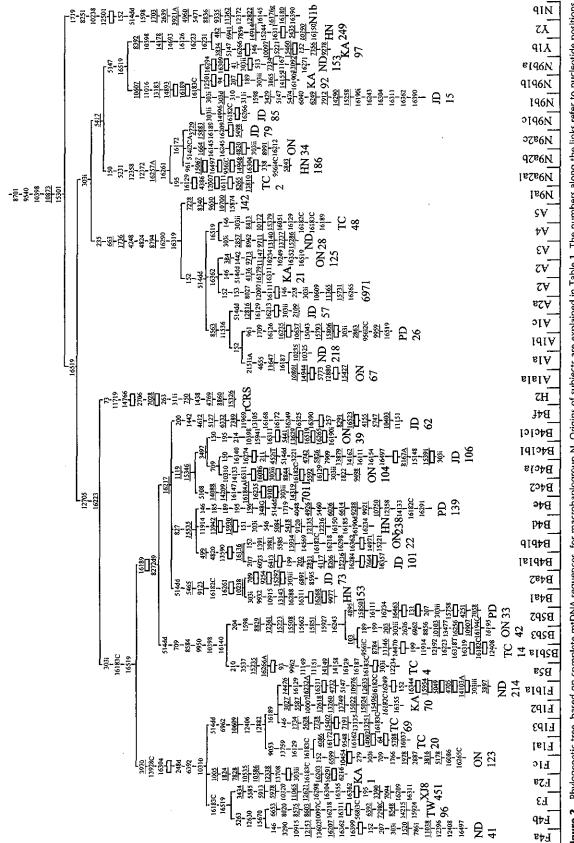


s, based on complete mtDNA sequences, for macrohaplogroup M in general (A) and for subhaplogroup D (B) in particular. Subject origins are given in the links refer to nucleotide positions, arbitrarily written in ascending order. Open boxes are nodes from which other (not shown) sequences branch. A, "i" insertions. Nonrecurrent mutations are underlined. **Figure 1** Phylogenetic tree, based on complete mtDNA sequences, for Table 1. The numbers along the links refer to nucleotide positions, arbitr C, G, and T indicate transversions; whereas "d" indicates deletions and



the links refer to nucleotide positions, indicates deletions and "i" insertions. for macrohaplogroup N. Origins of subjects are explained in Table 1. The numbers along C, G, and T indicate transversions; whereas " \bar{d} " order. Open boxes are nodes from which other (not shown) sequences branch. A, based on complete mtDNA sequences, arbitrarily written in ascending order. O Nonrecurrent mutations are underlined

Table 1. List of Individuals Used to Build Up the Networks Shown in Figures 1 and 2

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TW96 F4b Indigenous Ingman and Gyllensten 2 Taiwanese	2003

Table 1. Continued

Sample	Haplogroup	Origin	References
XJ8451	F3	Chinese	Kong et al. 2003
KA1	F2a	Japanes e	This work
ON123	F1c	Japanese	This work
TC20	F1a1	Japanese	This work
TC69	F1b3	Japanese	This work
KA70	F1b2	Japanese	This work
ND214	F1b1a	Japanese	This work
TC4	B5a	Japanese	This work
TC14	B5b1a	Japanese	This work
ON42	B5b3	Japanese	This work
PD33	B5b2	Japanese	This work
HN153	B4a1	Japanese	This work
JD73	B4a2	Japanese	This work
D101	B4b1a1	Japanese	This work
ON22	B4b1b	Japanese	This work
HN238	B4d	Japanese	This work
PD139	B4e	Japanese	This work
7011	B4c2	Uzbek	Ingman et al. 2000
ON104	B4c1a	Japanese	This work
D106	₿4¢1b1	Japanese	This work
ON39	B4c1c1	J apanese	This work
D62	B4f	Japanese	This work
rCRS	H2	English	Andrews et al. 1999
N1b	N1b	Jordanian	Maca-Meyer et al. 2001
ON67	A1a1a	Japanese	This work
ND218	A1a	Japanese	This work
PD26	A1b1	Japanese	This work
ID57	A1c	Í apanese	This work
6971	A2a	Chukchi	Ingman et al. 2000
KA21	A2	Japanese	This work
ON125	- A2	Japanese	This work
ND28	A3	Japanese	This work
TC48	A4	Japanese	This work
142	A5	Japanese	This work
TC2	N9a1	Japanese	This work
HN186	N9a2a1	Japanese	This work
ON34	N9a2b	Japanese	This work
ID79	N9a2c	japanese	This work
ÍD85	N9b1c	Japanese	This work
D15	N9b1	Japanese	This work
KA92	N9b1b	Japanese	This work
ND153	N9b1a	Japanese	This work
KA97	Y1b	Japanese	This work
HN249	Y2	Japanese	This work

ized by 1382C, 8964, and 9824A mutations and named D4b2, is represented by lineages GC20 and KA83 in Figure 1B. Furthermore, 12 new branches at the same phylogenetic level as subhaplogroups D4a and D4b can be identified in the network. Accordingly, they have been successively named from D4c to D4n. On the other hand, D5 was defined by mutations 150, 10397, and 16189 (Yao et al. 2002a); however, 16189 is not present in all D5 lineages. We have named D5a and D5b those lineages that share this mutation and 9180 and D5c those lacking them. Consequently, we propose to rename D5a of Yao et al. (2002a) as D5a1. Additional mutations (1107 and 5301) define D5 (Fig. 1B), as has been recently confirmed (Kong et al. 2003). Of the four mutations at the basal branch of this group, 10397 seems to be a unique event; and the group can be diagnosed by the RFLP polymorphism +10396 BsrI. Recently, the phylogeny of haplogroup D has been revised in the light of complete sequences from Aleuts (Derbeneva et al. 2002b). By comparing their nomenclature to ours, it is possible to equate their D2 lineage to our D4e1 and their D3 lineage to our D4b1. As a total, D is the most abundant haplogroup in people of central and eastern Asia including mainland Japanese but not in the Ainu and Ryukyuans. However, the geographic distributions of some subhaplogroups are peculiar.

(continued)

For example, D5 is prevalent in southern areas. D4a is abundant in Chukchi of northeast Siberia, but D4a1 has its highest frequency in the Ryukyuans and clade D4n in the Ainu (Table 2).

Haplogroup M9

It is confirmed that haplogroup M9 is characterized by mutation 4491 (Fig. 1A), as recently proposed (Kong et al. 2003). Subhaplogroup M9a, as redefined by Kong et al. (2003), was identified by positions 153, 3394, 14308, 16234, and 16316 (Yao et al. 2002a). Nevertheless, not all lineages have 153. Although M9 could be RFLP-diagnosed by +1038 NlaIII and +3391 HaeIII polymorphisms, the latter one should be avoided; as 3391 is also present in some D4d1 lineages (Fig. 1B) and thus could produce misclassification. We have grouped lineages with 11963 as M9a1 and those with 153 as M9a2. M9 has a central and eastern Asian geographic distribution, and it reaches its greatest frequency (11%) and diversity (87%) in Tibet. In Japan, in addition to mainland Japanese it has been detected in the indigenous Ainu and Ryukyuans (Horai et al. 1996).

Haplogroup G

This haplogroup was first detected by Ballinger et al. (1992) and later named G by Torroni et al. (1994). It was defined by the presence of the combined RFLP polymorphism +4830 HaeII/ +4831 Hhal. In addition, the basal branch has mutations 709, 5108, and 14569 (Fig. 1; Kivisild et al. 2002). Subhaplogroup G1 was defined by transition 16017 (Schurr et al. 1999) and G2 by mutations 7600 and 16278 (Yao et al. 2002a). Recently, mutations 8200, 15323, and 15497 have been used for G1 status (Kong et al. 2003). This is confirmed with our Japanese sequences; consequently, we have defined G1a by 7867 (Fig. 1A). To avoid repetitions, the G1 group of Schurr et al. (1999) has been provisionally renamed as G5 (Table 2). At least two mutations (5601 and 13563) characterize G2; and five more, G2a (Fig. 1A; Kong et al. 2003). We have defined subclade G2a1 by the presence of 16189 and the derivative G2a1a by the addition of 16227, whereas 16051 and 16150 identify G2a2 lineages. Furthermore, two new subclades, G3 and G4, are also apparent in Japanese (Fig. 1A). Subgroup G5 is dominant in northeastern Siberia, but we have not detected it in our set of Japanese complete sequences. However, G1a1 has its highest frequencies in a cluster embracing Japanese, Ainu, Ryukyuan, and Koreans. On the contrary, G2 is relatively abundant in northern China and central Asia, reaching notable frequencies in the Mansi and in Tuvinians at the respective west and east ends of South Siberia (Table 2).

Haplogroup E

Haplogroup E was first RFLP-defined as having +16389 Hinfl and – 7598 Hhal by Ballinger et al. (1992), who named it G, and then later it was renamed E by Torroni et al. (1994). As a loss of restriction sites can be produced by different nucleotide mutations within the recognition sequence, since the beginning, some G2 sequences characterized by the 7600 transition were erroneously classified as belonging to haplogroup E. Recently, based on the complete sequences of coding regions, Herrnstadt et al. (2002) defined three Asiatic lineages as E, although only one (sequence 214) seems to be a genuine representative. It possesses transition 7598, which, similar to 7600, is also detectable with Hhal as a site loss; and it also harbors mutations 10834 and 869, which were found by Ballinger et al. (1992) as -10830 Hinfl and +868 DdeI in all and some individuals respectively classified as E. However, the inclusion of a Philippine complete sequence (Ingman and Gyllensten 2003) in our global tree clearly demonstrates that the last two mutations might only define a branch of E, as the Philippine sequence lacks both of them. On the contrary, in addition to 7598 and 16390, some of the four E mutations represented in Figure 1A before the branching point might be basic mutations.

In Herrnstadt et al. (2002), sequence 169 belongs to Haplogroup M9 because it has all coding-region positions defining this haplogroup; and sequence 287 to M1 because it has 6446 and 6680, the coding-region mutations that define the basic branch of M1 (Fig. 1). It must be mentioned that the ambiguous Korean lineage classified as E/G by Schurr et al. (1999), because it had both the -7598 HhaI characteristic E site and the +4830 HhaI characteristic G site, has been recently found again in a Korean sample (Snäll et al. 2002). All of them are, in fact, members of subhaplogroup G2. It seems that haplogroup E has a southern Asia distribution. Until now it has been detected in the Malay peninsula populations and in the Sabah of Borneo (Ballinger et al. 1992); and it is also present in coastal Papua New Guinea (Stoneking et al. 1990) as well as in some Pacific islands such as Guam (Herrnstadt et al. 2002) and the Philippines (Ingman and Gyllensten 2003). However, until now, it has not been detected in more northern Continental populations or islands such as the Japanese archipelago.

Haplogroup M8

A monophyletic clade (Fig. 1A) groups M8a, C, and Z lineages. Mutations 4715, 15487T, and 16298 have been proposed as diagnostic for this clade (Yao et al. 2002a). The transversion 7196A and the transition 8584 should also be included in its definition (Fig. 1A; Kivisild et al. 2002). However, as the 248d is also shared by all Z and C lineages (Fig. 1A), a basal node defined by this deletion and named CZ has been recently proposed (Kong et al. 2003). Subhaplogroup C was RFLP-defined by Torroni et al. (1992) by +13262 Alul. Yao et al. (2002a) added 248d, 14318, and 16327 as characteristic of C. In addition, positions 3552A, 9545, and 11914 are also diagnostic of this clade (Fig. 1A; Kivisild et al. 2002). The Japanese TC52 has the C1 status and the Buryat 6970 and the Evenky 6979 have the C4 status proposed by Kong et al. (2003). Subhaplogroup Z was defined by Schurr et al. (1999) by the presence of the following noncoding motifs: 16185, 16223, 16224, 16260, and 16298. Recently, it was considered that only 16185 and 16260 mutations should be counted as basic for the group (Yao et al. 2002a). However, in full agreement with the characterization proposed on the basis of complete Chinese Z sequences (Kong et al. 2003), three additional mutations (6752, 9090, and 15784) have been placed on the basal branch of Z (Fig. 1A). We detected four Japanese Z clades that, in addition, shared mutation 152 and another without it. Tentatively, they have been named from Z1 to Z5 (Fig. 1A). Yao et al. (2002a) defined M8a by 14470, 16184, and 16319 transitions. Two more mutations (6179 and 8684) are also characteristic of this subhaplogroup (Kong et al. 2003). In Japanese we have found that 16184 is not harbored by all M8a members. Consequently, lineages with this mutation have M8a2 status and those lacking it M8a1 status (Fig. 1A). The largest diversities for C are in Korea (100%), central Asia (86%), and northern China (78%-74%). Therefore, C can be considered a clade with a Northeast Asian radiation. Representatives of subhaplogroup Z extend from the Saami (Finnilä et al. 2001) and Russians (Malyarchuk and Derenko 2001) of west Eurasia to the people of the eastern peninsula of Kamchatka (Schurr et al. 1999). Its largest diversities are found in Koreans (88%), northern China (73%), and central Asia (67%), compatible with a central-East Asian origin of radiation for this group. Finally, M8a has its highest diversity in Koreans (100%), and southern (100%) and eastern Chinese, including Taiwanese (73%). Thus, southeastern China was a potential focus of radiation of this group. All these subhaplogroups are present in mainland Japanese but neither in Ryukyuans nor in Ainu.

Haplogroup M7

This haplogroup was defined by Bamshad et al. (2001) as having two branches, M7a characterized by 16209 and M7b by 16297

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	0.15	ĺ	I	0.47	9	15.6	4.17	4.62	149		1.08	,I	ŧ	ì	ţ	I,	ľ	ı I	1	1	0.74	Į.		2.5	35	2.94
	1.52	T	I	2.82	1.84	15.6	2.78	7.93	ı	1.47		7.21	J.	ı	1	1	ı	ı	1.	ı	1.12	1	ī	1	2	1
	3.13	7	7	2.82	2.99	1	2.78	1.19	2.99	3.92	ı	ı	1.02	í	ı	1	í	1	8.33	S	2.05	ã	ı	ı	1	ί
	ι	f	ı	14	1.15	ı	1.39	0.4	ı	0.49	1	1	ŀ	É	i,	1,	1 ,	•1	ı. L	1	0.19	7.	ŧ	ı	ž T	Ι,
																			١.						(conti	inued)

Table 2. Continued	Continue	pa	ŀ																					.	Ì	
Group Sample	JPN 1312	RYU 50	AIN 51	Ch1 213	Ch2 435	다3 32	Cb4	Ch5 757	Ch6 67	CA1 204	CA2 1	TWA N 208	MAN 98	1E 46	FIU 38	ALU 56	KAM 91	CHU 60	TUV 1	BUR 1	KOR 537	T1B :	SAK F	FIL II	ND S	SAB 34
F2	ı	ı	1	0.47	0.46	3.13	6.94													-						
F2a	0.15	ı		0.47	0.92	1	1.39																			ı
F2a1	0.08	ı		ı	ı	ı	1																			ı
53	0.08	ı		ı	0.46	ı	ı																			1
F4	ı	1		0.47	i	1	ļ																		_	7.
F4b	ı	ı		ı	ı	1	ι																			ı
Σ	0.38	7		ı	ı	1	ı																			ı
M (PNG)	ı	ı		ı	ı	ı	ı																			t
M5/D4a/G1	0.46	ı	•	0.94	0.23	18.8	ı																			.94
M7a	7.39	12	•	ı	0.23	ı	ı																			ı
M7a1	0.08	14		1.4	1.38	1	1.39																			ı
M7b	ı	1		0.47	0.46	ı	1.39																			ı
M7b1	0.08	ı		2.35	2.99	ı	I,																			ı
M7b2	4.73	œ		0.47	0.69	1	1.39																			ı
M7c	0.76	7		1.88	2.07	ı	2.78																_		• •	9.
M8	0.15	ł		0.47	ı	ı	ı																			
M8a	1.22	ı		4.23	0.92	ı	4.17																			1
M8a2	ı	ı		ŧ	0.23	ŀ	ı						,			•										ı
U	1	1		4.	6.67	ı	2.78					•			•	•										ı
ប	0.3	i		ı	0.46	ı	ı																			1
C4a	0.08	1		0.47	0.92	ı	ı																			1
S	0.08	ı		ŀ	0.23	ı	1																			ı
7	1.3	ı		2.35	2.76	1	4.17																			ı
ی	0.53	ı		ı	ı	1	į																			1
G1a1/D	2.13	ı		ı	0.23	ı	ı																			1
G2a	1.68	4		1.88	I	ı	ı																			ı
G2a1	2.52	ı		5.16	0.92	ł	1.39												_							}
SS	ı	ı		ı	ı	1	i						_		_	•										١
6 M	I	1		ı	ı	ı	2.78																			1
M9a	2.44	4		5.63	1.61	ı	1.39																			1
M10	.3	ı		2.35	<u>1</u> .8	ļ	ı																			46.
M12	0.08	ı		1	ŧ	ı	T.																			
Ω	0.0	1		0.47	0.46	L	1.39																			1
4	18.9	7		12.7	20.9	6.25	1.39	_	_	_										•			_		_	∞.
D4a	7.39	ı		4.23	1	ı	1.39																			1
D4a1	0.53	4		0.94	1.38	ı	2.78													2						1
D4b	2.36	9		4.	1.61	ı	1.39																			ı
D4d	2.67	1		0.94	0.69	3.13	1																			ı
D4k	0.15	ı		ı	1.15	1	1.39	•			- 1					1										1
D4n	0.61	, (1 6	0.25	ı	1.39	1.5	2.99	1 8	ı	1 5		f	•	•	ì	i	ı	1 6	0.19	1	1	1	2.5	1 .
DS DS	5.75	٧			2.77	ı	7.17							•					Α.							45
Doa	3	ı		4.	٠. ٢	ļ	/ 1.								-				_							ì
																									,	

transitions. Yao et al. (2002a) assigned mutations 199 and 9824 as basic for M7. However, our phylogenetic tree points to 6455 and 9824 as the basal mutations for this group, whereas 199 is only common to the M7b and M7c subgroups (Fig. 1A), which coincides with the phylogeny proposed by Kivisild et al. (2002). M7 can be RFLP-diagnosed by the lack of the 6451 MboII restriction site. The M7a subgroup can be defined by several codingregion positions (Fig. 1A; Kivisild et al. 2002). The M7b classification remains as proposed in Kivisild et al. (2002); but M7c has, in addition to 146 and 16295, three more coding-region substitutions (4850, 5442, and 12091) in its basal branch (Fig. 1A). At this point, it is worthwhile pointing out that the ambiguously assigned sequence 536 in Herrnstadt et al. (2002) belongs to M7c, as it has the five identifying coding-region mutations distinctive of this subhaplogroup. As for the geographic distribution, M7a1 has its highest frequencies (14%) and diversities (86%) in the Ryukyuans, and it is also very common in the whole of China, with a mean diversity of ~76%. But, curiously, it has not been detected in Koreans or in Ainu, and is rare in mainland Japanese. In a similar way, M7a has its highest diversity in Ryukyuans (83%). Both groups are rather common in the Philippines. Although M7b has its greatest diversity in northern China (75%-62%), its derivative M7b2, has it again in Ryukyuans (100%), Koreans (53%), and mainland Japanese (45%). On the contrary, M7c is absent in Ainu and rare in mainland Japanese but very common in Sabah and the Philippines, although its highest diversity is in the whole of China (76% \pm 11%).

Haplogroup M10

This haplogroup has been defined by substitutions 10646 and 16311 (Yao et al. 2002a). In addition, Kong et al. (2003) have found several new mutations in its basal branch that we confirm here (Fig. 1A). Minor modifications are that a new Japanese lineage shares with M10 only the 8793 mutation, and that a new mutation, 13152, seems to be basal for our M10 Japanese lineages. Although its highest frequency is in Tibetans (8%), the largest diversities are found in China. It is present in Koreans and mainland Japanese but has not been detected in either Ainu or Ryukyuans (Table 2).

Haplogroup Mil

This haplogroup has been defined by Kong et al. (2003) by seven coding-region mutations (1095, 6531, 7642, 8108, 9950, 11969, and 13074) and four mutations in HVS-II (146, 215, 318, and 326). We confirm the same characterization for our M11 Japanese lineages. A subclade defined by mutation 14340 was found in Chinese (Kong et al. 2003), but it has not been detected in Japanese. In turn, Japanese have a new subclade characterized by mutation 14790. Finally, our data suggest that mutation 15924 is at the root of M11 and the new clade M12.

Haplogroup M12

This haplogroup has been defined in the present study. It harbors a characteristic motif (16145–16188–16189–16223–16381) in its noncoding region and several unique mutations in its coding region (Fig. 1A). Overall, it is a rare haplogroup, being detected only in mainland Japanese, Koreans, and Tibetans, the lastmentioned sample showing its highest frequency (8%) and diversity (50%).

Haplogroup Mi

Although not present in eastern Asia, this haplogroup has been included in the phylogenetic tree of macrohaplogroup M to ascertain its hierarchical level with respect to other M clades. It was first detected in Ethiopia (Quintana-Murci et al. 1999) and defined by four transitions in the HVSI region (16129, 16189, 16249, and 16311). After this, M1 was also detected in the Medi-

terranean basin including Jordan (Maca-Meyer et al. 2001). Several mutations in the coding region are distinctive of this haplogroup (Fig. 1A). Its RFLP diagnosis is possible by an Mnll site loss at position 12401.

Subdivisions Within Macrohaplogroup N

Representatives of two major superhaplogroup N migratory branches are present in Japan. Two main clades, that directly sprout from the basal N trunk (A and N9), have a prevailing northern Asia dispersion, whereas the other two (B and F), having a southern radiation focus, belong to the derivative R clade, characterized by the loss of 16223 and 12705 mutations. Although not detected in Japan, to compare their hierarchical levels with those of the Asian branches, we have included the rCRS sequence and a N1b sequence (Kivisild et al. 1999) as representatives of the western Eurasian R and N clades, respectively.

Haplogroup A

This haplogroup was defined by an HaeIII site gain at 663 (Torroni et al. 1992). It was subdivided on the basis of HVSI motifs in A1 (16223-16290-16319) and A2 (16111-16223-16290-16319) by Forster et al. (1996). In our Japanese sample, we have detected several A1 representatives characterized by two substitutions (8563, 11536). Two of these lineages (ON67 and ND218) have been ascribed to the Ala subgroup that is defined by 4655, 11647, and 16187 substitutions. Two additional A1 Japanese clusters (A1b and A1c) have also been phylogenetically defined (Fig. 2). The A2 subgroup is represented in the tree by a Chukchi (6971) and two (KA21 and ON125) Japanese lineages, all sharing the 16362 mutation. As the Chukchi harbors the 16111 and 16265 mutations, it has been labeled as an A2a representative, as tentatively proposed by Saillard et al. (2000), having four additional mutations (152, 153, 8027, and 12007) in its basal branch. Owing to their phylogenetic position, three more Japanese lineages (ND28, TC48, and J42) should be classified as representatives of three new A subhaplogroups, respectively named A3, A4, and A5 (Fig. 2). Geographically, whereas A1 has a wide northern and central Asian distribution, subclade A1a is confined to Korea and mainland Japan. The greatest diversity for A1 is in central Asia (79%). In Japan it is present in both mainland and indigenous populations. Subhaplogroup A2 is mainly present in northeast Siberia including the Kamchatka peninsula, although a lineage has also been detected in Tibet. The main diversity (30%) and frequency (60%) for this subhaplogroup are in the Chukchi.

Subhaplogroups Y, N9a, and N9b

Haplogroup N9 characterized by the 5417 substitution (Yao et al. 2002a) phylogenetically comprises three subhaplogroups. Subhaplogroup N9a was mentioned as another N subcluster with a distinctive HVSI motif (16223, 16257A, 16261) by Richards et al. (2000). It appears named as N9a in Yao et al. (2002a), who added as basal substitutions 150 and 5231. Recently, Kong et al. (2003) added mutations 12358 and 12372 at the basal branch of N9a, which is according to our Japanese phylogeny (Fig. 2). A Japanese N9a1 lineage (TC2) shares mutations 4386, 12007, 16111, and 16129 with the Chinese lineage GD7834 of Kong et al. (2003). Three more N9a Japanese clusters sharing 16172 as their basal mutation have been considered distinct N9a2 branches (Fig. 2). Subhaplogroup Y was first identified by a set of HVSI polymorphisms (16126, 16189, 16231, 16266, 16519), an HaelII site loss at 8391 and MboI and DdeI site gains at 7933 and 10394, respectively (Schurr et al. 1999). However, according to the classification of Kong et al. (2003), all these mutations define the Y1a1 branch specifically. Our Japanese (Fig. 2) and the Chinese (Kong et al. 2003) phylogenies characterize Y by seven mutations (8392, 10398, 14178, 14693, 16126, and 16231 gains and a 16223 loss).

The branch Y1 would be identified by mutations 3834 and 16266, and the Y1a subcluster by 7933 (Fig. 2; Kong et al. 2003). In Japan we have found a new subclade (Y1b) characterized by four mutations (146, 10097, 15221, 15460). Furthermore, a new branch (Y2) with the same phylogenetic consideration as Y1, and distinguished by six basal mutations must be aggregated to the Y phylogeny (Fig. 2). Finally, we have detected a sister branch of Y in Japan. This new lineage, named N9b, shares two basal mutations (5147 and 16519) with Y and is further characterized by four (10607, 11016, 13183, 14893) additional mutations in its basal branch. All N9b1 representatives seem to have the 16189 mutation, and three branches of this trunk (a, b, and c) have been provisionally defined (Fig. 2). The geographic distribution of subhaplogroup Y is predominantly in Northeast Asia. The highest frequency (22%) is in the Ainu, although only one lineage accounts for this frequency. The greatest diversities are in northern China (80%), and this group is also very diverse in the Nivkhs from northeast Siberia (Torroni et al. 1993a). As for N9a, it has a great diversity in the whole of China (83%) and Korea (79%). In Japan, only mainland Japanese have N9a representatives. Finally, N9b is very scarce, being detected in southern China and Korea. Surprisingly, it is most abundant in the Japanese including the indigenous Ryukyans and Ainu.

Haplogroup F

This haplogroup was first defined as group A by Ballinger et al. (1992), and later renamed as F by Torroni et al. (1994). This group was characterized by the lack of HincII and HpaI sites at 12406. According to the newly proposed nomenclature (Kivisild et al. 2002; Kong et al. 2003), 12406 is now one of the six mutations that specifically define subhaplogroup F1. Recently, haplogroup F has been phylogenetically included as a subcluster of haplogroup R9 (Yao et al. 2002a). Besides F1, two new subgroups (F2 and F3) have been defined by Kong et al. (2003). We have found a new subcluster, named F4 (Fig. 2), that is characterized by three coding-region mutations (5263, 12630, 15670). This group has a particularly high incidence in Southeast Asia (Ballinger et al., 1992), but only subhaplogroup F1b is well represented in the Japanese, including the indigenous Ainu and Ryukyuan. The highest diversities for this subgroup are in eastern China including Taiwan (100%).

Haplogroup B

Renamed as B after Torroni et al. (1992), this haplogroup was identified by the presence of a 9-bp deletion in the COII/tRNALys intergenic region of mtDNA. This polymorphism was first detected in Asia by RFLP analysis (Cann and Wilson 1983). It was used to classify Japanese on the basis of the presence/absence of this deletion (Horai and Matsunaga 1986). Even in Asia, the monophyletic status of this cluster has been repeatedly questioned (Ballinger et al. 1992; Yao et al. 2000b); but although the 9-bp deletion has a high recurrence, it seems that together with transition 16189 it defines fairly well a monophyletic cluster, at least in eastern Asia. Recently, a sister clade of B, keeping the 16189 mutation but lacking the 9-bp deletion, has been detected in China, being designated as R11 (Kong et al. 2003). Asian subhaplogroups of B have been named as B4, identified by the 16217 mutation and B5, characterized by 10398 and 16140 mutations (Yao et al. 2002a). It has been deduced from analysis of complete sequences that transitions 709, 8584, and 9950 are also in the basal branch defining B5 (Fig. 2; Kong et al. 2003). Lower-level subdivisions have also been proposed. Three subclades (B4a, B4b, and B4c) were defined within B4 (Kong et al. 2003). At the same phylogenetic level are our Japanese branches named B4d, B4e, and B4f; and several new secondary clusters have also been detected in Japan within B4a, B4b, and B4c (Fig. 2). It is worthwhile to mention that those lineages harboring 16189, 16217, 16247, and 16261, also known as the Polynesian motif (Soodyall et al. 1995), belong to a branch of B4a, having in addition to 16247, 146, 6719, 12239, 14022, and 15746 as basic mutations. The B5 cluster was also subdivided in B5a and B5b on the basis of the HVSI mutations 16266A and 16243, respectively (Yao et al. 2002a), and reinforced with several additional positions after the analysis of complete Chinese (Kong et al. 2003) and Japanese (Fig. 2) sequences. Within B5b, new subdivisions are necessary to accurately classify the Japanese sequences (Fig. 2). Finally, on the basis of characteristic HVSI motifs, we had tentatively defined as B4a3 those lineages with 16189, 16217, 16261, and 16292 transitions. However, the phylogenetic position of a Chinese complete sequence (GD7812) belonging to this HVSI group (Kong et al. 2003) shows that a future redefinition of B4a might be necessary. The geographic distribution of haplogroup B is very complex. As expected from its age, the ancestral motif is widely distributed in Asia excluding Koryacks and other Siberians. The likewise old subhaplogroup B4 has mainly a central-eastern Asian distribution with diversities near 100% from central Asia to Japan. B4a shows a similar distribution as B4, having branches prevalent in Ryukyuans, Lahu of Yunnan, and aborigine Taiwanese (Table 2). In a similar vein, some branches of B4c are more abundant in southern areas (B4c2), whereas others (B4c1) are mainly detected in Korea and Japan, with derivatives in Taiwan (B4c1b). On the other hand, subhaplogroup B5a has its greatest diversity in southern-eastern China (89%), including Taiwan aborigines (67%), but its B5a1 derivative shows the greatest diversity in northern China (71%), being present in mainland Japanese. In turn, subhaplogroup B5b has its major diversity in Korea (83%) and also reached the Philippines (50%). Curiously, the B5b1 derivative shows its highest diversity (67%) and frequency (1%) in mainland Japanese.

Lineage Sorting and Population Pooling

A total of 110 clades with different phylogenetic range have been proposed on the basis of the pool of the eastern Asian complete sequences (Figs. 1A,B and 2). Of these subdivisions (Table 2), 83 have been used to classify all Asian partial sequences analyzed in this study. As a test of accuracy in the sorting of partial sequences into haplogroups, we classified our 672 Japanese complete sequences by using only their HVSI motifs and found that 34 of them (5%) had an ambiguous status or were misclassified. The main sources of errors were those sequences that differed from CRS in only one or two mutations. For instance, the 16223 mutation was found in M and N backgrounds. The 16189, 16223 motif can be D6 or N9b. Within M, sorting into D or G was one of the main sources of ambiguity. Some 16223, 16325, 16362 lineages were D4 and some G1. The motif 16114A, 16223, 16362, classified as D4, was in reality G3. Sometimes further subdivision within a haplogroup is rather difficult; for example, there are 16189, 16223, 16362 representatives in D4 and in D5. Because of recurrency and isolation, it can be expected that this uncertainty level increases with geographic distance. For instance, we have found that several 16129, 16223 Japanese lineages belong to D4, but to infer from this that southern Asian sequences with the same HVSI motif are also D4 would be inappropriate. From a total of 4713 sequences analyzed, 9.2% had an ambiguous status. In spite of this percentage there are enough sequences left to carry out population analysis with statistical confidence.

In a first approach, Japanese, Ainu, and Ryukyuan samples were compared with the rest of Asian samples shown in Table 3 by means of F_{ST} . The closest affinities of mainland Japanese were to three population groups. The first include Korean and Han from Shandong (mean P-value = 0.29 \pm 0.06), the second Han from Liaoning and Xinjiang, and the Tu ethnic minority

 (0.20 ± 0.06) , and the third Han from Xi'an and the Sali, a branch of the Yi ethnic group (0.15 \pm 0.06). Ryukyuans and Ainu behave as outliers with significant differences with all the samples. Population groups resulting from the F_{ST} and CLUSTER analysis are defined in Table 3. Although mainland Japanese from Aichi were significantly different from other mainland Japanese because of their high frequency of haplogroup B, they were merged with them as JPN for comparisons with other areas. Control of the conglomerate number expected in CLUSTER analysis allows for a hierarchical grouping of populations. With two conglomerates, the first distinguished isolate was the aboriginal Sakai from Thailand (Fucharoen et al. 2001). This group was unique among other Thai people owing to its lack of lineages with the 9-bp deletion that characterizes haplogroup B, and to the high frequency of the authors' C6 cluster (included in our D4a). The lack of any representative of macrohaplogroup N in a population anthropologically considered one of the oldest groups in Thailand, if not caused by genetic drift, is compatible with the hypothesis that derivatives of macrohaplogroup N had, in southern Asia, a different route from macrohaplogroup M (Maca-Meyer et al. 2001). Also striking is the presence in Sakai of an unequivocal representative (16223-16274-16278-16294-16309) of the sub-Saharan African L2a haplogroup (Torroni et al. 2001), which again is compatible with the physical characteristics of this Negrito group. Although the suggestion that the first spreading out of Africa of modern humans could have carried some L2 lineages in addition to the L3 ancestors (Watson et al. 1997) is a tempting explanation, a recent admixture is more in consonance with the phylogenetic proximity of this lineage to the present African ones. The next outsiders were the majority of the Siberian isolates, which could not be pooled because of big differences in the frequency of distinctive haplogroups (Table 2). This considerable differentiation was already emphasized (Schurr et al. 1999), with strong genetic drift being its most probable cause. Subsequent isolates belong to some Chinese minorities such as those of Lisu and Nu, Lahu, and Taiwanese aborigines. Unexpectedly, other Chinese minorities (Bai, Sali, and Tu) were left in Han Chinese northern clusters. The Bai belong to the Sino-Tibetan Tibeto-Burman ethnic linguistic group and have been strongly influenced by Han. The Sali are a minority within the Yi ethnic group whose most probable ancestors were the Qiang from northwest China. Finally, the Tu, although belonging to the Mongolian branch of the Altaic Family, show their main genetic affinities to the Han from Xi'an (P = 0.95), Xinjiang (P = 0.89), and Shanghai (P = 0.79), all of them clustered in the Ch2 group. On the other hand, Thais, Vietnamese, and Cambodians joined with southern Chinese. As already observed (Chunjie et al. 2000; Yao et al. 2002a), the Han Chinese do not comprise a homogeneous group. With the exception of cluster Ch4, that includes samples from Hubei and Guandong (Table 3), they appear geographically differentiated. The two central Asian groups detected mainly differ in their frequencies for A1b, Z, and G2a. With less than 14 conglomerates, the Japanese, including Ainu and Ryukyuans, were part of a big group formed by Korean, Buryat, Tibetans, and northern Chinese. Ainu was the first differentiated Japanese sample. Ryukyuans separated later, when mainland Japanese and Koreans still comprised a single group. The lack of homogeneity between Ainu and Ryukyuans was pointed out by Horai et al. (1996), who questioned that they shared a recent common ancestor. The main differences between them were attributed to two dominant clusters (C1 and C16, corresponding to our Y and M5/D4a/G1, respectively) present in Ainu but absent in Ryukuyans, and two Ryukyuan dominant clusters (C3 and C13, belonging to our R and M, respectively) absent in Ainu. In addition, applying the present haplogroup nomenclature to the same data, the high frequency of M7a1 and

D4a1/D4b in Ryukyuans, but their absence in Ainu, stands out. The MDS plot (Fig. 3A), based on F_{ST} haplogroup frequency distances between final groups (data not shown), only partially reflects the sequential process described above, as only Sakai and Siberians are well differentiated from the rest. On the contrary, relationships obtained from haplotype matches (Fig. 3B) show populations highly structured by geography with the only exceptions being the Ainu and Tuvinian isolates.

The Peopling of Japan

To further know the relative affinities of the Japanese between themselves and with the different Asian groups formed, the data obtained from the global approaches based on haplogroup frequency distances and on sequence match identities are presented in Table 4. Both values are moderately correlated in the comparisons involving the mainland Japanese (r = -0.479; two-tail probability 0.012) but not at all in those involving aborigine Ryukyuans (r = -0.310; two-tail probability 0.115) and Ainu (r = 0.087; two-tail probability 0.667). This result can be explained by assuming that these aboriginal people have suffered important genetic drift effects with substantial changes in haplogroup frequencies and lineage losses or, less probably, that these populations have been isolated long enough to have accumulated new variation. Results based on haplogroup frequencies by far relate mainland Japanese to Koreans followed by northern Chinese. Ryukyuans present the smallest distances to Buryats from South Siberia, followed in short by southern Chinese. In turn, the Ainu have their closest affinities with mainland Japanese, Koreans, and northern Chinese. As regards sequence matches, mainland Japanese also joins first to Koreans and second to Buryats. Aborigine Ryukyuans are closest to Buryats and then to Koreans. Finally, Ainu show comparatively less shared sequences, their greater affinities being toward Chukchi and Koryaks of Kamchatka. This global picture is congruent with an important influence on mainland Japanese from northern Asian populations through Korea, that the Ryukyuans had a dual northern and southern Asian background previous to the new northern influences acquired by admixture with mainland Japanese, and that the Ainu represent the most isolated group in Japan in spite of the genetic input received from Kamchatka. Also noticeable is the great distance and low identity values obtained for the Ainu-Ryukyuan pair compared with those obtained in their respective comparison to mainland Japanese, which is another hint of its notable maternal isolation.

The distance and identity statistics used above are based on frequencies of haplogroups and haplotypes, respectively; however, frequencies are more affected by genetic drift than the number of different haplotypes present in a population. To measure the relative affinities of Japanese populations between them and to Continental Asia in a frequency-independent way, we chose a haplotype-sharing approach calculating the relative contribution of lineages shared with other areas to the number of different haplotypes present in each Japanese population. In these comparisons all other Asians were merged. Table 5 shows the results of this analysis. Note that despite the difference in sample size the haplotype frequency in mainland Japanese and Ainu is ~50%, whereas in Ryukyuans it is 84%; which means that, if there was not a bias in the sampling process, in spite of its small size, the Ainu sample seems to be representative of that population. However, it would be desirable to enlarge that of the Ryukyuans (Helgason et al. 2000). Haplotypes present only in a given population account for 13% in Ainu but ~50% in mainland Japanese (60%) and Ryukyuans (45%). This finding once more points to the existence of important drift effects in Ainu. Mainland Japanese exclusively share with Ryukyuans and Ainu only 3% and 2%, respectively, of its lineages, which could reach 6% and 3% if those

Table 3: Asian Populations Used in This Study

Population	Locality	Ethnic group	Group	Sample	HVRI	HVRII	Other ^a	References
Japan	Tokyo	Japanese	JPN	373	16024-16569	1-648	649–16023	This work
apan	Nagoya	Japanese	JPN	299	16024-16569	1–648	649-16023	This work
	japan*	Japanese	JPN	20	1600-16413			Bamshad et al. 2001
and the second	Talous	lananasa	IDNE	19	16051 16365	71-270		jorde et al. 1995
apan apan	Tokyo Tokyo	Japanese Japanese	JPN JPN	162 150	16051–16365 16030–16481	73–340	有性質問題問 医医多样的	Imaizumi et al. 2002
apan	Tokyo	Japanese	JPN	13	16024-16569	1-648	RFLPs	Nishimake et al. 1999 Abe et al. 1998
apan	Miyazaki	Japanese	JPN	100	15998-16400	30-407	S. W. Fr. of a second	Seo et al. 1998
apan	Tottori	lapanese	JPN	89	16026-16396		그 시스판 전탈 현책질의 최	Oota et al. 2002
apan	Shizuoka	Japanese	JPN	62	16129-16569	1-41		Horai et al. 1996
lapan	Aichi	Japanese	JPN	50	16040-16375			Koyama et al. 2002
apan	Okinawa	Ryukyuan	RYU	50	16129-16569	1-41	17. 医多通声 经产售总额员	Horai et al. 1996
apan.	Hokkaido	Ainu	AIN	51	16129-16569	1-41		Horai et al. 1996
Korea Korea		Korean Korean	KOR Kor	306 4	16020-16400	1–70	化防护性增加 使隐藏的	Lee et al. 1997
Korea	建铁 建大克龙	Korean	KOR	60	16024–16370 16024–16365	73–340	表现的复数形式 多利利克尔	Torroni et al. 1993a,b Pfeiffer et al. 1998
Korea		Korean	KOR	2	16000-16413	, 3 3 10	· 通知的 医克克克氏	Bamshad et al. 2001
Korea		Norcan		 -	10000-10-13	71-270	医皮肤多种氏质的现象	Jorde et al. 1995
Korea		Korean	KOR	64	16129-16569	1-41	化乙酰乙酰氨酰氯甲基基	Horai et al. 1996
Korea	医医皮肤 多九首员	Korean	KOR		16128-16408	ng wiladay M Wilaga w	沙漠美間隔隔孔光点点	Horai and Hayasaka 199
Korea		Korean	KOR	98	16075-16362	73–315	14747–15887	Lee et al. 2002
China	Liaoning	Han	Ch1	51	16001-16497	30-47	10171-10659 and RFLPs	Yao et al. 2002a
China	Shandong	Han	Ch1	50	16001-16497	30–47	10171–10659 and RFLPs	Yao et al. 2002a
China China	Yunnan	Bai No-	Ch1 Ch1	31 ° 82 ° °	16001–16495		집일 요일 있는 관련병생님;	Yao et al. 2002b
China China	Changsha Xinjiang	Han Han	Ch2	- 6∠ - 47	16026–16396 16001–16497	30-47	10171–10659 and RFLPs	Oota et al. 2002
China	Yunnan	Sali	Ch2	31	16001-16495	JV-1/	10121-10039 and KFLPS	Yao et al. 2002a Yao et al. 2002b
China	Qinghai	Tu	Ch2	35	16001-16495	in a sign		Yao et al. 2002b
China	Xi'an	Han	Ch2	84	16026-16396			Oota et al. 2002
China	Shanghai	Han	Ch2	120	13030-16481	"你没有多么	计图式预测算法 医多种	Nishimake et al. 1999
Mongolia		Mongolian	Ch2	103	16020-16400	8 2 4 July 1	RFLPs	Kolman et al. 1996
Mongolia	A 28 11 11 11 11 11 11 11 11 11 11 11 11 11	Mongolian	Ch2	15	16001-16495	a National	一年三十五年安 奉 年上前日	Yao et al. 2002b
China: 😘 👵	Yunnan	Lahu	Ch3	32	16048–16569	1-49	Carry Baid to Radia	Qian et al. 2001
China China	Hubei	Han	Ch4	42	16001-16497	30-47	10171–10659 and RFLPs	Yao et al. 2002a
China China	Guangdong	Han	Ch4 Ch5	30	16001-16497	30-47	10171–10659 and RFLPs	Yao et al. 2002a
China China	Yunnan Taiwan	Han	Ch5	43 6	16001–16497 16024–16370	30-47	10171–10659 and RFLPs	Yao et al. 2002a Torroni et al. 1993a,b
China	Taiwan		Ch5	3	15999-16413	4.建造工	我们最高 制造 不多可答应	Bamshad et al. 2001
China	Taiwan	Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Salah Sa	Ch5	9	16065-16375	1 4 5 3	网络马德利姆 加克蒙霉素	Sykes et al. 1995
China	Taiwan		Ch5	66	16129-16569	1-41	医骨髓管 经通过基本条件	Horai et al. 1996
China	Taiwan	Han	Ch5	155	15997-16569	1-407		Tsai et al. 2001
China	Yunnan	Dai	Ch5	21	16048-16569	1–49	. 孤身 医唇头皮肤多角质质炎	Qian et al. 2001
China	Yunnan	Wa	Ch5	22	16048-16569	1–49		Qian et al. 2001
2hina	Yunnan	Dai	Ch5	38	16001–16495	6. 1997年 - 17 東京新聞 大阪日		Yao et al. 2002b
China China	Guangxi	Zhuang	Ch5 Ch5	83 28	16001–16495 16024–16399	n se wint.		Yao et al. 2002b
hailand	South China	Han	Ch5	32	16001-16495	8 8 8	존문 보충 중 중 1912 · 나무 : 1	Betty et al. 1996 Yao et al. 2002b
hailand		See ref.	Ch5	121	16048-16569	1-41	的复数形式 医多角多条孔	Fucharoen et al. 2001
hailand	See ref.	Native .	Ch5	74	16048-16569	1-41		Fucharoen et al. 2001
/ietnam	2-5-2-1		Ch5	35	16026-16396	10 10 10 10 10 10 10 10 10 10 10 10 10 1	그는 일 경상에 선생님이는	Oota et al. 2002
/ietnam			Ch5	9	15999-16413	<u> </u>		Bamshad et al. 2001
			an in dans National Art	Service Control		71-270	化甲基甲烷医氯氯甲甲基	Jorde et al. 1995
Cambodia			Ch5	12	15999-16413	S. —		Bamshad et al. 2001
ngga sagara bilat. Angga sagara	•		32.7	5 V - 1		71–270	[1] (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	Jorde et al. 1995
China	Yunnan	Lisu	Ch6	37	16001-16495	erwan di S Tanan		Yao et al. 2002b
China Thina	Yunnan	Nu Nativo	Ch6	30	16001-16495	20 407	2年中华的1972年20	Yao et al. 2002b
Thina Thina	Taiwan Taiwan	Native Native	TWA TWA	28 180	15997–16400 16048–16569	30–407 1–41	新的复数形式 计图片字列	Melton et al. 1998
entral Asia	, aiyvai i	Uygur	CA1	46	16001-16495	· • • • • • • • • • • • • • • • • • • •		Tajima et al. 2003 Yao et al. 2000a
azagstan	eng year on a line of the state	Kazakh	CA1	55	15997-16400	用装置置		Comas et al. 1998
Kirgizistan	Talas	Kirghiz	CA1	48	15997-16400	and the second of the	대명 위의 권투상 경험성을	Comas et al. 1998
(azagstan		Uygur	CA1	55	15997-16400	in grand M	1941年的新安徽道度是	Comas et al. 1998
Central Asia	J. F. E. S. S. S.	Kazak	CA2	30	16001-16495	e de de la companya de la companya de la companya de la companya de la companya de la companya de la companya d La companya de la co	(4) (多數數形式合分配)	Yao et al. 2000a
(irgizistan	Sary-Tash	Kirghiz	CA2	46	15997-16400	Turk Self		Comas et al. 1998
iberia	See ref.	Altai	CA2	17	16024-16383		的复数医复数 医多霉素酶	Shields et al. 1993
ibet	有数数数据	Tibetan	TIB	1	16024-16370	1 16 / St. 7 50	京公司 · 在公司 · 在	Torroni et al. 1993a,b
ibet		Tibetan	TIB	40	16001-16495	a so the first	复杂可采用的 医德国克雷	Yao et al. 2000b
libet	Cart Har	Tibetan	TIB	24	16048-16569	1-41	DELD	Qian et al. 2001
Russia	East Ural	Mansi	MAN	98	16039-16519	64–295	RFLPs	Derbeneva et al. 2002a

2.25	12. S. S.	5 (25 × 15	1. 1	San et 1	2 11 MA	9-15	5.70	100	
Table 3.	Continued	-1	4 17	0.2	100	1000		2 to 5		

Population	Locality	Ethnic group	Group	Sample	HVRI	HVRII Other	Referenc	es
Siberia		Finno-Ugrian	FIU	38	13021-16505		Voevoda Accession nos. Al	214068-AF21410
South Siberia		Tuvinian	TUV	36	16000-16400	RFLPs	Derenko et al. 2000	
South Siberia		Buryat	BUR	40	16000-16400	RFLPs	Derenko et al. 2000	
Siberia		Chukchi	CHU	60	16001-16405	医多类合物 医勒勒	Voevoda et al. 1994	
Siberia	Aluitor	Koryak	ALU.	56	16000-16525	"国际产品"建筑规划	Schurr et al. 1999	
Siberia	Karagin	Koryak	KAM	37	16000-16525	自由引作 医黄素病	Schurr et al. 1999	
Siberia	Palan	Koryak	KAM	54	16000-16525		Schurr et al. 1999	多在自然的 医乳
Siberia	Kovran	Itel men	ITE	46	16000-16525		Schurr et al. 1999	
Philippine		- 17 () 17 () 18 (FIL	32	16065-16375		Sykes et al. 1995	
Thailand	Trang	Sakai	SAK	20	16048-16569	1-41	Fucharoen et al. 2001	
Malaysia	; •	7.78 A. C.	IND	6	15999-16413		Bamshad et al. 2001	
	-		77.	100		71-270	forde et al. 1995	
ndonesia			IND	34	16024-16400	31-407	Redd and Stoneking 1999	医视点 氯苯等基本
Borneo		Sabah	SAB	34	16065-16375		Sykes et al. 1995	

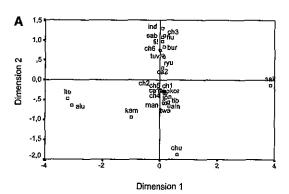
*RFLPs and additional sequences.

also shared with Continental Asian populations are added. In comparison they shared 21% of its lineages with other Asians. On the contrary, Ryukyuans and Ainu share about 50% of their lineages with mainland Japanese and only 10% and 21%, respectively, with Continental populations, which may reflect other independent Asian influences on Japan. With respect to those lineages exclusively shared by Japanese and Continental Asian populations, it is worth mentioning that, again, Korea is the main contributor, participating in ~50% of the haplotype sharing with mainland Japanese (55%), as much as with Ryukyuans (50%) and Ainu (50%). However, differences exist in the provenance of the rest of the shared lineages. Whereas in Ainu (northern China and Siberia) and in Ryukyuans (northern China and central Asia) they are from northern areas, the second region contributing to mainland Japanese is southern China (17.5%), followed, at the same level (12.5%), by northern China and central Asia. In addition, there exists a minor percentage of exclusive sharing with Indonesia (2.5%). On the other hand, all the matches with Siberia and Tibet are also shared with other populations. From these results, it can be deduced that the ancient Japanese inhabitants came from northern Asia and that southern areas affected the Japanese by later immigration. Nevertheless, it must be borne in mind that older influences could be undetectable by lineage sharing. With respect to the haplogroup affiliation of those lineages that Ainu and Ryukyuans exclusively shared with no Japanese samples, new differences appear between them. Ainu share derived lineages of haplogroups A, G, M9, and D5, all of them compatible with a rather recent Siberian influence. In contrast, those shared by Ryukyuans are basical M lineages, more congruent with an older radiation from southern China. These dual influences are also detected when the haplogroup affiliation of the Ainu and Ryukyuan unique lineages is studied. First, the percentage of lineages belonging to macrohaplogroup N is larger in Ainu (50%) than in Ryukyuans (15%) and from a different provenance, as those in Ainu are from haplogroups N, N9b, and Y, whereas those of Ryukyuans belong to the southern haplogroups F and B. The remaining 50% of the Ainu lineages equitably belong to different M haplogroups (M, M7c, G1, and D5a), but in Ryukyuans the remainder are mainly concentrated in M7a (41%) and M7b2 (18%), two groups that have their greatest Asian diversities precisely in Ryukyuans, Although an indigenous focus of radiation cannot be discarded, it is more conservative to suppose that the most probable origin of these lineages is again southern China. Thus, Ainu and Ryukyuans are not only largely isolated populations, but they most probably had different maternal origins.

Although no matches are involved, the geographic distribution of haplogroup frequency and diversities for some groups present in Japan and in other distinct Asian areas are also relevant to trace these older connections. For instance, haplogroups M9, M10, M12, D4b, and F1c have correlated geographic frequencies with a peak in an area that comprises Tibet (Table 2). Curiously, one of these haplogroups (M12) is today absent in China but present in Korea and Japan.

DISCUSSION

Although the recent out-of-Africa origin for all modern humans (Cann et al. 1987) is being widely supported (Takahata et al.



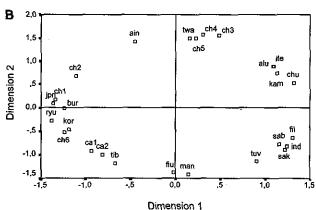


Figure 3 MDS plots based on (A) F_{ST} and (B) D match distances. Population groups are as detailed in Table 3.

Table 4. Frequency-Based F_{ST} and Sequence Match Identities (in Percentage) Between Japanese Samples and With Other Asian Populations

		JPN		RYU		AIN
	F _{ST}	Matches	Fsr	Matches	F _{ST}	Matches
RYU:	0.04	0.41				
AIN	0.04	0.33	0.05	0.04		
KOR	0.00	1.10	0.04	0.57	0.04	0.25
CH1	0.01	0.59	0.04	0.11	0.04	0.18
CH2	0.01	0.51	0.05	0.19	0.05	0.21
CH3	0.07	0.01	0.10	0.00	0.08	0.00
CH4	0.03	0.06	0.03	0.00	0.05	0.03
CH5	0.03	0.16	0.03	0.09	0.05	0.08
CH6	0.04	0.01	0.08	0.00	0.08	0.09
TWA	0.04	0.23	0.07	0.08	0.08	0.04
TIB .	0.04	0.36	0.04	0.18	0.08	0.06
CA1	0.02	0.58	0.04	0.25	0.05	0.16
CA2	0.04	0.73	0.07	0.20	0.08	0.19
ITE	0.29	0.00	0.39	0.00	0.40	0.26
FIU	0.06	0.50	80.0	0.32	0.10	0.10
MAN	0.06	0.24	0.06	0.24	0.08	0.04
ALU	0.29	0.01	0.39	0.00	0.39	0.46
KAM .	0.14	0.01	0.16	0.00	0.15	0.45
CHU	0.17	0.01	0.21	0.00	0.22	0.00
TUV	0.03	0.09	0.07	0.17	0.07	0.05
BUR	0.03	0.97	0.02	2.75	0.07	0.15
FIL	0.03	0.11	0.05	0.13	0.06	0.00
IND	0.09	0.04	0.09	0.00	0.11	0.00
SAK	0.29	0.00	0.44	0.00	0.43	0.00
SAB	0.06	0.09	0.05	0.29	80.0	0.12

2001), the most probable time and routes chosen by these earliest migrants to reach eastern Asia is an open issue. In the following discussion we weigh the different alternatives proposed in light of the phylogenetic tree obtained from complete mtDNA sequences. One of the first questions raised was whether there was more than one out-of-Africa dispersion. All the mtDNA lineages detected in Old World populations belong to one of two M and N macrohaplogroups with only secondary representatives in Africa. The proposed radiation ages for both, 30,000 to 58,000 years ago and 43,000 to 53,000 years ago, respectively (Maca-Meyer et al. 2001), give a temporal frame compatible with only one main dispersion or two successive dispersions, in which case the M precursor is the most probable candidate for the older exit. Even if the one dispersion option is chosen, more than one geographical route to eastern Asia is possible. In fact, a northern Continental route through the Near East and western-central Asia and a southern coastal route through the Arabian and Indian peninsulas have been proposed (Cavalli-Sforza et al. 1994; Kivisild et al. 1999). The geographical distribution of these two macrohaplogroups, with lack of ancient M representatives and the presence of deep N lineages in western Asia, and the abundance of basal M lineages in India and southwestern Asia and concomitant lack of equivalent-age N clades, gave rise to the hypothesis that N represents the main footprint of the northern Continental expansion, whereas M is the equivalent footprint for the southern coastal expansion. The presence of N and M lineages in alternative areas has been explained to have been the result of secondary migrations (Maca-Meyer et al. 2001). However, another plausible explanation is that both M and N reached southern Asia at the same time, quickly expanding to Papua New Guinea (PNG) during maximal glacial ages when the permafrost boundary precluded a northern human occupation. During postglacial ages, subsequent migrations northward carried derivatives of both macrohaplogroups to northern Asia (Forster et al. 2001), Nevertheless, under this second hypothesis, the presence of basal N clusters should be expected in India, southern Asia, and PNG; but this is not the case. All N representatives in India belong to R, a clade derived from N by the loss of 16223 and 12705 mutations (Fig. 2). In addition, the bulk of these Indian lineages belong to western Caucasian haplogroups that, most probably, reached India as the result of secondary immigrations, as has already been proposed (Kivisild et al. 1999; Bamshad et al. 2001). Similarly, the N representatives in southern Asia belong to haplogroups F and B, two sister clades also derived from R (Fig. 2). Furthermore, when totally sequenced PNG N lineages (Ingman et al. 2000; Ingman and Gyllensten 2003) are added to the N phylogenetic tree (data not shown), they form three monophyletic clades that have their roots in the derived R trunk. On the contrary, the geographically northern Asian clades A, N9a, N9b, and Y (Fig. 2) and the western Eurasian clades W, N1b, I, and X all split from the basal N root (Maca-Meyer et al. 2001), although A, N9a, N9b, and Y radiations were delayed congruent with subsequent northern Asian expansions. Therefore, at present, mtDNA data are compatible with the supposition that the northern route, harboring mainly N precursors, met climatic difficulties and when they finally reached Southeast Asia, the M representatives, brought by the southern route, had already colonized the area. This southern expansion of N derivatives has, as a lower temporal boundary, the coalescence ages of F, B, and PNG R haplogroups being ~46,000 ± 10,000 years ago. However, when recently published (Ingman et al. 2000; Ingman and Gyllensten 2003) Australian N lineages are taken into account, it seems evident that the real situation could be far more complex than the one migration-one lineage hypothesis. Australian N lineages directly sprout from the basal trunk (data not shown). They most probably differentiated in that continent, supporting the idea that ancestral N lineages reached Australia but not PNG, although the undemonstrable possibility of lineage extinctions and subsequent recolonization events in PNG can be an argument. Both hypotheses have difficulties to explain the presence of ancient N lineages in Australia. If the two, M and N lineages, were brought with the southern coastal dispersion, the lack of primitive N in India, southern Asia, and PNG has to be explained by the subsequent loss of all N lineages carried to Australia; if the northern Continental route of N is favored, the loss of N representatives in all populations formed in route to Australia has also to be explained. Recently, an N lineage has been detected in Chenchus, a southern Indian tribal group (Kivisild et al. 2003). From the information published, it can be deduced that this lineage only shares mutation 1719 with the western Eurasian Nb1/I and X clades. More extensive studies of populations in southern India

Table 5. Distribution of Unique and Shared Haplotypes in Japanese Populations

	Japa	nese populatio	ons
	JPN	RYU	AIN
Sample	1318	50	51
Haplotypes	626	42	24
Haplotype frequency	0.48	0.84	0.47
Singleton + Unique	377 (0.60)	19 (0.45)	3 (0.13)
Shared	249 (0.40)	23 (0.55)	21 (0.87)
IPN	137 (0.22)	20 (0.48)	13 (0.54)
RYU	20 (0.03)	1 (0.02)	1 (0.04)
AIN	13 (0.02)	1 (0.02)	5 (0.21)
Other ^a	130 (0.21)	4 (0.10)	5 (0.21)

^aOther Asians.

and southern and central Asia would add empirical support to any of these theories.

Concerning macrohaplogroup M, it has already been commented that the star radiation of all the main Indian and southeast Asian M clades strongly suggests that this wide geographic colonization could have happened in a relatively short time (Maca-Meyer et al. 2001). This star radiation includes the Australian and PNG M complete sequences recently published (Ingman et al. 2000; Ingman and Gyllensten 2003). However, for those clades and subclades with later northward expansions, long radiation delays are observed. For instance, whereas M7 and M8 have coalescence ages ~35,000 to 45,000 years ago, other groups such as G, D4, M7a, or M7c have coalescence ages ~15,000 to 30,000 years ago, more in frame with those calculated for A, Y, and N9 derivates, which, although belonging to macrohaplogroup N, share with them a central-northern Asian geographic distribution (see Supplemental material). It seems that the simultaneous lineage bursts ~60,000 to 70,000 years ago from Africa (Maca-Meyer et al. 2001), ~30,000 to 55,000 years ago for macrohaplogroups M and N, and ~15,000 to 30,000 years ago for clusters with prominent central-northern Asian radiations were related to main climatic changes. The role of selection in these expansions is an open question (Elson et al. 2004; Ruiz-Pesini et al. 2004).

The application of global pairwise-distance and detailed phylogeographic methods to the peopling of Japan shows that both approaches have different grasps but together demonstrate that the actual Japanese population is the result of a complex demographic history, from which the different theories proposed to explain it only emphasize partial aspects. Global distances and detailed haplotype comparisons confirm that Ainu and Ryukyuans are heterogeneous populations (Horai et al. 1996) and that both are well differentiated from the mainland Japanese. In spite of this, they have common peculiarities such as having the highest frequencies in Asia for M7a, M7b2, and N9b, shared with mainland Japanese. Furthermore, for both, their closest relatives are northern populations. At first sight, these results are against a supposed southern origin for the Paleolithic Japanese, favoring the replacement theory or even that the Paleolithic inhabitants of Japan came from northeastern Asia (Nei 1995). Although based on a single locus, our results are strikingly coincident with the previously proposed northern origin and influences received by the Japanese. In an early study using serum gammaglobulin polymorphisms, it was concluded that the homeland of all Japanese could have been in the Lake Baikal area in Siberia (Matsumoto 1988), which agrees with the close proximity found here between Buryats and Ryukyuans or mainland Japanese. More recently, classical markers (Omoto and Saitou 1997) and mtDNA (Horai et al. 1996) studies demonstrated that the Japanese are most closely related to the Koreans, which is also true in our global analysis. It can be added that a substantial part of this common maternal pool has recent roots, as Korea specifically shares with Ainu, mainland Japanese, and Ryukyuans 10%, 7%, and 5%, respectively, of their haplotypes. This particular affinity is increased with the existence of derived lineages only detected (Ala, B4c1, B4f) or mainly detected (N9b, B4a1, B4b1, G1a, M7b2, M12) in Japanese and Koreans. This Korean influence has been attributed to the archeologically well-documented Continental immigration to Japan during the Yayoi period (Horai et al. 1996). However, specific haplotype matches with other areas increases the geographic range of these recent influences. Thus, mainland Japanese share part of their haplotypes exclusively with South China (2.5%), North China (1.5%), Central Asia (1.5%), and Indonesia (0.3%); and, also, Ryukyuans have specific affinities with North China (2.4%) and Central Asia (2.4%). The recent Siberian input on the Ainu has also been stressed (Schurr et al. 1999). At least, another independent migratory wave from central Asia also affected mainland Japanese. It was first detected by the peculiar distribution of the Y-chromosome marker YAP+, and seems to have originated in an area including Tibet (Su et al. 2000). Haplogroup M12 is its mitochondrial counterpart. As with the Y-chromosome marker, its punctual presence in Tibet and eastern Asia might be explained as the result of subsequent migrations in the Continent that erased the route followed by the people harboring these markers. In addition, there are clues, at least in Ryukyuans, that a substantial part of their maternal pool had an ancient southern Asian provenance. This fraction is represented by the M, M7a, and M7a1 basic lineages (31%), which the Ryukyuans do not share with northern populations. This southern signal is, in part, congruent with the southern Asian origin for the Paleolithic Japanese proposed by the dual structure model (Hanihara 1991). Furthermore, the fact that the highest diversities for M7a, M7a1, and M7b2 have been found in Ryukyuans and for N9b and B5b2 in Japan raises the possibility that this area was within a focus of migratory radiations to northern and southern isles and even to the mainland from Paleolithic to recent times. The significant latitudinal clines detected in Japan for some genetic markers (Orito et al. 2001; Takeshita et al. 2001) could also be explained as the result of southern and northern influences on Japanese. Finally, some mtDNA results obtained from ancient Iomon remains (Horai et al. 1991; Shinoda and Kanai 1999; K.-I. Shinoda, unpubl.) are congruent with a genetically diverse background for the Paleolithic Japanese population (Horai et al. 1996). A tentative comparison of Jomon with present-day Japanese populations based on shared lineages (data not shown) significantly relates Jomon first to the indigenous Ainu and then to Ryukyuans and last to mainland Japanese. In summary, Japan could have received several northern and southern Asian maternal inputs since Paleolithic times, with notable northern Asian immigrations through Korea in the late Neolithic and more specific gene flows from western Asia, Siberia, and southern islands.

METHODS

Samples

Complete mtDNA sequences were obtained from a total of 672 unrelated Japanese including 373 from Tokyo and 299 from the Nagoya area. All subjects gave their written consent to participate in this study, which was approved by the Ethical Committees of the Gifu International Institute of Biotechnology and collaborative institutions. The sources of 11 additional complete sequences used to build the final phylogenetic trees are in Table 1. For the analysis of the peopling of Japan, we used a total of 1438 Japanese and 3275 central and eastern Asian HVI sequences, as detailed in Table 3.

Isolation and Amplification of DNA

Total DNA was extracted from the blood with either Dr. Gen TLE (Takara) or MagExtractor System MFX-2000 (Toyobo). The entire mitochondrial genome was amplified as six fragments (~3000–3400 bp) by the first PCR and 60 overlapping segments (~600–1000 bp) by the second PCR. The primer pairs and their nucleotide sequences were described previously (Tanaka et al. 1996). The conditions for the first and second PCR were the same: an initial denaturation step for 5 min at 94°C, followed by 40 cycles of denaturation for 15 sec at 94°C, annealing for 15 sec at 60°C, and extension for 3 min at 72°C, with a final extension for 10 min at 72°C. The amplified fragments were analyzed by electrophoresis on a 1% agarose gel and visualized by staining with ethidium bromide. These second PCR products were purified by use of the MultiScreen-PCR Plates (Millipore). The quality of DNA templates was examined by electrophoresis on a 1.2% agarose gel after staining with ethidium bromide by use of a Ready-To-Run Separation Unit (Amersham Pharmacia Biotech).

Sequence Analysis of Mitochondrial DNA

Sequence reactions were carried out with a BigDye terminator cycle sequencing FS ready reaction kit (Applied Biosystems). After excess dye terminators had been removed with MultiScreen-HV plates (Millipore) packed with Sephadex G50 superfine (Pharmacia), the purified DNA samples were precipitated with ethanol, dried, and suspended in the template suppression reagent (TSR) or formamide from Applied Biosystems. The dissolved DNA samples were heated for 2 min at 95°C for denaturation, then immediately cooled on ice. Sequences were analyzed with automated DNA sequencers 377 and 310 by use of Sequencing Analysis Program version 4.1 (Applied Biosystems). A computer program, Sequencher version 4.1 (Gene Codes Co.), was used to indicate possible single nucleotide polymorphism (SNP) loci. For verification, visual inspection of each candidate SNP was carried out. At least two overlapping DNA templates amplified with different primer pairs were used for identification of each SNP. Mitochondrial SNPs (mtSNPs) were identified by comparison with the revised Cambridge sequence (rCRS) reported by Andrews et al. (1999).

Phylogenetic Analysis of Complete Coding-Region mtDNA Sequences

In this present study, nucleotide positions were numbered as in the Cambridge Reference Sequence (CRS; Anderson et al. 1981), nucleotide substitutions were expressed as differences from the revised CRS (Andrews et al. 1999), transitions were denoted only by their nucleotide positions, and transversions were designated by their nucleotide positions followed by the changed base. A total of 942 complete coding-region mtDNA sequences, including our 672 Japanese; one additional Japanese (GenBank accession no. AB055387); 53 worldwide sequences (Ingman et al. 2000); 42 worldwide sequences (Maca-Meyer et al. 2001); two Finnish sequences having Asian relatives (Finnilä et al. 2001); 17 Asian sequences without concrete geographic assignation (Herrnstadt et al. 2002); 37 sequences from the Bering area (Derbeneva et al. 2002b); 70 Asian, New Guinean, and Australian sequences (Ingman and Gyllensten 2003); and 48 Chinese sequences (Kong et al. 2003) were aligned with the rCRS by CLUSTAL V software, and the coding region was used to con-struct a phylogenetic network (Bandelt et al. 1999) rooted with a chimpanzee sequence (GenBank accession no. D38113) as implemented in the Network 3.1 program (Fluxus Engineering; http://www.fluxus-engineering.com). The noncoding positions were added by hand using molecular weighted parsimony criteria (Bandelt et al. 2000). The phylogenetic relationships obtained were also confirmed by means of a neighbor-joining tree (1000imesbootstrapped; Saitou and Nei 1987), built using MEGA2 (Kumar et al. 2001). From this network (see Supplemental material) we chose 102 Japanese and nine Asiatic sequences that represented the main clusters and subclusters within the two macrohaplogroups M and N that colonized Asia. To define these groups we followed the most generalized cladistic nomenclature actually used to classify mtDNA lineages (Richards et al. 1998). For the haplogroups previously detected, we maintained the same notation as their authors proposed (Richards et al. 2000; Bamshad et al. 2001; Kivisild et al. 2002; Yao et al. 2002a; Kong et al. 2003). Those haplogroups introduced here for the first time were named according to their phylogenetic range deduced from the tree of complete sequences

Haplogroup Assorting of Published Partial mtDNA Sequences

The unambiguously classified complete mtDNA sequences were used as an initial pool that was hierarchically enlarged by the successive addition of those published partial mtDNA sequences with the largest coding information, ending with those for which information on only control-region sequences for both mtDNA hypervariable segments or just one (HVS-I and/or HVS-II) was available, always following sequence matches or, as default, sequence-relatedness criteria. Some of those partial sequences that

could be assigned to more than one haplogroup were tentatively assorted in the most probable one deduced from their geographic origin and the relative haplogroup distribution.

Pooling Small Size Samples and Rare Clades

To avoid small sample sizes and rare alleles in population comparisons, samples with <20 individuals were pooled with others from the same geographic and ethnic group. Within populations, individuals belonging to rare clades were pooled with those classified in the nearest branch. Pairwise sample distances were calculated as linearized $F_{\rm ST}$ distances as implemented in the ARLEQUIN program (Schneider et al. 2000), taking mtDNA as one locus with as many alleles as the different subhaplogroups considered.

Quantitative Affinities of Japanese Samples

Relative affinities of Japanese samples to the other Asiatic populations were assessed by linearized FST distances, using subhaplogroup frequencies, and haplotype matches' distances (D) estimated simply as $D = 1 - \sum (x_i y_i)$, x_i and y_i being the frequency of haplotype i in the two compared populations. To be statistically robust, these analyses require large sample sizes, thus further pooling was necessary. Previous studies in the area prevented us from pooling populations by geographic proximity (Schurr et al. 1999) and/or ethno-linguistic relationship (Comas et al. 1998; Chunjie et al. 2000; Yao et al. 2002a). For this reason, a genetic affinity criterion was chosen. Two approaches were used. In the first, all samples with no significant \hat{F}_{ST} distances between them and with a similar behavior to the rest of the samples studied, were grouped. In the second, pooling was carried out by means of the CLUSTER algorithm implemented in the SPSS ver 9 package. We followed an iterative method specifying the number of conglomerates from 2 to 30. Different groupings were tested by AMOVA, and that with the least assigned variance within areas was chosen. The data were graphically represented by multidimensional scaling (MDS) plots (Kruskal and Wish 1978) using

Qualitative Affinities of Japanese Samples

Particular sharing of subhaplogroups and particular haplotype matches of Japanese samples with concrete Continental areas were phylogeographically analyzed by taking into account the relative genetic diversities of the clades involved in the different areas, measured as relative haplotypic frequencies, and their minimum estimates of coalescence ages based on mean divergence among lineages for the coding region (Saillard et al. 2000). A constant evolutionary rate of 1.7×10^{-8} per site per year (Ingman et al. 2000) was used.

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Parkin attenuates manganese-induced dopaminergic cell death

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Abstract

Manganese as environmental factor is considered to cause parkinsonism and induce endoplasmic reticulum stressmediated dopaminergic cell death. We examined the effects of manganese on parkin, identified as the gene responsible for familial Parkinson's disease, and the role of parkin in manganese-induced neuronal cell death. Manganese dose-dependently induced cell death of dopaminergic SH-SY5Y and CATH.a cells and cholinergic Neuro-2a cells, and that the former two cell types were more sensitive to manganese toxicity than Neuro-2a cells. Moreover, manganese increased the expression of endoplasmic reticulum stress-associated genes, including parkin, in SH-SY5Y cells and CATH.a cells, but not in Neuro-2a cells. Treatment with manganese resulted in accumulation of parkin protein in SH-SY5Y cells and its

redistribution to the perinuclear region, especially aggregated Golgi complex, while in Neuro-2a cells neither expression nor redistribution of parkin was noted. Manganese showed no changes in proteasome activities in either cell. Transient transfection of parkin gene inhibited manganese- or manganese plus dopamine-induced cell death of SH-SY5Y cells, but not of Neuro-2a cells. Our results suggest that the attenuating effects of parkin against manganese- or manganese plus dopamine-induced cell death are dopaminergic cell-specific compensatory reactions associated with its accumulation and redistribution to perinuclear regions but not with proteasome system.

Keywords: dopaminergic cell, endoplasmic reticulum stress, Golgi complex, manganese, parkin, proteasome.

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Metals and pesticides have been the focus of extensive research on the etiology of sporadic Parkinson's disease (PD) as well as environmental parkinsonism (Liou et al. 1997; Roman 1998; Smargiassi et al. 1998). Manganese (Mn) intoxication causes damage of the substantia nigra (SN) via reduction of tyrosine hydroxylase activity and dopamine (DA) content (Parenti et al. 1988; Tomas-Camardiel et al. 2002), and is associated clinically with bradykinesia, rigidity and tremor, parkinsonian symptoms (Barbeau 1984; Huang et al. 1989; Racette et al. 2001). Mn-induced parkinsonism, also known as manganism, is associated with occupational Mn exposures in miners and welders (Rodier 1955; Racette et al. 2001). Its pathology is characterized by degeneration of the striatum, especially of the globus pallidum, to lesser extent of the substantia nigra, unlike the degeneration of nigral dopaminergic neurons in idiopathic PD. Recently, Mn toxicity has been reported to activate endoplasmic reticulum (ER) stress-associated genes such as Bip (GRP78) and caspase-12, which are suppressed by overexpression of Bcl-2 and addition of mRNA or protein synthesis inhibitors (Chun et al. 2001). These

findings suggest that ER stress is in part associated with the Mn-induced parkinsonism.

The parkin gene, of which mutations lead to autosomal recessive form of PD, is a member of the E3 ubiquitin ligase (Shimura et al. 2000). ER stress caused by accumulation of unfolded protein upregulates parkin mRNA and protein levels, and overexpression of parkin prevents unfolded protein stress-induced dopaminergic cell death (Imai et al.

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Abbreviations used: DA, dopamine; ER, endoplasmic reticulum; FITC, fluorescein-5-isothiocyanate; Mn, manganese; PD, Parkinson's disease; PDI, protein disulfide isomerase; SN, substantia nigra; suc-LLVY-MCA, succinyl-LLVY-4-methylcournaryl-7-amide; TRITC, tetramethylrhodamine; WGA, wheat germ agglutinin; WST-1, 2-(4-lodophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium; Z-LLE-β-NA, Z-LLE-β-naphthylamide.

2000). Several recent studies have demonstrated that parkin is also present in Lewy bodies in the SN of non-familial parkinsonism (Choi et al. 2000; Schlossmacher et al. 2002), suggesting that it is also involved in the pathogenesis of nonfamilial PD or parkinsonism. However, the role of parkin in Mn-induced parkinsonism is still obscure.

The present study was designed to examine the effects of Mn on parkin and the role of the protein in Mn-induced dopaminergic neuronal cell death. Our results showed that treatment with Mn upregulated parkin protein and resulted in its accumulation in the perinuclear region, together with aggregated Golgi complex, in dopaminergic but not cholinergic cells. Furthermore, overexpression of parkin protected dopaminergic cells from Mn-induced cell death, with or without addition of DA. These results provide a possible mechanism that induction and accumulation of parkin protein are dopaminergic cell-specific compensatory reactions to prevent or ameliorate Mn-induced cell death.

Experimental procedures

Culture of cells

Human dopaminergic neuronal cell line, SH-SY5Y cells (ATCC; #CRL-2266), and mouse cholinergic neuronal cell line, Neuro-2a cells (Japan Health Sciences Foundation, #INFO50081), were cultured at 37°C in 5% CO2 in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum, 100 U/mL penicillin and 100 μg/mL streptomycin (Gibco-BRL, Rockville, MD, USA). Another dopaminergic CATH.a cells (ATCC; #CRL-11179) derived from mouse DA-containing neurons were cultured at 37°C in 5% CO₂ in RPMI-1640 culture medium (Gibco-BRL) supplemented with 4% fetal bovine serum, 8% horse serum, 100 U/mL penicillin and 100 μg/mL streptomycin. CATH.a cells express DA transporters and susceptible to DA exposure showing elevation of intracellular DA (Higashi et al. 2000).

Cell viability analysis

 $(6.25 \times 10^4 \text{ cells/cm}^2)$ cells CATH.a $(5 \times 10^4 \text{ cells/cm}^2)$ and Neuro-2a cells $(4.5 \times 10^3 \text{ cells/cm}^2)$ were plated on each well of a 96-well plate and cultured for 48 h. Then, the cells were treated with 100-800 µm Mn (Sigma Chemical Co., St Louis, MO, USA) for 24 h, followed by simultaneous addition of Mn plus DA hydrochloride (Wako Chemical Co., Hiroshima, Japan) for 24 h (100-200 μм Mn plus 100 μм DA for SH-SY5Y cells; and 100-200 μM Mn plus 50-100 μM DA for Neuro-2a cells). After incubation, the cell viability was assessed by quantitative colorimetric assay with 2-(4-lodophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium (WST-1; Dojindo, Kumamoto, Japan), a modification of the standard MTT assay (Higashi et al. 2002).

Western blot analysis

cells $(6.25 \times 10^4 \text{ cells/cm}^2)$, CATH.a $(5 \times 10^4 \text{ cells/cm}^2)$ and Neuro-2a cells $(4.5 \times 10^3 \text{ cells/cm}^2)$ on culture dishes were treated with Mn (100 or 200 µm for CATH.a cells; 200 or 800 µm for SH-SY5Y cells and Neuro-2a cells) for various time intervals. Total cell lysates from Mn-treated cultured

were prepared with 10 µg/mL phenylmethylsulfonyl fluoride in icecold RIPA buffer [phosphate buffer saline (PBS), pH 7.4, 1% NP-40, 0.5% sodium deoxycholate and 0.1% sodium dodecyl sulfate] or specific lysis buffer to detect Parkin [20 mm HEPES (pH 7.4), containing 150 mm NaCl, 5 mm EDTA, 10% glycerol, 0.5% Triton X-100, 0.5 mm N-ethylmaleimide and 0.5 mm iodoacetamide] as described previously (Imai et al. 2000). Western blot analyses were performed as described previously (Higashi et al. 2002), using goat anti-Bip (Santa Cruz Biotechnology, Santa Cruz, CA, USA; 1: 200 dilution), mouse anti-protein disulfide isomerase (PDI; Transduction Laboratories, Lexington, KY, USA; 1:250 dilution), rabbit anti-caspase-12 (Chemicon, Temecula, CA, USA; 1:500 dilution) or rabbit anti-parkin (M74, 1:1000 dilution; Shimura et al. 1999) polyclonal antibody and corresponding secondary antibody (1:5000 dilution) conjugated to horseradish peroxidase. After washing with 20 mm Tris-buffered saline containing 0.1% Tween-20, blots were developed using the ECL western blotting detection system (Amersham Pharmacia Biotech) according to the protocol provided by the manufacturer. Specificity of the detected bands was confirmed by immunoabsorption with each antigen. Incubation of blot using goat anti-actin polyclonal antibody (Santa Cruz Biotech; 1:250) normalized sample loading and transfer. The ratio of band intensity (each protein/actin protein) was calculated.

Immunocytochemistry

SH-SY5Y cells $(6.25 \times 10^4 \text{ cells/cm}^2)$ or Neuro-2a cells $(4.5 \times 10^3 \text{ cells/cm}^2)$ were plated on four-well chamber slides (Nalge Nunc International Corp., Naperville, IL, USA). After a 48-h attachment period, cells were treated with 800 μM Mn. At 24 h after treatment, the cells were fixed with 4% paraformaldehyde in 0.1 M sodium phosphate buffer. The cells were incubated in 2.5% normal donkey serum and 0.2% Triton X-100 in PBS for 20 min. exposed to rabbit anti-parkin antibody for 18 h, and then reacted with fluorescein-5-isothiocyanate (FITC)-conjugated donkey antirabbit IgG antibody (Chemicon) for 2 h. After washing, the cells were incubated with 4 µg/mL tetramethylrhodamine-conjugated wheat germ agglutinin (TRITC-WGA; Sigma) for staining of Golgi complex as described previously (Kubo et al. 2001). Observation were made with a confocal laser scanning microscope (excitation 488 nm and emission 505-530 nm for FITC; excitation 543 nm and emission over 560 nm for TRITC).

Analysis of proteasome activity

Proteasome activities after Mn exposure (800 µm) were determined by incubating lysates (5-14 µg of protein) with chymotrypsin fluorogenic substrate succinyl-LLVY-4-methylcoumaryl-7-amide (suc-LLVY-MCA) or post-glutamyl peptidase fluorogenic substrate Z-LLE-β-naphthylamide (Z-LLE-β-NA) for 30 min at 37°C, as previously reported (Keller et al. 2000). Background level was determined by incubating lysates with proteasome inhibitor MG115 (20 µм).

Transient transfection assay

After overnight culture of SH-SY5Y cells $(6.25 \times 10^4 \text{ cells/cm}^2)$ and Neuro-2a cells $(4.5 \times 10^3 \text{ cells/cm}^2)$ in a 35-mm dish, empty or wild-type human parkin expression vector (1.5 μg for SH-SY5Y cells; 0.8 µg for Neuro-2a cells) was co-transfected with a

pcDNA/Hygro/lacZ plasmid (0.5 μ g for SH-SY5Y cells; 0.4 μ g for Neuro-2a cells; Invitrogen, San Diego, CA, USA) encoding the β -galactosidase gene into cultured cells using lipofection (Lipofectin; Invitrogen; Higashi *et al.* 2002). At 24 h after the transfection, Mn was added with or without DA (800 μ m Mn or 100 μ m Mn plus 100 μ m DA for SH-SY5Y cells; 800 μ m Mn or 200 μ m Mn plus 50 μ m DA for Neuro-2a cells) for further 24 h, and cells were then stained with 5-bromo-4-chloro-3-indolyl β -galactopyranoside solution as reported previously (Higashi *et al.* 2000).

For transfection with antisense parkin, CATH.a cells $(5\times10^4~\text{cells/cm}^2)$ cultured overnight on each well of a 96-well plate were transfected with murine parkin antisense cDNA expression vector (0.1 µg/well) or control vector expressing scrambled sequences using lipofection. At 24 h after the transfection, the cells were treated with 50 or 100 µm Mn for further 24 h. After the Mn treatment, cell viability was assessed by trypan blue exclusion assay to count the cell number of trypan blue-exclusion (live) cells.

Statistical analysis

Statistical significance was analysed using one-way or two-way ANOVA, followed by post-hoc Fisher's PLSD multiple comparison test.

Results

Manganese-induced cytotoxicity and expression of ER stress-associated molecules

Mn exposure for 24 h induced a dose-dependent cell death of all three cell lines ($F_{8,45}=29.723$, p<0.0001), and that CATH.a cells and SH-SY5Ycells were more vulnerable to the toxicity of Mn than Neuro-2a cells (Fig. 1a). Because Mn has been reported to induce dopaminergic cell death through activation of ER stress-associated genes (Chun *et al.* 2001), we examined the effects of Mn exposure on expression of ER chaperones, Bip and PDI, and activation of caspase-12 in CATH.a cells. As shown in Fig. 1(b), an increase in Bip expression (1.8-fold) was detected at 24–48 h after 100- μ M Mn treatment. The expression of PDI was increased by 5.6-fold within 12-h of Mn treatment, and persisted up to 48 h. Moreover, cleavage of caspase-12 (p40) was observed during the initial 6–24 h.

Effects of manganese on parkin expression

Western blot analysis using anti-parkin antibody showed that parkin protein level was significantly increased by treatment with 100 μm Mn for 48 h (Fig. 1b). At a higher dose of Mn (200 μm), which reduced the number of live cells to 21.3% of control, the induction of these ER stress-associated genes including parkin was also detected in CATH.a cells (data not shown). We also examined the effects of Mn exposure on the ER stress-associated genes in other cell lines, SH-SY5Y cells and Neuro-2a cells. In dopaminergic SH-SY5Y cells, the expression levels of PDI and parkin were also significantly increased 48 h after treatment with Mn at the concentration of 200 μm (data not shown) or 800 μm (Fig. 1c). Whereas,

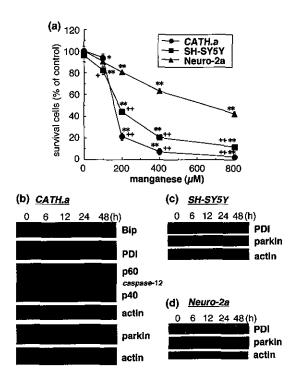


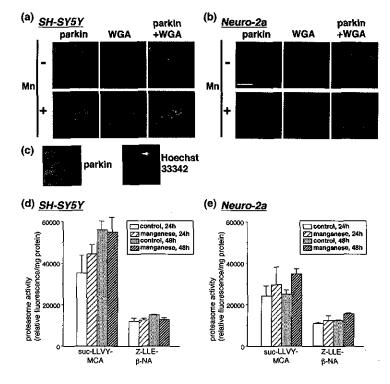
Fig. 1 Effects of Mn on cell viability and expression of ER stress-associated molecules and parkin in dopaminergic and cholinergic cells. (a) CATH.a, SH-SY5Y, and Neuro-2a cells were treated with 100–800 μM Mn for 24 h, and cell viability was measured. Data are the mean \pm SEM (n=4). The percentage of surviving cells relative to the number in untreated group is indicated. *p<0.01, **p<0.001 compared with each untreated cell group; +p<0.05, ++p<0.0001 compared with dose-matched group of Neuro-2a cells. (b) Total cell lysates from CATH.a cells treated with 100 μM Mn for 6–48 h were examined by western blot analysis using anti-Bip, anti-PDI, anticaspase-12 or anti-parkin antibodies. (c and d) Western blot analyses using anti-PDI or anti-parkin antibody in SH-SY5Y cells (c) and Neuro-2a cells (d) treated with 800 μM Mn for 6–48 h.

expression of these indices in non-dopaminergic Neuro-2a cells were not increased by 800 μM Mn (Fig. 1d).

Effects of manganese on intracellular distribution of parkin protein

Parkin protein detected by immunostaining using anti-parkin antibody in naive cells of both SH-SY5Y and Neuro-2a cell lines was observed in the perinuclear region stained with Hoechst 33342 (data not shown), and showed a punctuate distribution along the cell processes and cell bodies similar to the distribution of fluorescent TRITC-WGA signal (Figs 2a and b). Treatment with 800 μ m Mn for 24 h, however, markedly increased the parkin immunoreactivity and resulted in accumulation of parkin in the perinuclear region, which was identical to the strong fluorescent signal of TRITC-WGA-positive Golgí complex in SH-SY5Y cells (Figs 2a and c). In contrast, the signal intensity and distribution of

Fig. 2 Effects of Mn on distribution of parkin and proteasome activities in SH-SY5Y and Neuro-2a cells. (a-c) SH-SY5Y cells (a) and Neuro-2a cells (b) treated with 800 µм Mn for 24 h were immunostained using anti-parkin antibody (green), and were stained with 4 µg/mL TRITC-WGA (red). Merged images were obtained by confocal laser scanning microscope program. (c) Representative microphotographs of parkin-immunostaining and corresponding Hoechst nuclear staining of Mn-treated SH-SY5Y cells. (d and e) Chymotrypsin-like and postglutamyl peptidase-like proteasome activities were determined using fluorogenic substrates suc-LLVY-MCA and Z-LLE-β-NA, respectively, at 24 h or 48 h after Mn (800 µм) exposure. Each value is mean ± SEM expressed as the relative fluorescence/mg protein (n = 4).



parkin protein were not altered in Neuro-2a cells (Fig. 2b). We also found that a large number of round SH-SY5Y cells, which showed a weak signal for parkin, exhibited chromatin condensation and DNA fragmentation after treatment with 800 μм Mn (data not shown).

Manganese on proteasome activities

We also examined changes in ubiquitin-proteasome system after Mn exposure. Unexpectedly, Mn (800 μм) exposure for 24 h or 48 h did not affect on either proteasome activity examined, chymotrypsin-like activity or post-glutamyl peptidase-like activity, in SH-SY5Y and Neuro-2a cells (Figs 2d and e). Mn (200 µm) showed no changes in either proteasome activities in SH-SY5Y cells (data not shown). Furthermore, co-incubation with proteasome inhibitor lactacystin (10 µm) showed no aggravating effects on Mn-induced cell death in CATH.a cells (data not shown).

Effects of parkin transfection on manganese-induced cytotoxicity

To clarify possible protective effects of parkin against Mn-induced cell death, we performed transient co-transfection of expression vectors encoding parkin and β-galactosidase into SH-SY5Y cells or Neuro-2a cells. In these cells, there was no difference between the number of \beta-galactosidase-positive cells transfected with parkin expression vector and empty control vector (Figs 3a and b). After exposure to 800 μM Mn for 24 h, the number of β -galactosidase-positive cells transfected with empty vector was reduced to 45.5% and 51.1% of control in both SH-SY5Y cells and Neuro-2a

cells, respectively. Parkin-transfected SH-SY5Y cells were significantly resistant to cell death induced by Mn exposure for 24 h (Fig. 3a), but Neuro-2a cells transfected with parkin were not (Fig. 3b). Parkin-transfected CATH.a cells were also significantly resistant to Mn-induced cell death (data not shown). These preventing effects of transfection with parkin expression vector against Mn-induced cell death lasted at later time point, after Mn exposure for 48 h (data not shown).

Treatment with a non-toxic dose of Mn (100 μм) and DA (100 μм) led to marked decrease in the viability of SH-SY5Y cells (31.9% of control) compared with untreated and Mn- or DA alone-treated groups (Fig. 3c) when using the same volume of empty Lipofectin for gene transfection. Even in Neuro-2a cells, non-toxic dose of DA (50 or 100 μм) also significantly enhanced Mn (100 or 200 μм)-induced cell death (Fig. 3d) using lipofection. Furthermore, we examined effects of overexpression of parkin on Mn plus DA-induced neuronal cell death using lipofection. Doses of Mn plus DA were chosen to reduce cell viability to 30-50% of control in each cell line (100 μм Mn + 100 μм DA for SH-SY5Y cells; 200 μм Mn + 50 μм DA for Neuro-2a cells). As shown in Figs 3e and f, overexpression of parkin ameliorated Mn plus DA-induced cell death in dopaminergic SH-SY5Y cells, but not in non-dopaminergic Neuro-2a cells, although Mn plus DA produced cell death in both cell lines.

Effects of antisense parkin transfection on manganeseinduced cytotoxicity

Furthermore, Mn (50 or 100 µm)-induced cell death of CATH.a cells was significantly aggravated (-15.39%,