at the fifth residue of GIIIA could be made without any loss in biological activity. We further investigated the effects of avidin on the biological action of GIIIA tagged with biotinylated long polyether spacers to somehow determine the size of the sodium channel vestibule.

# **Experimental Procedures**

#### **Materials**

The 9-fluorenylmethyloxy carbonyl (Fmoc) amino acids, Fmoc-amide resin, and other reagents used for the synthesizer were purchased from Perkin-Elmer-Applied Biosystems (Tokyo, Japan). The other purchased agents were Fmoc-Cys(MeBzl)-OH, and Fmoc-Lys(biotin)-OH (Watanabe Chemicals, Ltd, Hiroshima, Japan); 1,11-bismaleimidotetraethyleneglycol [BM(PEO)4] (Pierce Chemical Company, Rockford, IL, USA); U-73122 (steroid), avidin (from egg-white) and ethanedithiol (Wako Pure Chemicals, Ltd, Osaka, Japan); N-[(4-maleimidylmethyl)cyclohexane-1-carbonyl]-1,2-dihexadecanoyl-sn-glycero-3-phosphoethanolamine, triethylammonium salt (lipid) (Molecular Probes, Inc., Eugene, OR, USA); N'-[2-(N-maleimio)ethyl]-N-piperazinyl D-biotinamide, hydrochloride (biotin20) and 6-{N'-[2-(N-maleimio)ethyl]-N-piperazinylamide}hexyl D-biotinamide, hydrochloride (biotin30) (Dojindo Laboratories, Kumamoto, Japan).

# Synthesis and purification of peptides

Solid-phase peptide synthesis was performed on a 433A peptide synthesizer (Perkin-Elmer, Applied Biosystems). Matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOF-MS) was measured on a Voyager Linear DE mass spectrometer (PerSeptive Biosystems, Tokyo, Japan) and a Voyager DE Pro mass spectrometer (PerSeptive Biosystems) with α-cyano-4-hydroxycinnamic acid as the matrix. Analytical reverse phase (RP)-high-performance liquid chromatography (HPLC) was conducted on a Shimadzu LC-6 A system (Shimadzu, Kyoto, Japan) with an ODS column Shim-Pack CLC™-ODS (4.6 × 250 mm; Shimadzu) and on a JASCO 1500 system (JASCO, Tokyo, Japan) with an ODS column Develosil ODS-HG-5 (4.6 × 250 mm; Nomura Chemicals, Aichi, Japan). Preparative RP-HPLC was performed on a Shimadzu LC-8 A system (Shimadzu) with an ODS column Shim-Pack PREP-ODS (H) (20 × 250 mm, Shimadzu) and on a JASCO 1500 system (JASCO) with an ODS column Develosil ODS-5 (20 × 250 mm; Nomura Chemicals).

The synthetic method for [Cys5]GIIIA was previously described (19), and [Lys(biotin)<sup>5</sup>]GIIIA was synthesized by a similar procedure. Briefly, linear precursors of the GIIIA derivatives were synthesized by solid phase methodology using Fmoc chemistry. Fmoc-Lys(biotin)-OH was coupled at the fifth position. After trifluoroacetic acid cleavage, the crude linear peptide was diluted to the final peptide concentration of 0.5 mm and subjected to oxidative disulfide bond formation in 0.2 M ammonium acetate buffer (pH 7.8) for 1 day. The crude cyclic products were purified by successive chromatographies on Sephadex G-50F (Amersham Pharmacia Biotech, Uppsala, Sweden), CM-cellulose CM-52 (Whatman International, Ltd, Kent, UK) and preparative RP-HPLC. The major product was purified until it migrated as a single peak during analytical RP-HPLC analysis. The synthetic peptides were analyzed by analytical RP-HPLC and MALDI-TOF-MS measurements.

#### Syntheses of GIIIA derivatives with steroid and lipid

The maleimide compounds were dissolved at an 8 mm concentration in 0.02 m NH<sub>4</sub>OAc (pH 4.0) containing an appropriate amount of acetonitrile or ethanol. To this solution (750 µL, 20 eq) [Cys<sup>5</sup>]GIIIA (0.3 µmol) was added in 0.02 m NH<sub>4</sub>OAc at pH 4.0 (250 µL), and incubated at room temperature for 20 min. The GIIIA derivatives were purified by analytical RP-HPLC and lyophilized, then analyzed by MALDI-TOF-MS and analytical RP-HPLC.

#### Synthesis of [Cys(biotin30)<sup>5</sup>]GliIA

For the synthesis of [Cys(biotin30)<sup>5</sup>]GIIIA, biotin30 (6 µmol, 20 eq) and [Cys<sup>5</sup>]GIIIA (0.3 µmol) were mixed in 0.02 M NH<sub>4</sub>OAc at pH 4.0 (1500 µL) then incubated at room temperature for 20 min. [Cys(biotin30)<sup>5</sup>]GIIIA was purified by gel filtration using a Sephadex G-25F (1.8 × 28 cm; Amersham Pharmacia Biotech) and analyzed by MALDI-TOF-MS.

# Syntheses of [Cys(biotin40)<sup>5</sup>]GIIIA and [Cys(biotin50)<sup>5</sup>]GIIIA

The synthetic scheme of [Cys(biotin40)<sup>5</sup>]GIIIA and [Cys(biotin50)<sup>5</sup>]GIIIA is shown in Fig. 2. To a solution of

Figure 2. Syntheses of [Cys(biotin40)5]GIIIA and [Cys(biotin50)5]GIIIA. The reaction conditions are as follows: (A) ethanedithiol, 0.02 m NH4OAc, pH 4.0; (B) 0.02 m NH<sub>4</sub>OAc, pH 4.0.

biotin20 or biotin30 (8.4 μmol) in 0.02 M NH<sub>4</sub>OAc at pH 4.0 (1.68 mL) was added ethanedithiol (10 eq) in 0.02 M NH4. OAc at pH 4.0 (50 µL), then stirred for 1 h, washed with diethyl ether, and lyophilized. The biotinylated compounds were purified by preparative RP-HPLC, and analyzed by MALDI-TOF-MS and analytical RP-HPLC.

To a solution of 5 mm BM(PEO)<sub>4</sub> (60 µL, 1 eq) in 0.02 m NH4OAc at pH 4.0 was added [Cys5]GIIIA (0.3 µmol) in 0.02 M NH4OAc at pH 4.0 (90 µL), then incubated at room temperature for 10 min. Six micromoles of the biotinylated compound (150 µL, 3 eq) in 0.02 M NH4OAc at pH 4.0 was added to this solution, and incubated at room temperature for 20 min. The biotinylated GIIIA derivatives were purified by RP-HPLC and analyzed by MALDI-TOF-MS and analytical RP-HPLC.

# **Avidin complex**

The biotinylated GIIIA derivatives (0.1 µmol) in 0.02 M NH<sub>4</sub>OAc at pH 6.7 (50 μL) were added to 1 eq of avidin solution, and the mixture was incubated at room temperature for 1 h, then purified by gel filtration with Sephadex G-50F (1.3  $\times$  5.0 cm) using 0.02 M NH<sub>4</sub>OAc at pH 6.7.

#### CD measurements

The CD spectra were measured using a JASCO J-600 spectropolarimeter (JASCO) in H2O solution (0.01 M sodium phosphate, pH 7.0) at 20 °C with peptide concentrations of 0.05 mm for 190-250 nm and 1 mm for 240-360 nm in a quartz cell of 1 mm path length. The spectra are expressed as molecular ellipticity ([0]; degree cm<sup>2</sup>/dmol).

#### **Bioassay**

The inhibitory effects of the synthesized derivatives on the twitch contraction of skeletal muscle to direct electrical stimuli were assayed, as previously reported (11,19-21). From male Wistar rats (body mass 200-300 g), the diaphragm muscle was excised and cut into four stripes, which were electrically stimulated with 5-ms pulses at 0.1 Hz (supramaximal voltage). The twitch-tension responses were isometrically recorded. The activity of the peptides was expressed as percent inhibition of the twitch response. The IC50 value is the concentration of peptide necessary for 50% inhibition of the twitch response. Most IC50 values are the mean of two sets of experiments.

#### Results

#### Syntheses of GIIIA derivatives

The structures of the synthesized GIIIA derivatives are illustrated in Fig. 1. The effects of the replacement of Thr-5 with Cys-5 or Lys(biotin)-5 on the secondary structure were examined using the CD spectra. The CD spectra for [Cys<sup>5</sup>]GIIIA [previously reported in (19)] and [Lys(biotin)<sup>5</sup>]-GIIIA (Fig. 3) were as comparable with that of natural GIIIA, suggesting that both [Cys<sup>5</sup>]GIIIA and [Lys(biotin)<sup>5</sup>]GIIIA have a similar secondary structure as that of GIIIA.

Tags of steroid, lipid, another GIIIA, biotin30, biotin40 and biotin50 were introduced into the GIIIA backbone via the Cys-5 residue (Fig. 1). These GIIIA derivatives in addition to [Lys(biotin)<sup>5</sup>]GIIIA were characterized by MALDITOF-MS (Table 1). The data of MALDI-TOF-MS fit the calculated molecular masses of GIIIA and its derivatives well.

#### Inhibitory activity of GIIIA derivatives on muscle contraction

The strong electrical stimuli caused a twitch contraction of the isolated rat diaphragm muscle because of activation of the sodium channels. Although the potency of the GIIIA derivatives are evaluated to be slightly weaker when measuring the contractile response of the isolated diaphragm muscle [IC $_{50}=0.1~\mu\text{M}$ , in the present experiments] than in measuring activities of the composed skeletal muscle-type sodium channels in vitro [IC $_{50}=0.05~\mu\text{M}~(15)$ ], the obtained structure-activity relationships are similar between in vivo and in vitro experiments, showing the most important residue as Arg-13 and the other affecting

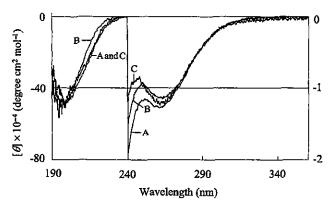


Figure 3. CD spectra of GIIIA (A), [Cys<sup>5</sup>]GIIIA (B) and [Lys(biotin)<sup>5</sup>] GIIIA (C) in H<sub>2</sub>O solution at 20 °C. The vertical axis expresses the molar ellipticity.

residues for the sodium channel inhibition. Thus, the inhibitory effects of the GIIIA derivatives on the twitch contraction have been used as an index of the sodium channel inhibition (11,19-21) and the obtained IC<sub>50</sub> values (concentrations to inhibit by 50% of twitch contraction) for the tested derivatives are listed in Table 1.

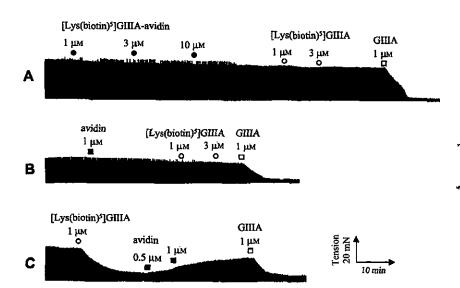
[Cys(steroid)<sup>5</sup>]GIIIA was approximately 10 times less potent than natural GIIIA. However, when a lipid functionality was added to [Cys<sup>5</sup>]GIIIA, [Cys(lipid)<sup>5</sup>]GIIIA did not elicit any inhibitory activity up to 10 μm. In the presence of 10 μm [Cys(lipid)<sup>5</sup>]GIIIA, the administration of natural GIIIA inhibited the contraction with normal activity indicating the lack of competition between these peptides (data not shown). With a polyether spacer, [Cys<sup>5</sup>]GIIIA was dimerized. The IC<sub>50</sub> value of the dimeric derivative was only slightly greater than that of natural GIIIA, but the rate of inhibitory response after administration of the dimer was much slower than that of natural GIIIA (data not shown).

[Lys(biotin)<sup>5</sup>]GIIIA was approximately six times less potent than natural GIIIA for the inhibition of contraction. However, the inhibitory activity of [Lys(biotin)<sup>5</sup>]GIIIA was

Table 1. Inhibitory activity of skeletal muscle contraction to electric stimulation and MALDI-TOF-MS data of GIIIA and its derivatives

Compound	MALDI-TOF-MS mlz (calc.)	Inhibitory activity IC50 (дм)	+ avidin IC <sub>50</sub> (µм)	
GIIIA	2610.4 (2610.0)	0.10	-	
[Cys <sup>5</sup> ]GIIIA	2611.5 (2612.1)	1.9	_	
[Cys(steroid) <sup>5</sup> ]GIIIA	3074.6 (3074.4)	1.3	-	
[Cys(lipid) <sup>5</sup> ]GIIIA	3523.5 (3523.3)	>10	-	
Dimer	5575.7 (5575.3)	0.14	-	
[Lys(biotin) <sup>5</sup> ]GllfA	2862.9 (2863.5)	0.59	>10	
[Cys(biotin30) <sup>5</sup> ]GIIIA	3160.5 (3160.8)	1,00	>3.00	
[Cys(biotin40) <sup>5</sup> ]GIIIA	3493.6 (3494.2)	2.14	>3.00	
[Cys(biotin50) <sup>5</sup> ]GIIIA	3607.8 (3607.4)	1.11	1.64	

Figure 4. The inhibitory effects of [Lys(biotin)5]GIIIA and its avidin complex on the contractile response of the isolated rat diaphragm to direct electrical stimuli. (A) [Lys[biotin]5]GIIIA and then avidin; (B) [Lys(biotin)5]GIIIA-avidin complex and then [Lys(biotin)5]GIIIA; (C) GIIIA. Cumulative additions of [Lys(biotin)5]GIIIA, [Lys(biotin)5]GIIIA-avidin complex, GIIIA and avidin are indicated by the open circles, closed circles, open squares and closed squares, respectively. The vertical calibration indicates tension (20 mN) and the horizontal one indicates time (10 min).



suppressed in the presence of avidin (Fig. 4). The administration of the preformed [Lys(biotin)5]GIIIA-avidin complex to the bioassay solution entirely abolished the inhibitory activity of [Lys(biotin)5]GIIIA.

The inhibitory activities of the [Cys<sup>5</sup>]GIIIA derivatives with long spacers between Cys-5 and biotin, [Cys(biotin30|5|GIIIA, [Cys(biotin40)5]GIIIA and [Cys(biotin50)5]-GIIIA, were approximately 10-20 times less potent than that of GIIIA, but were nearly the same as that of [Cys<sup>5</sup>]-GIIIA. The administration of avidin in the presence of [Cys(biotin30)5]GIIIA or [Cys(biotin40)5]GIIIA suppressed the inhibitory activity in a manner similar to that observed with [Lys(biotin)<sup>5</sup>]GIIIA. The preformed avidin complexes of these two derivatives were inactive. The activity loss after the administration of avidin was faster in [Cys[biotin40|5|GIIIA than in the other biotinylated derivatives. In contrast, the administration of avidin in the presence of [Cys(biotin50)5]GIIIA did not suppress the inhibitory activity.

# Discussion

With respect to the tertiary structure of GIIIA, Thr-5 protrudes from a different face than the biologically active center of Arg-13, and is predicted to point away from the channel vestibule in the toxin-bound channel complex (9-18). In the present experiments, bulky tags composed of various moieties via linkers of different lengths were added to residue 5 of GIIIA, and the tagged-GIIIA derivatives, except for the phospholipid-tagged one, exerted biological activity to various degrees, although the extent of the alteration in the activity of the tagged GIIIA derivatives was rather small when compared to those reported for the modification on Arg-13 of GIIIA (11,12,18,20).

A phospholipid-tagged GIIIA, [Cys(lipid)5]GIIIA, lost the activity. As the administration of natural GIIIA in the presence of [Cys(lipid)5]GIIIA elicited an inhibition as under normal conditions, the [Cys(lipid)5]GIIIA molecule could not reach the binding site in the channel pore. As proposed for the fatty acylation-induced association of SNAP-25, a protein regulating exocytosis, with the membrane (22), [Cys(lipid)5]GIIIA could be anchored to the lipid component of plasma membrane by the lipid-lipid interaction, and could not reach the binding site in the sodium channel.

Steroid is also hydrophobic to be incorporated into the plasma membrane. However, the steroid-attached GIIIA still elicited the inhibitory activity with the comparable potency with that of [Cys5]GIIIA. Although we could not interpret this retention of activity well, the hydrophobicity of [Cys(steroid)5]GIIIA to the lipid component of plasma membrane may be smaller than that of [Cys(lipid)5]GIIIA, or the affinity of [Cys(steroid)<sup>5</sup>]GIIIA to the membrane lipid component may be smaller than that to the receptor site in the channel.

The addition of another GIIIA molecule via a polyether spacer produced a dimer of GIIIA. The inhibitory activity of the dimerized GIIIA was almost the same as that of natural GIIIA, suggesting that this dimer could associate with the receptor in the channel vestibule (Fig. 5, left).

Biotinylated GIIIA with various lengths (approximately 0.5-5 nm) of spacers between the biotin and the fifth residue of GIIIA elicited an inhibition of contraction with a slightly lower potency (6-20 times less) than that of natural GIIIA. Biotinylation of the side chain itself may not markedly affect the inhibitory activity, as [Lys(biotin)5]GIIIA was

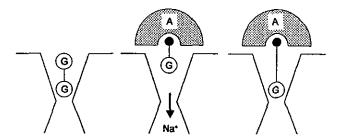


Figure 5. Models of interaction of the dimer (left), biotin analogs with short spacers (center), and with a long spacer (right) with sodium channels. Small black circles, A, and G indicate biotin, avidin, and GIIIA, respectively.

stronger in activity than [Cys<sup>5</sup>]GIIIA. Even the biotinylated [Cys<sup>5</sup>]GIIIA with the longest side chain tested elicited an inhibitory activity slightly stronger than [Cys<sup>5</sup>]GIIIA without a side chain. The presence of non-aliphatic functionalities like a polyester, carbonyl etc. in the side chain may prevent the impedance of the hydrophobic association of the long side chain with the components of the channel vestibule as well as the plasma membrane as postulated for the loss in activity in the case of [Cys{lipid}<sup>5</sup>]GIIIA.

In the present experiments, the avidin-biotin interaction affected the biological effects of the biotinylated GIIIA derivatives in a different manner depending on the length of the spacer between the biotin and the fifth residue of GIIIA. The affinity of avidin for biotin is on a fM order (23) that is much stronger than that between GIIIA and the sodium channels being around nM (11,15,24-28). Thus, the presence of avidin abolished the activities of [Lys(biotin)<sup>5</sup>]GIIIA, [Cys(biotin30)5]GIIIA and [Cys(biotin40)5]GIIIA whose spacer lengths are <4 nm (Fig. 5, center). In contrast, the presence of avidin did not alter the inhibitory action of [Cys(biotin50)5]GIIIA with the spacer length of 5 nm (Fig. 5, right). The biological activity of these biotinylated analogues should be tested with a concentrated sample. However, a biological assay cannot be run because of a limit to the quantity of the analogues. Although a difference in the IC<sub>50</sub> value is not seen, the inhibitory activity of the GIIIA analogues with the longer spacer is clearly strong at a lower concentration (the concentration range which does not reach the 50% inhibition). These results suggest that the sodium channel pore structure might have some depth from the entrance to the binding site of GIIIA, as avidin with a large molecular mass of 66 000 could not enter the channel vestibule. When the length from the biotin to the active center of Arg-13 in the conjugates of avidin-biotinylated GIIIA is longer than the depth from the outer surface to the binding site in the channel, the inhibitory effect of the biotinylated GIIIA is not affected by the presence of avidin, otherwise inhibited. When the spacer with the prescribed length is used, the length from the entrance to channel binding sites can be measured, although the length may be linear for the extended configuration but otherwise be curvilinear with the rotation between the C-C bonds or with the interaction between the channel proteins.

The replacement of Thr-5 with Cys enabled the attachment of various tags to the fifth residue of GIIIA. Bulky biotinylated tags with avidin may be useful as a molecular caliper to measure the depth of the binding sites. Previously, we reported that the [Cys5]GIIIA derivatives with relatively small tags including fluorescence moieties also showed an inhibitory action on muscle contraction (19). Furthermore, we recently succeeded in generating the antibody against  $\mu$ -conotoxin by i.v. injection of a conjugate of bovine serum albumin-maleimide and [Cys5]GIIIA, as the conjugate lost its lethal effect on mice as well as its inhibitory activity on muscle (29). Thus, the decoration of a specific residue remote from the active center of peptide toxins may provide a new strategy for the investigation of their association with a receptor as well as for other applications in biological sciences.

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# Unrestricted Hepatocyte Transduction with Adeno-Associated Virus Serotype 8 Vectors in Mice

Hiroyuki Nakai, 1\* Sally Fuess, 1 Theresa A. Storm, 1 Shin-ichi Muramatsu, 2 Yuko Nara, 2 and Mark A. Kay 1,3

Departments of Pediatrics<sup>1</sup> and Genetics,<sup>3</sup> Stanford University School of Medicine, Stanford, California, and Neurology, Department of Medicine, Jichi Medical School, Minamikawachi, Tochigi, Japan<sup>2</sup>

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Recombinant adeno-associated virus (rAAV) vectors can mediate long-term stable transduction in various target tissues. However, with rAAV serotype 2 (rAAV2) vectors, liver transduction is confined to only a small portion of hepatocytes even after administration of extremely high vector doses. In order to investigate whether rAAV vectors of other serotypes exhibit similar restricted liver transduction, we performed a dose-response study by injecting mice with \(\beta\)-galactosidase-expressing rAAV1 and rAAV8 vectors via the portal vein. The rAAV1 vector showed a blunted dose-response similar to that of rAAV2 at high doses, while the rAAV8 vector dose-response remained unchanged at any dose and ultimately could transduce all the hepatocytes at a dose of  $7.2 \times 10^{12}$  vector genomes/mouse without toxicity. This indicates that all hepatocytes have the ability to process incoming single-stranded vector genomes into duplex DNA. A single tail vein injection of the rAAV8 vector was as efficient as portal vein injection at any dose. In addition, intravascular administration of the rAAV8 vector at a high dose transduced all the skeletal muscles throughout the body, including the diaphragm, the entire cardiac muscle, and substantial numbers of cells in the pancreas, smooth muscles, and brain. Thus, rAAV8 is a robust vector for gene transfer to the liver and provides a promising research tool for delivering genes to various target organs. In addition, the rAAV8 vector may offer a potential therapeutic agent for various diseases affecting nonhepatic tissues, but great caution is required for vector spillover and tight control of tissue-specific gene expression.

Liver-directed gene transfer with viral and nonviral vectors has been explored for the treatment of a variety of inherited and acquired diseases, including hemophilia (51), various metabolic diseases such as mucopolysaccharidosis (42), hyperlipidemia (24), tyrosinemia (41), and diabetes mellitus (25), and chronic viral hepatitis (29). Among the vectors used to deliver genes to hepatocytes in vivo, recombinant adeno-associated virus (rAAV) vectors are one of the most promising vehicles because they are based on nonpathogenic viruses, transduce both dividing and nondividing cells, and achieve long-term stable transgene expression with minimal toxicity and cellular immune response in animals.

AAV is a small, nonpathogenic, replication-defective parvovirus with a single-stranded DNA genome. Among the various serotypes of AAV, rAAV vectors based on AAV serotype 2 (rAAV2) have been most extensively investigated as gene delivery vectors in vivo, demonstrating efficacy and safety. Based on successful results in a series of preclinical studies for rAAV2-mediated gene therapy, several clinical trials were initiated for the treatment of inherited diseases, including hemophilia B (22).

Despite such recent advances, rAAV2-mediated hepatic gene transfer has still been suboptimal and transduction efficiency in the liver remains unsatisfactory, particularly in cases that require higher transduction efficiency. One major drawback in this system is that only ~10% of hepatocytes are stably

transducible with rAAV2 vectors (5, 39, 59). In other words, it is not possible to increase transduction efficiency in mice (either number of transduced hepatocytes or expression of transgene products) in proportion to given doses when vector doses of  $3.0 \times 10^{11}$  vector genomes (vg) or more are administered per mouse. Liver transduction becomes saturated at higher doses, with transduction efficiencies of around 10% of total hepatocytes (39). The mechanism of this restricted liver transduction has not been elucidated but is not related to impaired uptake of vector particles by hepatocytes because rAAV2 vector genomes are found in a majority of hepatocytes within 24 h after vector infusion (32). We have reasoned from our observations that, in the liver, there are two distinct hepatocyte subpopulations with different metabolic states. That is, only a small subset of hepatocytes have all the machinery required for establishing stable rAAV2 transduction, while the other subset is devoid of some machinery for the process or has some inhibitory machinery that prevents the process (32). Recently, Thomas et al. proposed a model in which the rate of capsid uncoating determines the transduction efficiency with rAAV vectors in the liver (54).

In the past 5 years, there have been several major breakthroughs in rAAV vector technologies that include productions of rAAV vectors derived from alternative serotypes (6, 7, 15, 17, 46, 47, 60) and the development of self-complementary (or double-stranded) rAAV vectors (13, 30, 31, 57). Over a hundred different AAV sequences have been isolated thus far from human and nonhuman primates (14). Their recombinants have been investigated extensively for tissue tropism and transduction efficiency, enabling a dramatic increase in transduction

<sup>\*</sup> Corresponding author. Mailing address: Department of Pediatrics, Stanford University School of Medicine, 300 Pasteur Dr., Grant Bldg., Rm. S374, Stanford, CA 94305. Phone: (650) 498-2753. (650) 725-7487. Fax: (650) 736-2068. E-mail: nakaih@stanford.edu.

efficiency (4, 14, 15) and a change of tissue or cell type tropism or vector distribution patterns in a given tissue (7, 53, 56). Now, finding the optimal AAV serotypes for efficient and tissue-specific transduction has become imperative for successful gene therapy. The other breakthrough, packaging of double-stranded vector genomes into virions, i.e., self-complementary rAAV, has greatly enhanced transduction efficiency, although the vectors can only hold half of the genome.

We and others have established a method by which rAAV2 vector genomes can be cross-packaged into heterologous capsid proteins derived from alternative serotypes, making chimeric virions, so-called pseudo-serotyped rAAV vectors (17, 46). This allowed us to conduct a thorough side-by-side study to compare liver transduction efficiency among different pseudo-serotyped rAAV vectors, types 1 to 6, in mice. Although the rAAV1, -2, and -6 vectors achieved similar expression levels and none of the other serotypes resulted in a dramatic increase in stable liver transduction efficiency (17), the rAAV1, -2, and -6 vectors each exhibited distinct dose-response profiles (17). We have established in two independent studies that rAAV2mediated stable liver transduction is proportional to given vector doses ranging from 2 to  $4 \times 10^9$  to 3 to  $4 \times 10^{11}$  vg/mouse (17, 39). Interestingly, and unlike the rAAV2 vector, rAAV1 vector administration into the liver resulted in a disproportionately greater increase in stable liver transduction, as vector doses increased within the same dose range (17), although this dose-response profile for rAAV1 was blunted at doses higher than  $4 \times 10^{11}$  vg/mouse. Since we quantified the transgene product and not the number of transduced hepatocytes in the previous study, it is not known if rAAV1-mediated liver transduction is also confined to a small population of hepatocytes.

In the present study, we investigated whether restricted liver transduction is also the case for pseudo-serotyped rAAV1 and rAAV8 vectors. The rAAV1 vector was selected because it exhibited a distinct dose-response profile, while the rAAV8 vector was chosen because it has been shown to transduce mouse hepatocytes better than rAAV2 (15, 49, 54). As a result, in contrast to rAAV2 vectors, we find that all the hepatocytes are permissive to stable rAAV8 transduction and ≈100% hepatocyte transduction is achievable by portal vein injection at a dose of  $7.2 \times 10^{12}$  vg/mouse. In addition, and unlike the situation with rAAV2 vectors, we find that such high transduction efficiency is achievable by a single tail vein injection of rAAV8 vectors. Finally, we elucidate that the rAAV8 vector can transduce skeletal muscle throughout the body, the entire cardiac muscle, and substantial numbers of pancreatic cells, smooth muscle cells, and brain cells after intravenous injection. These observations not only help us understand the mechanisms of rAAV vector transduction but also emphasize both the utility and promiscuity of rAAV8 vectors.

#### MATERIALS AND METHODS

Construction of rAAV vectors. The construction and production of the rAAV2 vectors AAV2-EF1 $\alpha$ -nIstacZ, AAV2-hF.IX16, and AAV2-CMV-lacZ were described elsewhere (23, 37, 39, 40), although we did not clearly denote the serotype in the vector names in our previous publications. Briefly,  $\Lambda\Lambda$ V2-EF1 $\alpha$  nIslacZ is a bacterial  $\beta$ -galactosidase-expressing rAAV2 vector harboring the human elongation factor  $1\alpha$  (EF1 $\alpha$ ) enhancer-promoter, the Escherichia coli lacZ gene with a nuclear localization signal (nis), and the similan virus 40 poly(A) signal. AAV2-hF.IX16 is a human coagulation factor 1X (hF.IX)-expressing rAAV2 vector comprising a liver-specific promoter (the apolipoprotein E hepatic

locus control region-human  $\alpha 1$ -antitrypsin gene promoter) (32), hF.IX minigene (containing a 1.4-kb DNA fragment of the first intron from the hF.IX gene), and the bovine growth hormone poly(A) signal. AAV2-CMV-lacZ is a  $\beta$ -galactosi-dase-expressing rAAV2 vector harboring the human cytomegalovirus enhancer-promoter with an intron from the human growth hormone gene, the cytosolic lacZ gene, and the simian virus 40 poly(A) signal.

For AAV1-EP1\(\alpha\)-nlslacZ, AAV8-EF1\(\alpha\)-nlslacZ, and AAV8-CMV-lacZ, rAAV2 vector genomes were cross-packaged into capsids derived from AAV serotype 1 or 8 with the corresponding AAV helper plasmids (15, 17) (the AAV8 helper plasmid was kindly provided by James M. Wilson). All the vectors were produced by the triple transfection method, purified by two cycles of cesium chloride gradient centrifugation, and concentrated as outlined elsewhere (3, 17). The final viral preparations were kept in phosphate-buffered saline (PBS) containing 5% sorbitol. The physical particle titers were determined by a quantitative dot blot assay.

Animal procedure. Six- to 8-week-old male C57BL/6 and C57BL/6 rag-1 mice were purchased from Jackson Laboratory (Bar Harbor, Maine). The portal vein and tail vein injections of rAAV vector preparations were performed as previously described (34, 35). Controls were naïve uninjected mice or mice injected with the excipient (PBS-5% sorbitol) only. Blood samples were periodically collected from the retroorbital plexus. All the animal experiments were performed according to the guidelines for animal care at Stanford University.

Protein analysis. We extracted total liver proteins and determined expression levels of β-galactosidase in rAAV vector-transduced mouse livers with a β-galactosidase enzyme-linked immunosorbent assay kit (Roche Molecular Biochemicals, Indianapolis, Ind.) as previously described (37). We normalized β-galactosidase levels with the total protein concentration determined by the Lowry assay, using a DC protein assay kit (Bio-Rad, Hercules, Calif.), and described the values as picograms of β-galactosidase per milligram of protein. We measured human coagulation factor IX levels in mouse plasma by an enzyme-linked immunosorbent assay specific for human coagulation factor IX. We measured levels of serum alanine aminotransferase (ALT) with the ALT reagent set (Teco Diagnostics, Anaheim, Calif.).

Histological analysis. Pieces of mouse liver lobes were embedded in Tissue-Tek Optimal Cutting Temperature compound (Sakura Finetek USA, Inc., Torrance, Calif.) and frozen on dry ice. In some instances, various mouse tissues other than the liver, i.e., brain, lung, heart, spleen, kidney, intestine, testis, pancreas, and skeletal muscle (quadriceps, tibialis anterior, or tongue), were also collected and processed in the same way. For histochemical detection of  $\beta$ -galactosidase expression, 10- $\mu$ m sections were cut, fixed with 1.25% glutaraldehyde, stained with 5-bromo-4-chloro-3-indolylphosphate (X-Gal) as described (21), and counterstained with light hematoxylin or nuclear fast red. To determine transduction efficiency in the liver, at least 2,000 nuclei per section were examined for  $\beta$ -galactosidase expression from each animal.

In order to determine cell types in the brain transduced with the rAAV8 vector, we performed immunohistochemical analysis of brain sections. The blocks were cut into coronal sections 12-µm thick with a cryostat. The sections were placed on gelatin-coated slides and dried at room temperature for 30 min. The sections were fixed in 4% paraformaldehyde for 15 min and washed three times in PBS for 5 min. The sections were blocked with PBS containing 5% goat serum at room temperature for 30 min. The sections were incubated with primary antibodies against β-galactosidase (1:200; rabbit immunoglobulin G fraction; Invitrogen, Carlsbad, Calif.), with a mixture of mouse monoclonal anti-NeuN antibody (1:200; Chemicon International Inc.), or mouse monoclonal anti-glial fibrillary acidic protein (GFAP) antibody (1:200; Chemicon International Inc.) in PBS containing 5% goat serum at room temperature for 1 h. NeuN and GFAP served as markers for neurons and astrocytes, respectively. The sections were washed three times in PBS for 5 min. Then they were incubated with Alexa Fluor 488-conjugated goat anti-rabbit immunoglobulin G (2 μg/ml; invitrogen) and Alexa Fluor 594-conjugated goat anti-mouse immunoglobulin G(2 μg/ml; Invitrogen) in PBS at room temperature for 30 min. The sections were washed three times with PBS for 5 min. Finally, the sections were mounted in Vectashield (Vector Laboratories, Burlingame, Calif.). Immunoreactivity was assessed and viewed under a confocal laser-scanning microscope (TCS NT; Leica).

For detection of pancreatic islet cells, we performed X-Gal and insulin double staining as previously described (55). For detection of Kupffer cells in the liver, we performed X-Gal and F8/40 double staining. Briefly, frozen sections in Optimal Cutting Temperature compound were cut 10-µm thick, air dried for 15 min, and stored at -20°C. Samples were thawed for 1.5 h and then fixed in chilled acetone at -20°C for 20 min. Samples were dried for 6 min and rehydrated in PBS for 10 min. Slides were stained for X-Gal overnight and washed three times in PBS for 5 min. Samples were incubated in 0.3% hydrogen peroxide

TABLE 1. Hepatocyte transduction with AAV1-, AAV2-, or AAV8-EF1α-nklac7.6 weeks postinjection

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Vector	Dosc (vg/ mouse)	No. of mice	Mean transduction efficiency (%)° ± SD	Mean β-galactosidase expression (pg/mg of protein) <sup>b</sup> ± SD	Mean vector copy no. (ds-vg/dge) ± SD	Mean net vector copy no. per transduced cell (ds-vg/dge)' ± SD	Mean β-galactosidase expression rate <sup>d</sup> ± SD	Mean vector genome activity ± SD
AAV1	$5.0 \times 10^{10}$	4	4.2 ± 1.0	426 ± 110	1.1 ± 0.2	27.0 ± 3.1	100.5 ± 9.5	376.7 ± 67.4
	$3.0 \times 10^{11}$	6	$7.7 \pm 0.7$	1215 ± 263	$9.3 \pm 0.9$	$121.6 \pm 14.2$	$160.1 \pm 40.5$	133.3 ± 38.4
	$1.8 \times 10^{12}$	4	$13.2 \pm 2.5$	2078 ± 389	$54.9 \pm 18.1$	$413.5 \pm 83.1$	$159.7 \pm 27.8$	40.1 ± 12.1
	$7.2 \times 10^{12}$	3	$23.5 \pm 1.0$	$2057 \pm 99$	$92.3 \pm 12.2$	$412.1 \pm 69.6$	$88.9 \pm 8.0$	$21.5 \pm 1.6$
AAV2	$3.0 \times 10^{11}$	6	$3.9 \pm 0.5$	888 ± 228	5.1 ± 2.0	132.2 ± 47.5	$235.8 \pm 72.4$	197.0 ± 92.5
AAV8	$5.0 \times 10^{10}$	4	8.1 ± 1.8	1669 ± 201	7.2 ± 1.5	90.6 ± 5.3	211.7 ± 31.5	223.5 ± 23.5
	$3.0 \times 10^{11}$	6	$14.9 \pm 3.4$	$2963 \pm 517$	$58.2 \pm 10.9$	413.1 ± 134.8	208.2 ± 63.5	$53.0 \pm 14.6$
	$1.8 \times 10^{12}$	3	$65.8 \pm 9.0$	9052 ± 1541	$207.5 \pm 56.0$	$318.4 \pm 87.5$	$140.8 \pm 40.2$	44.8 ± 7.4
	$7.2 \times 10^{12}$	4	$97.4 \pm 0.3$	$21686 \pm 3051$	$620.6 \pm 42.8$	$637.2 \pm 42.6$	$222.7 \pm 31.4$	$35.3 \pm 7.2$
None (excipient)		3	0.0	<4	0.0	0.0	NA	NA

<sup>4</sup> X-Gal-positive nuclei/total hepatocyte nuclei counted (at least 2,000 hepatocyte nuclei were counted).

in methanol for 10 min, rinsed in water, and washed again in PBS. Sections were blocked in 10% normal rabbit serum (Vector Laboratories) with avidin (avidin/ biotin blocking kit, Vector Laboratories) in PBS-1% bovine serum albumin for 45 min. Slides were blotted and incubated with F4/80 (1:50 dilution; Serotec, Oxford, United Kingdom) with biotin in PBS-1% bovine serum albumin at room temperature for 1 h. Samples were washed in PBS and incubated for 30 min in anti-rat immunoglobulin G (Vector Laboratories) at a 1:500 dilution in PBS-1% bovine serum albumin. Slides were washed in PBS and incubated for 30 min in the Vectastain Elite ABC kit (Vector Laboratories) according to the manufacturer's instructions. Sections were washed in PBS, rinsed in water, and developed with diaminobenzidine with a diaminobenzidine substrate kit (Vector Laboratories) for 2 to 5 min. Slides were then counterstained with hematoxylin, dehydrated, and coverslined.

DNA analysis. We extracted total genomic DNA from each tissue by a standard phenot chloroform method. We performed Southern blot analysis to determine double-stranded vector genome copy number per diploid genomic equivalent (ds-vg/dge) and to analyze vector forms in transduced tissues as previously described (35, 39). Briefly, we digested 10 µg of total genomic DNA with Bgll, which cuts the vector genome seven times, and separated the digests on 0.8% agarose gels, transferred the DNA onto nylon membranes, and hybridized the blotted membrane with a 2.1-kb radioactive vector sequence-specific lacZ probe (2.1-kb Bgll/Bgll fragment, nucleotide positions 1518 to 3639 of the 4,828-base AAV2-EF1α-nlslacZ vector genome). We detected and quantified the signals with a Phosphorimager and Quantity One software (Bio-Rad). For analysis of the molecular forms of the vector genomes, we digested sample DNA with BamHI, a single cutter that asymmetrically cleaves the 4,828-base vector genome at nucleotide position 1362, or KpnI, which does not cut the vector genome, and probed with the same lacZ probe. The double-stranded vector genome copy number standards were prepared by adding an equivalent number of pAAV-EF1α-nislacZ (37) plasmid molecules to 10 μg of total genomic DNA extracted from naive mouse liver. The net vector copy number per hepatocyte represents the number of double-stranded vector genomes per transduced hepatocyte and is calculated based on a presumption that double-stranded vector genomes in the liver are carried only by transduced hepatocytes (33, 52).

#### RESULTS

All the hepatocytes were permissive to stable rAAV8 vector transduction. We previously demonstrated that only a small portion of hepatocytes are permissive to stable rAAV2 vector transduction, which restricts a linear vector dose-response at doses higher than  $3.0 \times 10^{11}$  vg/mouse (39). The maximum number of stably transducible hepatocytes may vary depending on the experimental settings, but it normally plateaus at ≈10% of total hepatocytes. In order to investigate the correlation between vector dose and transduction efficiency with other pseudo-serotyped rAAV vectors, we injected mice with AAV1-EF1α-nlslacZ or AAV8-EF1α-nlslacZ via the portal vein at four different doses ranging from  $5.0 \times 10^{10}$  to  $7.2 \times 10^{12}$ vg/mouse, i.e.,  $5.0 \times 10^{10}$ ,  $3.0 \times 10^{11}$ ,  $1.8 \times 10^{12}$  and  $7.2 \times 10^{12}$ vg/mouse (n = 3 to 6 per group). As a reference, we also injected six mice with  $3.0 \times 10^{11}$  vg of AAV2-EF1 $\alpha$ -nlslacZ. Control mice were injected with the excipient (PBS-5% sorbitol) only (n = 3). Six weeks after vector injection, we harvested the liver samples and determined the transduction efficiency by counting β-galactosidase-expressing hepatocytes, measuring β-galactosidase antigen levels by enzyme-linked immunosorbent assay and quantifying the double-stranded vector genome copy numbers in the liver by Southern blot. The results are summarized in Table 1 and Fig. 1, and representative microscopic pictures are shown in Fig. 2.

As demonstrated, all the hepatocytes were permissive to stable transduction with the rAAV8 vector, reaching ≈100% hepatocyte transduction at  $7.2 \times 10^{12}$  vg/mouse.  $\beta$ -Galactosidase-positive cells with a small nucleus were occasionally found in the rAAV8-transduced liver (Fig. 2B). X-Gal/Kupffer cell double staining revealed no transduction in Kupffer cells (Fig. 2D). The origin of  $\beta$ -galactosidase-positive small nuclei could not be determined conclusively. These might be portions of hepatocyte nuclei or represent rAAV8-transduced nonparenchymal cells besides Kupffer cells. The number of hepatocytes transduced with the rAAV1 vector was 24% at a dose of 7.2 × 10<sup>12</sup> vg/mouse. Since injection of a vector dose higher than 10<sup>13</sup>

<sup>&</sup>lt;sup>b</sup> B-Galactosidase antigen levels in liver extracts were normalized to the amount of total protein in samples.

Net vector copy number is defined as the number of double-stranded vector genomes per transduced hepatocyte; i.e., (vector copy number per cell [vg/dge]/

transduction efficiency [%]  $\times$  100.

<sup>d</sup>  $\beta$ -Galactosidase expression rate is defined as picograms of  $\beta$ -galactosidase protein per milligram of cellular protein produced from transduced hepatocytes corresponding to 1% of total hepatocytes; i.e.,  $\beta$ -galactosidase expression divided by transduction efficiency. The values reflect  $\beta$ -galactosidase production per transduced hepatocyte. NA, not applicable.

Vector genome activity is defined as B-galactosidase production per double-stranded vector genome; i.e., B-galactosidase expression divided by vector copy number (ds-vg/dge).

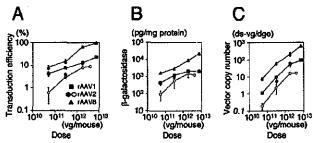


FIG. 1. Vector dose-response profiles in AAV1-, AAV2-, and AAV8-EF1α-nIslacZ-transduced mouse livers. The percentage of transduced hepatocytes in the livers (A), total β-galactosidase antigen levels (B), and number of double-stranded vector genomes per diploid genomic equivalent (ds-vg/dge) (C) are shown as a function of injected vector doses. Solid markers represent the values obtained from the present study. The dose-response profiles in AAV2-EF1α-nIslacZ-mediated liver transduction were obtained from our previous study (39) for comparison and are depicted with open circles. Values are means ± standard deviation.

vg/mouse was not feasible, it was not possible to determine the maximum number of hepatocytes permissive to stable rAAV1 transduction. Nonetheless, the results suggest that all the hepatocytes can process incoming single-stranded rAAV vector genomes into transgene-expressible double-stranded genomes without extrinsic assistance to augment transduction, such as providing adenovirus helper functions (11, 12), genotoxic treat-

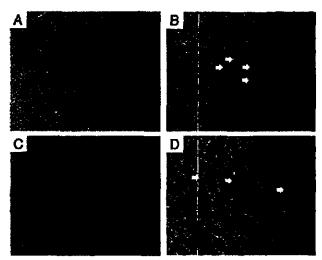


FIG. 2. Liver transduction with  $7.2 \times 10^{12}$  vg of AAV8-EF1 $\alpha$ -nlslacZ delivered via the portal vein. The liver was harvested 6 weeks postinjection and stained with X-Gal and light hematoxylin. A representative result is shown (A and B). Virtually all hepatocytes were transduced with rAAV8 throughout the liver. The liver was stained heterogeneously with X-Gal, with central vein areas being less intense. (C) X-Gal-stained hepatocytes around a central vein area. Although gene expression near central veins was not as strong as in portal areas, most of the hepatocytes express the transgene. (D) X-Gal and F4/80 double staining. None of the Kupffer cells (brown) were transduced. Small nuclei positive for  $\beta$ -galactosidase are indicated with arrows in panels B and D. These might be portions of hepatocyte nuclei or represent rAAV8-transduced nonparenchynal cells besides Kupffer cells. Scale bars, 100  $\mu$ m.

ment (1, 2), or forced biochemical modification of single-stranded DNA binding proteins (43-45, 61).

Dose-response profile with the rAAV8 vector did not change until all the hepatocytes were transduced. In rAAV2-mediated liver transduction, a proportional dose-response profile does not change at doses of less than  $3.0 \times 10^{11}$  vg/mouse, but the vector dose-response reaches saturation at higher doses (39). Likewise, our previous and present studies showed that the dose-response profile in rAAV1-mediated liver transduction changes between a low dose range (showing a disproportionately greater dose-response) and a high dose range (showing a blunted dose-response) (17) (Fig. 1B).

In the rAAV8-mediated liver transduction, log/log plots of the vector doses and the number of transduced hepatocytes (Fig. 1A) or the level of transgene expression (Fig. 1B) exhibited linearity throughout the given vector doses, with regression coefficients (r) of 0.945 (Fig. 1A) and 0.995 (Fig. 1B). The  $\log y/\log x$  slopes of the dose-response curves were 0.450 (Fig. 1A) and 0.585 (Fig. 1B). The  $\log y/\log x$  slope for a proportional dose-response should be ≈1.0. Therefore, neither the dose-response determined by measuring the number of transduced hepatocytes nor that determined by measuring the transgene protein product was directly proportional to various vector doses. The similar values of both slopes (both are around 0.5) imply that the total amount of transgene product and the number of transduced hepatocytes correlate with each other. Although the dose-response with rAAV8 was not proportional, both the number of transduced hepatocytes and transgene expression levels predictably increased with a constant factor of  $\exp(\approx 0.5)$ . In other words, when the AAV8-EF1 $\alpha$ -nlslacZ vector is injected into mice at doses of  $5.0 \times 10^{10}$ vg/mouse or higher, an x-fold increase in the vector dose results in  $x^{\sim 0.5}$ -fold increase in the number of transduced hepatocytes and transgene expression until all the hepatocytes are transduced.

Total number of stably transduced double-stranded vector genomes in the liver increased in proportion to given vector doses irrespective of serotype. Next we determined doublestranded vector genome copy numbers per diploid genomic equivalent (ds-vg/dge) in the livers by Southern blot analysis. The results are summarized in Table 1 and Fig. 1C. In Fig. 1C, all vectors showed similar  $\log y/\log x$  slopes close to 1.0 (i.e., 0.775, 1.005, and 1.119 for rAAV8, rAAV1 and rAAV2, respectively) with a regression coefficient (r) of 0.999 in each case until the slopes of the dose-response curves started decreasing. This demonstrates that the total number of stably transduced double-stranded vector genomes in the liver increased proportionally irrespective of serotype until the saturation dose was reached. Considering that each of the three serotypes display distinct dose-response profiles, it is conceivable that the quality or state but not the absolute quantity of the double-stranded vector genomes in hepatocytes determines the dose-response profiles.

Decreased specific activity of vector genomes in the liver was concordant with the emergence of vector genome concatemers. It is possible to deduce the specific activity of the double-stranded vector genomes by dividing β-galactosidase expression levels by vector genome copy numbers (Table 1). In rAAV1 or rAAV8-mediated liver transduction, vector genome specific activity decreased as the vector dose increased. This

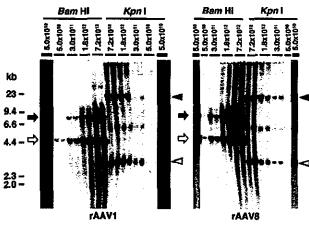


FIG. 3. Southern blot analysis of rAAV vector genomes in liver transduced with AAV1- or AAV8-EF1\alpha-nlslacZ at various doses. The left and right panels show the results obtained with AAV1-EF1anlslacZ- and AAV8-EF1\alpha-nlslacZ-injected mice. Total genomic DNA was extracted from the livers harvested 6 weeks postinjection and separated on 0.8% agarose gels following BamHI or KpnI digestion. BamHI cleaves the vector genome only once at nucleotide position 1362, while KpnI does not cut the 4,828-base genome. The vector genomes were detected with a 2.1-kb lacZ probe (nucleotide positions 1518 to 3639). Each lane represents an individual mouse. Injected vector doses (vg per mouse) are indicated above each lane. For the results obtained from the mice injected with  $5.0 \times 10^{10}$  vg/mouse, strips from overexposed blots are also shown to demonstrate the presence or absence of concatemers. They are indicated with thicker lines above the lanes. Open and solid arrows indicate head-to-tail and tailto-tail molecules, respectively. Open and solid arrowheads indicate supercoiled double-stranded circular monomer vector genomes and concatemers, respectively. Head-to-tail molecules include both circular monomer genomes and concatemers, while tail-to-tail molecules represent concatemers exclusively. Therefore, the intensity of tail-to-tail molecules well correlates with the abundance of concatemers.

was also the case for rAAV2 vectors (39). The mechanism of this decreased genome specific activity is not yet clear but is likely related to the formation of less active double-stranded vector molecules, presumably concatemers (39). The present study, combined with the results from our previous study (39), showed that decreased vector genome specific activity is concordant with the emergence of concatemers. At the lowest dose,  $5.0 \times 10^{10}$  vg/mouse, the rAAV8 vector genomes formed substantial concatemers, while rAAV1 and rAAV2 formed exclusively circular monomers (Fig. 3) (39). The vector genome specific activities of rAAV1, rAAV2, and rAAV8 at this dose were 377, 489, and 223 (pg/mg of protein)/(ds-vg/dge), respectively, which is consistent with our presumption that concatemer formation decreases vector genome specific activity.

Comparison of tail (peripheral) vein and portal vein injection of rAAV8 vectors. Recently, Sarkar et al. reported that tail vein injection was as efficient as portal vein injection in the context of the canine coagulation factor VIII-expressing rAAV8 vector at a dose of  $1.0 \times 10^{11}$  vg/mouse (49). In our previous studies, we found that, with multiple serotypes, rAAV transduction was more efficient when delivered by the portal vein compared to the tail vein (17, 35). To further address this issue, we injected male C57BL/6 mice with  $3.0 \times 10^{11}$  vg of the rAAV8-hF.IX16 vector via the portal vein or the tail vein and

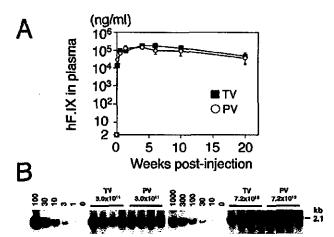


FIG. 4. Comparison of efficiency of rAAV8-mediated liver transduction between tail vein and portal vein injections. (A) Plasma human coagulation factor IX (hF.IX) levels after tail vein (TV) or portal vein (PV) injection of AAV8-hF.IX16 into male C57BL/6 mice. Robust human coagulation factor IX expression with no lag phase was observed with both routes. Expression peaked 4 weeks after injection, followed by a substantial (~75%) decline. Vertical bars indicate standard deviations. (B) Vector genome copy numbers (ds-vg/dge) in livers transduced with AAV8-EF1\alpha-nlslacZ via tail vein or portal vein injection at  $3.0 \times 10^{11}$  or  $7.2 \times 10^{12}$  vg/mouse. Total liver DNA was extracted 6 weeks postinjection, and 10 µg of DNA was analyzed by Southern blot with BglI digestion and a 2.1-kb lacZ probe (BglI-BglI fragment). The left and right blots were analyzed separately with a different series of vector copy number standards. The double-stranded vector copy number standards (0 to 100 and 0 to 1,000 ds-vg/dge) were prepared by adding the corresponding amount of plasmid, pAAV-EF1α-nislacZ, to 10 μg of liver DNA extracted from a naïve mouse. Each lane represents an individual mouse. Routes of administration and vector doses are indicated above the lanes.

monitored plasma human coagulation factor IX levels. Figure 4A summarizes the results. Both routes of injection resulted in rapid and robust expression of human coagulation factor IX in mouse plasma with no significant difference in transgene expression (Student's t test, P=0.28, with 4-week time point values). Human coagulation factor IX expression peaked 4 weeks postinjection at levels of around 200  $\mu$ g/ml, one log higher than that obtainable with the corresponding rAAV2 vector, AAV2-hF.IX16 (17, 39). However, transgene expression declined thereafter to levels of 40 to 50  $\mu$ g/ml. Such a decline in human coagulation factor IX expression has not been observed with AAV2-hF.IX16. The mechanism of the decline is currently unknown.

Next, in order to determine the transduction efficiency of rAAV8 in the liver, we injected male C57BL/6 rag-1 mice with two different doses of AAV8-EF1 $\alpha$ -nlslacZ (3.0 × 10<sup>11</sup> and 7.2 × 10<sup>12</sup> vg/mouse) via two different routes (tail vein and portal vein, n=4 each), and determined liver transduction efficiency 6 weeks postinjection through histochemical and molecular analyses. Control mice received excipient only. Serum samples were collected for measurement of ALT levels at days 1, 3, and 10 after injection. As summarized in Table 2, liver transduction efficiency was comparable between the two routes of injection at any vector dose (Student's t test, P=0.27 and 0.47 for the doses of 3.0 × 10<sup>11</sup> and 7.2 × 10<sup>12</sup> vg/mouse, respectively), achieving  $\approx$ 90% transduction efficiency with 7.2 × 10<sup>12</sup> vg/

TABLE 2. Comparison of portal vein and tail vein injection of AAV8-EF1α-nlslacZ 6 weeks postinjection

Vector dose	Mean transduction efficiency (%) $\pm$ SD (n)				
(vg/mouse)	Portal vein	Tail vein			
3.0 × 10 <sup>11</sup>	24.2 ± 13.1 (4)	15.5 ± 6.2 (4)			
$7.2 \times 10^{12}$	$85.4 \pm 7.3  (4)$	89.1 ± 6.3 (4)			
0 (excipient)	0.0 (2)	0.0 (2)			

mouse. These results were similar but not identical to the transduction efficiency found in the dose-response study (Table 1). This was presumed to result from a lot-to-lot variation of the two vector preparations and/or the use of a different batch of animals.

Southern blot analysis of liver DNA to assess vector genome copy numbers in the liver also supported the histological observations (Fig. 4B). The average vector copy numbers determined by densitometric analysis were  $37.6 \pm 8.8$  (tail vein,  $3.0 \times 10^{11}$  vg/mouse),  $47.1 \pm 6.0$  (portal vein,  $3.0 \times 10^{11}$  vg/mouse),  $815.6 \pm 203.7$  (tail vein,  $7.2 \times 10^{12}$  vg/mouse), and  $1,044.3 \pm 410.2$  (portal vein,  $7.2 \times 10^{12}$  vg/mouse) (mean  $\pm$  standard deviation). There was no statistical difference in vector genome copy numbers between tail vein and portal vein injections (Student's t test, P = 0.12 and 0.36 for  $3.0 \times 10^{11}$  and  $7.2 \times 10^{12}$  vg/mouse, respectively). Importantly, we did not observe any significant increase in the ALT levels at any time point (data not shown), suggesting that these vector doses did not cause liver damage. In addition, all the mice tolerated such a high dose well, and we did not find any histological evidence of cell damage or inflammation in the liver.

High-dose rAAV8 injection transduced multiple organs with considerable efficiency. The experimental results described above suggested that peripheral vein injection of the rAAV8 vector is a promising strategy that can safely yield ≈100% hepatocyte transduction. Although the results are pertinent to future gene therapy trials, we need to take into consideration that this strategy may increase the chance of vector spillover into nonhepatic tissues at these higher doses. To address this issue, we performed a tissue distribution study with mice injected via the tail vein or portal vein with two different doses  $(3.0 \times 10^{11} \text{ and } 7.2 \times 10^{12} \text{ vg/mouse}) \text{ of AAV8-EF1}\alpha\text{-nlslacZ}$ (four different combinations, as shown in Table 2). Six weeks postinjection, the brain, lung, heart, spleen, kidney, pancreas, testis, intestines, and skeletal muscle were examined in addition to the liver. Tissue distribution was assessed by both histological X-Gal staining of tissue sections and Southern blot analysis of vector genomes in the tissue DNA. We initially used the human EF1a enhancer-promoter-driven marker gene because it has been shown to be ubiquitously expressed in transgenic animals in a wide range of mouse cell types (18). Representative results of X-Gal staining of each tissue harvested from the mice injected with excipient only (control) and 7.2 × 10<sup>12</sup> vg/mouse via the tail vein are shown in Fig. 5A and B.

The study revealed that, at  $3.0 \times 10^{11}$  vg/mouse, very few  $\beta$ -galactosidase-expressing transduced cells were observed outside the liver. However, at  $7.2 \times 10^{12}$  vg/mouse, multiple organs contained many  $\beta$ -galactosidase-expressing cells (Fig. 5A and B). In particular, the brain, heart, and smooth muscles of the intestinal wall were relatively well transduced. The lung

and pancreas also contained a considerable number of positive cells. In addition, vascular smooth muscle cells in a variety of tissues were often found to be strongly positive (Fig. 5D). In the pancreas, most of the positive cells were acinar cells and found outside the Langerhans islets. There was no difference in the distribution between tail vein and portal vein injections (data not shown).

In the brain, immunohistochemical analyses demonstrated that both neurons and glial cells were transduced (Fig. 5C). The transduced cells were distributed throughout the brain, including the cerebral cortex, striatum, hippocampus, thalamus, and cerebellum. There were several small foci where transduced cells were clustered (Fig. 5Be). Such foci include the median eminence and arcuate nucleus of the hypothalamus and basolateral nucleus of the amygdala. Purkinje cells in the cerebellum were regionally well transduced (Fig. 5Bf), as previously reported by others using rAAV2 vectors (13, 20).

In the testis,  $\beta$ -galactosidase-positive cells were occasionally observed but restricted to cells residing in the interstitial space. None of the cells in the seminiferous tubules were positive for  $\beta$ -galactosidase activity.

Southern blot analysis of DNA extracted from these tissues revealed that double-stranded vector genomes were detected in all tissues analyzed at relatively high levels (Fig. 6). The average double-stranded vector copy numbers in each tissue were 19.6 ds-vg/dge in the heart, 19.0 ds-vg/dge in the skeletal muscle, 14.4 ds-vg/dge in the lung, 13.7 ds-vg/dge in the kidney, 7.6 ds-vg/dge in the testis, 5.1 ds-vg/dge in the intestine, 4.2 ds-vg/dge in the spleen, 2.7 ds-vg/dge in the brain, and 2.1 ds-vg/dge in the pancreas (from the highest to the lowest). It should be noted that even in the tissues with few positive cells, such as the spleen, testis, and skeletal muscle, double-stranded rAAV8 vector genomes were detected at levels comparable to those in the tissues with many β-galactosidase-expressing cells, suggesting that the extent of \( \beta\)-galactosidase expression does not necessarily correlate with the level of vector genome dissemination.

Peripheral injection of a rAAV8 vector transduced all the skeletal and heart muscles and a majority of pancreatic cells in the context of the cytomegalovirus promoter. To further address the discrepancy of the histological and Southern blot analyses in the context of the AAV8-EF1\alpha-nlslacZ vector, we repeated the tissue distribution study with the AAV8-CMVlacZ vector. We injected C57BL/6 rag-1 male mice via the tail vein with the AAV8-CMV-lacZ vector at a dose of  $3.0 \times 10^{11}$ or  $7.2 \times 10^{12}$  vg/mouse (n = 2 each). Three weeks after vector injection, we analyzed various tissues by X-Gal staining. At a dose of  $3.0 \times 10^{11}$  vg/mouse, hepatocytes were transduced at levels comparable to that with AAV8-EF1α-nlslacZ. However, interestingly, the best-transduced organ was not the liver but the heart. A majority of cardiomyocytes were transduced with AAV8-CMV-lacZ at a dose of  $3.0 \times 10^{11}$  vg/mouse (Fig. 5E), although not many β-galactosidase-expressing positive cells were observed in other nonhepatic tissues, including skeletal muscle.

At a dose of  $7.2 \times 10^{12}$  vg/mouse, the whole liver was transduced (Fig. 5E). Amazingly, AAV8-CMV-lacZ transduced the heart and skeletal muscles with an extremely high efficiency at this dose. The entire heart muscle was transduced, and virtually all the myofibers in skeletal muscles were trans-

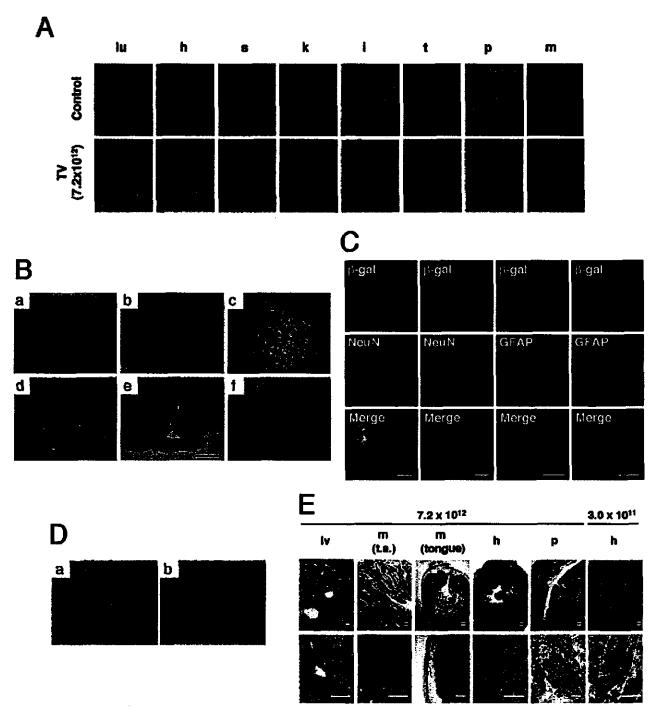


FIG. 5. Representative photomicrographs of sections of various mouse tissues 6 weeks after tail vein injection of AAV8-EF1 $\alpha$ -nlslacZ at a dose of 7.2 × 10<sup>12</sup> vg/mouse (A to D) or 3 weeks after tail vein injection of AAV8-CMV-lacZ at a dose of 3.0 ×10<sup>11</sup> or 7.2 × 10<sup>12</sup> vg/mouse (E). The sections were either X-Gal stained (A, B, D, and E) or stained with designated antibodies (C). (A) Tissue distribution of  $\beta$ -galactosidase-positive cells: lu, lung; h, heart; s, spleen; k, kidney; i, intestine; t, testis; p, pancreas; and m, skeletal muscle (quadriceps). The top row represents tissues from a mouse injected with excipient only, while the bottom row shows samples from vector-injected mice. (B) Brain transduction with rAAV8. (a) Cerebral cortex. Positive cells are scattered throughout the region. (b) Hippocampus. Positive cells are observed in both granule and pyramidal cell layers. (c) Striatum. (d) Amygdala. (e) Hypothalamus.  $\beta$ -Galactosidase-positive neurons and glial cells are clustered in the arcuate nucleus and median eminence. Some ependymal cells of the third ventricle are also positive. (f) Cerebellum. Purkinje cells are regionally well transduced. (C) Confocal microscopy to assess colocalization of  $\beta$ -galactosidase and either NeuN (a marker for neurons) or GFAP (a marker for astrocytes) to determine rAAV8-transduced cell types in the cerebral cortex of the brain. Both neurons and glial cells were transduced with rAAV8. Scale bars, 5  $\mu$ m. (D) Transduction of vascular smooth muscle cells in the walls of a branch of the coronary artery (a) and a branch of the splenic artery (b). (E) Tissue distribution of  $\beta$ -galactosidase-positive cells in mice injected with AAV8-CMV-lacZ via the tail vein. The vector doses (vg/mouse) are indicated above the pictures. The section of the pancreas was also stained with anti-insulin antibody (brown cells). Scale bars (duplicated lines), 250  $\mu$ m.  $\nu$ m



FIG. 6. Tissue distribution analysis by Southern blot. Various tissues were harvested from mice injected with  $7.2 \times 10^{12}$  vg of AAV8-EF1 $\alpha$ -nlslacZ via the tail vein or the portal vein (one mouse each). Total genomic DNA was extracted from tissues, and 10  $\mu$ g of each DNA was digested with BglI and separated on a 0.8% agarose gel. The vector genomes were detected with a 2.1-kb BglI-BglI lacZ probe. The double-stranded vector genome copy number standards (0 to 30 ds-vg/dge) were prepared as described in the legend to Fig. 4. Abbreviations: b, brain; lu, lung; h, heart; s, spleen; k, kidney; i, intestine; t, testis; p, pancreas; and m, skeletal muscle. In each set of tissues, the left and right lanes represent samples from mice injected via the tail vein and portal vein, respectively. For densitometric analysis, see the Results section.

duced (Fig. 5E). The whole-body X-Gal staining of a mouse injected intravenously with AAV8-CMV-lacZ revealed that all the skeletal muscles throughout the body, including the diaphragm, were completely transduced (data not shown). In addition, we observed substantial transduction of pancreatic acinar cells and islet cells (Fig. 5E).

Thus, the rAAV8 vector has strong tropism to the liver, but when high vector doses are systemically administered, the tropism becomes promiscuous, leading to undesirable transduction in nontarget tissues, particularly the heart, skeletal muscles, smooth muscles, pancreas, and brain. However, this opens up a new possibility that systemic administration of the rAAV8 vector can yield widespread transduction, with considerable efficiency, of a given target tissue.

#### DISCUSSION

The present study was conducted to investigate whether rAAV vectors of alternative serotypes can stably transduce all hepatocytes by administration of a high vector dose. To address this issue, we have chosen the rAAV1 and rAAV8 vectors and performed a dose-response study with a nuclear localizing LacZ-expressing vector. Both vectors have been shown to have liver transduction kinetics distinct from that of rAAV2 vectors (15, 17, 54). Although the rAAV1 vector failed to transduce all the hepatocytes due to a blunted dose-response at high vector doses, the present study clearly demonstrated that the dose-response profile of rAAV8 remained unchanged throughout a wide range of vector doses until all the hepatocytes were stably transduced at 7.2 × 10<sup>12</sup> vg/mouse. Excluding the self-complementary vectors discussed below, this is the first report that a rAAV vector can yield ≈100% hepatocyte transduction with no obvious toxicity after a simple, noninvasive peripheral vein injection. In addition, we demonstrated that intravenous injection of the rAAV8 vector into mice could transduce entire skeletal muscles throughout the body, the entire heart muscle, and substantial numbers of pancreatic cells, smooth muscle cells, and brain cells.

Our knowledge about the mechanisms of rAAV transduction is still very limited. We have been investigating why rAAV2 vectors can stably transduce only a subset of hepatocytes. Impaired vector uptake in a subset of hepatocytes cannot explain this observation because vector genomes were found in most of the hepatocytes a day following vector administration (32). Therefore, blocks at the level of post-vector entry processing should contribute to the restricted liver transduction with rAAV2. Such barriers include endosomal processing and viral coat modification, which involves the ubiquitin/proteasome system (9, 19), cytoplasmic trafficking (48, 50), nuclear entry, uncoating (54), conversion from single-stranded to double-stranded vector genomes (11, 12, 38), processing of double-stranded vector genomes into transcriptionally active molecules via vector genome recombination (8, 36), and stable residence in the nuclear environment, which allows transgene expression. It is not easy to reconcile various observations with some conflicts from different laboratories at this time, but several recent observations or innovations have provided several important clues to this issue.

Recently, self-complementary or double-stranded rAAV vectors have been developed (13, 30, 31, 57). Self-complementary rAAV vectors possess half-sized hairpin-like doublestranded vector genomes. The important feature of this vector is that it skips the requirement for duplex DNA formation from single-stranded vector genomes, which is one of the fundamental limiting steps for rAAV vector transduction (11, 12). It has recently been shown that ≈90% of hepatocytes could be stably transduced when the self-complementary rAAV serotype 2 vector carrying a marker gene was injected into mouse livers (57). This suggests that release of vector genomes from viral virions occurs in most of the hepatocytes, and therefore the mechanisms for restricted liver transduction should be related to the inefficiency of duplex DNA formation from single-stranded vector genomes. However, and importantly, this does not necessarily exclude the possibility that factors upstream of duplex DNA formation may contribute to the inefficiency of liver transduction with rAAV2.

At least two models have been proposed to address the inefficient duplex DNA formation in rAAV2-mediated liver transduction. The first model involves cellular machinery that directly regulates duplex vector genome formation. Recently, Zhong et al. demonstrated that, in T-cell protein tyrosine phosphate transgenic mice and FKBP52-knockout mice, the rAAV2 vector transduced 12 to 16 times more hepatocytes than the wild-type counterparts (61). In these mouse models, phosphorylated forms of FKBP52, known to bind to the AAV inverted terminal repeat and block second-strand synthesis (43), are downregulated or deficient. From their observations. they claimed that impaired duplex DNA formation by secondstrand synthesis (11, 12) precludes efficient transduction. The second model involves an upstream factor, i.e., the rate of capsid uncoating indirectly determines the efficiency of duplex DNA formation. In this model proposed by Thomas et al. (54), slower capsid uncoating of rAAV2 than of rAAV8 limits the formation of duplex DNA in hepatocytes through annealing of complementary plus and minus single-stranded rAAV2 genomes (38), resulting in inefficient liver transduction with rAAV2 vectors. At present, however, we do not have a clear answer that explains why stable liver transduction with rAAV2, but not rAAV8, is restricted to a fraction of hepatocytes.

Nonetheless, the present study demonstrated that all hepatocytes are capable of processing single-stranded rAAV2 genomes (delivered with AAV8 capsids) into duplex DNA. This implies that viral capsid proteins, and not cellular factors by

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themselves, substantially influence the efficiency of duplex DNA formation in each hepatocyte, at the level of post-vector entry processing of rAAV vectors. In other words, in rAAV2-nonpermissive hepatocytes, which account for  $\approx$ 90% of total hepatocytes and can take up rAAV2 vectors but not express transgene product, duplex rAAV2 vector genome formation is impaired at the level of post-vector entry when vector genomes are delivered with  $\Lambda\Lambda$ V2 capsids but not impaired when they are delivered with AAV8 capsids.

The tissue biodistibution profile after systemic administration of the rAAV8 vector has been reported in a study with a hemophilia A mouse model (49). They injected mice with 10<sup>10</sup> to 10<sup>11</sup> vg of canine coagulation factor VIII-expressing vector and quantified vector genome copy numbers by TaqMan PCR. They concluded that rAAV8 has strong tropism to the liver, but no apparent vector genome dissemination was observed, although they found 0.26 to 0.70 copy/cell signals in the hearts of 2 out of 21 mice examined and 0.41 to 0.77 copy/cell signals in the lungs of 3 out of 21 animals examined, the significance of which was not discussed in their report. It should be noted that these two organs are among the four organs that had vector genomes at levels over 10 ds-vg/dge in our study.

In our study, we have clearly demonstrated that, in the context of the cytomegalovirus promoter, rAAV8 transduced the heart with an extremely high efficiency even at a dose of 3.0 × 10<sup>11</sup> vg/mouse, and the heart was the best-transduced tissue among all the tissues analyzed including the liver at  $3.0 \times 10^{11}$ vg/mouse. At a dose of  $7.2 \times 10^{12}$  vg/mouse, 100% of cardiomyocytes were transduced. Although we could not determine the minimum rAAV8 vector dose required for 100% cardiomyocyte transduction, it is presumed to be much less than 7.2  $\times$  10<sup>12</sup> vg/mouse, given that 3.0  $\times$  10<sup>11</sup> vg/mouse was sufficient to transduce a majority of cardiomyocytes. Skeletal muscles were also well transduced at a high vector dose. At a dose of  $7.2 \times 10^{12}$  vg/mouse, virtually all the myofibers in the entire skeletal muscle system throughout the body were transduced, although they were less susceptible to rAAV8 than cardiac muscle, given that not many myofibers were transduced at a dose of  $3.0 \times 10^{11}$  vg/mouse. Recently, Gregorevic et al. have shown that intravascular administration of rAAV6 vectors resulted in widespread skeletal muscle transduction and entire cardiac muscle transduction, as we have observed with rAAV8 in our present study, and they also have established proof of principle that systemic administration of a rAAV6 vector can be used to treat Duchenne muscular dystrophy (16). It should be noted that, in order to increase the permeability of the peripheral microvasculature, their method required simultaneous injection of vascular endothelium growth factor, which was not needed in the context of the rAAV8 vectors.

It is also intriguing that we observed extensive transduction in the pancreas with rAAV8 without any histological evidence suggestive of cell damage or inflammation. In agreement with the previous report on rAAV8 (55), pancreatic acinar cells were the major target, but insulin-producing pancreatic islet cells were also transduced to a certain extent. Our study has demonstrated that systemic administration of rAAV8 vectors could achieve pancreatic transduction at levels equivalent to or even higher than that achievable with adenovirus vectors (55).

It was surprising to us that tail vein or portal vein injection of the rAAV8 vector could transduce broad regions of the brain, since in general it is not possible to transduce this organ by systemic intravenous administration of viral vectors due to the presence of the blood-brain barrier. rAAV vector shedding with negligible levels in the brain has occasionally been reported in tissue distribution preclinical studies (10, 17, 32, 49, 58). However, none of these studies have investigated the origins of the PCR-positive signals. Whether rAAV traversed the blood-brain barrier and transduced neurons and glial cells or remained in the connective tissues including blood vessels has not been addressed. It is intriguing that the median eminence and arcuate nucleus of the hypothalamus and basolateral nucleus of the amygdala were focally transduced with high efficiency. The mechanism(s) underlying focal high transduction is not clear but may be related to a rich blood supply. Interestingly, the hypothalamus is known to have fenestrated capillaries that have numerous small pores increasing vascular permeability.

Dissemination of the viral vectors to the brain is a serious concern in terms of liver gene therapy, but gene delivery to neurons and glial cells by viral vectors holds great promise for gene therapy for central nervous system diseases. Direct intracranial injection of vectors allows efficient transduction of brain tissue, but the transduction is normally limited to the vicinity of the injection site. Many central nervous system diseases broadly affect brain tissue, and therefore global brain transduction by alternative approaches is often preferred. However, the presence of the blood-brain barrier has precluded widespread transduction of the brain. Recently, two strategies, in utero gene transfer (26, 27) and systemic or regional viral administration after mannitol infusion (13, 28), have been shown to successfully overcome this hurdle. The former approach takes advantage of the immaturity of the fetus's blood-brain barrier with increased permeability, and the latter transiently disrupts the blood-brain barrier by making a hyperosmotic environment in the brain capillaries. The mechanisms by which rAAV8 could efficiently traverse the intact blood-brain barrier have yet to be elucidated, and whether rAAV8 particles were actively escorted by a not-yet-defined system or the high dose of rAAV8 vector infusion itself damaged the blood-brain barrier needs to be addressed.

It should be noted that the viral preparation we used for this study contained 5% sorbitol in PBS. Sorbitol is a carbohydrate with the same molecular weight as mannitol and is used clinically to introduce a hyperosmotic environment. Fu et al. reported that, in order to open the blood-brain barrier and transduce mouse brain tissue with intravenously administered rAAV2, preinfusion of 200 µl of 25% mannitol (corresponding to 50 mg of mannitol) was required, and simultaneous infusion of the same amount of 12.5% mannitol (corresponding to 25 mg) had no effect. In our study, we injected 300 µl of vector preparations (equivalent to 15 mg of mannitol), and therefore it is unlikely that our excipient contributed to the transient disruption of the blood-brain barrier. Nonetheless, our study clearly demonstrated that intravenous administration of rAAV8 vectors can transduce neurons and glial cells in broad regions of the adult mouse brain without any treatment that disrupts the blood-brain barrier. Although the mechanism is not clear, rAAV8 will offer an alternative approach to global central nervous system gene delivery in combination with currently available strategies.

Except for the liver, direct injection into the target tissue is a standard approach for transduction with rAAV vectors. This approach is desirable because it can minimize the possibility of vector dissemination to remote organs. However, it has often suffered from the confinement of vectors to the injection site, precluding widespread transduction in a target organ. In this regard, rAAV8 may be applied for global transduction in a given nonhepatic target organ. All the tissues analyzed had double-stranded rAAV8 vector genomes at levels of at least 2 ds-vg/dge. It is important to emphasize that vector dissemination was determined by genomic DNA Southern blot analysis and not a PCR-based assay. This method is superior in detecting double-stranded vector genomes formed within cells. It should be noted that transduction efficiency determined by transgene expression and vector genome copy numbers were not correlated. Presumably the promoter activities vary among the tissues, and it is possible that the tissues with a limited number of β-galactosidase-positive cells in the context of the EF1α or cytomegalovirus enhancer-promoter would have substantial transduction if a different enhancer-promoter is used. Further investigation will be needed to address these discrep-

In summary, we demonstrate that all hepatocytes are able to convert incoming single-stranded vector genomes to duplex DNA and are permissive to stable transduction with rAAV8 vectors. In contrast to rAAV2 vectors, ≈100% hepatocyte transduction with the rAAV8 vector could be achieved, and multiple organs could be transduced with extremely high efficiencies following a peripheral vein injection simply by increasing the vector dose. These results not only provide new insights into the mechanisms of liver transduction with rAAV vectors but also open up new applications for rAAV8 vectors in gene therapy, functional genomics, and generating various disease animal models, although, from a safety point of view, a highdose systemic rAAV8 vector injection strategy will need to take into account the promiscuous tropism of this vector.

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# Research article

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# Effect of phospholipase $A_2$ inhibitory peptide on inflammatory arthritis in a TNF transgenic mouse model: a time-course ultrastructural study

Maung-Maung Thwin<sup>1</sup>, Eleni Douni<sup>2</sup>, Vassilis Aidinis<sup>2</sup>, George Kollias<sup>2</sup>, Kyoko Kodama<sup>3</sup>, Kazuki Sato<sup>3</sup>, Ramapatna L Satish<sup>4</sup>, Ratha Mahendran<sup>4</sup> and Ponnampalam Gopalakrishnakone<sup>1</sup>

Corresponding author: Ponnampalam Gopalakrishnakone, antgopal@nus.edu.sg

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#### **Abstract**

We evaluated the therapeutic effect of secretory phospholipase  $A_2$  (sPLA<sub>2</sub>)-inhibitory peptide at a cellular level on joint erosion, cartilage destruction, and synovitis in the human tumor necrosis factor (TNF) transgenic mouse model of arthritis. Tg197 mice (N = 18) or wild-type (N = 10) mice at 4 weeks of age were given intraperitoneal doses (7.5 mg/kg) of a selective sPLA<sub>2</sub> inhibitory peptide, P-NT.II, or a scrambled P-NT.II (negative control), three times a week for 4 weeks. Untreated Tg197 mice (N = 10) were included as controls. Pathogenesis was monitored weekly for 4 weeks by use of an arthritis score and histologic examinations. Histopathologic analysis revealed a significant reduction after P-NT.II treatment in synovitis, bone erosion, and cartilage destruction in particular. Conspicuous ultrastructural alterations seen in articular chondrocytes (vacuolated cytoplasm and loss of nuclei) and synoviocytes (disintegrating nuclei and vacuoles,

synovial adhesions) of untreated or scrambled-P-NT.II-treated Tg197 mice were absent in the P-NT.II-treated Tg197 group. Histologic scoring and ultrastructural evidence suggest that the chondrocyte appears to be the target cell mainly protected by the peptide during arthritis progression in the TNF transgenic mouse model. This is the first time ultrastructural evaluation of this model has been presented. High levels of circulating sPLA2 detected in untreated Tg197 mice at age 8 weeks of age were reduced to basal levels by the peptide treatment. Attenuation of lipopolysaccharide- and TNF-induced release of prostaglandin E2 from cultured macrophage cells by P-NT.II suggests that the peptide may influence the prostaglandin-mediated inflammatory response in rheumatoid arthritis by limiting the bioavailability of arachidonic acid through sPLA2 inhibition.

Keywords: peptide, secretory phospholipase A2 inhibition, rheumatoid arthritis, TNF transgenic mouse model, ultrastructural alterations

#### Introduction

Secretory phospholipase A<sub>2</sub> (sPŁA<sub>2</sub>) is a key enzyme in the production of diverse mediators of inflammatory and related conditions [1]. Because of the crucial role it plays in inflammatory diseases such as rheumatoid arthritis (RA) [2], sPLA<sub>2</sub> is referred to as inflammatory PLA<sub>2</sub> [3]. High levels of sPLA<sub>2</sub> have been found in synovial tissues and fluid from patients with RA [2,4]. Purified synovial PLA<sub>2</sub> can elicit an inflammatory arthritogenic response when injected into the joint space of healthy rabbits and rats [5,6]. It has been

reported that sPLA<sub>2</sub> expression parallels the severity of the inflammatory process with lack of enhancement of cytosolic phospholipase A<sub>2</sub> (cPLA<sub>2</sub>) mRNA in an adjuvant arthritis model, thus indicating the pathogenic role played by sPLA<sub>2</sub> [7]. Colocalization studies using primary synovial fibroblasts from RA patients have also suggested sPLA<sub>2</sub> as a critical modulator of cytokine-mediated synovial inflammation in RA [8]. As a result of its important role in the inflammatory response, inhibition of sPLA<sub>2</sub> is a target for the treatment of inflammatory diseases. Inhibition of sPLA<sub>2</sub>

AA = arachidonic acid; ANOVA = analysis of variance; AS = arthritis score;  $cPLA_2 = cytosolic$  phospholipase  $A_2$ ; DMSO = dimethyl sulfoxide; HS = histopathologic score; LPS = lipopolysaccharide; PGE = prostaglandin E; PIP = phospholipase inhibitor from python; RA = rheumatoid arthritis; rER = rough endoplasmic reticulum; SEM = standard error of the mean;  $sPLA_2 = secretory$  phospholipase  $A_2$ ; Tg = transgenic; TNF = tumor necrosis factor.

<sup>&</sup>lt;sup>1</sup>Venom & Toxin Research Program, Department of Anatomy, National University of Singapore, Singapore

<sup>&</sup>lt;sup>2</sup>Institute of Immunology, Biomedical Sciences Research Center, Al Fleming, 34 Al Fleming Street, 16672 Vari, Greece

<sup>&</sup>lt;sup>3</sup>Fukuoka Women's University, Fukuoka 813-8529, Japan

<sup>&</sup>lt;sup>4</sup>Department of Surgery, Faculty of Medicine, National University of Singapore, Singapore

could result in suppression of several classes of proinflammatory lipids such as prostaglandins, leukotrienes, plateletactivating factor, and lysophospholipid [1].

Elevated levels of circulating sPLA, are usually associated with high blood levels of proinflammatory cytokines [9], which are used as an indicator of the extent of systemic inflammation [10,11]. sPLA, has been shown to activate the production of proinflammatory cytokines in blood and synovial fluid monocytes [12], suggesting that the two can cooperate to promote inflammation by enhancing each other's secretion. sPLA2 may act on the cells stimulated with such cytokines, leading to augmentation of the inflammatory responses. The fact that cotransgenic sPLA2 and tumor necrosis factor α (TNF-α) mice show more extensive swelling than TNF-α transgenic mice [13] may be evidence in support of a possible synergism between sPLA2 and TNF. Hence, inhibition of sPLA2 may further help to suppress inflammation in RA by blocking the formation of proinflammatory cytokines.

A significant reduction of the inflammatory response has been reported in animals injected with natural or synthetic sPLA<sub>2</sub> inhibitors [14,15]. Two families of endogenous proteins, namely lipocortins and uteroglobin, have been shown to possess anti-inflammatory properties due to their ability to inhibit sPLA<sub>2</sub>. Synthetic peptides called antiflammins derived from these proteins are one of the most potent classes of anti-inflammatory agents identified to date [16]. A recombinant protein termed PIP (phospholipase inhibitor from python), which we have expressed from the liver of a nonvenomous snake, Python reticulatus [17], exhibits in vivo anti-inflammatory activity that correlates well with its in vitro inhibitory potency towards sPLA2. In a clinically relevant model of postsurgical peritoneal adhesion, the peptide analog P-PB.III, which has a fragment of an anti-inflammatory protein PIP included in its sequence, exhibits stronger in vivo anti-inflammatory activity than that displayed by antiflammin [18]. Further screening of the PIP amino acid sequence provides us with a new peptide with improved potency. 17-mer This new peptide 56LGRVDIHVWDGVYIRGR72 is a selective inhibitor of human sPLA<sub>2</sub>-IIA, with an amino acid sequence corresponding to residues 56-72 of the native protein PIP. It significantly reduces high levels of sPLA2 detected in rat hippocampal homogenates after intracerebroventricular injections of a neurotoxin, kainic acid [19]. These findings establish that peptides or recombinant proteins that inhibit sPLA2, or their peptide derivatives, are highly attractive candidates for clinical development as anti-inflammatory agents.

The present study was designed to investigate the effect of a selective sPLA<sub>2</sub>-inhibitory peptide, P-NT.II, on ultrastructural changes of ankle-joint synovitis, cartilage degradation,

and bone erosion in the Tg197 TNF transgenic mouse model of arthritis [20], and to assess the effects of peptide intervention on the clinical and histologic indices of RA.

# Materials and methods Animals

The generation and characterization of Tg197 human TNF transgenic mice have been previously described [20]. Tg197 mice generated on CBA × C57BL/6 genetic backgrounds and littermate controls were bred and maintained at the animal facilities of the Biomedical Sciences Research Center, Alexander Fleming, Athens, Greece, under specific-pathogen-free conditions. All of the Tg197 mice typically developed polyarthritis 3–4 weeks after birth, whereas nontransgenic (wild-type) mice remained normal. Mice were given conventional oral food and water ad libitum. All procedures involving animals were in compliance with the Declaration of Helsinki principles.

#### **Experimental protocol**

A total of 44 weight-matched mice (34 Tg197 and 10 non-transgenic wild-type littermates) were divided into six groups for subsequent gross observations and histopathologic analyses – untreated Tg197 group (N=10), P-NT.II-treated Tg197 group (N=10), scrambled-P-NT.II-treated Tg197 group (N=10), P-NT.II-treated wild-type group (N=10), scrambled-P-NT.II-treated wild-type group (N=10), scrambled-P-NT.II-treated wild-type group (N=10), and Tg197 baseline group – just before the treatment at 4 weeks of age (N=10). Nontransgenic mice were given the same dose of P-NT.II or scrambled P-NT.II, and the same regimen of treatment, as the Tg197 mice.

# Peptide synthesis and administration

P-NT.II (test peptide) and the scrambled P-NT.II (negative control peptide) were synthesized using the solid-phase method with 9-fluorenylmethoxy carbonyl chemistry and were purified and validated as described elsewhere [18]. They were stored lyophilized at -20°C in sealed tubes and were dissolved freshly before use in 0.1% dimethyl sulfoxide (DMSO). Each Tg197 or wild-type mouse was given intraperitoneal injections of P-NT.II or the scrambled P-NT.II (7.5 mg/kg) in 50 µI of vehicle (0.1% final DMSO concentration), three times a week for 4 weeks (i.e. from age 4–8 weeks).

#### **Clinical assessment**

This was done by gross observations based on bodyweight measurements and arthritis scoring, which were done twice weekly from 4 weeks (baseline) to 8 weeks of age (end of the study), after which all the animals were killed by  $\mathrm{CO}_2$  inhalation. The level of severity of clinical arthritis was evaluated based on an arthritis score (AS) taken on both ankle joints. Average scores on a scale of 0–3 were used;  $1 = \mathrm{mild}$  arthritis (joint swelling);  $2 = \mathrm{moderate}$  arthritis (severe joint swelling and deformation, no grip

strength); 3 = severe arthritis (ankylosis detected on flexion, and severely impaired movement) [21].

#### Histologic examinations

The whole ankle joints harvested from the right side of each mouse were fixed overnight in 10% formalin, decalcified in 30% citrate-buffered formic acid for 3 days at 4°C, dehydrated in a graded series of methanol and xylene, and then embedded in paraffin. Thin sections (6 µm thick) were stained with hematoxylin and eosin, and histopathologic scorings performed under the light microscope (Leitz Aristoplan) by a blinded observer. The histopathologic score (HS) was evaluated [21] using a scale of severity ranging from 1 to 4, where 1 = hyperplasia of the synovial membrane and presence of polymorphonuclear infiltrates, 2 = pannus and fibrous tissue formation and focal subchondral bone erosion, 3 = articular cartilage destruction and bone erosion, and 4 = extensive articular cartilage destruction and bone erosion.

#### Scoring of joint parameters

Arbitrary scores were used to assess the extent of synovitis, cartilage destruction, and bone erosion. Semiquantitative scores from 0 to 4 were used for each histopathologic parameter [22]. Synovitis: 0 = normal; 1 = mild synovial hypertrophy (<5 cell layers) with few inflammatory cells; 2 = moderate synovial hypertrophy (<20 cell layers) with accumulation of inflammatory cells into intrasynovial cysts; 3 = pannus and fibrous tissue formation; and 4 = pannus and fibrous tissue formation on both sides of the ankle joint. Cartilage damage: 0 = intact; 1 = minor (<10%); 2 = moderate (10-50%); 3 = high (50-80%); and 4 = severe (80-100%). Bone erosions: 0 = normal; 1 = mild (focal subchondral erosion); 2 = moderate (multiple subchondral erosions); 3 = high (as above + focal erosion of talus); and 4 = maximum (multiple erosions of tarsal and metatarsal bones).

#### Transmission electron microscopy

Ankle joints dissected from the left hind leg of each mouse were split open longitudinally through the midline between the tibia and the talus, prefixed overnight with 2.5% glutaraldehyde in phosphate buffer, pH 7.4, and rinsed with the buffer. After they had been postfixed with 1% osmium tetroxide in phosphate buffer for 2 hours, they were dehydrated in a graded series of ethanol and embedded in epoxy resin (Araldite). Semithin sections (1.0 μm) were cut and stained with methylene blue to reveal their orientation for ultrathin sectioning and for histopathologic scoring under the light microscope. Ultrathin sections (80-90 nm) were then cut with an ultramicrotome (Ultracut E; Riechert-Jung, Leica, Vienna, Austria), mounted on copper grids, counterstained with uranyl acetate and lead citrate, and evaluated in the electron microscope (CM120 Biotwin; FEI Company, Electron Optics, Eindhoven, The Netherlands).

#### Measurement of serum PLA2

sPLA<sub>2</sub> was measured in the serum of transgenic (Tg197) mice and nontransgenic wild-type controls, using an Escherichia coli membrane assay as described previously [18]. In brief, [3H]arachidonate-labeled E. coli membrane suspension (5.8 μCi/μmol, PerkinElmer Life Sciences, Inc, Boston, MA, USA) was used as substrate, and 25 mM CaClo-100 mM Tris/HCI (pH 7.5) as assay buffer. The reaction mixture, containing substrate (20 µl) and either purified human synovial sPLA<sub>2</sub> standard (1-80 ng/ml; Cayman Chemical Company, Ann Arbor, MI, USA) or serum (10 µl), in a final volume of 250 µl in assay buffer, was incubated at 37°C for 1 hour, and the reaction was terminated with 750  $\mu l$  of chilled phosphate-buffered saline containing 1% bovine serum albumin. Aliquots (500 μl) of the supernatant were then taken, for measurement of the amount of [3H]arachidonate released from the E. coli membrane using liquid scintillation counting (LS 6500 Scintillation Counter; Beckman Inc., Fullerton, CA, USA). The amount of sPLA, present in the serum was calculated from the standard curve and is expressed as ng/ml ± SEM.

#### Cell culture

The murine macrophage cell line J774 (American Type Culture Collection, Manassas, VA, USA) was cultured at 37°C in humidified 5% CO<sub>2</sub>/95% air in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum, 2 mM glutamine, 20 mM HEPES, 100 IU/ml penicillin, and 100 μg/ml streptomycin. After growing to confluence, the cells were dislodged by scraping, plated in 12 culture wells at a density of 5 x 105 cells/ml per well, and allowed to adhere for 2 hours. Thereafter, the medium was replaced with fresh medium containing lipopolysaccharide (LPS) (2  $\mu g/ml$ ) and one of the PLA2 inhibitors (P-NT.II, scrambled P-NT.II, or LY315920 [Lilly Research Laboratories, Indianapolis, IN, USA], dissolved in DMSO [final concentration 0.1% v/v]). Peptides were tested at various concentrations ranging from 0.01 to 40 µM. After incubation in 5% CO<sub>2</sub>/95% air at 37°C for 20 hours, culture medium supernatants were collected and stored frozen (-80°C) until use. In parallel experiments, cells were stimulated with mouse recombinant TNF (10 ng/ml; Sigma, St. Louis, MO, USA) for 20 hours, in the presence or absence of 10 µM P-NT.II or LY315920 dissolved in DMSO (0.1% final concentration). Culture medium supernatants were collected after centrifugation (10,000 g, 4°C, 15 min) and stored at -80°C prior to measurement of prostaglandin E2 (PGE2).

# Cell viability assays

XTT (sodium 3'-[(phenyl amine carboxyl)-3,4-tetrazolium]-bis(4-methoxy-nitro) benzene sulfonic acid hydrate) Cell Proliferation Kit II (Roche Applied Science) was used to assess the possible cytoxic effect of the peptide P-NT.II on the mouse macrophage J774 cell line.