

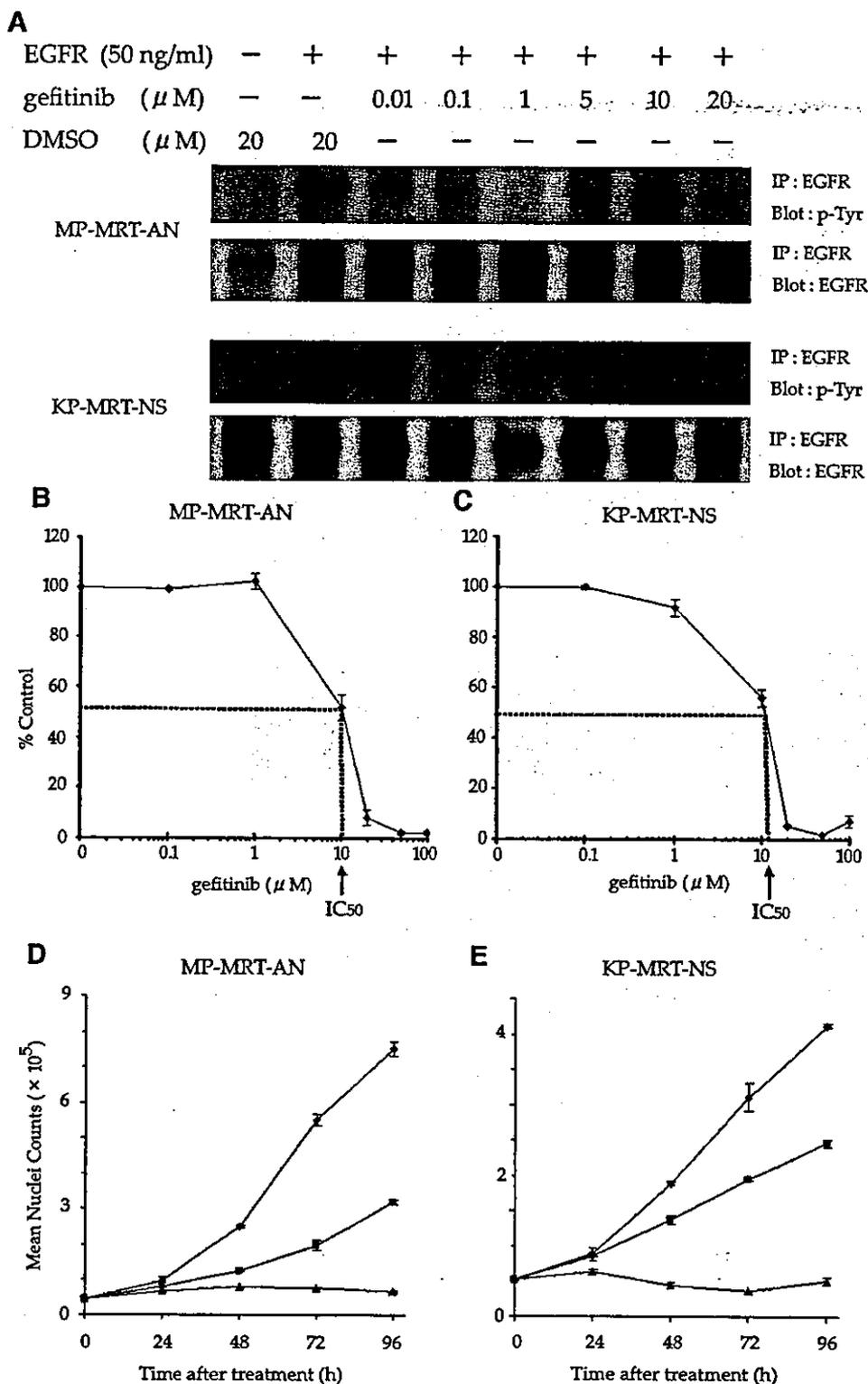
**Fig. 2** Expression of EGFR on two MRT clinical tissues and their cell lines. *A–D*, hematoxylin and eosin staining and immunohistochemical staining of EGFR in MRT clinical tissues (*AN* and *NS*;  $\times 200$ ). *Insets*, higher magnifications of the sections in *A–D* ( $\times 400$ ). *E*, immunofluorescence staining of two MRT cell lines (*MP-MRT-AN* and *KP-MRT-NS*) with anti-EGFR antibody ( $\times 400$ ). The A431 cell line was used as a positive control. *F*, Western blot analysis of EGFR and  $\beta$ -actin expression in the two MRT cell lines. Total cell proteins (35  $\mu$ g) were separated on a 7.5% SDS-polyacrylamide gel and probed with EGFR antibody. The levels of EGFR protein were quantified as described in Materials and Methods.

Expression of EGFR in two MRT cell lines was quantitatively determined by Western blot. EGFR expression in both MRT cell lines was lower than that in the A431 cell lines. EGFR expression in the MP-MRT-AN cell line was  $1.54 \pm 0.036$  times more than that in the KP-MRT-NS cell line (Fig. 2F).

**Inhibition of EGFR Phosphorylation by Gefitinib in MRT Cell Lines.** Because the two MRT cell lines express surface EGFR, the ability of gefitinib to inhibit EGFR phosphorylation was examined. Baseline phosphorylation of EGFR was absent in both MRT cell lines cultured in serum-free medium (Fig. 3A). However, treatment with EGF (50 ng/mL) for 5 minutes induced strong tyrosine phosphorylation of EGFR in both MRT cell lines (Fig. 3A). This effect was decreased in a

dose-dependent manner by pretreatment with gefitinib (0.01–20  $\mu$ mol/L) before the addition of EGF (Fig. 3A). Inhibition was clearly evident with the concentration of gefitinib as low as 0.01  $\mu$ mol/L. However, the total amount of EGFR was not changed by EGF, gefitinib, and/or DMSO.

**Inhibition of MRT Cell Growth by Gefitinib *In vitro*.** Effects of gefitinib on the proliferation of two MRT cell lines were determined *in vitro*. When the two MRT cell lines were treated with gefitinib at concentrations from 0.1 to 100  $\mu$ mol/L during the linear growth phase, the proliferation of each MRT cell line was inhibited in a dose-dependent manner (Fig. 3, B and C). In this assay system, the  $IC_{50}$  value of the antiproliferation effect of gefitinib was 10  $\mu$ mol/L in MP-MRT-AN and was 12  $\mu$ mol/L in



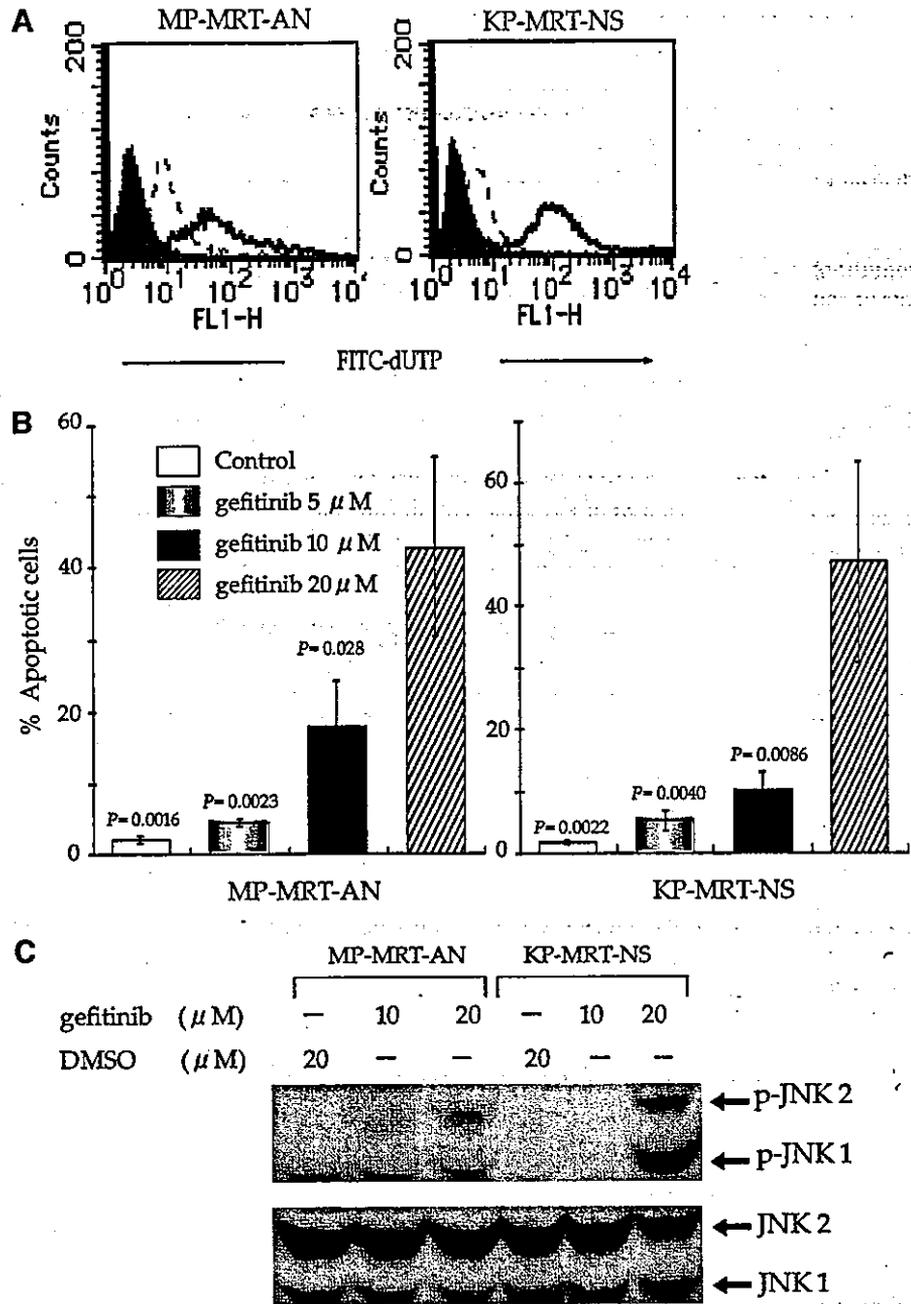
**Fig. 3** Effect of gefitinib on two MRT cell lines. **A**, inhibition of EGFR phosphorylation was clearly evident with the concentration of gefitinib as low as 0.01  $\mu$ mol/L. Cells were placed in serum-free medium for 24 hours and then treated with the indicated concentrations of gefitinib for 30 minutes. Control cells received drug vehicle: DMSO, final concentration 0.2%. Cells were then stimulated (+) or not (-) with EGF for 5 minutes, lysed, and immunoprecipitated with anti-EGFR antibody. Immunoprecipitates were eluted, separated on a 7.5% SDS-polyacrylamide gel, and probed with antiphosphotyrosine antibody (*top*). The blot was then stripped and reprobed with anti-EGFR antibody (*bottom*). Blots are representative of three separate experiments. *IP*, immunoprecipitation; *p-Tyr*, phosphotyrosine. **B** and **C**, inhibition of tumor growth of MRT cell lines by gefitinib. MRT cells were seeded and allowed to attach for 24 hours, and cultured in medium containing serial dilutions of gefitinib for 96 hours in triplicate cultures. Growth was assessed by lysing the cells and counting nuclei. Values are the mean of results from three wells from one of three similar experiments; *bars*,  $\pm$ SE. *IC<sub>50</sub>* values are given in Results. **D** and **E**, cell growth curves of two MRT cell lines. MRT cells were cultured as described above. Cells were harvested every 24 hours, and nuclei were counted. Values are the mean of results from three wells from one of three similar experiments; *bars*,  $\pm$ SE. ●, Control; ■, gefitinib 10  $\mu$ M; ▲, gefitinib 20  $\mu$ M.

KP-MRT-NS. As shown in Fig. 3, **D** and **E**, the growth of MP-MRT-AN and KP-MRT-NS cells was inhibited after 48 hours of gefitinib treatment (10 or 20  $\mu$ mol/L).

Next, we attempted to determine whether the inhibition

of cell growth by gefitinib was associated with either apoptosis or cytostasis. Tumor cells were harvested every 24 hours after gefitinib treatment (5, 10, or 20  $\mu$ mol/L), and apoptotic cells were examined by terminal deoxynucleotidyl

**Fig. 4** Induction of apoptosis by gefitinib in two MRT cell lines. **A**, MRT cells were stained with fluorescein isothiocyanate-dUTP after 96 hours of culture with gefitinib and examined by flow cytometry. Histogram shows the induction of apoptotic MRT cells by gefitinib treatment in a dose-dependent manner. The apoptotic cells staining with fluorescein isothiocyanate-dUTP increased after 96 hours of treatment of 20  $\mu\text{mol/L}$  (solid histograms) or 10  $\mu\text{mol/L}$  gefitinib (dashed histograms) compared with 0.2% DMSO (control; shaded histograms). Counts = number of events. **B**, percentages of total apoptotic cells. Percentages were determined as in **A**. Values are the mean of four independent experiences; bars,  $\pm\text{SE}$ . *P* values relative to 20  $\mu\text{mol/L}$  gefitinib. **C**, cells were placed in culture medium for 24 hours and then treated with the indicated concentrations of gefitinib for 48 hours. Control cells received drug vehicle: DMSO, final concentration 0.2%. Cells were lysed and separated on a 10% SDS-polyacrylamide gel and probed with anti-pJNK antibody (top). The blot was then stripped and reprobed with anti-JNK antibody (bottom). Blots are representative of three separate experiments.



transferase-mediated nick end labeling assay (Fig. 4A). Apoptosis was observed after 48 hours of gefitinib treatment at concentrations more than the  $\text{IC}_{50}$  values (data not shown), and the maximum level of apoptosis was observed after 96 hours of gefitinib treatment. The effect of gefitinib on the number of apoptotic cells after 96 hours of treatment was dose dependent. Induction of apoptosis was significantly greater at 20  $\mu\text{mol/L}$  gefitinib (MP-MRT-AN, 42.9% and KP-MRT-NS, 47.2%) than at 5 (MP-MRT-AN, 4.5% and KP-MRT-NS, 5.4%) and 10  $\mu\text{mol/L}$  (MP-MRT-AN, 17.9% and KP-MRT-NS, 10.2%;  $P < 0.05$ , multiple comparisons

using Fisher's projected least significant difference test; Fig. 4B). Therefore, growth inhibition of the MP-MRT-AN and KP-MRT-NS cell lines induced by treatment with gefitinib at concentrations equal to or lower than  $\text{IC}_{50}$  (5 and 10  $\mu\text{mol/L}$ ) was due to cytostasis, whereas growth inhibition by gefitinib at a concentration greater than  $\text{IC}_{50}$  (20  $\mu\text{mol/L}$ ) was due to apoptosis.

**Phosphorylation of JNK and AKT by Gefitinib in MRT Cell Lines.** To determine whether gefitinib treatment induces apoptosis, we examined the effects of gefitinib on the phosphorylation status of signal transduction mediators that are associ-

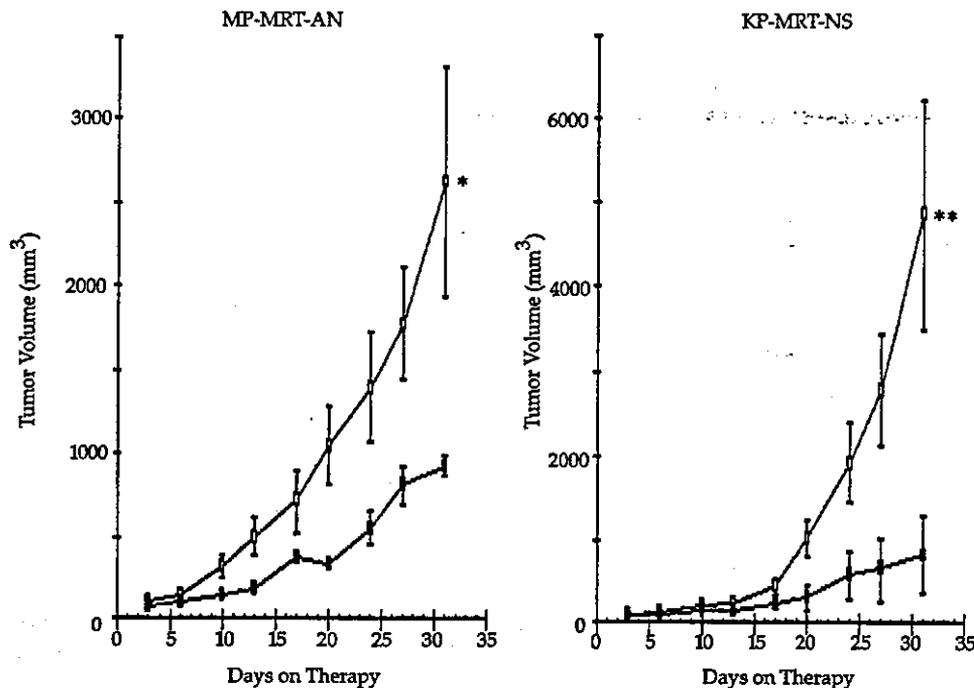


Fig. 5 Inhibition of tumor growth of MRT cell lines (MP-MRT-AN and KP-MRT-NS) in athymic mice by oral administration of gefitinib. Treatment was started 1 day after tumor injection. Once-daily oral gavages of gefitinib at 150 mg/kg (■), or vehicle alone (□) were given for 27 days (5 days/week). Each group contained 7–8 mice. Values are the mean; bars,  $\pm$ SE. \*,  $P = 0.039$ ; \*\*,  $P = 0.047$  relative to vehicle alone.

ated with apoptosis or cell survival. Two such mediators, JNK1 and JNK2, have been associated with apoptosis when in their activated (phosphorylated) forms. JNK1 and 2 are isoforms of one another with masses of 46 and 55 kDa, respectively (25–28). AKT is a serine/threonine kinase that promotes cell survival when it is in its active form (29). Gefitinib has been shown to inhibit the phosphorylation of AKT (30). Therefore, we evaluate the phosphorylation of JNK and AKT. When incubated in 20  $\mu$ mol/L gefitinib for 48 hours, both MRT cell lines expressed phosphorylated JNK 1 and 2 (p-JNK1 and 2). However, when incubated in 10  $\mu$ mol/L gefitinib, MP-MRT-AN expressed p-JNK-2 but not p-JNK-1, whereas KP-MRT-NS did not express either. Thus, activation of JNK2 was persistent at 48 hours after 20  $\mu$ mol/L gefitinib treatment in both MRT cell lines (Fig. 4C). On the other hand, neither 10  $\mu$ mol/L nor 20  $\mu$ mol/L of gefitinib treatment inhibited phosphorylation of AKT in either MRT cell line (data not shown).

**Inhibition of Tumor Growth *In vivo*.** The antitumor activity of gefitinib *in vivo* was examined by oral administration of gefitinib to athymic mice that had been injected s.c. (*i.e.*, xenografted) with  $4 \times 10^6$  MRT cells. Gefitinib treatment started 1 day after injection. One week after the injection, 5 of 8 mice xenografted with MP-MRT-AN cells and 8 of 8 mice xenografted with KP-MRT-NS cells had single palpable tumors. Although tumors in vehicle-treated mice grew exponentially, tumors in the gefitinib-treated group grew slowly throughout the course of administration (Fig. 5). Mean tumor volume in the gefitinib-treated group was significantly smaller than that in the vehicle-treated group by day 31, in both the mice xenografted with MP-MRT-AN cells [ $2633 \pm 689$  mm<sup>3</sup> ( $n = 5$ ) versus  $928 \pm 65$  mm<sup>3</sup> ( $n = 5$ );  $P = 0.039$ ] and in the mice xenografted with KP-MRT-NS cells [ $4360 \pm 1374$  mm<sup>3</sup> ( $n = 8$ ) versus

$1218 \pm 458$  mm<sup>3</sup> ( $n = 8$ );  $P = 0.048$ ]. The experiment was repeated except that gefitinib was started 7 days after tumor injection. Similar results were obtained 24 days after tumor injection, in both the mice xenografted with MP-MRT-AN cells [ $1116 \pm 98$  mm<sup>3</sup> ( $n = 7$ ) versus  $604 \pm 76$  mm<sup>3</sup> ( $n = 7$ );  $P = 0.0014$ ] and in the mice xenografted with KP-MRT-NS cells [ $2841 \pm 661$  mm<sup>3</sup> ( $n = 7$ ) versus  $505 \pm 150$  mm<sup>3</sup> ( $n = 6$ );  $P = 0.0086$  (Fig. not shown)].

Moreover, we evaluated whether apoptotic cells were induced in the tissues of the xenograft. Terminal deoxynucleotidyl transferase-mediated nick end labeling staining was not significantly different between the vehicle-treated group and the gefitinib-treated group (data not shown). This demonstrated that oral administration of gefitinib inhibited tumor growth in athymic mice due to cytostasis.

## DISCUSSION

The objective of this study was to determine the effects of gefitinib, an EGFR-tyrosine kinase inhibitor, on MRT cells and to evaluate the potential of gefitinib as a novel agent for treatment of MRT patients. Our results indicate that targeted inhibition of EGFR-tyrosine kinase is a promising therapeutic strategy for MRT patients that are refractory to other treatments.

This is the first report of the expression of EGFR in two clinical MRT tissues by immunohistochemical examination (Fig. 2, A–D). Expression of EGFR was also demonstrated in two MRT cell lines derived from these two MRT tissues by immunofluorescence (Fig. 2E) and Western blot (Fig. 2F). These cell lines have an altered *INI-1* gene (Fig. 1). Alterations in this gene are restricted to MRT in pediatric tumors (12). Our obtained results are consistent with the recent detection of

EGFR expression in five MRT cell lines. Moreover, EGFR was found to be phosphorylated by EGF in two MRT cell lines (Fig. 3A). These results prompted us to evaluate the possibility of targeting EGFR for treatment of MRT.

Next, the antitumor effects of gefitinib on two MRT cell lines were evaluated *in vitro*. Treatment of gefitinib induced a dose-dependent growth inhibition *in vitro* in two MRT cell lines (Fig. 3, B-E). The growth inhibition induced by gefitinib was due to cytostasis at concentrations near the  $IC_{50}$  values, although it was more due to apoptosis at higher doses (Fig. 3, D and E; Fig. 4A). Our  $IC_{50}$  values of the inhibition of EGFR phosphorylation (Fig. 3A) in MRT cell lines are similar to the  $IC_{50}$  value of other cancer cell lines (0.027–0.033  $\mu\text{mol/L}$ ; ref. 7). On the other hand, the gefitinib  $IC_{50}$  values of growth inhibition of head and neck cancer, lung cancer, and breast cancer cell lines were very close to our results under similar experimental conditions (31, 32). Thus, our results are congruent with those reported by other investigators.

We showed that 20  $\mu\text{mol/L}$  gefitinib treatment resulted in persistent phosphorylation of JNK2 (Fig. 4C). JNK activation is induced by sources of stress such as UV light, cytokines, and cytotoxic drugs via mitogen-activated protein kinase kinases 7 and 4 (25). On the other hand, Singh *et al.* (28) have reported that dephosphorylation of EGFR leads to an increase in phosphorylated JNK1 and 2, and other investigators have reported that persistent activation of JNK1 (26) or activation of JNK2 (29) induce apoptosis. Thus, our results suggest that apoptosis by gefitinib is associated with the JNK pathway via EGFR in MRT cell lines. Moreover, inhibition of AKT activity correlates well with sensitivity to gefitinib (31). However, the importance of AKT inhibition by gefitinib may differ among different tumor types (31). On the basis of our results with MRT cell lines, apoptosis induced by gefitinib is associated with the JNK pathway.

We found that 150 mg/kg gefitinib treatment had no significant apoptotic change in MRT xenograft tissues. The maximum nonlethal dose of gefitinib in mice was reported to be 150 mg/kg (8). Because this is the same concentration at which gefitinib had a cytostatic effect against established MRT xenografts (Fig. 5), the *in vivo* effect of gefitinib in MRT cells might be limited to the cytostatic effect. Christensen *et al.* (10) showed that the plasma concentrations of mice given gefitinib orally at a dose of 100 mg/kg for 2 days reached 7  $\mu\text{mol/L}$ . In the present study, the plasma gefitinib concentrations of mice given gefitinib at 150 mg/kg might reach to the levels of 10  $\mu\text{mol/L}$ . Therefore, in accordance with the *in vitro* results, gefitinib did not induce apoptosis *in vivo*.

Human tumor xenograft studies have indicated that gefitinib can inhibit the growth of tumors having many EGFR levels (7, 8, 9, 10). In these studies, as in the present study, the effect of gefitinib at the dose of 100–150 mg/kg was cytostasis. Because the inhibition of tumor cell growth by gefitinib in our study was observed *in vitro* as well as *in vivo*, our results provide a rationale for evaluating the anticancer activity of gefitinib in patients with MRT.

In a Phase I study, the maximal plasma concentration of gefitinib at the dose of 700 mg/day varied over a wide range (3–7  $\mu\text{mol/L}$ ; ref. 33). Gefitinib is metabolized in the liver via the cytochrome P450 3A4 (CYP3A4) pathway (34). The pharmacokinetics of gefitinib in children is not well known. However, because CYP3A4 activity of infants is lower than that of adults (35), it may be possible to achieve plasma gefitinib concentrations of 10  $\mu\text{mol/L}$  and to demonstrate antitumor effects in infant MRT patients.

Oral gefitinib was found to have meaningful antitumor activity in a randomized Phase II trial (13). The response of non-small-cell lung cancers to gefitinib in the above clinical trial was related to adenocarcinoma histology, although high EGFR expression is more common in squamous carcinomas than in adenocarcinomas (11). Thus, the clinical response to gefitinib is not related to the amount of EGFR expression, and the target of gefitinib has not been well clarified.

Indeed, induction of apoptosis and inhibition of growth of MRT cell lines by gefitinib required higher concentrations of gefitinib (20  $\mu\text{mol/L}$  and  $IC_{50} = 10\text{--}12 \mu\text{mol/L}$ , respectively) than the inhibition of EGFR phosphorylation ( $IC_{50} < 0.1 \mu\text{mol/L}$ ) in a cell-based assay. One possible explanation for the discrepancy in  $IC_{50}$  values between the inhibition of EGFR phosphorylation and cell growth is that the action of gefitinib in MRT may involve inhibition of other tyrosine kinases. Our immunofluorescence studies demonstrated that EGFR expression among the same MRT cells is heterogeneous (Fig. 2E), and EGFR expression levels between the two MRT cell lines are different (Fig. 2F). Thus, the uniformity of gefitinib sensitivity in MRT cell lines raises the possibility that some other tyrosine kinases are involved in gefitinib. The verification of targets of gefitinib and the functions of EGFR in MRT cells may be relevant to the understanding of the molecular basis and pathogenesis of MRT.

MRT is notoriously refractory to present anticancer drugs. Our results indicate that gefitinib may make a positive contribution to the therapy of MRT patients. Additional studies are needed to determine what functions EGFR has in MRT cells and whether MRT patients expressing EGFR tend to have poor prognoses. Moreover, additional clinical trials of MRT patients are needed to determine the efficacy and targets of gefitinib. Because the number of MRT patients is small, such trials may need to be global.

In conclusion, our results demonstrated that gefitinib has antitumor effects on MRT *in vitro* and *in vivo* and could be a novel therapeutic agent for improving the prognosis of MRT.

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## FENRETINIDE INDUCES SUSTAINED-ACTIVATION OF JNK/p38 MAPK AND APOPTOSIS IN A REACTIVE OXYGEN SPECIES-DEPENDENT MANNER IN NEUROBLASTOMA CELLS

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Fenretinide, which mediates apoptosis in neuroblastoma cells, is being considered as a novel therapeutic for neuroblastoma. The cytotoxic mechanisms of fenretinide, however, have not been fully elucidated. Sustained-activation of JNK and p38 MAPK signaling has been shown recently to have a pivotal role in stress-induced apoptosis. Whether fenretinide activates the signaling in neuroblastoma cells is not known. In the present study, fenretinide induced sustained-activation of both JNK and p38 MAPK in neuroblastoma cells. Pretreatment with the antioxidant L-ascorbic acid almost completely inhibited the accumulation of fenretinide-induced intracellular reactive oxygen species (ROS), activation of JNK and p38 MAPK and apoptosis. Intracellular ROS production and activation of stress signaling was not altered by fenretinide in resistant neuroblastoma cells. Our study demonstrates that in neuroblastoma cells, fenretinide induces sustained-activation of JNK and p38 MAPK in an ROS-dependent manner and indicates that JNK and p38 MAPK signaling might mediate fenretinide-induced apoptosis. Our results also indicate that suppression of the fenretinide-induced ROS productive system and the downstream JNK and p38 MAPK signaling pathways causes neuroblastoma cells to become resistant to fenretinide.

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**Key words:** fenretinide; neuroblastoma; apoptosis; reactive oxygen species; JNK; p38 MAPK

Neuroblastoma (NB) is one of the common malignant solid tumors in childhood, arising from neural crest progenitors. Despite progress with multimodal therapies consisting of multidrug chemotherapy, surgical and radiation therapy, the prognosis of advanced NB remains poor.<sup>1</sup> Therefore, new therapeutic approaches are needed. Retinoic acids (RA), which are vitamin A analogs, have been shown to induce the differentiation of NB cells into mature neuronal cells.<sup>2</sup> It has been reported recently that oral administration of 13-cis RA after consolidated chemotherapy with stem cell transplantation improved the 3-year event-free survival of advanced NB patients.<sup>3</sup> Retinoic acids are expected to be used as new therapeutic agents against NB, in view of their relatively low toxicity.

N-(4-hydroxyphenyl) retinamide, also called fenretinide (FR), has cytotoxic activity against various tumor cells including NB.<sup>4</sup> An advantage of FR is that its systemic toxicity is less than that of RA.<sup>5,6</sup> The cytotoxicity of FR is due mainly to its ability to induce apoptosis, although the mechanism has not been fully elucidated.<sup>4</sup> Several studies have shown that, in NB cell lines, FR produced intracellular reactive oxygen species (ROS).<sup>7–9</sup> In addition, FR increases intracellular ceramide, which is known as an inducer of apoptosis, in NB cells.<sup>7,10,11</sup>

Mitogen-activated protein kinases (MAPK) are well-conserved signaling proteins in eukaryotic cells and have essential roles in deciding cell fate.<sup>12,13</sup> Two members of the MAPK family, c-Jun N-terminal kinase (JNK) and p38 MAPK, are activated by various stress stimuli including oxidative stress and chemical agents.<sup>12–14</sup> When activated, they phosphorylate downstream transcription factors of c-Jun and activating transcription factor-2 (ATF-2). Sustained-activation of JNK and p38 MAPK induces cell death.<sup>13,15</sup>

In prostate carcinoma cell lines, FR did not activate p38 MAPK, but it did activate JNK in an ROS-independent manner,<sup>16</sup> and the JNK pathway mediated FR-induced apoptotic signaling.<sup>16,17</sup> It has

also been shown that FR activated JNK in A431 epidermoid carcinoma cells.<sup>18</sup> It is not known, however, whether FR activates JNK and p38 MAPK signaling in NB cells, or whether signaling is essential for FR-induced apoptosis. We examined stress signaling and apoptosis induced by FR in NB cells. We found that FR induced sustained-activation of both JNK and p38 MAPK in NB cells, indicating that JNK and p38 MAPK mediate FR-induced apoptosis. We also examined the relationship between the FR-induced ROS generation and the JNK/p38 MAPK signaling, and found that their activation is ROS-dependent. Moreover, we demonstrated that FR failed to produce intracellular ROS and to activate the kinases in the resistant NB cells, indicating the suppression of FR-induced ROS production and activation of JNK/p38 MAPK is one of the mechanisms of resistance to FR in NB cells.

### MATERIAL AND METHODS

#### Cell culture

Human NB cell lines KP-N-TK<sup>19</sup> and KP-N-SIFA<sup>20</sup> were cultured in RPMI 1640 containing penicillin (100 U/ml), streptomycin (100 µg/ml) and 10% heat-inactivated FBS at 37°C in a 5% CO<sub>2</sub> incubator. The medium was changed every 3–4 days. Cells were sub-cultured into new flasks by trypsinization when in a sub-confluent state. FR-resistant cells of KP-N-TK, designated as KP-N-TK (FR-R), were established by culturing parental KP-N-TK cells with increasing concentrations of FR from 0.5–5 µM for 150 days. The cells were then maintained continuously in 5 µM FR.

#### Antibodies and reagents

Polyclonal antibodies against JNK, p38 MAPK, Thr<sup>183</sup>/Tyr<sup>185</sup>-phosphorylated JNK, Thr<sup>180</sup>/Tyr<sup>182</sup>-phosphorylated p38 MAPK were purchased from Cell Signaling Technology (Beverly, MA). Monoclonal anti-caspase-3 antibody was from BD Biosciences (San Jose, CA). Monoclonal anti-caspase-9 and anti-poly (ADP-ribose) polymerase (PARP) antibodies were obtained from Oncogene Research Products (San Diego, CA). FR (Toronto Research

**Abbreviations:** AA, L-ascorbic acid; CM-H<sub>2</sub>DCFDA, 5-(6)-chloromethyl-2',7'-dichlorodihydrofluorescein diacetate; FR, fenretinide; JNK, c-Jun N-terminal kinase; NB, neuroblastoma; p38 MAPK, p38 mitogen-activated protein kinase; PARP, poly (ADP-ribose) polymerase; ROS, reactive oxygen species; TUNEL, TdT-mediated dUTP-biotin nick end labeling.

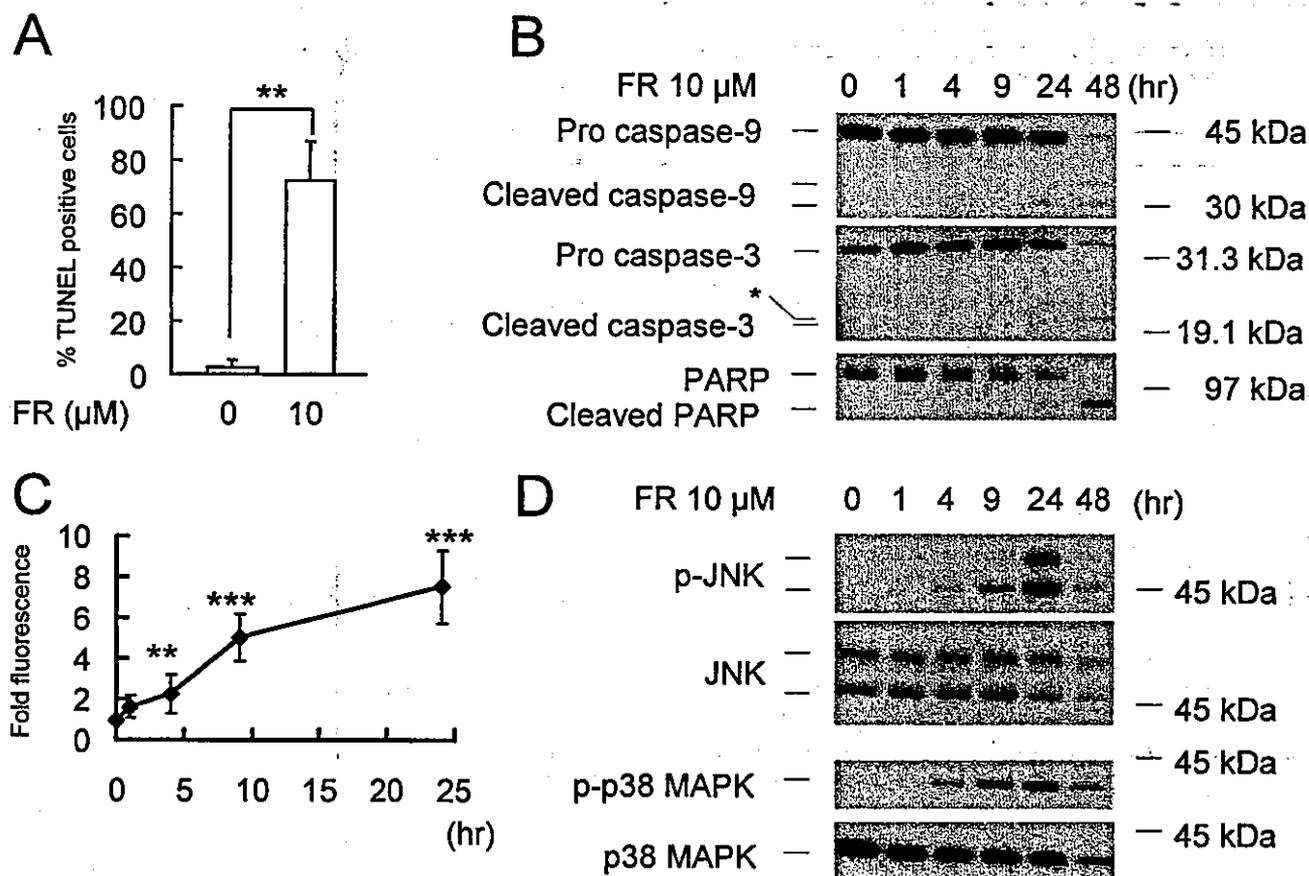
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**FIGURE 1**—Fenretinide-induced apoptosis, intracellular ROS accumulation and activation of JNK and p38 MAPK in KP-N-TK cells. (a) TUNEL assay. Cells were incubated with 10  $\mu\text{M}$  FR or DMSO vehicle for 48 hr. Harvested cells were fixed as described in Material and Methods. After the TdT reaction with FITC labeling, cells were analyzed with a flow cytometer, and the percentage of TUNEL-positive cells was determined (mean  $\pm$  SD,  $n = 3$ ).  $^{**}p < 0.01$  (Student's *t*-test). (b) Time-course of cleavages of caspase-9, caspase-3 and PARP. Cells were treated with 10  $\mu\text{M}$  FR for the times indicated. Lysates were prepared and immunoblotted for anti-caspase-9, anti-caspase-3 or anti-PARP antibodies. This is representative of 3 independent experiments. The band indicated by an asterisk is a non-specific band. (c) Time-course of intracellular ROS accumulation. Cells were incubated with 10  $\mu\text{M}$  FR for the times indicated. CM-H<sub>2</sub>DCFDA was added for 2 hr before cell harvesting. Flow cytometric analysis was carried out and the mean fluorescence was calculated (mean  $\pm$  SD,  $n = 7$ ).  $^{**}p < 0.01$ ,  $^{***}p < 0.001$  (compared to control, Student's *t*-test). (d) Time-course of the activation of JNK and p38 MAPK. Cells were incubated with 10  $\mu\text{M}$  FR for the times indicated. Immunoblotting was carried out using anti-phospho (p)-JNK, anti-JNK, anti-p-p38 MAPK or anti-p38 MAPK. This is representative of 3 independent experiments.

Chemicals, North York, Canada) was dissolved in dimethyl sulfoxide (DMSO) and stored at  $-70^{\circ}\text{C}$  in the dark. L-Ascorbic acid (AA) (Wako Pure Chemical Ind., Osaka, Japan) was dissolved in distilled water and stored at  $-20^{\circ}\text{C}$ . 5-(6)-Chloromethyl-2'-7'-dichlorodihydrofluorescein diacetate (CM-H<sub>2</sub>DCFDA) (Molecular Probes, Eugene, OR) was freshly prepared in DMSO before use. The final concentration of DMSO was  $<0.2\%$  in all experiments.

#### Western blotting

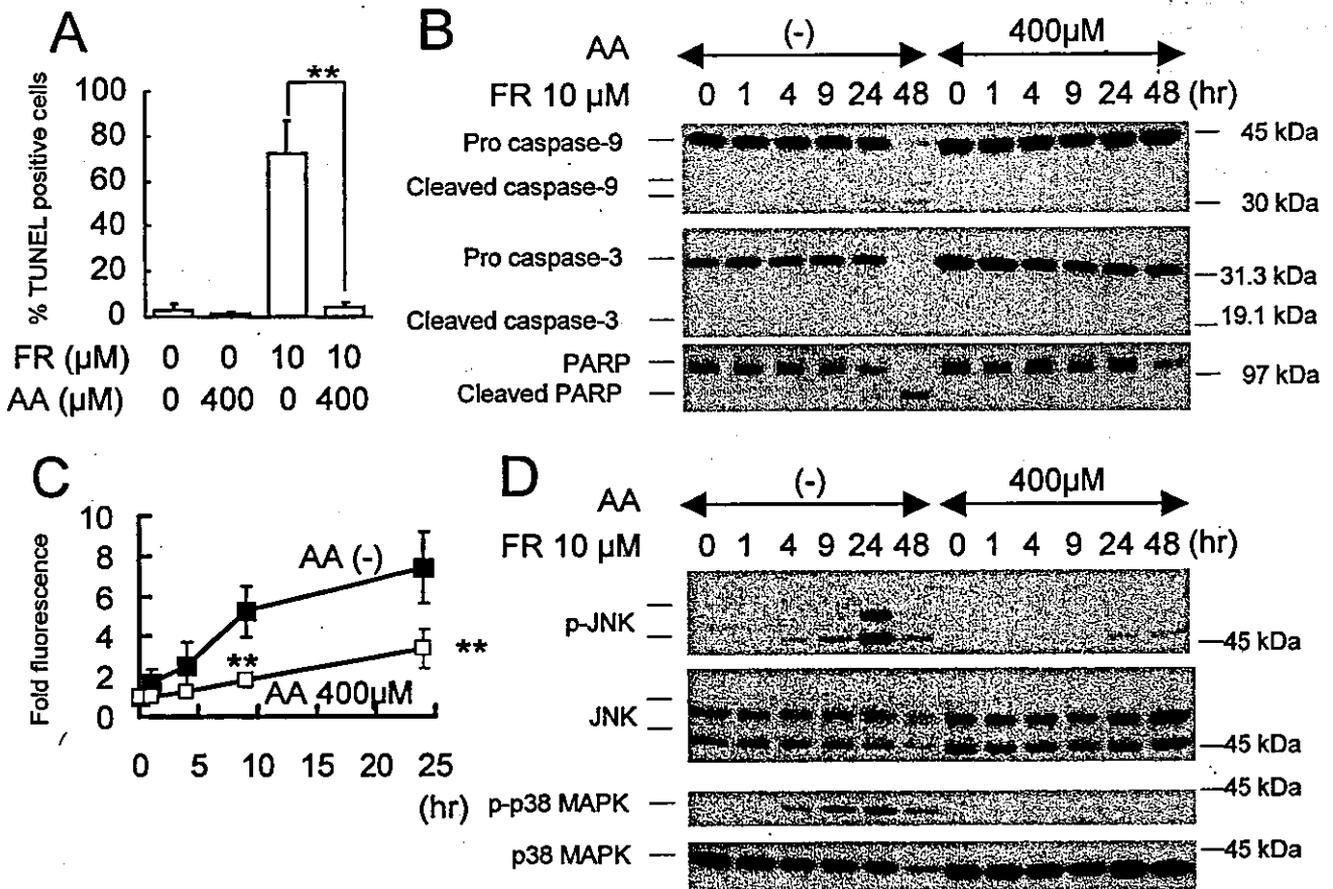
Cells ( $5 \times 10^6$ ) were seeded onto 100-mm dishes. When 50–60% confluence was achieved, cells were treated with 10  $\mu\text{M}$  FR at  $37^{\circ}\text{C}$  with or without pretreatment of 400  $\mu\text{M}$  AA for 12 hr. After the indicated periods, cells were washed once with ice-cold PBS. Floating cells were also collected. Cells were solubilized in RIPA buffer (150 mM NaCl, 50 mM Tris pH 8.0, 1% Nonidet P-40, 0.5% deoxycholate, 0.1% sodium dodecyl sulfate). Protein concentration was determined using a DC protein assay (Bio-Rad Laboratories, Hercules, CA). Cell lysates were electrophoresed on SDS-polyacrylamide gels, and then transferred to a PVDF membrane. The membrane was blocked in Tris-buffered saline (TBS) with 0.1% Tween 20 (TBS-T) and 5% nonfat skim milk, and subsequently probed with the primary antibody. The blots were

washed in TBS-T and treated with the appropriate secondary antibodies (Amersham, Arlington, IL), and then analyzed using the ECL chemiluminescence system (Amersham).

#### Apoptosis assay

Apoptosis was determined using the MEBSTAIN Apoptosis Detection Kit Direct (Medical & Biological Laboratories Co., Nagoya, Japan) according to the manufacturer's protocol. In brief, cells ( $2 \times 10^6$ ) were plated onto 60-mm dishes. At 50–60% confluence, cells were treated with 10  $\mu\text{M}$  FR at  $37^{\circ}\text{C}$  with or without preincubation with 400  $\mu\text{M}$  AA for 12 hr. After 48 hr, cells were harvested, washed with PBS, and then fixed with 4% paraformaldehyde for 30 min at  $4^{\circ}\text{C}$ . Subsequently, cells were permeabilized with 70% ethanol for more than 30 min at  $-20^{\circ}\text{C}$ , and incubated with the mixture of TdT and FITC-conjugated dUTP for 1 hr at  $37^{\circ}\text{C}$ . The cells were analyzed with a FACS Calibur flow cytometer (Nippon Becton Dickinson Co., Tokyo, Japan) and the number of the TUNEL (TdT-mediated dUTP-biotin nick end labeling)-positive cells was calculated using Cell Quest software (Nippon Becton Dickinson Co.).

The cleavages of caspase-9, caspase-3 and PARP were also detected by Western blotting as described above.



**FIGURE 2** – L-Ascorbic acid (AA) inhibits FR-induced apoptosis, ROS accumulation and activation of JNK and p38 MAPK in KP-N-TK cells. Cells were treated with 10  $\mu\text{M}$  FR with or without pretreatment with 400  $\mu\text{M}$  AA for 12 hr. (a) TUNEL assay. Cells were incubated with FR or DMSO vehicle for 48 hr. TUNEL assay was carried out as described in Figure 1 (mean  $\pm$  SD,  $n = 3$ ).  $**p < 0.01$  (Student's *t*-test). (b) Time-course of cleavages of caspase-9, caspase-3 and PARP. Cells were incubated with FR for the times indicated. Immunoblot analysis was carried out as described in Figure 1. This is representative of 3 independent experiments. (c) Time-course of intracellular ROS accumulation. Flow cytometric analysis using CM-H<sub>2</sub>DCFDA was carried out as described in Figure 1 (mean  $\pm$  SD,  $n = 4$ ).  $**p < 0.01$  (Student's *t*-test, compared to no pretreatment of AA). (d) Time-course of activation of JNK and p38 MAPK. Cells were incubated with FR for the times indicated with or without pretreatment with AA. Western blot analysis was carried out as described in Figure 1. This is representative of 3 independent experiments.

#### Determination of intracellular ROS

The intracellular concentration of ROS was measured using CM-H<sub>2</sub>DCFDA as a probe. This probe is a non-polar compound that readily diffuses into cells, where it is hydrolyzed to the non-fluorescent polar derivative 2',7'-dichlorofluorescein and thereby trapped within the cells. In the presence of a proper oxidant, 2',7'-dichlorofluorescein is oxidized to highly fluorescent 2',7'-dichlorofluorescein. Cells were plated onto 6-well dishes ( $5 \times 10^5$  cells/well) and treated with 10  $\mu\text{M}$  FR with or without pretreatment of 400  $\mu\text{M}$  AA as above. Two hours before cell harvest, 5  $\mu\text{M}$  CM-H<sub>2</sub>DCFDA was added to the cells. After the indicated periods, the medium was removed; cells were washed once with PBS, harvested, and suspended in PBS. The cells were immediately analyzed with a FACS Calibur flow cytometer; the excitation and emission wavelengths were at 488 nm and 530 nm, respectively. The mean fluorescence of  $1 \times 10^4$  cells per sample was calculated using Cell Quest software.

#### RESULTS

##### Fenretinide induces apoptosis, intracellular ROS production and sustained-activation of JNK and p38 MAPK in KP-N-TK NB cells

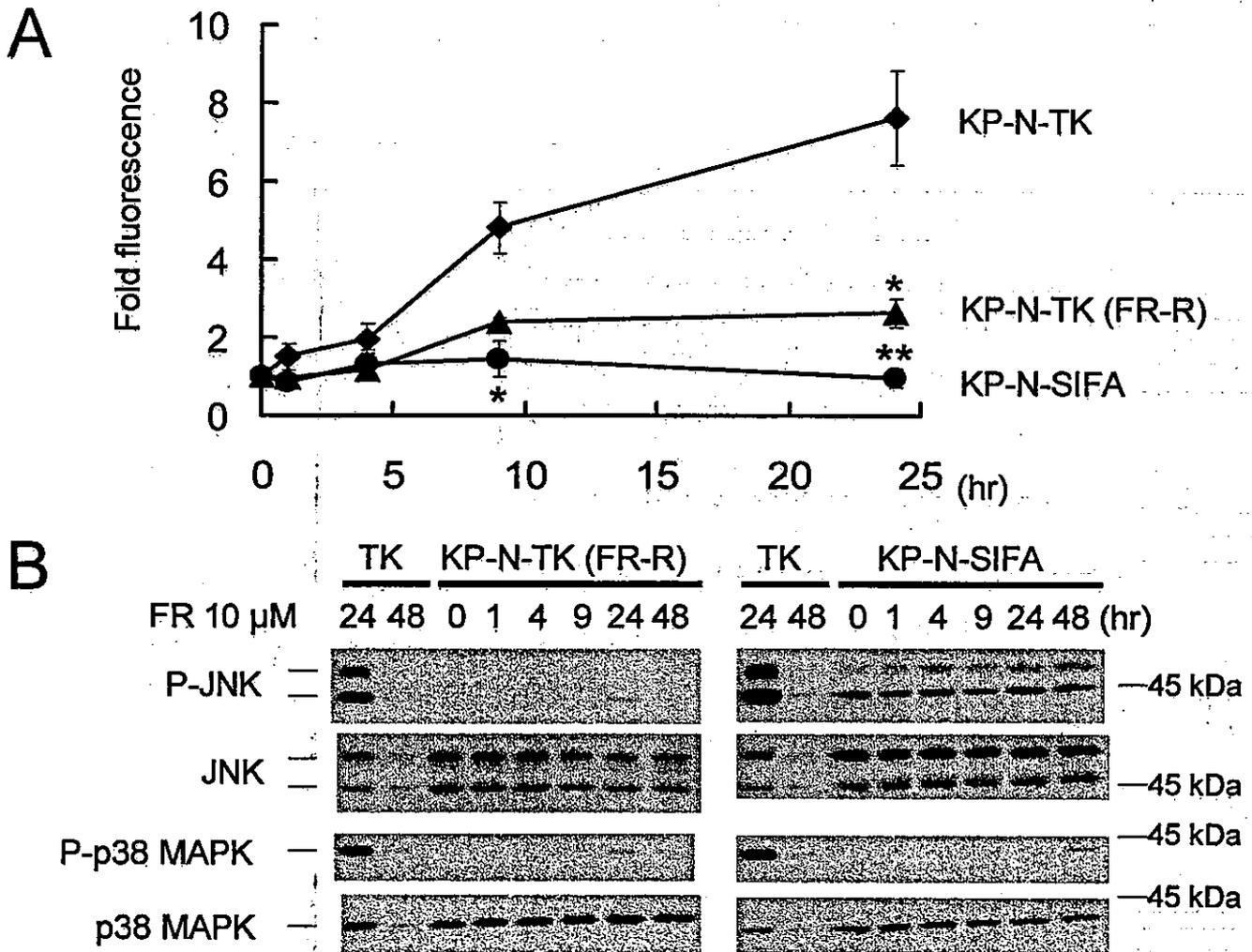
We first studied FR-induced apoptosis in KP-N-TK cells. After 48 hr incubation with 10  $\mu\text{M}$  FR, up to 70% of the cells were

TUNEL-positive (Fig. 1a). The DMSO vehicle did not induce apoptosis (Fig. 1a). Cleavages of caspase-9, caspase-3 and PARP appeared 24 hr after the treatment, and progressed at 48 hr (Fig. 1b). We then studied the intracellular ROS production by FR in KP-N-TK cells. The fluorescence of CM-H<sub>2</sub>DCFDA increased gradually during 24 hr of incubation with 10  $\mu\text{M}$  FR (Fig. 1c). DMSO vehicle alone did not increase the fluorescence (data not shown).

Fenretinide induced sustained-activation of both JNK and p38 MAPK in KP-N-TK cells (Fig. 1d). The phosphorylation of JNK and p38 MAPK was observed from 4–48 hr after treatment with 10  $\mu\text{M}$  FR, and peaked at 24 hr after treatment. The total amounts of JNK and p38 MAPK decreased at 48 hr. Short incubation with FR from 15 min (data not shown) and 1 hr (Fig. 1d) did not activate these kinases. The DMSO vehicle alone also did not alter their activation (data not shown).

##### L-Ascorbic acid suppresses fenretinide-induced apoptosis, intracellular ROS accumulation and activation of JNK and p38 MAPK

KP-N-TK cells were pretreated with 400  $\mu\text{M}$  of the antioxidant AA and then incubated with 10  $\mu\text{M}$  FR for 48 hr. Pretreatment with AA almost completely blocked FR-induced apoptosis (Fig. 2a). Fenretinide-induced processing of caspase-9, caspase-3 and PARP was also suppressed in the presence of AA (Fig. 2b).



**FIGURE 3** – Fenretinide-induced ROS accumulation and activation of JNK and p38 MAPK is suppressed in FR-resistant KP-N-TK (FR-R) and KP-N-SIFA cells. (a) Time-course of intracellular ROS. Cells were incubated with 10  $\mu$ M FR for the times indicated. CM-H<sub>2</sub>DCFDA was added for 2 hr before cell harvesting. Flow cytometric analysis was carried out and the mean fluorescence was calculated (mean  $\pm$  SD,  $n = 3$ ). \* $p < 0.05$ , \*\* $p < 0.01$  (compared to KP-N-TK, Student's  $t$ -test). (b) Time-course of activation of JNK and p38 MAPK. Cells were treated with 10  $\mu$ M FR for the times indicated. Immunoblotting was carried out as described above. This is representative of 3 independent experiments.

Furthermore, preincubation of AA suppressed FR-induced intracellular ROS accumulation in KP-N-TK cells (Fig. 2c). To determine whether FR-induced activation of JNK and p38 MAPK is ROS-dependent, we compared the phosphorylation of JNK and p38 MAPK induced by FR with or without preincubation with AA in KP-N-TK cells. In the presence of AA, FR-induced activation of JNK and p38 MAPK was suppressed markedly (Fig. 2d).

*Fenretinide-induced intracellular ROS accumulation and activation of JNK and p38 MAPK is decreased in fenretinide-resistant NB cell lines*

To investigate the mechanism of resistance to FR in NB cells, we generated a FR-resistant KP-N-TK cell line, KP-N-TK (FR-R) cells. KP-N-TK (FR-R) was highly resistant to FR even at a concentration of 10  $\mu$ M FR (data not shown). When KP-N-TK (FR-R) was incubated in FR-free medium, its resistance to FR was preserved (data not shown). KP-N-SIFA cells exhibited complete resistance to 10  $\mu$ M FR (data not shown).

To determine whether FR-induced intracellular ROS production was altered in the resistant NB cells, we treated FR-resistant KP-N-TK (FR-R) cells, KP-N-SIFA cells and FR-sensitive parental KP-N-TK cells with 10  $\mu$ M FR and compared their intracellular

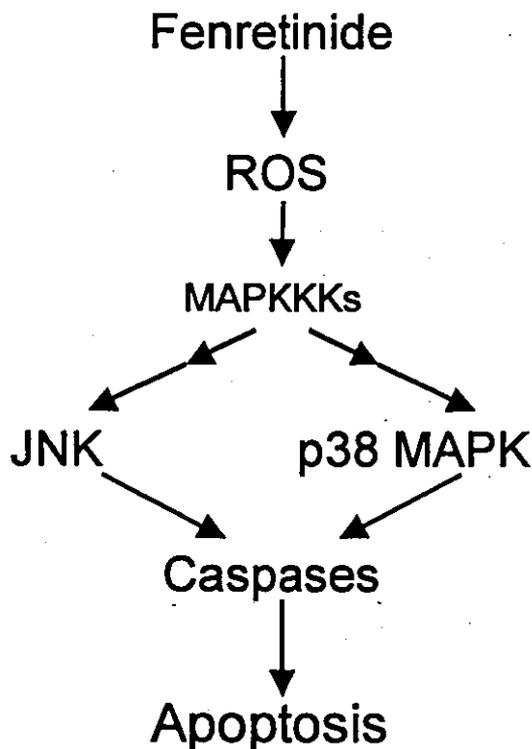
ROS levels. Less FR-induced intracellular ROS were generated in the 2 resistant cell lines than in the sensitive KP-N-TK cells (Fig. 3a).

FR induced little phosphorylation of the kinases in the resistant KP-N-TK (FR-R) and KP-N-SIFA cells (Fig. 3b). Although phosphorylated JNK was present in KP-N-SIFA cells even in the absence of FR, FR did not increase its level during 48 hr.

**DISCUSSION**

FR is known to induce apoptosis in NB cells but the mechanism is not clear. Our results demonstrate that FR induced sustained-activation of JNK and p38 MAPK signaling in an ROS-dependent manner, and finally induced apoptosis in NB cells. In FR-resistant NB cells, FR failed not only to generate intracellular ROS but also to activate JNK and p38 MAPK signaling. Thus, the alterations in FR-resistant NB cells prevent FR from inducing apoptosis.

ROS are known to induce apoptosis. In KP-N-TK cells, FR induces prolonged production of intracellular ROS. FR-induced ROS generation precedes the processing of caspase-9, caspase-3 and PARP, indicating that ROS generation is upstream of the



**FIGURE 4** – Schematic representation of FR-induced ROS generation, JNK and p38 MAPK signaling and apoptosis in neuroblastoma cells. FR induces sustained-activation of JNK and p38 MAPK signaling in an ROS-dependent manner. The sustained activation of JNK and p38 MAPK activation leads to processing of caspases and apoptosis.

caspase cascade. The antioxidant AA almost completely blocked apoptosis and suppressed FR-induced intracellular ROS accumulation. Our results show that AA has a more complete inhibitory effect on FR-induced apoptosis in NB cells than on FR-induced apoptosis in other NB cell lines (CHLA-90, SMS-LHN, SMS-KCNR<sup>7</sup> and SH-SY5Y<sup>8</sup>).

FR activated both JNK and p38 MAPK in FR-sensitive KP-N-TK NB cells. In KP-N-TK cells, the activation of JNK and p38 MAPK by FR (starting after 4 hr of FR treatment) preceded the cleavages of caspase-9, caspase-3 and PARP (starting after 24 hr of FR treatment), indicating that the JNK and p38 MAPK signaling pathways are upstream of the caspase cascades, as reported in the LNCap and PC3 prostate carcinoma cell lines.<sup>16,17</sup> Furthermore, FR-induced activation of JNK and p38 MAPK is sustained for 48 hr, suggesting the possible role of the signaling in FR-induced apoptosis.<sup>13-15</sup> Interestingly, in prostate carcinoma LNCap cells, FR activated JNK but not p38 MAPK.<sup>16</sup>

Our finding that FR-induced activation of JNK and p38 MAPK in NB cells was ROS-dependent is supported by the finding that AA suppressed FR-induced activation of JNK and p38 MAPK

signaling in KP-N-TK cells. In prostate carcinoma cells, Chen *et al.*<sup>16</sup> found that FR-induced JNK activation was not affected by the antioxidant *N*-acetyl-L-cysteine (NAC), indicating that FR activated JNK independent of ROS. They did not, however, determine whether NAC suppressed the accumulation of FR-induced intracellular ROS. In our present study, AA clearly reduced FR-induced intracellular ROS accumulation.

JNK and p38 MAPK pathways have been shown to be involved in ROS-induced apoptosis.<sup>13,14</sup> When ROS production is low, JNK and p38 MAPK are only transiently activated, and cells will survive.<sup>13,14</sup> On the contrary, high ROS production induces sustained-activation of JNK and p38 MAPK, and finally leads to cell death.<sup>13,14</sup> The quantity and duration of oxidative stress will determine which MAPK kinase kinases (MAPKKK) are activated, which will determine the activation pattern of JNK and p38 MAPK, which, in turn, will decide cell fate.<sup>13,14</sup>

ROS activate various signaling pathways other than MAPKKK, including c-Abl tyrosine kinase.<sup>14</sup> c-Abl activates both JNK and p38 MAPK in the response to DNA damage<sup>22</sup> and also mediates ROS-induced apoptosis under some conditions.<sup>23</sup> The c-Abl-p38 MAPK-p73 pathway is thought to be essential for apoptosis induced by chemotherapeutic agents.<sup>24</sup> This pathway might be also involved in fenretinide-induced apoptosis, although we did not examine the expression of p73 in these cells.

FR failed to induce intracellular ROS accumulation in the FR-resistant KP-N-TK (FR-R) and KP-N-SIFA NB cell lines, indicating that suppression of the ROS-productive system is responsible for the resistance to FR in NB cells. Similarly, FR produced less free radicals in FR-resistant SH-SY5Y cells.<sup>8</sup> ROS production in the FR-resistant A2780 ovarian cancer cell line was not significantly different from that in the FR-sensitive parental cells.<sup>21</sup> In FR-resistant NB cells, FR failed to activate JNK and p38 MAPK signaling. This result further supports the hypothesis that FR activates JNK and p38 MAPK signaling in an ROS-dependent manner. It also indicates that sustained-activation of JNK and p38 MAPK is responsible for FR-induced apoptosis. It is of interest to know whether these FR-resistant cells are also resistant to other cytotoxic agents, especially ROS-producing ones such as cisplatin. In our preliminary results, FR-resistant KP-N-SIFA cells were the most sensitive to cisplatin among the cell lines used in our study (data not shown). KP-N-TK cells, as well as KP-N-TK (FR-R) cells, were resistant to 20  $\mu$ M cisplatin (data not shown). These data suggest that the mechanisms of FR resistance and cisplatin resistance are different.

In conclusion, we demonstrated for the first time that FR induces sustained activation of JNK and p38 MAPK in an ROS-dependent manner in FR-sensitive NB cells (Fig. 4), but not in FR-resistant cells. Moreover, our results raise the possibility that sustained-activation of the stress signaling pathway mediates FR-induced apoptosis. Our results also show for the first time that suppression of the intracellular ROS productive system and the downstream JNK/p38 MAPK pathways are related to FR-resistance in NB cells.

#### ACKNOWLEDGEMENTS

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## Acute Renal Failure Due to Leukemic Cell Infiltration Followed by Relapse at Multiple Extramedullary Sites in a Child with Acute Lymphoblastic Leukemia

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Acute renal failure due to leukemic infiltration into the kidney is rare in childhood acute lymphoblastic leukemia (ALL). We report here a five year-old boy with ALL who presented acute renal failure caused by leukemic infiltration at onset. Treatment with prednisolone and hemodialysis was effective. However, he showed persistent or repeated relapses at extramedullary sites, such as central nervous system, testis, and pancreas, suggesting that leukemic cells of this patient may have had a high affinity to extramedullary organs. On the basis of previous reports and the experience of this patient, intensive treatment may be needed in ALL children with renal involvement.

**Keywords:** ALL; Child; Acute renal failure; Extramedullary relapse

### INTRODUCTION

It has been previously reported that renal infiltration causing kidney enlargement was found in 4–47% of children with acute lymphoblastic leukemia (ALL) by renal scintigraphy, intravenous pyelography or ultrasonography [1–6]. However, most of these children showed no acute renal failure and it developed in only 1% of children with ALL [7]. To our knowledge, there have been few reports of childhood ALL in which acute renal failure was caused by renal infiltration. We report here a boy with ALL who presented acute renal failure due to the leukemic infiltration at onset. Interestingly, this patient exhibited persistent or repeated extramedullary relapses at central nervous system (CNS), testis and pancreas, even after having achieved complete remission (CR) at bone marrow. In this patient, it was noted that leukemic cells could be predisposed to have a high affinity to extramedullary sites.

### A CASE REPORT

A five year-old boy, who had presented with face edema and oliguria a few days earlier, was transferred to our hospital in September 2001. In our hospital, physical examination revealed hypertension (136/100 mmHg), hepatomegaly. No lymphadenopathy was observed and both kidneys were not palpable. Examination with abdominal computed tomography (CT) and ultrasonography revealed an enlargement of the bilateral kidneys (Fig. 1). Biochemical examination revealed lactate dehydrogenase of 2164 IU/L, blood urea nitrogen (BUN) of 23 mg/dl, creatinine (Cr) of 2.3 mg/dl, uric acid (UA) of 5.4 mg/dl. Urinalysis showed a specific gravity of 1.015 and proteinuria without hematuria or pyuria. White blood cell count (WBC) of the peripheral blood was  $3.2 \times 10^9/l$  with no appearance of blasts, hemoglobin was 10.3 g/dl, and platelet counts  $195 \times 10^9/l$ . Although bone marrow of the patient was dry tap in our hospital, bone marrow examination in the previous hospital showed 30% of lymphoblasts with L1 morphology of FAB

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classification and negative peroxidase and esterase stainings (Fig. 2A). The cytogenetic study of cells obtained from biopsy marrow materials showed normal karyotype. The histopathologic study of renal biopsy showed a massive and diffuse infiltration of mononuclear cells in the renal interstitium (Fig. 2B).

These cells were positive for CD79 $\alpha$ , CD20, CD45, and negative for CD2, CD3, CD4, CD7, CD34, CD56, and myeloperoxidase (Fig. 2C). Furthermore, lumbar puncture showed 266/ $\mu$ l of lymphoblasts with surface markers positive for CD10, CD19, CD20, and CD22, and negative for CD3, and surface immunoglobulins. Immunological studies at the onset were performed only for the samples obtained from the kidney and CNS fluid. On the basis of these findings, he was diagnosed as ALL with renal and CNS infiltration.

He received hemodialysis immediately from the first day of admission, as well as administration of

prednisolone. With these treatments, acute renal failure and dyspnea were rapidly relieved and hemodialysis was completed by the fourth day of admission. Evaluation with ultrasonography confirmed that the renal size of the patient decreased gradually to normal levels.

After the completion of hemodialysis, the patient was treated according to the medium-risk group arm of ALL-BFM 90 protocol [8] without delay in the schedule. However, CNS disease was persistent. With additional intrathecal therapy, CR was achieved after 4 months of treatment, but the right testicular and CNS relapse occurred during the subsequent treatment. After irradiation to both testes, he received bone marrow transplantation (BMT) from his HLA-mismatched mother in the state with CNS relapse in March 2002, followed by intrathecal therapy after BMT. However, 4 months after BMT, the second testicular relapse occurred. With his left relapsed testis removed and right testis irradiated, he achieved CR. In December 2002, he had abdominal pain with increased serum amylase levels, and abdominal CT demonstrated an enlargement of the pancreas (Fig. 3). Pancreatic relapse was confirmed by histological examination of the biopsy material showing pancreatic infiltration of lymphoblasts. He received intensive chemotherapy as of January 2003. In addition, we evaluated the state of minimal residual disease (MRD) of his bone marrow after he received BMT by detecting leukemic cell-specific IgH rearrangement using allele-specific oligonucleotide real-time polymerase chain reaction as previously reported [9]. This MRD examination revealed that his bone marrow retained CR at the molecular level, despite the frequent and multiple relapses at extramedullary sites (Data not shown).



FIGURE 1 Abdominal CT scan demonstrating a diffuse enlargement of the bilateral kidneys.

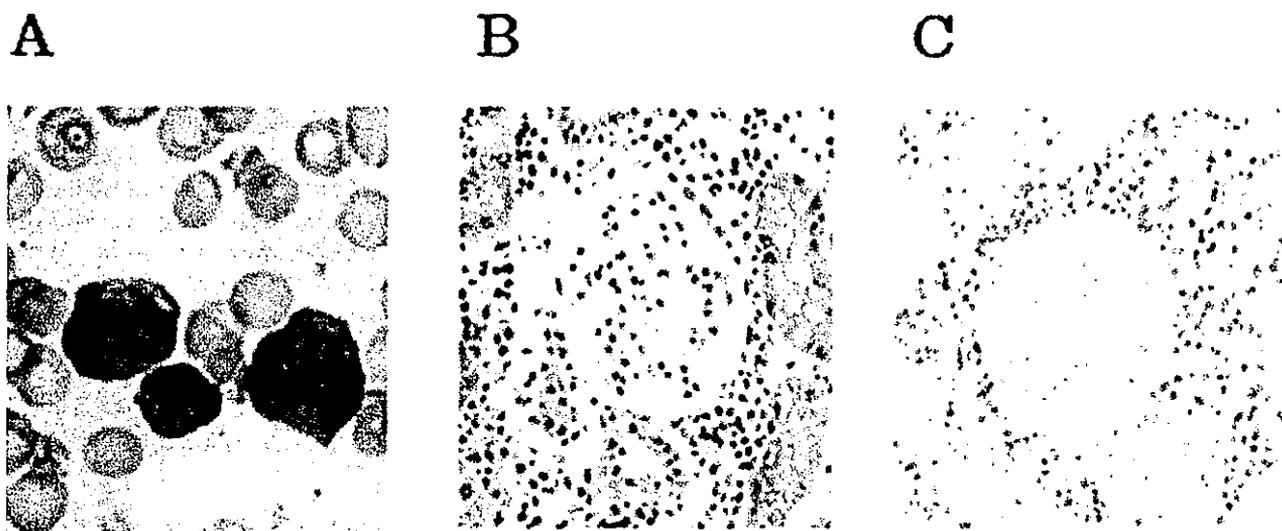


FIGURE 2 (A) Lymphoblasts in bone marrow at onset (May-Giemsa stain; magnification  $\times$  1000). (B) Renal biopsy specimen that lymphoblasts with irregular nuclear outline and fine chromatin showed massive and diffuse infiltration into the interstitium and glomeruli of the kidneys (Hematoxylin-eosin stain; magnification  $\times$  400). (C) The immunohistological stain of renal biopsy specimen for CD79 $\alpha$ , a marker of B-cell lineage. Lymphoblasts positive for CD79 $\alpha$  infiltrated into the interstitium of the kidneys (magnification  $\times$  400).

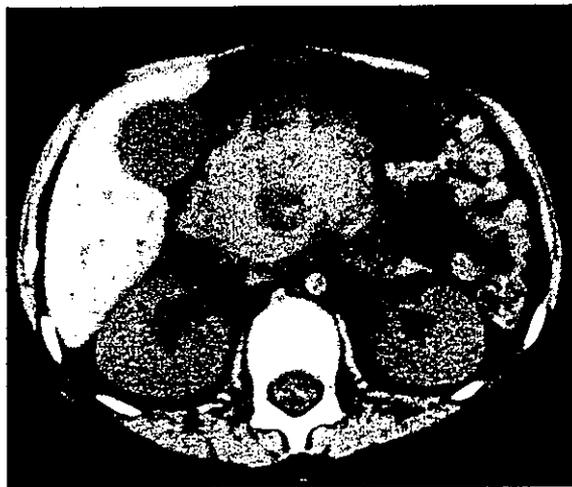


FIGURE 3 Abdominal CT scan demonstrating an enlargement of the pancreas head.

## DISCUSSION

Acute renal failure was rarely seen in children who had renal enlargement at onset and there have been few reports in which acute renal failure was caused by the leukemic cell infiltration into the kidneys in childhood ALL. To our knowledge, 7 patients have been reported as individual case reports [6, 10–15]. All of the patients showed enlargement of the bilateral kidneys and elevation of the serum Cr levels. In 3 out of 7 patients [11, 13, 14], renal infiltration of leukemic cells was confirmed histologically. Tumor lysis syndrome was unlikely to be the cause of acute renal failure in our patient, because he showed no apparent elevation of serum UA and BUN in contrast to the elevation of serum Cr. These uncommon findings of renal dysfunction may result from the fact that the interstitial infiltration of leukemic cells caused vascular stasis but did not directly damage the nephrons as described [15]. Therefore, for children with ALL who show acute renal failure, leukemic infiltration into the kidneys should be considered as one of possible causes and examination with ultrasonography may be useful for differential diagnosis and evaluation of therapeutic efficacy.

Out of the 7 patients reported above, 4 were treated with chemotherapy and 2 with radiotherapy. Furthermore, dialysis was performed simultaneously in 5 out of 6 children, leading to improvement from acute renal failure in all of the 5 children. Our patient had a good response to initial treatment of prednisolone combined with hemodialysis, suggesting that this combined treatment may be useful not only to remove the direct cause of renal failure, but also to lighten a renal burden caused by tumor lysis.

ALL blasts of our patient seemed to have a high affinity to multiple extramedullary organs, including pancreas as well as kidneys, CNS, and testes. Pancreatic infiltration of leukemic cells is very rare and only two

reports demonstrated such cases of children [16, 17]. In literature, it is yet undetermined whether ALL blasts with renal infiltration have predisposition to invade into other extramedullary sites. However, several investigators have reported CNS involvement that occurs simultaneously with renal infiltration [10, 18]. Therefore, the caution against leukemic involvement into extramedullary organs may be needed for ALL patients who show renal infiltration. Chemokine receptor CXCR4 and its ligand stromal cell-derived factor-1 (SDF-1) may play important roles in the extravasation and trafficking of normal lymphocytes [19]. Recently, Crazzolaro *et al.* showed that high levels of CXCR4 expression on the blasts of childhood ALL was associated with their clinical features of extramedullary infiltration [20]. Interaction between CXCR4 and SDF-1 may be involved in the mechanisms of ALL blasts with a high affinity to extramedullary sites.

Finally, prognostic significance of leukemic renal infiltration is yet controversial for children with ALL. Renal involvement may be associated with shorter survival in four reports [2, 4, 6, 21], whereas two reports demonstrated no prognostic significance for the outcome when analyzed after adjustment for the known prognostic factors [1, 5]. Our patient had the well-known poor prognostic factors such as CNS involvement at onset, non-CR status at day 33 of remission-induction treatment [8], and the early relapse [22]. However, it was noted that all of these prognostic factors in our patient were strongly linked with the leukemic cell property of the high affinity to invade into extramedullary organs. Therefore, this study suggests that extensive renal infiltration may be associated with a poor prognostic factor in childhood ALL that needs intensive treatment, although further investigation is needed.

## Acknowledgements

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## Alteration in the cellular response to retinoic acid of a human acute promyelocytic leukemia cell line, UF-1, carrying a patient-derived mutant PML-RAR $\alpha$ chimeric gene

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### Abstract

Cellular response to all-*trans* retinoic acid (ATRA) of acute promyelocytic leukemia (APL) with patient-derived mutant PML-retinoic acid receptor- $\alpha$  (PML-RAR $\alpha$ ) was investigated using an APL cell line, UF-1, carrying Arg611Trp mutation in PML-RAR $\alpha$ . Although the mutant protein showed a decreased ligand-dependent transcriptional activity and retained a dominant-negative effect on normal RAR $\alpha$ , UF-1 cells underwent growth inhibition, maturation and apoptosis in response to ATRA at 1  $\mu$ M, but not  $\leq$  100 nM, after 4 days of treatment with ATRA. Moreover, in the presence of 1  $\mu$ M ATRA, approximately 50% of UF-1 cells expressing annexin V, an early-apoptotic marker, was negative for CD11b and showed immature morphology. These findings suggest that UF-1 cells, despite expressing mutant PML-RAR $\alpha$  protein, can be induced by ATRA to undergo differentiation and apoptosis through RA-inducible mechanism(s), in which a proportion of apoptosis may occur independent of terminal differentiation. This unique cell line may be useful for investigating the pathogenesis of ATRA resistance and the mechanism of ATRA-induced apoptosis in APL.

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**Keywords:** Acute promyelocytic leukemia; Retinoic acid; Apoptosis; Differentiation; Mutant PML-RAR $\alpha$

### 1. Introduction

Acute promyelocytic leukemia (APL) is characterized by a blockade of myeloid maturation at the promyelocyte stage and by a 15;17 chromosomal translocation generating the PML-retinoic acid receptor- $\alpha$  (PML-RAR $\alpha$ ) chimeric protein, which inhibits normal RAR $\alpha$  transcriptional activity in a dominant-negative fashion [1]. Differentiation of APL cells is induced by treatment all-*trans* retinoic acid (ATRA) at pharmacological doses, and, under such conditions, PML-RAR $\alpha$  can respond to ATRA and show a ligand-dependent transcriptional activity as well as a decreased dominant-

negative effect on normal RAR $\alpha$  [2]. Although ATRA therapy is highly effective in inducing complete remission of patients with APL [3,4], some patients who become ATRA-resistant during relapse have a poor prognosis [3,5,6]. Recently, however, it has been shown that arsenic trioxide can induce complete remission in the majority of these relapsed patients [7,8].

ATRA resistance may be caused by increased oxidative catabolism of ATRA [9–11], or by mutations in the RAR $\alpha$  portion of the chimeric PML-RAR $\alpha$  gene [12–15]. Analysis of APL patients with ATRA resistance revealed three clusters of missense mutations in the PML-RAR $\alpha$  chimeric gene. These mutations are located in the RAR $\alpha$  portion of the chimeric protein that functions in ligand binding, receptor dimerization and ligand-dependent transcriptional activity [16]. In vitro transfection experiments revealed that the different mutations identified in patients with ATRA resistance had different effects on the RA-dependent transcription

**Abbreviations:** APL, acute promyelocytic leukemia; PML-RAR $\alpha$ , PML-retinoic acid receptor- $\alpha$ ; ATRA, all-*trans* retinoic acid; 9CRA, 9-*cis*-retinoic acid; RXR, retinoid X receptor

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process, suggesting that diverse molecular pathogenesis contribute to ATRA resistance due to mutant PML-RAR $\alpha$  [15]. Experiments with primary cultures of APL cells from patients with ATRA resistance showed that ATRA sensitivity varied with different mutations in PML-RAR $\alpha$  [14,17]. Although experimentally derived ATRA-resistant HL-60 or NB4 subclones have either complete loss of or a maturation defect in the response to RA [12,18–20], it is not known how APL cells derived from patients with ATRA resistance would respond to ATRA at the cellular level.

During ATRA therapy, APL cells are induced to enter the terminal stage of differentiation and are then cleared from the blood [21]. This process may be mediated by apoptosis because aged mature neutrophils are eliminated via apoptosis [22] and APL cells undergo apoptosis in response to ATRA therapy in vivo [21,23]. It has been suggested that restoration of PML function and normal RAR signaling may be involved in the mechanism(s) by which APL cells are stimulated to undergo apoptosis [20]. Thus, it is thought, but not yet proven, that ATRA induces apoptosis of APL cells independent of or prior to terminal differentiation.

Recently, Kizaki et al. [24] established an APL cell line, UF-1, from a patient who became ATRA resistant at relapse. This cell line expresses a short form of the PML-RAR $\alpha$  protein with a missense mutation (Arg611Trp). This mutation impairs ATRA binding and reduces ATRA-sensitivity in vitro [25]. In the present study, we examined RA-induced differentiation and apoptosis of UF-1 cells and compared the cellular responses of UF-1 to RA with the molecular response of the mutant PML-RAR $\alpha$  protein. Our findings suggest that regulation of RA signaling other than that through mutant PML-RAR $\alpha$  may be involved in the cellular response of UF-1 to RA, and that ATRA may induce APL cells to undergo apoptosis not only as consequence of differentiation but also prior to terminal differentiation.

## 2. Materials and methods

### 2.1. Cell line

The UF-1 cell line was established and characterized by Kizaki et al. [24]. Cells were maintained in suspension culture in RPMI 1640 medium (Gibco-BRL, Grand Island, NY) with 10% fetal bovine serum (FBS) (Hyclone Laboratories Inc., Logan, UT) at 37°C in a humidified atmosphere of 5% CO<sub>2</sub> in air. Cell numbers and viability were assessed with a hemocytometer and by trypan blue dye-exclusion test, respectively.

### 2.2. Retinoids

ATRA and 9-*cis*-retinoic acid (9CRA) were purchased from Sigma Chemical Co. (St. Louis, MO). HX600, a synthetic retinoid that acts as an retinoid X receptor

(RXR)-specific agonist, was provided by Dr. H. Kagechika [26]. Stock solutions (1 mM) of retinoids in ethanol were diluted serially in ethanol and then added to cell cultures at the final concentrations indicated. The final concentration of ethanol in each culture was less than 0.1%.

### 2.3. Morphologic evaluation of apoptosis

Cell morphology was examined by light microscopy on cytospin slides stained with Wright-Giemsa. More than 200 cells for each sample were scored and evaluated for morphologic features of apoptosis, including nuclear condensation and fragmentation.

### 2.4. Nitroblue tetrazolium (NBT) reduction assay

The ability of cells to reduce NBT was assessed as previously described [27]. Briefly, 10<sup>6</sup> viable cells were suspended in 1 ml phosphate-buffered saline (PBS) containing 0.5 mg/ml NBT (Sigma) and 162 nM 12-O-tetradecanoylphorbol-13-acetate (TPA) (Sigma). After incubation at 37°C for 25 min, the percentage of formosan-positive cells was determined on cytospin slides by Wright-Giemsa staining. With exclusion of dead cells, at least 200 intact cells per specimen were scored under light microscopy.

### 2.5. Flow cytometric analysis of CD11b expression

To evaluate cell differentiation, we analyzed expression of CD11b. Cells were washed twice with PBS and incubated with fluorescein isothiocyanate (FITC)-conjugated anti-CD11b monoclonal antibody (Coulter, Hialeah, FL) or with FITC-conjugated non-immune antibody as a negative control at 4°C for 15 min. Cells were washed with PBS and analyzed on a FACScan (Becton Dickinson, Mountain View, CA) with Cell Quest software (Becton Dickinson).

### 2.6. Flow cytometric analysis of early apoptotic cells

To detect cells in the early phase of apoptosis, we analyzed expression of annexin V by flow cytometry. This method requires no permeabilization of the cell membrane and, therefore, is suitable for investigating the kinetics of differentiation and apoptosis at the single-cell level. After incubation with phycoerythrin (PE)-conjugated anti-CD11b antibody (Coulter) at 4°C for 15 min, cells were washed with PBS and stained with FITC-conjugated annexin V and propidium iodide (PI) according to the manufacturer's instructions (Genzyme, Cambridge, MA). Flow cytometric analysis was performed with a FACScan, or three-color sorting was performed with an Epics Elite (Coulter). After gating PI (–) viable cells, annexin V (+) and CD11b (–) cells were analyzed and sorted for morphological evaluation. Annexin V (+) and PI (–) cells were considered to be in the early stage of apoptosis [28].

### 2.7. DNA extraction and agarose gel electrophoresis

DNA was extracted as previously reported but with slight modification [29]. Briefly, cell pellets were suspended in 1 ml TEN solution (10 mM Tris-Cl (pH 7.4), 25 mM EDTA, 100 mM NaCl). After addition of 100  $\mu$ l 10% sodium dodecyl sulfate (SDS), 30  $\mu$ g RNase A (Sigma), and 1 mg proteinase K (Sigma), the reactions were incubated overnight at 55 °C. DNA was extracted with phenol and precipitated with sodium acetate and isopropanol, and then DNAs (2  $\mu$ g per sample) were separated by electrophoresis on 2% agarose gels, and stained with ethidium bromide.

### 2.8. Transfection assays of ligand-dependent transcriptional activity of PML-RAR $\alpha$ chimeric protein

A full length of cDNA clone of PML-RAR $\alpha$  with Arg611Trp mutation was derived from pCMX expression vector harboring a short form of the wild type PML-RAR $\alpha$  cDNA using a site-directed mutagenesis kit (Stratagene, Cambridge, United Kingdom) [13]. The wild-type and mutant cDNA clones were used in transient transfection experiments for evaluating in vitro ligand-dependent transcriptional activity. COS-1 cells were seeded in six-well plates at  $2 \times 10^5$  cells per well in 2 ml DMEM with 10% FBS 1 day prior to transfection. Transfection was performed with the Lipofectamine Plus kit (Gibco-BRL) as described previously [13]. Briefly, the transfection mixture (200  $\mu$ l Opti-MEM I, 0.4  $\mu$ g of pCMX expression vector encoding the short form of wild-type or mutant (Arg611Trp) PML-RAR $\alpha$ , 0.4  $\mu$ g of R140-Luciferase reporter plasmid, 0.2  $\mu$ g of pCMV- $\beta$ -galactosidase reporter plasmid, 6  $\mu$ l PLUS reagent, and 6  $\mu$ l Lipofectamine reagent) was overlaid onto cells and incubated for 6 h at 37 °C. The transfection mixture was then replaced with 2 ml DMEM containing 10% FBS and ATRA, 9CRA, or HX600 was added at various concentrations indicated. After 48 h of incubation, the cells were lysed in 200  $\mu$ l Report Lysis Buffer (Promega) and centrifuged at 15,000 rpm for 2 min, and then 20  $\mu$ l of supernatant of the cell lysate was mixed with 100  $\mu$ l luciferase assay reagent (Promega), and luciferase activity was measured with a luminometer (Atto Co., Tokyo, Japan). Values were normalized in reference to  $\beta$ -gal activity. For the analysis of the dominant-negative action of mutant PML-RAR $\alpha$ , pCMX expression vectors encoding normal RAR $\alpha$  mixed with that encoding wild-type or mutant-form PML-RAR $\alpha$  chimeric gene, or pCMX vector alone (total 0.4  $\mu$ g with a weight ratio of 1:3, respectively) were transfected into COS-1 cells with same protocol.

### 2.9. Statistical analysis

Student's *t*-test was used to determine statistical significance. Probability (*P*) values lower than 0.05 were considered significant.

## 3. Results

### 3.1. Time course of cell growth, viability, and NBT reduction of UF-1 cells induced by ATRA or 9CRA

Growth of UF-1 cells was slow (doubling time: 96 h), and proliferation was inhibited in the presence of 1  $\mu$ M, but not  $\leq$ 100 nM, ATRA after 4 days of culture (Fig. 1A), whereas growth inhibition was observed in the presence of 9CRA at 1  $\mu$ M and 100 nM after 4 days of culture (Fig. 1B). Viability of UF-1 cells was markedly decreased after 6 days of culture in the presence of 1  $\mu$ M ATRA or 9CRA (Fig. 1C and D). Viability of UF-1 remained above 90% in the presence of ATRA at doses below 100 nM, whereas cell viability decreased slightly in the presence of 100 nM 9CRA (Fig. 1C and D).

### 3.2. Morphology and NBT reduction of UF-1 in the presence of ATRA or 9CRA

Morphological analysis of UF-1 cells treated with 1  $\mu$ M ATRA for 8 days revealed myeloid maturation and formation of apoptotic bodies, suggestive of differentiation with apoptosis (Fig. 2A). NBT Reduction by UF-1 cells was not observed at  $\leq$ 100 nM, but it was increased at 1  $\mu$ M ATRA, while NBT reduction was observed at 1  $\mu$ M and 100 nM of 9CRA in a dose dependent manner (Fig. 1E and F). At 8 days of culture, the degree of NBT reduction induced by 10 nM or 100 nM 9CRA was low but significantly higher than that induced by 10 nM or 100 nM ATRA ( $P < 0.01$ ) (Fig. 2B). Similar findings were observed for expression of CD11b (data not shown).

### 3.3. Apoptosis of UF-1 cells induced by ATRA and 9CRA

Morphological evaluation revealed that ATRA at 1  $\mu$ M, but not  $\leq$ 100 nM, induced apoptosis of UF-1 cells, whereas 9CRA at  $\geq$ 100 nM induced apoptosis in a dose-dependent manner (Fig. 3). Examination of DNA ladder formation confirmed that apoptosis occurred in response to 1  $\mu$ M ATRA and  $\geq$ 100 nM 9CRA (Fig. 4).

### 3.4. Effect of HX600 on ATRA-sensitivity of UF-1 cells

To evaluate the involvement of RXR activation in altered ATRA sensitivity, UF-1 cells were cultured in the presence of 100 nM ATRA, 1  $\mu$ M HX600, or both. NBT reduction and apoptosis were not observed in response to ATRA or HX600 alone. However, in the presence of both compounds, the percentages of cells showing NBT reduction and apoptosis increased to  $14.1 \pm 2.6\%$  and  $8.3 \pm 2.8\%$ , respectively, which are levels similar to those induced by 100 nM 9CRA (Fig. 5).

### 3.5. Ligand-dependent transcriptional activity

As described previously [25], UF-1 cells contain a mutation (Arg611Trp) in the RAR $\alpha$  E-domain of the

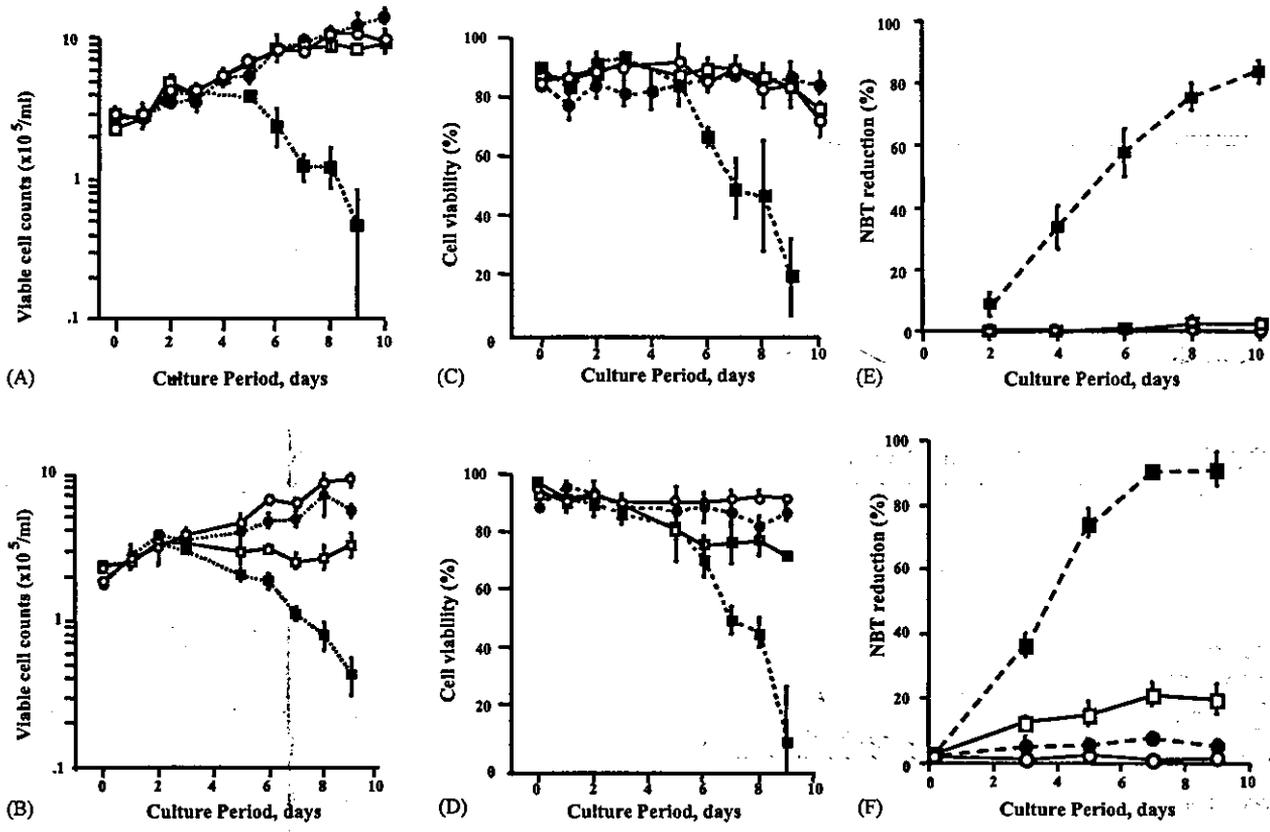


Fig. 1. Time course of viable cell number (A, B), cell viability (C, D) and NBT reduction (E, F) for UF-1 cells cultured in the absence (○) or presence of ATRA (A, C, E) or 9CRA (B, D, F). RA doses were: 10 nM (●), 100 nM (□), and 1 μM (■). NBT reduction analysis was performed with exclusion of dead cells on slides. Results are mean ± S.D. of three experiments.

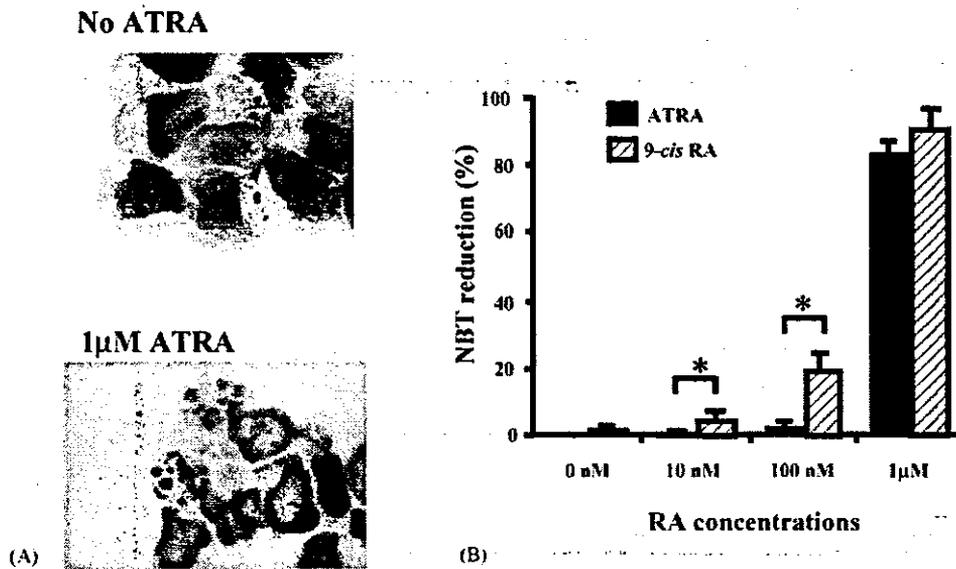


Fig. 2. (A) Morphological changes in UF-1 cells cultured for 8 days in the absence or presence of 1 μM ATRA. Wright-Giemsa staining of cells (1000× magnification). (B) NBT reduction in UF-1 cells treated with ATRA or 9CRA. After 8 days of culture in the presence of different concentrations of ATRA or 9CRA, UF-1 cells were tested for NBT reduction. Bars are mean ± S.D. of three experiments (\*P < 0.05).