

研究成果の刊行に関する一覧表

著者名	論文タイトル名	発表誌名	巻名	ページ	出版年
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## PROMOTER HYPERMETHYLATION OF *E-CADHERIN* AND ITS ABNORMAL EXPRESSION IN EPSTEIN-BARR VIRUS-ASSOCIATED GASTRIC CARCINOMA

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Promoter hypermethylation of various tumor-related genes is extremely frequent in Epstein-Barr virus (EBV)-associated gastric carcinoma (EBVaGC). To investigate the significance of the promoter methylation in EBVaGC, we focused on one of the important proteins in the carcinogenesis of the stomach, E-cadherin. Methylation-specific PCR analysis (MSP) was applied to surgically resected gastric carcinomas, together with immunohistochemistry, PCR-based analysis of mutations and allelic loss, and site-specific MSP of *E-cadherin* gene. By MSP, nearly all of the carcinomas showed aberrant methylation of *E-cadherin* promoter in EBVaGC (21/22), and the frequency of this aberration was significantly higher than that in EBV-negative gastric carcinoma (GC; 45/81;  $p = 0.0003$ ). According to immunohistochemistry of E-cadherin, the frequency of abnormal staining pattern in EBVaGC (87%) was comparable to that in the diffuse type (80%), but higher than that in the intestinal type of EBV-negative GC (47%). Promoter methylation was well correlated with abnormal staining pattern in EBVaGC, but not in EBV-negative GC. Neither mutation nor allelic loss of *E-cadherin* was observed in EBVaGC. Methylation status of *E-cadherin* within each carcinoma was heterogeneous as far as examined. Thus, in addition to the known association involving p16, we determined that promoter methylation-mediated silencing of *E-cadherin* gene was also closely associated with the development of EBVaGC, although it becomes heterogeneous within a given tumor along its progression.

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**Key words:** Epstein-Barr virus; gastric carcinoma; E-cadherin; methylation

Epstein-Barr virus (EBV)-associated gastric carcinoma (EBVaGC) is a unique type of gastric carcinoma (GC) that accounts for 5–18% of GCs reported around the world. EBV-encoded small RNA (EBER) is present in nearly all of the carcinoma cells in the intramucosal stage. EBV in EBVaGC is monoclonal by Southern blot hybridization analysis with probes adjacent to the unique terminal repeat of EBV DNA. EBVaGC also has some characteristic clinicopathologic features, such as male preference, predominant involvement of the proximal stomach, frequent accompaniment of atrophic gastritis, a moderately differentiated tubular or poorly differentiated solid type of histology<sup>1–3</sup> and specific expression of the splice variants of CD44 and IL-1 $\beta$ .<sup>4,5</sup> Given these many distinctions, the carcinogenic process of EBVaGC is thought to be quite different from that of EBV-negative GC.

EBV nuclear antigen 2 (EBNA2) and latent membrane protein 1 (LMP1) are latency gene products of EBV. The former is capable of immortalizing human lymphocytes, the latter capable of transforming rodent fibroblasts. Since neither is expressed in EBVaGC,<sup>6,7</sup> genetic or epigenetic alterations of the infected cells might be directly responsible for the development of EBVaGC. In an investigation of the genetic changes, the deletion of 5q and/or 17p and the microsatellite instability were found to be extremely rare in EBVaGC, but very frequent in EBV-negative GC.<sup>8</sup> On the other hand, Kang *et al.*<sup>9</sup> and our own group<sup>10</sup> recently demonstrated that promoter hypermethylation of various tumor-related genes occurs much more frequently in EBVaGC than in EBV-negative GC. The subsequent reduction of

gene expression has been observed in at least one tumor suppressor gene, p16.<sup>11</sup>

In the present study, we focused on E-cadherin, another protein important in the carcinogenesis of the stomach. E-cadherin is a Ca<sup>2+</sup>-dependent cell-cell adhesion molecule that plays an essential role in the formation and maintenance of the normal architecture and function of epithelial tissues.<sup>12–14</sup> Abnormalities of the gene and gene expression of E-cadherin have been frequently observed in gastric carcinoma,<sup>15–20</sup> and the germline mutation was identified in the hereditary diffuse gastric carcinoma kindred.<sup>21</sup> However, few studies have correlated abnormalities of E-cadherin with the EBV infection.<sup>22</sup> In the present study, to clarify the significance of the promoter hypermethylation of *E-cadherin* in EBVaGC, we demonstrated its clinicopathologic features and its characteristic gene expression in GC with and without EBV infection, together with the specific analyses of *E-cadherin* gene in EBVaGC, such as genetic abnormalities, and regional heterogeneity of methylation status.

### MATERIAL AND METHODS

The materials consisted of 103 gastric carcinomas that had been surgically resected at Jichi Medical School or Tokyo Metropolitan Komagome Hospital from 1988 to 1998. Fresh tissues of gastric carcinoma were frozen in liquid nitrogen immediately after surgical resection and stored at  $-80^{\circ}\text{C}$  until use. Histologic typing of the carcinoma and the pathology of the resected stomachs were evaluated according to the Japanese Classification of Gastric Carcinoma.<sup>23</sup> We also adopted Lauren's classification of gastric carcinoma,<sup>24</sup> intestinal and diffuse.

To determine the presence or absence of EBV, EBER *in situ* hybridization was applied to the formalin-fixed and paraffin-embedded sections as described previously.<sup>3</sup> Genomic DNA was isolated from the frozen tissues by a standard phenol/chloroform

**Abbreviations:** EBER, Epstein-Barr virus-encoded small RNA; EBV, Epstein-Barr virus; EBVaGC, Epstein-Barr virus-associated gastric carcinoma; GC, gastric carcinoma; LOH, loss of heterozygosity; MSP, methylation-specific polymerase chain reaction; PCR-SSCP, polymerase chain reaction-single-strand conformational polymorphism.

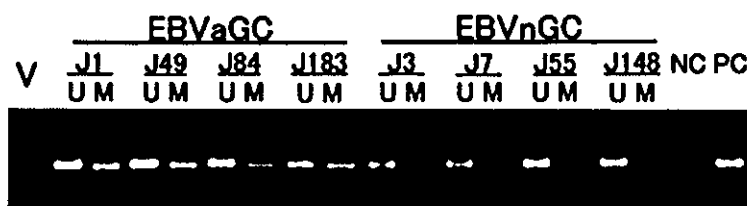
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FIGURE 1—MSP analysis of promoter hypermethylation of *E-cadherin* gene in EBV-associated and EBV-negative gastric carcinoma. Representative result of MSP of 4 EBVaGC cases (J1, J49, J84 and J183) and 4 EBV-negative GC (EBVnGC; J3, J7, J55 and J148). All 4 EBVaGC cases and 1 EBV-negative GC case (case J7) were considered methylation-positive. U, unmethylated primer set; M, methylated primer set; V, DNA marker V; NC, negative control; PC, positive control.



procedure. Due to limited sample size and relatively long duration of the tissue storage, DNA, but not RNA, could be used for the mutation analysis.

#### Methylation-specific polymerase chain reaction of *E-cadherin* gene promoter

Bisulfite modification of genomic DNA was performed<sup>25</sup> with the CpGenome DNA modification kit (Intergen, Purchase, NY) before the methylation-specific polymerase chain reaction (MSP) of the *E-cadherin* promoter. MSP was performed with the CpG WIZ *E-cadherin* Amplification kits (Intergen) according to the manufacturer's recommendation with slight modifications.<sup>26</sup> Reaction buffer at a final volume of 12.5  $\mu$ l contained 1 unit of AmpliTaq Gold DNA polymerase (Applied Biosystems, Foster City, CA) and 1  $\mu$ l of modified DNA. The temperature profiles for the amplification were as follows: initial heating at 95°C for 10 min, 40 cycles of denaturation at 95°C for 45 sec, annealing at 60°C for 45 sec and extension at 72°C for 1 min, followed by a final extension at 72°C for 10 min. A pair of positive (universal methylated and unmethylated DNA; Intergen) and negative controls (distilled water) accompanied every amplification reaction; 6  $\mu$ l of each PCR product was electrophoresed in a 2% agarose gel, stained with ethidium bromide and visualized under an ultraviolet illuminator. All experiments were performed in duplicate.

#### Immunohistochemistry of *E-cadherin*

Since the high incidence of *E-cadherin* promoter hypermethylation was observed in EBVaGC, immunohistochemistry of *E-cadherin* was further performed to evaluate the expression of *E-cadherin*. For the further analysis of immunohistochemistry, the formalin-fixed and paraffin-embedded sections were available for EBVaGC when the size of the carcinoma was more than 1 cm (15 cases). For EBV-negative GCs, the specimens of Jichi Medical School were subjected to immunohistochemistry (19 intestinal and 15 diffuse type, respectively). Sections 4  $\mu$ m thick were cut from formalin-fixed and paraffin-embedded specimens, deparaffinized in xylene and rehydrated in alcohol. The sections were autoclaved in 0.01 M citrate-phosphate buffer (pH 6.0) with 0.1% of Tween20 at 121°C for 10 min for antigen retrieval. The monoclonal antibody to human *E-cadherin* (clone HECD-1, TaKaRa, Shiga, Japan) was applied to the sections and incubated at room temperature for 1 hr. Following blockage of endogenous peroxidase activity by treatment with 0.3% hydrogen peroxide in methanol for 10 min, a standard avidin-biotin immunoperoxidase technique was used for visualization of the reactive product.<sup>27,28</sup> The sections were incubated with avidin-biotin complex (Vectorstain ABC kit, Vector Laboratories, Burlingame, CA), developed in 3'-3' diaminobenzidine, counterstained with Mayer's hematoxylin for 5 min and dehydrated in alcohol prior to mounting. The epithelium of non-cancerous parts within each section (if available) and/or normal colonic epithelium served as positive controls. To obtain negative controls, the primary antibodies were omitted.

For the evaluation of the immunohistochemical results, we classified the staining patterns into 3 categories according to classification recommended by Oka *et al.*<sup>17</sup> Tumors showing positive signal in more than 90% of tumor cells were classified as the normal type, those showing positive signal in 10–90% of tumor cells were classified as the heterogeneous type and those expressing very weak or no signal (less than 10%) were classified as the

TABLE I—CORRELATION BETWEEN PROMOTER HYPERMETHYLATION OF *E-CADHERIN* AND CLINICOPATHOLOGIC FACTORS IN GASTRIC CARCINOMA

Clinicopathologic factors	Promoter methylation status		P
	Methylated	Unmethylated	
EBV infection			
EBVaGC	21	1	0.0003
EBVnGC <sup>a</sup>	45	36	
Mean age	61.6 $\pm$ 14.0	64.9 $\pm$ 11.5	NS
Gender (male:female)	48:18	32:5	NS
Histology			
Intestinal	26	22	0.0502
Diffuse	40	15	
Location <sup>b</sup>			
Upper and middle	51	24	NS
Lower	15	13	
Depth of invasion			
Early <sup>c</sup>	12	5	NS
Advanced <sup>d</sup>	54	32	
Lymph node metastasis			
+	50	22	0.0836
-	16	15	
Lymphatic invasion			
+	60	36	NS
-	6	1	
Venous invasion			
+	58	33	NS
-	8	4	

<sup>a</sup>EBVnGC; EBV-negative GC. <sup>b</sup>Location of tumor; upper/middle/lower third portion of the stomach. <sup>c</sup>Tumor invasion within submucosa. <sup>d</sup>Tumor invasion beyond muscularis propria.

reduced type. Both reduced and heterogeneous types were considered abnormal.

#### PCR-single-strand conformational polymorphism analysis and loss of heterozygosity analysis of *E-cadherin* gene

Due to the amount of DNA available, only 11 out of the 15 EBVaGC cases examined in the immunohistochemical study were further subjected to PCR-single-strand conformational polymorphism (SSCP) and loss of heterozygosity (LOH) analyses. Exons 6–9 of *E-cadherin* gene were evaluated by PCR-SSCP analysis with subsequent sequencing of the abnormal bands. Exons 8 and 9 of the *E-cadherin* gene have been identified as the region of the mutation hot spot in diffuse-type gastric carcinoma.<sup>29</sup> PCR-SSCP analysis was performed according to the established protocols.<sup>20,30</sup> Electrophoresis was performed on 6% neutral polyacrylamide gels at 15 mA/1,500 V for 4 hr. The gels were dried and exposed to X-ray film at  $-80^{\circ}\text{C}$  overnight. All experiments were performed in duplicate. MKN45, a cell line that harbors a mutation on exon 6, was used as a mutation-positive control.<sup>30</sup> When mobility shift SSCP bands were present, they were isolated from the gels and subjected to PCR using the same primer sets as those used in the prior PCR. The PCR products were cloned into the vector pCR II-TOPO (Invitrogen, Leek, The Netherlands). Sequencing was performed using a DYEnamic ET terminator cycle sequencing kit (Amersham Pharmacia Biotech, Uppsala, Sweden) with a DNA sequencer (Model 373A, Applied Biosystems).

The LOH study was performed using the tumor DNA and the matched DNA from the nonneoplastic mucosa. Radioactive PCR

TABLE II - PATHOLOGIC SIGNIFICANCE OF PROMOTER HYPERMETHYLATION OF *E-CADHERIN* IN EBV-ASSOCIATED GASTRIC CARCINOMA, AND DIFFUSE AND INTESTINAL SUBTYPES OF EBV-NEGATIVE GASTRIC CARCINOMA

	Frequencies of promoter hypermethylation						
	Total	Depth of invasion <sup>a</sup>			Lymph node metastasis		
		Early	Advanced	<i>p</i>	Negative	Positive	<i>p</i>
EBVaGC	95% (21/22)	100% (7/7)	94% (14/15)	NS	93% (14/15)	100% (7/7)	NS
EBVnGC diffuse <sup>b</sup>	65% (26/40)	100% (6/6)	59% (20/34)	0.0743	70% (7/10)	63% (19/30)	NS
EBVnGC intestinal <sup>c</sup>	46% (19/41)	29% (2/7)	50% (17/34)	NS	14% (2/14)	63% (17/27)	0.0038

<sup>a</sup>Early/advanced, tumor invasion within submucosa/tumor invasion beyond muscularis propria. <sup>b</sup>EBV-negative GC showing diffuse type of histology. <sup>c</sup>EBV-negative GC showing intestinal type of histology.

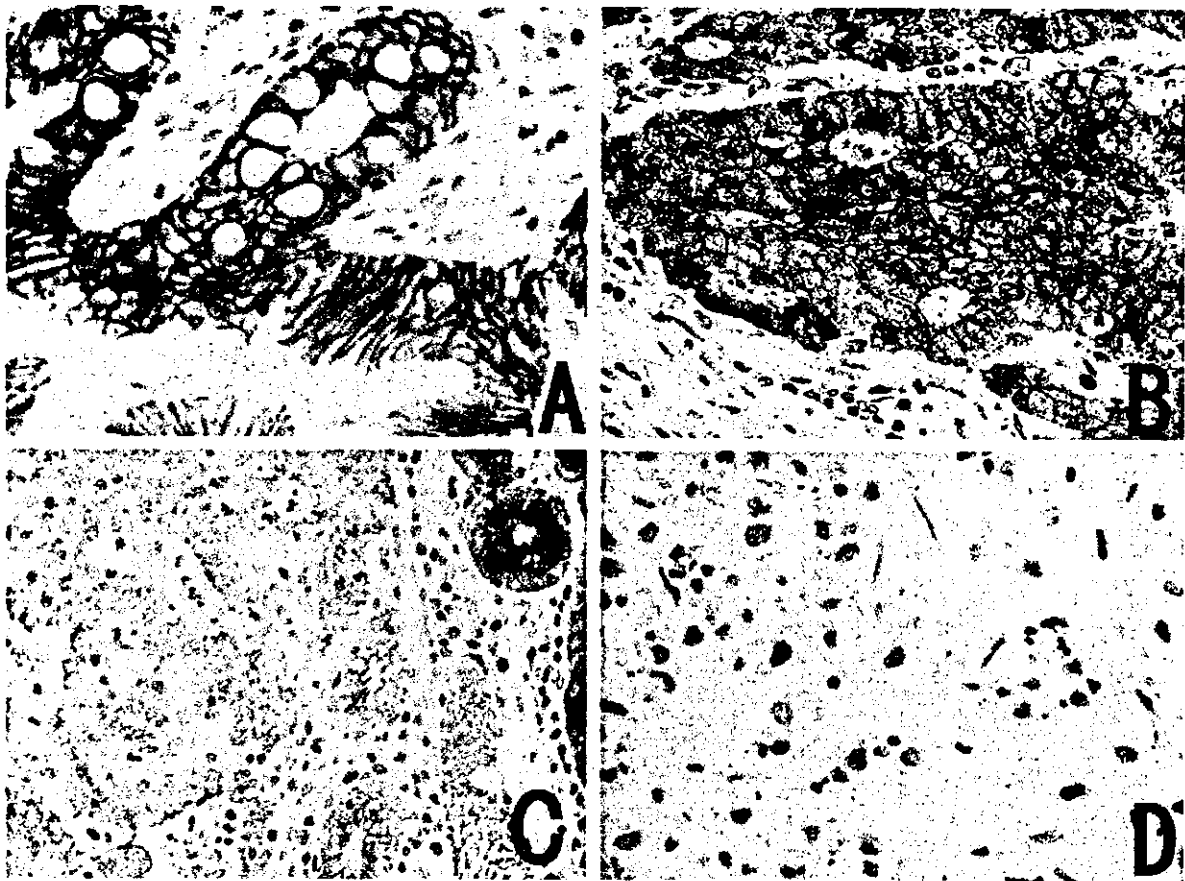


FIGURE 2 - Immunohistochemical staining pattern of E-cadherin in EBV-associated and EBV-negative gastric carcinoma. (a) Normal expression of E-cadherin in normal colonic mucosa. (b) Example of normal staining pattern in intestinal-type EBV-negative GC. (c) Heterogeneous staining pattern in EBVaGC. (d) Reduced staining pattern in diffuse-type EBV-negative GC. Original magnification  $\times 400$  for all panels.

amplification of microsatellite markers D16S265 and D16S301 was performed according to the procedures described by Machado *et al.*<sup>19</sup> PCR products were run in a 6% denaturing polyacrylamide gel with 5% crosslinking and exposed to X-ray film at room temperature overnight.

#### Regional heterogeneity of promoter hypermethylation of *E-cadherin*

Regional heterogeneity of promoter hypermethylation of *E-cadherin* was assessed in EBVaGC by the MSP analysis of the microdissected tumor tissue from the formalin-fixed, paraffin-embedded section. Two serial sections, 8  $\mu$ m in thickness, were

deparaffinized in xylene and rehydrated in alcohol. After the sections were briefly stained with hematoxyline, a 5  $\times$  5 mm sized region was dissected from each with an 18 G needle tip. The tissue samples were treated with proteinase K (1 mg/ml) at 37°C overnight. After phenol-chloroform-isoamyl alcohol extraction and ethanol precipitation, each 1  $\mu$ g of DNA was subjected to bisulfite modification as described above. Since the DNA was obtained from the formalin-fixed and paraffin-embedded sections, different primer sets were used to obtain shorter PCR products according to the method of Herman *et al.*<sup>25</sup> The temperature profiles for the amplification were as follows: initial heating at 95°C for 5 min, 45 cycles of denaturation at 95°C for 45 sec, annealing at 57.0°C for

the methylated primer set and at 53.0°C for the unmethylated primer set and extension at 72°C for 45 sec, followed by a final extension at 72°C for 10 min.

#### Statistical analysis

Statistical analysis of the results was performed using the chi-square test or Fisher's exact test. Differences were considered to be significant at  $p < 0.05$ .

## RESULTS

### Promoter methylation of *E-cadherin*

Twenty-two cases of EBVaGC and 81 cases of EBV-negative GC were included in this study. The only significant difference between the 2 groups was the tumor location (cardia, gastric body in total cases: 22/22 in EBVaGC and 53/81 in EBV-negative GC).

The results of MSP analysis (Fig. 1) are presented in Table I. Nearly all of the carcinomas showed aberrant methylation of *E-cadherin* promoter in EBVaGC, and the frequency of this aberrant methylation was significantly higher in EBVaGC than in EBV-negative GC ( $p = 0.0003$ ). The diffuse type of histology appeared to be correlated with the *E-cadherin* hypermethylation, albeit not to a statistically significant extent ( $p = 0.0502$ ). Since 2/3 (15 of 22) of EBVaGC cases showed the diffuse type of histology, EBVaGC contributed to the relatively high frequency of aberrant methylation of *E-cadherin* in the diffuse type. Otherwise, the frequency of promoter hypermethylation did not differ significantly between any of the other groups classified by clinicopathologic factors such as age, gender, or location, depth of invasion and lymph node metastasis of the carcinoma.

Since *E-cadherin* is reported to relate to the histologic subtype of gastric carcinoma, EBV-negative GC was further divided into diffuse and intestinal types (Table II). The frequencies of aberrant methylation in these 2 subtypes of EBV-negative GC did not differ, and both were lower than the frequency in EBVaGC ( $p = 0.0113$  and  $< 0.0001$ , respectively). However, the significance of the *E-cadherin* methylation appeared to be different in each subtype. When early and advanced stages were compared, the frequency of hypermethylation in the advanced stage (59%) was relatively lower than that in the early stage (100%) in the diffuse type of EBV-negative GC ( $p = 0.0743$ ). On the other hand, the frequency of promoter hypermethylation increased as the tumor progressed from early (29%) to advanced carcinoma (50%) in the intestinal type of EBV-negative GC, albeit not to a statistically significant extent. The presence of lymph node metastasis was also found to be significantly correlated with promoter hypermethylation in this subtype of EBV-negative GC ( $p = 0.0038$ ).

### Immunohistochemistry of *E-cadherin* and its correlation with promoter methylation

Next, we investigated the expression of *E-cadherin* by immunohistochemistry in order to evaluate the correlation with promoter methylation. Both heterogeneous and reduced staining patterns were considered abnormal pattern (Fig. 2). Abnormal staining pattern was observed in 13 of 15 EBVaGC cases, 12 of 15 diffuse-type EBV-negative GC cases and 9 of 19 intestinal-type EBV-negative GC cases (Fig. 3). When the relationship between methylation status and immunohistochemical staining was analyzed in each subgroup, a significant correlation was noted only in the EBVaGC cases. On the other hand, significant number of the unmethylated cases showed abnormal staining pattern in the diffuse and intestinal types of EBV-negative GC cases.

### Mutation and LOH of *E-cadherin* gene in EBVaGC

To exclude a possible contribution of genetic changes of *E-cadherin* in EBVaGC, PCR-SSCP was performed, and no mutation was observed in EBVaGC (Table III). MKN45 has 18 bp deletion at the exon 6-intron 6 boundary. At the experimental condition in the present study, the mutation of MKN45 was detected even when the percentage of MKN45 DNA was 10% in

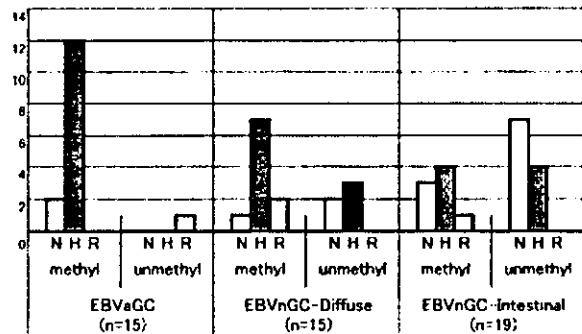


FIGURE 3 – Promoter methylation status and immunohistochemical staining patterns of *E-cadherin* in EBV-associated gastric carcinoma and diffuse and intestinal subtypes of EBV-negative gastric carcinoma. Twelve of 15 EBVaGC cases showed a heterogeneous staining pattern with promoter methylation, whereas this correlation was not observed in EBV-negative GC (EBVnGC). Methyl, methylated promoter; Unmethyl, unmethylated promoter; N, normal staining pattern; H, heterogeneous staining pattern; R, reduced staining pattern

total DNA when mixed with DNA of nonneoplastic tissue. Similarly, LOH of *E-cadherin* was not observed in any of 11 cases examined (Table III).

### Regional heterogeneity of promoter hypermethylation of *E-cadherin* in EBVaGC

To evaluate the regional heterogeneity of promoter hypermethylation of *E-cadherin* in EBVaGC, several samples were dissected from a section of each EBVaGC tumor (Table III). In 13 of 15 cases, the methylation status was successfully evaluated using the tissue samples of formalin-fixed and paraffin-embedded specimens (Table III). One of the cases evaluated did not show promoter methylation in the first evaluation using DNA derived from frozen tissue, but all of the cases showed methylation at least in one of the formalin-fixed samples. Heterogeneity of methylation status within the carcinoma was observed in all cases except 1 of 3 early carcinomas.

## DISCUSSION

Epigenetic silencing of the gene expression by CpG island hypermethylation has been shown to play an important role in the carcinogenesis of various organs, including the stomach.<sup>31–33</sup> In recent investigations of EBVaGC cases, Kang *et al.*<sup>9</sup> and our own group<sup>10</sup> observed high frequencies of promoter methylation in various cancer-related genes such as *p14*, *p15*, *p16*, *DAPK*, *GSTP*, *TIMP-3* and *E-cadherin*, but not in *hMLH1* and *MGMT*. This finding suggests that a global but selective DNA hypermethylation of the cellular genes may occur in the EBV-infected epithelial cells. In the present study focusing on the *E-cadherin* protein of the stomach, we confirmed on a larger scale that nearly all of the carcinoma tissues of EBVaGC showed CpG island methylation of *E-cadherin*. Furthermore, we demonstrated that abnormal expression occurred in nearly all of EBVaGC cases, while such a correlation was not strictly observed in EBV-negative GC. Since neither mutation nor LOH was observed in EBVaGC, epigenetic silencing is a major mechanism of *E-cadherin* dysfunction in EBVaGC.

Most of the cases with hypermethylation in the present study showed a heterogeneous staining pattern rather than the reduced type in EBVaGC. This was consistent with our findings from earlier immunohistochemistry studies on *p16* showing a marked decrease of *p16* expression rather than a total loss of expression. Current studies on gene regulation by promoter methylation fit well with these findings. In cell lines from the human bladder, colon cancers and melanoma, Bender *et al.*<sup>34</sup> found that the ex-



TABLE III - EVALUATION OF MUTATION, LOH AND REGIONAL HETEROGENEITY OF PROMOTER HYPERMETHYLATION OF *E-CADHERIN* IN EBV-ASSOCIATED GASTRIC CARCINOMAS

Case	Age	Gender	Histology	Depth <sup>a</sup>	Mutation <sup>b</sup>	LOH <sup>c</sup>	Methylation in frozen section <sup>d</sup>	Methyl <sup>e</sup> /sample <sup>f</sup>	
1	J84	43	M	Diffuse	Early	-	-	+	1/3
2	F239	65	M	Diffuse	Early	-	-	+	1/2
3	J49	45	M	Intestinal	Early	-	-	+	3/3
4	F190	73	F	Diffuse	Advanced	ND	ND	+	1/4
5	F187	67	M	Diffuse	Advanced	ND	ND	+	2/5
6	F159	66	F	Diffuse	Advanced	-	-	+	1/5
7	F108	80	M	Diffuse	Advanced	-	-	+	1/3
8	F284	50	M	Diffuse	Advanced	-	-	+	1/3
9	F72	65	M	Diffuse	Advanced	-	-	+	2/4
10	F169	54	M	Diffuse	Advanced	ND	ND	-	1/3
11	J1	22	F	Intestinal	Advanced	-	-	+	1/4
12	F197	73	F	Intestinal	Advanced	ND	ND	+	1/3
13	J183	60	M	Intestinal	Advanced	-	-	+	3/5
14	F287	75	M	Intestinal	Advanced	-	-	+	Failed <sup>g</sup>
15	J150	62	M	Intestinal	Advanced	-	-	+	Failed <sup>g</sup>
16	J243	37	M	Intestinal	Early	ND	ND	+	ND
17	F196	65	M	Diffuse	Advanced	ND	ND	+	ND
18	F273	69	M	Diffuse	Advanced	ND	ND	+	ND
19	F63	34	M	Diffuse	Advanced	ND	ND	+	ND
20	F85	61	M	Diffuse	Advanced	ND	ND	+	ND
21	J355	62	M	Diffuse	Advanced	ND	ND	+	ND
22	J360	83	F	Diffuse	Advanced	ND	ND	+	ND

<sup>a</sup>Early/advanced, tumor invasion within submucosa/tumor invasion beyond muscularis propria. <sup>b</sup>Result of mutation analysis by PCR-SSCP; -, no mutation was detected; ND, not done. <sup>c</sup>-, no LOH was detected. <sup>d</sup>+, methylated; -, unmethylated. <sup>e</sup>Number of samples that showed promoter hypermethylation. <sup>f</sup>Number of samples obtained from one tumor by microdissection. <sup>g</sup>MSP was not successful.

pression of p16 is highly affected by the frequency of methylation of the p16 promoter, but not by the presence of the methylation itself. Our study of the microdissection confirmed heterogeneity in the methylation status within each tumor. There are 2 explanations for this heterogeneity: hypermethylation occurs in a subpopulation of the carcinoma. Alternatively, the maintenance of hypermethylation is considerably disturbed in the progression of the carcinoma,<sup>35,36</sup> even though hypermethylation occurs in a uniform manner at early stage of cancer development. Methylation was observed in nearly all of the cases of EBVaGC, and the case showing methylation in all of the samples was the early gastric carcinoma in the present study. Thus, the latter possibility would constitute the most likely mechanism in EBVaGC.

As for the discrepancy between promoter methylation and gene expression in EBV-negative GC, regional heterogeneity of promoter methylation might exert in the cases of positive methylation but normal expression. On the other hand, in the cases of negative methylation but abnormal expression, at least 3 mechanisms<sup>37</sup> are possible: gene mutation,<sup>38,39</sup> posttranslational truncation or modification<sup>38</sup> and transcriptional repressor.<sup>38-41</sup> Thus, other than EBVaGC, further studies are necessary to disclose the regulation of *E-cadherin* gene expression in GC.

As an additional result, the present study provides some detailed statistical insights into the correlation between promoter methylation, gene expression/localization, tumor stages and tumor type in EBV-negative GC. In the intestinal-type EBV-negative GC, promoter hypermethylation of *E-cadherin* was correlated with both lymph node metastasis and invasion beyond the muscular layer. Since promoter methylation was not faithfully reflected in overall gene expression, promoter-methylated subpopulation within the tumor may play a primary role in the progression of this type of gastric carcinoma. On the other hand, the frequency of hypermethylation decreased from 100% to 59% as the carcinomas progressed from early to advanced in diffuse type. The maintenance of hypermethylation may be considerably disturbed in the progression of the carcinoma of the diffuse type of EBV-negative GC, as in the case of EBVaGC.

In conclusion, in addition to p16, the abnormality of *E-cadherin* gene expression caused by the aberrant methylation of *E-cadherin* gene promoter is closely associated with the development of EBVaGC. As the significance of aberrant methylation of *E-cadherin* differs between EBVaGC and EBV-negative GC, we can conclude that the recognition of this type of gastric carcinoma is critical for the evaluation of carcinogenesis of the stomach.

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# Distinct Chromosomal Bias of Gene Expression Signatures in the Progression of Hepatocellular Carcinoma

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## ABSTRACT

To identify the chromosomal aberrations associated with the progression of liver cancer, we applied expression imbalance map analysis to gene expression data from 31 hepatocellular carcinomas and 19 noncancerous tissues. Expression imbalance map analysis, which detects mRNA expression imbalance correlated with chromosomal regions, showed that expression gains of 1q21-23 (74%), 8q13-21 (48%), 12q23-24 (41%), 17q12-21 (48%), 17q25 (25%), and 20q11 (22%) and losses of 4q13 (48%), 8p12-21 (32%), 13q14 (32%), and 17p13 (29%) were significantly associated with hepatocellular carcinoma. Most regions with altered expression identified by expression imbalance map were also identified in previous reports using comparative genomic hybridization. We demonstrated chromosomal copy number gain in 1q21-23 and loss in 17p13 by genomic quantitative PCR, suggesting that gene expression profiles reflect chromosomal alterations. Furthermore, expression imbalance map analysis revealed that more poorly differentiated hepatocellular carcinoma contain more chromosomal alterations, which are accumulated in a stepwise manner in the course of hepatocellular carcinoma progression: expression imbalance of 1q, 8p, 8q, and 17p occur as early events in hepatocarcinogenesis, and 12q, 17q25 and 20q occur as later events. In particular, expression gain of 17q12-21 and loss of 4q were seen to accumulate constantly through the dedifferentiation process. Our data suggest that gene expression profiles are subject to chromosomal bias and that expression imbalance map can correlate gene expression to gene loci with high resolution and sensitivity.

## INTRODUCTION

Hepatocellular carcinoma develops with dedifferentiation after liver injury by chronic hepatitis viral infection. In multistep hepatocarcinogenesis, several molecular events accumulate in a fashion that parallels the clinical progression of liver cancer (1, 2). Some studies have tried to identify the genes altered in dedifferentiation of hepatocellular carcinoma using samples with a nodule-in-nodule appearance (2, 3). Still, little is known about the genes that play a pivotal role during the course of liver cancer progression.

Genomic amplification of oncogenes and inactivation of tumor suppressor genes are frequently associated with cancer progression. Comparative genomic hybridization (CGH) has contributed to our basic cancer understanding and diagnosis of cancer (4) but can only detect genomic alterations > 20 Mb. This low resolution makes it difficult for CGH to identify genes differentially expressed in carcinogenesis. To detect amplification events involving small genomic

regions, high-resolution analysis of DNA copy number variation using cDNA microarrays has been used (5–7). However, because this technology only analyzes genomic DNA, supplementary experiments are required to confirm the expression of candidate genes in tumorigenesis. Microarrays have also been used to obtain comprehensive measurements of genome-wide expression profiles. Using such techniques, classification of cancer specimens or identification of gene sets for carcinogenesis and cancer progression have been reported by many researchers (2, 8–11).

Information about genome dosage and transcriptome may be obtained through microarray technologies if gene expression and gene localization data are integrated. In our study of oligodendroglioma, we showed that biological differences between genetic subsets of oligodendroglioma are reflected in their gene expression profiles and that genomic copy number alteration consistently accompanies perturbation of the transcriptome (12). Similarly, Virtaneva *et al.* (13) demonstrated an association between trisomy 8 and overexpression of genes on chromosome 8 in acute myeloid leukemia, and Tay *et al.* (11) performed CGH and expression microarray using gastric cancer specimens integrating CGH data with the microarray results.

Recently, based on mRNA expression, we have reported a method for constructing a transcriptome map (14). The expression imbalance map is a visualization method for detecting expression imbalance in regions of chromosomes. It extends beyond simple spatial mapping of microarray expression profiles on chromosomal locations to profile genomic losses and gains at a much higher resolution than CGH. In the expression imbalance map, signal noise can be reduced using a moving average compared with conventional methods, and users can determine thresholds. Furthermore, the expression imbalance map not only detects the expression imbalance frequency across all cases but also detects individual differences between cancer specimens. In addition, the expression imbalance map allows observation of both expression imbalance and the expression of each gene simultaneously. We previously applied the expression imbalance map to gene expression data derived from squamous cell lung carcinoma and detected, as regional signal images, several novel and many known loci with frequent genomic losses or gains on various chromosomes (14).

In the present study, we have focused on chromosomal bias in transcripts during multistep hepatocarcinogenesis. We applied the expression imbalance map method to gene expression data of hepatocellular carcinoma and observed a stepwise change of expression along at defined chromosomal loci in liver cancer progression. Genomic quantitative PCR (qPCR) analysis confirmed that expression imbalance map data correlated with genomic aberrations. The novel regions identified by the expression imbalance map may play pivotal roles during the course of dedifferentiation of hepatocellular carcinoma and likely contain some candidate genes responsible for liver cancer progression.

## MATERIALS AND METHODS

**Patients and Tissue Samples.** Thirty-one patients with hepatocellular carcinoma undergoing hepatectomy in the Hepato-Biliary-Pancreatic Surgery Division, Department of Surgery, Graduate School of Medicine, University of

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Tokyo, or the Department of Surgery, Saitama Cancer Center, were included in this study with informed consent. Among the 31 patients with hepatocellular carcinoma, 10 were positive for hepatitis B surface antigen and 21 for hepatitis C viral antibody. GeneChip analysis was performed using 50 samples, including 31 hepatocellular carcinomas and 19 surrounding noncancerous tissues. Clinical factors and tumor status based on histologic findings of resected specimens are summarized in Table 1.

The surgical specimens were immediately cut into small pieces after resection, snap frozen in liquid nitrogen and stored at -80°C.

**RNA Extraction and Oligonucleotide Microarray.** Total RNA was isolated from frozen tissue using Isogene (Nippon Gene, Tokyo, Japan), according to the manufacturer's protocol. Experimental procedures for GeneChip were performed according to GeneChip Expression Analysis Technical Manual (Affymetrix, Santa Clara, CA), using 15 µg of total RNA. Samples were analyzed on U95A array chips (Affymetrix).

**Normalization and Filtering of Intensity of Gene Expression.** Before analysis, we normalized and filtered the raw data. A quantile normalization procedure was used for the probe intensity distribution across different chips. The average of expression level intensity, the average difference, was scaled to 100, and for each expression data set, where the average difference values lay outside the range (1 to 10,000), the values was reset to a minimum 1 and to a maximum of 10,000. Genes where the coefficient of variation through all of the samples was <0.3 were excluded from analysis. Subsequently, all values were log-transformed for additional analysis.

**Expression Imbalance Map.** The expression imbalance map was applied to gene expression data of hepatocellular carcinoma and noncancerous tissues obtained by microarray. Gene locus information was obtained from the web sites for Genes On Sequence Map (*Homo sapiens* build 33). The basic concept and method of expression imbalance map are described elsewhere (14). Briefly, gene expression levels of cancer specimens were compared with the average of noncancerous tissues, and the regions in which the numbers of up-regulated or down-regulated genes were significantly concentrated were mapped on the chromosomal region and constitute an expression imbalance map.

Table 1 Clinical factors and tumor status based on histologic findings of resected specimens

Sample no.	Age (y)	Gender	Hepatitis virus	Differentiated grade	Tumor size (mm)							
					fc	sf	vv	vp	b	im	s	
w1	48	M	HB	WD	22	-	+	-	-	-	-	-
w2	72	F	HC	WD	33	-	+	-	-	-	-	-
w3	62	M	HB	WD	31	-	+	-	-	-	-	-
w4	66	F	HC	WD	40	-	+	-	-	-	-	-
w5	65	M	HC	WD	27	+	+	-	-	-	-	-
w6	75	M	HC	WD	40	+	+	-	-	-	-	-
w7	68	F	HB	WD	10	-	-	-	-	-	-	-
w8	60	M	HC	WD	14	-	-	-	-	-	-	-
w9	66	M	HC	WD	36	+	-	-	+	+	+	-
w10	66	M	HC	WD	48	+	-	-	-	-	+	-
m1	69	F	HB	MD	38	+	-	-	-	-	-	-
m2	71	M	HC	MD	15	-	+	-	-	-	-	-
m3	47	M	HB	MD	24	+	+	-	+	+	-	-
m4	71	F	HC	MD	22	-	+	-	-	-	-	-
m5	71	F	HC	MD	30	+	+	-	-	-	-	+
m6	69	M	HC	MD	50	+	+	-	-	-	-	-
m7	70	F	HC	MD	23	-	+	-	-	-	-	-
m8	53	M	HB	MD	45	+	+	-	-	-	-	-
m9	63	F	HC	MD	20	+	+	-	-	-	-	-
m10	50	M	HB	MD	25	-	+	-	+	-	-	-
m11	70	M	HC	MD	20	+	-	-	-	-	-	-
m12	49	M	HB	MD	22	-	+	-	+	-	+	-
m13	62	M	HC	MD	50	+	+	-	-	-	-	-
p1	54	M	HB	PD	11	-	+	-	-	-	-	-
p2	65	M	HC	PD	35	+	-	-	-	-	+	+
p3	56	F	HB	PD	45	-	-	-	-	-	-	+
p4	70	F	HC	PD	30	-	-	+	-	-	-	-
p5	63	M	HC	PD	40	+	+	-	-	-	-	-
p6	72	M	HC	PD	110	-	-	-	-	-	-	+
p7	70	M	HC	PD	45	+	-	-	-	-	-	-
p8	60	M	HC	PD	85	+	+	-	+	+	+	-

Abbreviations: fc, capsule formation; sf, septum formation; vv, tumor thrombus in hepatic vein; vp, tumor thrombus in portal vein; b, tumor thrombus in bile duct; im, intrahepatic metastasis; s, serosal invasion; M, male; F, female; HB, hepatitis B virus infection; HC, hepatitis C virus infection; WD, well differentiated HCC; MD, moderately differentiated HCC; PD; poorly differentiated HCC.

On the basis of the statistical probability, P, of rank sum, the differential level E value was defined as follows:  $E = -\log_{10}P$ .

The chromosomal regions with expression gain or loss were defined according to both E value and range of alteration of gene expression.

**Clustering Hepatocellular Carcinoma Samples Using Chromosomal Regions with Expression Imbalance.** A heat map was constructed using expression imbalance map-positive chromosomal regions as binary variables. Hepatocellular carcinoma samples were arranged in order of the total number of positive regions, and the samples with less than two positive regions were defined as group 1, three or four positive regions as group 2, and more than five positive regions as group 3. Next, considering the length of positive regions, expression imbalance in each 100-kb region was calculated, and the sum of the length of positive chromosomal change in each sample was displayed graphically as the intensity of red or blue. Thirty-one tumor samples were arranged in accordance to tumor differentiation grade, and the total length of transcriptome alterations in each sample were compared by ANOVA.

**DNA Extraction and qPCR.** Genomic DNA was extracted from fresh-frozen tissue, using the QIAamp DNA Mini kit (Qiagen, Valencia, CA), according to the manufacturer's instructions. PCR amplification was performed using Taq Polymerase and 20 ng of sample DNA. Oligonucleotide primers were designed to amplify genomic DNA fragments near the chromosomal locus of 1q21-23, encoding *CCT3* (145 bp), *PSMB4* (115 bp), 12q23-24, encoding *CKAP4* (141 bp), *UNG* (140 bp), 17p13, encoding *ASGR1* (136 bp), *DKFZP566H073* (168 bp), and  $\beta$ -actin (132 bp) as a control reference. The primers used were as follows: *CCT3*, 5'-TGACATCGTTTCAGGCCAC-3' and 5'-GCACTCTGGCTCAGGAAAAG-3'; *PSMB4*, 5'-TGGCTCGTTCCGCAACATC-3' and 5'-ACCATCTGGCCGAGAAGCTTG-3'; *CKAP4*, 5'-GTGCAGTCTTTGCAAGCCAC-3' and 5'-CTGGAGTTTCTGCAGCACTTC-3'; *UNG*, 5'-TGCTTAGAAAAGGTCCCCTTG-3' and 5'-GGCCAGATTCTGGAAAGTTG-3'; *ASGR1*, 5'-TCCACGTGAATCTGCACCTC-3' and 5'-GAGTCTCAGTCTGGATATC-3'; *DKFZP566H073*, 5'-AGACCTGCCCCATTGCAAG-3' and 5'-CAAAGGAGGTGGGAAGAGTG-3'; and  $\beta$ -actin, 5'-GACCTGACTGACTACCTCATG-3' and 5'-CATCTCTTGCTCGAAGTCCAG-3'. qPCR for detecting gain of chromosome 1q21-23 and 12q23-24 and loss of chromosome 17p13 were performed using an iCycler (Bio-Rad, Hercules, CA). The relative quantification is given by the ratio between the mean value of the target gene and the mean value of  $\beta$ -actin in each sample.

RESULTS

**Expression Imbalance Map for Detecting Expression Imbalance Regions.** The expression imbalance map was applied to 31 hepatocellular carcinomas, comparing their expression data to the average of expression across 19 noncancerous tissues (Fig. 1A). Various criteria may be used to define expression imbalance regions. When expression imbalance regions were defined as those with E values > 2 and a region of >3.0Mb, compared with the background liver, expression gains on chromosome arms of hepatocellular carcinoma were observed on 1q21-23 (74%), 8q13-21 (48%), 12q23-24 (41%), 17q12-21 (48%), 17q25 (25%), and 20q11 (22%) and expression losses were detected on 4q13 (48%), 8p12-21(32%), 13q14 (32%), and 17p13 (29%; Fig. 1, B and C). To provide a comparison between our data and previously reported observations of chromosomal alterations of hepatocellular carcinoma obtained by CGH, chromosomal arms with expression gain or loss are summarized in Table 2. Most regions with altered expression, as determined by the expression imbalance map, were also indicated in previous reports using CGH. Notable exceptions are a gain of 12q, which has never been reported previously, and a loss of 16q, which has been demonstrated in many previous reports, but was not identified in our analysis.

Gene list of the imbalanced region is shown with their median and average signal intensities and SDs in the tumors and controls (Supplemental Fig. 1).<sup>5</sup>

Comparison with existing CGH data sets for hepatocellular carcinoma

<sup>5</sup> Internet address: <http://www2.genome.rcast.u-tokyo.ac.jp/hcc>.

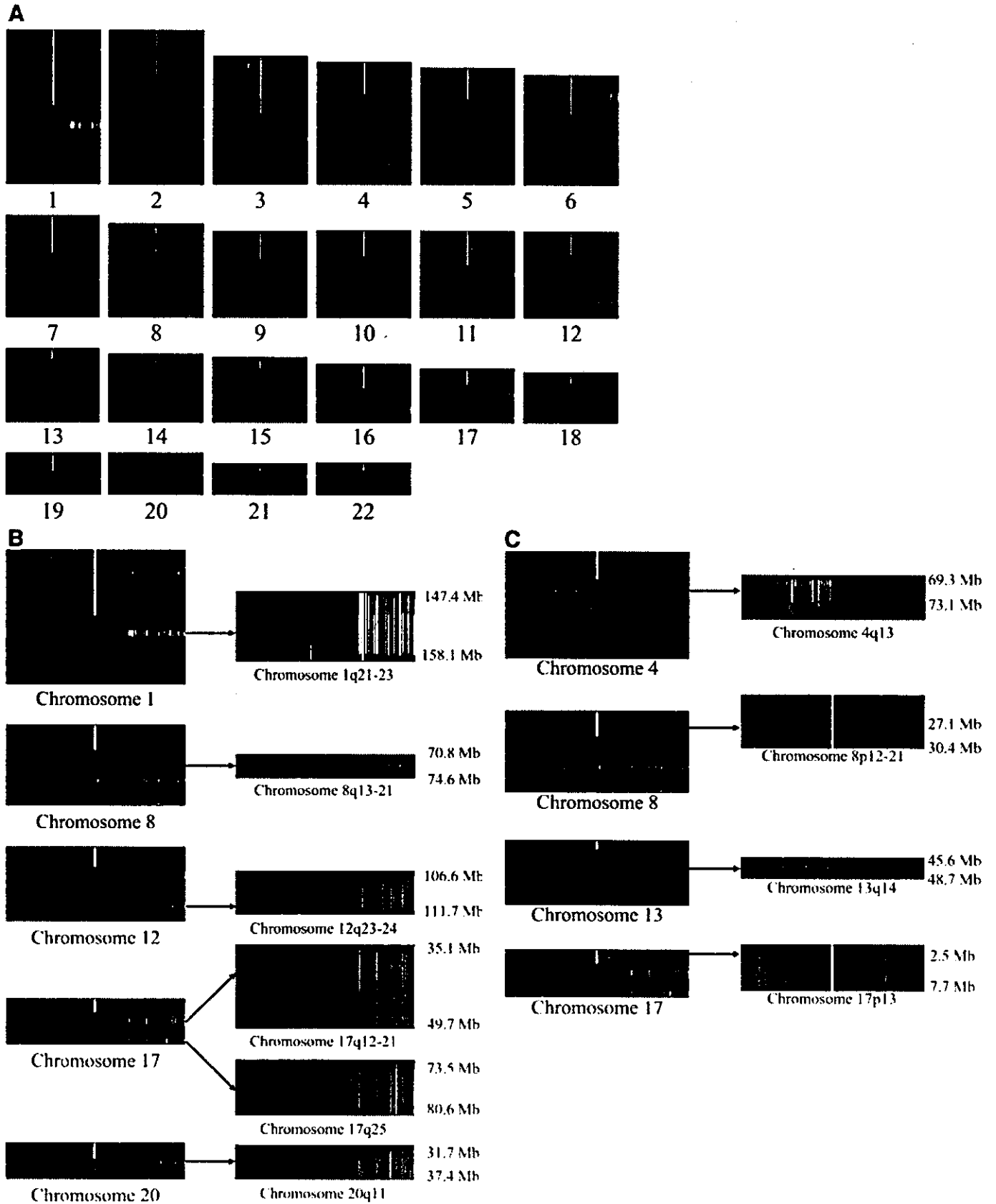


Fig. 1. Expression imbalance map for detecting expression imbalance regions in hepatocellular carcinoma. A. Regions of under- and overexpression in hepatocellular carcinoma were visualized on the *left side* and the *right side*, respectively, as gray regional signals. Each column represents tissue samples. The lighter areas correspond to higher probability of an expression imbalance region. The expression imbalance map enables the user to identify many more genes by referring to the more expanded area with lower luminance. This figure shows the expression imbalance at an E value > 2. B. expression imbalance region at an E value > 2 and a range of expression gain > 3.0 Mb. The length assigned to each chromosome is proportional to the number of Locus IDs on the chromosome. C. expression imbalance region at E value > 2 and a range of expression loss > 3.0 Mb.

Table 2 Expression imbalance region and chromosomal alteration in hepatocellular carcinoma

EIM	Others by CGH								
	(16)	(18)	(19)	(20)	(23)	(25)	(26)	(27)	(28)
Chromosome									
Gains									
1q	74	86	57	77	73	66	72	46	58
5p					38				
6p							20	33	
7q					40				
8q	48	77	52	52	83	48	48	31	60
11q				26					
12q	41						30	43	33
17q	48		29						
20q	22		29			20	37		
xq					50				
Losses									
1p					36		37		
4q	48	59	33	35	40	40	43	48	70
5q								35	
6q			19					23	37
8p	35	77		26	44	32	37	28	65
10q									17
13q	32		19	55			37	20	37
16p					46				
16q		50	14	52	63	70	30	33	54
17p	29			52	60	52		37	51
19p						42			
22q						28			

Expression gain or loss region by EIM was defined as E value > 2 and the range > 3.0 Mb. Figure means percentage of positive region. The number in parenthesis corresponds with reference number.

Abbreviation: EIM, expression imbalance map.

noma suggests that gene expression profiles reflect chromosomal alterations and that the expression imbalance map is a useful tool for estimating chromosomal change from expression data.

**Classification of Hepatocellular Carcinomas by Chromosomal Bias of Gene Expression.** Expression imbalance map analysis showed that genes with expression change are derived from particular chromosomal regions with altered copy number. To identify possible association between these chromosomal alterations and liver cancer progression, we investigated the relationship between expression imbalance and tumor differentiation grade (Fig. 2).

First, we counted the expression-positive regions by expression imbalance map and arranged the samples according to the sum of positive regions. This resulted in the identification of 9 samples that constitute the first group and contain less than two positive regions, 10 samples in the second group with three or four positive regions, and 12 samples in the third group with more than five positive regions. Interestingly, all of the samples from the first group were well differentiated, and all of the poorly differentiated samples were concentrated in the third group (Fig. 2A), demonstrating a distinct trend for poorly differentiated grade tumors to contain more chromosomal aberrations.

Summing the number of 100-kb regions with expression imbalance, tumor differentiation grade and total length of chromosomal change were significantly correlated: the mean length of expression changes were  $9.7 \pm 5.6$ ,  $28.3 \pm 13.0$ , and  $45.2 \pm 7.9$  Mb in well differentiated, moderately differentiated, and poorly differentiated, respectively ( $P < 0.00001$  by ANOVA; Fig. 2B). Some chromosomal regions showed consistent relationships with tumor progression. For example, expression gains of chromosome arms were observed on 12q23-24 and 20q11 with dedifferentiation from well differentiated to moderately differentiated, whereas an expression gain of 17q25 was significantly associated with dedifferentiation from well differentiated and moderately differentiated to poorly differentiated ( $P < 0.05$  by Student-Newman-Keuls test). Notably, a gain of 17q12-21 and loss of 4q13 were found in each step of tumor dedifferentiation (Fig. 2C).

These results suggest that expression alterations of 1q21-23,

8p12-21, 8q13-21, and 17p13 occur as early events in hepatocarcinogenesis and 4q13, 12q23-24, 17q12-21, 17q25, and 20q11 as later events. Thus, liver cancer progresses with stepwise chromosomal expression change.

**Expression Imbalance Map Was Correlated with Genomic Aberrations on 1q21-23 and 17p13 by Genomic qPCR.** Many genomic aberrant regions in hepatocellular carcinoma detected by the expression imbalance map in this study are the same as those identified by CGH in previous studies (Table 2). To investigate the relationship between genomic and transcriptional aberrations, genome dosage in 1q21-23, 12q23-24, and 17p13 regions was confirmed using genomic qPCR for 27 hepatocellular carcinoma and the corresponding noncancerous liver samples (15).

qPCR was performed using 27 noncancerous liver samples. Average copy numbers for the loci of *CCT3* and *PSMB4* genes were  $1.28 \pm 0.37$  and  $0.90 \pm 0.22$ , respectively. The genome dosage of hepatocellular carcinoma tended to increase in accordance with tumor differentiation grade (Fig. 3, A and B). In particular, consistent with the expression imbalance map data ( $P < 0.001$ , Mann-Whitney *U* test), genome dosage, shown as relative quantification, was significantly increased in moderately differentiated and poorly differentiated compared with well differentiated, both in *CCT3* and *PSMB4*. To clarify the relationship between genome dosage and the probability of expression imbalance in the 1q21-23 region, the relative quantification between samples with E values < 3 was compared with those samples with E values > 3. As shown in the top right section of Fig. 3, the relative quantification of *CCT3* and *PSMB4* increased significantly in the higher E value group ( $P < 0.05$ , Mann-Whitney *U* test; Fig. 3, A and B).

Genomic loss was also detectable in 17p13 region. Consistent with the expression imbalance map data, relative quantification was significantly decreased in samples with E values > 2, compared with those with E values < 2, both in *ASGR1* and *DKFZP566H073* (Fig. 3, C and D), whereas genomic amplification on 12q23-24 was not observed by qPCR (data not shown).

Through these results, we demonstrated that genes identified by expression imbalance map had altered genome dosage with statistical significance, and alterations of their mRNA expression levels may reflect a gain or loss of genomic copy number at the locus from 147.4 to 158.1 Mb on 1q21-23 and from 2.5 to 7.7 Mb on 17p13. On the other hand, genome dosage of chromosome 12q23-24, which has never detected in the previous CGH, has no change between hepatocellular carcinoma and chronic liver disease in DNA using qPCR.

**Search for Candidate Genes Responsible for Hepatocarcinogenesis and Liver Cancer Progression.** To identify candidate genes responsible for hepatocarcinogenesis and liver cancer progression, we further investigated the transcription of each of 55 genes in the 1q21-23 region (147.4–158.1Mb; Fig. 4). We found four related genes that were highly expressed in hepatocellular carcinoma compared with noncancerous tissues, *HAX1* (1q22), *SHC1* (1q21), *CKS1B* (1q21), and *CCT3* (1q23). Similarly, two growth-related genes were identified on the other nine regions selected by expression imbalance map: *AATF* (17q11-12) and *TK1* (17q23-25) had increased expression compared with noncancerous tissues.

## DISCUSSION

Using CGH, many investigators have already reported various chromosomal regions of hepatocellular carcinoma that undergo cytogenetic change (16–28). Consistent with the previous data, the expression imbalance map identified an increase in gene expression on chromosomes 1q, 8q, 17q, and 20q and a decrease on 4q, 8p, 13q, and 17p. To validate the relationship between expression imbalance and

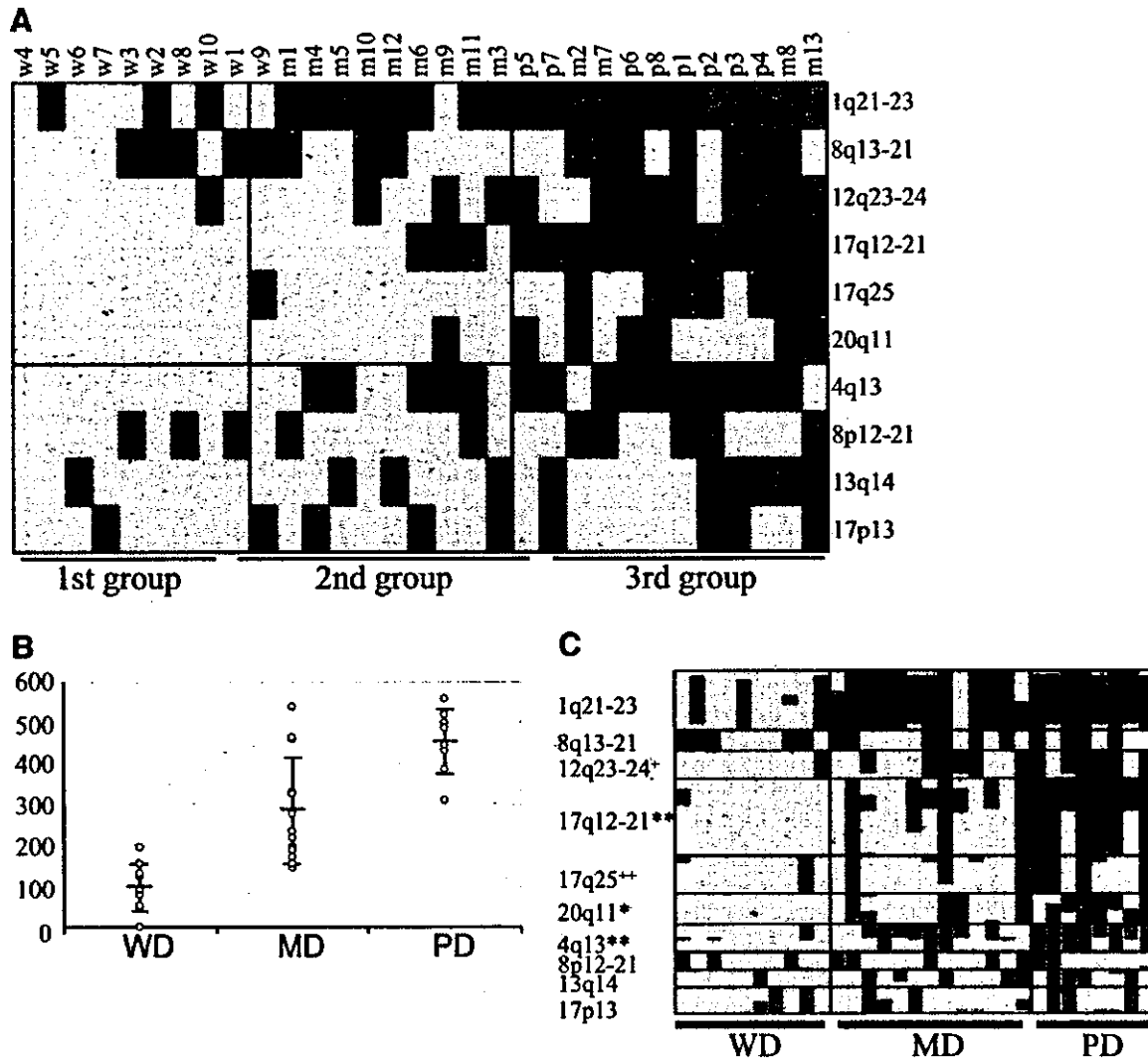


Fig. 2. Chromosomal bias of gene expression in liver cancer progression. **A**, Of 10 chromosomal regions selected by the expression imbalance map, the positive chromosomal regions were counted, and three groups were defined according to the sum of positive regions. Each column represents tissue samples and each row chromosomal regions. Relative expression levels in each chromosomal region are shown in red (high levels) and blue (low levels). **B**, chromosomal alteration in each tumor differentiation grade. Total amount of chromosomal alteration in 10 positive chromosomal regions by the expression imbalance map is increased as liver cancer develops. Y axis represents the total length of positive regions by the expression imbalance map ( $\times 100$  kb). **C**, This analysis was carried out using 10 chromosomal regions selected by the expression imbalance map. Chromosomal change associated with each 100 kb is scored, and samples arranged according to the tumor differentiation grade. Each column represents tissue samples and each row chromosomal regions. Relative expression levels in each chromosomal region are shown in red (high) and blue (low). Statistical significance is considered as  $P < 0.05$  by Mann-Whitney  $U$  test and shown on the left [\*], statistical significance between well differentiated (WD) and moderately differentiated (MD); \*\*, statistical significance in each differentiation grade of liver cancer; +, statistical significance between WD and MD + poorly differentiated (PD); ++, statistical significance between WD+MD and PD). Statistical significance is considered as  $P < 0.05$ .

genomic imbalance, we confirmed genomic aberration on chromosomes 1q21-23 and 17p13 using genomic qPCR. Specifically, all underexpressed regions 4q, 8p, 13q, and 17p have been identified by comprehensive allelotyping study (29–32), indicating that the regions with expression loss detected by expression imbalance map may be the result of loss of heterozygosity. In addition to the regions detected in previous CGH studies, we identified an expression gain in expression imbalance map at 12q23-24. The fact that 12q23-24 was identified only by the expression imbalance map and was not detected by both conventional methods and our data using qPCR suggests that there is no amplification of genome dosage but, rather, a transcriptional regulation because nine genes on 12q23-24 contain *MAZ*, *SP1*, and *E2F* binding sites in their upstream beyond expectation (data not shown). Thus, by using a new method without selective threshold processing in conjunction with a distribution-based algorithm, the expression imbalance map was able to detect regionally over- or underexpressed genes from gene expression data of oligonucleotide arrays with higher resolution than had been achieved previously (14).

Furthermore, the expression imbalance map is based on microarray data and can, therefore, offer mRNA expression and expression imbalance information simultaneously.

Despite morphologic change, comprehensive expression profiling without expression imbalance map analysis fails to distinguish well differentiated from moderately differentiated.<sup>6</sup> In this study, using the expression imbalance map, we focused the gene alteration of differentiation grade of hepatocellular carcinoma. Expression gains of 1q21-23 and 8q13-21 and losses of 8p12-21 and 17p13 were observed in carcinogenesis from chronic liver disease to well differentiated, whereas up-regulation of 12q23-24, 17q12-21, 17q25, and 20q11 and a down-regulation of 4q13 were found only in moderately differentiated and poorly differentiated, although others reported that loss of 4q region occurred in various steps, e.g., in the step from adenoma to well differentiated (33) or from well differentiated to moderately

<sup>6</sup> Unpublished observations.

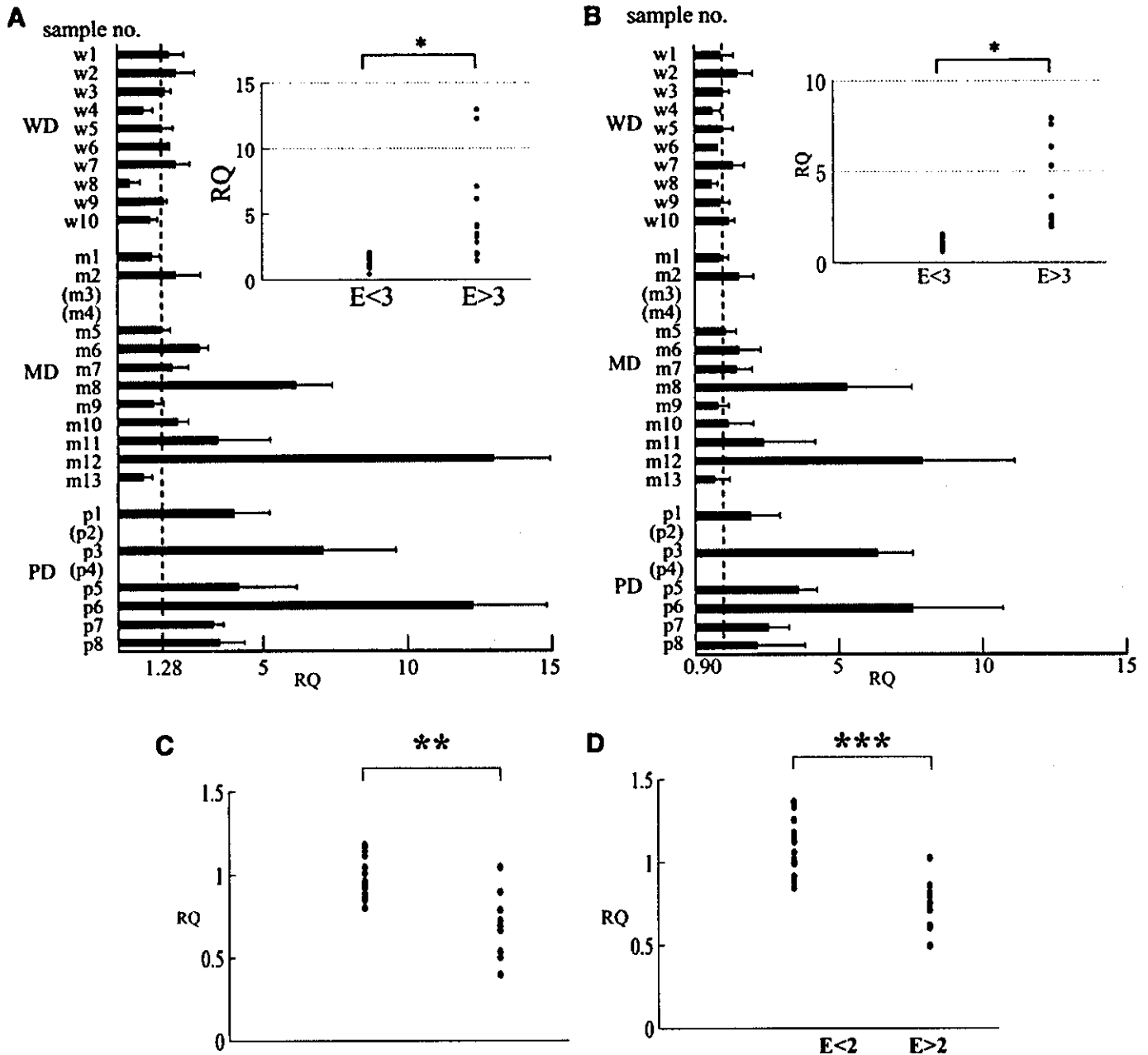


Fig. 3. Genomic imbalance of chromosome by qPCR. Genomic imbalance of chromosome 1q21-23 verified by qPCR applied to detecting copy number for liver cancer, according to differentiation grade. Amplification plots were obtained for *CCT3* and *PSMB4*, both of which were part of a highly expressed region identified by the expression imbalance map. Data are expressed as relative quantification (RQ) defined in Materials and Methods and are the mean  $\pm$  SD of three determinations per experiment from three separate experiments. The broken line indicates the average of genome dosage of nondiseased area of livers (1.28 for *CCT3* and 0.90 for *PSMB4*). Genome dosage was compared between the two groups, an E value is  $<3$ , and  $>3$  in the expression imbalance map, using the Mann-Whitney *U* test (shown in the top right side). (\*, statistical significance was considered as  $P < 0.05$ ). A in *CCT3* and B in *PSMB4*. Genome dosage was also compared between the two groups, an E value is  $<2$  and  $>2$  in expression imbalance map in 17p13 region. C in *ASGR1* and D in *DKFZP566H073*. (\*\*,  $P < 0.001$  and \*\*\*,  $P < 0.0001$ ). Samples m3, m4, p2, and p4 were not determined because of lack of frozen specimens.

differentiated and poorly differentiated (34). These observations suggest that, including 17q12-21, which exhibits an imbalance of expression in each differentiation grade, chromosomal aberration of 4q, 12q, 17q12-21, 17q25, and 20q may play pivotal role in liver cancer progression. Our results further suggest that 1q, 8p, 8q, and 17p may be associated with initiation of hepatocarcinogenesis. Besides the chromosomal regions concerning in liver cancer progression described above, our data showed 13q14 region was concentrated on moderately differentiated and poorly differentiated, although there has no statistical significance ( $P = 0.1652$ ), consistent with the previous reports by CGH or allelotyping study (26, 34). In addition, Wilkens *et al.* (33) demonstrated that gains of 1q, 8q, and 16p and losses of 4q,

8p, and 17p were found in hepatocarcinogenesis, which were compatible to our data except for 4q and 16p. These results demonstrate a stepwise expression change in chromosomal loci correlating with hepatocellular carcinoma progression.

On 1q21-23, we focused on four growth-related genes: *HAX-1*, *SHC1*, *CKS1B*, and *CCT3*. *HAX-1* and *SHC1* have been demonstrated to induce activation of tyrosine kinases (35, 36), whereas *CKS1B* and *CCT3* may accelerate the cell cycle (37, 38). These expression gains of tyrosine kinase and cell cycle-related genes are consistent with the less differentiated, rapidly growing, liver cancer. Apart from 1q21-23, several genes that may be associated with liver cancer progression are clustered on 17q12-21 and 17q25. *AATF*, which interferes with the



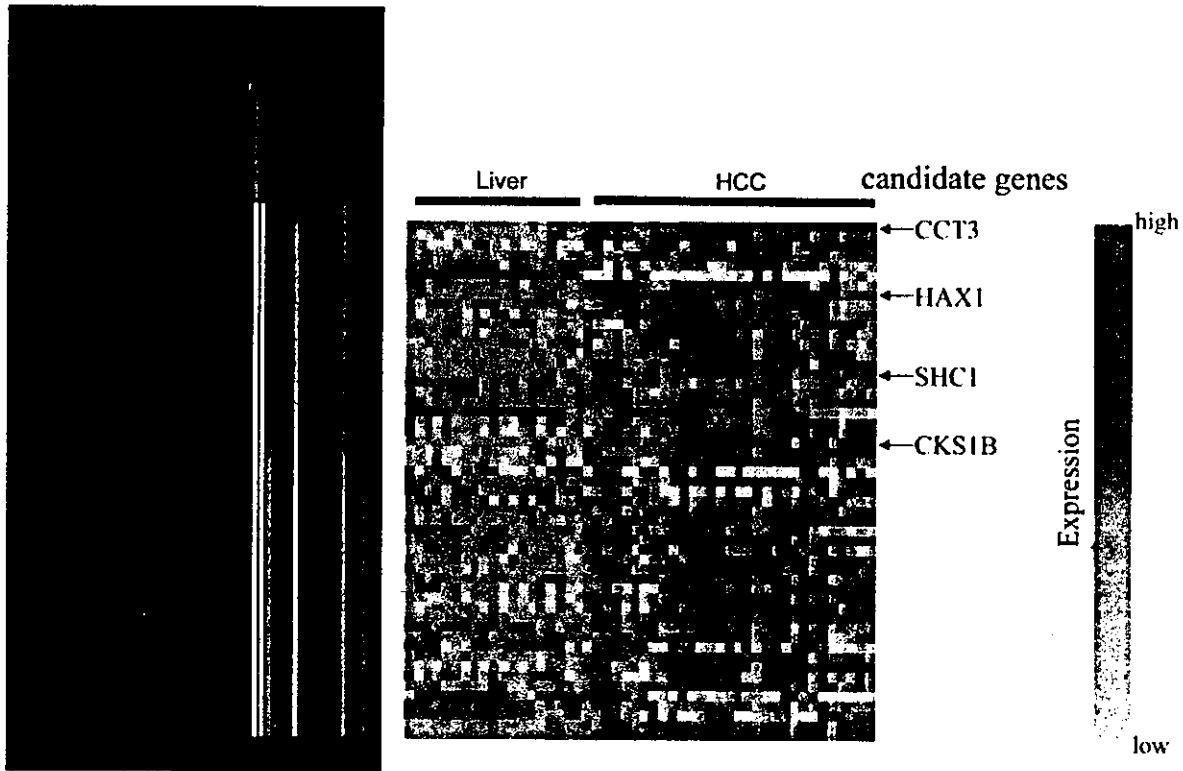


Fig. 4. Representative candidate genes responsible for liver cancer development in 1q21-23. The expression imbalance region at E value > 2 and range of an expression gain > 3.0 Mb in 1q21-23. The heat map shows relative expression levels of genes contained in the 1q21-23 region. Each column represents samples, and each row represents genes. The symbol of each candidate genes is shown on the right. Relative expression levels are shown in red (high) and blue (low).

induction of cell apoptosis through MAP3K/DLK (39), and *TK1*, a marker for the development for breast cancer (40), were also up-regulated in liver cancer.

We focused on expression change with chromosomal bias using the expression imbalance map, a recently developed method for the detection of mRNA expression imbalance regions. Comparison of expression imbalance map data with previous analysis of chromosomal imbalance identified by CGH and validation using qPCR indicate that gene expression profiles reflect chromosomal alteration. Furthermore, the expression imbalance map provides a direct measure of mRNA expression gain or loss that eliminates the need for confirmation of transcription levels of each gene. This method has the advantage that chromosomal bias and gene expression can be observed simultaneously with ease and reliability.

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## Identification of Soluble NH<sub>2</sub>-Terminal Fragment of Glypican-3 as a Serological Marker for Early-Stage Hepatocellular Carcinoma

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### ABSTRACT

For detection of hepatocellular carcinoma (HCC) in patients with liver cirrhosis, serum  $\alpha$ -fetoprotein has been widely used, but its sensitivity has not been satisfactory, especially in small, well-differentiated HCC, and complementary serum marker has been clinically required. Glypican-3 (GPC3), a heparan sulfate proteoglycan anchored to the plasma membrane, is a good candidate marker of HCC because it is an oncofetal protein overexpressed in HCC at both the mRNA and protein levels. In this study, we demonstrated that its NH<sub>2</sub>-terminal portion [soluble GPC3 (sGPC3)] is cleaved between Arg<sup>358</sup> and Ser<sup>359</sup> of GPC3 and that sGPC3 can be specifically detected in the sera of patients with HCC. Serum levels of sGPC3 were 4.84  $\pm$  8.91 ng/ml in HCC, significantly higher than the levels seen in liver cirrhosis (1.09  $\pm$  0.74 ng/ml;  $P < 0.01$ ) and healthy controls (0.65  $\pm$  0.32 ng/ml;  $P < 0.001$ ). In well- or moderately-differentiated HCC, sGPC3 was superior to  $\alpha$ -fetoprotein in sensitivity, and a combination measurement of both markers improved overall sensitivity from 50% to 72%. These results indicate that sGPC3 is a novel serological marker essential for the early detection of HCC.

### INTRODUCTION

Hepatocellular carcinoma (HCC) is one of the most prevalent cancers worldwide, and its incidence is still increasing (1). Because HCC develops from cirrhotic liver after chronic infection with hepatitis virus B or C, patients with liver cirrhosis (LC) are advised to undergo periodical screening of serum  $\alpha$ -fetoprotein (AFP) levels and liver ultrasound for the purpose of early detection of cancer (2). AFP is a glycoprotein expressed abundantly in fetal liver but not in normal adult liver and is re-expressed by HCC as it dedifferentiates from a premalignant lesion in the cirrhotic liver through well-differentiated (WD) and moderately differentiated (MD) HCC to poorly differentiated HCC (3). AFP has been used as a serum marker of HCC for more than 40 years. However, ultrasound imaging has been more effective lately in early detection of small WD HCC, in which AFP has yet to be elevated (4), highlighting the clinical need for novel sensitive serum markers for WD HCC.

Many previous studies have identified genes up-regulated in HCC

compared with surrounding noncancerous lesions using differential display or cDNA subtraction (5–8). Recently, microarray studies on HCC presented gene lists containing a number of overexpressed genes (9–14). However, to determine whether a gene is a good candidate as a serological marker of WD HCC, it is crucial to determine the following: (a) whether it is overexpressed in WD HCC; (b) whether it is not expressed abundantly in other normal organs; and (c) whether it is detectable in the serum.

Overexpression of *GPC3* mRNA in HCC has been reported by ourselves and several other groups (15–18). Moreover, frequency of *GPC3* mRNA overexpression was significantly higher than that of elevated serum level and mRNA level of AFP in small HCC (16). We also observed frequent overexpression of *GPC3* in WD HCC compared with AFP with microarray analysis.<sup>8</sup> Together with minimal expression in normal organs (16, 19), *GPC3* has, undoubtedly, previously existed as an attractive candidate marker of HCC. We showed previously using a monoclonal antibody (mAb) that *GPC3* protein is also highly expressed in HCC (15). In this study, we further characterized *GPC3* protein using a panel of newly generated mAbs and investigated whether it could be detected specifically in the sera of the patients with HCC. Finally, we successfully established a detection system for the soluble fragment of *GPC3* (sGPC3) and confirmed its usefulness as a novel biomarker for HCC.

### MATERIALS AND METHODS

**Serum Samples.** Serum samples were collected at Tokyo University Hospital with informed consent from 69 patients with HCC and 38 patients with LC, defined according to the following criteria: patients with a pathological diagnosis of HCC after surgery or with evidence of tumor stain on computed tomography or angiography were diagnosed with HCC; and patients diagnosed with LC were limited to those who had no history of HCC and no ultrasound evidence of tumor for more than 6 months from the day of serum collection.

**Purification of Recombinant GPC3 Proteins.** For protein expression, we used modified pCXN vector that contained dihydrofolate reductase expression unit as a selection marker. Original pCXN vector (20) was generously provided by J. Miyazaki (Osaka University Medical School, Osaka, Japan). An expression vector for *GPC3* that lacks the COOH-terminal hydrophobic glycosylated phosphatidylinositol (GPI)-anchoring domain, *GPC3* $\Delta$ GPI, was constructed by introducing cDNA corresponding to amino acid residues 1–563 of *GPC3* into modified pCXN with a FLAG tag added at the COOH terminus. An expression vector for *GPC3* $\Delta$ GPI without heparan sulfate, *GPC3* $\Delta$ GPI $\Delta$ HS, was constructed by changing Ser<sup>495</sup> and Ser<sup>509</sup> to Ala to abolish the heparan sulfate attachment site. These constructs were stably transfected into Chinese hamster ovary cells deficient in the dihydrofolate reductase gene. Culture media containing *GPC3* $\Delta$ GPI-FLAG or *GPC3* $\Delta$ GPI $\Delta$ HS-FLAG recombinant proteins were collected and loaded to DEAE ion-exchange chromatography DEAE Sepharose FF (Amersham Bioscience, Tokyo, Japan). After washing, eluted protein solutions were applied to anti-FLAG M2 antibody beads (Sigma, St.

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Louis, MO). Proteins eluted with solution containing 200  $\mu\text{g/ml}$  FLAG peptide (Sigma) were subjected to gel filtration chromatography with HiLoad 26/60 Superdex200pg (Amersham Bioscience). Finally, recombinant protein was concentrated using DEAE Sepharose FF.

**Generation of Anti-GPC3 mAbs.** We used recombinant GPC3 $\Delta$ GPI as an immunogen. Spleen cells were isolated and fused with mouse myeloma P3-X63Ag8U1 cells (American Type Culture Collection, Manassas, VA). Hybridomas were selected by ELISA against the purified recombinant GPC3 $\Delta$ GPI $\Delta$ HS-FLAG, followed by cloning with limited dilution. Three mouse mAbs (A1836A, M18D04, and M19B11) were used in this study. For epitope mapping of these mAbs, a pGEX-5X (Amersham Biosciences) construct for the NH<sub>2</sub>-terminal portion of GPC3 (amino acids 25–358) was expressed in *Escherichia coli* BL21 Codon Plus (DE3) pLys (Stratagene, La Jolla, CA) as a glutathione S-transferase-fusion protein and subject to immunoblotting analysis.

**Immunoblotting.** Total cell lysates were obtained after lysis in 10 mM Tris (pH 7.4), 150 mM NaCl, 5 mM EDTA, 1.0% Triton X-100, 1.0% sodium deoxycholate, and 0.1% SDS with protease inhibitor mixture (Sigma). Culture supernatant was obtained from serum-free medium used for culture of hepatoma cells. Proteins were separated with 12% SDS-PAGE and transferred to polyvinylidene difluoride Hybond P membrane (Amersham Biosciences). The membrane was treated with 2% nonfat milk in TBS containing 0.05% Tween 20 (TBST) followed by incubation with anti-GPC3 mAb in TBST and subsequent incubation with horseradish peroxidase-conjugated secondary antibody (dilution, 1:5000; Amersham Biosciences) in TBST. The protein was visualized using the enhanced chemiluminescence plus detection system (Amersham Biosciences).

**Immunoprecipitation.** We first prepared antibody beads by covalently linking 25  $\mu\text{l}$  of protein G-Sepharose (Amersham Biosciences) and 50  $\mu\text{g}$  of anti-GPC3 mAb M18D04 or M19B11 with 20 mM dimethyl pimelimidate (ICN Aurora, Aurora, OH). We then added 50  $\mu\text{l}$  of sera from the patients or culture media of HuH7 cells diluted in 250  $\mu\text{l}$  with PBS to 25  $\mu\text{l}$  of antibody beads and incubated them for 2 h at 4°C. After extensive washing with PBS, antibody beads were boiled for 5 min in 50  $\mu\text{l}$  of SDS-PAGE loading buffer containing 10% 2-mercaptoethanol, and subsequently, immunoblotting was performed.

**Sandwich ELISA.** One  $\mu\text{g}$  of anti-GPC3 mAb A1836A per well was immobilized to 96-well plate Maxisorp (Nalge Nunc International, Roskilde, Denmark) and stabilized with Immunoassay Stabilizer (Advanced Biotechnologies Inc., Columbia, MD). Twenty-five  $\mu\text{l}$  of sera or standard were diluted with 100  $\mu\text{l}$  of buffer containing 20% normal rabbit serum (Pel-Freez Biologicals, Rogers, AR), 1% BSA (Oriental Yeast Co., Ltd., Osaka, Japan), and 2% mouse ascites Hyb-3423 (Institute of Immunology, Tokyo, Japan) in 50 mM Tris-Cl (pH 8.0), 0.15 M NaCl, and 1 mM EDTA and incubated at room temperature for 2 h. After washing, 25  $\mu\text{l}$  of biotinylated antibody solution containing anti-GPC3 mAbs M18D04 (1.88  $\mu\text{g/ml}$ ) and M19B11 (3.75  $\mu\text{g/ml}$ ) and 100  $\mu\text{l}$  of horseradish peroxidase-labeled streptavidin (Vector Laboratories Inc., Burlingame, CA) were added to the plate and incubated twice at room temperature for 30 min. TMB Soluble Reagent and Stop Buffer (Scy Tek Laboratories, Inc., Logan, UT) were added as substrate, and absorbance at 450 nm was read with EIA Reader (Corona Electric Co., Ltd., Ibaraki, Japan). Recombinant GPC3 $\Delta$ GPI was used as a standard sample in each assay.

**Amino Acid Sequence Analysis.** Recombinant GPC3 $\Delta$ GPI and GPC3 $\Delta$ GPI $\Delta$ HS were purified and separated by SDS-PAGE and transferred to polyvinylidene difluoride membrane ProBlott (Applied Biosystems, Foster City, CA). The membrane was stained with CBB R-250, and sections containing bands of  $M_r$  40,000 and  $M_r$  30,000 were cut out separately. These polyvinylidene difluoride membrane sections were washed with a solution including 50% acetonitrile and 0.1% trifluoroacetic acid and applied to an ABI 492 Protein Sequencer (Applied Biosystems) to sequence the NH<sub>2</sub> terminus of the protein. Because the NH<sub>2</sub> terminus of the  $M_r$  40,000 protein was blocked, the membrane was further incubated in acetate with 0.6 mg/ml 3-bromo-3-methyl-2-nitrophenyl-mecapto-3H-indole (ICN Biomedicals Inc., Irvine, CA) at 80°C for 1 h in the dark to chemically cleave the protein at the COOH terminus of tryptophan residues. After washing twice with 80% acetate and once with 10% methanol, the peptide was analyzed using an ABI 492 Protein

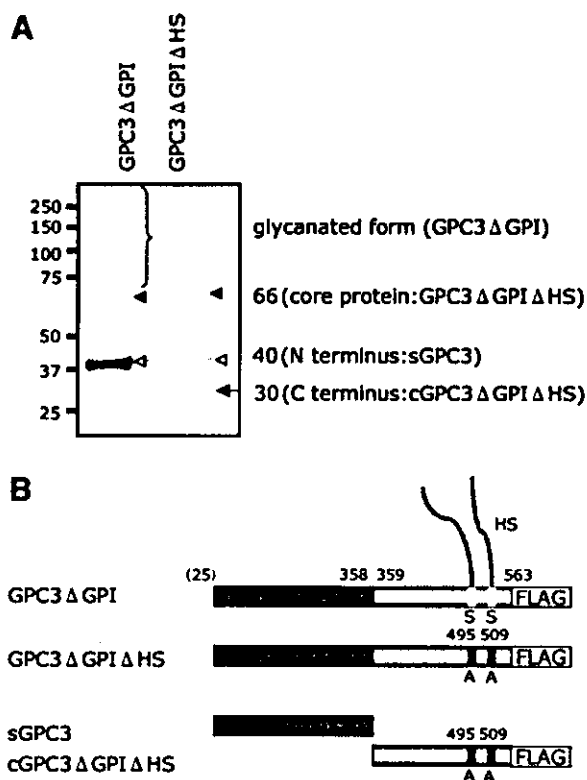


Fig. 1. Characterization of recombinant glypican-3 (GPC3) proteins. A, CBB R-250-stained SDS-PAGE of purified recombinant GPC3. *Brace*, glycanated GPC3 (smearing), GPC3 $\Delta$ GPI; *closed arrowhead*, core protein of GPC3 ( $M_r$  66,000) that lacks heparan sulfate glycosaminoglycan, GPC3 $\Delta$ GPI $\Delta$ HS; *open arrowhead*, sGPC3 ( $M_r$  40,000); *arrow*, cGPC3 $\Delta$ GPI $\Delta$ HS ( $M_r$  30,000). B, schematic diagram of recombinant proteins. Numbers above the boxes indicate amino acid residue number. Note that NH<sub>2</sub>-terminal residue 25 is putative and indicated in parentheses. HS, heparan sulfate glycosaminoglycan.

Sequencer. The detected sequence was aligned using FASTS software available online,<sup>9</sup> and the protein was identified.

## RESULTS

**The NH<sub>2</sub>-Terminal Portion of GPC3 Is Cleaved between Arg<sup>358</sup> and Ser<sup>359</sup> *In Vitro*.** We have previously generated mAb K6534 raised against a peptide corresponding to amino acids 355–371 of GPC3 protein, and we demonstrated, for the first time, overexpression of its core protein in HCC with immunoblotting using this antibody (15). Another antibody is required to construct a sandwich ELISA system for serum examination of GPC3, so we started generating high-affinity mAbs using recombinant GPC3 $\Delta$ GPI as an immunogen. While purifying the immunogen from the culture supernatant of Chinese hamster ovary cells, we observed a  $M_r$  40,000 band (Fig. 1A) in addition to the  $M_r$  66,000 band that corresponds to core protein of GPC3 as observed with K6534 (15).

Because the NH<sub>2</sub> terminus of this  $M_r$  40,000 band was modified, as revealed by initial amino acid sequencing, we performed sequencing of internal amino acids of the band after cleavage at the COOH terminus of tryptophan residues to verify its origin. We detected six cycles of three amino acid residues VRY, EPX, YES, ITY, LPX, and QSV, each cycle corresponding to the first to sixth residue following tryptophan (W), respectively. After alignment with FASTF algorithm, these sequences matched with the (W)VPEPVPV (amino acid 51–57), (W)YCSYQC (amino acid 261–267), and (W)REYILS (amino acid

<sup>9</sup> <http://fasta.bioch.virginia.edu/>.