

Congestive Heart Failure (REMATCH) trial examined LVAD use as long-term myocardial replacement therapy in patients who were ineligible for cardiac transplantation. Less than 10% of patients survived to three years in the LVAD group, compared with no survivors among patients treated medically (36). The five-year survival after cardiac transplantation is 70%, but few patients receive a heart owing to donor shortage (37). The five-year survival of NYHA functional class IV patients undergoing SVR in our registry was approximately 50%. Surgical ventricular restoration should be considered as a therapeutic option because it can be more readily applied compared with LVAD or transplantation.

SVR. The SVR was applied to patients with previous infarctions primarily as therapy for advanced heart failure. The few patients with less advanced symptoms of CHF, namely NYHA functional class I or II, underwent SVR as a concomitant procedure to CABG or mitral valve repair based on the important recognition that volume, not EF, is the major determinant of survival after myocardial infarction. White et al. showed that patients with LVESVI >60 ml/m² have approximately a fivefold increase in mortality compared with those with normal volumes after infarction (6). The GUSTO I trial similarly demonstrated the importance of ventricular volume on outcome. Among infarction patients with successful thrombolysis, 17% had progressive LV enlargement above 40 ml/m². Mortality at one year was 16% among those with LVESVI 40 to 50 ml/m², 21% with LVESVI 50 to 60 ml/m², and 33% with LVESVI >60 ml/m² (3). A small number of patients (9%) in functional class I underwent restoration as an adjunct to CABG because ventricular dilation (LVESVI >60 ml/m²) is a precursor of late development of CHF and early death (3). More importantly, the low five-year mortality of patients with NYHA functional class II and III symptoms of CHF, and LVESVI <120 ml/m² further define the importance of using volume measurement to gauge the progression of CHF and how LVESVI helps determine prognosis.

Our multivariate analysis of SVR shows that major risk factors were age, preoperative EF, LVESVI, and NYHA functional class. The five-year survival of 63% among patients ≥ 75 years old is a gratifying result in view of the fact that CHF is common in the elderly. Mitral valve procedures were performed in over 20% of patients in this series and were more common in patients with severely depressed systolic function and extensive ventricular dilation. Regurgitation was usually central and thus amenable to ring annuloplasty. Subgroup analysis showed patients with mitral repair had larger hearts from secondary mitral regurgitation, and sustained greater improvement in LVESVI when valve repair was added to CABG and SVR. The rare use ($<1\%$) of mitral valve replacement was indicated because of previous infarcted segments in sites other than the antero-apical or antero-septal regions. These patients were a high-risk group, and our findings are consistent with the report by Di Donato et al. (38) of decreased survival at two

years (52%) if the muscle remote from the anterior scar was asynergic. These findings illustrate the importance of preoperative assessment of the non-infarcted segments supplied by the right and circumflex arteries. Imaging of the remote muscle is crucial, and SVR should be avoided if the inferior and lateral wall segments are infarcted and asynergic (19). Hypokinesia, however, is not a contraindication to operation, because local contractility may improve with revascularization. Viability of remote segments is helpful in determining operability.

Study limitations. This was a non-randomized registry of patients who underwent the SVR procedure. Drug regimens were not standardized or reported. Quality of life was based on NYHA functional class. Criteria for CHF hospital admission may have varied among centers.

Although the overall geometric objective was similar, some centers used direct closure in selected cases, and others placed an endocardial patch in all patients. Myocardial protection varied. The indication for intra-aortic balloon pumping use was not specified. Measurements of EF and LVESVI were performed by the same method in each patient before hospital discharge; however, the interval between operation and these measurements was not standardized. Implantable defibrillators were not routinely placed in these patients, and arrhythmia management in the RESTORE registry varied. In most instances, preoperative electrophysiologic testing was not performed.

Conclusions. Surgical ventricular restoration reduces volume and "restores" a more normal elliptical ventricular shape in dilated hearts after anterior infarction. Our data demonstrate a low operative mortality, improved systolic function, a gratifying five-year survival, and a low rate of rehospitalization for CHF.

Our previous results were instrumental in the design of the current Surgical Treatment for Ischemic Heart Failure (STICH) trial that randomizes patients with ischemic cardiomyopathy to medical therapy, CABG alone, or CABG with SVR. The RESTORE groups' outcomes serve as a benchmark for this trial, which should further define the optimal indications for ventricular restoration.

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APPENDIX

For a list of the RESTORE group members, please see the October 6, 2004, issue of *JACC* at <http://www.cardiosource.com/jacc.html>.

Evidence of Viral Infection in the Myocardium of American and Japanese Patients With Idiopathic Dilated Cardiomyopathy

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Enteroviruses have been implicated in the pathogenesis of idiopathic dilated cardiomyopathy (IDC). Recently, the association of adenovirus or parvovirus with IDC has been reported. Viral infection in the myocardium of American and Japanese patients with end-stage IDC was evaluated. Myocardial specimens from 30 American patients with IDC and 47 Japanese patients with IDC were analyzed for the presence of cardiotropic viruses. The strand-specific detection of enteroviral ribonucleic acid (RNA) was performed to determine viral activity in hearts with IDC. Established reverse transcription-polymerase chain reaction (PCR) or PCR techniques were used to detect genomic sequences of influenza viruses, mumps virus, adenovirus, parvovirus, herpes simplex viruses, varicella-zoster virus, and Epstein-Barr virus. Enteroviral RNA was detected in 7 of the 30 American patients (23%) and in 15 of the 47 Japanese patients

(32%). Minus-strand enteroviral RNA, an indicator of active enteroviral RNA replication, was demonstrated in 5 of 7 plus-strand-positive American patients (71%) and in 12 of 15 plus-strand-positive Japanese patients (80%). Sequence analysis revealed that the viruses detected were Coxsackie B viruses. No genomic sequences of other viruses were detected in the myocardium of either American or Japanese patients with IDC. Therefore, active group B Coxsackie virus RNA replication in the myocardium was demonstrated in a significant proportion of American and Japanese patients with end-stage IDC. There was no evidence of persistent infection by other viruses in hearts with IDC. Specific therapy should be designed for Coxsackie virus positive patients with IDC. ©2004 by Excerpta Medica, Inc.

(Am J Cardiol 2004;94:602-605)

Enteroviruses have been implicated in the pathogenesis of idiopathic dilated cardiomyopathy (IDC).^{1,2} This concept was originally supported by the long-term outcomes of some patients with acute myocarditis,³ serologic analysis for viral antibodies,^{4,5} and studies of animal models.⁶ For the past decade, enteroviral ribonucleic acid (RNA)⁷⁻¹¹ and its antigen¹² have been demonstrated in the myocardium of patients at all stages of IDC. Recently, more studies have revealed a significant role for enteroviruses in the pathogenesis of IDC.¹³ Specifically, transgenic mouse experiments clearly demonstrate that the low-level expression of the Coxsackie viral genome in the heart can cause dilated cardiomyopathy with characteristics that are similar to those seen with IDC in humans.¹⁴

However, little is known about the character and activity of enteroviruses detected in the myocardium of patients with IDC. More recently, adenovirus¹⁵ and parvovirus¹⁶ have been proposed as causative agents of IDC. Because other viruses can also induce myocarditis,¹⁷ there is a possibility that other potentially cardiotropic viruses are associated with the development of IDC. However, the worldwide prevalence of viral infection in hearts with IDC has not been investigated. In this study, we evaluated infection with a variety of viruses in the myocardium of American and Japanese patients with end-stage IDC.

METHODS

Patient population: Thirty patients with IDC who received heart transplants at Henry Ford Hospital and 47 patients with IDC who underwent partial left ventriculectomy (PLV) at Osaka Medical College Hospital or Shonan Kamakura General Hospital were included in this study. The clinical diagnosis of IDC was made according to World Health Organization and International Society and Federation of Cardiology criteria.¹⁸ All patients underwent noninvasive and invasive evaluation, including echocardiography and cardiac catheterization with coronary angiography. All myocardial specimens resected during heart transplantation and PLV were subjected to histopathologic examination. All samples were immediately frozen in

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liquid nitrogen in the operating room and stored at -80°C until use for the detection of viral genomes. Twenty American patients with ischemic cardiomyopathy who received heart transplants at Henry Ford Hospital and 28 Japanese patients who underwent aneurysmectomy or Dor's operation at Osaka Medical College Hospital or Shonan Kamakura General Hospital were also included.

Detection of viral genome: In situ hybridization studies have shown uneven distributions of enteroviruses in the myocardium of patients with myocarditis and IDC.⁸ Thus, we analyzed 5 different regions of the patient myocardium resected at heart transplantation or PLV to determine the true frequency of viral infection in hearts with IDC. Total RNA and genomic and viral deoxyribonucleic acid (DNA) were extracted from 20 mg of myocardial tissue obtained from each region of patient specimens using ABI-340A Nucleic Acid Extractor (PE-Applied Biosystems, Foster City, California), according to the standard program supplied by the manufacturer. Ten micrograms of extracted total RNA were used for each viral first complementary DNA (cDNA) synthesis.¹⁹⁻²¹ Strand-specific enteroviral RNA detection was performed to differentiate between active viral replication and latent viral persistence in hearts with IDC.¹⁹ First-strand cDNA synthesis was primed with reverse polymerase chain reaction (PCR) primer for plus-strand enteroviral RNA detection and forward PCR primer for the detection of minus-strand enteroviral RNA. The reverse transcription mixture was boiled for 30 minutes to terminate the enzyme activity of the reverse transcriptase. Then, PCR amplification, followed by Southern blot hybridization, analysis was performed. A portion of the VP1 region of the enteroviral genome was used for the identification of enteroviruses detected in hearts with IDC.²² PCR-amplified products were gel isolated and purified using the Concert Gel Extraction Systems (Gibco-GRL, Rockville, Maryland).¹¹ The nucleotide sequences were determined in each direction using an automated DNA sequencer with fluorescent dideoxy-chain terminators (PE-Applied Biosystems). Conventional reverse transcription-PCR (RT-PCR) was used for the genomic detection of mumps virus²⁰ and influenza A and B viruses.²¹ Ten micrograms of extracted DNA were used for PCR detection of each genomic nucleic acid of adenovirus,¹⁵ parvovirus,¹⁶ herpes simplex 1 and 2 viruses, varicella-zoster virus,²³ and Epstein-Barr virus.²⁴ Independent RT-PCR and PCR were carried out on each sample with β -actin primers to confirm the use of sufficient amount of extracted RNA and DNA.²⁵ Several negative controls were included in each reaction. The frequency of each viral genome in American patients with IDC was compared with that in Japanese patients with IDC. Statistical analysis was performed using Fisher's exact test, and a *p* value of <0.05 was considered significant.

RESULTS

Patient profile: Thirty American patients with IDC (18 men and 12 women; mean age 46 ± 16 years) and

TABLE 1 Detection of Viral Genomes in the Myocardium of Patients With IDC

Virus	American Patients (n = 30)	Japanese Patients (n = 47)
RNA viruses		
Enterovirus		
Coxsackie A virus	0/30 (0%)	0/47 (0%)
Coxsackie B virus	7/30 (23%)	15/47 (32%)
Echovirus	0/30 (0%)	0/47 (0%)
Mumps virus	0/30 (0%)	0/47 (0%)
Influenza A virus	0/30 (0%)	0/47 (0%)
Influenza B virus	0/30 (0%)	0/47 (0%)
DNA viruses		
Adenovirus	0/30 (0%)	0/47 (0%)
Parvovirus	0/30 (0%)	0/47 (0%)
Herpes simplex 1 virus	0/30 (0%)	0/47 (0%)
Herpes simplex 2 virus	0/30 (0%)	0/47 (0%)
Varicella-zoster virus	0/30 (0%)	0/47 (0%)
Epstein-Barr virus	0/30 (0%)	0/47 (0%)

47 Japanese patients with IDC (34 men and 13 women; mean age 48 ± 14 years) were studied for the presence of viral genomes. All patients had end-stage IDC and were in New York Heart Association functional class III or IV. The durations of illness of American and Japanese patients were 78 ± 30 and 62 ± 41 months, respectively. All patients had an increased left ventricular end-diastolic diameter and globally impaired left ventricular function as assessed by echocardiography. No patients had evidence of hypertension, valvular heart disease, drug or alcohol abuse, or systemic disorders. Angiography showed no evidence of coronary artery disease. All specimens had a histologic appearance consistent with the diagnosis of IDC. Left ventricular specimens from 20 American patients with ischemic cardiomyopathy (14 men and 6 women; mean age 58 ± 8 years) and 28 Japanese patients with coronary artery disease (21 men and 7 women; mean age 59 ± 11 years) served as control specimens.

Detection of viral genome: We studied 5 different regions of each resected myocardium, and the same PCR detection results were obtained (Table 1). Strand-specific enteroviral RNA detection was performed to determine viral activity in the myocardium of patients with IDC. During enteroviral replication, the genomic viral plus-strand RNA serves as a template for the transcription of a minus-strand RNA. This minus-strand viral RNA is then used as a template to produce multiple plus-strand viral RNA to be translated into enteroviral proteins and packaged into new virions. Therefore, the detection of minus-strand viral RNA is an indicator of active enteroviral RNA replication.²⁶ Figure 1 shows a representative Southern blot hybridization analysis of the amplified PCR products. Plus-strand enteroviral RNA was detected in 7 of the 30 American patients (23%) with IDC. Minus-strand viral RNA was demonstrated in 5 of these 7 plus-strand-positive patients (71%). In the Japanese patients with IDC, plus-strand enteroviral RNA was detected in 15 of the 47 patients (32%). Minus-strand viral RNA was present in 12 of these 15 plus-strand-

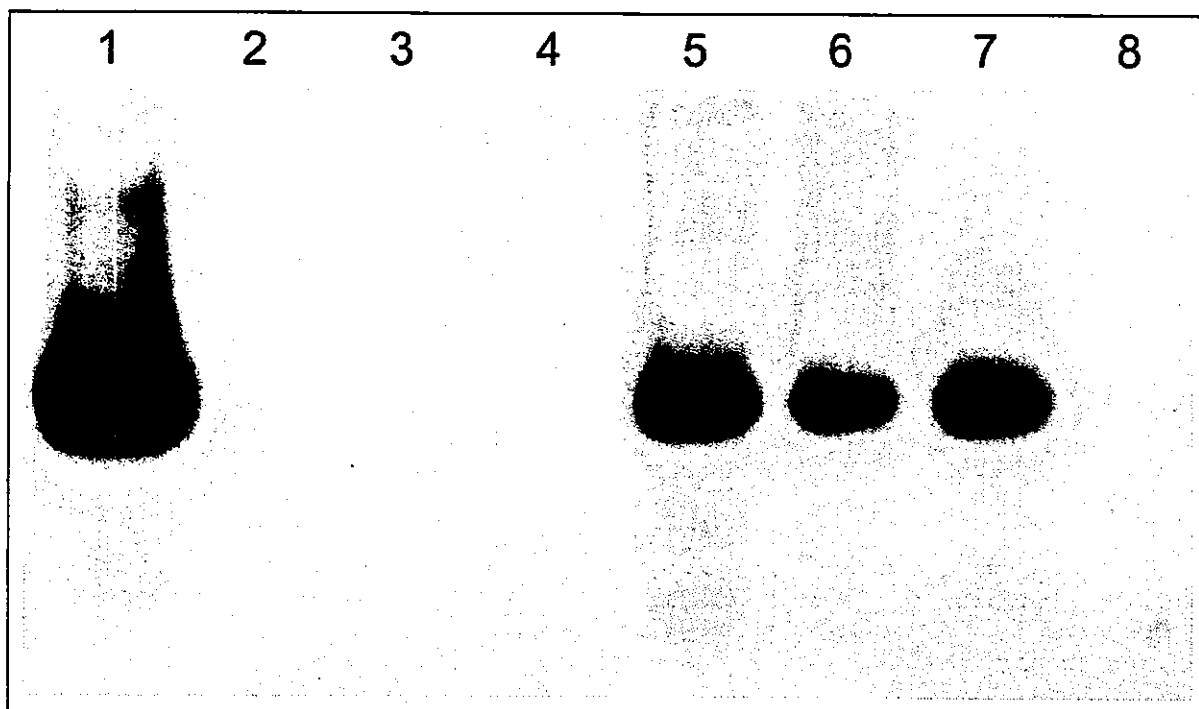


FIGURE 1. Strand-specific enteroviral RNA detection in the myocardium of 3 different patients. Southern blot hybridization analysis of PCR products with enterovirus-specific P^{32} -labeled probe is shown. In lanes 3, 5, and 7, RT-PCR was performed with 3' enteroviral primer for RT. The signals seen in lanes 5 and 7 correspond to enteroviral plus-strand cDNA. In lanes 4, 6, and 8, RT-PCR was carried out with 5' enteroviral primer for RT. The signal seen in lane 6 corresponds to enteroviral minus-strand cDNA. In summary, patient A (lanes 5 and 6) shows active enteroviral replication (minus-strand detectable). Patient B (lanes 7 and 8) shows latent virus persistence (not minus-strand detectable). Patient C (lanes 3 and 4) is negative for enteroviral genome. Lane 1, positive control of Coxsackie B1 cloned DNA. Lane 2, negative control.

positive patients (80%). Nucleotide sequence data were obtained on the 607 base pair PCR products, a part of the VP1 coding region of the enteroviral genome. The sequences from 5 American patients and 7 Japanese patients exhibited significant homology (92.1% to 99.3%) with that of Coxsackie virus B3, strain Nancy. Sequencing of the PCR products from the remaining hearts was incomplete and resembled Coxsackie B viruses. Therefore, active Coxsackie virus RNA replication in the myocardium was present in a significant proportion of virus-positive American and Japanese patients with end-stage IDC. Histology revealed that the presence of severe cell infiltration in 5 of 7 Coxsackie virus positive American patients and in 9 of 15 Coxsackie virus positive Japanese patients. Inflammatory infiltrates appeared to be associated with persistent Coxsackie virus infection. There was no significant difference in Coxsackie viral positivity between American and Japanese patients. Genomic nucleic acid sequences for influenza A and B viruses, mumps virus, adenovirus, parvovirus, herpes simplex 1 and 2 viruses, varicella-zoster virus, and Epstein-Barr virus were not detected in the myocardium of either American or Japanese patients with IDC. No viral genomes were found in the control specimens. All samples had β -actin sequences demonstrated by RT-PCR and PCR, indicating the adequate extraction of RNA and DNA. The PCR results for each virus were negative in all of the negative controls.

DISCUSSION

It has not been possible to consistently identify the underlying mechanisms and to etiologically design specific therapy for patients with IDC. Viral infection and genetic disorders are the best defined and most commonly identified causes of this disease.^{1,2} However, the worldwide prevalence of viral infection in hearts with IDC has not been investigated. We evaluated infection with a variety of viruses in the myocardium of American and Japanese patients with end-stage IDC. A significant detection rate of Coxsackie B viruses in the myocardium emphasizes the importance of these viruses as causative agents in American and Japanese patients with IDC. Therefore, Coxsackie B viruses should be studied to determine the mechanism responsible for the progression from acute myocardial infection to dilated cardiomyopathy. The large incidence of Coxsackie virus RNA would be due to the size of the myocardial specimens examined. Therefore, the use of multiple biopsy samples is considered to be necessary to determine the true frequency of viruses in hearts with IDC.

We also demonstrated that Coxsackie viral RNA replicates actively in a significant proportion of virus-positive American and Japanese patients with end-stage IDC. This finding is important in view of the pathogenesis of this disease and the treatment of patients with this disease, because experiments show that restricted Coxsackie viral RNA replication in the

myocardium can impair cardiac contractile function and lead to dilated cardiomyopathy.¹⁴ Recently, very important data from an interferon treatment of patients with the myocardial persistence of the enteroviral genome proved by biopsies has been reported.²⁷ Clearance of the enteroviral genome was observed in all patients analyzed and are paralleled to a significant improvement in left ventricular contractility and a decrease in left ventricular size. The prognostic significance of myocardial enterovirus infection in patients with IDC has been reported. Enterovirus-positive patients with IDC have an increased mortality compared with enterovirus-negative patients with IDC.¹⁰ Enterovirus persistence in the myocardium influences the prognosis after heart transplantation and PLV.^{11,28} Considering these facts and the significant rate of active Coxsackie viral replication in the hearts of patients with end-stage IDC, there is a therapeutic need to detect Coxsackie viruses in the myocardium. Cardiologists should attempt to detect the Coxsackie viral genome in the myocardium when patients are newly diagnosed with IDC and design specific therapy for virus-positive patients with IDC. An improvement in cardiac function should be expected by eliminating viruses from the myocardium. Although not yet available, effective vaccines for groups at risk may be useful for the prevention of cardiovirulent Coxsackie viral infection.

There was no evidence of persistent infection with other viruses, including adenovirus or parvovirus, in the myocardium of either American or Japanese patients with end-stage IDC. However, patients in the earlier stages of the disease should be examined. The persistence of immune response after clearance of the virus cannot be completely ruled out.

In conclusion, active group B Coxsackie virus RNA replication in the myocardium was demonstrated in a significant percentage of American and Japanese patients with end-stage IDC. There was no evidence of persistent infection with other viruses in hearts with IDC. Specific therapy should be designed for Coxsackie virus positive patients with IDC.

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Development of biologic coronary artery bypass grafting in a rabbit model: Revival of a classic concept with modern biotechnology

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Objective: We have developed a technique for biologic coronary artery bypass grafting, which is a revival of a classic concept with modern biotechnology.

Methods: Acute myocardial infarction was created by ligating the major branch of the circumflex artery in rabbits. Animals were divided into four groups: a nontreated group (group N), a group in which omentum was used to wrap the infarcted area (group G), a group in which a gelatin hydrogel sheet incorporating 100 μ g basic fibroblast growth factor was placed over the infarcted area (group F), and a group in which the infarcted area was similarly treated with basic fibroblast growth factor followed by omental wrapping (group FG). Cardiac function was subsequently assessed by echocardiography. Postmortem angiography through the gastroepiploic artery was done in groups G and FG. Infarct size and arteriolar density were evaluated.

Results: Group FG showed a better fractional area change than did the other groups (group N $P < .001$, group G $P = .002$, group F $P < .001$). Angiography revealed that communication from the gastroepiploic artery to the coronary artery was created through a rich bed of neovascularization in all 7 animals of group FG, whereas poor collaterals were recognized in only 2 of 7 animals in group G. Infarct size was reduced to a greater extent in group FG than in groups F, G, and N ($10\% \pm 3\%$, $16\% \pm 5\%$, $19\% \pm 7\%$, $23\% \pm 2\%$, respectively, group F $P = .04$, groups G and N $P < .01$). The number of arterioles 20 to 100 μ m in diameter was increased to a greater extent in group FG than in groups F, G, and N (23 ± 5 arterioles/mm², 14 ± 3 arterioles/mm², 10 ± 1 arterioles/mm², 4 ± 2 arterioles/mm², respectively), with the differences being significant.

Conclusions: These results show that bypass from the gastroepiploic artery to coronary arteries can be achieved without surgical anastomosis through slow release of basic fibroblast growth factor in this rabbit acute myocardial infarction model. This new revascularization concept, biologic coronary artery bypass grafting, could be applicable for revascularizing many tiny coronary vessels in patients who are difficult to treat with conventional surgery or catheter intervention.

So-called therapeutic angiogenesis was developed as an alternative to conventional treatments, such as percutaneous coronary intervention and coronary artery bypass grafting (CABG)¹ for advanced coronary artery disease. Many experimental studies have demonstrated excellent results.²⁻⁷ Several clinical studies with therapeutic angiogenesis in patients undergoing CABG have shown improvement in myocardial perfusion according to late nuclear perfusion scans.^{8,9}

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Read at the Eighty-third Annual Meeting of The American Association for Thoracic Surgery, Boston, Mass, May 4-7, 2003.

Received for publication May 19, 2003; revisions requested Aug 8, 2003; revisions received Aug 11, 2003; accepted for publication Sept 17, 2003.

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J Thorac Cardiovasc Surg 2004;127:1608-15

0022-5223/\$30.00

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doi:10.1016/j.jtcvs.2003.08.043

If the donor coronary artery from which new vessels sprouted by angiogenetic therapy was too far from the ischemic area, however, or if it was too small, diseased, or stenosed, then blood flow through the neovasculature might not be enough to supply the ischemic territory. The efficacy of current forms of therapeutic angiogenesis may not be sufficient for such types of coronary artery territory.

In 1936, O'Shaughnessy¹⁰ introduced a technique, omentopexy, in which the greater omentum was brought through the left diaphragm and wrapped around the ischemic heart. In 1950, Vineberg and Miller introduced internal thoracic artery implantation¹¹ by modifying the omentopexy technique into a free omental graft operation.¹² Although these classic procedures for treatment of angina pectoris required a long time for graft-coronary communication to mature sufficiently, some experimental studies have demonstrated the connection between omental vessels and the coronary artery by angiography, and some clinical reports have described a good outcome after pedicled or free omental grafting.³⁻¹⁶

We previously reported that use of a gelatin sheet incorporating basic fibroblast growth factor (bFGF) can offset sternal ischemia and accelerate sternal healing after harvesting of bilateral internal thoracic arteries in not only healthy but also diabetic rats.^{17,18} We also reported that prevascularization with gelatin microspheres containing this angiogenic factor can enhance the benefits of cardiomyocyte transplantation.¹⁹ On the basis of these observations, we considered a new strategy for revascularization of severely diseased and tiny coronary arteries: (1) use of a healthy large-bored donor artery, such as the gastroepiploic artery (GEA), located near the ischemic area and (2) stimulation of angiogenesis from this donor artery soon after administration of an angiogenic factor. In other words, the strategy is a revival of the classic method, except that modern biotechnology is used (biologic coronary artery bypass grafting, or Bio-CABG). The purpose of this study was to demonstrate the feasibility of creating vascular communication between tiny coronary arteries and an extracardiac artery without surgical anastomosis by suitable placement of the artery and use of an angiogenic factor.

Material and Methods

Preparation of Gelatin Hydrogel Sheet Incorporating bFGF

Gelatin with an isoelectric point of 4.9 was isolated from bovine bone collagen by an alkaline process with calcium hydroxide (Nitta Gelatin Co, Osaka, Japan). The weight-average molecular weight of the gelatin was 99,000 d when measured by gel filtration chromatography relative to a standard polyethylene glycol sample. Human recombinant bFGF with an isoelectric point of 9.6 was obtained from Kaken Pharmaceutical Co (Tokyo, Japan). Gelatin hydrogel sheets were prepared as described previously elsewhere.^{17,20} The sheets were freeze-dried and then impregnated

with an aqueous solution containing 100 μ g bFGF to obtain gelatin hydrogels with incorporated bFGF. The prepared hydrogel sheets were 5 \times 5 mm square and 0.7 mm thick. All experimental processes were conducted under sterile conditions.

Surgical Procedure

Thirty-five Japanese white rabbits weighing 3.5 to 4.2 kg were used. All animal procedures were conducted in accordance with the "Guide for the Care and Use of Laboratory Animals" (<http://www.nap.edu/catalog/5140.html>). All studies were approved by the Animal Research Committee of Kyoto University School of Medicine.

After intravenous injection of sodium pentobarbital (30 mg/kg), oral intubation was performed, and the animals received mechanical ventilation (model 683; Harvard Apparatus, South Natick, Mass) at a tidal volume of 15 mL and a minute ventilation rate of 80. General anesthesia was maintained with 1.5% to 2.0% isoflurane mixed with oxygen. The heart and omentum were exposed through a median sternotomy and laparotomy. The major branch of the circumflex artery was permanently ligated with 4-0 polypropylene suture to create acute myocardial infarction (MI). Lidocaine (1 mg/kg) was administered intravenously before the completion of this procedure. Careful inspection was maintained for 10 minutes after the ligation. Ischemia was confirmed by blanching downstream of the ligation and by persistent ST elevation on the electrocardiogram. The omentum was taken out from the peritoneal space into the mediastinum, preserving the arch structure of the GEA. The animals were divided into four groups that received different types of adjunct therapy as follows: group N ($n = 7$, control) received no additional treatment after creation of the MI; in group G ($n = 8$) the omentum including the GEA was wrapped around the infarcted area; in group F ($n = 10$) a gelatin hydrogel sheet incorporating 100 μ g bFGF was placed over the epicardium of the infarcted area; and in group FG ($n = 10$) a gelatin hydrogel sheet incorporating 100 μ g bFGF was placed over the epicardium of the infarcted area, followed by omental wrapping (combined therapy). The bFGF-impregnated hydrogel sheets were fixed to the surface of the heart by stitching with 5-0 polypropylene suture. Before the incision was closed with discontinuous tissue layers, residual air was evacuated from the thorax through silicone tubing attached to a 10-mL syringe. All rabbits were awake within 1 hour after the operation and were kept in an air-conditioned room that was cleaned daily. The rabbits were given free access to water and food thereafter. All animal handling and experiments were conducted in a gentle manner to minimize stress and discomfort to the rabbits, in compliance with the "Guidelines for Use of Laboratory Animals at Kyoto University."

Echocardiographic Measurements

A commercially available echocardiograph (model SSH-260A Ultrasound System; Toshiba Medical, Inc, Tokyo, Japan) including a 7.5-MHz pediatric transducer was used for all studies. Echocardiographic studies were performed before the operation and at 2 and 4 weeks of follow-up. All studies were done with the animals under light anesthesia with intravenous sodium pentobarbital injection under spontaneous respiration. Global ventricular function was estimated by calculating the fractional area change (FAC) of the left ventricle (LV). Two-dimensional M-mode midventricular

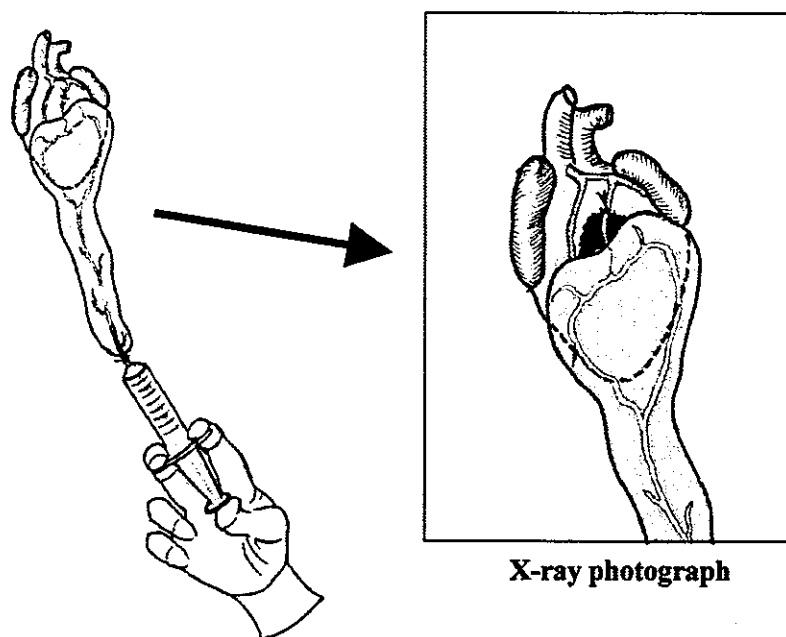


Figure 1. Schema of postmortem angiography. Contrast medium is injected into GEA through small cannula manually inserted through celiac artery. X-ray photograph is taken as in illustration (right).

short-axis images were obtained through a right parasternal approach to determine the FAC by tracing frame by frame throughout the entire cardiac cycle. For each measurement, three consecutive cardiac cycles were averaged. All analyses were done by another observer (K.D.), who was blinded to treatment groups.

Postmortem Angiography

Communication between the coronary arteries and branches of the GEA in the omentum was evaluated by postmortem angiography at 4 weeks after the operation in groups G and FG. After intubation, relaparotomy was performed, taking care to avoid injuring the omentum. A 24-mm venous catheter was inserted through the celiac artery, which forms the root of the GEA, and fixed. The chest was reopened to expose the heart wrapped with the omentum. The rabbits were killed with an overdose of pentobarbital, and then the heart and omentum were removed en bloc. A 20-mL portion of heparinized physiologic sodium solution was flushed through the venous catheter into the GEA.

Angiography was performed with an x-ray angiography system (DFW-10B; Toshiba Medical). Angiograms were taken four times every 3 seconds after the start of manual injection of nonionic contrast medium for later analysis (Figure 1). A 3-mL portion of contrast medium was injected by hand for 5 seconds in all cases. Quantitative angiographic analysis of collateral vessel development was performed with a grid overlay composed of 2.5-mm diameter circles arranged at random in the LV distant from the GEA itself. The total number of grid intersections in the LV area, as well as the total number of intersections crossed by a contrast-opacified artery, were counted in a single-blind manner. An angiographic score was calculated for each film as the ratio of grid intersections crossed by opacified arteries divided by the total number of grid intersections in the LV area.

Histologic Analysis of MI Area and Arteriolar Density

After the angiography, the heart was cut into 2-mm thick slices from apex to base in groups G and FG. In groups N and F, the same procedure was performed after the animals had been killed. The slices were immersed in buffered triphenyltetrazolium chloride (TTC) solution and then photographed, and infarct size was calculated with image analysis software (NIH Image 1.61; National Institutes of Health, Bethesda, Md). Each image was analyzed five times and averaged. Infarct size was defined as the percentage of the infarction area within the total area of the LV in each histologic section. As dimensional analysis of the infarction area, three equally spaced measurements of infarct wall from same slices were performed to determine average wall thickness. At the same time, endocardial circumferential length of LV and the scar were measured. For both infarct size and dimensional measurements, the observer was blinded to treatment group. The midcavity area, not used for TTC immersion, was fixed in 4% paraformaldehyde, embedded in paraffin, and cut into sections 5 μ m thick. The sections were stained with Masson trichrome to evaluate collagen deposition in comparison with TTC staining.

Other midcavity sections fixed in 4% paraformaldehyde were stained with a monoclonal antibody against α -smooth muscle actin (Sigma, St Louis, Mo), followed by incubation with anti-mouse immunoglobulin G (whole molecule). Tetramethylrhodamine isothiocyanate-conjugated secondary antibody (Sigma) was used to detect α -smooth muscle cells of arterioles. The sections were counterstained with hematoxylin, eosin, or both. The numbers of arterioles were counted at the infarction border zone under fluorescence microscopy ($\times 100$) to determine the arteriolar density. Five fields from one section were randomly selected for the arteriole counts. An arteriole was defined as a vessel with a diameter of 20 to 100 μ m. Quantification was performed in a

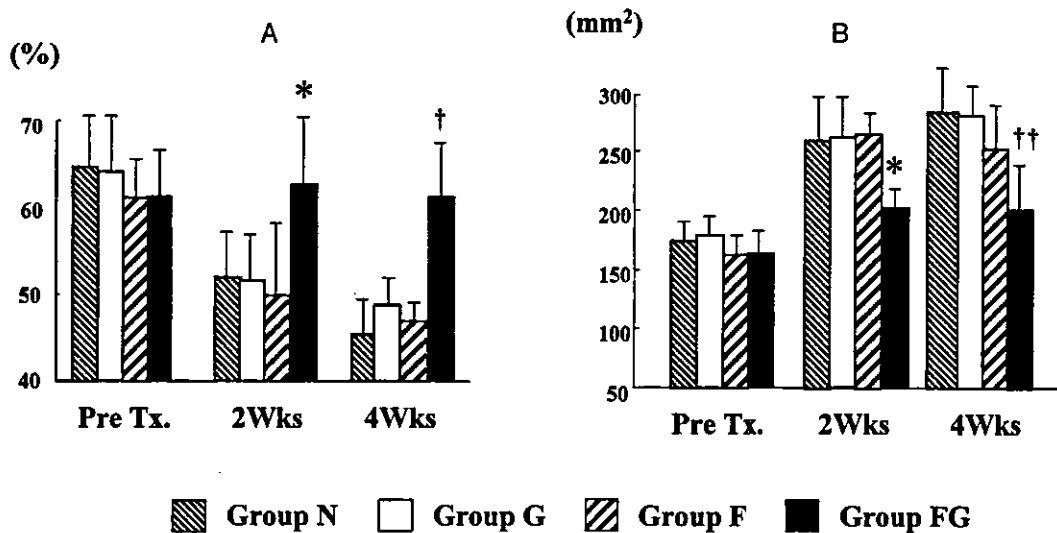


Figure 2. FAC (A) and LVEDA (B) assessed by echocardiography before operation and at 2 and 4 weeks' follow-up. Pre Tx, Before treatment. Asterisk indicates $P < .05$ versus groups N, G, and F; dagger indicates $P < .001$ versus groups N, G, and F; two daggers indicate $P < .01$ versus groups N, G, and F.

blinded manner with a minimum of three sections for each animal. All animals were used for the analysis.

Statistical Analysis

Results are expressed as mean \pm SD. Comparisons of echocardiography data among the groups were performed by 2-way analysis of variance (ANOVA). Comparisons of other data among the groups were conducted by 1-way factorial ANOVA. If significance was found for a group, a time effect, or a group-by-time interaction, differences between groups at the same point in time were assessed with 1-way ANOVA followed by post hoc comparisons, when appropriate, with the Student-Newman-Keuls method. Statistical analyses were performed with Statview for Windows version 5.0 (SAS Institute Inc, Cary, NC).

Results

Global Cardiac Function Assessed by Echocardiography

Figure 2 shows the changes in FAC and LV end-diastolic area (LVEDA). None of the FAC and LVEDA values in any of the groups were different before the operation. Two-way ANOVA for FAC showed strong group and time effects and a high group-by-time interaction (respectively $F = 9.4$, $P < .0001$, $F = 20.0$, $P < .0001$, and $F = 4.1$, $P = .0014$).

At 2 weeks after the procedure, FAC values in group FG were significantly higher than in groups N, G, and F ($P = .03$, $P = .04$, and $P = .02$, respectively). At 4 weeks, group FG showed higher FAC values than did the other three groups (group FG 65 ± 7 , group N 46 ± 1 , $P = .0004$, group G 51 ± 7 ; $P = .002$, and group F 46 ± 4 , $P = .0005$).

The changes in LVEDA values, which indicate the loading conditions of LV, showed a pattern similar to that of FAC. At 4 weeks, LVEDA was significantly lower in group

FG than in groups N and G. No difference reached significance, but LVEDA in group FG had a tendency to be low compared with group F (group FG 198 ± 45 mm², group N 280 ± 50 mm²; $P < .001$, group G 275 ± 35 mm², $P = .003$, and group F 250 ± 52 mm², $P = .06$).

Communication Between Coronary Arteries and the GEA in Terms of Angiographic Assessment and Angiographic Score

Angiography was performed in 7 animals in each of groups FG and G. Communication from the GEA was created to the coronary artery through rich collaterals in all 7 animals of group FG. On the other hand, the circumflex artery was identified through poor collaterals in 2 of 7 animals of group G. Representative angiograms recorded from groups FG and G are shown in Figure 3, A. The angiographic score in group FG (0.82 ± 0.13) was significantly ($P < .001$) higher than that in group G (0.1 ± 0.11 ; Figure 3, B).

Infarct Size and Dimensional Analysis of MI

MI was recognized at the anterolateral wall of the LV, posterolateral wall of the LV, or both in all groups, although there was difference in the infarct size. The infarct ratios determined by analysis of TTC staining in groups N, G, and F were $23\% \pm 2\%$, $19 \pm 7\%$, and $16\% \pm 5\%$, respectively, which were significantly higher than that in group FG ($10\% \pm 3\%$) ($P < .001$, $P = .001$, and $P = .04$ for each of the groups; Figure 4). There was no difference between groups G and F. MI size was reduced to a greater extent in group F than in group N ($P = .03$). Although there was no significant difference in average wall thickness in all

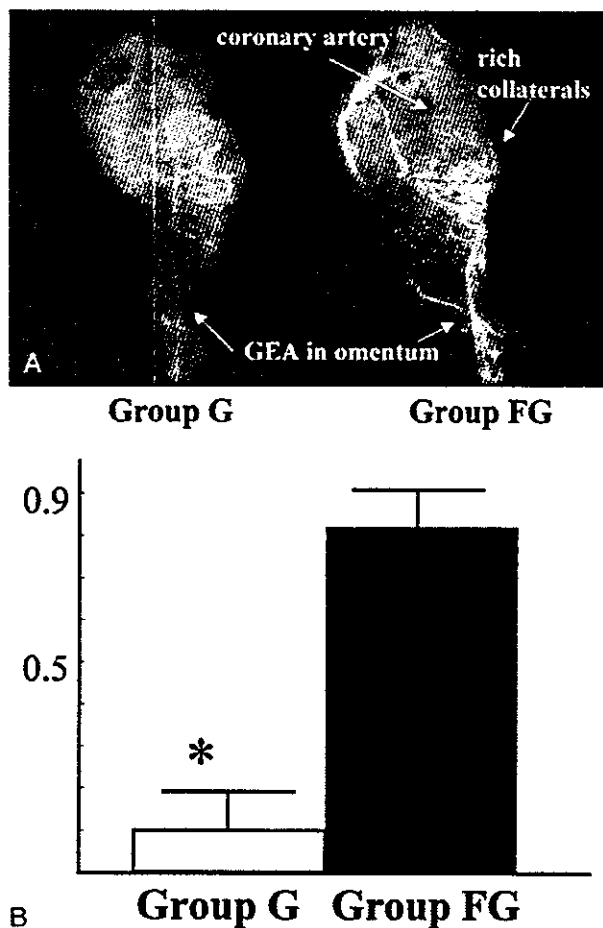


Figure 3. A, Representative postmortem angiogram in groups with omental wrapping (group G) and omental wrapping with bFGF (group FG). *Left*, in group G, GEA in omentum is opacified, but no communication to coronary arteries is identified. *Right*, in group FG, numerous collaterals are created between GEA and occluded coronary arteries. B, Angiographic score calculated by postmortem angiographic analysis in groups with omental wrapping (group G) and omental wrapping with bFGF (group FG). Asterisk indicates $P < .001$ versus group FG.

groups, circumferential lengths of LV and the scar were lower in group FG than the other three groups (Table 1).

Immunohistochemical Analysis of New Vessel Formation

Immunohistochemical staining for anti- α -smooth muscle actin revealed that the number of arterioles was increased in the following order: group FG (23 ± 5) more than group F (14 ± 3) more than group G (10 ± 1) more than group N (4 ± 2), with each of the differences being significant (Figure 5, A). Figure 5, B, shows representative photomicrographs (original magnification $\times 100$) for groups G and FG.

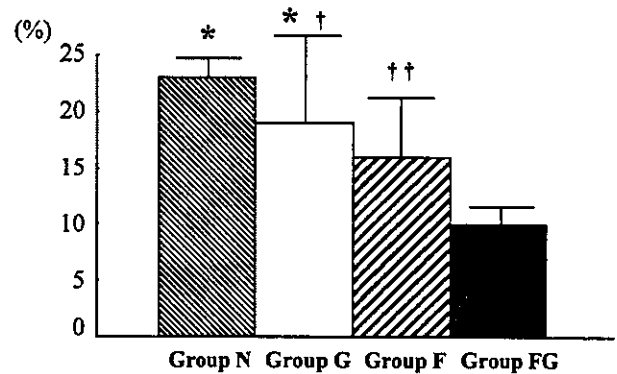


Figure 4. Infarction ratios (% of whole LV) determined by analysis of TTC staining. Asterisk indicates $P < .01$ versus group FG; dagger indicates $P < .05$ versus group F; two daggers indicate $P < .05$ versus group FG.

TABLE 1. Dimensional analysis of the scar

	LV circumferential length (mm)	Circumferential length of scar (mm)	Infarct wall thickness (mm)
Group N	61 ± 8	27 ± 4	1.9 ± 0.4
Group G	58 ± 5	28 ± 5	2.1 ± 0.7
Group F	$56 \pm 8^*$	24 ± 4	2.0 ± 0.2
Group FG	$48 \pm 3†$	$16 \pm 2‡$	2.5 ± 0.4

* $P < .005$ versus group FG.

† $P < .001$ versus groups N and F.

‡ $P < .001$ versus groups N, G, and F.

Discussion

Multiple experimental and clinical studies have obtained promising results with therapeutic angiogenesis as an alternative to conventional percutaneous coronary intervention or CABG for coronary artery disease that otherwise would have no treatment options.²⁻⁹ If, however, the donor coronary artery was too far from the target area, too tiny, or diseased or stenosed, conventional therapeutic angiogenesis might not be sufficiently efficacious. Therefore we have proposed a revival of the classic revascularization technique of omentopexy by adding a modern biotechnologic tool, slow release of an angiogenic factor, as an optional strategy for therapeutic angiogenesis.

In this study, the combined therapy involving omentopexy and bFGF administration (group FG) preserved cardiac function better than was seen in the other groups, not only by reducing MI size but also by increasing the number of arterioles. Although myocardial blood flow and hemodynamics were not measured, objective data including postmortem angiography suggested that numerous functional neovessels were connected to the coronary artery from the donor artery. However, the true mechanism of this efficacy was not clear. The pathogenesis of MI, which can be acutely completed within 24 hours, could not be altered by angio-

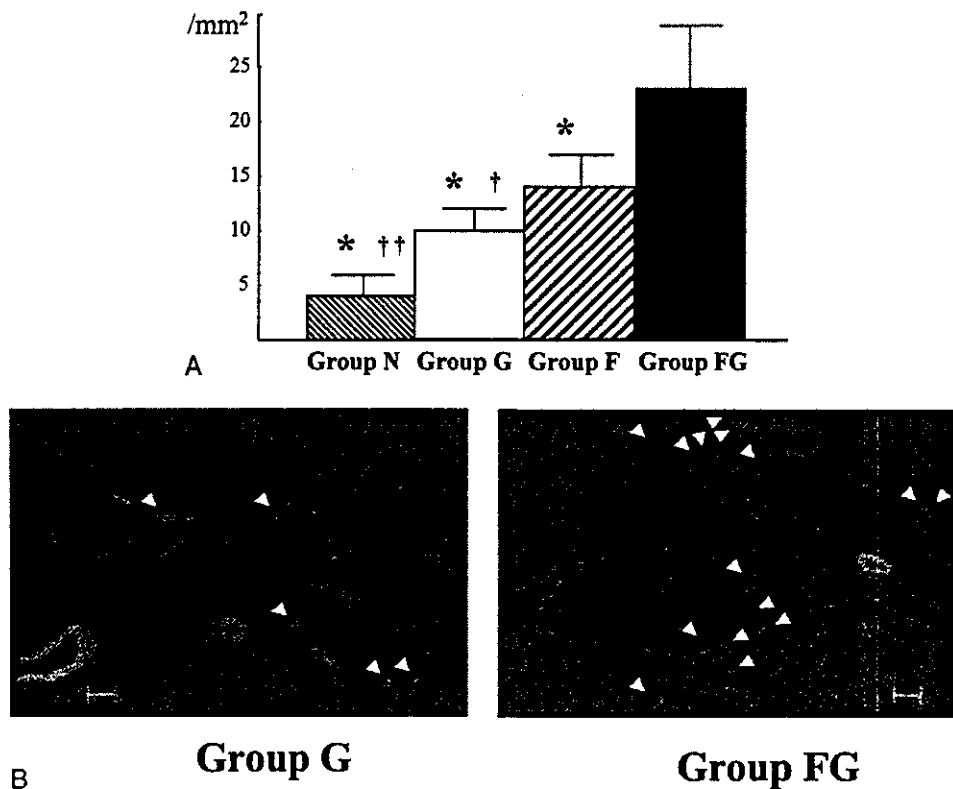


Figure 5. A, Number of arterioles counted by immunohistochemical staining. Arteriole was defined as vessel with diameter of 20 to 100 μm . Asterisk indicates $P < .001$ versus group FG; two daggers indicate $P < .01$ versus group G; dagger indicates $P < .05$ versus group F. B, Representative immunohistochemical staining photos (original magnification $\times 100$) in groups with omental wrapping (group G) and omental wrapping with bFGF (group FG). Arterioles in border zone of MI are stained by anti- α -smooth muscle actin. White arrows indicate arterioles. Blue bar at lower right is scale of 100 μm .

genesis. It is possible that the Bio-CABG altered the remodeling process of scar tissue clearance in this study. Preservation of better cardiac function and reduction in MI size may be related to differences in the size of the ventricle caused by altering the remodeling process. The results of dimensional analysis of scar and difference of loading condition were consistent with this presumption.

Omentum including the GEA has classically been used for surgical treatment of not only ischemic heart disease but also complex cardiothoracic problems^{21,22} because the omentum possesses a rich blood and lymph supply and has the additional benefit of producing an angiogenic factor, vascular endothelial growth factor.²³ In addition to this unique functional character, an omental flap has the advantage of being able to reach and wrap a target organ entirely because of its intrinsic structure. The GEA has become firmly established as an in situ graft conduit with low morbidity, including abdominal complications.²⁴ The weak point of omentopexy for myocardial revascularization is its slow and insufficient angiogenesis, similar to that of the

Vineberg operation. In this study, we added a bFGF-containing gelatin sheet to the omental wrapping, and this was found to accelerate angiogenesis effectively relative to omentopexy alone.

Previous studies have shown that gene encoding, virus mediation, and direct injection into the coronary artery or intrapericardially are useful mechanisms for delivering an angiogenic factor to its target.²⁻⁷ The method used for delivering angiogenic protein in this study was technically simpler and more reliable, and, because it did not involve genes or viruses, it would also be less likely to have adverse effects on a patient. We have reported that prevascularization with bFGF-containing microspheres enhanced the benefits of cardiomyocyte transplantation without increasing the serum level of bFGF (no systemic effects).¹⁹ Although the technique of delivering bFGF in this study, gelatin sheets attached to the epicardium, differed from injection of beads into the myocardium, the sheets were made of the same material as the beads, and the rate of bFGF release was almost the same. We therefore consider this delivery

method with gelatin hydrogel to be potentially excellent and widely applicable to other growth factors.

In a clinical setting, Bio-CABG will probably be applicable for treatment of diffuse coronary artery disease that cannot be treated by percutaneous coronary intervention or conventional CABG. This type of coronary artery disease is often recognized in patients receiving hemodialysis or those with severe diabetes mellitus. Even in the era of drug-eluting stents, tiny coronary arteries remain a difficult target for interventional catheters. Therefore Bio-CABG has the potential to be a promising new avenue for so-called no option patients with severe coronary disease.

This study was limited as a means of assessing therapeutic angiogenesis in the sense that the acute MI model differs from the clinical situation. Furthermore, myocardial blood flow and hemodynamic effects were not assessed. Angiography and histologic examination do not establish evidence of increased perfusion. Therefore in a future study we are planning to try to estimate myocardial blood flow and the practical efficacy of Bio-CABG directly with the chronic ischemia model in a large animal. Another limitation is that the method for delivering bFGF in this study may not be as good as our previous technique of implanting biodegradable capsules or other techniques,^{8,9} because the attachment of the gelatin sheet to the epicardium may not provide sufficient angiogenic stimuli to the mid or endocardial region of the LV. The omental wrapping may not provide long-term perfusion of the myocardium, and short-term benefits would be anticipated with this procedure. A small MI area was produced in this study even in the control animals. This finding may be related to the use of TTC staining for a short time, which may reduce the accuracy of infarct identification.

In conclusion, we have demonstrated that Bio-CABG can be used to create marked communication between tiny coronary arteries and an extracardiac artery by administration of slow-release bFGF, without surgical anastomosis. This new concept, in which modern biotechnology has been used to revive a classic operation, has the potential to offer revascularization for patients with coronary artery disease who have no other treatment options.

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Discussion

Dr Hiroyuki Tsukui (*Pittsburgh, Pa*). I have two questions. First, how long does the bFGF effect last? Second, what is an appropriate volume? Do you use 100 μg ?

Dr Ueyama. We use the administration method of bFGF by gelatin hydrogel sheet. We used that dose of, as you say, 100 μg bFGF only in this study. But in another study we have already reported that a 100- μg dose of bFGF was enough to inducing angiogenesis for another target organ.

Dr Tsukui. So do you mean that a flow rate of 100 μg is appropriate? Or if you used it 12 or more weeks at 200 μg or another dose, would that be better for revascularization?

Dr Ueyama. I don't have an exact answer to your question now, but I'd guess that one would not need to use more than 100 for FGF for better effect.

Dr Andrew S. Wechsler (*Philadelphia, Pa*). Did you happen to look and see how deep in the myocardium the new blood vessels

went? Did they reach the subendocardial surface or the mid myocardium, or was it primarily an epicardial blush?

Dr Ueyama. I think the results of angiography suggested that the new vessel from the GEA passed through the epicardium and myocardium and bypassed to the coronary artery.

Dr Virna Sales (*Boston, Mass*). Would you be able to see a prospective microencapsulating this bFGF with a hydrogel and have that kind of delivery?

Dr Ueyama. Yes, we did. The material is the same that we used in this study.

Dr Sales. But would that be possible to microencapsulate this?

Dr Ueyama. In another study we used microspheres including bFGF injected into myocardium. Many angiogenesis occurred in the myocardium between each coronary artery but not from an extracardiac donor artery.

Dr Sales. What is the degradation time of this hydrogel? You said it was biodegradable.

Dr Ueyama. It takes about 7 to 10 days for complete degradation.

Gene Expression Profiling and Identification of Novel Prognostic Marker Genes in Neuroblastoma

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To investigate the various genetic characteristics of and differences between early- and advanced-stage neuroblastoma (NB) and to identify candidate genes involved in NB progression, we performed DNA microarray analysis on 20 primary tumors. Two-way clustering analysis based on the expression pattern of approximately 500 of 1,700 genes revealed genetic subgroups in these NB tumors. Although 9 of the 13 early-stage tumors (69%) and 4 of the 6 advanced-stage tumors (67%) were classified as being in the same cluster, the remaining tumors showed different expression profiles. This indicates that both the early- and advanced-stage tumors were heterogeneous. Based on the microarray data, we identified the *BIRC3*, *CDKN2D*, and *SMARCD3* genes as those that are predominantly expressed in either the early or the advanced stage of NB. These genes have been reported to be associated with apoptosis, cell cycles, and the transcriptional activator, respectively. To better assess the prognostic value of the expression of these genes in NB, real-time polymerase chain reaction was carried out on 50 primary tumors. The expression of both the *BIRC3* and *CDKN2D* genes was significantly higher in the early-stage group than in the advanced-stage group ($P = 0.002$ and 0.003 , respectively), whereas the expression of the *SMARCD3* gene was significantly reduced in the early-stage group ($P = 0.02$). Therefore, the *BIRC3*, *CDKN2D*, and *SMARCD3* genes are possible candidates for being novel prognostic markers for NB. © 2004 Wiley-Liss, Inc.

INTRODUCTION

Chromosomal abnormalities including the gain and loss of chromosomal segments frequently occur in tumor cells (Knudson, 2001). These changes may result in alterations in the expression level of numerous genes. It is therefore of considerable interest to assess genomewide gene expression patterns to determine candidate oncogenes and tumor-suppressor genes for human cancers.

Neuroblastoma (NB) is a common childhood malignant solid tumor arising from primitive neural-crest cells, and causes 15% of cancer-related deaths in children (Brodeur et al., 1997). Patients with early-stage NB, particularly those detected by a mass screening program, are known to have a good prognosis, and the tumors of these patients possess the ability to differentiate and/or regress spontaneously (Yamamoto et al., 1998). In contrast, patients with advanced-stage NB still have a poor prognosis despite recent developments in treatment (Brodeur et al., 1997). This suggests the presence of genetic mechanisms that are quite different between early- and advanced-stage NB.

MYCN oncogene amplification and high levels of both *TRKA* and *RASH* expression have been known to be reliable prognostic markers for NB (Brodeur et al., 1984; Nakagawara et al., 1993; Tanaka et al., 1998). Recently, it also was reported that high-level expression of *RASH* in NB cells is associated with nonapoptotic programmed cell death (Kitanaka et al., 2002). However, because *MYCN* amplification occurs in approximately half the cases of advanced NB, it has been suggested that *MYCN*-independent pathways exist in NB pro-

Supported by: Ministry of Health and Welfare of Japan grant-in-aid for Cancer Research; Ministry of Education, Culture, Sports, Science and Technology, Japan, grants-in-aid for Scientific Research on Priority Areas and for Scientific Research (B) and (C).

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Received 29 July 2003; Accepted 28 January 2004

DOI 10.1002/gcc.20021

Published online 26 March 2004 in

Wiley InterScience (www.interscience.wiley.com).

gression (Brodeur et al., 1997; Schwab, 1997). *TRKA* and *RASH* expression are inversely correlated with *MYCN* amplification, indicating that these genes are not prognostic factors independent of *MYCN* amplification (Nakagawara et al., 1993; Tanaka et al., 1998). Previously, we reported that chromosome arms 2q, 9p, and 18q, in addition to 1p, 11q, and 14q, were frequently deleted in NB (Takita et al., 1995, 1997, 2000). Furthermore, we reported that the *caspace 8* gene, on chromosome band 2q33, the *CDKN2A (p16)* gene, on chromosome band 9p21, and the *DCC* gene, on chromosome band 18q21, might be candidate tumor-suppressor genes that are inactivated in NB (Takita et al., 1995, 1997, 1998, 2001; Kong et al., 1997; Teitz et al., 2000). However, alterations of these genes had been detected in only a limited fraction of NBs (Kong et al., 1997; Takita et al., 1997, 1998, 2001). More recently, using polymerase chain reaction (PCR), we and other groups found a ~480-kb homozygous deletion on chromosome segment 1p36.2 in an NB cell line, which, according to high-density STS-content map spanning, is approximately 35 Mb on 1p35–36 (Ohira et al., 2000; Chen et al., 2001), and *KIF1B- α* , *KIF1B- β* , and *DDF45* genes were identified within the deleted region (Yang et al., 2001a, 2001b; Chen et al., 2003). Although we analyzed these genes in NB, we found no evidence that either gene was a candidate tumor-suppressor gene of NB (Yang et al., 2001a, 2001b). Thus, the genetic differences between favorable and unfavorable NB and the molecular genetic events involved in the genesis and progression of NB are not fully understood.

Recently, doing large-scale expression profiling has become possible with the development of array technologies (Ishii et al., 2000; Gershon, 2002). Gene expression on a large scale now can be measured at one time, and comparisons among different tumor characteristics are expected to provide better insights into the development and/or progression of tumors (Ishii et al., 2000; Gershon, 2002). To investigate further the patterns of differential gene expression in early- and advanced-stage NB and to identify the target genes for NB progression, we carried out DNA microarray analysis on primary NB tumors by using an Affymetrix Human Cancer G110 array (Santa Clara, CA) representing approximately 1,700 cancer-associated genes. To assess further the expression of several genes showing differential expression patterns in early- and advanced-stage NB, we also performed quantitative real-time PCR analysis on 50 primary NB tumors.

MATERIALS AND METHODS

Tumor Samples

Fifty tumor specimens were randomly obtained at surgery or biopsy from NB patients who had been admitted to the Kanagawa Children's Medical Center and the Saitama Children's Medical Center between November 1992 and May 1999. Informed consent was obtained from both parents of each patient. Of the 50 tumors, 20 were used in microarray analysis, mainly because of the high quality of the RNA obtained from the tumor samples. The clinicopathologic findings of these 20 cases are listed in Table 1. The patients were staged according to the classification of the International Neuroblastoma Staging System (Smith et al., 1989). Of the 50 cases, 14 were classified as stage 1, 6 as stage 2, 13 as stage 3, 14 as stage 4, and 3 as stage 4S. Twenty-eight patients (56%) were infants under 1 year old at diagnosis, and 22 patients (44%) were more than 1 year old. Twenty-three (46%) of the 50 cases were found in a mass screening program (Yamamoto et al., 1998). *MYCN* amplification was detected in 4 (8%) of the 50 cases. All patients were followed up for at least 19 months and for up to 52 months, with a median duration of follow-up of 38 months. Five (10%) of the 50 patients died, and of the 20 cases examined by DNA array analysis, 3 (15%) died. Patients with NB at stage 1, 2, or 4S were treated with either surgery alone or surgery plus chemotherapy that mainly consisted of vincristine and cyclophosphamide without radiotherapy. Patients with stage-3 or -4 NB were treated with multidrug chemotherapy consisting of cyclophosphamide, doxorubicin, cisplatin, and etoposide with or without surgery, radiotherapy, and hematopoietic stem-cell transplantation (Kaneko et al., 1999).

Tumor Cell Lines

We used 27 NB cell lines: NB-1, NB-9, NB-16, NB-19, NB-69, LAN-1, LAN-2, LAN-5, SCMC-N2, SCMC-N3, SCMC-N4, SCMC-N5, SJNB-1, SJNB-2, SJNB-4, SJNB-5, SJNB-7, SJNB-8, TGW, IMR-32, GOTO, CHP-134, NH-12, NBL, SK-N-SH, NBTU1, and SCCH-26. Four of them (SCMC-N2, SCMC-N3, SCMC-N4, and SCMC-N5) were established in our laboratory (Kong et al., 1997; Yang et al., 2000). Six SJNB cell lines (SJNB-1, SJNB-2, SJNB-4, SJNB-5, SJNB-7, SJNB-8) and NBTU1 (Inoue et al., 1997) were generous gifts from Dr. A. T. Look and Dr. A. Inoue, respectively. The other cell lines were obtained from the Japanese Cancer Resource Cell

TABLE I. Clinical Data of Cases with Neuroblastoma Used in the Microarray Analysis

Case No.	Age	Stage	Diagnosis	Histology	MYCN Amplification	Survival
1	7m	I	MS	NBL poorly dif.	-	3y +
2	6m	I	MS	NBL dif.	-	5y +
3	2y3m	I	C	GN	-	1y2m +
4	1y	I	C	NBL poorly dif.	-	1y10m +
5	11m	I	MS	NBL poorly dif.	-	3y8m +
6	1y	I	MS	NBL dif.	-	4y5m +
7	1y6m	I	C	GNB intermixed.	-	2y +
8	10m	I	C	GNB intermixed.	-	2y +
9	7m	I	MS	NBL dif.	-	9y8m +
10	3m	2	C	GNB intermixed.	-	4y3m +
11	6m	2	MS	NBL dif.	-	3y2m +
12	1y	2	MS	NBL poorly dif.	-	6y4m +
13	2y	2	C	GNB intermixed.	-	4y5m +
14	7m	4	MS	NBL poorly dif.	-	1y
15	4y	4	C	NBL dif.	+	1y2m +
16	2y	4	C	NBL poorly dif.	+	1y6m
17	1y	4	C	NBL poorly dif.	+	10m
18	7y	4	C	NBL poorly dif.	-	2y8m +
19	8y	4	C	NBL poorly dif.	-	1y10m +
20	0m	4s	C	NBL poorly dif.	-	4y3m +

MS, mass screening; C, clinical; NBL poorly dif., neuroblastoma of poorly differentiated type; NBL dif., differentiating-type neuroblastoma; GNB intermixed, ganglioneuroblastoma of intermixed type; GN, ganglioneuroma.

Bank (<http://cellbank.nihs.go.jp/>). All cells were maintained in RPMI 1640 (GIBCO RLB, Tokyo, Japan) medium supplemented with 10% fetal bovine serum in a humidified atmosphere containing 5% CO₂ at 37°C.

Total RNA Preparation and DNA Microarray Analysis

Total RNA was extracted from frozen tumors using Isogen reagent (Nippon Gene, Osaka, Japan) according to the manufacturer's instructions. Approximately 10 µg of total RNA from each sample was used for synthesis of biotin-labeled cRNA and hybridized to the microarray (GeneChip Human Cancer G110 array; Affymetrix, Santa Clara, CA) as described previously (Mukasa et al., 2002; Wai et al., 2002). The Human Cancer G110 array represents approximately 1,700 cancer-related genes. After washing, the arrays were stained with streptavidin-phycoerythrin (Molecular Probes, Inc., Eugene, OR), and primary data were collected by scanning with a Hewlett-Packard scanner. We used GeneChip version 3.3 software (Affymetrix, Santa Clara, CA) to calculate the average difference for each gene probe on the array, which was shown as an intensity value of the gene expression, defined by using the Affymetrix algorithm. The average difference has been shown to be a quantitative reflection of the abundance of a particular mRNA

molecule in a population (Mukasa et al., 2002). To allow comparison among multiple arrays, the average differences were normalized for each array by assigning the average of the overall average difference values to 100.

Semiquantitative RT-PCR

cDNA was synthesized with oligo(dT) primer in a 20-µl reaction volume from 5 µg of total RNA by use of the SuperScript Preamplification System for first-strand cDNA synthesis (Life Technologies, Inc., Rockville, MD). Semiquantitative RT-PCR analysis of the 6 genes—*TPM4* (also called *TRKA*), *CDKN2D* (also called *p19INK4D*), *BIRC3* (also called *API2*), *DPI*, *SMARCD3* (also called *BAF60c*), *NME1* (also called *NM23-H1*), and *MYCN*—was performed on the 20 samples used for the microarray analysis. These genes were selected because of their typical expression pattern in early- and advanced-stage tumors. PCR was then performed with 0.5 µl of cDNA for 1 cycle of 95°C for 2 min, followed by 20–30 cycles of 95°C for 20 sec, 55°C for 30 sec, and 72°C for 3 min (Mukasa et al., 2002). The concentration of the cDNA was equalized by using the expression of the glyceraldehyde-3 phosphate dehydrogenase (*GAPDH*) gene as a control. PCR products were separated by electrophoresis on 1.5% agarose gels and were visualized with ethidium bromide staining. The number of PCR

TABLE 2. Primer Sequences Used in Real-Time PCR Analysis of Neuroblastoma

Genes	Sense primer sequence (5'-3')	Antisense primer sequence (5'-3')	Annealing temperature (°C)
<i>BIRC2</i>	CTGTGAACTCTACAGAATCTCT	AGTAAGAACCACTGAACAAGC	60
<i>BIRC3</i>	TGATCCATGGGTAGACATGC	TATTAAGCCCATTTCCAAGGCA	58
<i>CDKN2D</i>	ATGCTGCTGGAGGAGGTTCCG	CGGTGCTGCCAAACATCATGAC	58
<i>SMARCD1</i>	GTGATCATCCAAGCACTGTG	TGTGGGAGAGGCCCTATGTAT	60
<i>SMARCD2</i>	GTCATTGAGCTGGACAAGGAG	GTAACGGTTGCAGTTGATGTA	58
<i>SMARCD3</i>	ACACTTTAAACCTGCGAAG	TCTTCACATACTGCCACAGG	60

cycles was optimized to ensure that the product intensity was within the linear phase of amplification. For each primer set, the amplification was sequenced after subcloning into the pGEM-T easy vector (Promega, Madison, WI) to confirm that the correct target gene was amplified (Mukasa et al., 2002). The signal intensity of the 6-gene expression by RT-PCR was calculated with a scanning densitometer and data analysis system (Discovery Series; Quantity One, PDI, New York, NY).

Quantitative Real-Time RT-PCR

Quantitative real-time RT-PCR analysis was performed on 50 samples with the iCycler Iq™ real-time PCR detection system (Bio-Rad Laboratories, Hercules, CA) using the SYBR® Green I dye method for doing real-time PCR (Perkin Elmer/Applied Biosystems Division, Foster City, CA). To compare the relative levels of gene expression in the NB samples, we used cDNA from a normal adult adrenal gland (Clontech, Tokyo, Japan). The real-time PCR reactions were performed in 50- μ l volumes that included 5 μ l of SYBR green buffer, 1 μ l of cDNA template, and 2.5 μ l of each primer (Nakao et al., 2000). The primers used in the real-time PCR analysis are listed in Table 2. The optional thermal-cycling condition was as follows: 40 cycles of a two-step PCR (95°C for 15 sec, 58°C or 60°C for 60 sec) after the initial denaturation (95°C for 10 min). To quantify the expression level of each gene in the tumors and in the normal samples, we generated standard curves using the known copy numbers (10 to 1×10^6) of each gene and β -actin transcripts, and the gene expression levels in each cDNA sample were normalized to the internal β -actin levels. The experiments were carried out twice for each data point.

Statistical Analyses

To select the genes that were differentially expressed in the early- and advanced-stage groups, we adopted the prediction value system described

previously (Yeoh et al., 2002). The signal-to-noise value (S/N value) was calculated by the equation $S/N \text{ value} = [\mu_1(g) - \mu_2(g)]/[\sigma_1(g) + \sigma_2(g)]$, where $[\mu_1(g), \sigma_1(g)]$ and $[\mu_2(g), \sigma_2(g)]$ denote the means and standard deviations of the log of the expression levels of gene g for the samples in groups 1 and 2, respectively. Large S/N values indicate a strong correlation between gene expression and group membership. Genes were sorted by S/N value in order to select the genes exhibiting differential expression. Principal-components analysis (PCA) was done as previously described in order to survey the overview of the distribution of the samples (Yeoh et al., 2002). The significance of the differences in the biological and clinical features of the disease within a patient group was examined using Welch's test.

RESULTS

Two-way Clustering Analysis in NB

Thirteen early-stage, 6 advanced-stage, and 1 stage-4S patient with NB were analyzed by microarray and expression data for approximately 1,700 genes. With the expression data for 496 expressed genes that passed prefiltering, two-way clustering analysis ordered according to Pearson's correlation coefficients was performed. This analysis separated the 20 samples into subsets (Fig. 1). Nine of the 13 early-stage tumors (69%) were classified into a similar expression profiling group (group 1), and 4 of 6 advanced-stage tumors (67%) were classified into another expression profiling group (group 2) in this clustering analysis. The one stage-4S tumor showed an expression pattern similar to that of group 2 tumors (Fig. 1). Classified as group 1 were 8 of 11 patients (73%) over 1 year of age and 7 of 11 patients (64%) detected by a mass screening program. In group 2 were the 3 patients who died from the sample of 20 patients, as well as 3 of 4 patients (75%) with *MYCN* amplification;

TABLE 3. Genes Showing Higher Expression in Early-Stage Tumors

No.	Accession number	Symbol	Description	S/N value
1	L08488	<i>INPP1</i>	Inositol polyphosphate 1-phosphatase	1.936
2	M73547	<i>DPI</i>	Human polyposis locus	1.733
3	L78833	<i>VAT1</i>	Rho7 and vat1 genes	1.593
4	L12168	<i>CAPI</i>	Adenylyl cyclase-associated protein 1	1.588
5	M21121	<i>CCL5</i>	Chemokine (C-C motif) ligand 5	1.581
6	U68727	<i>PKNOX1</i>	PBX/knotted 1 homeobox 1	1.507
7	U45878	<u><i>BIRC3</i></u>	Baculoviral IAP repeat-containing 3, API2	1.489
8	M19720	<i>MYCL1</i>	Human L-myc oncogene	1.482
9	HG2639-HT2735	<i>RBMS1</i>	Single stranded interacting protein 1	1.439
10	M12529	<i>APOE</i>	Apolipoprotein E	1.413
11	HG1437-HT1437	<i>TPM4</i>	Tropomyosin 4, TRKA	1.404
12	J05008	<i>IL1B</i>	Homo sapiens endothelin-1	1.392
13	J03805	<i>PPP2CB</i>	Protein phosphatase 2, catalytic subunit	1.361
14	M98539	<i>PTGDS</i>	Human prostaglandin D2 synthase gene	1.360
15	U40343	<u><i>CDKN2D</i></u>	Cyclin-dependent kinase inhibitor 2D	1.354
16	U66406	<i>EFNB3</i>	Putative EPH-related PTK receptor ligand	1.314
17	L06139	<i>TEK</i>	Tyrosine kinase, endothelial	1.313
18	L07594	<i>TGFB3</i>	Transforming growth factor- β type receptor III	1.308
19	M59465	<i>TNFAIP3</i>	Tumor necrosis factor α inducible protein 3	1.291
20	M65290	<i>IL12B</i>	Interleukin 12B	1.277
21	HG2463-HT2559	<i>CDC42</i>	Guanine nucleotide-binding protein	1.271
22	U53446	<i>DOC2</i>	Mitogen-responsive phosphoprotein	1.256
23	M26683	<i>CCL2</i>	Interferon gamma treatment inducible	1.250
24	L36870	<i>MKK4</i>	Mrna Homo sapiens MAP kinase 4	1.248
25	HG2774-HT2820	<i>CDC42</i>	Cell division cycle 42	1.241
26	M34668	<i>PTPRA</i>	Protein tyrosine phosphatase, receptor type A	1.226
27	S76965	<i>PKIA</i>	Protein kinase inhibitor α	1.218
28	M65254	<i>PPP2R1B</i>	Phosphatase 2, regulatory subunit A, β isoform	1.192
29	U67156	<i>MAP3K5</i>	Mitogen-activated protein kinase kinase kinase 5	1.174
30	U57650	<i>INPP5D</i>	Inositol polyphosphate-5-phosphatase, 145Da	1.168

S/N value reflects the difference between early and advanced stage of the patients. The genes examined by real-time PCR are indicated by underlining.

crease in aggressive NB (Leone et al., 1993; Godfriend et al., 2002), was also assigned to the group containing the most highly expressed genes in advanced-stage tumors. *MYCN* amplification was detected in 3 of the 20 cases. Using microarray analysis, high expression of *MYCN* was detected in those 3 cases and in an additional 2 cases without *MYCN* amplification. *MYCN* expression was considered high if the expression ratio was less than 2 SD from the mean in 20 cases according to microarray analysis.

Semiquantitative RT-PCR Analysis

To validate the results of the microarray analysis, we performed a semiquantitative RT-PCR analysis of 6 known genes, which were selected because of their typical expression in each cluster. The S/N value of each gene, reflecting the difference between the early- and advanced-stage tumors, was greater than 1 (Mukasa et al., 2002). The same 20 samples used for microarray analysis were used for the RT-PCR analysis, and representative results

are shown in Figure 3. The signal intensity of the 6 genes' expression by RT-PCR was calculated and classified (+ and -) with a scanning densitometer and data analysis system (Discovery Series; Quantity One, PDI). A highly abundant expression level (+) was above average and a reduced expression level (-) below average. As shown in Figure 3, the microarray intensity score of the 6 genes and the results of RT-PCR analysis corresponded well, indicating that the array-based determinations were highly reproducible.

PCA Analysis

Principal-components analysis also was used for further investigation of the structure of the data. A PCA three-dimensional plot of all the specimens used for the microarray analysis is shown in Figure 4. As can be seen, the tumors examined were clearly separated by the stage-of-disease subgroups (Fig. 4). From this analysis, it can be seen that classification by stage-of-disease subgroups resulted in clearer differentiation than classification