrostriatal pathway, is necessary to ameliorate the more complex symptoms of PD, such as dementia, a combination of gene therapy and cell transplantation would be the next strategy for overcoming this complex task. Ongoing clinical trials should provide information showing the extent to which rAAV-mediated gene therapy alleviates motor symptoms in advanced PD patients who responded to L-dopa therapy at early stages.

Recently developed neuroimaging techniques and genetic analysis in some familial cases of PD may provide information enabling the identification of at-risk individuals before characteristic symptoms appear. Earlier gene therapy with neuroprotective molecules could be applied to these at-risk individuals, as well as to patients known to have PD. The elucidation of the mechanism by which genetic mutations lead to the loss of DA neurons in familial forms of PD, as well as the detection of factors that increase the risk for PD, will provide new targets for gene therapy [111].

**Bibliography**

Papers of special note have been highlighted as either of interest (\*) or of considerable interest (\*\*\*) to readers.

- ▶ 1. HEALY DG, ABOU-SLEIMAN PM, WOOD NW: PINK, PANK, or PARK? A clinicians' guide to familial parkinsonism. *Lancet Neurol.* (2004) 3(11):652-662.
- ▶ 2. SHEN J: Protein kinases linked to the pathogenesis of Parkinson's disease. *Neuron* (2004) 44(4):575-577.
- ▶ 3. VILA M, PRZEDBORSKI S: Genetic clues to the pathogenesis of Parkinson's disease. *Nat. Med.* (2004) 10(Suppl.):S58-S62.
- 4. KISH SJ, SHANNAK K, HORNYKIEWICZ O: Uneven pattern of dopamine loss in the striatum of patients with idiopathic Parkinson's disease. Pathophysiologic and clinical implications. *N. Engl. J. Med.* (1988) 318(14):876-880.
- 5. JENNER P: Factors influencing the onset and persistence of dyskinesia in MPTP-treated primates. *Ann. Neurol.* (2000) 47(4 Suppl. 1):S90-S104.
- 6. LANGSTON JW, QUIK M, PETZINGER G, JAKOWEC M, DI MONTE DA: Investigating levodopa-induced dyskinesias in the parkinsonian primate. *Ann. Neurol.* (2000) 47(4 Suppl. 1):S79-S89.
- ▶ 7. CAREY RJ, PINHEIRO-CARRERA M, DAI H, TOMAZ C, HUSTON JP: L-DOPA and psychosis: evidence for L-DOPA-induced increases in prefrontal cortex dopamine and in serum corticosterone. *Biol. Psychiatry* (1995) 38(10):669-676.
- 8. DU B, WU B, BOLDT-HOULE DM, TERWILLIGER EF: Efficient transduction of human neurons with an adeno-associated virus vector. *Gene Ther.* (1996) 3(3):254-261.
- ▶ 9. KAPLITT MG, LEONE P, SAMULSKI RJ *et al.*: Long-term gene expression and phenotypic correction using adeno-associated virus vectors in the mammalian brain. *Nat. Genet.* (1994) 8(2):148-154.
  - **First report of rAAV vector-mediated gene delivery in an animal model of PD.**
- ▶ 10. LEFF SE, SPRATT SK, SNYDER RO, MANDEL RJ: Long-term restoration of striatal L-aromatic amino acid decarboxylase activity using recombinant adeno-associated viral vector gene transfer in a rodent model of Parkinson's disease. *Neuroscience* (1999) 92(1):185-196.
  - **One of a series of reports describing DA replacement using rAAV vectors.**
- ▶ 11. SHEN Y, MURAMATSU SI, IKEGUCHI K *et al.*: Triple transduction with adeno-associated virus vectors expressing tyrosine hydroxylase, aromatic-L-amino-acid decarboxylase, and GTP cyclohydrolase I for gene therapy of Parkinson's disease. *Hum. Gene Ther.* (2000) 11(11):1509-1519.
  - **One of a series of reports describing DA replacement using rAAV vectors.**
- 12. BERNS KI, BERGOIN M, BLOOM M *et al.*: Family Parvoviridae. In: *Virus Taxonomy. Classification and Nomenclature of Viruses.* Murphy FA (Ed.), Springer-Verlag, New York, USA (1995):169-178.
- 13. BANTEL-SCHAAL U, DELIUS H, SCHMIDT R, ZUR HAUSEN H: Human adeno-associated virus type 5 is only distantly related to other known primate helper-dependent parvoviruses. *J. Virol.* (1999) 73(2):939-947.
- 14. CHIORINI JA, KIM F, YANG L, KOTIN RM: Cloning and characterization of adeno-associated virus type 5. *J. Virol.* (1999) 73(2):1309-1319.
- 15. CHIORINI JA, YANG L, LIU Y, SAFER B, KOTIN RM: Cloning of adeno-associated virus type 4 (AAV4) and generation of recombinant AAV4 particles. *J. Virol.* (1997) 71(9):6823-6833.
- ▶ 16. HANDA A, MURAMATSU S, QIU J, MIZUKAMI H, BROWN KE: Adeno-associated virus (AAV)-3-based vectors transduce haematopoietic cells not susceptible to transduction with AAV-2-based vectors. *J. Gen. Virol.* (2000) 81(Pt 8):2077-2084.
- ▶ 17. MURAMATSU S, MIZUKAMI H, YOUNG NS, BROWN KE: Nucleotide sequencing and generation of an infectious clone of adeno-associated virus 3. *Virology* (1996) 221(1):208-217.
- 18. RUTLEDGE EA, HALBERT CL, RUSSELL DW: Infectious clones and vectors derived from adeno-associated virus (AAV) serotypes other than AAV type 2. *J. Virol.* (1998) 72(1):309-319.
- 19. SRIVASTAVA A, LUSBY EW, BERNS KI: Nucleotide sequence and organization of the adeno-associated virus 2 genome. *J. Virol.* (1983) 45(2):555-564.
- ▶ 20. XIAO W, CHIRMULE N, BERTA SC *et al.*: Gene therapy vectors based on adeno-associated virus type 1. *J. Virol.* (1999) 73(5):3994-4003.
- ▶ 21. GAO G, VANDENBERGHE LH, ALVIRA MR *et al.*: Clades of adeno-associated viruses are widely disseminated in human tissues. *J. Virol.* (2004) 78(12):6381-6388.
  - **Describes novel primate AAVs.**
- ▶ 22. BURGER C, GORBATYUK OS, VELARDO MJ *et al.*: Recombinant AAV viral vectors pseudotyped with viral capsids from serotypes 1, 2, and 5 display differential efficiency and cell tropism after delivery to different regions of the central nervous system. *Mol. Ther.* (2004) 10(2):302-317.
- 23. DAVIDSON BL, CHIORINI JA: Recombinant adeno-associated viral vector types 4 and 5. Preparation and application for CNS gene transfer. *Methods Mol. Med.* (2003) 76:269-285.
- ▶ 24. NAKAI H, FUESS S, STORM TA *et al.*: Unrestricted hepatocyte transduction with adeno-associated virus serotype 8 vectors in mice. *J. Virol.* (2005) 79(1):214-224.
- ▶ 25. TENENBAUM L, CHITARTO A, LEHTONEN E *et al.*: Recombinant AAV-mediated gene delivery to the central nervous system. *J. Gene Med.* (2004) 6(Suppl. 1):S212-S222.
- ▶ 26. WANG C, WANG CM, CLARK KR, SFERRA TJ: Recombinant AAV serotype 1 transduction efficiency and tropism in the murine brain. *Gene Ther.* (2003) 10(17):1528-1534.
- ▶ 27. MILLER DG, RUTLEDGE EA, RUSSELL DW: Chromosomal effects of adeno-associated virus vector integration. *Nat. Genet.* (2002) 30(2):147-148.
- ▶ 28. NAKAI H, MONTINI E, FUESS S *et al.*: AAV serotype 2 vectors preferentially integrate into active genes in mice. *Nat. Genet.* (2003) 34(3):297-302.
- ▶ 29. SCHNEPP BC, CLARK KR, KLEMANSKI DL, PACAK CA, JOHNSON PR: Genetic fate of recombinant adeno-associated virus vector genomes in muscle. *J. Virol.* (2003) 77(6):3495-3504.
- ▶ 30. MCCARTY DM, YOUNG SM JR, SAMULSKI RJ: Integration of adeno-associated virus (AAV) and recombinant AAV vectors. *Annu. Rev. Genet.* (2004) 38:819-845.
- ▶ 31. MONAHAN PE, JOOSS K, SANDS MS: Safety of adeno-associated virus gene therapy vectors: a current evaluation. *Expert Opin. Drug Saf.* (2002) 1(1):79-91.
  - **A review on the safety aspects of rAAV vectors.**

32. TENENBAUM L, LEHTONEN E, MONAHAN PE: Evaluation of risks related to the use of adeno-associated virus-based vectors. *Curr. Gene Ther.* (2003) 3(6):545-565.
- A review on the safety aspects of rAAV vectors.
- ▶ 33. PEDEN CS, BURGER C, MUZYCZKA N, MANDEL RJ: Circulating anti-wild-type adeno-associated virus type 2 (AAV2) antibodies inhibit recombinant AAV2 (rAAV2)-mediated, but not rAAV5-mediated, gene transfer in the brain. *J. Virol.* (2004) 78(12):6344-6359.
- ▶ 34. SANFTNER LM, SUZUKI BM, DOROUDCHI MM *et al.*: Striatal delivery of rAAV-hAADC to rats with preexisting immunity to AAV. *Mol. Ther.* (2004) 9(3):403-409.
- ▶ 35. BANKIEWICZ KS, EBERLING JL, KOHUTNICKA M *et al.*: Convection-enhanced delivery of AAV vector in parkinsonian monkeys; *in vivo* detection of gene expression and restoration of dopaminergic function using pro-drug approach. *Exp. Neurol.* (2000) 164(1):2-14.
- rAAV vector-mediated AADC gene transfer in a primate model of PD.
- ▶ 36. FAN DS, OGAWA M, FUJIMOTO KI *et al.*: Behavioral recovery in 6-hydroxydopamine-lesioned rats by cotransduction of striatum with tyrosine hydroxylase and aromatic L- amino acid decarboxylase genes using two separate adeno-associated virus vectors. *Hum. Gene Ther.* (1998) 9(17):2527-2535.
- ▶ 37. MANDEL RJ, RENDAHIL KG, SPRATT SK *et al.*: Characterization of intrastriatal recombinant adeno-associated virus-mediated gene transfer of human tyrosine hydroxylase and human GTP-cyclohydrolase I in a rat model of Parkinson's disease. *J. Neurosci.* (1998) 18(11):4271-4284.
- One of a series of reports describing DA replacement using rAAV vectors.
- ▶ 38. MURAMATSU S, FUJIMOTO K, IKEGUCHI K *et al.*: Behavioral recovery in a primate model of Parkinson's disease by triple transduction of striatal cells with adeno-associated viral vectors expressing dopamine-synthesizing enzymes. *Hum. Gene Ther.* (2002) 13(3):345-354.
- Demonstrates behavioural improvement in a primate model of PD.
- ▶ 39. SANCHEZ-PERNAUTE R, HARVEY-WHITE J, CUNNINGHAM J, BANKIEWICZ KS: Functional effect of adeno-associated virus mediated gene transfer of aromatic L-amino acid decarboxylase into the striatum of 6-OHDA-lesioned rats. *Mol. Ther.* (2001) 4(4):324-330.
- One of a series of reports describing DA replacement using rAAV vectors.
40. ICHINOSE H, OHYE T, FUJITA K *et al.*: Quantification of mRNA of tyrosine hydroxylase and aromatic L-amino acid decarboxylase in the substantia nigra in Parkinson's disease and schizophrenia. *J. Neurol. Transm. Park. Dis. Dement. Sect.* (1994) 8(1-2):149-158.
41. KADDIS FG, CLARKSON ED, WEBER MJ *et al.*: Intrastriatal grafting of Cos cells stably expressing human aromatic L-amino acid decarboxylase: neurochemical effects. *J. Neurochem.* (1997) 68(4):1520-1526.
42. ZHONG XH, HAYCOCK JW, SHANNAK K *et al.*: Striatal dihydroxyphenylalanine decarboxylase and tyrosine hydroxylase protein in idiopathic Parkinson's disease and dominantly inherited olivopontocerebellar atrophy. *Mov. Disord.* (1995) 10(1):10-17.
43. NAKAMURA K, AHMED M, BARR E, LEIDEN JM, KANG UJ: The localization and functional contribution of striatal aromatic L-amino acid decarboxylase to L-3,4-dihydroxyphenylalanine decarboxylation in rodent parkinsonian models. *Cell Transplant.* (2000) 9(5):567-576.
- ▶ 44. TRESEDER SA, JACKSON M, JENNER P: The effects of central aromatic amino acid DOPA decarboxylase inhibition on the motor actions of L-DOPA and dopamine agonists in MPTP-treated primates. *Br. J. Pharmacol.* (2000) 129(7):1355-1364.
- ▶ 45. NAGATSU T, ICHINOSE H: Molecular biology of catecholamine-related enzymes in relation to Parkinson's disease. *Cell. Mol. Neurobiol.* (1999) 19(1):57-66.
- ▶ 46. CORTI O, SANCHEZ-CAPELO A, COLIN P *et al.*: Long-term doxycycline-controlled expression of human tyrosine hydroxylase after direct adenovirus-mediated gene transfer to a rat model of Parkinson's disease. *Proc. Natl. Acad. Sci. USA* (1999) 96(21):12120-12125.
- ▶ 47. NAGATSU T, HORIKOSHI T, SAWADA M *et al.*: Biosynthesis of tetrahydrobiopterin in parkinsonian human brain. *Adv. Neurol.* (1987) 45:223-226.
- ▶ 48. ICHINOSE H, OHYE T, TAKAHASHI E *et al.*: Hereditary progressive dystonia with marked diurnal fluctuation caused by mutations in the GTP cyclohydrolase I gene. *Nat. Genet.* (1994) 8(3):236-242.
49. HOSHIGA M, HATAKEYAMA K, WATANABE M, SHIMADA M, KAGAMIYAMA H: Autoradiographic distribution of [<sup>14</sup>C]tetrahydrobiopterin and its developmental change in mice. *J. Pharmacol. Exp. Ther.* (1993) 267(2):971-978.
- ▶ 50. DEUMENS R, BLOKLAND A, PRICKAERTS J: Modeling Parkinson's disease in rats: an evaluation of 6-OHDA lesions of the nigrostriatal pathway. *Exp. Neurol.* (2002) 175(2):303-317.
- ▶ 51. KANG UJ, LEE WY, CIANG JW: Gene therapy for Parkinson's disease: determining the genes necessary for optimal dopamine replacement in rat models. *Hum. Cell* (2001) 14(1):39-48.
- ▶ 52. WACHTEL SR, BENCSCICS C, KANG UJ: Role of aromatic L-amino acid decarboxylase for dopamine replacement by genetically modified fibroblasts in a rat model of Parkinson's disease. *J. Neurochem.* (1997) 69(5):2055-2063.
- ▶ 53. CARLSSON T, WINKLER C, BURGER C *et al.*: Reversal of dyskinesias in an animal model of Parkinson's disease by continuous L-DOPA delivery using rAAV vectors. *Brain* (2005) 128(Pt 3):559-569.
54. NYHOLM D, NILSSON REMAHL AI, DIZDAR N *et al.*: Duodenal levodopa infusion monotherapy versus oral polypharmacy in advanced Parkinson's disease. *Neurology* (2005) 64(2):216-223.
55. OLANOW CW, WATT'S RL, KOLLER WC: An algorithm (decision tree) for the management of Parkinson's disease (2001): treatment guidelines. *Neurology* (2001) 56(11 Suppl. 5):S1-S88.
- ▶ 56. KIRIK D, GEORGIEVSKA B, BURGER C *et al.*: Reversal of motor impairments in parkinsonian rats by continuous intrastriatal delivery of L-dopa using rAAV-mediated gene transfer. *Proc. Natl. Acad. Sci. USA* (2002) 99(7):4708-4713.
- One of a series of reports describing GDNF gene transfer using rAAV vectors in a rat model of PD.
- ▶ 57. HURELBRINK CB, BARKER RA: The potential of GDNF as a treatment for Parkinson's disease. *Exp. Neurol.* (2004) 185(1):1-6.

- ▶ 58. MOCHIZUKI H, HAYAKAWA H, MIGITA M *et al.*: An AAV-derived Apaf-1 dominant negative inhibitor prevents MPTP toxicity as antiapoptotic gene therapy for Parkinson's disease. *Proc. Natl. Acad. Sci. USA* (2001) 98(19):10918-10923.
- ▶ 59. BOHN MC: Parkinson's disease: a neurodegenerative disease particularly amenable to gene therapy. *Mol. Ther.* (2000) 1(6):494-496.
- ▶ 60. LIN LF, DOHERTY DH, LILE JD, BEKTESH S, COLLINS F: GDNF: a glial cell line-derived neurotrophic factor for midbrain dopaminergic neurons. *Science* (1993) 260(5111):1130-1132.
- ▶ 61. KORDOWER JH, PALFI S, CHEN EY *et al.*: Clinicopathological findings following intraventricular glial-derived neurotrophic factor treatment in a patient with Parkinson's disease. *Ann. Neurol.* (1999) 46(3):419-424.
- ▶ 62. GILL SS, PATEL NK, HOTTON GR *et al.*: Direct brain infusion of glial cell line-derived neurotrophic factor in Parkinson's disease. *Nat. Med.* (2003) 9(5):589-595.
- ▶ 63. KIRIK D, ROSENBLAD C, BJORKLUND A, MANDEL RJ: Long-term rAAV-mediated gene transfer of GDNF in the rat Parkinson's model: intrastriatal but not intranigral transduction promotes functional regeneration in the lesioned nigrostriatal system. *J. Neurosci.* (2000) 20(12):4686-4700.
- ▶ 64. MANDEL RJ, SNYDER RO, LEFF SE: Recombinant adeno-associated viral vector-mediated glial cell line-derived neurotrophic factor gene transfer protects nigral dopamine neurons after onset of progressive degeneration in a rat model of Parkinson's disease. *Exp. Neurol.* (1999) 160(1):205-214.
- ▶ 65. MANDEL RJ, SPRATT SK, SNYDER RO, LEFF SE: Midbrain injection of recombinant adeno-associated virus encoding rat glial cell line-derived neurotrophic factor protects nigral neurons in a progressive 6-hydroxydopamine-induced degeneration model of Parkinson's disease in rats. *Proc. Natl. Acad. Sci. USA* (1997) 94(25):14083-14088.
66. MCGRATH J, LINTZ E, HOFFER BJ *et al.*: Adeno-associated viral delivery of GDNF promotes recovery of dopaminergic phenotype following a unilateral 6-hydroxydopamine lesion. *Cell Transplant.* (2002) 11(3):215-227.
- ▶ 67. WANG L, MURAMATSU S, LU Y *et al.*: Delayed delivery of AAV-GDNF prevents nigral neurodegeneration and promotes functional recovery in a rat model of Parkinson's disease. *Gene Ther.* (2002) 9(6):381-389.
- ▶ 68. BILANG-BLEUEL A, REVAH F, COLIN P *et al.*: Intrastriatal injection of an adenoviral vector expressing glial-cell-line-derived neurotrophic factor prevents dopaminergic neuron degeneration and behavioral impairment in a rat model of Parkinson's disease. *Proc. Natl. Acad. Sci. USA* (1997) 94(16):8818-8823.
- ▶ 69. CHOI-LUNDBERG DL, LIN Q, CHANG YN *et al.*: Dopaminergic neurons protected from degeneration by GDNF gene therapy. *Science* (1997) 275(5301):838-841.
- ▶ 70. CHOI-LUNDBERG DL, LIN Q, SCHALLERT T *et al.*: Behavioral and cellular protection of rat dopaminergic neurons by an adenoviral vector encoding glial cell line-derived neurotrophic factor. *Exp. Neurol.* (1998) 154(2):261-275.
- ▶ 71. CONNOR B, KOZLOWSKI DA, SCHALLERT T *et al.*: Differential effects of glial cell line-derived neurotrophic factor (GDNF) in the striatum and substantia nigra of the aged Parkinsonian rat. *Gene Ther.* (1999) 6(12):1936-1951.
- ▶ 72. CONNOR B, KOZLOWSKI DA, UNNERSTALL JR *et al.*: Glial cell line-derived neurotrophic factor (GDNF) gene delivery protects dopaminergic terminals from degeneration. *Exp. Neurol.* (2001) 169(1):83-95.
- ▶ 73. KOZLOWSKI DA, CONNOR B, TILLERSON JL, SCHALLERT T, BOHN MC: Delivery of a GDNF gene into the substantia nigra after a progressive 6-OHDA lesion maintains functional nigrostriatal connections. *Exp. Neurol.* (2000) 166(1):1-15.
- ▶ 74. AZZOUZ M, RALPH S, WONG LF *et al.*: Neuroprotection in a rat Parkinson model by GDNF gene therapy using EIAV vector. *Neuroreport* (2004) 15(6):985-990.
- ▶ 75. KORDOWER JH, EMBORG ME, BLOCH J *et al.*: Neurodegeneration prevented by lentiviral vector delivery of GDNF in primate models of Parkinson's disease. *Science* (2000) 290(5492):767-773.
- **GDNF gene transfer using a lentiviral vector in a primate model of PD.**
76. GRONDIN R, GASH DM: Glial cell line-derived neurotrophic factor (GDNF): a drug candidate for the treatment of Parkinson's disease. *J. Neurol.* (1998) 245(11 Suppl. 3):P35-P42.
77. WALTON KM: GDNF: a novel factor with therapeutic potential for neurodegenerative disorders. *Mol. Neurobiol.* (1999) 19(1):43-59.
- ▶ 78. ESLAMBOLI A, GEORGIEVSKA B, RIDLEY RM *et al.*: Continuous low-level glial cell line-derived neurotrophic factor delivery using recombinant adeno-associated viral vectors provides neuroprotection and induces behavioral recovery in a primate model of Parkinson's disease. *J. Neurosci.* (2005) 25(4):769-777.
79. BROOKS DJ: Morphological and functional imaging studies on the diagnosis and progression of Parkinson's disease. *J. Neurol.* (2000) 247(Suppl. 2):I111-I118.
- ▶ 80. HAMANI C, SAINT-CYR JA, FRASER J, KAPLITT M, LOZANO AM: The subthalamic nucleus in the context of movement disorders. *Brain* (2004) 127(Pt 1):4-20.
- ▶ 81. LEVY R, LANG AE, DOSTROVSKY JO *et al.*: Lidocaine and muscimol microinjections in subthalamic nucleus reverse Parkinsonian symptoms. *Brain* (2001) 124(Pt 10):2105-2118.
- ▶ 82. WICHMANN T, KLIEM MA, DELONG MR: Antiparkinsonian and behavioral effects of inactivation of the substantia nigra pars reticulata in hemiparkinsonian primates. *Exp. Neurol.* (2001) 167(2):410-424.
83. DURING MJ, KAPLITT MG, STERN MB, EIDELBERG D: Subthalamic GAD gene transfer in Parkinson's disease patients who are candidates for deep brain stimulation. *Hum. Gene Ther.* (2001) 12(12):1589-1591.
- ▶ 84. LUO J, KAPLITT MG, FITZSIMONS HL *et al.*: Subthalamic GAD gene therapy in a Parkinson's disease rat model. *Science* (2002) 298(5592):425-429.
- **GAD gene transfer into the STN using rAAV vectors.**
- ▶ 85. DOSTROVSKY J, BERGMAN H: Oscillatory activity in the basal ganglia-relationship to normal physiology and pathophysiology. *Brain* (2004) 127(Pt 4):721-722.
86. BROOKS DJ: PET studies on the function of dopamine in health and Parkinson's disease. *Ann. NY Acad. Sci.* (2003) 991:22-35.
- **A review on PET in PD.**

87. DOUDET DJ: PET studies in the MPTP model of Parkinson's disease. *Adv. Neurol.* (2001) 86:187-195.
- A review on PET in a primate model of PD.
88. RAVINA B, EIDELBERG D, AHLSSKOG JE *et al.*: The role of radiotracer imaging in Parkinson's disease. *Neurology* (2005) 64(2):208-215.
89. DEJESUS OT: Positron-labeled DOPA analogs to image dopamine terminals. *Drug Dev. Res.* (2003) 59(2):249-260.
- ▶ 90. EBERLING JL, CUNNINGHAM J, PIVROTTO P *et al.*: *In vivo* PET imaging of gene expression in Parkinsonian monkeys. *Mol. Ther.* (2003) 8(6):873-875.
- ▶ 91. PATE BD, KAWAMATA T, YAMADA T *et al.*: Correlation of striatal fluorodopa uptake in the MPTP monkey with dopaminergic indices. *Ann. Neurol.* (1993) 34(3):331-338.
- ▶ 92. SNOW BJ, TOOYAMA I, MCGEER EG *et al.*: Human positron emission tomographic [18F]fluorodopa studies correlate with dopamine cell counts and levels. *Ann. Neurol.* (1993) 34(3):324-330.
- ▶ 93. TORSTENSON R, TEDROFF J, HARTVIG P, FASTH KJ, LANGSTROM B: A comparison of 11C-labeled L-DOPA and L-fluorodopa as positron emission tomography tracers for the presynaptic dopaminergic system. *J. Cereb. Blood Flow Metab.* (1999) 19(10):1142-1149.
- ▶ 94. TSUKADA H, LINDNER KJ, HARTVIG P *et al.*: Effect of 6R-L-erythro-5,6,7,8-tetrahydrobiopterin and infusion of L-tyrosine on the *in vivo* L-[beta-11C] DOPA disposition in the monkey brain. *Brain Res.* (1996) 713(1-2):92-98.
95. HAYASE N, TOMIYOSHI K, WATANABE K *et al.*: Positron emission tomography with 4-[18F]fluoro-L-m-tyrosine in MPTP-induced hemiparkinsonian monkeys. *Ann. Nucl. Med.* (1995) 9(3):119-123.
96. TSUKADA H, HARADA N, NISHIYAMA S, OHBA H, KAKIUCHI T: Cholinergic neuronal modulation alters dopamine D2 receptor availability *in vivo* by regulating receptor affinity induced by facilitated synaptic dopamine turnover: positron emission tomography studies with microdialysis in the conscious monkey brain. *J. Neurosci.* (2000) 20(18):7067-7073.
- ▶ 97. TSUKADA H, HARADA N, NISHIYAMA S *et al.*: Ketamine decreased striatal [(11C)raclopride binding with no alterations in static dopamine concentrations in the striatal extracellular fluid in the monkey brain: multiparametric PET studies combined with microdialysis analysis. *Synapse* (2000) 37(2):95-103.
- ▶ 98. TSUKADA H, NISHIYAMA S, KAKIUCHI T *et al.*: Is synaptic dopamine concentration the exclusive factor which alters the *in vivo* binding of [11C]raclopride? PET studies combined with microdialysis in conscious monkeys. *Brain Res.* (1999) 841(1-2):160-169.
- ▶ 99. BREIER A, SU TP, SAUNDERS R *et al.*: Schizophrenia is associated with elevated amphetamine-induced synaptic dopamine concentrations: evidence from a novel positron emission tomography method. *Proc. Natl. Acad. Sci. USA* (1997) 94(6):2569-2574.
- ▶ 100. BROOKS DJ: Functional imaging studies on dopamine and motor control. *J. Neural Transm.* (2001) 108(11):1283-1298.
- ▶ 101. NORDSTROM JL: The antiprogesterin-dependent GeneSwitch system for regulated gene therapy. *Steroids* (2003) 68(10-13):1085-1094.
- ▶ 102. RIVERA VM, GAO GP, GRANT RL *et al.*: Long-term pharmacologically regulated expression of erythropoietin in primates following AAV-mediated gene transfer. *Blood* (2005) 105(4):1424-1430.
- ▶ 103. AGHA-MOHAMMADI S, O'MALLEY M, ETEMAD A *et al.*: Second-generation tetracycline-regulatable promoter: repositioned tet operator elements optimize transactivator synergy while shorter minimal promoter offers tight basal leakiness. *J. Gene Med.* (2004) 6(7):817-828.
- ▶ 104. AHMED BY, CHAKRAVARTHY S, EGGERS R *et al.*: Efficient delivery of Cre-recombinase to neurons *in vivo* and stable transduction of neurons using adeno-associated and lentiviral vectors. *BMC Neurosci.* (2004) 5(1):4.
- ▶ 105. CAPLEN NJ: Gene therapy progress and prospects. Downregulating gene expression: the impact of RNA interference. *Gene Ther.* (2004) 11(16):1241-1248.
106. WADHWA R, KAUL SC, MIYAGISHI M, TAIRA K: Vectors for RNA interference. *Curr. Opin. Mol. Ther.* (2004) 6(4):367-372.
- ▶ 107. WINKLER WC, NAHVI A, ROTH A, COLLINS JA, BREAKER RR: Control of gene expression by a natural metabolite-responsive ribozyme. *Nature* (2004) 428(6980):281-286.
- ▶ 108. YEN L, SVENDSEN J, LEE JS *et al.*: Exogenous control of mammalian gene expression through modulation of RNA self-cleavage. *Nature* (2004) 431(7007):471-476.
- ▶ 109. MURAMATSU S, WANG L, IKEGUCHI K *et al.*: Adeno-associated viral vectors for Parkinson's disease. *Int. Rev. Neurobiol.* (2003) 55:205-222.
- ▶ 110. GUIGONI C, LI Q, AUBERT I *et al.*: Involvement of sensorimotor, limbic, and associative basal ganglia domains in L-3,4-dihydroxyphenylalanine-induced dyskinesia. *J. Neurosci.* (2005) 25(8):2102-2107.
- ▶ 111. LO BIANCO C, SCHNEIDER BL, BAUER M *et al.*: Lentiviral vector delivery of parkin prevents dopaminergic degeneration in an alpha-synuclein rat model of Parkinson's disease. *Proc. Natl. Acad. Sci. USA* (2004) 101(50):17510-17515.

#### Affiliation

Shin-ichi Muramatsu<sup>1†</sup>, Hideo Tsukada<sup>2</sup>, Imaharu Nakano<sup>1</sup> & Keiya Ozawa<sup>3</sup>

<sup>†</sup>Author for correspondence

<sup>1</sup>Jichi Medical School, Division of Neurology, Department of Medicine, 3311-1 Yakushiji, Minami-kawachi, Tochigi, 3290498 Japan  
Tel: +81 285 58 7352; Fax: +81 285 44 5118;  
E-mail: muramats@jichi.ac.jp

<sup>2</sup>Hamamatsu Photonics K.K., Central Research Laboratory, 5000 Hirakuchi, Hamakita, Shizuoka 434-8601, Japan

<sup>3</sup>Jichi Medical School, Division of Genetic Therapeutics, Center for Molecular Medicine, 3311-1 Yakushiji, Minami-kawachi, Tochigi, 3290498 Japan  
Tel: +81 285 58 7402; Fax: +81 285 44 8675;  
E-mail: kozawa@jichi.ac.jp

## Scalable Generation of High-Titer Recombinant Adeno-Associated Virus Type 5 in Insect Cells

Masashi Urabe,<sup>1\*</sup> Takayo Nakakura,<sup>1</sup> Ke-Qin Xin,<sup>2</sup> Yoko Obara,<sup>1</sup> Hiroaki Mizukami,<sup>1</sup>  
Akihiro Kume,<sup>1</sup> Robert M. Kotin,<sup>3</sup> and Keiya Ozawa<sup>1</sup>

Division of Genetic Therapeutics, Jichi Medical School, Tochigi 329-0498, Japan<sup>1</sup>; Department of Molecular Biodefense Research, Yokohama City University Graduate School of Medicine, Yokohama 236-0004, Japan<sup>2</sup>; and Laboratory of Biochemical Genetics, National Heart, Lung, and Blood Institute, National Institutes of Health, Bethesda, Maryland<sup>3</sup>

Received 14 June 2005/Accepted 27 November 2005

We established a method for production of recombinant adeno-associated virus type 5 (rAAV5) in insect cells by use of baculovirus expression vectors. One baculovirus harbors a transgene between the inverted terminal repeat sequences of type 5, and the second expresses Rep78 and Rep52. Interestingly, the replacement of type 5 Rep52 with type 1 Rep52 generated four times more rAAV5 particles. We replaced the N-terminal portion of type 5 VP1 with the equivalent portion of type 2 to generate infectious AAV5 particles. The rAAV5 with the modified VP1 required  $\alpha$ 2-3 sialic acid for transduction, as revealed by a competition experiment with an analog of  $\alpha$ 2-3 sialic acid. rAAV5-GFP/Neo with a 4.4-kb vector genome produced in HEK293 cells or Sf9 cells transduced COS cells with similar efficiencies. Surprisingly, Sf9-produced humanized *Renilla* green fluorescent protein (hGFP) vector with a 2.4-kb vector genome induced stronger GFP expression than the 293-produced one. Transduction of murine skeletal muscles with Sf9-generated rAAV5 with a 3.4-kb vector genome carrying a human secreted alkaline phosphatase (SEAP) expression cassette induced levels of SEAP more than 30 times higher than those for 293-produced vector 1 week after injection. Analysis of virion DNA revealed that in addition to a 2.4- or 3.4-kb single-stranded vector genome, Sf9-rAAV5 had more-abundant forms of approximately 4.7 kb, which appeared to correspond to the monomer duplex form of hGFP vector or truncated monomer duplex SEAP vector DNA. These results indicated that rAAV5 can be generated in insect cells, although the difference in incorporated virion DNA may induce different expression patterns of the transgene.

Recombinant adeno-associated virus (rAAV) is being developed as a gene transfer vector. rAAV based on serotype 2 (rAAV2) successfully transduces nondividing cells, including muscle, liver, and brain cells (29). Conventional rAAV production requires packaging of rAAV DNA into type 2 capsids by transient transfection of HEK293 cells with two or three plasmids: an AAV helper plasmid encoding *rep* and *cap* genes devoid of inverted terminal repeat (ITR) sequences, a vector plasmid harboring the therapeutic gene between ITRs, and an adenovirus helper plasmid expressing E2A, virus-associated (VA) RNA, and E4orf6. Transient cotransfection is the major limitation for scale-up of rAAV production. Since rAAV can be purified using column chromatography, which can result in highly purified rAAV while eliminating other contaminating viruses, some efforts were made to develop rAAV production systems by using recombinant mammalian viruses such as adenovirus (10) or herpes virus (4) which do not rely on the plasmid transfection and therefore may be amenable to scale-up production.

Recombinant baculoviruses based on the *Autographa californica* nuclear polyhedrosis virus are widely employed for production of heterologous proteins in cultured insect cells. The highly active, late *A. californica* nuclear polyhedrosis virus promoters, such as polyhedrin and p10 promoters, regulate the expression of heterologous proteins, resulting in large amounts

of foreign proteins. Insect cells may be grown in suspension cultures in volumes ranging from shake flasks of sizes from, e.g., 50 to 400 ml, up to commercial-size bioreactors, e.g., 1,000 liters and larger. Recently, we described a highly scalable and efficient method for packaging rAAV2 in insect cells by use of baculovirus expression vectors (31). The ease of scale-up production is perhaps the most attractive feature of this production system. Infection of insect cells in suspension culture with recombinant baculoviruses eliminates the transfection process. Standard downstream processing to recover rAAV, such as tangential flow filtration and column chromatography, is readily applied.

In addition to vectors derived from serotype 2, other serotypes, utilizing different cell surface receptors, constitute a vector set from which an appropriate vector can be selected for a specific application. AAV5 is the most divergent dependo-virus characterized (2), and type 5 AAV vectors have desirable properties that differ from other serotype vectors. AAV5 utilizes different receptors from other serotypes (14, 30), and rAAV5 has demonstrated different tropism from AAV2 (5), thus making it worthwhile to establish a method to produce rAAV5 in insect cells.

AAV is a member of the family *Parvoviridae*. The genome of AAV is a linear, single-stranded DNA of 4.7 kb in length. The ITRs flank the unique coding sequences for the nonstructural replication initiator proteins, Rep, and the structural capsid proteins, VP. The ITRs serve as origins of DNA replication and may also function as the packaging signal. Type 2 Rep78 is generated by the p5 promoter, while Rep68 is translated from spliced mRNA from the p5 promoter. The small Rep polypep-

\* Corresponding author. Mailing address: Division of Genetic Therapeutics, Jichi Medical School, 3311-1 Yakushiji, Minami-kawachi, Tochigi 329-0498, Japan. Phone: 81-285-58-7402. Fax: 81-285-44-8675. E-mail: murabe@jichi.ac.jp.

tides Rep52 and Rep40 are expressed by the p19 promoter with nonspliced or spliced mRNA. The p40 promoter regulates expression of capsid proteins VP1, VP2, and VP3. Alternate usage of two splice sites and translation of VP2 at a non-AUG codon results in a stoichiometry of 1:1:10 of VP1, VP2, and VP3. Both p5 proteins Rep78 and Rep68 are AAV origin binding proteins, and the presence of either is required for AAV DNA replication and processing replicative intermediates of the virus DNA (13). Also, either Rep52 or Rep40 is necessary for packaging the single-stranded, linear virion genome into preformed empty capsids (17). The transcriptional map of type 5 AAV differs from that of type 2; the p7 promoter or p19 promoter transcribes mRNA for Rep78 or Rep52. Type 5 AAV does not encode the spliced form of Rep polypeptides Rep68 and Rep40 (25). Structural protein VP1 is a minor constituent in the AAV capsid. But the VP1-unique portion of approximately 140 amino acid residues is highly conserved among different serotypes and has a phospholipase A<sub>2</sub> motif. The YXGGX and HDXXY motifs (where X is any amino acid residue) in phospholipase A<sub>2</sub> indicate the catalytic site and Ca<sup>2+</sup> binding loop, respectively (see Fig. 3A). Enzymes classified into the secretory phospholipase A<sub>2</sub> family hydrolyze the ester bond at the 2-acyl ester position of glycerophospholipids in the presence of Ca<sup>2+</sup> and are involved in many aspects of cellular pathways, such as lipid membrane metabolism and signal transduction pathways (1, 21). The VP1-unique portion of parvovirus is required for transfer of the virus from late endosomes to the nucleus (36). A mutant virus lacking the VP1-unique portion or the activity of phospholipase is not processed properly, and thus no virus or vector genes are expressed.

In the present study, we describe a rAAV5 production system based on recombinant baculovirus and insect cells. In order to achieve high production levels of rAAV5 particles, we replaced a portion of the VP1 polypeptide with the corresponding portion of type 2. The VP1 substitution did not alter the tropism of rAAV5, which behaved indistinguishably from rAAV5 with wild-type VP1. In an attempt to improve the yields of rAAV5 particles, we used type 1 Rep52 instead of type 5, which resulted in the production of more than  $5 \times 10^4$  vector genomes (vg) per insect cell.

#### MATERIALS AND METHODS

**Plasmid construction.** A flow chart of plasmid construction is shown in Fig. 1. pSR485 is an AAV5 vector plasmid harboring green fluorescent protein (GFP) and neomycin (Neo) genes between the ITRs (27). NotI sites were introduced outside the GFP/Neo expression cassette by PCR amplification using primers 5'-GATCGTCGACGCGCCGCTCTCAGTACAATCTGCTCTGATGCC and 5'-AGTCGTCGACGCGCCGCTGCAAGCATGCAAGCTTGTGAAAAAATGC. The NotI sites (underlined) were introduced. The resulting 4-kb DNA fragment was inserted into the BglIII-SalI (blunt) sites of pSR485 (pSR485 $\alpha$ ). pFB5GFP was constructed by insertion of the 4.8-kb PagI fragment from pSR485 into the Eco105III site of pFBHT $\Delta$ , which was derived from pFBHTb (Invitrogen, Carlsbad, CA) after removal of the polyhedrin promoter with BstZ17I and HindIII digestion. A humanized *Renilla* GFP (hGFP) gene was excised from pRrGFP11-1 (Stratagene, La Jolla, CA) by treatment with BamHI and EcoRV and subcloned into an expression plasmid regulated by the cytomegalovirus (CMV) immediate-early promoter (pCMV). The resulting plasmid, pCMVhGFP, was treated with NotI to cut out the entire hGFP expression cassette, which was inserted into the corresponding site of pSR485 $\alpha$  or pFB5GFP (pSR485hGFP or pFB5hGFP, respectively). A human secreted alkaline phosphatase (SEAP) gene was excised from pSEAP2-Basic (Clontech, Mountain View, CA) with NruI and SalI, and the resulting 1.8-kb fragment was blunt-

ended and inserted into pCMV. The entire SEAP cassette was then excised with NotI and inserted into the corresponding site of pAAVGFP or pFBGFPR (31) between the type 2 ITRs (pAAVSEAP or pFBSEAP, respectively). The type 5 p5 Rep open reading frame (ORF) equivalent to type 2 Rep78 was PCR amplified from pAAV5-2 (2) by using primers 5'-GAAGAAGCGCGCATGAGTTCTCGCGAGACTTC and 5'-CGATTACTGTTCTTATTGGCATCGTCAA AATC and inserted into a cloning vector. The Rep ORF was cut out by NruI and BssHIII, blunt-ended, and subcloned into the NotI site (blunt) of pBAC $\Delta$ Rep (31), which was then treated with BglIII and ClaI and blunt-ended, and the resulting 2.1-kb fragment was inserted into the NotI-PstI (blunt) sites of pFBDA (pFBDA5LR). pFBDA is a derivative of FastBac Dual (Invitrogen) generated by the removal of the polyhedrin and p10 promoters with NcoI and BamHI treatment. The small Rep ORF was cut out from pFBDA5LR by partial digestion with Eco47III and SalI, and the resulting 1.3-kb fragment was blunt-ended and inserted into the StuI site of pFastBac Dual (pFBDSR). pFBDSR was then digested with BstZ17I and SalI and treated with T4 DNA polymerase, and the resulting 1.4-kb fragment was inserted into the KpnI site (blunt) of pFBDA5LR (pFBDA5LR). To generate the truncated p10 promoter, complementary 5'-phosphorylated oligonucleotides 5'-TAAAATCGCGAC and 5'-CATGGTCGCGATTTTAAAT were annealed to each other and inserted into the PacI-NcoI sites of pFastBac Dual (p $\Delta$ 5FB). The type 5 Rep78 gene was PCR amplified with primers 5'-GCGCTTAAATTAATTAATCGCTAGTATGGCTACCTTCTATGAGTCATT-3' and 5'-GATCGCTAGCTTACTGTTCTTATTGGCATCGTCA-3' and subsequently digested with PacI and NheI and inserted into the PacI-NheI sites of p $\Delta$ 5FB (pFBDA5LR12) (the Rep78 ORF is capitalized). The type 5 Rep52 gene amplified using primers 5'-GATCGCGCGCATGGCGCTGCAACTGGCTCGTGGAG-3' and 5'-GATCGCTGACTTACTGTTCTTATTGGCATCGTCA-3' was digested with BssHIII and SalI and inserted into the corresponding sites of pFBDA5LR12 (pFBDA5LR12 $\alpha$ ). To replace type 5 Rep52 on pFBDA5LR12 $\alpha$  with type 1, 2, 3, or 4 Rep52, PCR was conducted with sense primer 5'-gatcccATGGAGCTGGTGGGTTGGTGGGA-3' and antisense primer 5'-gatcactagTTATTGCTCAGAAACAGTCATCCA-3' (for type 1 or 3) or 5'-gatcactagTTATTGTTCCATGTACAGTCATCCA-3' (for type 4) from AAV1 (purchased from American Type Culture Collection), an AAV2 helper plasmid pHLP19 (20), p3-2 (22), or p4-2 (3) (NcoI and SpeI sites are underlined). The resulting 1.2-kb DNA was digested with NcoI and SpeI and inserted into the corresponding sites of pFBDA5LR12 $\alpha$  (pFBDA5LR121, pFBDA5LR122, pFBDA5LR123, and pFBDA5LR124). The resulting recombinant baculoviruses expressing type 5 Rep78 and type 1, 2, 3, 4, or 5 Rep52 are designated Rep5/1, 5/2, 5/3, 5/4, and 5/5, respectively. The type 5 VP ORF was obtained by PCR amplification from pAAV5-2 by using primers 5'-gtcaagctctt gtaagACGTCITTTGTTGATCACCTCCAGATTGGT-3' and 5'-cgaatctagaTT AAAGGGGTCGGGTAAGGTATCG-3'. The sequence corresponding to the VP ORF is capitalized, and the initiation codon was mutated to ACG to reduce its translational efficiency. The 2.2-kb PCR product was cloned into pCMV (pCMV5VPm). The plasmid was digested with Acc65I and treated with T4 DNA polymerase and subsequently with XbaI to excise the VP ORF, which was then inserted into the BamHI (blunt)-XbaI sites of pFastBac Dual (pFBDA5VPm). Plasmid expressing a chimeric VP was constructed by the use of an overlapping-PCR method as follows. VP251 was generated by PCR from pAAV5-2 using primers #30 and #31 (Table 1). The resulting PCR product was treated with BamHI and HindIII and cloned into the corresponding sites of pFBDA5VPm. For VP252 construction, the type 2 VP portion was PCR amplified with primers #32 and #34 from pHLP19. The type 5 VP was amplified with primers #33 and #31. After gel purification, the two PCR products were combined and subjected to the second round of PCR using primers #31 and #32. Chimeric VP253, -254, -255, and -256 were produced in the same way except for primers for the first round of PCR. For VP253, primers #32 and #36 were used to amplify the type 2 VP1 portion and #31 and #35 to amplify the type 5 VP portion (see Fig. 3A). A PCR-generated chimeric VP1 gene was digested with HindIII and BamHI and inserted into the HindIII-BamHI sites of pFBDA5VPm.

**Cell culture.** HEK293 cells were maintained in Dulbecco's modified Eagle's medium-F-12 (1:1, vol/vol; Invitrogen) supplemented with 10% fetal calf serum (Sigma-Aldrich, St. Louis, MO). *Spodoptera frugiperda* Sf9 cells (Invitrogen) were grown at 27°C in shake flask cultures containing Sf-900 II SFM (Invitrogen) supplemented with 10% fetal calf serum.

**Western blotting and silver staining.** Cells were lysed in 1 $\times$  sodium dodecyl sulfate sample buffer and resolved on a 4 to 12% NuPAGE Bis-Tris gel (Invitrogen). After electrophoresis, separated proteins were transferred to a Durapore membrane filter (Millipore, Bedford, MA) and incubated with a primary antibody, either an anti-Rep monoclonal antibody (303.9; Research Diagnostics, Flanders, NJ) at a dilution of 1:200 or a polyclonal anti-type 5 VP antibody raised against a portion of type 5 VP3 polypeptide at a dilution of 1:50,000. The blots



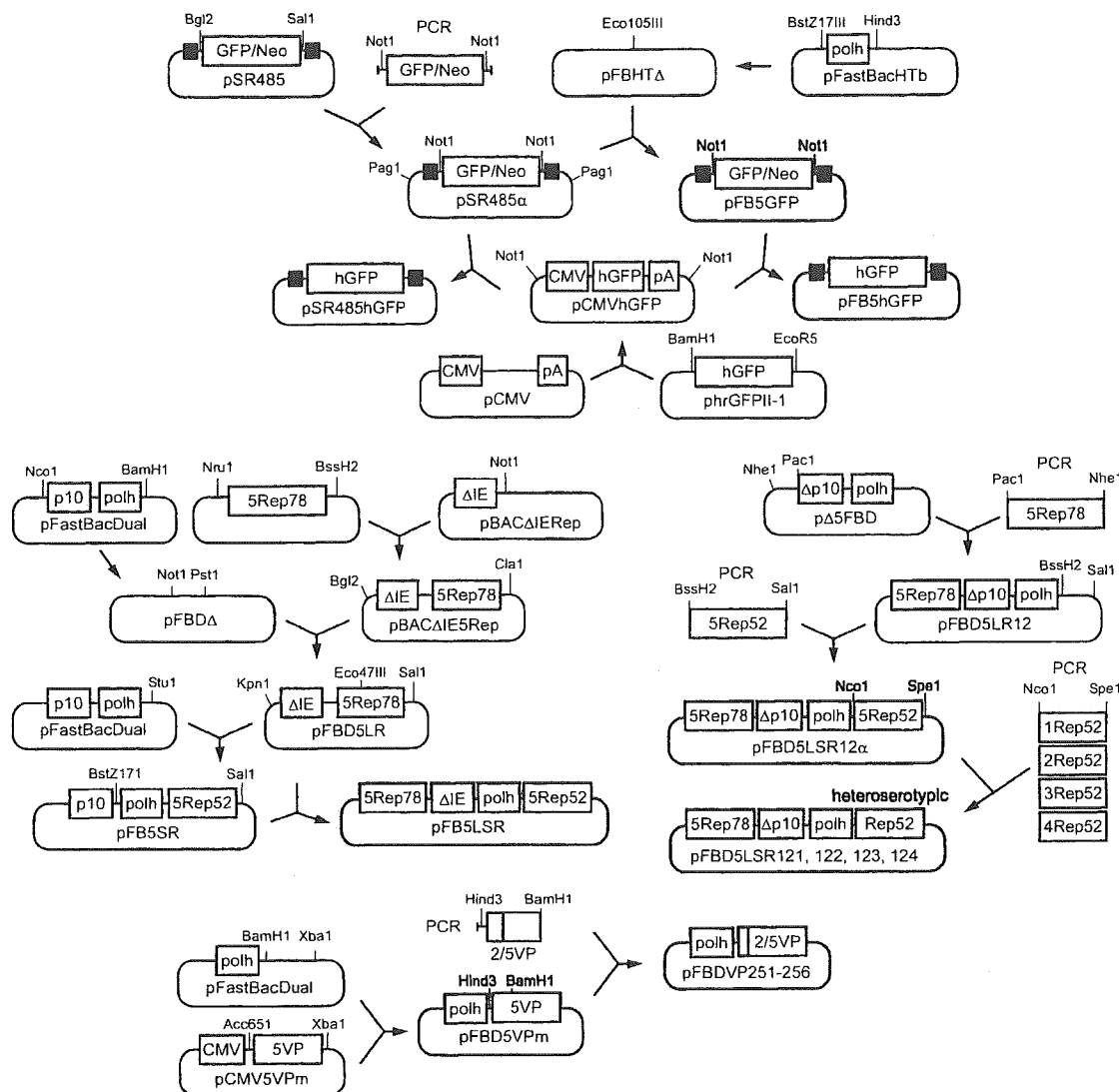


FIG. 1. Flow chart of plasmid construction. See Materials and Methods for details. Plasmids on gray backgrounds were used for generation of recombinant baculovirus vectors. Black boxes, type 5 ITR sequence; p10, p10 promoter; polh, polyhedrin promoter; pA, simian virus 40 polyadenylation sequence.

were then incubated with a secondary anti-mouse or anti-rabbit immunoglobulin G labeled with horseradish peroxidase at a dilution of 1:7,500 (Pierce, Milwaukee, WI). Membranes were incubated in Tris-buffered saline with Tween 20 (TBS-T) (10 mM Tris-HCl [pH 7.6], 0.15 M NaCl, 0.05% Tween 20, 5% nonfat dry milk). Antibodies were added to TBS-T for 1 h. After incubation, membranes were washed three times for 10 min each in TBS-T. All steps were performed at ambient temperature. The development of chemiluminescence catalyzed by horseradish peroxidase was performed according to the manufacturer's instructions (SuperSignal West Pico chemiluminescent substrate; Pierce), and the signals were detected with an X-ray film. Silver staining was performed using a SilverQuest silver staining kit (Invitrogen) according to the manufacturer's instructions.

**Analysis of replicated rAAV DNA in Sf9 cells.** Sf9 cells ( $2 \times 10^5$  cells per well) in 12-well plates were infected with GFP with or without Rep baculoviruses at a multiplicity of infection (MOI) of 3 and incubated at 27°C for 3 days. After incubation, extrachromosomal DNA was isolated by the method of Hirt (12) and a volume corresponding to  $2 \times 10^4$  cells was resolved on a 0.8% agarose gel in Tris-borate buffer. Ethidium-stained gel was visualized under UV.

**Production of rAAV5 in HEK293 cells.** To produce rAAV5-GFP in mammalian cells, HEK293 cells at 80% confluence (approximately  $10^5$  cells per  $\text{cm}^2$ ) in a 225- $\text{cm}^2$  flask were cotransfected with 27  $\mu\text{g}$  of an AAV vector plasmid and 53  $\mu\text{g}$  pSR487 by the calcium phosphate coprecipitation method. pSR487 harbors

type 5 *rep* and *cap* genes and adenovirus E2A, E4orf6, and VA genes (27). Two days after transfection, rAAV5 was purified as described below. For production of pseudotyped type 5 rAAV-SEAP, HEK293 cells were cotransfected with pAAVSEAP; a Rep plasmid expressing type 2 Rep78, Rep68, Rep52, and Rep40; a VP plasmid expressing VP254; and an adenovirus helper plasmid.

**Production and purification of rAAV5 in Sf9 cells.** Typically,  $4 \times 10^8$  Sf9 cells ( $2 \times 10^6$  cells per ml) were infected with a Rep baculovirus (RepBac), a VP baculovirus (VPBac), and a GFP baculovirus (GFPBac) with an MOI of 1 per baculovirus construct. To generate pseudotyped 2/5 rAAV-SEAP, Sf9 cells were infected with a RepBac expressing type 2 Rep78 and Rep52, VP254Bac, and SEAPBac. Pseudotype virus refers to the ITRs of one serotype packaged into a capsid derived from a different AAV serotype. For example, rAAV2/5 consists of AAV2 ITRs packaged into an AAV5 capsid. Three days after infection, the cells were pelleted by centrifugation and lysed in a lysis buffer of 20 mM Tris-HCl (pH 8.4), 50 mM NaCl, 2 mM  $\text{MgCl}_2$ , 0.4% deoxycholic acid, 0.5% 3-[(cholamidopropyl)-dimethylammonio]-l-propanesulfonate (CHAPS) (Merck, Darmstadt, Germany), and 60 U per ml of Benzonase (Merck) and incubated at 37°C for 30 min. The concentration of NaCl in the cell lysate was adjusted to 150 mM and incubated for an additional 30 min. Solid  $\text{CsCl}$  was added to obtain a final density of 1.36  $\text{g}/\text{cm}^3$ . After centrifugation at 36,000 rpm for 24 h at 21°C using an SW40 Ti rotor (Beckman, Fullerton, CA), fractions containing rAAV5 were recovered and subjected to a second round of  $\text{CsCl}$  ultracentrifugation. For some experi-

TABLE 1. Oligonucleotides used for construction of chimeric VP genes

Primer	Sequence <sup>a</sup>
#30	5'-gtca <u>aaagctt</u> cctgttaagAcGGCTGCCGAcGGTTATCTaCCcGA TTGGTTGGAAGAAGTTGGTGAAGGT-3'
#31	5'-GCTGGGATCCGCTGGGTCCAGCTTCGGCGT-3'
#32	5'-gtca <u>aaagctt</u> cctgttaagAcGGCTGCCGAcGGTTATCTaCCcGA TTGGTTGGAAGGAC-3'
#33	5'-ACAGCAGGGGTCTGTGCTGCTGGTTATAACTA-3'
#34	5'-TAGITATAACCAGGCAGCACAAAGACCCTGTGT-3'
#35	5'-GACTCGACAAGGGAGAGCCTGTCAACAGGGCAGA-3'
#36	5'-TCTGCCCTGTTGACAGGCTCTCCCTTGTGAGTC-3'
#37	5'-GAGACAACCCGTACCTCAAGTACAACCACGGCGA-3'
#38	5'-TCCGCGTGGTGTACTTGAGGTACGGGTGTCTC-3'
#39	5'-GAGCAGTCTCCAGGCGAAGAAAAGGGTCTCGA-3'
#40	5'-TCGAGAACCCTTTTCTTCGCTGGAAGACTGCTC-3'
#41	5'-AGGAACCTGTTAAGACGGCCCTACCGAAAGCG-3'
#42	5'-CGTTCCGGTAGGGCCGTCTTAACAGGTTCT-3'

<sup>a</sup> The HindIII or BamHI sites are underlined. The initiation codon for the VP1 gene was mutated to ACG. The possible splicing donor site was destroyed by introducing silent mutations. The VP ORFs are capitalized, and mutated nucleotides are indicated by lowercase letters.

ments, rAAV5 was further purified by anion-exchange column chromatography. CsCl-banded rAAV5 fractions were dialyzed against a buffer of 20 mM Tris-HCl (pH 8.4), 20 mM NaCl, 2 mM MgCl<sub>2</sub>, and 4% glycerol and loaded onto a HiTrap Q Sepharose XL column (1-ml bed volume; Amersham Biosciences, Piscataway, NJ). Bound rAAV5 was eluted with a 20 to 500 mM linear NaCl gradient. Fractions containing rAAV5 were dialyzed against a buffer of 50 mM HEPES (pH 7.4), 150 mM NaCl, 2 mM MgCl<sub>2</sub>, and 5% sorbitol and stored at -80°C until use. The titer of rAAV5 was determined by real-time PCR with CMV-specific primers 5'-TATGGAGTTCGCGTTACATAACTTACGGT-3' and 5'-GAC TAATACGTAGATGTACTGCCAAGTAGG-3' on an HT7000 genetic analyzer (Applied Biosystems, Foster City, CA). Dilutions of pSR485 were employed as a copy number standard.

**Competition experiment with a type 2 or type 5 AAV receptor analog.** COS cells were plated in a 12-well plate at 30% confluence 24 h prior to infection. rAAV2-GFP or rAAV5-GFP was incubated in 0 or 20 μg per ml of heparin (Sigma-Aldrich), an analog of heparan sulfate proteoglycan (HSPG), for 2 h at room temperature. The cells were infected with adenovirus (3 PFU per cell) at 37°C for 2 h. The cells were washed with medium and then infected with rAAV2-GFP at 10<sup>4</sup> vg per cell or rAAV5-GFP at 10<sup>5</sup> vg per cell. At 24 h postinfection, the cells were visually examined under a fluorescent microscope and the percentages of positive cells were determined by flow cytometric analysis of 10<sup>5</sup> infected cells. Experiments were performed in triplicate. Competition experiments with α-2-3 sialic acid were performed as described previously (14). COS cells were plated at 30% confluence 1 day before infection in a 12-well plate. The cells were infected with adenovirus (3 PFU per cell) and incubated at 37°C for 2 h. The adenovirus-containing medium was removed, and the cells were washed with medium. The cells were then infected with rAAV2-GFP (10<sup>4</sup> vg per cell) or rAAV5-GFP (10<sup>5</sup> vg per cell) for 1.5 h in 0 or 0.5 mM 3'-N-acetylneuraminyl-N-acetylglucosamine (3'-SLN) (Sigma-Aldrich), an analog of α-2-3 sialic acid. The cells were washed twice with medium and further incubated for 1 day. The cells were then examined for GFP fluorescence, and the number of positive cells was measured by flow cytometry.

**Treatment of cells with neuraminidase.** COS cells were infected with adenovirus at 3 PFU per cell for 1 h at 37°C. The cells were treated with 0.08 U per ml of neuraminidase (*Vibrio cholerae*, type III; Sigma-Aldrich) for 1 h and infected with rAAV2-GFP at 10<sup>4</sup> vg per cell or rAAV5-GFP at 10<sup>5</sup> vg per cell for 2 h. The infected cells were then washed twice with medium and incubated for 1 additional day. The GFP-positive cells were counted by flow cytometry. Experiments were done in triplicate.

**Muscle injection of rAAV5 in mice.** A total of 10<sup>11</sup> vg of pseudotyped rAAV5-SEAP produced in either 293 cells or Sf9 cells were injected into murine tibialis anterior muscles and blood was taken at the indicated weeks after injection. The serum SEAP activity was measured by a SEAP report gene assay (Roche Diagnostics, GmbH, Penzberg, Germany). The mouse study was approved by a review board at Jichi Medical School.

## RESULTS

**Construction of recombinant VP and Rep baculoviruses.** Production of rAAV2 in insect cells uses three baculovirus

vectors providing the following: (i) genes for three AAV structural proteins that form the virus capsid (VP1, VP2, and VP3), (ii) two of the AAV nonstructural proteins for replication and encapsidation (Rep78 and Rep52), and (iii) AAV vector DNA consisting of the gene of interest flanked by the AAV origins of replication (ITRs). In the presence of the AAV nonstructural proteins, the AAV vector DNA is "rescued" from the baculovirus genome and replicates as AAV via the ITRs (31).

Similarly to AAV type 2, the type 5 capsid proteins VP1, VP2, and VP3 are synthesized from two spliced mRNAs arising from the p41 promoter (Fig. 2A) (25). One mRNA is translated into VP1, while another transcript encodes VP2 and VP3. The initiation codon for VP2 is ACG, which is poorly utilized, resulting in the ribosome scanning through to the VP3 initiation codon AUG. The alternate usage of two acceptor sites and the poor utilization of the ACG initiation codon for VP2 are responsible for the 1:1:10 stoichiometry of VP1, VP2, and VP3. As shown in our previous report, the type 2 VP gene with an AAV intron does not express all of the VP polypeptides in insect cells (31). Mutating the VP1 AUG initiation codon to ACG resulted in production of VP1, VP2, and VP3 with a stoichiometry of approximately 1:1:10 from a single transcript without alternate splicing (31). Based on our initial success with AAV2, we constructed a similar type 5 VP baculovirus (VP5Bac) that harbored a type 5 VP gene where the initiation codon for VP1 was changed to ACG (Fig. 2B). Although this VP5Bac was able to produce type 5 capsids into which type 5 AAV vector DNA was incorporated, VP1 was poorly expressed compared to that synthesized in 293 cells (Fig. 2C). The resulting rAAV5-GFP particles poorly transduced COS cells. The calculated ratio of vector genomes to transducing units for the Sf9 cell-produced rAAV5-GFP was 10 times higher than the ratio for the 293 cell-produced counterpart. The VP1 polypeptides have phospholipase A<sub>2</sub> activity and are critical for efficient transfer of the viral genome from late endosomes to the nucleus (36). The efficiency with which a scanning eukaryotic ribosome recognizes an AUG codon for translational initiation is dependent on the local sequence context of the codon. The sequence ACCAUGG is optimal for initiation (18). Residue G at +4 seems particularly important for translation from a non-AUG codon where the A of the AUG codon is defined as +1 (11). In type 2 VP1, the nucleotide at +4 is G while the corresponding nucleotide at +4 in type 5 is U. To increase the efficiency of translation from an ACG codon for type 5 VP1 in insect cells, we tested some VP1 mutants that introduced a G residue at +4. However, these mutants also failed to produce infectious type 5 AAV particles (data not shown). The VP1-unique portion is conserved well among different serotypes compared to the VP3 portion that constitutes the majority of the viral capsids and is responsible for receptor binding specificity. The type 5 VP1-unique portion is approximately 70% identical to the equivalent portion of type 2 (Fig. 3A), while the type 5 VP3 portion is 60% homologous to the equivalent portion of type 2 (2). Since we successfully produced rAAV2 that was as infectious as the 293 cell-produced one, we tested a series of chimeric capsids between types 2 and 5 in which a part of the type 5 VP1-unique portion was replaced by the corresponding portion of type 2 VP1. Figure 3A shows the chimeric VP1 genes constructed. Figure 3B shows the Western analysis of type 5 VP poly-

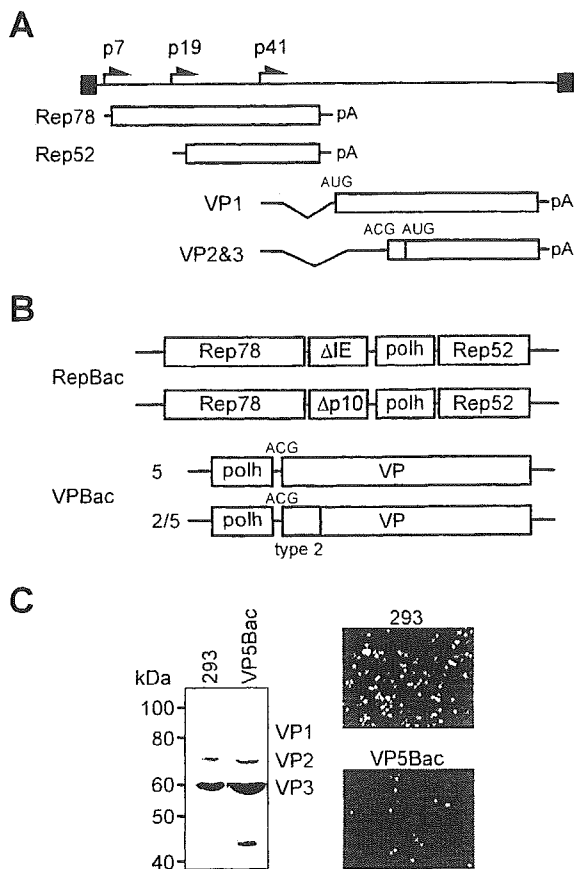


FIG. 2. (A) Genetic and transcriptional map of type 5 AAV. The p7 and p19 promoters drive Rep78 and Rep52, respectively. The p41 promoter transcribes two mRNAs. One expresses VP1, and the other is for VP2 and VP3. The initiation codon for VP2 is ACG, which is poorly utilized for translation, leading to production of a smaller amount of VP2 polypeptides than VP3 polypeptides. The ITRs are indicated by black boxes. pA, polyadenylation signal sequence. (B) Recombinant baculoviruses (rBac) constructed. An rBac for Rep utilizes a truncated promoter for the immediate-early 1 gene of *Orgyia pseudotsugata* nuclear polyhedrosis virus ( $\Delta$ IE) for type 5 Rep78, and another RepBac expresses Rep78 under the control of a truncated p10 promoter ( $\Delta$ p10). See Fig. 4A for details. Either RepBac uses the polyhedrin promoter (polh) for Rep52. For expression of type 5 capsid proteins, a recombinant baculovirus that harbored a VP gene on which the initiation codon for VP1 is mutated to ACG was constructed (VP5Bac). Another series of VPBacs that had the type 5 VP1 gene partially replaced by the corresponding portion of type 2 VP1 at the N terminus was generated. (C) Western analysis of Sf9 cells infected with VP5Bac. The initiation codon for VP1 was mutated to an ACG codon, which enabled synthesis of VP1, -2, and -3 from a single VP mRNA. The amount of VP1 synthesized was extremely small compared to that in 293 cells. rAAV5-GFP generated with VP5Bac was used for the infection of COS cells at  $10^5$  vg per cell. The number of GFP-positive cells was 10% of the number of positive cells obtained with rAAV5-GFP produced in 293 cells.

peptides produced with VP251Bac through VP256Bac. Each VPBac produced chimeric VP1 at levels comparable to those of VP2. Formation of empty capsids was confirmed by CsCl density gradient analysis of Sf9 cell lysate infected with VP254Bac, as shown in Fig. 3C. The peak of VP polypeptides came to the fraction of  $1.31 \text{ g/cm}^3$ , a buoyant density of empty capsids. The GFP gene between the type 5 ITRs could be

packaged into each type of chimeric capsid, and all of the chimeric rAAV5-GFPs except VP251 could transduce COS cells with efficiency similar to that of 293 cell-produced rAAV5-GFP (data not shown). The yields of rAAV5-GFP produced with VP253Bac or VP254Bac were approximately 1.2 times higher than others, although the difference was not statistically significant. We thus used VP254Bac to produce rAAV5 for the next experiments.

The initial Rep baculovirus for type 2 rAAV production drove type 2 Rep72 expression with a truncated promoter for the immediate-early 1 gene of *Orgyia pseudotsugata* nuclear polyhedrosis virus ( $\Delta$ IE) and type 2 Rep52 under the control of the polyhedrin promoter (31) (Fig. 2B). The AAV5 genome encodes nonstructural proteins Rep78 and Rep52 (Fig. 2A). Similarly, we constructed a Rep baculovirus that expressed type 5 Rep78 and Rep52 under the control of the  $\Delta$ IE promoter and the polyhedrin promoter, respectively. The titers of the type 2 or type 5 Rep baculoviruses, however, were lower than those of other recombinant baculovirus vectors (e.g., VPBac, GFPBac). The immediate-early 1 gene promoter becomes active at the early stage of baculovirus infection, and we thought that early expression of Rep78 in insect cells might negatively affect the yields of recombinant baculoviruses. The very late p10 promoter, which is widely used for recombinant protein production, is active at the latest stage of baculovirus infection. Thus, to delay and suppress the expression of Rep78, we tested a series of truncated p10 promoters. First, we screened the truncated p10 promoters for production of type 2 rAAV and selected one that generated high-titer rAAV2. Figure 4A shows the map of the p10 promoter and the truncated p10 promoter we constructed. The upstream TAAG sequence does not affect the activity of the p10 promoter (32). The sequence between the TAAG sequence and the p10 protein initiation codon at +72 (where the transcription start site is defined as +1) is called the burst sequence and is required for the "burst" of expression of the p10 protein at the very late stage of baculovirus infection. The *vlf-1* transactivator interacts with the burst sequence and strongly stimulates the transcription from the p10 promoter (35). To construct a weak p10 promoter ( $\Delta$ p10), we removed the burst sequence between positions +39 and +72 from the original p10 promoter. The  $\Delta$ p10 promoter was best for the production of rAAV2 among a series of truncated p10 promoters we examined. The titers of recombinant baculoviruses with the  $\Delta$ p10 promoter were comparable to those of other recombinant baculoviruses. The  $\Delta$ p10 promoter was transferred to express type 5 Rep78 (Fig. 2B). Figure 4B compares the time courses of type 5 Rep expression by  $\Delta$ IE and  $\Delta$ p10 promoters over 72 h after infection, indicating that the  $\Delta$ p10 promoter-driven Rep78 expression was detected at 24 h after infection while the  $\Delta$ IE promoter expressed Rep78 as early as 12 h after infection. To examine whether this modest difference in the levels of Rep78 affected replication of the AAV vector DNA, we isolated the low-molecular-weight DNA from the Sf9 cells infected with hGFP baculovirus and a Rep baculovirus (Fig. 4C). A ladder of replicative forms (RF) of rAAV5 DNA began to appear at 36 h postinfection in either case. The expected size of rAAV5-hGFP or monomer RF is 2.4 kb and the sizes of dimer and trimer RF are 4.8 and 7.2 kb, which is consistent with the result of the agarose gel electrophoresis.

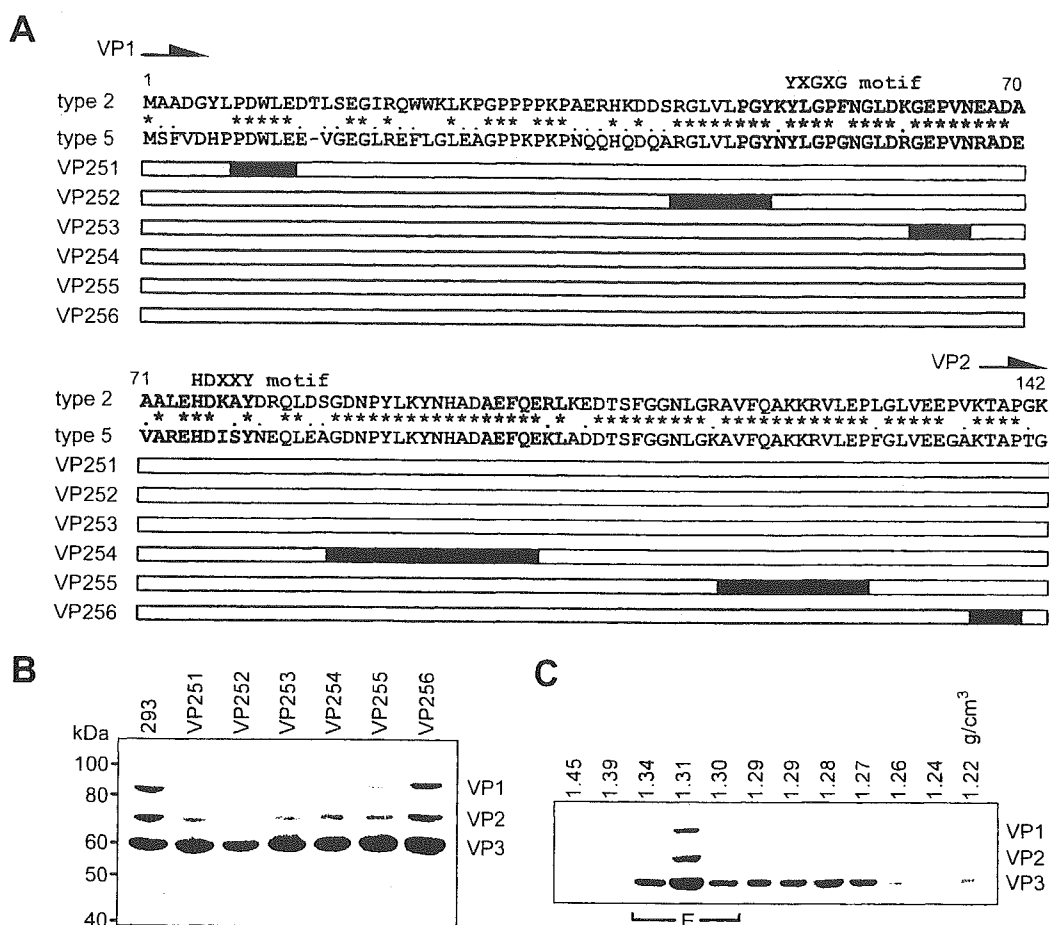


FIG. 3. (A) Chimeric VP genes constructed. The portions derived from type 2 are indicated in gray, while those from type 5 are in white. The common portions are indicated in black. The phospholipase A<sub>2</sub> motifs are shaded. The YXGGX and HDXXY motifs (where X is any amino acid residue), indicating the catalytic site and Ca<sup>2+</sup> binding loop, respectively, are also shown. The amino acid residues common between type 2 and type 5 are indicated by asterisks. Amino acid residues classified into the same group are indicated by dots. (B) Expression of chimeric VP polypeptides in Sf9 cells. One microgram of cell lysate was resolved onto a 4 to 12% NuPAGE Bis-Tris gel in MOPS (morpholinepropanesulfonic acid) buffer (Invitrogen). Separated proteins were transferred to a Durapore membrane (Millipore), and VP proteins were detected with a rabbit polyclonal antibody raised against the type 5 VP3 portion. The lane labeled 293 shows lysate from HEK 293 cells transfected with pSR487, a hybrid plasmid harboring type 5 AAV *rep* and *cap* genes and adenovirus E2A, VA RNA, and E4orf6 genes (27). Lanes labeled VP251 through VP256 indicate lysates from Sf9 cells infected with recombinant baculovirus expressing chimeric VP. (C) Chimeric VP between types 2 and 5 is able to form empty particles. Sf9 cells ( $1 \times 10^7$  cells) infected with a VP2/5Bac, VP253Bac, were lysed as described in Materials and Methods. Solid CsCl was added to make a buoyant density of 1.30 g/cm<sup>3</sup>. After ultracentrifugation for 24 h at 36,000 rpm at 21°C using an SW40 Ti rotor (Beckman), 1-ml fractionations were collected. A portion of each fraction was resolved onto a 4 to 12% NuPAGE gel in MOPS buffer, transferred to a Durapore membrane, and detected with a rabbit anti-type 5 VP polyclonal antibody. The buoyant density of each fraction is indicated above each lane. Fractions that contain empty capsids are indicated by E.

**Heteroserotypic small Rep can package rAAV5 DNA into type 5 capsids.** The insect cell-based production system for rAAV2 or rAAV1 can generate more than  $4 \times 10^4$  particles of rAAV per Sf9 cell. However, the yields of rAAV5 produced with either  $\Delta$ IE or  $\Delta$ p10 RepBac were approximately  $1 \times 10^4$  to  $2 \times 10^4$  vg per Sf9 cell. Rep52, or small Rep protein, has been implicated in encapsidation of the AAV genome (17). To establish a high-titer production system, we investigated the use of other serotypes of Rep52 for rAAV5 production. We replaced the type 5 Rep52 with serotype 1, 2, 3, or 4 Rep52 on the  $\Delta$ p10 RepBac. Figure 5A shows the results of Western blotting of Sf9 cells infected with Rep baculoviruses expressing type 5 Rep78 under the control of the  $\Delta$ p10 promoter and serotype 1, 2, 3, 4, or 5 Rep52 driven by the polyhedrin promoter. To generate rAAV5, Sf9 cells were coinfecting with

hGFPBac, VP254Bac, and a RepBac with the indicated serotype Rep52 at an MOI of 1. Sf9 cells infected with hGFPBac and VP254Bac along with RepBac producing type 1 Rep52 were processed by CsCl density centrifugation, and fractions were analyzed for capsid antigen by Western blotting (Fig. 5B). Two peaks of VP proteins were detected; the higher-buoyant-density peak, from 1.42 to 1.36 g/cm<sup>3</sup>, presumably consists of a vector genome containing rAAV5 particles. Another peak, at 1.33 g/cm<sup>3</sup>, represents empty capsids, indicating that type 1 Rep52 packaged serotype 5 rAAV DNA into type 5 capsids. When a RepBac that expressed only type 5 Rep78 was used, no rAAV5 particles were produced, confirming that heteroserotypic small Rep indeed packaged type 5 rAAV DNA into type 5 capsids. The cell lysate was loaded directly onto an anion-exchange column, and purified particles were investigated un-

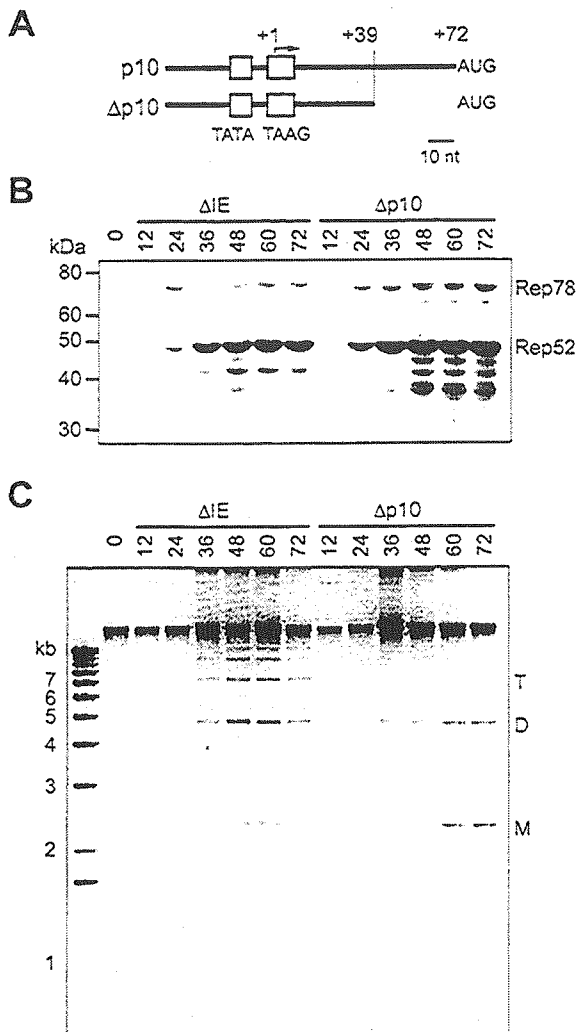


FIG. 4. (A) Map of the  $\Delta p10$  promoter used for Rep78 expression. The sequence between positions +39 and +72 is deleted in the  $\Delta p10$  promoter, where the T of the TAAG sequence or the transcription start site (marked with a bent arrow) is defined as +1 and the A of the p10 protein AUG codon is defined as +72. The original AUG codon for the p10 protein was mutated to ACT with pFastBac Dual (Invitrogen). The positions of the TATA box and the TAAG sequence are indicated. (B) Time course of Rep78 expression by  $\Delta IE$  or  $\Delta p10$  promoter. Sf9 cells were infected with a Rep baculovirus, and the cells were harvested at the times indicated (in hours) for Western analysis with a monoclonal anti-Rep antibody. (C) Replication of hGFP vector DNA in insect cells. Sf9 cells were coinfecting with a Rep baculovirus and an hGFP baculovirus at 1 PFU per cell and incubated for the times indicated (in hours). Low-molecular-weight DNA was isolated, and DNA equivalent to  $10^5$  cells was resolved onto a 1% agarose gel. T, trimer; D, dimer; M, monomer.

der electron microscopy, showing typical rAAV particles of a diameter of 20 nm in addition to empty capsids (Fig. 5C). According to the staining pattern, approximately 30% of capsids contained vector genomes. In another experiment, rAAV5-hGFP was purified with two rounds of CsCl ultracentrifugation and the titers of rAAV5-hGFP were determined by real-time PCR using a pair of CMV-specific primers. Figure 5D summarizes the yields of rAAV5-hGFP with the use of different serotypes of small Rep. The titer of rAAV5-GFP

produced with type 1, 2, 3, or 4 small Rep was  $56,000 \pm 3,200$  ( $n = 4$ ),  $41,000 \pm 18,900$  ( $n = 4$ ),  $42,000 \pm 7,300$  ( $n = 3$ ), or  $39,000 \pm 3,500$  ( $n = 3$ ) particles per Sf9 cell, respectively, while that of rAAV5-GFP produced using AAV5 Rep52 was  $13,500 \pm 3,200$  ( $n = 5$ ). The rAAV5-hGFP particles produced with the indicated serotype Rep52 were further purified by anion-exchange column chromatography, and a total of  $3 \times 10^9$  vg of either rAAV5-hGFP were then fractionated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis and examined by silver staining along with 293 cell-produced rAAV5-hGFP (Fig. 5E). Densitometric analysis indicated that the intensities of the VP3 bands were almost equal to one another.

Type 5 vector DNA was packaged into type 5 capsids consisting of chimeric VP1 between types 2 and 5 in the baculovirus system. To examine the possible effect of the chimeric VP1 on packaging of type 5 vector DNA with heteroserotypic Rep52, we tested the production of rAAV5-hGFP by using either Rep5/1Bac or Rep5/5Bac and VP5Bac or VP254Bac. Interestingly, the yields of rAAV5 produced with type 5 Rep52 and type 2/5 chimeric capsids were constantly lower than yields produced with other combinations (Fig. 5F). Type 1 Rep52 was capable of packaging type 5 vector DNA into type 5 capsids and type 2/5 chimeric capsids with similar levels of efficiency. Although the result was not conclusive, the presence of a type 2 VP1-unique portion might interfere with type 5 Rep52 packaging rAAV5 DNA into type 5 capsids in insect cells.

**Insect cell-produced rAAV5 infects cells via an  $\alpha 2$ -3 sialic acid receptor.** AAV2 capsids utilize HSPG as a primary coreceptor to infect target cells (30), whereas AAV5 capsids require  $\alpha 2$ -3 sialic acid for efficient uptake (14). rAAV5 capsids generated in Sf9 cells are composed of VP1 partially replaced with type 2 VP1. The domains involved in receptor binding are within the VP3 portion (16), and the type 2 VP1-unique portion does not appear to be involved in attachment to target cells (19). To determine whether rAAV5 chimeric capsid particles infect cells via sialic acid and not via HSPG, we performed competition experiments with receptor analogs. The results of the heparin competition study show that rAAV2-GFP failed to transduce COS cells in the presence of heparin, an analog of heparan sulfate, as expected (Fig. 6A, top panels). By contrast, rAAV5-GFP produced in 293 cells (Fig. 6A, middle panels) or insect cells (Fig. 6A, bottom panels) was able to express GFP in COS cells irrespective of the presence of heparin, suggesting that Sf9 cell-produced rAAV5-GFP did not utilize HSPG as a primary coreceptor. The number of GFP-expressing cells was counted by flow cytometry, and the percent change in transduction compared to transduction in the absence of heparin was calculated, which clearly corroborated the observation with fluorescent microscopy. We next examined whether insect cell-produced rAAV5-GFP infects cells via  $\alpha 2$ -3 sialic acid. As shown in Fig. 6B, COS cells were infected with rAAV5 generated in 293 cells (middle panels) or Sf9 cells (bottom panels) in the presence or absence of an analog of  $\alpha 2$ -3 sialic acid, 3'-SLN. The analog inhibited GFP expression in COS cells by both 293 cell- and Sf9 cell-produced rAAV5-GFP, suggesting that rAAV5-GFP produced in insect cells infected cells via  $\alpha 2$ -3 sialic acid as did 293 cell-produced rAAV5. To confirm that rAAV5-GFP derived from insect cells utilized sialic acid as a cell attachment receptor, we infected cells denuded of sialic acid by neuraminidase treatment. The

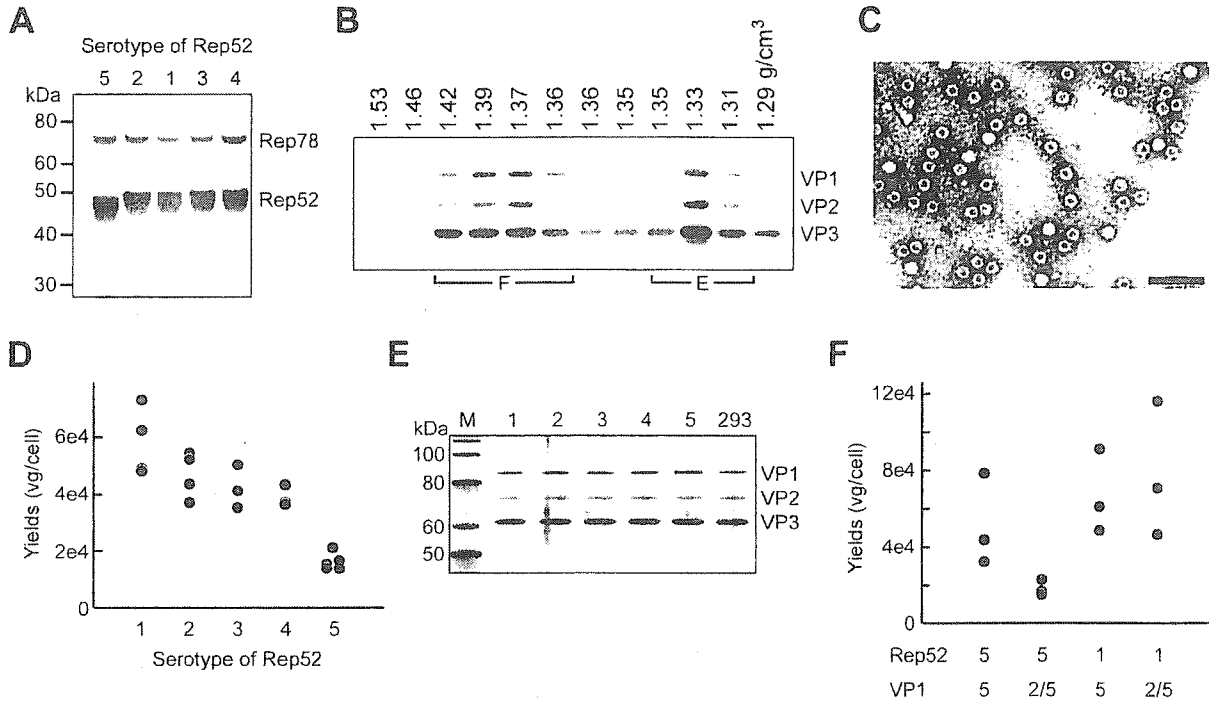


FIG. 5. (A) Western analysis of RepBacs expressing type 5 Rep78 and type 1, 2, 3, 4, or 5 Rep52 with an anti-Rep antibody. (B) Analysis of Sf9 cells coinfecting with Rep, VP254, and hGFP baculoviruses by CsCl density gradient ultracentrifugation. Three days after infection, the cells were lysed and subjected to ultracentrifugation. F, filled, or containing rAAV particles; E, empty capsids. (C) Negative staining of rAAV5-hGFP particles purified with ion-exchange column chromatography alone. Particles were stained with 2% uranyl acetate. Magnification,  $\times 100,000$ . Bar, 100 nm. (D) Generation of rAAV5-hGFP produced with different serotypes of Rep52. The yield of rAAV5-GFP produced with type 1, 2, 3, 4, or 5 small Rep was  $56,000 \pm 3,200$  ( $n = 4$ ),  $41,000 \pm 18,900$  ( $n = 4$ ),  $42,000 \pm 7,300$  ( $n = 3$ ),  $39,000 \pm 3,500$  ( $n = 3$ ), or  $13,500 \pm 3,200$  ( $n = 5$ ) particles per Sf9 cell, respectively. (E) Analysis of rAAV5-hGFP produced in insect cells or 293 cells by silver staining. rAAV5-hGFP ( $3 \times 10^9$  particles) produced with serotype 1, 2, 3, 4, or 5 and that produced in 293 cells were resolved onto a 4 to 12% NuPAGE Bis-Tris gel (Invitrogen). Lane M, molecular size markers. (F) Comparison of the yields of rAAV5-GFP produced with type 1 or type 5 Rep52 and VP5Bac or VP2/5Bac. Sf9 cells were coinfecting with hGFPBac, Rep5/1Bac or Rep5/5Bac, and VP5Bac or VP254Bac at an MOI of 1 in each of three independent experiments. The rAAV5-hGFP produced was purified by two rounds of CsCl density gradient ultracentrifugation, and the genomic titer was determined by real-time PCR.

result shows that prior incubation with neuraminidase significantly inhibited the transduction of COS cells mediated by rAAV5-GFP produced in 293 cells and Sf9 cells (Fig. 6C).

**Comparison of transduction efficiencies with rAAV5 in cultured cells.** We next compared the efficacy of rAAV5-GFP/Neo produced in Sf9 cells to that for a mammalian-cell-produced counterpart. COS cells were infected with either Sf9-produced or 293-produced rAAV5-GFP/Neo at  $1 \times 10^5$  through  $1 \times 10^2$  vg per cell for 1 day, and the number of GFP-positive cells was counted by flow cytometry. As shown in Fig. 7A, both Sf9-produced and 293-produced rAAV5-GFP/Neo showed similar dose-response curves. In addition, the vector genome-to-transducing unit ratio was calculated based on the number of GFP-positive cells at  $3 \times 10^3$  vg per cells. Three independently produced samples were examined, and the vector genome-to-transducing unit ratio for Sf9-produced rAAV5-GFP was  $3.9 \times 10^4 \pm 1.6 \times 10^4$  (mean  $\pm$  standard deviation), while the ratio for 293-produced rAAV5-GFP was  $3.6 \times 10^4 \pm 1.2 \times 10^4$ . These results indicated that insect cell-generated rAAV5-GFP/Neo had a similar ability to transduce COS cells. Although the capsids produced in Sf9 cells contain type 2/5 chimeric VP1 and those produced in HEK293 cells were composed of original type 5 VP1, rAAV5-GFP/Neo de-

rived from Sf9 cells and that derived from HEK293 cells did not show any significant difference in GFP expression in COS cells, suggesting that the difference in the VP1-unique portion did not impact the expression of the transgene or affect the intracellular processing of type 5 capsids in COS cells. We also compared transduction efficiencies of rAAV5-hGFP generated in Sf9 cells and rAAV5-hGFP generated in HEK293 cells. Surprisingly, the dose-response curve obtained by Sf9-produced rAAV5-hGFP shifted to the right and the number of GFP-positive cells at the dose of  $3 \times 10^3$  vg per cell was five times larger than that for 293-produced rAAV5-hGFP (Fig. 7B). Since the substitution of the type 5 VP1-unique portion with the equivalent portion of type 2 did not impact the GFP expression in COS cells (Fig. 7A), we explored the rAAV5 genomes packaged into vector capsids. Virion DNA was isolated and analyzed on an alkaline gel. After electrophoresis, the DNA was transferred to a nylon membrane and hybridized with a <sup>32</sup>P-labeled CMV-specific probe. The GFP/Neo DNA packaged into AAV5 capsids is essentially the same in size and amount as expected (Fig. 7C). We next analyzed virion DNA isolated from rAAV5-hGFP produced with the indicated serotype Rep52 in insect cells, as well as 293-produced rAAV5-hGFP (Fig. 7D). The encapsidated hGFP DNA is present as

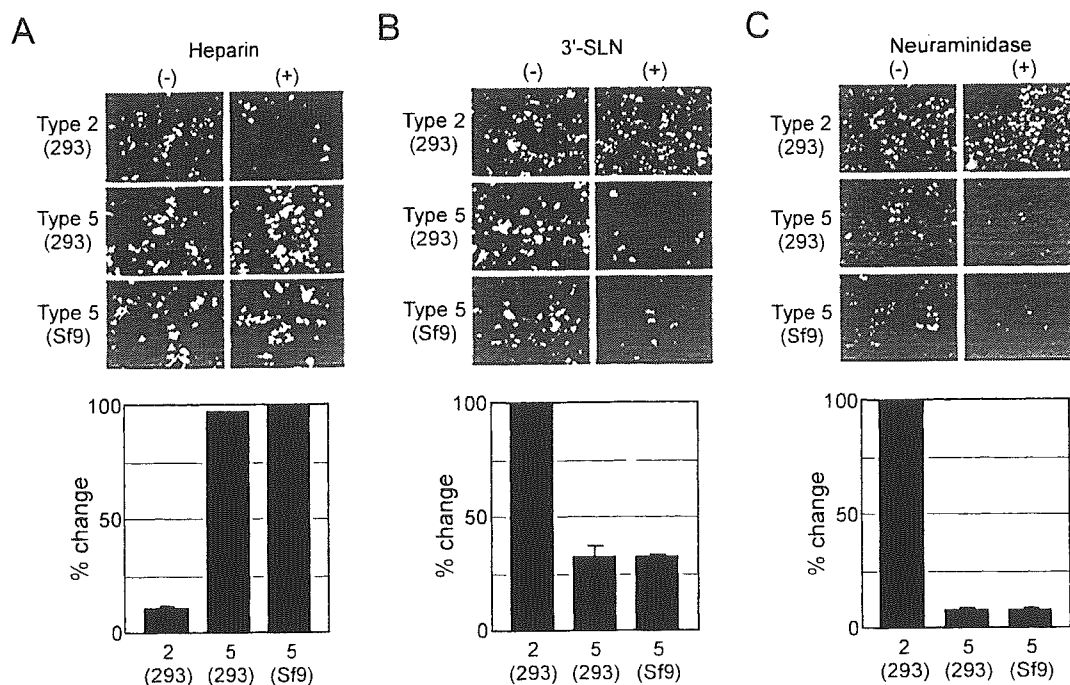


FIG. 6. (A) Heparin, an analog of HSPG, does not inhibit transduction of COS cells infected with rAAV5-GFP/Neo produced in insect cells. Cells were infected with adenovirus at 3 PFU per cell and incubated at 37°C for 2 h. After being washed with medium, the cells were infected with rAAV2-GFP/Neo produced in 293 cells at  $10^4$  vg per cell or rAAV5-GFP/Neo generated in 293 cells or Sf9 cells at  $10^5$  vg per cell in the presence or absence of 20  $\mu$ g per ml of heparin in triplicate. One day after infection, the cells were observed under a fluorescent microscope. The number of GFP-positive cells was also counted by flow cytometry. Data are presented as percent change in transduction compared to transduction in the absence of heparin. (B) An analog of  $\alpha$ -2-3 sialic acid inhibits both 293 cell- and Sf9 cell-produced rAAV5-GFP/Neo. COS cells were infected with an adenovirus at 3 PFU per cell and incubated at 37°C for 2 h. After being washed with medium, the cells were infected with rAAV2-GFP/Neo at  $10^4$  vg per cell or rAAV5-GFP at  $10^5$  vg per cell for 1.5 h in the presence of 0 or 0.5 mM 3'-SLN (Sigma-Aldrich). The cells were washed twice with medium and further incubated for 1 day. The number of GFP-expressing cells was measured by flow cytometry ( $n = 3$ ). Data are presented as percent change in transduction compared to transduction in the absence of the analog. (C) Neuraminidase treatment of COS cells inhibits transduction with rAAV5-GFP generated in 293 cells or Sf9 cells. COS cells were infected in triplicate with adenovirus at 3 PFU per cell for 1 h at 37°C, treated with 0.08 U per ml of neuraminidase (*Vibrio cholerae*, type III; Sigma-Aldrich) for 1 h, and infected with  $10^5$  vg per cell of rAAV5-GFP produced in 293 cells or Sf9 cells for 2 h. COS cells were similarly treated and also infected with  $10^4$  vg per cell of rAAV2-GFP/Neo. The infected cells were then washed twice with medium and incubated for an additional day. After incubation, the cells were observed under fluorescent microscopy and the number of GFP-positive cells was counted by flow cytometry.

two DNA species. The higher-mobility virion DNA corresponds with 2.4-kb hGFP vector DNA or a single-stranded monomer, which is confirmed by comigration with a 2.4-kb vector DNA obtained by treatment with a restriction enzyme of the hGFP vector plasmid, pSR485hGFP. The lower-mobility DNA is the same in size as the monomer RF or duplex form of hGFP DNA (Fig. 7D) isolated from Sf9 cells coinfecting with RepBac and hGFPBac (Fig. 4C). The intensity of the larger virion DNA, which was quantified with an imaging analyzer, was roughly double that of shorter DNA for each rAAV5 produced in Sf9 cells. If the larger virion DNA is a monomer duplex form and thus has two CMV promoter sequences hybridizing to a CMV probe, then we estimated that the quantity of the double-stranded monomeric form was equal to that of the single-stranded monomer. The ratio of the amount of the monomer duplex form to the amount of the single-stranded monomer form in the rAAV5-hGFP virion produced in 293 cells is 1 to 3.5. AAV particles have been shown to package two copies of vector genomes that are less than 50% of the 4.8-kb AAV genome, and the packaged vector DNA appeared to be monomeric single-stranded and double-stranded RF (6). For gene expression, the single-stranded vector genome has to be

converted to a double-stranded form by either second-strand synthesis (8, 9) or annealing of complementary strands (23). The monomeric duplex vector DNA, on the other hand, can function directly as a template for mRNA synthesis. Thus, the more potent gene expression mediated by rAAV5-hGFP generated in Sf9 cells is probably due to the presence of the encapsidated monomer duplex form.

**Comparison of efficacies of rAAV5 in vivo.** To compare the efficacies of rAAV5 produced in 293 cells and rAAV5 produced in Sf9 cells, we constructed a type 5 vector that expressed human SEAP. rAAV5 particles produced in Sf9 cells consisted of chimeric VP1 between type 2 and type 5. To eliminate the possible difference in intracellular processing of rAAV5 particles due to replacement of the type 5 VP1-unique portion with the equivalent one of type 2, we compared the in vivo activities of rAAV5 particles containing type 2/5 VP1 polypeptides produced in insect and mammalian cells. Five mice each intramuscularly received a total of  $10^{11}$  vg of rAAV5-SEAP generated in either 293 cells or Sf9 cells, and serum SEAP levels were monitored. As shown in Fig. 8A, the expression profile of the Sf9-produced type 5 SEAP vector differed from that of the 293-produced one. The rAAV5-SEAP



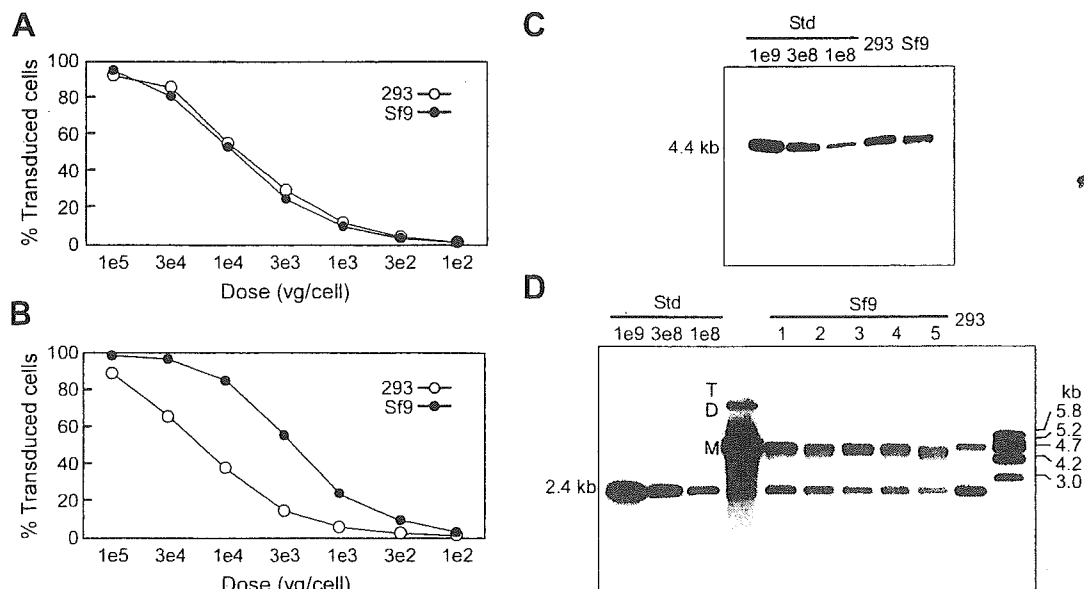


FIG. 7. Comparison of infectivities of rAAV5 produced in 293 cells and rAAV5 produced in Sf9 cells. (A) COS cells were infected with rAAV5-GFP/Neo produced in 293 cells or Sf9 cells at the doses indicated, ranging from  $1 \times 10^5$  through  $1 \times 10^2$  vg per cell. One day after infection, the cells were examined for GFP fluorescence by flow cytometry in triplicate. (B) COS cells were infected with rAAV5-hGFP produced in HEK293 cells or Sf9 cells at a dose of  $1 \times 10^5$  through  $1 \times 10^2$  vg per cell for 1 day. The number of GFP-positive cells was counted cytometrically. (C) Analysis of GFP/Neo vector virion DNA on an alkaline gel. Virion DNA was isolated from rAAV5-GFP/Neo produced in HEK293 cells or Sf9 cells by treatment with proteinase K, and samples equivalent to  $3 \times 10^8$  vg were resolved onto a 0.8% alkaline gel. The DNA was then transferred to a nylon membrane and hybridized to a  $^{32}\text{P}$ -labeled CMV-specific probe. A 4.4-kb-size copy number standard (Std) ( $1 \times 10^9$ ,  $3 \times 10^8$ , and  $1 \times 10^8$  copies) was loaded, which was derived from the GFP/Neo vector plasmid, pSR485 $\alpha$ , with a restriction enzyme that cut out the vector portion. (D) Alkaline agarose gel electrophoresis of virion DNA isolated from rAAV5-hGFP. Vector DNA isolated from rAAV5-hGFP particles produced with type 1, 2, 3, 4, or 5 Rep52 was analyzed along with 293-produced rAAV5-hGFP. Low-molecular-weight DNA isolated from insect cells infected with RepBac and hGFPBac (Fig. 4C) serves as a reference for monomer (M), dimer (D), and trimer (T) replicative forms.

generated in HEK293 cells showed a gradual increase in serum SEAP activity over 1 month after injection, which is a typical expression pattern by rAAV-mediated transduction. The Sf9-produced rAAV5-SEAP induced levels of SEAP activity at 1 or 2 weeks after injection that were more than 30 or 10 times higher, respectively, than those of the 293-produced rAAV5-SEAP, and the serum SEAP activity by Sf9 produced rAAV5-SEAP decreased at 4 weeks after injection. There was no significant difference between the two groups after 4 weeks following administration. We also analyzed the SEAP vector DNA on an alkaline gel (Fig. 8B). The expected size of rAAV5-SEAP vector genomes is 3.4 kb. The majority of 293-produced rAAV5-SEAP DNA is single-stranded monomer in both type 5 capsids and type 2/5 chimeric capsids. In addition to the 3.4-kb single-stranded vector genome, DNA extracted from Sf9 cell-produced rAAV5 particles contained an additional DNA of approximately 4.7 kb. One model for AAV packaging proposes that when the size of vector DNA is larger than the size of the wild-type AAV, 4.7 kb, the vector DNA is cleaved to 100% of the AAV genome during packaging into virion (6). The 4.7-kb virion DNA may be a cleaved product of duplex multimers synthesized in Sf9 cells.

## DISCUSSION

Recent advances in understanding of biology of AAV and in production of rAAV have facilitated the use of rAAV as a gene transfer vector. A human clinical trial with rAAV2 expressing

a coagulation factor IX has shown that intramuscular delivery of more than  $10^{15}$  rAAV2 particles would be required for amelioration of hemophilia B (15). Currently, the widely employed method for production of rAAV is transfection of packaging cells, such as HEK293 cells, with plasmids carrying AAV and adenovirus genes. Plasmid transfection is more easily adaptable to packaging different serotype AAV vectors than establishing a packaging cell line. However, the transfection process is the limiting step in rAAV production, which requires adherent HEK293 cells on a two-dimensional surface for efficient production of rAAV.

The production of other AAV serotype-derived vectors has been described previously (26) and follows the strategy developed for rAAV2 (20). Some modifications have been reported, such as lipofection of 293 cells in suspension culture in serum-free media, which makes the handling of the cells and the purification step easier (28). However, the use of a lipid reagent for transfection may be neither cost-effective nor scalable. A recombinant herpes simplex virus harboring type 5 *rep* and *cap* genes was created to eliminate the transfection process (33), although the yields of rAAV5 were low. The baculovirus/insect cell-based rAAV5 production system presented here does not require plasmid transfection and is scalable. By extrapolation from culture volume, we expect to obtain more than  $10^{14}$  particles of rAAV5-GFP from a 1-liter culture. This is consistent with yields of rAAV1 or rAAV2 produced in Sf9 cell cultures (20a, 31).

To produce infectious rAAV5 particles in insect cells, we



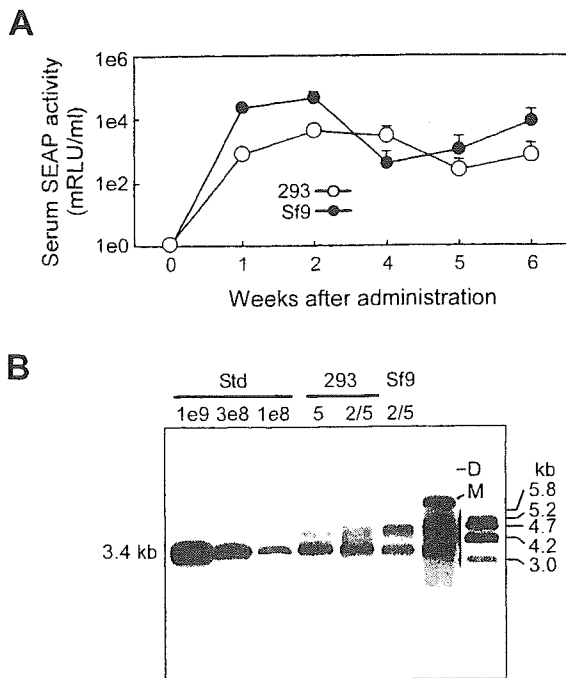


FIG. 8. (A) Serum SEAP activity following intramuscular injection of rAAV5-SEAP. Five mice each received a total of  $10^{11}$  vg of pseudotyped rAAV5-SEAP produced in 293 cells or Sf9 cells into tibialis anterior muscles. Blood was taken at the indicated weeks after injection. The serum SEAP activity was measured by a SEAP report gene assay (Roche Diagnostics, GmbH, Penzberg, Germany). RLU, relative light units. (B) Molecular analysis of SEAP vector DNA. Vector genomes were isolated from type 5 or type 2/5 SEAP vector particles produced in HEK293 cells or from Sf9-produced rAAV5-SEAP. Extrachromosomal low-molecular-weight DNA isolated from Sf9 cells infected with RepBac and SEAPBac was also analyzed. Copies ( $10^9$  through  $10^8$ ) of copy number standard (Std) vector DNA derived from SEAP vector plasmid, pAAVSEAP, were also loaded. M, monomer replicative form of SEAP vector genomes; D, dimer replicative form.

inserted an N-terminal portion of type 2 VP1 into the corresponding site of type 5 VP1. The N termini of VP1 polypeptides contain the phospholipase  $A_2$  motif and are essential to viral infectivity (36). Electron microscopy indicated that the VP1-unique portion is hidden within type 2 capsids and appears on the surface of the capsids during the infectious pathway in cells (19). The VP1-unique portion is well conserved among different AAVs. Comparison of the portion among serotypes 1 through 4 and 6 revealed that one serotype is more than 80% identical to another. The type 5 VP1-unique portion is 70 to 75% identical to that of other serotypes, while the sequence alignment of VP2 or VP3 of AAV1 through AAV6 showed that type 5 is approximately 55% identical to other serotypes. The initial trial mutation of the start codon for type 5 VP1 gene to ACG failed to produce infectious rAAV5 particles due to low synthesis of VP1 polypeptide (Fig. 2C). However, the successful generation of rAAV2 particles in insect cells and the notion that the VP1-specific region is well conserved among different serotypes led us to construct a chimeric type 5 VP1 polypeptide whose N-terminal portion was partially replaced by the equivalent portion of type 2. The transduction of COS cells and mouse muscles with rAAV5 produced in

insect cells clearly indicated that the chimeric VP1, VP254, could confer infectivity to it (Fig. 7 and 8).

The strategy of producing AAV "pseudotyped" vectors, typically consisting of AAV2 ITR and non-AAV2 capsids, such as AAV4 and AAV5, has been reported previously (2, 3, 26, 34). We first tested similar pseudopackaging of rAAV DNA type 2 ITRs into type 5 capsids with type 2 RepBac in insect cells. However, the yields of vector particles produced were four times lower than those reached by packaging type 5 DNA into type 5 capsids (data not shown). We also examined the production of rAAV5 by packaging type 2 AAV DNA with type 2 Rep78 and type 5 Rep52 into type 5 capsids, which also resulted in low yields of rAAV5 (data not shown). The production of type 5 vector in 293 cells by transfection with a type 5 vector plasmid and a type 5 *rep cap* plasmid usually yields more than  $10^4$  particles per HEK293 cell, and the production of pseudotyped type 5 vectors by using a type 2 AAV vector plasmid and type 2 *rep* and type 5 *cap* plasmid recovers  $3 \times 10^3$  particles per cell (unpublished observation), an observation consistent with the production in Sf9 cells.

Using Sf9 cells, we found that Rep52 proteins of other serotypes were capable of packaging DNA with type 5 ITRs into type 5 capsids more efficiently than type 5 Rep52. Type 2 small Rep protein has been shown to package the AAV2 genome into preformed capsid with its helicase activity in collaboration with large Rep protein (7, 17). The small Rep protein associates with Rep78/68 (24) and probably specifically interacts with large Rep protein during encapsidation (7). The basis for the improved AAV packaging with non-type 5 Rep52 remains to be elucidated. To exclude the possibility that cellular proteins and/or baculovirus proteins played a major role in packaging type 5 DNA, we used a RepBac that expressed only type 5 Rep78 for production of type 5 rAAV. No rAAV5 particles were recovered from the recombinant baculovirus-infected Sf9 cells (data not shown), suggesting that the small Rep protein is absolutely required for generating rAAV5 in insect cells. As shown in Fig. 5F, the fact that the partial replacement of the VP1-unique portion with the corresponding portion of type 2, the strategy we took to generate infectious type 5 particles in insect cells, inhibited type 5 Rep52-mediated introduction of type 5 ITR genomes into type 5 capsids may only indicate the role of the type 2 VP1-unique portion as a physical barrier during packaging of rAAV genomes into capsids. We believe that under a special circumstance, such as in invertebrate cells, heteroserotypic Rep52 is superior to type 5 Rep52 in packaging rAAV DNA with type 5 ITR into type 5 capsids. It is interesting to examine whether other serotypes of Rep52 can package type 5 rAAV DNA into type 5 capsid in mammalian cells. We are currently investigating the packaging of type 5 genome into type 5 capsids with different serotypes of Rep52 in HEK293 cells.

The majority of the vector genome of rAAV5 produced in HEK293 cells in the present study is in single-stranded monomeric form, irrespective of the size of the vector genome (Fig. 7C and D and 8B). However, when the size of vector DNA is shorter than the size of the wild-type AAV genome, insect cells tend to package longer, 4.7-kb DNA into type 5 capsids. The 4.7-kb longer virion DNA in Sf9-produced rAAV5 appears to be a cleavage product of multimers of replicated vector genomes. If the size of a multimer is within the packaging limit,

it is efficiently introduced into AAV capsids. If a multimer is larger than 4.8 kb in size, a partially truncated multimer is packaged into AAV capsids in insect cells (6). Sequencing of 4.7-kb DNA packaged into virions will be a key to disclosing the difference between packaging of vector DNA into capsids in HEK293 cells and insect cells. The difference in packaged virion DNA between rAAV5 produced in human cells and in insect cells provides important information on designing vector DNA for production of rAAV5 in insect cells.

In summary, we developed a new method for production of rAAV5 in insect cells, which offers a better alternative to the existing production methods of rAAV5, although the vector genomes packaged into capsids differ in size from rAAV5 produced in HEK293 cells. The robust generation in suspension culture will facilitate the use of type 5 rAAV not only for basic studies but also for clinical studies.

#### ACKNOWLEDGMENTS

This work was supported in part by grants from the Ministry of Health, Welfare, and Labor of Japan and Grants-in-Aid for Scientific Research from the Ministry of Education, Science, Sports, and Technology of Japan, and High-Tech Research Center Project for private universities (matching-fund subsidy from the Ministry of Education, Science, Sports, and Technology of Japan). This research was also supported in part by the Intramural Research Program of the NHLBI, NIH.

#### REFERENCES

- Balsinde, J., M. A. Balboa, P. A. Insel, and E. A. Dennis. 1999. Regulation and inhibition of phospholipase A2. *Annu. Rev. Pharmacol. Toxicol.* 39:175–189.
- Chiorini, J. A., F. Kim, L. Yang, and R. M. Kotin. 1999. Cloning and characterization of adeno-associated virus type 5. *J. Virol.* 73:1309–1319.
- Chiorini, J. A., L. Yang, Y. Liu, B. Safer, and R. M. Kotin. 1997. Cloning of adeno-associated virus type 4 (AAV4) and generation of recombinant AAV4 particles. *J. Virol.* 71:6823–6833.
- Conway, J. E., C. M. Rhys, I. Zolotukhin, S. Zolotukhin, N. Muzyczka, G. S. Hayward, and B. J. Byrne. 1999. High-titer recombinant adeno-associated virus production utilizing a recombinant herpes simplex virus type I vector expressing AAV-2 Rep and Cap. *Gene Ther.* 6:986–993.
- Davidson, B. L., C. S. Stein, J. A. Heth, I. Martins, R. M. Kotin, T. A. Derksen, J. Zabner, A. Ghodsi, and J. A. Chiorini. 2000. Recombinant adeno-associated virus type 2, 4, and 5 vectors: transduction of variant cell types and regions in the mammalian central nervous system. *Proc. Natl. Acad. Sci. USA* 97:3428–3432.
- Dong, J. Y., P. D. Fan, and R. A. Frizzell. 1996. Quantitative analysis of the packaging capacity of recombinant adeno-associated virus. *Hum. Gene Ther.* 7:2101–2112.
- Dubielzig, R., J. A. King, S. Weger, A. Kern, and J. A. Kleinschmidt. 1999. Adeno-associated virus type 2 protein interactions: formation of pre-encapsidation complexes. *J. Virol.* 73:8989–8998.
- Ferrari, F. K., T. Samulski, T. Shenk, and R. J. Samulski. 1996. Second-strand synthesis is a rate-limiting step for efficient transduction by recombinant adeno-associated virus vectors. *J. Virol.* 70:3227–3234.
- Fisher, K. J., G. P. Gao, M. D. Weitzman, R. DeMatteo, J. F. Burda, and J. M. Wilson. 1996. Transduction with recombinant adeno-associated virus for gene therapy is limited by leading-strand synthesis. *J. Virol.* 70:520–532.
- Gao, G. P., G. Qu, L. Z. Faust, R. K. Engdahl, W. Xiao, J. V. Hughes, P. W. Zoltick, and J. M. Wilson. 1998. High-titer adeno-associated viral vectors from a Rep/Cap cell line and hybrid shuttle virus. *Hum. Gene Ther.* 9:2353–2362.
- Grunert, S., and R. J. Jackson. 1994. The immediate downstream codon strongly influences the efficiency of utilization of eukaryotic translation initiation codons. *EMBO J.* 13:3618–3630.
- Hirt, B. 1967. Selective extraction of polyoma DNA from infected mouse cell cultures. *J. Mol. Biol.* 26:365–369.
- Hölscher, C., J. A. Kleinschmidt, and A. Bürkle. 1995. High-level expression of adeno-associated virus (AAV) Rep78 or Rep68 protein is sufficient for infectious-particle formation by a rep-negative AAV mutant. *J. Virol.* 69:6880–6885.
- Kaludov, N., K. E. Brown, R. W. Walters, J. Zabner, and J. A. Chiorini. 2001. Adeno-associated virus serotype 4 (AAV4) and AAV5 both require sialic acid binding for hemagglutination and efficient transduction but differ in sialic acid linkage specificity. *J. Virol.* 75:6884–6893.
- Kay, M. A., C. S. Manno, M. V. Ragni, P. J. Larson, L. B. Couto, A. McClelland, B. Glader, A. J. Chew, S. J. Tai, R. W. Herzog, V. Arruda, F. Johnson, C. Scallan, E. Skarsgard, A. W. Flake, and K. A. High. 2000. Evidence for gene transfer and expression of factor IX in haemophilia B patients treated with an AAV vector. *Nat. Genet.* 24:257–261.
- Kern, A., K. Schmidt, C. Leder, O. J. Müller, C. E. Wobus, K. Bettinger, C. W. Von der Lieth, J. A. King, and J. A. Kleinschmidt. 2003. Identification of a heparin-binding motif on adeno-associated virus type 2 capsids. *J. Virol.* 77:11072–11081.
- King, J. A., R. Dubielzig, D. Grimm, and J. A. Kleinschmidt. 2001. DNA helicase-mediated packaging of adeno-associated virus type 2 genomes into preformed capsids. *EMBO J.* 20:3282–3291.
- Kozak, M. 1986. Point mutations define a sequence flanking the AUG initiator codon that modulates translation by eukaryotic ribosomes. *Cell* 44:283–292.
- Kronenberg, S., B. Bottcher, C. W. von der Lieth, S. Bleker, and J. A. Kleinschmidt. 2005. A conformational change in the adeno-associated virus type 2 capsid leads to the exposure of hidden VP1 N termini. *J. Virol.* 79:5296–5303.
- Matsushita, T., S. Elliger, C. Elliger, G. Podsakoff, L. Villarreal, G. J. Kurtzman, Y. Iwaki, and P. Colosi. 1998. Adeno-associated virus vectors can be efficiently produced without helper virus. *Gene Ther.* 5:938–945.
- Meghrou, J., M. G. Aucoin, D. Jacob, P. S. Chahal, N. Arcand, and A. A. Kamen. 2005. Production of recombinant adeno-associated viral vectors using a baculovirus/insect cell suspension culture system: from shake flasks to a 20-L bioreactor. *Biotechnol. Prog.* 21:154–160.
- Murakami, M., and I. Kudo. 2004. Secretory phospholipase A2. *Biol. Pharm. Bull.* 27:1158–1164.
- Muramatsu, S., H. Mizukami, N. S. Young, and K. E. Brown. 1996. Nucleotide sequencing and generation of an infectious clone of adeno-associated virus 3. *Virology* 221:208–217.
- Nakai, H., T. A. Storm, and M. A. Kay. 2000. Recruitment of single-stranded recombinant adeno-associated virus vector genomes and intermolecular recombination are responsible for stable transduction of liver in vivo. *J. Virol.* 74:9451–9463.
- Pereira, D. J., D. M. McCarty, and N. Muzyczka. 1997. The adeno-associated virus (AAV) Rep protein acts as both a repressor and an activator to regulate AAV transcription during a productive infection. *J. Virol.* 71:1079–1088.
- Qiu, J., R. Nayak, G. E. Tullis, and D. J. Pintel. 2002. Characterization of the transcription profile of adeno-associated virus type 5 reveals a number of unique features compared to previously characterized adeno-associated viruses. *J. Virol.* 76:12435–12447.
- Rabinowitz, J. E., F. Rolling, C. Li, H. Conrath, W. Xiao, X. Xiao, and R. J. Samulski. 2002. Cross-packaging of a single adeno-associated virus (AAV) type 2 vector genome into multiple AAV serotypes enables transduction with broad specificity. *J. Virol.* 76:791–801.
- Smith, R. H., S. A. Afione, and R. M. Kotin. 2002. Transposase-mediated construction of an integrated adeno-associated virus type 5 helper plasmid. *BioTechniques* 33:204–206, 208, 210–211.
- Smith, R. H., C. Ding, and R. M. Kotin. 2003. Serum-free production and column purification of adeno-associated virus type 5. *J. Virol. Methods* 114:115–124.
- Snyder, R. O. 1999. Adeno-associated virus-mediated gene delivery. *J. Gene Med.* 1:166–175.
- Summerford, C., and R. J. Samulski. 1998. Membrane-associated heparan sulfate proteoglycan is a receptor for adeno-associated virus type 2 virions. *J. Virol.* 72:1438–1445.
- Urabe, M., C. Ding, and R. M. Kotin. 2002. Insect cells as a factory to produce adeno-associated virus type 2 vectors. *Hum. Gene Ther.* 13:1935–1943.
- Weyer, U., and R. D. Possee. 1989. Analysis of the promoter of the Autographa californica nuclear polyhedrosis virus p10 gene. *J. Gen. Virol.* 70:203–208.
- Wustner, J. T., S. Arnold, M. Lock, J. C. Richardson, V. B. Himes, G. Kurtzman, and R. W. Peluso. 2002. Production of recombinant adeno-associated type 5 (rAAV5) vectors using recombinant herpes simplex viruses containing rep and cap. *Mol. Ther.* 6:510–518.
- Yan, Z., R. Zak, G. W. Luxton, T. C. Ritchie, U. Bantel-Schaal, and J. F. Engelhardt. 2002. Ubiquitination of both adeno-associated virus type 2 and 5 capsid proteins affects the transduction efficiency of recombinant vectors. *J. Virol.* 76:2043–2053.
- Yang, S., and L. K. Miller. 1999. Activation of baculovirus very late promoters by interaction with very late factor 1. *J. Virol.* 73:3404–3409.
- Zadori, Z., J. Szelei, M. C. Lacoste, Y. Li, S. Garipey, P. Raymond, M. Allaire, I. R. Nabi, and P. Tijssen. 2001. A viral phospholipase A2 is required for parvovirus infectivity. *Dev. Cell* 1:291–302.

# Repair of Articular Cartilage Defect by Intraarticular Administration of Basic Fibroblast Growth Factor Gene, Using Adeno-Associated Virus Vector

ATSUO HIRAIDE,<sup>1</sup> NAOKI YOKOO,<sup>1</sup> KE-QIN XIN,<sup>2</sup> KENJI OKUDA,<sup>2</sup> HIROAKI MIZUKAMI,<sup>3</sup> KEIYA OZAWA,<sup>3</sup> and TOMOYUKI SAITO<sup>1</sup>

## ABSTRACT

The objective of this study was to establish the potency of adeno-associated virus (AAV) as a viral vector to transport the basic fibroblast growth factor (bFGF) gene into synovial tissue, and to evaluate the consequent repair of articular cartilage defects. In the *in vitro* study, LacZ- and bFGF-encoding genes were transduced into rabbit synoviocytes by recombinant adeno-associated virus (AAV) vector, and the cells were cultured for 2 weeks. The percentage of successfully transduced LacZ-positive cells was assessed by 5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside staining, and the concentration of bFGF in the culture supernatant was confirmed by bFGF-specific enzyme-linked immunosorbent assay. In the *in vivo* study, 12- to 14-week-old Japanese white rabbits (all female) were used. AAV-bFGF was administered into an artificially created full-thickness defect (5 mm in diameter and 3 mm deep) in the patellar groove of the distal femur. Cartilage repair was subsequently monitored at 4, 8, and 12 weeks, by macroscopic and histological examination, and results were graded on the basis of semiquantitative scores. *lacZ* gene expression in synoviocytes reached more than 93% within the first 2 weeks, and the mean bFGF concentration in the culture supernatant of the bFGF gene-transduced group was significantly increased ( $p < 0.01$ ). Semiquantitative macroscopic and histological assessment indicated that the average score was significantly better in the bFGF-transduced group throughout the observation period, suggesting better cartilage repair. These results demonstrate that gene transfer into synoviocytes, using the AAV vector, was a potent method of gene transduction. Moreover, after intraarticular administration of AAV-bFGF, constant expression of bFGF in the knee joints resulted in substantial cartilage regeneration that, with further long-term study, could possibly merit consideration for clinical application.

## OVERVIEW SUMMARY

Adeno-associated virus (AAV) is well known as a dynamic gene transporter with a number of biological advantages, such as a lack of virulence in the wild type and the ability to maintain continuous local expression of the therapeutic gene. It is also known that integration of the basic fibroblast growth factor (bFGF) gene into tissues, such as synoviocytes, by intraarticular administration has the potential to produce lasting expression and sustained secretion of the growth factor, leading to regeneration of cartilage. In this study, using AAV as a viral vector, we have demonstrated that the bFGF cache was notably enlarged in bFGF-transduced synoviocytes, using rabbits as laboratory animals, *in*

*vitro* and *in vivo*. Our study clearly demonstrates that, with intraarticular administration of AAV-bFGF, a high efficiency of transduction can be obtained with a complementary elevated level of cartilage repair that has definite clinical potential in the treatment of cartilaginous diseases.

## INTRODUCTION

ARTICULAR CARTILAGE is a highly differentiated tissue with limited capacity for self-repair. Thus, it is extremely vulnerable to traumatic erosion or defect, and osteoarthritic degeneration, which often lead to joint dysfunction associated with pain and/or limitation in range of motion. Current treat-

<sup>1</sup>Department of Orthopedic Surgery and <sup>2</sup>Department of Bacteriology, Yokohama City University School of Medicine, Yokohama, Japan.  
<sup>3</sup>Division of Genetic Therapeutics, Center for Molecular Medicine, Jichi Medical School, Tochigi, Japan.

ment for osteoarthritis consists of (1) conservative therapy such as use of short-acting nonsteroidal antiinflammatory drugs, intraarticular injection of steroids, and/or other agents such as hyaluronic acid, and (2) surgical intervention such as high-tibial osteotomy and surface replacement. However, these treatments do not always relieve joint pain completely and, moreover, do not aid regeneration of cartilage. Thus, cartilage repair at an early stage, if possible, would appear to be a more fundamental method of preventing the irreversible detrimental outcome of total joint failure. In the past, some efforts have been made to repair osteochondral defects by methods such as treatment by transplantation of cultured chondrocytes (Brittberg *et al.*, 1994) or, more recently, *ex vivo* transplantation of cultured chondrocytes (Yokoo *et al.*, 2005); however, both methods had their disadvantages. In the former, when a large quantity of chondrocytes from normal articular cartilage is required, donor sites have limited capacity to provide them. The latter study overcomes the donor problem, but presents other disadvantages such as infection during culture, and complexity of the method itself.

Other studies have reported that basic fibroblast growth factor (bFGF) is one of the most potent substances for proliferation and differentiation of chondrocytes, triggering a cascade of events in the cartilage repair process (Cuevas *et al.*, 1988; Hunziker and Rosenberg, 1996; Shida *et al.*, 1996; Weisser *et al.*, 2001). With this knowledge, it can be hypothesized that to maintain a certain level of bFGF for a specific time period at a chondral defect site could prove to be advantageous. Application of gene therapy could provide the answer, and cases of cartilage repair using naked DNA or viral vectors as gene transporters have been reported (Arai *et al.*, 1997; Baragi *et al.*, 1997; Kang *et al.*, 1997; Doherty *et al.*, 1998).

However, such gene transduction has also proved problematic, with a low level of transduction efficiency, lack of capacity to maintain long-term expression of the therapeutic gene, and difficulty in maintaining adequate safety levels. In other studies, the adeno-associated virus (AAV) has been recognized as a powerful tool with which to transduce genes into target cells and tissues (Kaplitt *et al.*, 1994; Berns and Giraud, 1995; Xiao *et al.*, 1997; Schwarz, 2000), with several advantages over other virus vectors. The benefits include a lack of virulence in the wild type, an inherent inability to replicate itself, an ability to transduce nondividing cells and to integrate into a host genome, and long-term expression of the transduced gene. Furthermore, Goater *et al.* (2000) have shown there is a substantial integration rate of AAV into synovial tissue, but there has not been any quantitative analysis so far. Synovial tissue is the most abundant tissue in an articular joint, which makes it a formidable host for viral transfection.

From the advantages demonstrated by AAV, there would appear to be a distinct possibility that cartilage repair could be accomplished by transduction of the bFGF gene using AAV as a viral vector, and we hypothesized that if the high level of integration into chondrocytes, as shown by Yokoo *et al.* (2005), could also be achieved in synoviocytes via intraarticular administration of AAV-bFGF, cartilage regeneration may result.

Therefore, in this study, we set out to evaluate the potency of the AAV vector, and to investigate whether articular cartilage repair is possible. Two methods were proposed: first, *in vitro*, to observe the efficiency of AAV as a gene transporter

when targeted at synoviocytes, and second, *in vivo*, to determine whether cartilage regeneration is possible by intraarticular administration of AAV-bFGF into rabbit knee joints with chondral defects.

## PILOT STUDIES

*In vivo* studies by Goater *et al.* (2000) confirmed high induction rates of AAV in synovial tissues. Our previous *ex vivo* studies (Kobayashi *et al.*, 2002; Yokoo *et al.*, 2005) also demonstrated that gene transduction was effective in both synoviocytes and chondrocytes. To reconfirm and establish our method protocol for the main experiments, a series of preliminary studies, in which AAV-LacZ was administered into synovial tissues of both knee joints of two rabbits, was performed. Subsequent 5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside (X-Gal) staining confirmed both macroscopically and histologically, that gene transduction was indeed effective and that a substantial transduction rate could be expected when synoviocytes were targeted by AAV. In another series, the optimal viral concentration was also determined by administering AAV-LacZ at various concentrations. The minimal dose was  $1 \times 10^5$  particles, and the transfection rate was optimized at  $1 \times 10^9$  particles ( $100 \mu\text{l}$  of solution containing  $1 \times 10^{10}$  particles/ml), after which the visible percentage of LacZ-positive cells reached a plateau. However, because of the inherent nature of a single synovium, we were unable to perform a precise quantitative analysis of LacZ-positive synoviocytes (details of the data obtained are not shown).

## MATERIALS AND METHODS

### AAV vector production

Two different adeno-associated viral constructs were prepared for the study: AAV-LacZ, carrying the bacterial  $\beta$ -galactosidase gene, a marker gene that can be detected by X-Gal staining; and AAV-bFGF, carrying the bFGF gene, which harbors a nuclear localization signal, under the regulation of the cytomegalovirus (CMV) immediate-early promoter. The AAV subtype 2 vector plasmid used was derived from the vector plasmid pW1 (hereafter referred to as pLacZ), which carries the *lacZ* gene (Price *et al.*, 1987). Recombinant bFGF gene was obtained from Takeda Pharmaceutical (Osaka, Japan; GenBank accession number X07285). A fragment containing bFGF cDNA was amplified by polymerase chain reaction (PCR) using the following primer pairs (*Eco*RI and *Xho*I sites are underlined): 5'-ATGAATTCATGGCTGCCGGCAGCATCACTTCGCTT-3' and 5'-ATCTCGAGAGAGTCACTCTTAGCAGAC-3'. The fragment was subcloned between the *Eco*RI and *Xho*I sites of the pLacZ AAV vector plasmid to replace the *lacZ* gene (pbFGF). pIM45 is an AAV helper plasmid carrying subtype 2 AAV *rep* and *cap* genes, which are required for replication and capsid formation of AAV vectors. pladeno-1, a plasmid containing the E2A, E4, and VA genes of the adenovirus genome, was used in place of helper adenovirus for AAV production.

Subconfluent human fetal kidney cells (293 cells) were cotransfected by the calcium phosphate coprecipitation method