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FIGURE LEGENDS

Figure 1. Mannose binding lectin protein (MBL) and the MBL gene.

1-A: Four regions comprise the mannose binding lectin (MBL) peptide. The cysteine rich region contains cysteine residues that enable the peptides to form S-S bonds between peptides, and between triple helix components. The collagen domain (tandem repeat of Gly-X-Y sequences) of 3 peptides form a triple helix structure. Carbohydrate recognition domain binds to carbohydrates including mannose and N-acetylglucosamine.

The large red arrow indicate the position of the 3 commonly observed amino acid changes caused by single nucleotide polymorphisms of the MBL gene.

1-B: The mannose binding lectin gene is composed of 4 exons and 3 introns. There are five common single nucleotide polymorphisms (SNP). Each of these SNP has a large effect on the serum concentration of MBL. H/L, X/Y, A/B, A/C, A/D are the commonly used nomenclatures for the alleles of these SNPs. These SNPs combine to make 6 common haplotypes, *HYA*, *LYA*, *LXA*, *HYD*, *LYB* and *LYC*, and strongly influence serum MBL concentration.

1-C: Possible relationship between mannose binding lectin and autoimmunity. The structure of mannose binding lectin (MBL) enables it to discriminate between self and invading microorganisms. MBL would not bind to normal viable cells. However, cells undergoing apoptosis will be recognized by MBL. MBL bound apoptotic cells are engulfed by phagocytes. MBL also binds to DNA. Therefore, MBL has a role in the clearance of potential autoantigens. In addition, MBL binds to various bacteria and viruses, and will aid in host defense through

opsonization or activation of the lectin pathway of the complement cascade. Thus, MBL may aid in protection against some unknown microorganism related to autoimmunity, and is also important when an individual is under immunosuppression. It should be noted, that while MBL may be protective against occurrence of autoimmune diseases, it has a role in various tissue injuries, and may be pathogenic in certain autoimmune conditions.

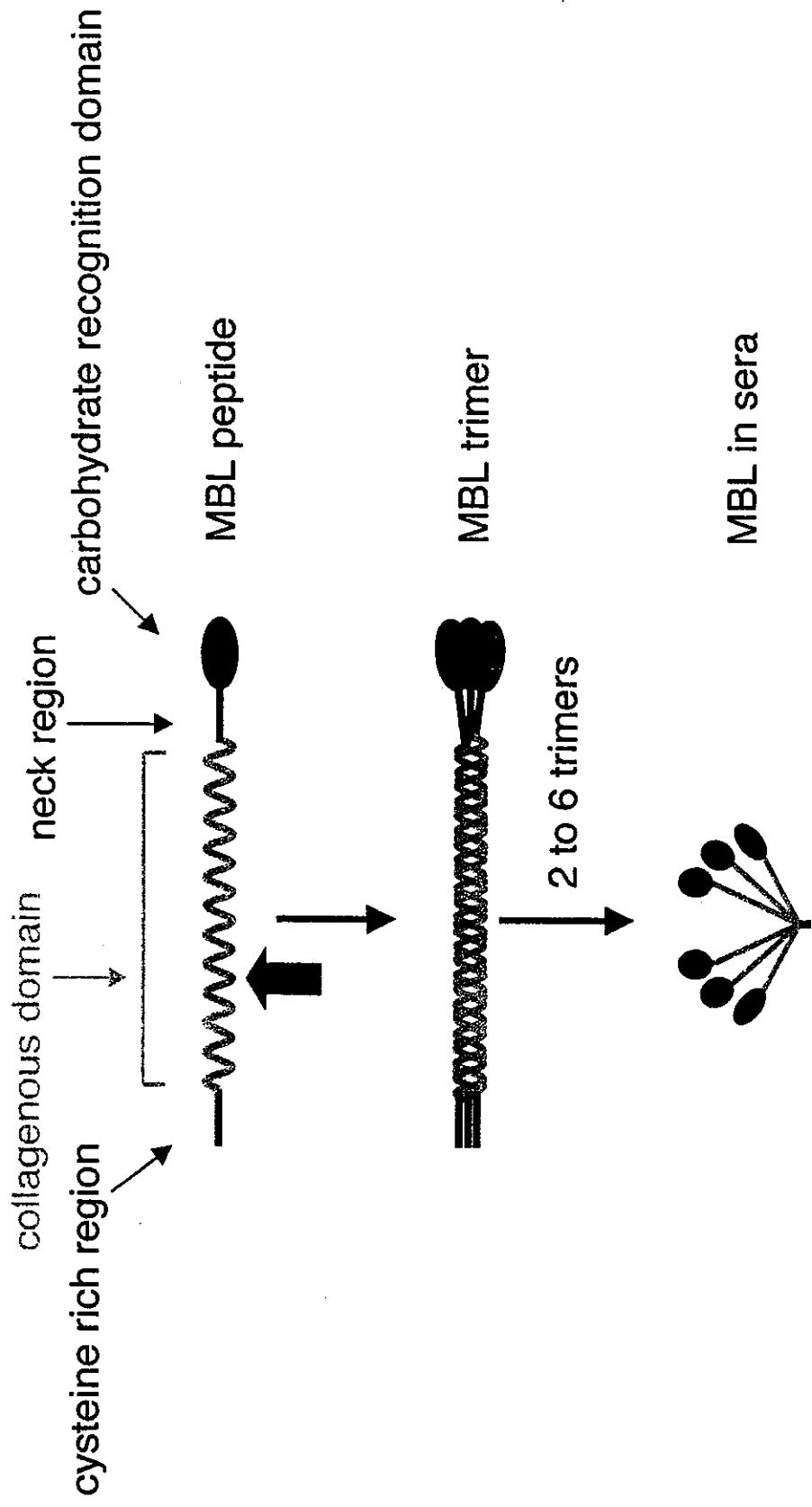
Table. Concentration of mannose binding lectin (MBL) in sera of Japanese individuals with different MBL haplotypes.

Haplotypes	n	MBL concentration (mg/l)	Standard deviation
<i>HYA/LYA</i>	15	1.411	0.548
<i>HYA/HYA</i>	18	1.177	0.481
<i>LYA/LYA</i>	1	1.210	-
<i>HYA/LXA</i>	5	0.830	0.321
<i>LYA/LXA</i>	5	0.584	0.338
<i>HYA/LYB</i>	24	0.333	0.310
<i>LYA/LYB</i>	9	0.119	0.056
<i>LXA/LYB</i>	3	0.013	0.023
<i>LYB/LYB</i>	16	0.002	0.008
total	96	0.622	0.634

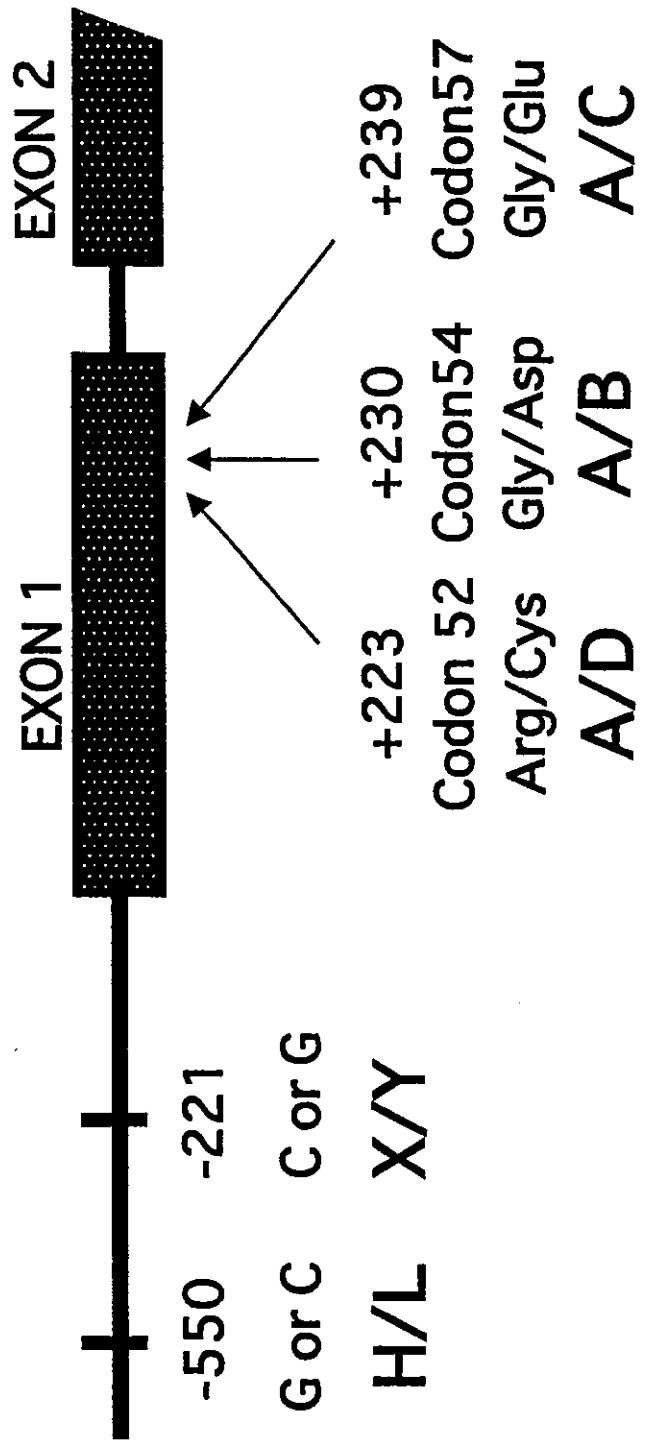
See text and figure for definition of haplotypes.

From Tsutsumi et al. [40]

1-A

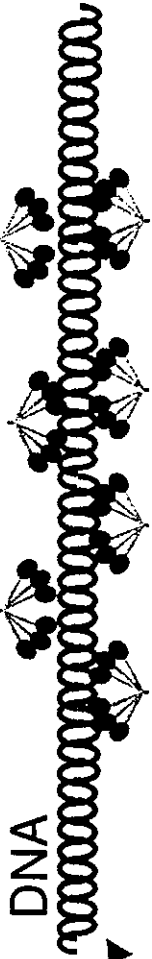
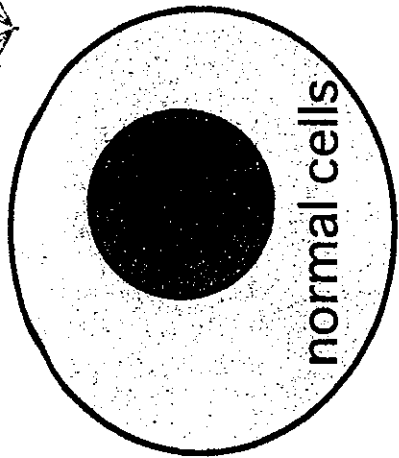


1-B

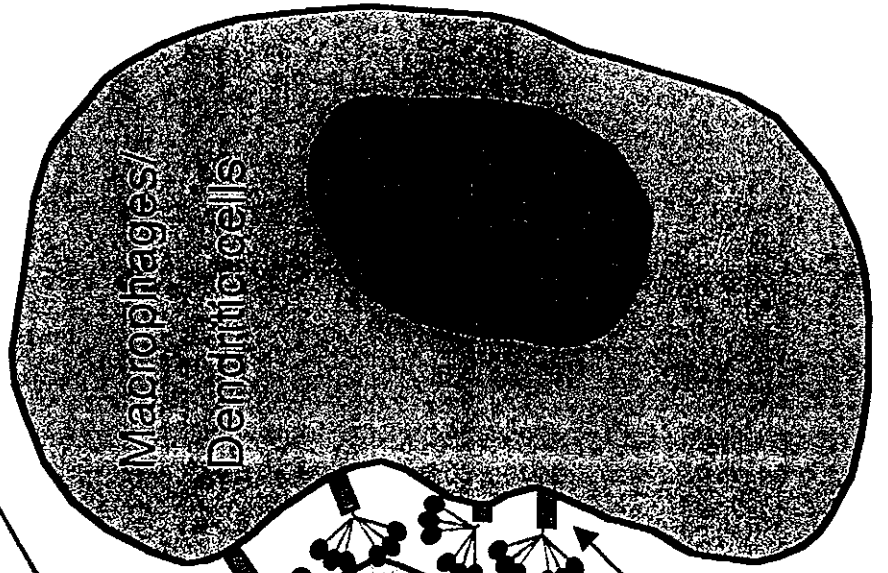


1-C

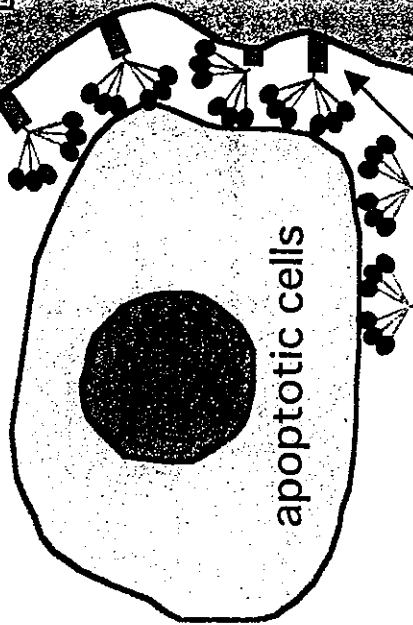
MBL



DNA

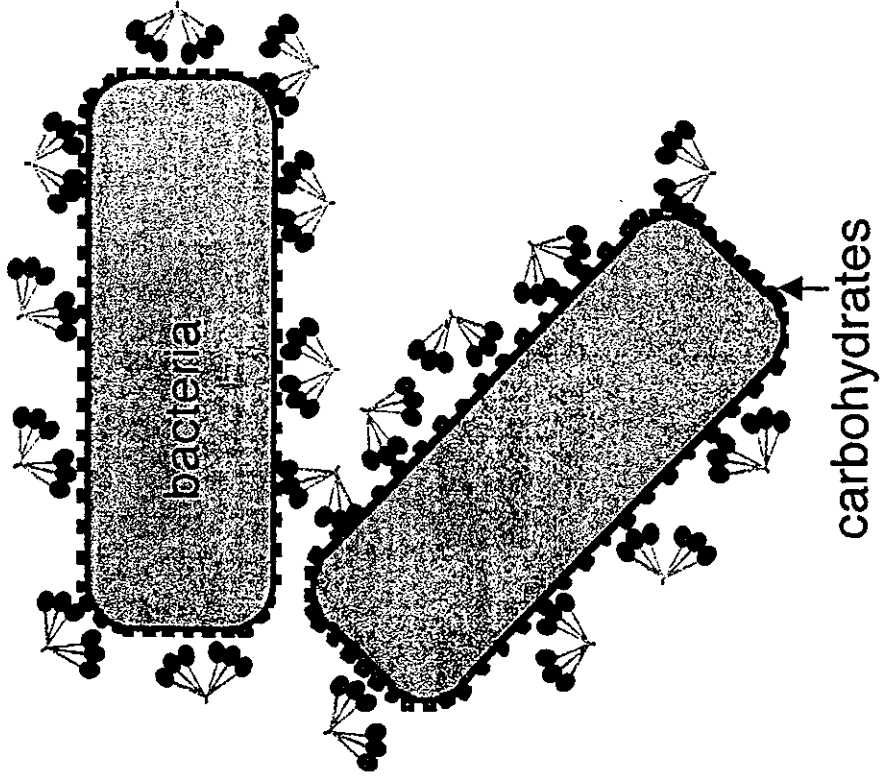


Macrophages/
Dendritic cells



apoptotic cells

Collectin receptors



bacteria

carbohydrates

Clinical and Experimental Immunology (in press)

TCRV α 14⁺ NKT cells function as effector T cells in collagen-induced arthritis mice

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Summary

Natural killer (NK) T cells are a unique, recently identified cell population and are suggested to act as regulatory cells in autoimmune disorders. In the present study, designed to investigate the role of NKT cells in arthritis development, we attempted to induce arthritis by immunization of type II collagen (CIA) in J α 281 knock out (NKT-KO) and CD1d knock out (CD1d-KO) mice, which are depleted of NKT cells. From the results, the incidence of arthritis (40%) and the arthritis score (1.5 ± 2.2 and 2.0 ± 2.7) were reduced in NKT-KO and CD1d-KO mice compared to those in respective wild type mice (90%, 5.4 ± 3.2 and 2.0 ± 2.7 , $P < 0.01$). Anti-CII antibody levels in the sera of NKT-KO and CD1d-KO mice were significantly decreased compared to the controls (OD values; 0.32 ± 0.16 and 0.29 ± 0.06 vs. 0.58 ± 0.08 and 0.38 ± 0.08 , $P < 0.01$). These results suggest that NKT cells play a role as effector T cells in CIA. Although the cell proliferative response and cytokine production in NKT-KO mice after the primary immunization were comparable to those in wild type mice, the ratio of activated T or B cells were lower in NKT-KO mice than wild type mice after secondary immunization (T cells: $9.9 \pm 1.8\%$ vs

16.0±3.4%, P<0.01, B cells: 4.1±0.5% vs 5.1±0.7%, P<0.05), suggesting that inv-NKT cells contribute to the pathogenicity in the development phase of arthritis. In addition, IL-4 and IL-1_β mRNA expression levels in the spleen during the arthritis development phase were lower in NKT-KO mice, while the IFN- γ mRNA expression level was temporarily higher. These results suggest that inv-NKT cells influence cytokine production in arthritis development.

In conclusion, inv-NKT cells may promote the generation of arthritis, especially during the development rather than initiation phase.

Introduction

Natural killer T cells represent a novel lymphoid lineage distinct from conventional T cells, B cells, and NK cells. As invariant NKT cells (inv-NKT), whose TCR is a single invariant V α 14J α 281 chain, can secrete both Th1 and Th2 cytokines, it was suggested that inv-NKT cells play a role in immunomodulative function. Deficient or defective NKT cells are associated with certain autoimmune diseases [1-3], as well as with several animal models of autoimmune disease [4, 5]. Recent studies showed that inv-NKT cell activation protected against type 1 diabetes in NOD mice [6], EAE [7], and MRL lpr/lpr mice [8]. Chiba *et al.* reported that collagen-induced arthritis (CIA) was suppressed by NKT cell activation with OCH, an analog of β -GalCer [9]. However, the natural function of inv-NKT cells on the development of arthritis remains unclear.

To investigate the role of inv-NKT cells on arthritis in the CIA mouse model, NKT-cell-deficient mice were analyzed. Arthritis was suppressed and anti-CII antibody levels were reduced in these mice. In addition, the ratios of activated T and B cells were lower, and IL-4 and IL-1 β mRNA expression was lower, in the deficient mice during the development phase

rather than induction phase of arthritis, while IFN- γ mRNA expression was temporarily higher. Therefore, we concluded that inv-NKT cells could promote the generation of arthritis and that they affected arthritis development rather than immunological initiation.

Materials and Methods

Mice. Male C57BL/6 mice aged 10-12 weeks old were purchased from Charles River Japan Inc. (Yokohama, Japan). Male NKT cell (TCR α 281) knock out mice (NKT-KO) [10] and male CD1d knock out mice (CD1d-KO) [11], in which the genetic background was C57BL/6, were used in this study. NKT-KO and CD1d KO mice were established after backcrossing 10 generations or more to B6 mice, respectively, and were kindly provided by Prof. M.Taniguchi (RIKEN Research Center for allergy and Immunology, Yokohama, Japan). The study design was approved by the Ethical Committee of the University of Tsukuba.

Reagents. Chicken type II collagen (CII) was purchased from Sigma-Aldrich Corp. (ST. Louis, USA). CII was dissolved in 0.1M acetic acid

and diluted with 0.01M PBS (pH 7.4). Incomplete Freund's Adjuvant (IFA) and heat-inactivated *M. tuberculosis* (H37Ra) were purchased from Difco Laboratories (Detroit, USA).

Induction of collagen-induced arthritis (CIA) and evaluation of clinical severity. C57BL/6, NKT-KO and CD1d-KO mice were immunized intradermally at the base of the tail with 100 µg chicken CII emulsified in IFA containing 250 µg of inactivated *M. Tuberculosis* (H37Ra). On Day 21 the animals were boosted with an intradermal injection [12]. The animals were observed at 2- or 4-day intervals and evaluated for the severity of arthritis by scoring each paw. The observation period was set for 28 days after the booster immunization, because the clinical scores did not worsen after that in our preliminary experiment using a small number of animals. The scores ranged from 0 to 3 (0, no swelling or redness; 1, swelling or redness in one joint; 2, two joints or more involved; 3, severe arthritis of the entire paw and joints). The score for each animal was the sum of the score for all four paws.

Measurement of anti-CII antibody. C57BL/6, NKT-KO and CD1d-KO mice were sacrificed 28 days after the booster injection and sera were collected. Anti-CII IgG antibodies (anti-CII IgG Abs) were measured by ELISA [13].

Briefly, each mouse serum was diluted 100,000 times with blocking buffer (Block Ace, Dainippon Pharmaceuticals Co., Osaka, Japan) and was incubated in a type II collagen-coated well for 1hr at 37°C. The wells were washed 3 times with washing buffer (0.01M Tris-HCl containing 0.05% Tween 20) and treated with biotinylated goat anti-mouse IgG antibody (Zymed Laboratories, Inc., South San Francisco, USA, diluted 4000 times with the blocking buffer) for 2hr at room temperature. After washing, avidin-alkaline phosphatase (EY laboratories, Inc., San Mateo, USA) diluted 4000 times with the blocking buffer was added to each well and incubated for 1 hr at room temperature. After washing, color development was carried out by an ELISA amplification system (Invitrogen Co., Carlsbad, USA) and the optical density was determined at 490 nm.

Measurement of the primary CII-specific response *ex vivo*. C57BL/6 and NKT-KO mice were immunized intradermally with 100 µg chicken CII

emulsified in IFA containing 250 μg of inactivated *M. Tuberculosis* (H37Ra). Nine days after immunization, spleens were removed. The red blood cells were removed from the splenocytes by treatment with 0.16M Tris-NH₄Cl solution, and 2×10^5 cells were restimulated in triplicates with several concentrations of chicken CII (6.25, 12.5, 25, and 50 $\mu\text{g}/\text{mL}$) for 72 hr and the proliferative response was estimated using the BrdU ELISA system (Cell Proliferation ELISA kit, Roche Diagnostics GmbH, Mannheim, Germany). IFN- γ and IL-4 concentrations in the culture supernatants were measured by ELISA using an immunoassay kit (Biosource International Inc., Camarillo, USA).

Flow cytometric analysis. Fluorescein isothiocyanate (FITC)-labeled anti-TCR α mAb (clone H57-597), anti-CD45R(B220) mAb (clone RA3-6B2), and PE-labeled anti-CD69 mAb (clone H1.2F3) were purchased from eBioscience, Inc. (San Diego, USA). Rat anti-mouse Fc γ R II/III mAb (clone 2.4G2, BD Biosciences, San Diego, USA) was used as the Fc block. Splenocytes were collected from eight C57BL/6 male mice and eight NKT-KO male mice 5 days after the booster immunization and were treated with 0.16M Tris-NH₄Cl solution. The cells were stained with mAbs and propidium iodide (PI, BD Biosciences, San Diego, USA), and were

analyzed by flow cytometry using EPICS XL-MCL (Beckman Coulter, Inc., Fullerton, USA).

Quantitative RT-PCR. The spleen was removed from three of the C57BL/6 male mice and three of the NKT-KO male mice 5, 10, 15, and 30 days after the booster immunization and they were preserved in *RNAlater* (QIAGEN GmbH, Hilden, Germany). Total RNA was extracted by TriZol reagent (Invitrogen Co., Carlsbad, USA) and no genomic DNA contamination was confirmed using the GAPDH primer. First strand cDNA was synthesized using SuperScript III First Strand System (Invitrogen Co., Carlsbad, USA). The relative expression levels of IFN- γ , IL-1 β , and IL-4 mRNA were determined by Taqman RT-PCR technology (ABI PRISM 7700, Applied Biosystems, Foster city, USA). The target gene copy number of each sample was standardized by GAPDH gene expression. The primer-probe set for each cytokine and GAPDH was purchased from Applied Biosystems (Assay-on demand system).

Statistical analysis. Statistical analysis was carried out using the Fisher's exact test for the incidence of arthritis, and the Student's or Welch t-test for arthritis score, anti-CII antibody titer, percentage of CD69-positive cells,

and relative cytokine mRNA expression.

Results

CIA in NKT-KO and CD1d-KO mice

To evaluate the association of V α 14-J α 281 NKT cells with the development of CIA, two different KO mice, J α 281-KO (NKT-KO) and CD1d-KO mice, were used and the incidence and severity of arthritis in each were compared to genetically matched C57BL/6 mice. In NKT-KO mice, the incidence (40%) and arthritis score (1.5 ± 2.2) were significantly reduced compared with the control mice (90%, 5.4 ± 3.2 , $p < 0.01$)(Fig. 1a, b). In CD1d-KO mice, the incidence (40%) and arthritis score (2.0 ± 2.7) were also significantly reduced compared with control mice (90%, 6.4 ± 4.2 , $p < 0.01$)(Fig. 1c, d). Judging from these findings, we hypothesize that inv-NKT cells function as effector T cells.

Anti-CII antibody in sera from NKT-KO and CD1d-KO mice

Serum anti- CII IgG Abs were also significantly decreased in NKT-KO and CD1d-KO mice (OD value: 0.32 ± 0.16 and 0.29 ± 0.06 respectively) compared with their controls (OD value: 0.58 ± 0.08 and 0.38 ± 0.08) ($P < 0.01$) (Fig. 2). The decrease in pathogenic anti-CII Ab levels is one

reason why arthritis is suppressed in the NKT-cell-deficient mice.

Primary anti-CII response in NKT-KO mice

The immune system of mice was stimulated with adjuvant including microbial antigens as well as CII for the induction of CIA. NKT cells were stimulated with microbial antigens in the context of CD1d, an MHC class-I like molecule on APC [14]. Therefore, it is possible that the suppression of the incidence and severity of arthritis in NKT-KO mice is attributable to the reduction of the immune response to microbial antigens, leading to a reduced response to CII. To address this possibility, the degree of cell proliferation in NKT-KO mice was compared with those in C57BL/6 mice when stimulated with chicken CII after *in vivo* immunization with CII and inactivated *M. Tuberculosis*. The results of the cell proliferation assay, mean OD values and S.D. at 6.25, 12.5, 25, and 50 μ g/mL of CII, were 0.11 ± 0.06 , 0.16 ± 0.04 , 0.24 ± 0.04 , and 0.42 ± 0.08 in C57BL/6 mice, and 0.08 ± 0.09 , 0.13 ± 0.10 , 0.20 ± 0.08 , and 0.32 ± 0.14 in NKT-KO mice, respectively (Fig 3a). This indicates that the cell response to CII is not significantly different between NKT-KO and C57BL/6 mice at any antigen concentration tested, suggesting that the suppression of CIA in