

FIG. 3. Changes in the numbers of FV-infected cells in vMA-immunized mice detected by the infectious center assay (A) and fluorescence-activated cell sorter analyses (B and C). ($B6 \times A$) F_1 mice were immunized twice with vMA (●) or vHS1 (○), and 4 weeks later they were challenged with 1,500 SFU of FV. A group of seven to eight mice was sacrificed at each of the indicated time points, and spleen cells were prepared for analysis. (A) Frequencies of spleen infectious centers were determined by infecting the indicator cells and staining infected foci with a MAb against F-MuLV gp70. Results are shown on a logarithmic scale. Significant differences ($P < 0.05$ by Student's t test) between the two groups were observed at all time points tested. (B) FV-infected erythroblasts in mice immunized with vMA (●) or vHS1 (○) were stained with a combination of MAb 720 and TER-119. Each data point represents the mean percentage of cells stained with both the MAbs \pm the standard error of the mean. (C) Representative pattern of staining for each group of mice observed at 4 weeks postchallenge.

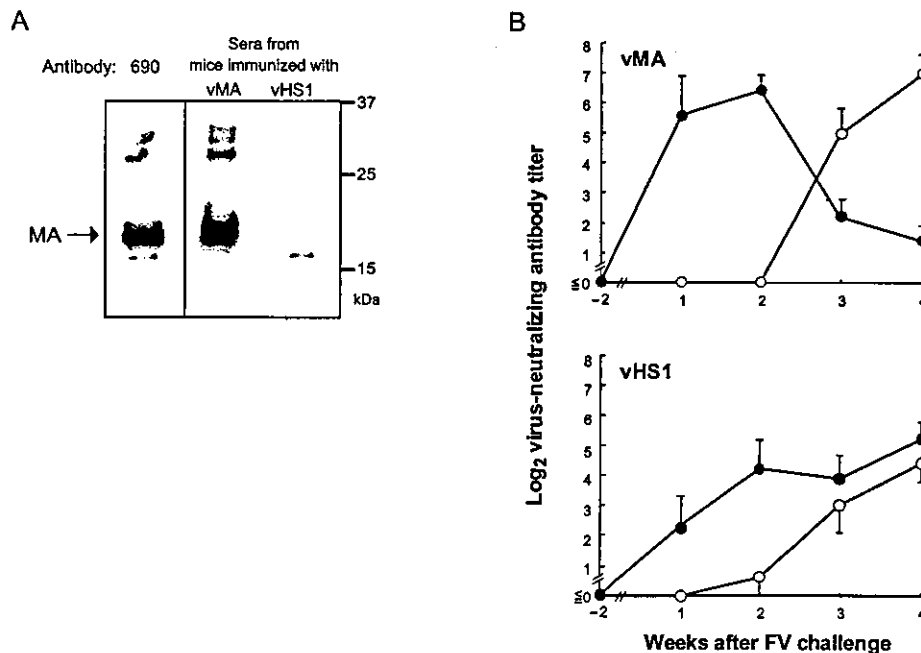


FIG. 4. Presence and titers of anti-MA and virus-neutralizing Abs in sera from vMA-immunized mice. Serum samples were collected from vMA- or vHS1-immunized mice at 2 weeks after the final immunization (-2) and at 1, 2, 3, and 4 weeks after FV challenge. (A) Detection of MA-reactive Abs in sera from immunized mice at 2 weeks after the final immunization but before challenge. In this experiment, serum samples from two to three mice were pooled and used for Western blot analysis. MAb 690, directed against F-MuLV MA, was used as a positive control. Data shown here are representative of two repeated experiments. (B) Titers of IgM (●) and IgG (○) F-MuLV-neutralizing Abs. Each data point is the mean titer from five separate serum samples \pm the standard error of the mean, on a logarithmic scale.

through 4 weeks postchallenge. On the other hand, in vMA-immunized mice, the number of virus-producing cells rapidly decreased after 2 weeks postchallenge, eventually becoming undetectable at 4 weeks postchallenge.

The spleen cells prepared as described above were also subjected to flow cytometric analyses using a combination of MAb 720 and TER-119. The latter marks late erythroblasts and mature red blood cells but not erythroid burst-forming units or CFU. The changes in the frequency of erythroblasts expressing F-MuLV gp70 were in good correlation with the changes in the numbers of spleen infectious centers (Fig. 3B), and the number of FV-infected cells in vMA-immunized mice was markedly reduced by 4 weeks postchallenge, as shown by the representative pattern of staining obtained at that time (Fig. 3C). These results suggested that immunization with vMA suppressed both viral replication and proliferation of FV-infected erythroid cells.

We next studied the kinetics of production of F-MuLV-neutralizing Abs in vMA-immunized and control mice. Before FV challenge, MA-reactive Abs were detected in sera obtained from vMA-immunized mice, but not in those obtained from vHS1-immunized mice, by Western blotting (Fig. 4A). Nevertheless, virus-neutralizing Abs were not detectable in any sera from vMA-immunized mice before challenge (Fig. 4B). After FV challenge, higher levels of virus-neutralizing IgM Abs were detected in vMA-immunized mice than in vHS1-immunized control mice. Further, IgM-to-IgG class switching of neutralizing Abs was observed in vMA-immunized mice at 3 weeks postchallenge, but neutralizing Abs remained IgM dominant in

control mice during the observation period until 4 weeks postchallenge.

Localization of protective epitopes in MA by expression of longer fragments. Since the results shown in Fig. 2 (in which neither the N-terminal nor the C-terminal half of MA induced resistance to FV infection) raised the question of whether two separate epitopes in MA might be necessary for full protection, we next attempted to immunize mice simultaneously with vMAN1 and vMAC1. However, contrary to our expectation, the combination of vMAN1 and vMAC1 was also unable to induce protective immunity (Fig. 5). Therefore, we decided to construct additional rVVs by deleting even shorter fragments from the vMA whose protective efficacy has been proven. Immunization with vMAN3 or vMAN4, which expressed MA with a short deletion in the C-terminal end or the entire MA without the p12 fragment, respectively, induced significant protection (Fig. 5). On the other hand, vMAN2, expressing MA with a longer C-terminal deletion, did not induce early regression of the splenomegaly that had developed immediately after FV challenge, indicating that at least one of the putative protective epitopes is localized between positions 876 and 960 of the F-MuLV *gag* gene, which encodes residues 86 to 114 of MA.

Although a longer segment of the N-terminal region of MA was included for comparison with vMAC1, vMAC2 did not induce significant protective immunity (Fig. 5). These results suggested the possibility that vMAC1 was ineffective not because of its lack of a protective epitope but because of inappropriate processing or presentation of an existing epitope. Since N-terminal myristylation is a common feature of retro-

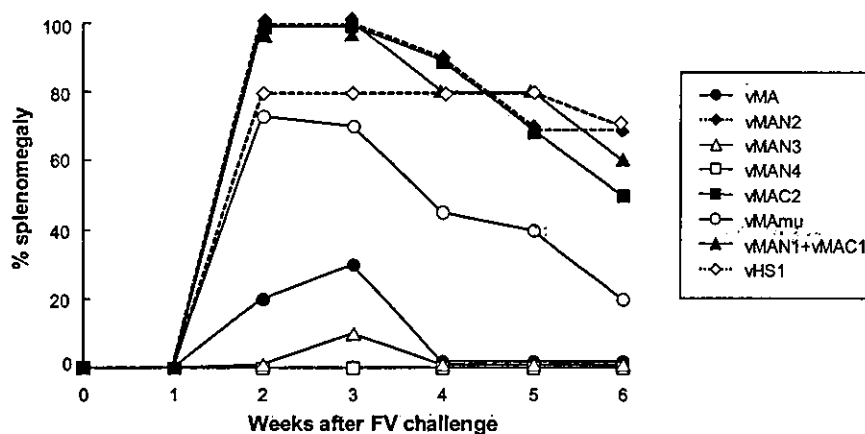


FIG. 5. Localization of protective epitopes within the MA by using rVVs. The portions of F-MuLV MA expressed by the rVVs used are diagrammed in Fig. 1. The ability of each rVV to induce protective immunity against FV infection was analyzed by immunizing (B6 × A)₁F₁ mice (10 per group) and challenging them with FV. The development of splenomegaly over a 6-week period after challenge was observed as an indicator of FV-induced disease.

viral MA that is necessary for stable association with the plasma membrane (4, 37, 44), an MA protein that is unmyristylated due to the lack of its N-terminal glycine is predicted not to bind efficiently to the plasma membrane and to remain primarily in the cytoplasm. As expected, immunization with vMAmu, expressing the whole MA protein in which the N-terminal glycine had been replaced with an alanine, was unable to prevent effectively the development of early splenomegaly in FV-infected mice (Fig. 5). Among mice immunized with vMAmu, 70% developed early splenomegaly by 2 weeks post-challenge, and half still carried an enlarged spleen until 4 weeks postchallenge. Thus, vMAmu appeared to show low immunogenicity in spite of possessing the full MA sequence, suggesting that myristylation of the MA protein at the N terminus might influence its immunogenicity.

Determination of a protective Th epitope with synthetic peptides. Studies with the rVVs described above showed that a putative protective Th epitope should be present between residues 86 and 114 of MA. To identify the precise structure of the protective Th epitope, proliferative responses of T cells primed with vMA were analyzed by *in vitro* stimulation with overlapping 30-mer peptides covering the C-terminal half of MA, which should contain a protective epitope (Fig. 6A). Spleen T cells primed with the full-length MA protein showed proliferative responses only when stimulated with a peptide corresponding to residues 76 to 105 of MA, not when stimulated with any other peptide (Fig. 6B). When T cells from control mice immunized with vHS1 were stimulated, all the peptides tested induced only marginal levels of proliferation.

In a previous study, the T cells primed by immunization with Gag antigens were mainly CD4⁺ (32). Therefore, we next examined the antigen-specific proliferative responses of T-cell subsets. As expected, CD4-depleted T cells prepared from vMA-primed mice showed no proliferative response to stimulation with peptide 76-105, whereas CD8-depleted T cells proliferated at a level comparable to that of whole-spleen T cells (Fig. 7A). To further pursue the structure of the Th epitope, we additionally synthesized overlapping peptides of 15- to 17-mer lengths covering residues 76 to 105 (Fig. 6A) and analyzed

their abilities to stimulate vMA-primed T cells. Whole T-cells proliferated in response to stimulation with a 15-mer peptide representing residues 83 to 97 (IVTWEAIAVDPPPWW) of MA; this proliferative response was totally abolished by the depletion of CD4⁺, but not of CD8⁺, T cells (Fig. 7). The peptide representing residues 76 to 91 was ineffective, and peptide 89-105 induced only marginal proliferation of vMA-primed T cells.

To analyze major histocompatibility complex (MHC) molecules involved in the presentation of the CD4⁺ T-cell epitope, T cells from the (B6 × A)₁F₁ mice previously immunized with vMA were stimulated *in vitro* with the 15-mer peptide plus APC prepared from either B6, A, or F₁ mice. The primed T cells showed an *H-2^{b/a}*-restricted proliferative response, but both parental APC were ineffective at presenting the peptide (Fig. 7B), indicating the possibility that peptide 83-97 may be presented by either hybrid A^{b/k} or E^{b/k} class II molecules. To further investigate whether residues 83 to 97 (IVTWEAIAVDPPPWW) were the minimal structure for inducing the peptide-specific T-cell responses, several shorter peptides covering residues 83 to 97 were synthesized and tested for their abilities to stimulate vMA-primed T cells (Fig. 7C). The results showed that the 13-mer peptide spanning residues 83 to 95 (IVTWEAIAVDPPP) was sufficient to elicit vMA-primed T-cell responses of similar strength to those elicited by the 15-mer peptide. Elimination of the N-terminal I, as represented by peptide 84-97, significantly diminished the induced proliferative responses, and elimination of the two N-terminal residues from the 15-mer peptide totally abolished the stimulating potential. These results indicated that the 12-mer sequence VTWEAIAVDPPP, as the longest possibility, constituted the core structure of the T-cell epitope.

We next investigated whether immunization with the single Th epitope could induce effective protection against FV infection. Mice given a single immunization with either peptide 83-97 or peptide 76-105, but not those given any other peptide tested, showed a lack of development of splenomegaly or very rapid regression of the splenomegaly induced by FV challenge (Fig. 8A). In mice immunized with peptide 83-97 or 76-105,

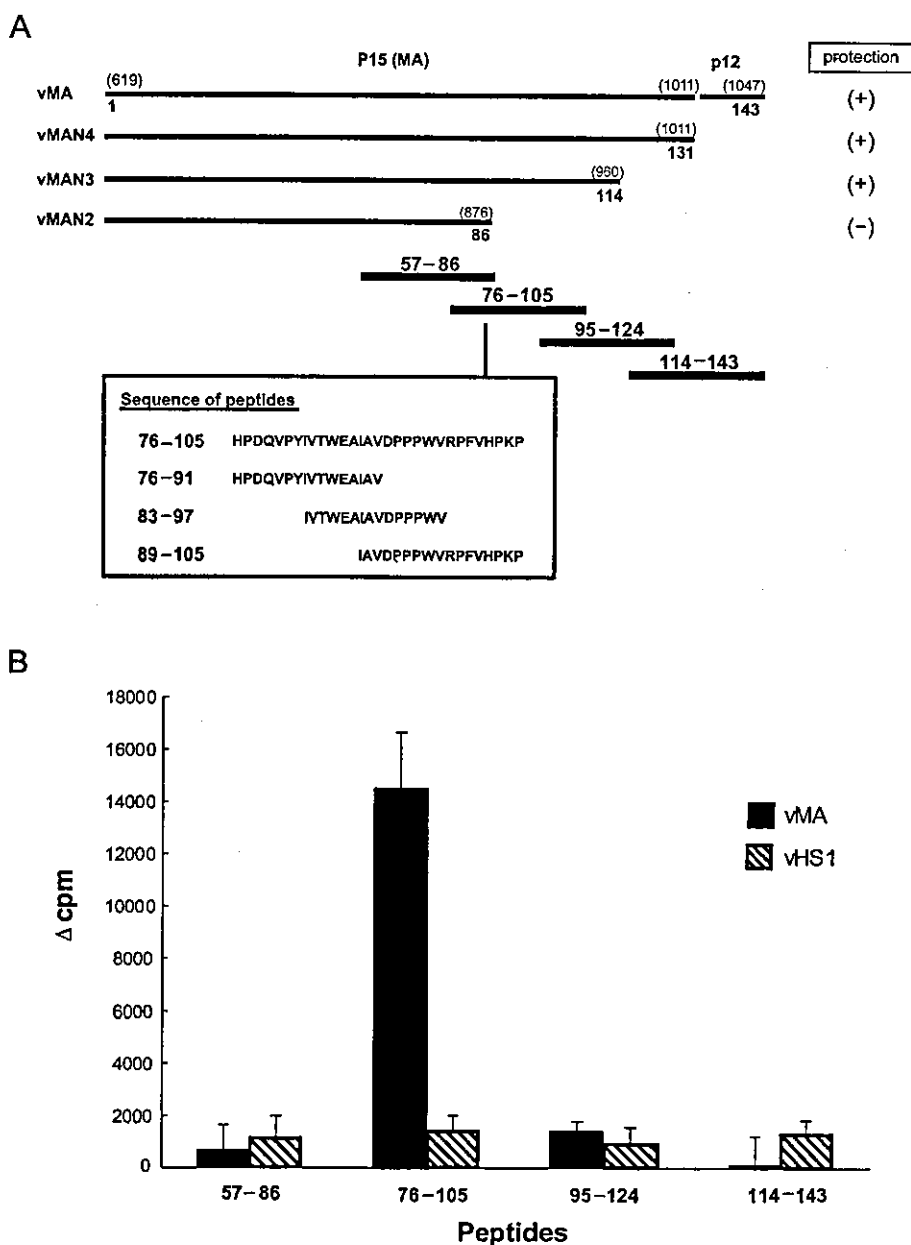


FIG. 6. Identification of a T-cell-stimulating epitope in MA by use of synthetic peptides. (A) The position of each peptide tested is shown along the schematic representation of the truncated MA proteins used for the mapping experiment represented by Fig. 5. Numbers in parentheses are base positions in the *gag* gene; other numbers are amino acid positions starting from the initial methionine for Pr65^{gag}. (B) Spleen T cells prepared from vMA- or vHS1-immunized mice at 3 weeks after immunization were cultured with one of the synthetic peptides shown (20 μM) and with syngeneic irradiated spleen cells as APC; their proliferative responses were measured by [³H]thymidine incorporation. Each result is the mean Δcpm for data obtained from five separate mice. Error bars, standard errors of the means. The experiments were performed twice with essentially identical results.

F-MuLV-producing cells in the spleen were either undetectable or detectable at very low frequencies at 4 weeks after FV challenge, as evaluated by infectious center assays (Fig. 8B). These results indicated that the Th epitope present between residues 83 and 97 of MA is sufficient to induce protective immune responses against FV infection.

Correlation between intracellular localization and immunogenicity of mutant MA proteins. The results described above indicated again that vMAC1, which definitely carried the pro-

tektivè Th epitope, was nevertheless not protective, because of a reduced immunogenicity of the otherwise antigenic C-terminal portion of the MA protein. Substantial evidence suggests that the N-terminal region of retroviral MA, the amino-terminal glycine residue and basic sequences close downstream, is responsible for the targeting of MA to the plasma membrane (4, 37, 44, 61, 63). The results of the protection experiments with vMAC2 and vMAmu (Fig. 5) further suggested that the targeting of the MA molecule to different subcellular compart-

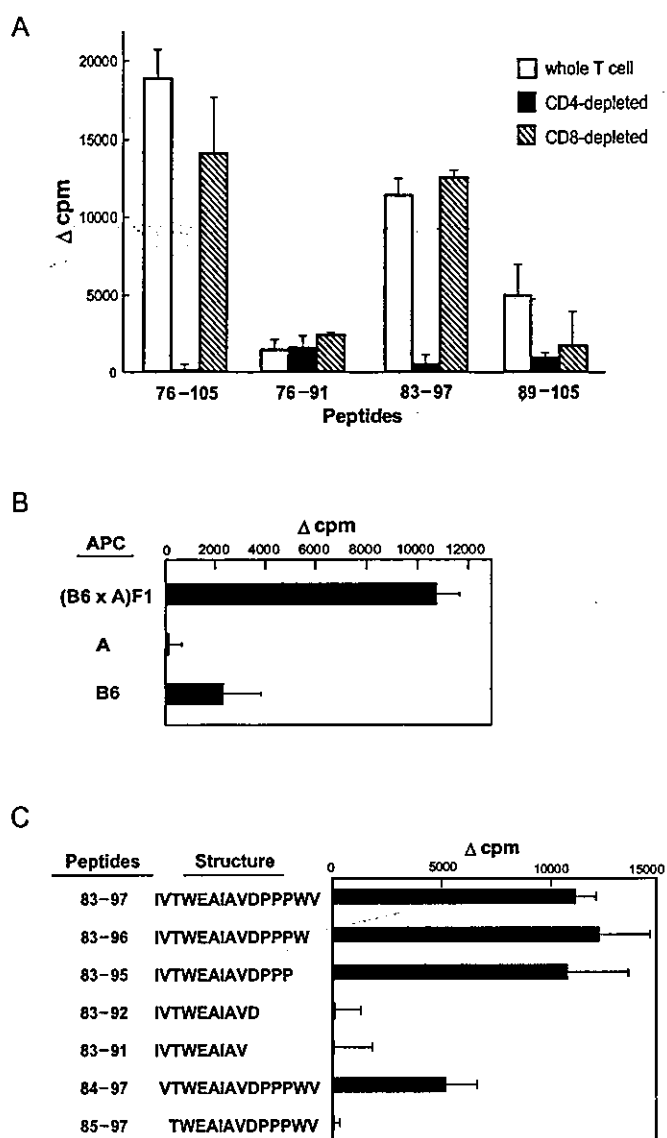


FIG. 7. Fine specificities and MHC restriction of primed CD4⁺ T cells prepared from vMA-immunized mice. (A) Spleen T cells prepared from vMA-immunized mice were depleted of either CD4⁺ or CD8⁺ T cells by the magnetic cell sorting system. Unseparated T cells or purified T-cell subsets were incubated with each indicated peptide and with syngeneic spleen cells as APC, and antigen-specific proliferative responses were measured. Amino acid sequences of the peptides used here are shown in Fig. 6A. In this experiment, T cells from six immunized mice were pooled before the depletion of each subset. (B) T cells pooled from two to three vMA-immunized (B6 × A)F₁ mice were stimulated with peptide 83-97 and irradiated spleen cells prepared from F₁, B6, or A mice, and proliferative responses were analyzed as above. (C) T cells pooled from two vMA-immunized mice were stimulated with each indicated peptide and the syngeneic APC, and proliferative responses of T cells were measured. All data shown here are representative of two to four independent experiments with essentially identical results.

ments might have an influence on their immunogenicity. To prove this assumption, we first examined the intracellular localization of the mutant MA proteins by staining them with an anti-His Ab. The native MA protein expressed by vMA infec-

tion was present throughout the cells in a diffuse distribution and also localized at the plasma membrane (Fig. 9), as expected. In contrast, the mutant form of full-length MA, which lacked the site of myristylation, expressed by vMAmu infection, was localized more prominently in the nucleus than in the cytoplasm. In addition, the fluorescent intensity in vMAmu-infected cells was relatively low at the edges of the cells in comparison with that in vMA-infected cells. Interestingly, the MA protein from which the N-terminal 24 residues had been deleted, expressed by vMAC2 infection, was localized largely in the nucleus, which implies that, in addition to the glycine residue, the N-terminal short region of F-MuLV MA (as shown for HIV-1 MA [61, 63]) is necessary for its localization at the plasma membrane. The mutant MA proteins expressed by vMAmu and vMAC2 were observed by fluorescence at levels comparable to that of the native MA protein expressed by vMA infection, and a quite similar result showing the almost equal level of their expression was also obtained by Western blot analysis (data not shown).

To confirm that these changes in intracellular localization of the MA protein do affect its immunogenicity, we next compared the abilities of these MA mutants to prime the antigen-specific CD4⁺ T cells (Fig. 10). T cells from vMAmu-immunized mice showed moderate proliferative responses when stimulated with the epitope-harboring peptide 76-105, but the response level was significantly lower than that of T cells taken from vMA-immunized mice. T cells prepared from vMAC2-immunized mice proliferated only marginally in response to stimulation with the Th peptide, showing no significant difference from the response of T cells from vHS1-immunized control mice. These results indicated that targeting of the MA protein to the plasma membrane might be critical for induction of efficient priming of MA-specific CD4⁺ T cells.

DISCUSSION

We have demonstrated here, for the first time, efficient protection against a pathogenic retrovirus infection through the priming of Gag-specific CD4⁺ T cells with an MA peptide. Immunization with the rVV expressing native MA alone was sufficient to protect mice from FV-induced disease development, and a protective epitope was present within residues 83 to 97 of MA. T cells primed in vivo with the native MA protein proliferated in vitro when stimulated with the minimal peptide 83-95 (IVTWEAIAVDPPP), and reactivity was completely abolished when CD4⁺ T cells were depleted, indicating that the protective epitope is recognized by CD4⁺ T cells. A hybrid class II molecule expressed on *H-2^{b/a}* cells is required for the presentation of this epitope. Of note, this peptide is highly immunogenic, since a single vaccination with the epitope-harboring peptide was sufficient to protect mice against FV-induced disease. As in the previously demonstrated case of protection against FV infection induced by priming CD4⁺ T cells with an Env-derived single-epitope peptide (16, 31), multiple effector mechanisms might have been activated upon FV infection in mice immunized with the MA-derived peptide. In agreement with our results, it has been shown that HIV-specific CD4⁺ T cells in long-term nonprogressors are mainly directed against p17, the N-terminal HIV Gag protein analogous to F-MuLV MA (42). Thus, the high immunogenicity of

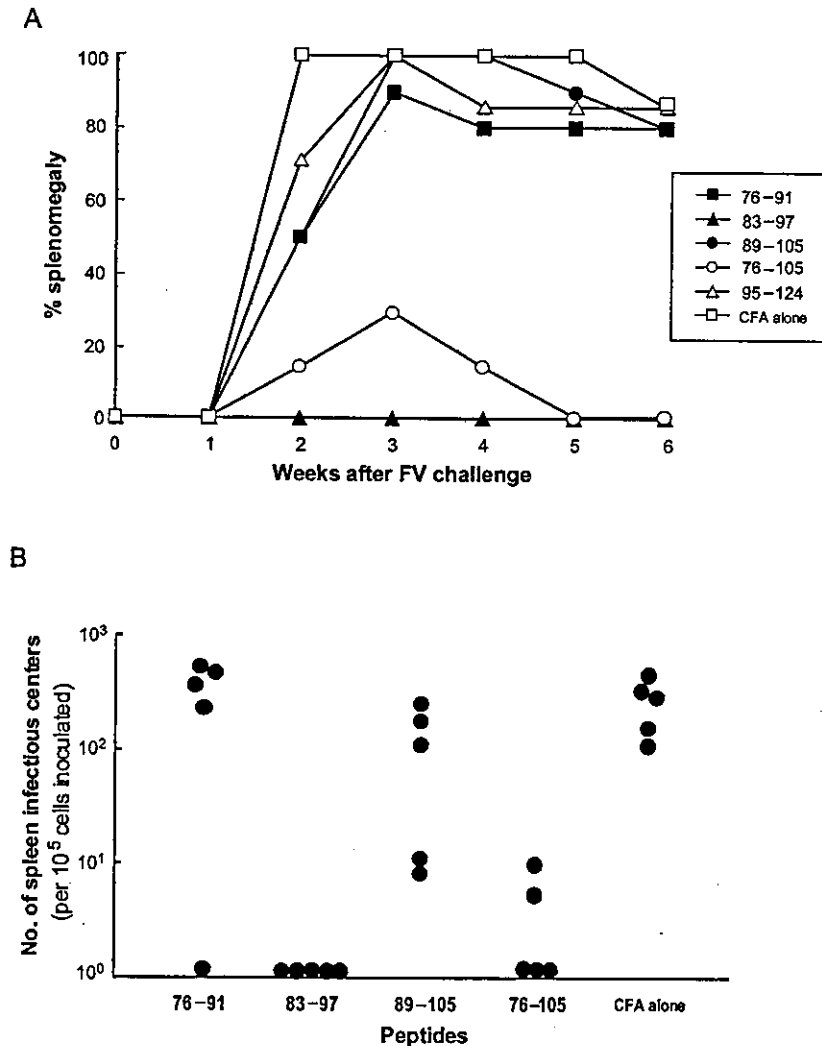


FIG. 8. Protection against FV infection induced by immunization with the Th epitope-harboring peptide alone. Mice (eight per group) were immunized once with 50 µg of one of the peptides shown or with CFA alone, followed by challenge with 1,500 SFFU of FV. (A) Splenomegaly over a 6-week period after challenge was observed as an indicator of FV-induced disease. (B) Frequencies of spleen infectious centers were determined at 4 weeks after FV challenge (five mice per group). The differences between CFA-injected and peptide 83-97- or 76-105-immunized mice were significant ($P < 0.01$ by Student's *t* test).

MA might be a common feature among diverse retroviruses. In support of this, broadly reactive Th epitopes were also identified in the MA region by using macaques chronically infected with SIV (48). Taken together, these data suggest that MA might be a potential target for the development of effective antiretrovirus vaccines.

In all the mice immunized with native MA expressed from the rVV or with the Th epitope-harboring peptide alone, virus-producing cells became undetectable by 4 weeks after FV challenge. The immune effector functions exerted by the virus-specific CD4⁺ T cells might be very diverse (36), including helper functions provided for B- and CD8⁺ T-cell responses, production of antiviral cytokines, and direct cytolysis. Our results here have shown that the class switching of virus-neutralizing Abs from IgM to IgG after FV challenge is remarkably accelerated in vMA-immunized mice. MA-specific Abs were detected by Western blotting before FV challenge in the sera

of mice immunized with the rVV expressing native MA, but they were incapable of neutralizing F-MuLV (Fig. 4). Thus, the presence of a dominant neutralizing epitope(s) within MA is unlikely. Since the neutralizing Abs were produced in vMA-immunized mice only after FV challenge, it is more likely that the MA-primed CD4⁺ T cells may have provided a helper function to Env-reactive B-cell responses. Alternatively, the production of Abs directed against the cell surface gPr80^{gag} might have been facilitated after FV challenge by help from the MA-primed CD4⁺ T cells. Prevention of cell-to-cell transmission of retroviruses by anti-gPr80^{gag} Abs has been demonstrated (41).

The virus-specific CD4⁺ T cells might also have been required for the maintenance of functional virus-specific CTL responses, as has been shown in other reports (1, 28, 40, 53). However, the mechanisms by which Gag-specific CD4⁺ T cells may fulfill this role is poorly understood. In HIV-1-infected

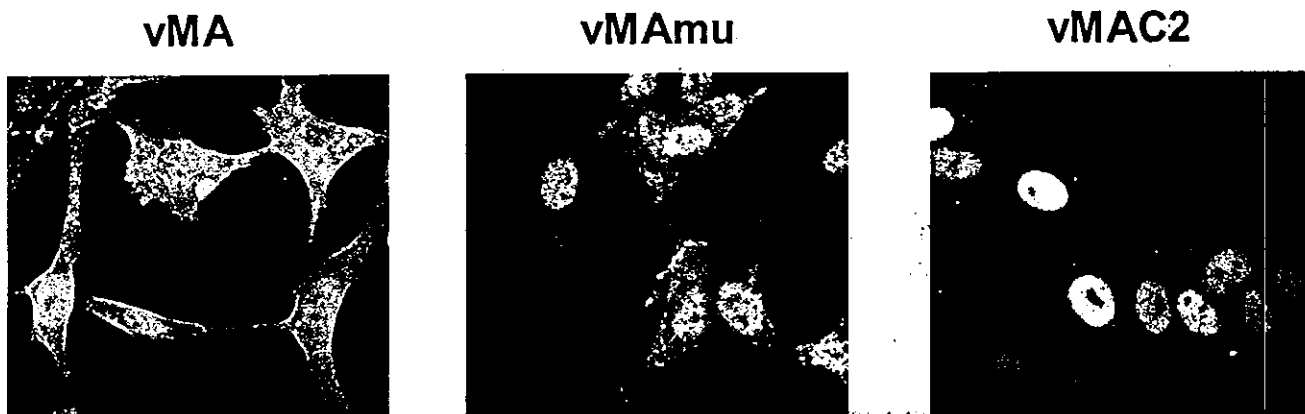


FIG. 9. Intracellular localization of mutant MA proteins. CV-1 cells were infected with vMA, vMAmu, or vMAC2; 24 h later, they were fixed and permeabilized. His tag-conjugated MA proteins were visualized with an anti-His tag Ab. The experiments were performed three times with essentially identical results.

individuals, enhanced CD4⁺ T-cell responses have been associated with a higher level of virus-specific CTL responses and lower viral loads (22, 46). In this regard, we and others (7, 25, 54) previously found the presence of overlapping CTL epitopes in the leader sequence upstream of the ATG start codon for Pr65^{gag}, but not in the MA region, although it has not been determined whether these epitopes are protective or not. However, our previous work clearly demonstrated that the rVV expressing Pr65^{gag} without the leader sequence was as effective as the rVV that expressed gPr80^{gag}, indicating that the CTL epitope in the leader sequence is not a requisite for protection against FV infection. Thus, the CD4⁺ T cells primed with MA might have induced rapid responses of Gag-specific CTL, as well as Env-specific B cells, but the possible importance of Gag-specific CTL responses, if any, has yet to be identified.

Gag-specific CD4⁺ T cells may also have direct roles in the

control of FV infection through their possible cytotoxic activities and production of antiviral cytokines. Direct cytotoxic activities of CD4⁺ T cells have been described in a number of viral infections (2, 19, 36, 59). A previous study with the FV-infected mouse model, in which direct cytotoxic activities of Env-specific CD4⁺ T cells were detected, also supported those observations (16). Among CD4⁺ T-cell clones established from HIV-1-infected individuals with vigorous Gag-specific responses, some displayed virus-specific cytotoxic activities (35, 55). Furthermore, CD4⁺ T cells have been shown to directly control virus replication by production of gamma interferon in FV infection (10, 18). Thus, CD4⁺ T cells primed with the MA protein might have contributed to the observed protection against FV infection through multiple effector functions.

The present study has also provided useful information on the structural requirements for effective priming of virus-specific CD4⁺ T-cell responses by the MA protein. T cells primed in vivo with native MA (vMA) proliferated when stimulated with the Th epitope-harboring peptide 76-105. In contrast, full-length MA lacking the N-terminal myristylation site (vMAmu) and the MA from which the N-terminal 24 residues had been deleted (vMAC2), despite carrying the whole Th epitope, induced only moderate or marginal T-cell responses, respectively, when used to prime T cells in vivo. Of note, their different abilities to elicit the CD4⁺ T-cell response were well correlated with their efficacies in inducing protection against FV infection in vivo. There was also a correlation between the observed degree of localization of the MA protein at the plasma membrane and its ability to elicit T-cell proliferation and immune protection: By the destruction of the myristylation site, the degree of localization of the MA protein at the plasma membrane was diminished, and the MA lacking the N-terminal 24 residues localized predominantly in the nucleus. These results indicate that the N-terminal region of F-MuLV MA, not just the myristylation site, is responsible for its subcellular localization. A highly basic domain between MA residues 17 and 31 in HIV-1, besides the myristylation signal, has been implicated in membrane binding of the Gag polyprotein (61, 63), and there is a corresponding basic region present between MA residues 17 and 34 in F-MuLV.

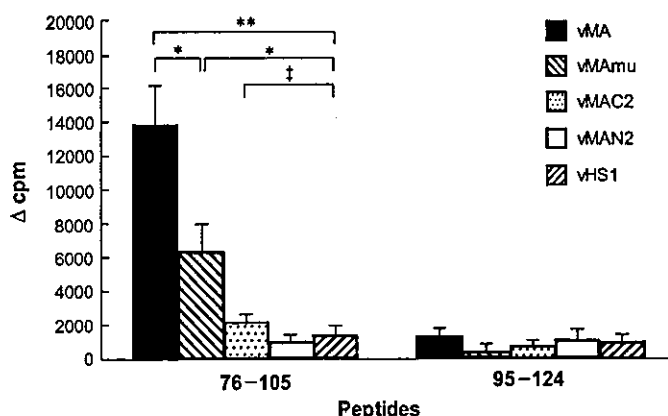


FIG. 10. Different abilities of mutant and truncated MA proteins at priming CD4⁺ T cells. Proliferative responses of T cells obtained from mice immunized with each rVV were tested by stimulation with peptide 76-105. Each result is expressed as the mean Δcpm for data obtained from four to five mice. Error bars, standard errors of the means. The experiments were performed twice with essentially identical results. Statistically significant differences were observed by Student's *t* test (*, $P < 0.05$; **, $P < 0.001$). †, no significant difference ($P > 0.05$).

Efficient priming of CD4⁺ T cells by virally encoded proteins is dependent on sufficient levels of antigen expression and delivery of the protein-derived peptides to the MHC class II (MHC II) compartment. Although there is evidence for the activation of CD4⁺ T cells by viral-DNA-encoded proteins (20, 32, 36), the epitopes displayed on cytoplasmic proteins are usually presented by MHC class I (MHC I) molecules to CD8⁺ T cells. Epitopes presented by MHC II molecules to CD4⁺ T cells are mainly derived from extracellular "foreign" proteins taken into cells by endocytotic activities and then degraded in endosomal vesicles. Although retroviral MA is a cytoplasmic protein, there must be some mechanisms for MA to gain access to the cellular site of protein processing involved in peptide presentation on MHC II. It is possible that accumulation of MA at the plasma membrane may result in the formation of aggregates that might be engulfed into phagosomes by mechanisms similar to autophagocytosis. As another possibility, some portions of the MA molecule might be exposed on the outside of the viral envelope, since neutralizing Abs reactive to MA have been detected in some retrovirus infections (3, 5, 38, 50). In addition, there may be an alternative mechanism for the presentation of foreign antigens to CD4⁺ T cells, in which APC such as macrophages take up whole rVV-infected cells or their fragments by phagocytosis, and the degraded MA is presented on MHC II molecules through the conventional class II pathway. However, the last possibility is unlikely to be the main pathway for MHC II presentation of rVV-generated MA antigens, since the mutant MA proteins expressed by infection of vMamu and vMAC2, which carried the Th epitope and were detected at a level comparable to that of the native MA expressed by vMA infection, nevertheless failed to induce strong enough CD4⁺ T-cell proliferation and full protection against FV infection. Therefore, the targeting of MA to the plasma membrane may provide this protein with efficient access to the cellular site for processing and presentation through MHC II pathways, which facilitates induction of the observed immune responses through more-efficient antigen-specific activation of CD4⁺ T cells. In support of this hypothesis, a recent study demonstrated that a chimeric HIV-1 p55^{gag} protein forced to traffic to the MHC II compartment elicited strong cellular and humoral immune responses in immunized mice (27).

In summary, the results presented here provide compelling evidence that a retrovirus MA peptide is capable of inducing a strong CD4⁺ T-cell-mediated immune response, which results in effective protection against virus challenge. It will be interesting to design future studies to explore whether there are functional differences between Env-primed and Gag-primed CD4⁺ T cells, which may be of importance for the development of an effective antiretrovirus vaccine strategy. In addition, the finding that the binding of the MA protein to the plasma membrane is associated with its stronger immunogenicity may lead us to considerations of practical importance about the appropriate immunogenic forms of cytoplasmic proteins when they are considered as candidates for virus-based vaccines.

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Both T and non-T cells with proliferating potentials are effective in inducing suppression of allograft responses by alloantigen-specific intravenous presensitization combined with suboptimal doses of 15-deoxyspergualin

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Abstract

In an MHC class I-disparate combination of mouse strains, a single intravenous injection of donor spleen cells combined with 10 suboptimal doses of 15-deoxyspergualin (DSG) administration was effective in inducing donor-specific suppression of cytotoxic T-lymphocyte (CTL) responses and prolonged survival of the relevant skin allograft. Proliferative potentials of the donor spleen cells were requirement for the induction of suppressed allospecific responses, but both highly purified T cells and non-T cells were equally effective to induce the suppression of CTL responses by intravenous injection. These results have shown that, although working on different mechanisms, DSG is as effective as FK506 or rapamycin in inducing allograft tolerance when used at suboptimal doses along with the donor-specific intravenous presensitization, and an immune mechanism other than well-characterized veto T cells is working in this model in suppressing alloreactive CTL precursors.

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1. Introduction

The favorable effects of pretransplantation transfusion of donor blood, often referred to as donor-specific transfusion (DST), on allograft survival has been well recognized (for recent reviews see [1,2]). Mechanisms

Abbreviations: B6, C57BL/6; Con A, concanavalin A; CTL, cytotoxic T-lymphocyte; DSG, 15-deoxyspergualin; DSP, donor-specific intravenous presensitization; DST, donor-specific transfusion; FBS, fetal bovine serum; FITC, fluorescein isothiocyanate; LPS, lipopolysaccharide; MHC, major histocompatibility complex; MLR, mixed lymphocyte reaction; MMC, mytomycin C; PBBS, phosphate-buffered balanced salt solution; R-PE, R-phycoerythrin.

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of the effects of DST and influences of different transfusion protocols on the prolongation of graft survival and on recipient immune functions have been studied using various rodent models [3–11]. However, contrasting outcomes can still be observed depending on the degree of disparity at different loci in major histocompatibility complex (MHC) [1,2,11]. In mice, highly reproducible suppression of allograft responses can be induced by a single or repeated intravenous injection(s) of donor spleen cells (donor-specific intravenous presensitization: DSP) in combination with or without suboptimal doses of an immunosuppressive reagent [12–18]. Compelling evidence has indicated that DSP alone suppresses donor antigen-specific proliferative and cytokine-producing responses of recipient T-cells, but cytotoxic T-lymphocyte (CTL) induction is suppressed only by a combination of DSP with antibody-mediated CD8⁺ T-cell depletion or administration of suboptimal doses of FK506 or rapamycin [12–17]. Cells required

for the induction of the suppressed allograft responses by DSP are shown to be radio-sensitive [12] and belong to T-cell populations [12,15], indicating possible roles of veto cells. In the present study, we investigated the efficacy of DSP combined with suboptimal doses of 15-deoxyspergualin (DSG), an immunosuppressive reagent that has mechanisms of action quite different from those of FK506 or rapamycin, in suppressing allograft responses. In addition, we further analyzed cell populations effective in inducing the suppression of allospecific cellular immune responses by highly purifying T and non-T cells using magnetically labeled antibodies.

2. Objectives

The objectives of the present study were (1) to examine if DSG that has mechanisms of action quite different from those of previously tested FK506 and rapamycin is effective in inducing the suppression of allograft responses when used at suboptimal doses in combination with DSP; and (2) to identify a donor cell type(s) and its antigenic and proliferative characteristics required for the effective suppression of allospecific cellular immune responses when used for DSP.

3. Materials and methods

3.1. Mice

C57BL/6 (B6, H2^b), B10.QBR (H2^{bq4}), and BALB/c (H2^d) mice were purchased from Japan SLC, Hamamatsu, Japan, and kept and maintained in animal facilities at Kinki University School of Medicine under specific pathogen-free conditions. B6 and B10.QBR mice differ at the class I D locus, B6 possessing the D^b and B10.QBR the D^q allele, but share the same alleles at other MHC loci. The following experiments were performed under relevant guidelines of the Japanese government and the University, and were approved by the Animal Experiment Committee of the School of Medicine.

3.2. Recipient manipulation and skin grafting

(-)-15-deoxyspergualin was kindly provided by Nippon Kayaku Co., Ltd., Tokyo, Japan as Gusperimus trihydrochloride. A suboptimal dose of 1 mg/kg was given intraperitoneally to recipient B6 mice 10 times at 2-day intervals starting from 8 days before transplantation (Fig. 1a). For donor-specific presensitization, spleen cells were prepared aseptically from B10.QBR mice and red cells were removed as described in Refs. [14–17,19]. Single-cell suspension was prepared by passing it through sterile nylon mesh, and 1×10^7 cells were injected intravenously into each B6 recipient mouse at 7 days before skin grafting. Skin grafts were prepared

by removing 7×7-mm sheets from the tail of B10.QBR mice under ether anesthesia, and transplanted onto the back of each recipient B6 mouse according to the techniques described in Ref. [20]. Bandages were removed on day 7 after transplantation, and graft tissue was observed daily. Skin grafts were determined to be rejected when >80% of the tissue became necrotic as described previously [14–17]. Some recipient mice were killed at 14 days after grafting by cervical dislocation and the spleen was removed for CTL and mixed lymphocyte reaction (MLR) assays as described previously [14–17,19].

3.3. Tissue culture media and reagents

For preparation of spleen cells phosphate-buffered balanced salt solution (PBBS) supplemented with 2% fetal bovine serum (FBS) was used as described in Ref. [19]. To remove erythrocytes, spleen cells were treated with the Tris-buffered ammonium chloride solution, and rinsed three times with PBBS [19]. For tissue culture RPMI 1640 medium supplemented with 5×10^{-5} M 2-mercaptoethanol and 10% heat-inactivated FBS selected for low background stimulation was used. Concanavalin A (Con A), lipopolysaccharide from *Escherichia coli* 0111:B4(LPS), and mytomycin C (MMC) were purchased from Sigma Chemical Co., St. Louis, Missouri.

3.4. CTL and MLR assays

To generate CTL effector cells, 5×10^6 B6 spleen cells were mixed with 1.5×10^6 X-ray (4000 rad)-irradiated B10.QBR or BALB/c stimulator cells, and cultured for 5 days in each well of 24-well tissue culture plates with 2 ml culture medium as described above. Target cells were prepared by stimulating B10.QBR or BALB/c spleen cells with 5 µg/ml Con A for 48 h, and labeling them with 3.7 MBq ⁵¹Cr (NEN Life Science Products, Inc., Boston, Massachusetts) per 10^6 cells as described previously [19]. The resultant effector and target cells were mixed at indicated ratios, and the release of ⁵¹Cr into the supernate from the 1×10^4 target cells per well of 96-well culture plates in 4 h was measured by using a gamma counter. Levels of antigen-specific target cell lysis were calculated by using a standard formula [19], with maximum release determined by adding 1% Triton X-100 into wells of labeled target cells. For MLR assays, 5×10^5 responder B6 spleen cells were mixed with 4×10^5 4000-rad-irradiated stimulator spleen cells in each well of 96-well tissue culture plates. After culturing for the indicated period, [³H]thymidine (Amersham Life Science, Buckinghamshire, England) was added at 18.5 kBq/well, and the culture was continued for an additional 8 h. Incorporation of [³H]thymidine was measured by using a microplate scintillation counter (TopCount, Packard

Instruments, Meriden, Connecticut) as described previously [19]. The magnitude of antigen-specific proliferation was shown in Δcpm calculated by using a standard formula [19].

3.5. Modification of donor spleen cells and analyses of their antigenicity

B10.QBR spleen cells were prepared as described above, and either irradiated at 1500 or 4500 rad in an X-irradiator, or incubated with 500 $\mu\text{g}/\text{ml}$ MMC for 60 min at 37 °C. The treated cells were washed three times with PBBS containing 2% FBS and used as donor cells for DSP. To analyze possible changes in their antigenicity, the above-treated B10.QBR spleen cells, along with untreated ones, were used as stimulator cells and mixed with naive B6 spleen cells in MLR assays as described in Section 3.4. Mixed cultures were incubated for 3, 5 and 7 days, and [^3H]thymidine incorporation was measured as described above. Proliferating potentials of the above-treated spleen cells were tested by stimulating them with 5 $\mu\text{g}/\text{ml}$ Con A or 10 $\mu\text{g}/\text{ml}$ LPS, and [^3H]thymidine incorporation was measured at 1, 2, 3, 5 and 7 days after the beginning of culture as described above. Cell surface expression of class I D^q molecules on treated and untreated B10.QBR spleen cells was also analyzed by flow cytometry using an anti- D^q monoclonal antibody (clone KH117, PharMingen, San Diego, California) as described previously [19].

3.6. Purification of T and non-T cells from the spleen

Donor spleen cells were separated into $\text{CD}90^+$ T and $\text{CD}90^-$, $\text{CD}45\text{R}^+$ non-T cell populations by using a magnetic cell sorting system. Magnetic microbeads-conjugated anti-mouse $\text{CD}90$ (Thy 1.2) and anti-mouse $\text{CD}45\text{R}$ (B220) antibodies and CS depletion and VS+ separation columns were purchased from Miltenyi Biotec, Berigische Gladbach, Germany and used according to the manufacturer's instructions. To purify $\text{CD}90^+$ T cells, spleen cells were first depleted of $\text{B}220^+$ cells and $\text{CD}90^+$ cells were positively selected from the $\text{B}220$ -depleted population. To purify $\text{B}220^+$ non-T cells, spleen cells were first depleted of $\text{CD}90^+$ T cells, and $\text{B}220^+$ cells were selected from the $\text{CD}90^-$ population. Purities of each cell population were confirmed by flow cytometric analyses by using a FACSCalibur (Becton-Dickinson Immunocytometry Systems, San Jose, California). Fluorescein isothiocyanate (FITC)-conjugated rat anti-mouse $\text{CD}3$ and R-phycoerythrin (R-PE)-conjugated rat anti-mouse $\text{CD}19$ antibodies and their isotype-matched controls were purchased from PharMingen.

3.7. Statistical analyses

Averages of graft survival periods and magnitudes of proliferative responses between groups of mice were

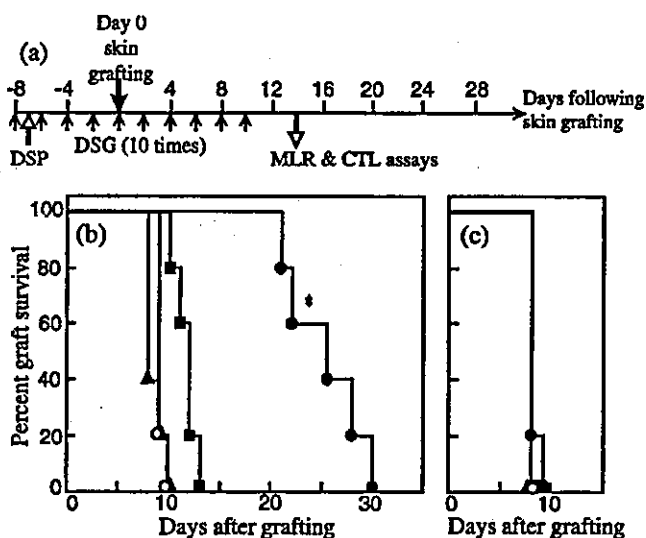


Fig. 1. Experimental protocols and time-courses of graft rejection. (a) Mice were injected with DSP and donor spleen cells as indicated, and received a skin graft. (b) Time-courses of the rejection of B10.QBR skin graft in untreated B6 mice (O), B6 mice treated with DSP alone (▲), those treated with DSG administration alone (■), or those treated with the combination of DSP and DSG administration (●). *, $P < 0.001$. (c) Time-courses of the rejection of BALB/c skin graft in untreated and treated B6 mice. Symbols used are the same as those in b.

compared by Student's t test. Average percentages of specific lysis at five different effector-to-target ratios were compared between groups as curves by using Hotelling's T^2 test.

4. Results

4.1. Prolongation of skin graft survival by DSP combined with suboptimal doses of DGS in the MHC class I-disparate combination

When B6 mice were treated either by a single DSP or 10 suboptimal doses of DSG injection alone, no significant prolongation of graft survival was observed (Fig. 1b). However, in B6 mice treated with the combination of an intravenous injection of B10.QBR spleen cells and 10 suboptimal dose of DSG injection, skin grafts from the MHC class I-incompatible B10.QBR mice survived significantly longer than those transplanted onto untreated B6 mice. Skin grafts transplanted from the third party BALB/c mice were rejected within 9 days regardless of the treatment of recipient B6 mice (Fig. 1c). These results showed that DSG is as effective as FK506 and rapamycin in inducing the elongation of allograft survival when used at suboptimal doses in combination with DSP.

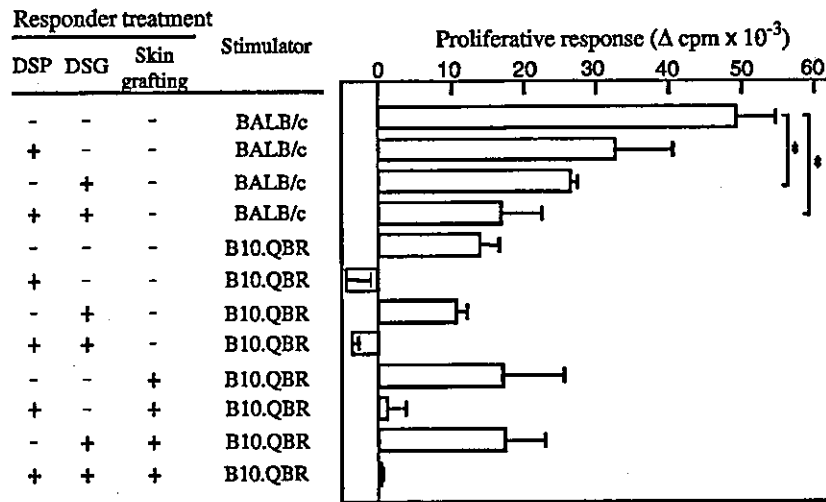


Fig. 2. Proliferative responses of untreated and treated B6 spleen cells to irradiated BALB/c or B10.QBR spleen cells. [³H]thymidine incorporations were measured on days 3, 5 and 7 after the beginning of the MLR responses, and peak responses were always observed on day 5. Thus, average Δcpm values (n=3) at day 5 are shown with bars representing S.E.M. *, P<0.04.

4.2. *Suppression of MLR and CTL responses in B6 mice treated with DSP and/or DSG*

When spleen cells from treated and untreated B6 mice with or without skin grafting were tested for allospecific proliferative responses, administration of 10 doses of DSG alone significantly weakened MLR responses to the third party BALB/c stimulator cells, but vigorous

proliferative responses were still observed even after DSP with B10.QBR spleen cells plus DSG administration (Fig. 2). In accordance with the previous observations, DSP alone, but not DSG administration alone, completely abolished the ability of B6 spleen cells to respond in MLR assays to MHC class I-disparate B10.QBR simulator cells, and this unresponsiveness was retained in the DSP-treated mice even after being grafted

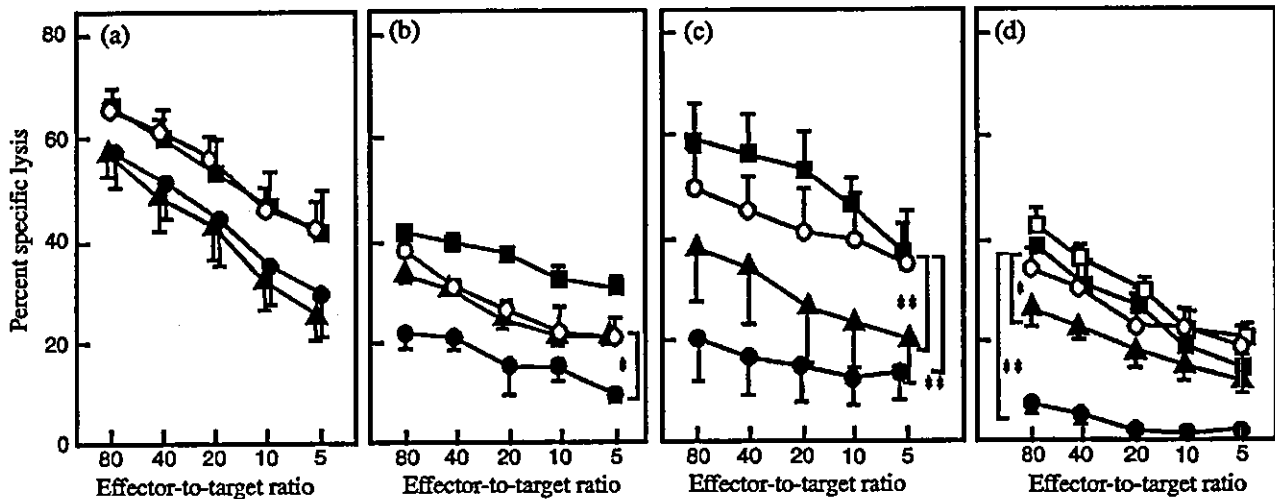


Fig. 3. CTL responses exerted by in vitro-stimulated effector cells derived from untreated and treated B6 mice. (a) Effector cells were induced by in vitro stimulation with irradiated BALB/c spleen cells, and target cells used were labeled BALB/c blast cells. (b–d) Effector cells were induced by in vitro stimulation with irradiated B10.QBR spleen cells, and target cells used were labeled B10.QBR blast cells. In a and b effector cells were prepared from untreated B6 mice (○), B6 mice treated with DSP alone (▲), those treated with DSG administration alone (■), or those treated with the combination of DSP and DSG administration (●). In c B6 mice were treated as above (shown with the same symbols), and received a B10.QBR skin graft. In d effector cells were prepared from untreated B6 mice (○), B6 mice injected with MMC-treated B10.QBR spleen cells plus DSG (▲), those injected with 1500 rad-irradiated B10.QBR spleen cells plus DSG (■), those injected with 4500 rad-irradiated B10.QBR spleen cells plus DSG (□), or those injected with the combination of untreated B10.QBR spleen cells and DSG administration (●). Each data point represents the mean from 3 to 6 repeated experiments, and bars represent S.E.M. *, P<0.03 by Hotelling’s T2 test; **, P<0.001 by the same test.

with B10.QBR skin. However, CTL responses against B10.QBR target cells were not significantly affected by DSP alone (Fig. 3b). Only in the group of mice treated with the combination of a single DSP and suboptimal doses of DSG administration, CTL responses to B10.QBR target cells were significantly reduced. Unchanged killing activity of the *in vitro*-stimulated spleen cells prepared from the treated mice against BALB/c target cells (Fig. 3a) confirmed the alloantigen-specificity of the suppression of CTL activities. Furthermore, significant suppression of CTL activities against B10.QBR target cells was also observed in the B6 mice treated with the combination of DSP and DSG administration even after they received a B10.QBR skin graft (Fig. 3c). CTL activities detected in the untreated control mice and those of the mice treated only with DSG administration were apparently enhanced after skin grafting (Fig. 3b,c), reflecting *in vivo* priming of CTL precursor cells through alloantigen presentation. However, this priming effect was not observed in mice treated with the combination of DSP and DSG administration. Interestingly, CTL activities detected from the mice treated with a single DSP alone were also significantly lower than those in the control mice when tested after skin grafting (Fig. 3c), probably reflecting the contribution of CD4⁺ T helper cell functions in the induction of CTL effector cells, which is reduced in the DSP-treated mice (Fig. 2). These data indicated that a significant proportion of CTL precursors were unable to be primed *in vivo* with the relevant alloantigen when treated with DSP, especially in combination with suboptimal doses of DSG administration.

4.3. Characterization of cellular requirements for the suppression of allograft responses by DSP

To characterize cell properties required for the induction of suppressed allograft responses by DSP, donor spleen cells were treated with several different procedures that modulated their proliferating potentials and antigenicities before intravenous injection. Irradiation (either 1500 or 4500 rad) or MMC treatment of donor spleen cells almost completely abolished their proliferative potentials after Con A or LPS stimulation: no significant incorporation of [³H]thymidine into the treated cells was observed at any one of the four time-points at which the measurements were performed between 1 and 7 days after the beginning of cell cultures. When used as stimulator cells to induce proliferative responses of naive B6 spleen cells *in vitro*, B10.QBR spleen cells irradiated with 1500 or 4500 rad of X-ray showed significantly reduced antigenicity. They induced only 25% and 15% of peak Δ cpm values at day 5 after the beginning of the MLR assays, respectively, in comparison with untreated B10.QBR cells, while the stimulatory potential of MMC-treated spleen cells was not

significantly reduced. No significant changes in the levels of cell surface expression of D^a molecules between untreated, irradiated, and MMC-treated B10.QBR spleen cells were observed by flow cytometric analyses (data not shown). Interestingly, when used for DSP along with suboptimal doses of DSG, 1500 rad-irradiated spleen cells induced statistically significant but only marginal suppression of MLR responses, and 4500 rad-irradiated spleen cells were not effective at all in inducing the suppression (Table 1). However, MMC-treated B10.QBR spleen cells induced significant suppression of MLR responses when injected intravenously along with DSG administration. When CTL responses of the *in vitro*-stimulated spleen cells prepared from the untreated and treated B6 mice were compared, irradiated and MMC-treated B10.QBR spleen cells did not induce the suppressive effect that was observed when untreated B10.QBR spleen cells were injected (Fig. 3d), although the injection of MMC-treated B10.QBR spleen cells resulted in slightly reduced CTL activities in comparison with those exerted by the stimulated spleen cells of control untreated mice. These results, especially those with MMC-treated donor cells, indicated that proliferating potentials of donor cells, not just the presence of the relevant alloantigen on their surfaces, are required for the suppression of CTL responses by DSP plus DSG administration.

4.4. Both T and non-T cells were effective in inducing the suppressed allospecific cellular immune responses

To further characterize the donor cells that are involved in the induction of the suppression of allograft responses by DSP and DSG administration, spleen cells used for DSP were separately purified into B220⁻, CD90⁺ T and CD90⁺, B220⁻ non-T cell populations using a magnetic cell sorter (Fig. 4a). The obtained T-cell population was >98% CD3⁺ and almost completely devoid of CD19⁺ B cells, while the non-T cell population was almost completely free from contaminating T cells and comprised of 94% B cells. A single injection of both T and non-T cell populations in combination with suboptimal doses of DSG administration completely abolished the donor antigen-specific proliferative responses in the treated B6 mice (Fig. 4b). Further, CTL responses against B10.QBR target cells were significantly reduced in mice injected with the donor T or non-T cell population (Fig. 4c). As measured by the induction of suppressed alloantigen-specific CTL responses, the purified T cell population was as effective as the whole spleen cells, and purified non-T cells were even more effective and induced almost total suppression of the CTL responses.

5. Discussion

Several different mechanisms have been proposed for the induction of allograft tolerance by DST or DSP in

Table 1
Effects of donor cell irradiation or MMC treatment on the suppression of MLR responses after DSP plus DSG administration

Donor cell treatment	Proliferative responses of recipient spleen cells (Δ cpm)	Significance in difference from the control
1500 rad irradiated	7820 \pm 528	$P=0.007$
4500 rad irradiated	18 714 \pm 2520	NS
MMC treated	2384 \pm 468	$P=0.004$
None	-1031 \pm 528	$P=0.003$
Control (No DSP)	13 947 \pm 2190	

Δ cpm values are shown as mean \pm S.E.M.
NS, not significant.

rodent models. In rats tolerized by DST for heterotopic heart transplantation, recipient immune cells still infiltrated into graft tissues, but cytokine production from the immune cell infiltrated was deeply impaired [1]. Generation of an inhibitory signal from DST-primed host immune cells upon secondary presentation of donor antigens has been reported. In a model using class I L^d -specific T-cell receptor transgenic mice, a single dose of DSP with L^d -expressing spleen cells induced a significant deletion of the donor antigen-reactive T cells, and activated a regulatory subset of T cells in the recipient, which resulted in permanent survival of skin allografts [10]. The above regulatory T cells have been recently characterized as a novel CD4- and CD8- double negative population that exerts Fas-mediated killing of

CD8⁺ effector cells [18]. DST combined with anti-CD154 (CD40 ligand) antibody administration is shown to be effective in tolerance induction for islet grafts, and this model also is apparently mediated by the induction of CD4⁺ regulatory T cells [2]. For the induction of the above tolerance by DST plus anti-CD154 antibody administration, small resting B cells are sufficient, and T cells are not required in the DST preparation.

In the MHC class I-incompatible model similar to the present study, however, radio-sensitive T cells in the donor cell inoculum is shown to be required for the induction of prolonged skin graft survival associated with reduced CTL precursor frequencies [12,15], suggesting that donor T cells in the DSP preparation may function as veto cells. In the H2 class I-disparate model

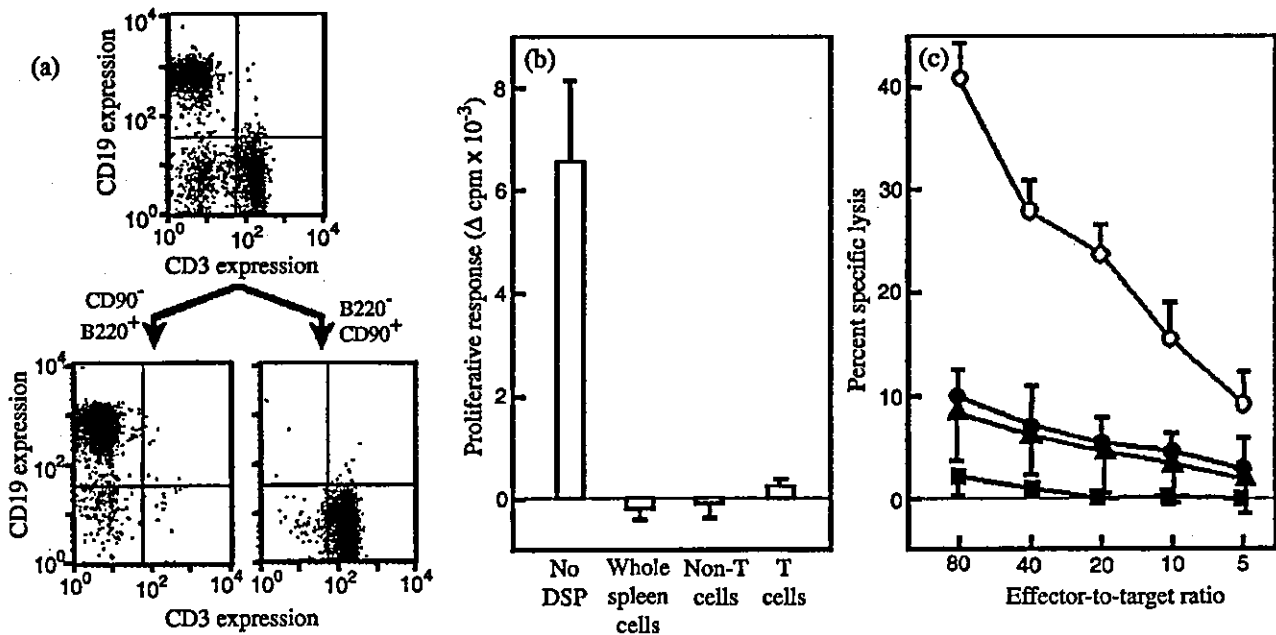


Fig. 4. Identification of cell types in DSP preparation effective in suppressing allospecific cellular immune responses. (a) Flow cytometric analyses confirming purity of injected T and non-T cell populations. (b) Proliferative responses of untreated and treated B6 spleen cells upon stimulation with irradiated B10.QBR spleen cells. Each data show mean \pm S.E.M. calculated with data obtained from 3 to 6 individuals per group. (c) CTL responses exerted by in vitro-stimulated effector cells prepared from untreated B6 mice (O), B6 mice injected with the purified T cells plus DSG (\blacktriangle), those injected with the purified non-T cells plus DSG (\blacksquare), or those injected with the whole B10.QBR spleen cells plus DSG (\bullet). Each data point represents the mean from 3 to 6 repeated experiments, and bars represent S.E.M.

identical to the present combination of B6 recipient and B10.QBR donor mice, it has been shown that (1) a single dose of DSP alone induces a significant reduction in MLR and donor antigen-specific IL-2 production responses, but fails to suppress CTL responses [14]; and (2) DSP combined with either antibody-mediated depletion of CD8⁺ T cells [14], suboptimal doses or FK506 [16], or suboptimal doses of rapamycin administration [17] results in significant suppression of CTL induction and prolonged skin graft survival. Similar suppression of MLR but not CTL responses was also observed in rat models of kidney transplantation after DSP [9].

In addition to the previously demonstrated efficacy of FK506 and rapamycin, DSG is now shown to be similarly effective in inducing CTL suppression and prolonged allograft survival when used at suboptimal doses along with a single DSP. FK506 and rapamycin share intracellular receptor molecules, FK-binding proteins, and block the transcriptional activation of interleukin-2 gene in response to T-cell receptor cross-linking or abolish the cell-cycle progression of cytokine-stimulated T cells from G₁ to the S phase, respectively [21]. Mechanisms of action of DSG are quite different from those of FK506 and rapamycin: it suppresses macrophage proliferation [22], blocks pre-T and pre-B cell differentiation [23], and inhibits dendritic cell maturation and antigen presentation [24]. In the present study, suboptimal doses of DSG alone did not affect MLR responsiveness of B6 spleen cells to class I-disparate B10.QBR cells, and CTL induction was significantly suppressed only when DSG was given in combination with DSP. Since DSG is not directly involved in the uncoupling of T-cell receptor signaling and clonal activation, unlike FK506 and rapamycin, effectiveness of DSG in suppressing CTL induction, when combined with DSP, might suggest possible roles of inappropriate antigen presentation and resultant T cell ignorance or anergy [25].

If the induction of T-cell ignorance or anergy is the main mechanism, the expression of relevant alloantigens on the injected cell surfaces, but not functional activities of the injected donor cells, should be sufficient in inducing the suppression of CTL responses and prolonged graft survival. In fact, previous literatures have indicated that cells used for DST can be non-proliferative erythrocytes [7] or even heated blood [8]. However, in the present study, both irradiation and MMC treatment of the donor spleen cells abolished the effect of DSP in suppressing CTL induction. Since T-cell stimulating antigenicity was preserved, albeit reduced, on MMC-treated spleen cells, and MLR responses were indeed largely suppressed in B6 recipient mice injected with MMC-treated B10.QBR spleen cells (Table 1), possible induction of alloantigen-specific regulatory T cells, if any, is also unlikely to be affected by this treatment of donor cells. Rather, a proliferating potential seems to be

directly required for the injected donor cells to suppress CTL induction. One possible mechanism that can be affected by irradiation or MMC treatment of the DSP preparation is active involvement of injected donor cells, perhaps as veto cells. Veto phenomenon was originally proposed as a form of antigen-specific suppression of T cells by other lymphoid cells that results in the functional elimination of self-reactive peripheral effector cells [26]. The concept of veto function was later expanded to the inactivation of alloreactive CTL precursor cells upon introduction of allogeneic lymphoid cells [26,27]. Although several different donor cell types are known to exert the veto cell activity when injected intravenously, T cells, especially CD8⁺ cells, are commonly shown to be the most potent veto cells [26,28,29]. In fact, in the class I-disparate model similar to the present study, the suppression of donor-specific CTL activity by DSP was dependent on the presence of radio-sensitive T cells in the injected donor cell preparation [12,15]. Interestingly, however, not only purified T cells but also T cell-depleted CD90⁻, B220⁺ cells were effective in inducing almost complete suppression of the donor-specific CTL responses in the present study (Fig. 4). Thus, the results may indicate that the previously described veto T cells are unlikely to be involved in the suppression of CTL induction in the present model. They are rather consistent with the previous finding that as long as relevant MHC molecules are expressed, even transfected fibroblasts can induce immunological unresponsiveness and prolonged graft survival upon intravenous injection [30]. Further studies are required to identify the precise mechanisms by which allospecific CTL responses are suppressed by DSP combined with DSG administration.

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RESEARCH ARTICLE

DNA vaccine-encapsulated virus-like particles derived from an orally transmissible virus stimulate mucosal and systemic immune responses by oral administration

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Delivery of foreign genes to the digestive tract mucosa by oral administration of nonreplicating gene transfer vectors would be a very useful method for vaccination and gene therapy. However, there have been few reports on suitable vectors. In the present study, we found that plasmid DNA can be packaged *in vitro* into a virus-like particle (VLP) composed of open reading frame 2 of hepatitis E virus, which is an orally transmissible virus, and that these VLPs can deliver this foreign DNA to the intestinal mucosa *in vivo*. The delivery of plasmid DNA to the mucosa of the small intestine was confirmed by the results of immunohistochemical analyses using an expression plasmid encoding human immunodeficiency

virus env (HIV env) gp120. After oral administration of VLPs loaded with HIV env cDNA, significant levels of specific IgG and IgA to HIV env in fecal extracts and sera were found. Moreover, mice used in this study exhibited cytotoxic T-lymphocyte responses specific to HIV env in the spleen, Payer's patches and mesenteric lymph nodes. These findings suggest that VLPs derived from orally transmissible viruses can be used as vectors for delivery of genes to mucosal tissue by oral administration for the purpose of DNA vaccination and gene therapy.

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Keywords: VLP; oral DNA vaccine; CTL; HIV; mucosal immunity

Introduction

The successful outcome of novel gene therapies and DNA vaccinations largely depends on the development of effective delivery systems.¹ In human applications, both the efficacy and safety of any delivery system used for gene transfer are major concerns. It has been shown that tissue-specific gene transfer by a viral vector could be achieved naturally and effectively through cell specificity of the virus receptors.² However, there is a risk of vector toxicity through viral infection of the host cells. Also, the limited sizes of transgenes often present a serious obstacle. Nonviral vectors, such as liposomes, are safer but do not have a cell-specific targeting component and have limited transduction both *in vitro* and *in vivo*. This limitation has been partly overcome by the development of molecular conjugates consisting of cell-specific ligands that confer cell specificity to nonviral vectors.^{3,4}

The development of a system for delivering genes to or conferring immunity to mucosal tissue by oral administration would provide a convenient means for effective treatment or prevention of various human

diseases, including cancers, infectious diseases and immunological disorders.⁵ Since many pathogenic viruses and bacteria establish their initial infections through the mucosal surface, vaccine strategies that can stimulate mucosal immunity have been widely studied (reviewed in Ogra *et al*).⁶ However, there are several difficulties in oral immunization with nonreplicating molecules, such as low pH in the stomach, the presence of proteolytic enzymes in the digestive tract and the presence of physical as well as biochemical barriers associated with the mucosal surface itself.⁶

Among the various nonreplicating molecules, a virus-like particle (VLP), an empty particle with a structure similar to that of an authentic virus particle, offers the possibility of a new approach for vaccine development.⁷ It is expected that the VLP structure will provide resistance to severe environments in the digestive tracts and enable specific binding to the mucosal surface if an appropriate VLP is chosen.⁸ However, VLPs can induce immune responses to themselves, and this is a problem for using VLPs as a vaccine vector to carry foreign DNA. A system using polyoma virus VP1 VLPs as a carrier of DNA by intranasal administration has been reported.⁹ These VLPs work as an adjuvant, since DNA vaccine can induce immune responses by intranasal administration without VLPs. Hepatitis E virus (HEV) is an unclassified calicivirus-like, positive-strand RNA virus that causes

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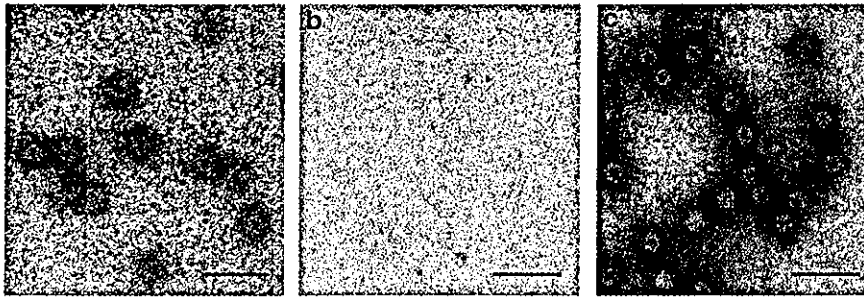


Figure 1 Electron micrographs of HEV-VLPs: (a) purified HEV-VLPs before treatment; (b) disassembled HEV-VLPs after treatment of VLPs with EGTA and DTT; and (c) refolded HEV-VLPs in the presence of CaCl_2 , DMSO and DNA. Bars represent 50 nm.

human acute hepatitis by fecal–oral transmission. HEV first infects epithelial cells of the small intestine and then reaches the liver through the portal vein. It has recently been reported that overexpression of a part of open reading frame 2 (ORF2) in a baculovirus expression system results in the assembly of this protein into a VLP.¹⁰ We have also reported that VLPs carrying foreign epitopes elicit strong mucosal and systemic immune responses to both the VLPs and exogenous epitopes without the requirement of any kind of adjuvant when orally administered to mice.¹¹

Since infection with human immunodeficiency virus (HIV) most likely occurs through exposure of mucosal tissue to the virus, HIV-specific immune responses at mucosal sites are critical for the initial control of infection. Therefore, a nonreplicating vaccine vector that elicits mucosal immunity by oral administration would be a powerful HIV vaccine. In the present study, we found that unrelated plasmid constructs can be encapsulated into HEV-VLPs and delivered to the intestinal mucosa by oral administration. HIV DNA vaccine-loaded HEV-VLPs can elicit mucosal and systemic cellular as well as humoral immune responses by oral administration.

Results

In vitro refolding of VLPs

The HEV-VLPs produced by a recombinant baculovirus system were disassembled by the removal of calcium ions (Figure 1b). When calcium ions were supplemented to the disrupted VLPs in the presence of plasmid DNA, the DNA was encapsulated into the refolded VLPs (Figure 1c). No significant morphological difference due to the VLP disassembling–refolding process was observed under an electron microscope.

Density shifts of VLPs and amount of plasmid DNA after DNA encapsulation

Plasmid DNA encapsulation in the refolded VLPs was confirmed by CsCl equilibrium gradient centrifugation. VLP density is greater when loaded with a DNA plasmid. A heavier density gradient peak was present only when DNA was incorporated into the VLPs (Figure 2d). A single lighter density peak was produced for VLPs alone (Figure 2a), refolded VLPs (Figure 2b) and intact VLPs in the presence of plasmid DNA (Figure 2c). Despite the various sizes of plasmid DNA used for

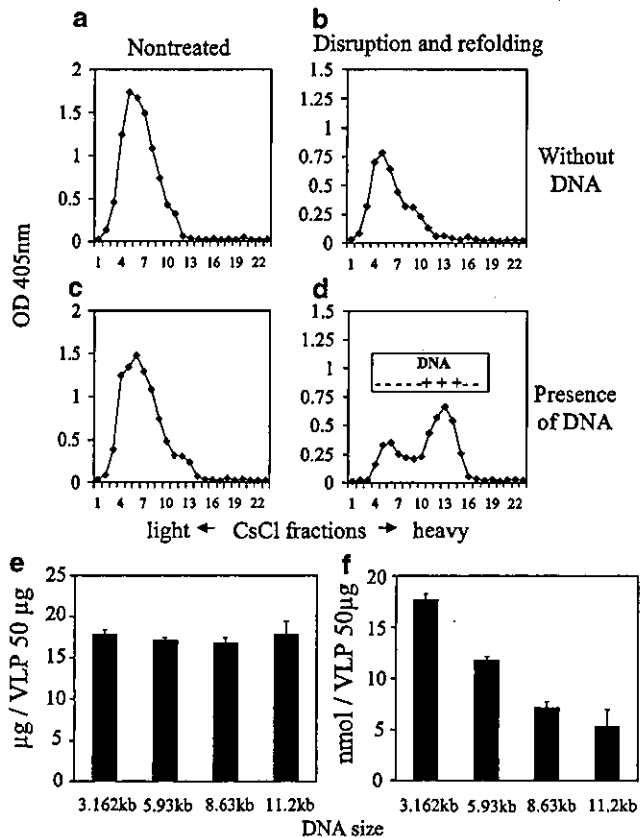


Figure 2 CsCl gradient profiles of intact and refolded VLPs. No DNA added: (a) intact; (b) refolded. DNA added: (c) intact; (d) refolded. The amount of DNA encapsulated in VLPs is expressed as μg (e) and molality (f) per 50 μg VLP protein.

encapsulation, the amounts of plasmid in VLPs were almost the same (17–19 μg per 50 μg of HEV-VLPs) (Figure 2e and f). A solution with a high concentration of plasmid DNA showed high viscosity, and VLPs including DNA were not obtained for general use in experiments. Based on these results, we used this amount (1 mg/ml) as the optimal concentration (data not shown).

Gene transfer by HEV-VLPs

Initially, four cell lines derived from mice, rabbits, monkeys and humans were studied for their ability to

transfer genes *in vitro*. The fluorescence of GFP-expressing cells was observed under a fluorescence microscope. Although the percentages of fluorescence-positive cells were not so high (11.2% of NIH3T3 cells, 19.6% of RK-13 cells, 21.0% of COS-7 cells and 20.1% of HepG2 cells), all of the cell lines used in this study showed positive reactions (Figure 3). In contrast, no fluorescence-positive cells were observed when the cells were incubated with plasmid DNA alone or intact VLPs in the presence of plasmid DNA (data not shown). We next tried gene transduction *in vivo*. Mice that had orally received a vaccine of DNA expressing HIV env gp120 of the NL432 strain (pJWNL432) that was encapsulated in VLPs were killed 2 days after immunization, and the expression of HIV env protein in the digestive tract was examined. HIV env protein was found in epithelial cells of the small intestine by immunohistochemistry (Figure 4), indicating that the HEV structure necessary for the entry of HEV into target cells had been preserved in refolded VLPs and that the DNA encapsulated in HEV-VLPs had been delivered to intestinal tissues.

Systemic and mucosal HIV-specific humoral immune responses in mice that had orally received a vaccine of HIV DNA encapsulated in VLPs

Mice were orally or subcutaneously immunized four times at 1-week intervals with pJWNL432 either naked or encapsulated in HEV-VLPs. The serum levels of HIV env-specific IgG antibodies in mice that had received loaded VLPs were significantly higher than those in mice that had received naked DNA ($P < 0.05$ at 12 wpi, Figure 5a and e). Moreover, specific IgA was detected at high levels in sera of mice that had received loaded VLPs but not in sera of mice that had been immunized subcutaneously ($P < 0.05$ at 12 wpi, Figure 5b and f). HIV env-specific IgA was only detected in fecal extracts of mice that had orally received pJWNL432-encapsulated HEV-VLPs (Figure 5d and h). No specific IgG was detected in any of the fecal

samples (Figure 5c and g). The levels of HIV env-specific IgG antibodies detected in sera from subcutaneously and orally immunized mice were the same (Figure 5a and e). HEV-specific IgA was detected in both sera and fecal extracts of mice that had been orally administered VLP but not in sera or fecal extracts of mice that had been immunized subcutaneously (Figure 5j and l). Both orally and subcutaneously immunized mice showed HEV-specific IgG in sera (Figure 5i) and fecal extracts (Figure 5k).

Elicitation of HIV-specific cytotoxic T lymphocytes at systemic and mucosal sites by oral administration of a vaccination of HIV DNA encapsulated in VLPs

Cytotoxic T lymphocyte (CTL) responses in the spleen, mesenteric lymph nodes (MLN) and Payer's patches (PP) were investigated at 5 weeks after the first immunization. Mice that had orally received pJWNL432 encapsulated in HEV-VLPs showed HIV env epitope-specific CTL responses in the spleen, MLN and PP, whereas cells from the same tissues in mice that had received naked DNA vaccine did not show any CTL activity (Figure 6a). The P18 peptide is a dominant HIV env CTL and Th cell epitope in BALB/c mice and is restricted to the H-2D^d allele. These effector cell functions derived from our experiments were inhibited by either anti-CD8 or -H-2D^d monoclonal antibody (mAb) (Figure 6b,c), indicating that oral immunization of mice with a vaccine of HIV env DNA-encapsulated HEV-VLPs elicited CD8⁺ and MHC class I-restricted CTLs both locally and systemically.

Discussion

A large number of pathogens gain access to the human body via mucosa such as oral, nasal or genital mucosa. The best defense against these predominantly mucosal

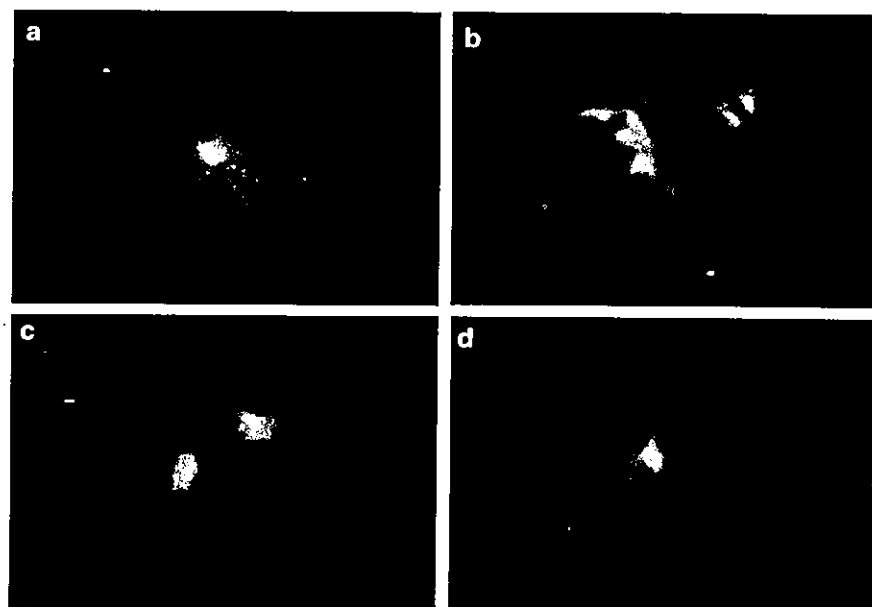


Figure 3 Expression of GFP in cells transfected with plasmid DNA encapsulated in HEV-VLPs: (a) NIH/3T3 cells (mouse); (b) RK-13 cells (rabbit); (c) COS-7 cells (monkey); and (d) HepG2 cells (human).