

TABLE IV. Best Response of PSA, ICTP, Bone Scan, and Measurable Disease During Combination Therapy

Type of response (no. of evaluable patients)	No. of patients (%)
PSA response (n = 11)	
≥50% decline	8 (73)
<50% decline	2 (18)
Total	10 (91)
ICTP response (n = 10)	
≥50% decline	1 (10)
<50% decline	8 (80)
Total	9 (90)
Bone scan response (n = 10)	
≥50% decline of %PABS	0
<50% decline of %PABS	0
Total	0
Measurable disease response (n = 2)	
≥50% decline	0
<50% decline	1 (50)
Total	1 (50)

patients had bone metastases. There was no improvement of bone metastases, although 1 of 10 patients with bone metastases showed a serum ICTP level decrease of ≥50%.

At present, three patients have died and all deaths were attributed to prostate cancer or metastases. The median follow-up for all patients was 14 months, ranging from 8 to 24 months. The median survival rate has not been calculated. At 12 months, 64% of patients were still alive.

DISCUSSION

The results of this study suggest a benefit of combination of peptide vaccination and a low dose (280 mg/day) of estramustine phosphate in patients with metastatic HRPC who had received the prior vaccination. Combination of immunotherapy and cytotoxic drugs is not a new concept [28], but there have been major concerns about a negative interaction which might take place due to the myelosuppressive properties of many cytotoxic drugs. Cytotoxic drugs also preferentially kill cells in division, a hallmark of an activated immune system, and therefore could inhibit immune responses. However, myelosuppression has rarely been reported as a toxicity of estramustine phosphate in patients treated for HRPC [16]. In a Phase III study of estramustine phosphate combined with vinblastine versus vinblastine alone, the rate of neutropenia was lower in the combination arm versus the monotherapy arm (Grades 2, 3, 4: 7%, 1%, and 1% vs. 27%, 18%, and 9%, respectively) [3]. In addition, the

present study demonstrated that cellular and humoral responses were well maintained in all patients with metastatic HRPC during the combination of peptide vaccination and a low-dose estramustine phosphate. The present results showed that augmentation of peptide-specific CTL precursors was observed in 6 of 11 patients and induction of peptide-specific IgG was observed in 10 of 11 patients. There was no significant immune suppression in any of 11 patients when the peptide and low dose of estramustine phosphate were administered. Further studies with a relatively large number of patients are recommended to confirm the results from this small-scale study.

Defining the expression of tumor antigens on prostate cancers of different stages is the crucial first step in selecting targets for specific immunotherapy [29–31]. The present approach in immunotherapy for HRPC patients used a new strategy of a pre-vaccination measurement of peptide-specific CTL precursors in the circulation of cancer patients, followed by administration of up to four peptides that had been reactive for pre-vaccination measurement among 30 vaccine candidates (patient-oriented vaccination). Previous results from a Phase I study demonstrated that patient-oriented vaccination is feasible, safe, and immunologically active but the clinical response has been largely limited [15]. It has been known for some time that the malignant transformation of cells is associated with altered HLA Class I expression and/or function, and that these abnormalities can provide tumor cells with avenues of escape from immune recognition. In contrast to the normal HLA Class I expression of the benign tissue, complete loss of HLA Class I expression was reported in 34% of primary prostate cancer cells and 80% of prostate cancer cells of lymph node metastases [32]. Therefore, HLA Class I antigen down-regulation in prostate cancer may have a negative impact on the outcome of T-cell-based immunotherapy because they provide malignant cells with a mechanism by which to escape T-cell recognition. It is suggested that additive antitumor effects could be achieved by the combination of T-cell-based immunotherapy and cytotoxic agents with minimum immunosuppression. In the present study, PSA responses were observed in patients who experienced disease progression prior to estramustine phosphate or peptide vaccination, supporting the hypothesis that this combination works by additive antitumor effects. However, the exact mechanism of this interaction is unclear. Further studies on this mechanism are needed.

The overall response rate (73%) defined as a serum PSA level decrease of ≥50% is significantly higher than those seen in previously reported Phase I/II studies of immunotherapy such as the combination of interferon- α and interleukin-2 therapy (31%) [33] or the infusion of

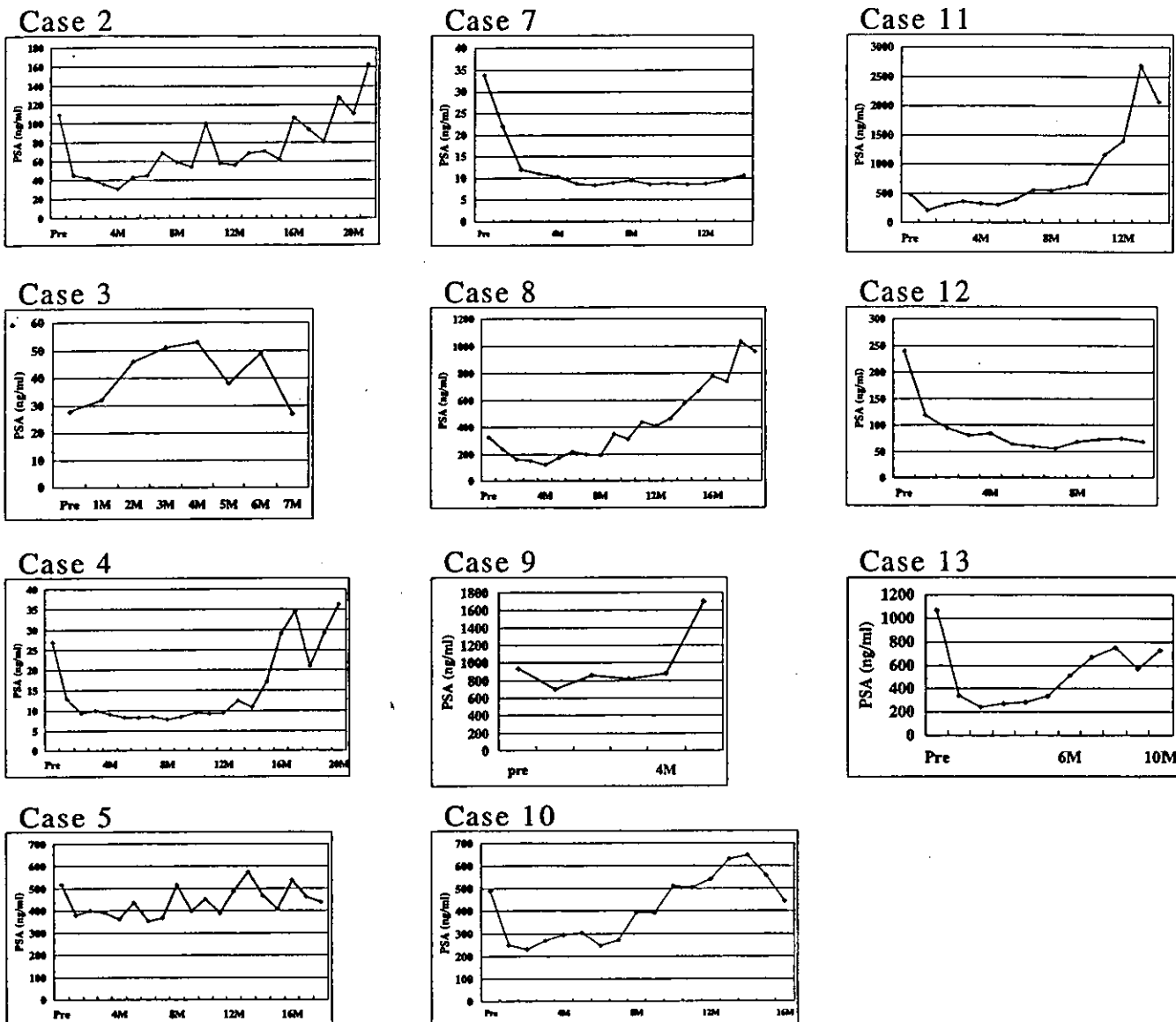


Fig. 3. Serial changes of PSA levels in 13 patients during the study. Ten of 13 (94%) patients showed serum PSA level decrease from baseline during the combination therapy.

dendritic cells primed with peptides of prostate-specific membrane antigen (27%) [11,12]. Moreover, it is also comparable with the response rate in recently reported chemotherapy trials with combinations such as estramustine and paclitaxel (53%) [6], estramustine and docetaxel (62%) [7], and the three-drug combination of estramustine, paclitaxel, and carboplatin (67%) [8]. In terms of measurable disease, the overall response rate appears somewhat lower than that reported with those chemotherapy regimens because few patients in the present study had measurable soft tissue disease. Although, the majority of patients showed decreased bone turnover marker (ICTP) that were proposed as a modality for monitoring bone metastasis in patients

with prostate cancer with bone metastasis [34], there was no improvement of bone metastasis on bone scans. Possible explanations for this discrepancy are that bone scans are an insensitive tool or that the duration of the treatment was too short to affect the disease in bone where it can be more resistant to therapy.

The toxicity of the combination regimen reported here was less and this treatment was considered acceptable in the treatment of the vast majority of metastatic HRPc. The most common toxicities were dermatologic reactions at the injection site of the vaccination. Importantly, there was no hematologic toxicity nor neuropathy reported in estramustine-based or taxane-based chemotherapy regimens, and

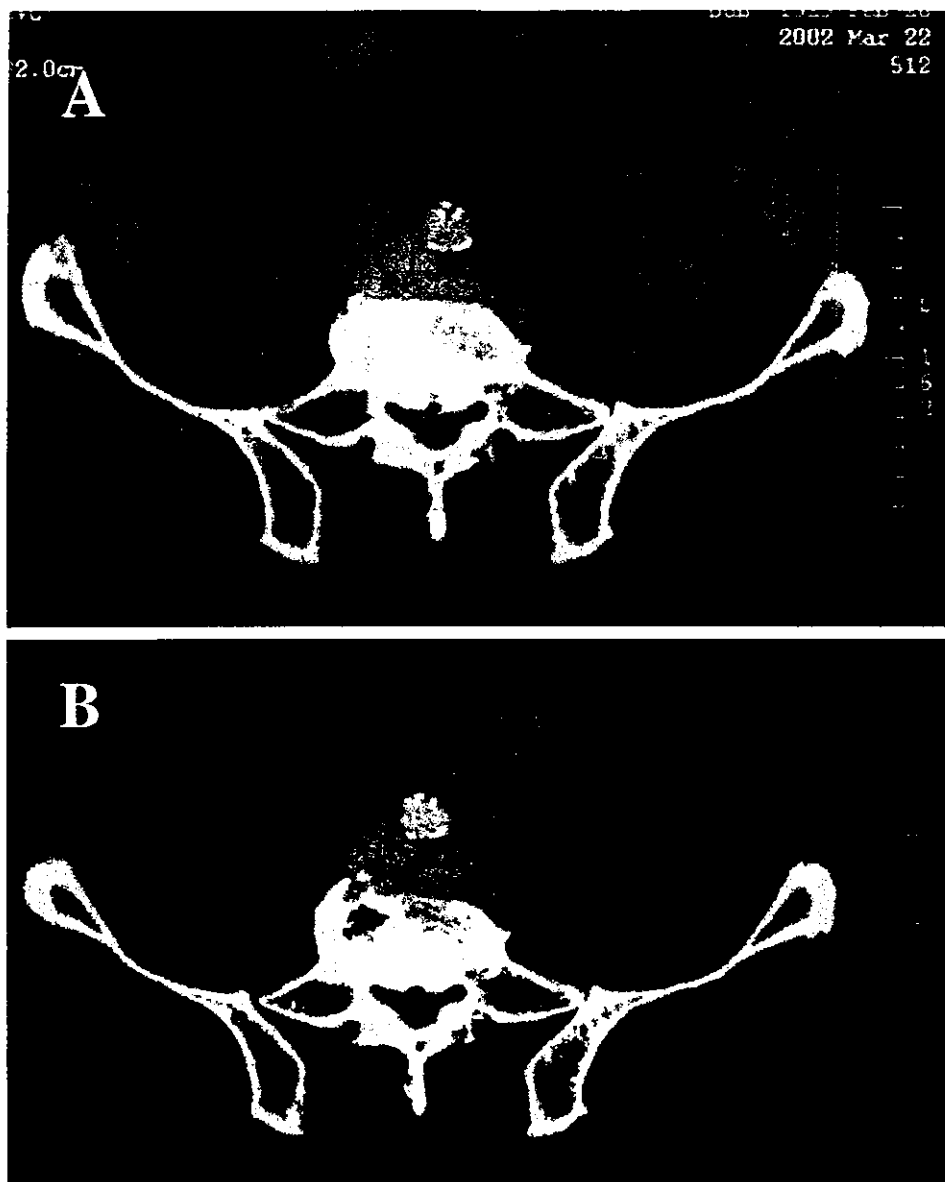


Fig. 4. CT images of Case 12. **A:** Para-aortic lymph node metastasis (arrow) was detected by a CT scan at the beginning of combination therapy. **B:** A repeat CT scan after 8 months with the combination therapy showed a 44% decrease in the size of lymph node metastasis (arrow).

they were dose-limiting toxicities [4–8]. The common toxicities of estramustine treatment include nausea, vomiting, peripheral edema, and vascular events [16].

The combination of patient-oriented vaccination and low-dose estramustine phosphate was associated with a serum PSA level decrease of $\geq 50\%$ in 73% of the metastatic HRPc who had received the prior peptide-vaccination. Based on these preliminary findings, larger Phase II studies of this regimen are warranted.

REFERENCES

1. Tannock IF, Osoba D, Stockler MR, Ernst DS, Neville AJ, Moore MJ, Armitage GR, Wilson JJ, Venner PM, Coppin CM, Murphy KC. Chemotherapy with mitoxantrone plus prednisone or prednisone alone for symptomatic hormone-resistant prostate cancer: A Canadian randomized trial with palliative end points. *J Clin Oncol* 1996;14:1756–1764.
2. Kantoff PW, Halabi S, Conaway M, Picus J, Kirshner J, Hars V, Trump D, Winer EP, Vogelzang NJ. Hydrocortisone with or without mitoxantrone in men with hormone-refractory prostate cancer: Results of the cancer and leukemia group B 9182 study. *J Clin Oncol* 1999;17:2506–2513.
3. Hudes G, Einhorn L, Ross E, Balsham A, Loehrer P, Ramsey H, Sprandio J, Entmacher M, Dugan W, Ansari R, Monaco F, Hanna M, Roth B. Vinblastine versus vinblastine plus oral estramustine phosphate for patients with hormone-refractory prostate cancer: A Hoosier Oncology Group and Fox Chase Network phase III trial. *J Clin Oncol* 1999;17:3160–3166.

4. Smith DC, Esper P, Strawderman M, Redman B, Pienta KJ. Phase II trial of oral estramustine, oral etoposide, and intravenous paclitaxel in hormone-refractory prostate cancer. *J Clin Oncol* 1999;17:1664-1671.
5. Beer TM, Pierce WC, Lowe BA, Henner WD. Phase II study of weekly docetaxel in symptomatic androgen-independent prostate cancer. *Ann Oncol* 2001;12:1273-1279.
6. Hudes GR, Nathan F, Khater C, Haas N, Cornfield M, Giantonio B, Greenberg R, Gomella L, Litwin S, Ross E, Roethke S, McAleer C. Phase II trial of 96-hour paclitaxel plus oral estramustine phosphate in metastatic hormone-refractory prostate cancer. *J Clin Oncol* 1997;15:3156-3163.
7. Ellerhorst JA, Tu SM, Amato RJ, Finn L, Millikan RE, Pagliaro LC, Jackson A, Logothetis CJ. Phase II trial of alternating weekly chemohormonal therapy for patients with androgen-independent prostate cancer. *Clin Cancer Res* 1997;3:2371-2376.
8. Kelly WK, Curley T, Slovin S, Heller G, McCaffrey J, Bajorin D, Ciolino A, Regan K, Schwartz M, Kantoff P, George D, Oh W, Smith M, Kaufman D, Small EJ, Schwartz L, Larson S, Tong W, Scher H. Paclitaxel, estramustine phosphate, and carboplatin in patients with advanced prostate cancer. *J Clin Oncol* 2001;19:44-53.
9. van der Bruggen P, Traversari C, Chomez P, Lurquin C, De Plaen E, Van den Eynde B, Knuth A, Boon T. A gene encoding an antigen recognized by cytolytic T lymphocytes on a human melanoma. *Science* 1991;254:1643-1647.
10. Kawakami Y, Eliyahu S, Sakaguchi K, Robbins PF, Rivoltini L, Yannelli JR, Appella E, Rosenberg SA. Identification of the immunodominant peptides of the MART-1 human melanoma antigen recognized by the majority of HLA-A2-restricted tumor infiltrating lymphocytes. *J Exp Med* 1994;180:347-352.
11. Tjoa BA, Simmons SJ, Bowes VA, Ragde H, Rogers M, Elgamal A, Kenny GM, Cobb OE, Ireton RC, Troychak MJ, Salgaller ML, Boynton AL, Murphy GP. Evaluation of phase I/II clinical trials in prostate cancer with dendritic cells and PSMA peptides. *Prostate* 1998;36:39-44.
12. Salgaller ML, Lodge PA, McLean JG, Tjoa BA, Loftus DJ, Ragde H, Kenny GM, Rogers M, Boynton AL, Murphy GP. Report of immune monitoring of prostate cancer patients undergoing T-cell therapy using dendritic cells pulsed with HLA-A2-specific peptides from prostate-specific membrane antigen (PSMA). *Prostate* 1998;35:144-151.
13. Mine T, Gouhara R, Hida N, Imai N, Azuma K, Rikimaru T, Katagiri K, Nishikori Misa, Sukehiro A, Nakagawa M, Yamada A, Aizawa H, Shirozu K, Itoh K, Yamana H. Immunological evaluation of CTL precursor-oriented vaccine for advanced lung cancer patients. *Cancer Sci* 2003;94:548-556.
14. Tanaka S, Harada M, Mine T, Noguchi M, Gohara R, Azuma K, Yamada A, Morinaga A, Nishikori M, Katagiri K, Itoh K, Yamana H, Hashimoto T. Peptide vaccination for patients with melanoma and other types of cancers based on pre-existing peptide-specific cytotoxic T lymphocyte precursors in periphery. *J Immunother* 2003;26:357-366.
15. Noguchi M, Kobayashi K, Suetugu N, Tomiyasu K, Suekane S, Yamada A, Itoh K, Noda S. Induction of cellular and humoral immune responses to tumor cells and peptides in HLA-A24 positive hormone-refractory prostate cancer patients by peptide vaccination. *Prostate* 2003;57:80-92.
16. Perry CA, McTavish D. Estramustine phosphate sodium. A review of its pharmacodynamic and pharmacokinetic properties, and therapeutic efficacy in prostate cancer. *Drugs Aging* 1995;7:49-74.
17. Shichijo S, Nakao M, Imai Y, Takasu H, Kawamoto M, Niiya F, Yang D, Toh Y, Yamana H, Itoh K. A gene encoding antigenic peptides of human squamous cell carcinoma recognized by cytotoxic T lymphocytes. *J Exp Med* 1998;187:277-288.
18. Nakao M, Shichijo S, Imaizumi T, Inoue Y, Matsunaga K, Yamada A, Kikuchi M, Tsuda N, Ohta K, Takamori S, Yamana H, Fujita H, Itoh K. Identification of gene coding for a new squamous cell carcinoma antigen recognized by the CTLs. *J Immunol* 2000;164:2565-2574.
19. Yang D, Nakao M, Shichijo S, Sasatomi T, Takasu H, Matsumoto H, Mori K, Hayashi A, Yamana H, Shirouzu K, Itoh K. Identification of a gene coding for a protein possessing shared tumor epitopes capable of inducing HLA-A24-restricted cytotoxic T lymphocytes in cancer patients. *Cancer Res* 1999;59:4056-4063.
20. Gomi S, Nakao M, Niiya F, Imamura Y, Kawano K, Nishizaka S, Hayashi A, Sobao Y, Oizumi K, Itoh K. A cyclophilin B gene encodes antigenic epitopes recognized by HLA-A24-restricted and tumor-specific cytotoxic T lymphocytes. *J Immunol* 1999;163:4994-5004.
21. Maeda Y, Ito M, Harashima N, Nakatsura T, Hida N, Imai N, Sato Y, Shichijo S, Todo S, Itoh K. Cleavage and polyadenylation specificity factor (CPSF)-derived peptides can induce HLA-A2-restricted and tumor-specific CTLs in the majority of gastrointestinal cancer patients. *Int J Cancer* 2002;99:409-417.
22. Suzuki N, Maeda Y, Tanaka S, Hida N, Mine T, Yamamoto K, Oka M, Itoh K. Detection of peptide-specific cytotoxic T lymphocyte precursors used for specific immunotherapy of pancreatic cancer. *Int J Cancer* 2002;98:45-50.
23. Miyagi Y, Imai N, Sasatomi T, Yamada A, Mine T, Katagiri K, Nakagawa M, Muto A, Okouchi S, Isomoto H, Shirouzu K, Yamana H, Itoh K. Induction of cellular immune response to tumor cells and peptides in colorectal cancer patients by vaccination with SART3 peptides. *Clin Cancer Res* 2001;7:3950-3962.
24. Hida N, Maeda Y, Katagiri K, Takasu H, Harada M, Itoh K. A simple culture protocol to detect peptide-specific cytotoxic T lymphocyte precursors in circulation. *Cancer Immunol Immunother* 2002;51:219-228.
25. Kaech SM, Ahmed R. CD8 T cells remember with a little help. *Science* 2003;300:263-265.
26. Noguchi M, Noda S. Pyridinoline cross-linked carboxyterminal telopeptide of type I collagen as a useful marker for monitoring metastatic bone activity in men with prostate cancer. *J Urol* 2001;166:1106-1110.
27. Noguchi M, Kikuchi H, Ishibashi M, Noda S. Percentage of the positive area of bone metastasis is an independent predictor of the disease death in advanced prostate cancer. *Br J Cancer* 2003;88:195-201.
28. Mitcell MS. Chemotherapy in combination with biomodulation: A 5 year experience with cyclophosphamide and IL-2. *Semin Oncol* 1992;19(Suppl 2):80-87.
29. Zhang S, Cordon-Cardo C, Zhang HS, Reuter VE, Adluri S, Hamilton WB, Lloyd KO, Livingston PO. Selection of tumor antigens as targets for immune attack using immunohistochemistry. I. Focus on gangliosides. *Int J Cancer* 1997;73:42-49.
30. Zhang S, Zhang HS, Cordon-Cardo C, Reuter VE, Singhal AK, Lloyd KO, Livingston PO. Selection of tumor antigens as targets for immune attack using immunohistochemistry. II. Blood group-related antigens. *Int J Cancer* 1997;73:50-56.
31. Zhang S, Zhang HS, Reuter VE, Slovin SF, Scher HI, Livingston PO. Expression of potential target antigens for immunotherapy

- on primary and metastatic prostate cancers. *Clin Cancer Res* 1998;4:295-302.
32. Blades RA, Keating PJ, McWilliam LJ, George NJ, Stern PL. Loss of HLA class I expression in prostate cancer: Implications for immunotherapy. *Urology* 1995;46:681-687.
33. Maffezzini M, Simonato A, Fortis C. Salvage immunotherapy with subcutaneous recombination interleukin 2 (rIL-2) and alpha-interferon (A-INF) for stage D3 prostate carcinoma failing second-line hormonal treatment. *Prostate* 1996;28:282-286.
34. Noguchi M, Yahara J, Noda S. Serum levels of bone turnover markers parallel the results of bone scintigraphy in monitoring bone activity of prostate cancer. *Urology* 2003;61:993-998.

A Prostate Stem Cell Antigen-Derived Peptide Immunogenic in HLA-A24⁺ Prostate Cancer Patients

Satoko Matsueda,¹ Akihisa Yao,¹ Yuki Ishihara,¹ Rika Ogata,¹
Masanori Noguchi,² Kyogo Itoh,¹ and Mamoru Harada^{1*}

¹Department of Immunology, Kurume University School of Medicine, Kurume, Fukuoka, Japan

²Department of Urology, Kurume University School of Medicine, Kurume, Fukuoka, Japan

BACKGROUND. We attempted to identify prostate stem cell antigen (PSCA)-derived peptides immunogenic in HLA-A24⁺ prostate cancer patients.

METHODS. Peripheral blood mononuclear cells (PBMCs) were stimulated in vitro with each of three different PSCA-derived peptides, which were prepared based on the HLA-A24 binding motif, and their peptide-specific and HLA-A24-restricted anti-tumor responses were examined. Plasma levels of immunoglobulin G (IgG) against PSCA peptides were measured by enzyme-linked immunosorbent assay (ELISA).

RESULTS. Among three PSCA peptides, the PSCA 76–84 peptide most effectively induced peptide-specific cytotoxic T lymphocytes (CTLs) from PBMCs of HLA-A24⁺ prostate cancer patients. Cytotoxicity was dependent on peptide-specific and CD8⁺ T cells. The PSCA 76–84 peptide-stimulated PBMCs showed a significant level of cytotoxicity against prostate cancer cells in an HLA-A24-restricted manner. IgG reactive to the PSCA 76–84 peptide was detected in half of patients.

CONCLUSIONS. The PSCA 76–84 peptide should be considered for use in clinical trials of immunotherapy for HLA-A24⁺ patients. *Prostate* 60: 205–213, 2004. © 2004 Wiley-Liss, Inc.

KEY WORDS: prostate cancer; PSCA; cytotoxic T lymphocytes; HLA-A24; peptide; antibody

INTRODUCTION

Prostate cancer is one of the most common cancers in older men [1]. Although hormone therapy can temporarily palliate prostate cancer, progression to hormone-refractory prostate cancer (HRPC) is observed in most cases [2]. Therefore, novel therapeutic modalities for the treatment of HRPC are required. One such therapy could be peptide-based immunotherapy, as recent advances in tumor immunology have enabled us to identify many genes encoding tumor antigens and their peptides that are recognized by cytotoxic T lymphocytes (CTLs) [3,4]. Regarding prostate-related antigens, several antigenic peptides derived from either prostate-specific antigen (PSA) [5–7], prostate-specific membrane antigen (PSMA) [8,9], or prostatic acid phosphatase (PAP) [10,11] have been identified. Some of these antigen-derived peptides have been used in the treatment of prostate cancer patients, but the clinical responses observed thus far have been unsatisfactory [12–14].

Prostate stem cell antigen (PSCA) is a recently identified antigen expressed on the cell surface of

Abbreviations: CTLs, cytotoxic T lymphocytes; ELISA, enzyme-linked immunosorbent assay; HIV, human immunodeficiency virus; HRPC, hormone-refractory prostate cancer; IFN, interferon; IL, interleukin; Ig, immunoglobulin; mAb, monoclonal antibody; OD, optical density; PAP, prostatic acid phosphatase; PBMCs, peripheral blood mononuclear cells; PSA, prostate-specific antigen; PSCA, prostate stem cell antigen; PSMA, prostate-specific membrane antigen.

Grant sponsor: Ministry of Health, Labor, and Welfare of Japan (for Cancer Research (15–17)); Grant sponsor: Ministry of Education, Science, Sports, and Culture of Japan.

*Correspondence to: Mamoru Harada, MD, PhD, Department of Immunology, Kurume University School of Medicine, 67 Asahimachi, Kurume, Fukuoka 830-0011, Japan.

E-mail: haramamo@med.kurume-u.ac.jp

Received 7 October 2003; Accepted 2 December 2003

DOI 10.1002/pros.20038

Published online 2 February 2004 in Wiley InterScience

(www.interscience.wiley.com).



Report

Identification of HER2/*neu*-derived peptides capable of inducing both cellular and humoral immune responses in HLA-A24 positive breast cancer patients

Koichi Azuma¹, Shigeki Shichijo¹, Hiroki Shomura^{1,2}, Satoko Matsueda^{1,2}, Teruhiko Fujii², and Kyogo Itoh¹

¹Department of Immunology, ²Department of Surgery, Kurume University School of Medicine, Kurume, Japan

Key words: Ab, cancer vaccine, CTL, HER2/*neu*, peptides

Summary

HER2/*neu*-specific cellular and humoral immune responses are often detected in breast cancer patients, but identification of more immunogenic CTL epitope peptides is necessary prior to development of a cancer vaccine. There is accumulating evidence of strong immunogenicity of peptides capable of inducing both cellular and humoral immune responses. To identify such peptides, this study intended to determine HER2/*neu*-derived peptides capable of inducing both cellular and humoral immunity in HLA-A24⁺ breast cancer patients. IgGs reactive to the HER2_{342–350}, HER2_{485–493}, and HER2_{553–561} peptides were detected in the sera of these patients with the frequency of 47, 24, and 24%, respectively. These peptides also induced peptide-specific and tumor-reactive CTL activity in the peripheral blood mononuclear cells of HLA-A24⁺ breast cancer patients with the frequency of 50, 63, and 25%, respectively, but such activity was not induced from any HLA-A24⁻ patients. Cellular and humoral responses to each of these three peptides were also observed in PBMCs and sera from the other epithelial cancer patients. These results may provide a scientific basis for new clinical trials of HER2/*neu*-peptide-based immunotherapy for breast cancer and also other epithelial cancer patients.

Abbreviations: aa: amino acid; ELISA: enzyme-linked immuno-sorbent assay; E/T, effector to target; IL: interleukin; nt: nucleotide; PBMC: peripheral blood mononuclear cells; PHA: phytohemagglutinin

Introduction

HER2/*neu* is a 185-kD trans-membrane glycoprotein that has tyrosine kinase activity [1, 2]. Over-expression of HER2/*neu* is observed in 30–40% of breast and ovarian cancers in association with poor prognosis [3, 4]. Cellular and humoral immune responses to HER2/*neu* antigen were found to be detectable in substantial proportions of breast and ovarian cancer patients [5, 6]. Administration of herceptin, a humanized anti-HER2/*neu* mAb, resulted in tumor regression in breast cancer patients whose tumors over-expressed the antigen [4, 7]. In view of development of peptide-based cancer therapy, some immunogenic HER2/*neu*-peptides capable of inducing HLA-A allele (-A2, -A3, -A24)-restricted CTLs have been reported

in the past decade [6, 8–11]. However, as far as we know, these peptides induced CTLs failed to recognize tumor cells *in vivo* in the initial clinical trials [12]. This failure could indicate weak immunogenicity of these peptides. Notably, there is a line of evidence suggesting strong immunogenicity of peptides capable of inducing both cellular and humoral immune responses [13–15]. We previously reported that some CTL-directed peptides demonstrated the ability to elicit both cellular and humoral immune responses in clinical studies [16, 17]. Further, levels of anti-peptide Ab in post-vaccination sera were well correlated with the overall survival of advanced lung cancer patients who received peptide vaccination [17]. Therefore, HER2/*neu*-peptides capable of inducing both cellular and humoral responses may be more im-

munogenic than those of cellular response alone. We have attempted in this study to identify such peptides, and report the three peptides that may be considered as new vaccine candidates for HLA-A24⁺ patients with breast cancer and other epithelial cancers.

Materials and methods

Samples and cell lines. After informed consent had been obtained, sera, peripheral blood mononuclear cells (PBMCs), and tissues were collected from 17 patients diagnosed with breast cancer at Kurume University Hospital. Expression of HER2/*neu* antigen in these breast cancer tissues were investigated by two pathologists at Kurume University Hospital using an immuno-histochemistry test (HercepTestTM, Japan Roche, Tokyo) [18]. In this study, cancer tissues with scores of 0 or 1+ were judged as negative for HER2/*neu*, and those with a score of 2 or 3 positive for HER2/*neu*. PBMCs and sera were also obtained from eight female healthy donors (HIDs). After informed consent had been obtained, sera and PBMCs were also collected from 30 cancer patients (14 patients with prostate cancer, 8 colon cancer, 3 cervical cancer, 3 gastric cancer, 2 breast cancer) to study their responses to HER2/*neu*-derived peptides. All sera were stored at -80°C , while PBMCs were cryopreserved at -196°C until use. HER2/*neu*-expressing human ovarian cancer cell line SKOV3 (HLA-A3/28) and its HLA-A24-transfected SKOV3-A24 were kindly provided by Dr Hiroshi Shiku (Mie University, Mie, Japan) [10]. C1R-A2402 (an HLA-2402 transfectant cell line) was kindly provided by Dr Masafumi Takiguchi (Kumamoto University, Kumamoto, Japan). Phytohemagglutinin (PHA)-blastoid T cells from PBMCs were used as a negative control of target cells for a ^{51}Cr -release assay.

Peptides and quantification of peptide-specific IgG. Peptides were purchased from BioSynthesis (Lewisville, TX). Among them, HER2₈₋₁₆ and HER2₆₃₋₇₁ had been reported as CTL peptides recognized by the HLA-A24-restricted CTLs [10, 11]. Because no subject in this study was a carrier of HIV, an HIV peptide (RYLRDQQLL) with HLA-A24 binding motif was used as a negative control. Peptide-specific IgG levels in sera were measured by enzyme-linked immunosorbent assay (ELISA) as reported previously [19]. In brief, serum samples were serially diluted with 0.05% Tween 20-Block Ace (M1:GMII.K, Hokkaido,

Japan), and 100 μl /well of diluted serum were added to the peptide (20 μg /well)-immobilized Nunc Covalink plates (Roskilde, Denmark). Antibodies (Ab) were detected with rabbit anti-human IgG (γ -chain-specific) (DAKO, Glostrup, Denmark). For determining the sensitivity limit of the ELISA, sera from 14 healthy (HIV-negative) donors were measured for their reactivity to HIV peptide, taken as a negative control peptide, by the assays. The mean \pm SD of optical density by ELISA was indicated at 0.040 ± 0.030 . The mean \pm SD value (0.070) was determined as the cut-off value. To test the specificity of anti-peptide activity in each serum sample, 100 μl /well of serum samples ($\times 100$ dilution with 0.05% Tween 20-Block Ace) were absorbed with immobilized peptides (20 μg /well) in wells of the plate for 2 h at 37°C . The absorption was repeated three times followed by testing of the activity with ELISA.

CTL induction. For induction of peptide-specific CTLs, PBMCs (1×10^5 cells/well) were incubated with 10 μM of each peptide in the wells of a 96-well U-bottom microculture plate (Nunc) in 200 μl culture medium containing IL-2, as reported previously [16]. On the 14th day of culture, the cells were harvested, washed, and tested for their ability to produce IFN- γ in response to C1R-A24 pulsed with a corresponding peptide or a negative control peptide (HIV). After an 18-h incubation, the supernatant was collected for measurement of IFN- γ by ELISA. The PBMCs showing a positive response were collected and further cultured with IL-2 alone for 10–14 days for a standard 6 h ^{51}Cr -release assay [16]. For the inhibition test, 20 $\mu\text{g}/\text{ml}$ of anti-HLA-class I (W6/32, IgG2a), anti-HLA-A2 (BB7.2, IgG2b), anti-CD8 (Nu-Ts/c, IgG2a), anti-HLA-class II (H-DR-1, IgG2a), and anti-CD4 (Nu-Th/i, IgG1) mAb were used. Anti-CD14 (JML-H14, IgG2a) mAb served as a control. A two-tailed Student's *t*-test was employed for the statistical analysis.

Results

Identification of IgG reactive HER2/*neu* peptides. We first investigated whether IgG reactive to HER2/*neu*-derived peptides with HLA-A24 binding motif could be detected in sera of 17 breast cancer patients (13 patients with HER2/*neu*-positive tumors and 4 patients with HER2/*neu*-negative tumors) and eight female HIDs. Significant levels of IgG react-

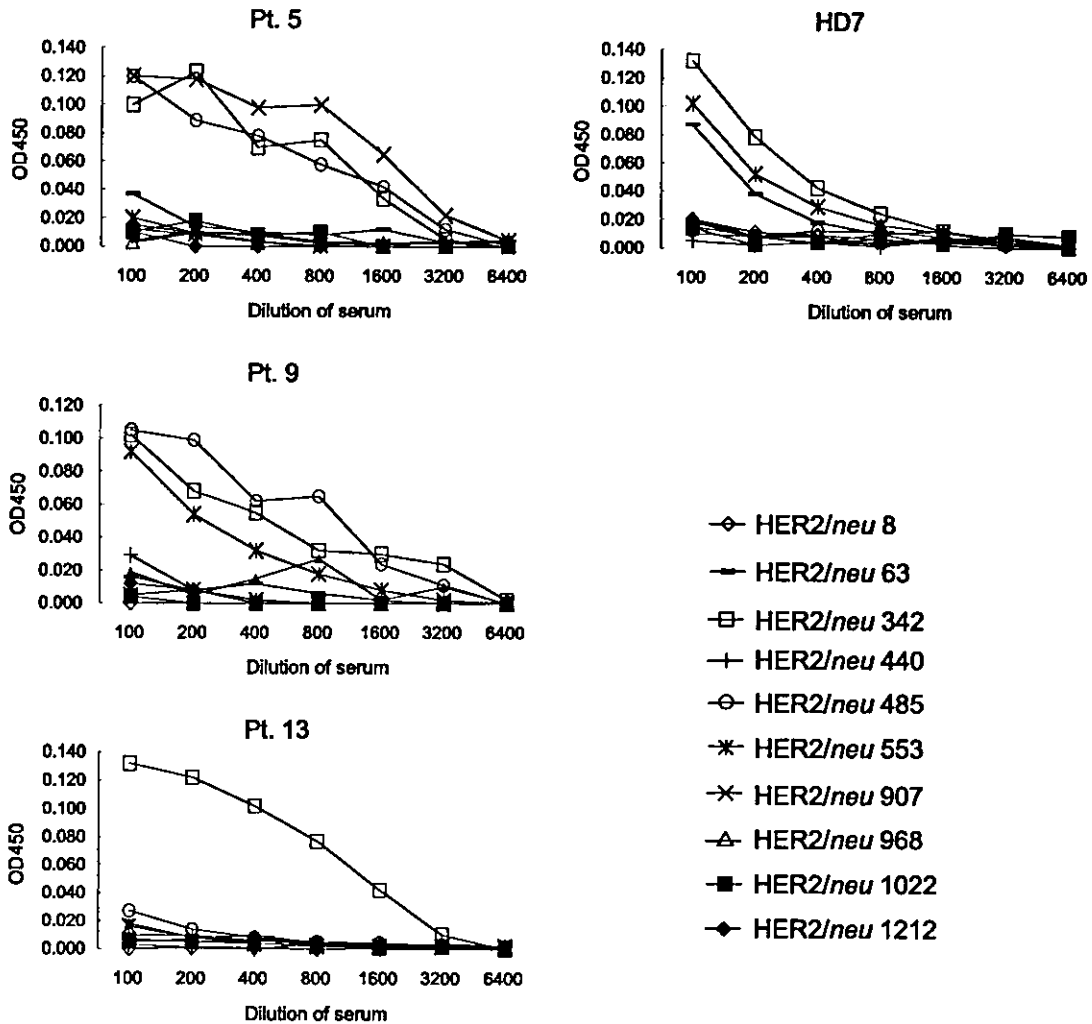


Figure 1. Detection of anti-HER2/neu peptide IgG. Optical density (OD) values of each sample were assayed in serially diluted samples to estimate peptide-specific IgG levels. The OD value against an irrelevant peptide (HIV) used as a negative control was subtracted from the data. The times-dilution of sera is shown on the horizontal axis. Representative results of Pts. 5, 9, and 13, and HD7 are shown.

ive to the HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, HER2₅₅₃₋₅₆₁, HER2₉₀₇₋₉₁₅, and HER2₉₆₈₋₉₇₆ peptides were detected in the sera of 8, 4, 4, 3, and 1 HLA-A24⁺ breast cancer patient, respectively. In all cases except one (Pt. 10) whose tumor was negative of HER2/neu, these positive sera were from patients with HER2/neu-positive tumor by an immuno-histochemistry test (data not shown). In contrast, significant levels of IgG reactive to the remaining four peptides, two of which had been reported to be recognized by the HLA-A24-restricted CTLs [10, 11], were entirely undetectable. Sera from 4, 1 and 1 of the 8 HDs tested

also showed the significant levels of IgG reactive to HER2₃₄₂₋₃₅₀, HER2₆₃₋₇₁, and HER2₅₅₃₋₅₆₁, respectively. Representative results of the four cases (Pts. 5, 9, and 13, and HD7) are shown in Figure 1, and a summary of the results is given in Table 1. The peptide specificity of anti-peptide IgG, except in the case of anti-HER2₅₅₃₋₅₆₁IgG, was confirmed by absorption test. Representative results are shown in Figure 2. In contrast, anti-HER2₅₅₃₋₅₆₁ activity was also absorbed with irrelevant peptides, including an HIV peptide used as a negative control, but it was not absorbed with the HIV peptide (PFRDYVDRI) with

Table 1. Humoral and cellular responses to the HER2/neu peptides

	HER2/neu over-expression	HLA	Responses to the HER2/neu peptides (data were shown as IFN- γ production (pg/ml)*OD at 450 nm in											
			HER2/neu 8	HER2/neu 63	HER2/neu 342	HER2/neu 440	HER2/neu 485	HER2/neu 553	HER2/neu 907	HER2/neu 968	HER2/neu 1022	HER2/neu 1212		
Pt. 2	HER2(+)	A33	5/4	-	-	-	-	0.107	0.101	0.0088	-	-	-	
Pt. 4	HER2(+)	A24	0/-	156/-	0.0093	0/-	102/-	0/-	0/-	0.0140	-	-	-	
Pt. 5	HER2(+)	A11/24	0/-	0/-	0.0137	0/-	0.0130	0.0120	0.0120	0.0120	-	-	-	
Pt. 6	HER2(+)	A2/24	0/-	0/-	103.0106	0/-	0/-	170/-	160/-	160/-	-	-	-	
Pt. 7	HER2(+)	A11/53	-	-	0.0095	-	-	-	-	-	-	-	-	
Pt. 8	HER2(+)	6	-	-	-	-	-	-	-	-	-	-	-	
Pt. 9	HER2(+)	A1/24	0/-	0/-	158.0.102	0/-	100.0.105	207.0.092	207.0.092	0/-	-	-	-	
Pt. 12	HER2(+)	HER2(-)	-	-	-	-	-	-	-	-	-	-	-	
Pt. 13	HER2(+)	A24	107/-	0/-	133.0.132	0/-	179/-	0/-	0/-	0/-	-	-	-	
Pt. 14	HER2(+)	A2/31	-	-	-	-	-	-	-	-	-	-	-	
Pt. 15	HER2(+)	A33	-	-	-	-	-	-	0.146	-	-	-	-	
Pt. 16	HER2(+)	A33/24	0/-	0/-	0.0116	0/-	128/-	0/-	0/-	0/-	-	-	-	
Pt. 17	HER2(+)	A2/24	-	-	-	-	-	-	-	-	-	-	-	
Pt. 1	HER2(-)	A2/6/31	-	-	-	-	-	-	-	-	-	-	-	
Pt. 3	HER2(-)	A2/26	-	-	-	-	-	-	-	-	-	-	-	
Pt. 10	HER2(-)	A3/24	0/-	100/-	122.0.146	0/-	132.0.339	0.0121	0.0121	0.0156	-	-	-	
Pt. 11	HER2(-)	A24	0/-	0/-	0/-	0/-	0/-	0/-	0/-	0/-	-	-	-	
HD1			-	-	-	-	-	-	-	-	-	-	-	
HD2		A2/24	157/-	0/-	0.0141	0/-	0/-	0/-	0/-	0/-	-	-	-	
HD3		A2/24	0/-	0/-	0.0092	0/-	0/-	0/-	0/-	0/-	-	-	-	
HD4			-	-	-	-	-	-	-	-	-	-	-	
HD5		A34/11	0/-	533/-	622.0.414	0/-	0/-	0/-	0/-	0/-	-	-	-	
HD6			-	-	-	-	-	-	-	-	-	-	-	
HD7		A34/11	0/-	163.0.087	124.0.136	0/-	102/-	0.0106	0.0106	0/-	-	-	-	
HD8		A24	0/-	0/-	0/-	0/-	0/-	0/-	0/-	0/-	-	-	-	
CTL induction		Pt. (n = 8)	1	2	4	0	5	2	2	1	0	0	0	
		HD (n = 5)	1	2	2	0	1	0	0	0	0	0	0	
Anti-peptides Abs		Pt. (n = 17)	0	0	8	0	4	4	3	1	0	0	0	
		HD (n = 8)	0	1	4	0	0	1	0	0	0	0	0	

^a PBMCs from HLA-A24+ breast cancer patients were *in vitro* stimulated with indicated HER2 peptides, as described in Materials and methods section. On day 14, the cultured PBMCs were tested for their reactivity to CIR-A24, which were pre-pulsed with a corresponding peptide in quadruplicate. Values represent the result of the best of the four wells, and background IFN- γ production in response to the HIV peptide (taken as a negative control) was subtracted. Successful induction of peptide-specific CTLs was judged to be positive when more than 100 pg/ml IFN- γ production was observed in response to corresponding peptide-pulsed CIR-A24 cells compared to HIV peptide-pulsed CIR-A24 cells.

^b Anti-peptide IgG was assayed by ELISA as described in Materials and methods section.

^c (blank): not determined.

^d The OD values lower than the cut-off (0.070) were shown as -.

Table 2. Humoral and cellular responses to the HER2/neu peptides in HLA-A24⁺ epithelial cancer patients^a

Cancer ^b	Responses to the HER2/neu peptides (data were shown as IFN- γ production (pg/ml)/OD at 450 nm)		
	HER2/neu 342	HER2/neu 485	HER2/neu 553
PC1	/0.70	-	/1.41
PC2	-	/0.07	/0.15
PC3	/0.70	/0.07	/0.15
PC4	-	-	/0.07
PC5	-	-	/0.13
PC6	101/0.07	-/-	-/-
PC7	/0.07	/0.08	-
PC8	/0.07	-	/0.01
PC9	-	-/-	103/-
PC10	-	-	/0.07
PC11	110/-	122/-	-/0.11
PC12-14	-	-	-
CC1	-	107/-	112/0.12
CC2	104/-	-	106/0.10
CC3	-/-	-	106/0.19
CC4	172/0.17	-/0.12	138/0.25
CC5	-/0.08	101/0.12	-/0.12
CC6	-/0.11	-	/0.16
CC7	-	-	/0.06
CC8	-	-	-
UCC1	-/0.08	109/0.07	112/-
UCC2	-	-	-/0.10
UCC3	-	-	-/0.08
GC1	282/-	837/-	106/-
GC2	-	-	-/0.08
GC3	101/-	-	-
BC1	-	-	-/0.12
BC2	-	122/-	-
CTL induction (n = 20)	6	6	7
Anti-peptide Ab (n = 30)	9	6	19

^a Please see the footnote of Table 1.^b PC: prostate cancer, CC: colon cancer, UCC: uterine cervical cancer, GC: gastric cancer, BC: breast cancer.

HLA-B4601 binding motif [20], suggesting its cross-reactivity to the other peptides (data not shown). Based on these findings, the HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, HER2₅₅₃₋₅₆₁, and HER2₉₀₇₋₉₁₅ peptides, along with two previously reported CTL epitopes (HER2₈₋₁₆ and HER2₆₃₋₇₁), were tested for their ability to induce CTL activity in the following experiments.

Identification of peptides capable of inducing cellular immunity. PBMCs from eight breast cancer patients (six patients with HER2/neu-positive tumors and two

patients with HER2/neu-negative tumors) and five female HDs were incubated with each of six kinds of peptides (>90% purity) or a control HIV peptide, and subsequently examined for their IFN- γ production in response to corresponding peptide-pulsed C1R-A24 cells (Table 1). The previously reported HER2₈₋₁₆ and HER2₆₃₋₇₁ peptides induced peptide-specific IFN- γ production in one and two patients, respectively. The HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, HER2₅₅₃₋₅₆₁, and HER2₉₀₇₋₉₁₅ peptides induced peptide-specific IFN- γ production in four, five, two, and one patients,

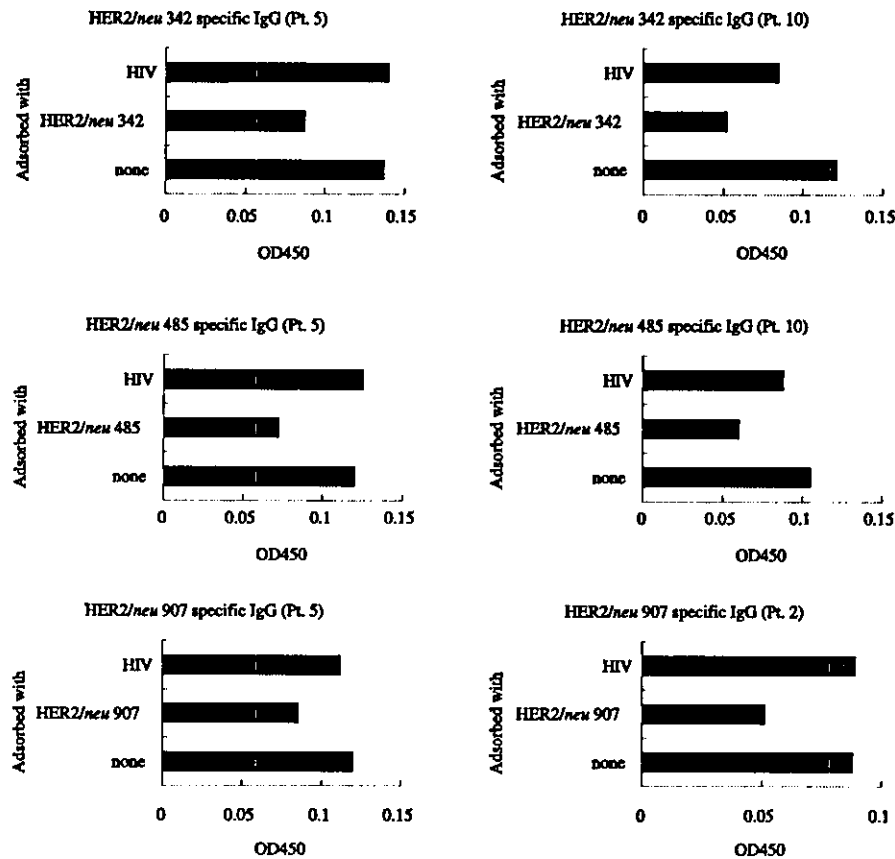


Figure 2. Specificity of anti-peptide IgG. Sera samples were adsorbed with immobilized peptides three times at 37°C followed by testing of peptide-specific IgG with ELISA. The representative results in Pts. 2, 5 and 10 are shown in this figure. The concentrations of IgG in sera are shown as OD at 450 nm. Values represent the means of duplicate assays.

respectively. Some of these peptides also induced such activity in a few HDs. Levels of IFN- γ produced by these PBMCs were significantly inhibited by anti-class I (W6/32) or anti-CD8 mAb, but not by other mAb in the assay, suggesting that these CTL activities were largely mediated by CD8⁺ T cells in an HLA-*I*-restricted manner (data not shown). In contrast to HLA-A24⁺ PBMCs, HLA-A24⁻ PBMCs from any of eight breast cancer patients in Table 1 produced IFN- γ production in response to HER2/*neu* peptides shown above (data not shown). These results indicate that IFN- γ production in response to each of the HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, HER2₅₅₃₋₅₆₁, and HER2₉₀₇₋₉₁₅ peptides was mediated by CD8⁺ T cells with an HLA-A24-restricted manner.

Further, these PBMCs showing a positive response displayed significant levels of cytotoxicity against the

SKOV3-A24 cells (HLA-A24⁺, HER2/*neu*⁺), but failed to lyse SKOV3 cells (HLA-A24⁻, HER2⁻) or PHA-blastoid T cells (HLA-A24⁺) in all the cases tested. Representative results of the six cases (HD7, Pts. 6, 9, 10, 13, and 16) are shown in Figure 3(A). The peptide-stimulated PBMCs in all the cases tested showed relatively higher levels of cytotoxicity against the SKOV3-A24 cells, but no or very low levels of the cytotoxicity to SKOV3 cells (Figure 3(A)) or PHA-blastoid T cells (data not shown). PBMCs stimulated with an HIV peptide, taken as a negative control, did not show such cytotoxicity (Figure 3(A)). HLA-class I restriction of the cytotoxicity was then confirmed by inhibition test with anti-*I*-class I mAb. Namely, the cytotoxicity against SKOV3-A24 tumor cells by the peptide-stimulated PBMCs was significantly inhibited by anti-*I*-class-I mAb in all the cases tested (Figure 3(B)). All these results suggest

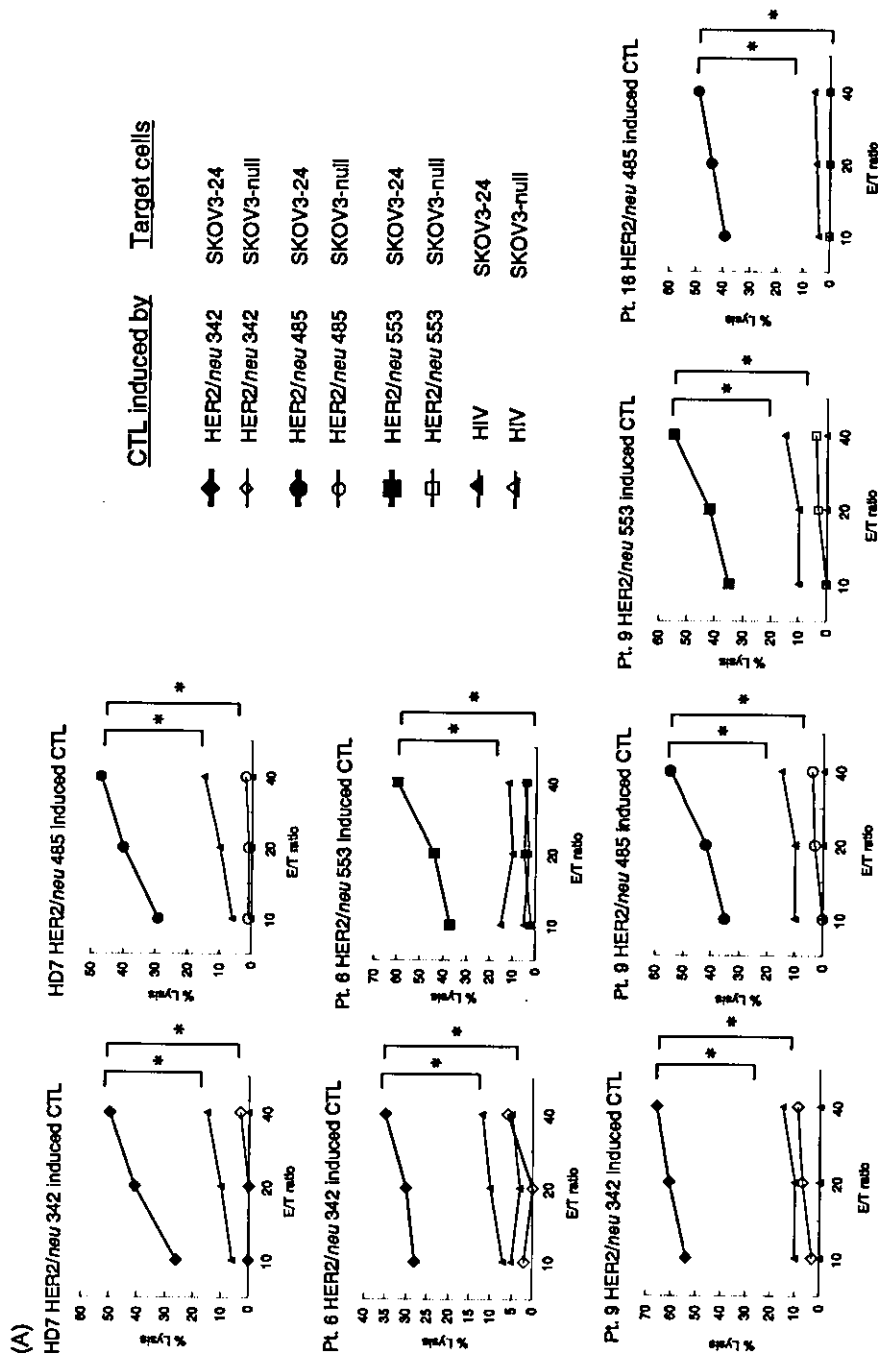


Figure 3. Cytotoxicity. (A) Peptide-stimulated PBMCs were tested for their cytotoxicity to HLA-A2+transfected and HER2/neu-expressing ovarian cancer cell line SKOV3 and HLA-A2+ untransfected SKOV3 (SKOV3-null) cells, and PHA-blastoid normal T cells established from HLA-A2+ PHA-blastoid T cells by 6-h ⁵¹Cr-release assay at three different E/T ratios. Representative data from HD7, Pts. 6, 9, and 16 are shown. The percent cytotoxicity against HLA-A2+ PHA-blastoid T cells was <3% in all the cases tested, and thus the data were not shown in the figure. Values represent the means of triplicate assays. A two-tailed Student's t-test was used for statistical analysis of the percentage of cell lysis between to SKOV3-null and SKOV3-24, or SKOV3-24 and SKOV3 with anti-class I mAb. * P < 0.05. (B) Peptide-stimulated PBMCs were also tested for their cytotoxicity to HLA-A2+transfected and HER2/neu-expressing ovarian cancer cell line SKOV3 and HLA-A2+ untransfected SKOV3 (SKOV3-null) cells by 6-h ⁵¹Cr-release assay at three different E/T ratios in the absence or presence of 20 μg/ml of anti-HLA-class I (W6/32) mAb. Representative data from Pts. 9, 10 and 13 are shown. Values represent the means of triplicate assays. A two-tailed Student's t-test was used for statistical analysis of the percentage of cell lysis between to SKOV3-null and SKOV3-24, or SKOV3-24 and SKOV3 with anti-class I mAb. * P < 0.05.

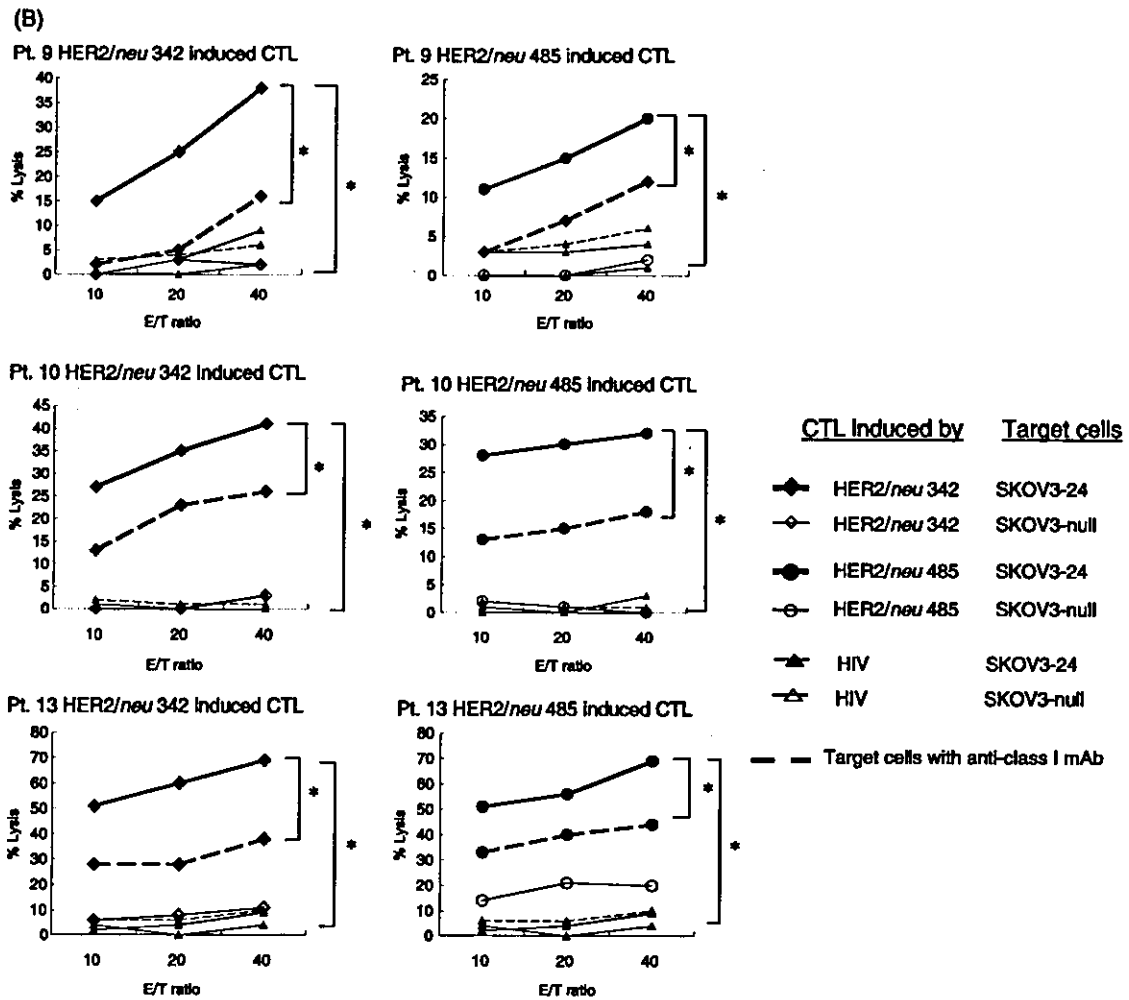


Figure 3. continued.

that these CTL activities were restricted to HLA-A24 molecules.

Responses in the other epithelial cancer patients. In order to study responses of the other epithelial cancer patients to HER2/neu peptides, sera and/or PBMCs from 30 epithelial cancer patients (14 patients with prostate cancer, 8 colon cancer, 3 cervical cancer, 3 gastric cancer, and 2 breast cancer) were tested for their responses to three different HER2/neu-derived peptides to which immune responses were observed with relatively higher frequencies (Table 1). The HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, and HER2₅₅₃₋₅₆₁ pep-

tides induced peptide-specific IFN- γ production in 6, 6 and 7 patients among 20 patients tested, respectively. Significant levels of IgG reactive to the HER2₃₄₂₋₃₅₀, HER2₄₈₅₋₄₉₃, and HER2₅₅₃₋₅₆₁ peptides were detected in sera from 9, 6, and 19 among 30 patients tested, respectively. These results indicate that cellular and humoral responses to each of the three HER2/neu peptides were observed in various types of epithelial cancer patients. It is of note that IgG reactive to HER2₃₄₂₋₃₅₀ or HER2₅₅₃₋₅₆₁ peptide was most frequently observed in sera of breast cancer patients (8 of 19 cases, 42%) or those of epithelial cancer patients other than breast cancer patients (19 of 28 cases, 63%).

Discussion

This study reported the three HER2/neu-derived peptides at positions 342–350, 485–493, and 553–561 were recognized by both sera (IgG) and PBMCs from the substantial numbers of breast and the other epithelial cancer patients, respectively. In contrast, the previously reported HER2_{8–16} and HER2_{63–71} peptides [10, 11], used as reference peptides in this study, rarely induced peptide-specific IFN- γ production. This discrepancy might be explained by the fact that PBMCs from breast cancer patients were provided for this study, while those from ovarian [10] and colorectal cancer patients [11] were provided in the previous studies. This study also showed that IgG reactive to the reference peptides were not detected at all in sera of breast cancer patients, although there was no reports of humoral responses to any of the HER2/neu-derived peptides with HLA-class I binding motifs in the previous studies [10, 11].

Both cellular and humoral responses to HER2_{342–350}, HER2_{485–493}, and HER2_{553–561} peptides were not only observed in PBMCs from breast cancer patients but also those of prostate and colon cancer patients. This phenomenon is expected primarily because of HER2/neu expression in various types of epithelial cancers [1–4]. The HLA-A24 allele was found in 60% of Japanese (with 95% of these cases being genotypically A2402), 20% of Caucasians, and 12% of Africans [21].

All the results of the present studies suggest the potential use of HER2_{342–350}, HER2_{485–493}, and HER2_{553–561} peptides for clinical trials as cancer vaccine for HLA-A24⁺ epithelial cancer patients. Subsequently, the three peptides are currently used as peptide vaccines for HLA-A24⁺ breast, prostate, gastric, and colorectal cancer patients in Kurume and Hokkaido University Hospitals under an individualized vaccination regimen as reported previously [16, 17, 22, 23]. Although the clinical study is on going and the results shall be reported in future as a separate manuscript, four patients (three colon and one gastric cancer patients) were vaccinated with HER2_{553–561} peptides, and one patient received HER2_{553–561} peptide. Local skin reactions at the injection sites were observed in two patients, but no other adverse events were associated with the vaccination. Post (6th)-vaccination sera and PBMCs from 4 and 2 of 5 patients showed increased levels of humoral and cellular responses to the vaccinated peptides, respectively. Further clinical and basic studies are needed to show the

immunogenicity of these three peptides *in vivo* from a point of anti-tumor responses.

We previously reported that IgGs reactive against CTL epitope peptides were often detected in the pre-vaccination sera of cancer patients and also in the sera of HDs [16, 17, 19]. Further, some CTL-directed peptides have been reported in clinical studies to be able to elicit both cellular and humoral immune responses, and levels of anti-peptide Abs in post-vaccination sera well correlated with overall survival of advanced lung cancer patients who received peptide vaccination [17]. In contrast, IgGs reactive to these peptides are either absent or unbalanced in the sera of patients with atopic disease [19]. These results suggest that IgG reacting to these peptides plays a role in host-defense against various diseases, although the underlying mechanism of anti-tumor immune responses in cancer patients is presently unclear. The peptide-IgGs tested did not react to the mother proteins, and also failed to show either direct growth inhibition of tumor cells *in vitro* or to elicit antibody-dependent cell-mediated cytotoxicity to tumor cells (data not shown). Therefore, the anti-HER2/neu peptide-IgG shown in this study may not play a role in direct action to tumor cells. Rather, these Abs may be involved in infiltration of immunocompetent cells into tumor sites through induction of inflammatory reactions around these sites (Noguchi et al., unpublished results).

IgG reactive to HER2/neu peptides were found in sera of both cancer patients and HDs, and also in sera of both HLA-A24⁺ and -A24⁻ subjects. IgG reactive to these peptides were also observed in sera of both patients whose tumors over expressed HER2/neu antigen and those not expressed it. The existence of peptide-specific IgG in sera of HDs and patients with atopic disease of IgG reactive was previously reported [16, 17, 19]. Further, immune responses of both cancer patients and HDs to HER2/neu peptides may not surprise us since it is expressed not only in epithelial cancer cells but also in certain normal epithelial cells. Indeed, CTL precursors for peptides of HER2/neu are also detectable in PBMCs from both cancer patients and HDs [10, 11]. On the contrary, mechanisms of no apparent HLA-class I restriction on the presence of peptide-specific IgG, are not clear at the present time, although its existence in sera of HDs were also observed in IgG reactive to other CTL epitope peptides as reported previously [16, 17, 19]. Further studies are needed to clarify the biological role as well as the mechanism of no HLA-class I restriction of IgG reactive to CTL epitope peptides.

Acknowledgements

This study was supported in part by Grants-in-Aid from the following: the Ministry of Education, Science, Sports, and Culture of Japan (no. 12213134 to K.I.); the Japan Society for the Promotion of Science (no. 14570526 to S.S.); and the Ministry of Health and Welfare, Japan (H14-trans-002, 11-16, and H12-cancer-004 to K. I., and H14-cancer-033 to S.S.).

References

1. Yamamoto T, Ikawa S, Akiyama T, Semba K, Nomura N, Miyajima N, Saito T, Toyoshima K: Similarity of protein encoded by the human *c-erb-B-2* gene to epidermal growth factor receptor. *Nature* 319: 230-234, 1986
2. Coussens L, Yang-Feng TL, Liao Y-C, Chen E, Gray A, McGrath J, Seeburg PH, Libermann TA, Schlessinger J, Franke U, Levinson A, Ullrich A: Tyrosine kinase receptor with extensive homology to EGF receptor shares chromosomal location with *neu* oncogene. *Science* 230: 1132-1139, 1985
3. Slamon DJ, Godolphin W, Jones LA, Holt JA, Wong SG, Keith DE, Levin WJ, Stuart SG, Udove J, Ullrich A, Press MF: Studies of the HER-2/*neu* proto-oncogene in human breast and ovarian cancer. *Science* 244: 707-712, 1989
4. Vogel CL, Cobleigh MA, Tripathy D, Gutheil JC, Harris LN, Fehrenbacher L, Slamon DJ, Murphy M, Novotny WF, Burchmore M, Shak S, Stewart SJ, Press M: Efficacy and safety of trastuzumab as a single agent in first-line treatment of HER2-overexpression metastatic breast cancer. *J Clin Oncol* 20: 719-726, 2002
5. Disis ML, Calenoff E, McLaughlin G, Murphy AE, Chen W, Groner B, Jeschke M, Lydon N, McGynn E, Livingston RB, Moe R, Cheever MA: Existent T-cell and antibody immunity to HER-2/*neu* protein in patients with breast cancer. *Cancer Res* 54: 16-20, 1994
6. Peoples GE, Goedgebuure PS, Smith R, Linchan DC, Yoshino I, Eberlein TJ: Breast and ovarian cancer-specific cytotoxic T lymphocytes recognize the same HER2/*neu*-derived peptide. *Proc Natl Acad Sci USA* 92: 432-436, 1995
7. Burstein HJ, Harris LN, Gelman R, Lester SC, Nunes RA, Kaelin CM, Parker LM, Ellisen LW, Kuter L, Gadd MA, Christian RL, Kennedy PR, Borges VF, Bunnell CA, Younger J, Smith BL, Winer EP: Preoperative therapy with trastuzumab and paclitaxel followed by sequential adjuvant doxorubicin/cyclophosphamide for HER2 overexpressing stage II or III breast cancer: a pilot study. *J Clin Oncol* 21: 46-53, 2003
8. Fisk B, Blevins TL, Wharton JT, Ioannides CG: Identification of an immunodominant peptide of HER-2/*neu* protooncogene recognized by ovarian tumor-specific cytotoxic T lymphocyte lines. *J Exp Med* 181: 2109-2117, 1995
9. Kawashima I, Tsai V, Southwood S, Takesako K, Sette A, Celis E: Identification of HLA-A3-restricted cytotoxic T lymphocyte epitopes from carcinoembryonic antigen and HER-2/*neu* by primary *in vitro* immunization with peptide-pulsed dendritic cells. *Cancer Res* 59: 431-435, 1999
10. Okugawa T, Ikuta Y, Takahashi Y, Obata H, Tanida K, Watanabe M, Imai S, Furuge R, Nagata Y, Toyoda N, Shuku H: A novel human HER2-derived peptide homologous to the mouse K^d-restricted tumor rejection antigen can induce HLA-A24-restricted cytotoxic T lymphocytes in ovarian cancer patients and healthy individuals. *Eur J Immunol* 30: 3338-3346, 2000
11. Tanaka H, Tsunoda T, Nukaya I, Sette K, Matsuda K, Umamo Y, Takesako K, Tanimura H: Mapping the HLA-A24-restricted T-cell epitope peptide from a tumor-associated antigen HER2/*neu*: possible immunotherapy for colorectal carcinomas. *Br J Cancer* 84: 94-99, 2001
12. Zaks TZ, Rosenberg SA: Immunization with a peptide epitope (p369-377) from HER-2/*neu* leads to peptide-specific cytotoxic T lymphocytes that fail to recognize HER-2/*neu*+ tumors. *Cancer Res* 58: 4902-4908, 1998
13. Meyer zum Buschenfelde C, Herrmann C, Schmidt B, Peschel C, Bernhard H: Antihuman epidermal growth factor receptor 2 (HER2) monoclonal antibody trastuzumab enhance cytolytic activity of class I-restricted HER2-specific T lymphocytes against HER2-overexpressed tumor cells. *Cancer Res* 62: 2244-2247, 2002
14. Disis ML, Pupa SM, Gralow JR, Dittadi R, Menard S, Cheever MA: High-titer HER-2/*neu* protein-specific antibody can be detected in patients with early-stage breast cancer. *J Clin Oncol* 11: 3363-3367, 1997
15. Jager E, Gnjatic S, Nagata Y, Stockert E, Jager D, Karbach J, Neumann A, Rieckenberg J, Chen YT, Ritter G, Hoffman E, Arand M, Old LJ, Knuth A: Induction of primary NY-ESO-1 immunity: CD8+ T lymphocyte and antibody responses in peptide-vaccinated patients with NY-ESO-1+ cancers. *Proc Natl Acad Sci USA* 97: 12198-12203, 2000
16. Noguchi M, Kobayashi K, Suetsugu N, Tomiyasu K, Suckane S, Yamada A, Itoh K, Noda S: Induction of cellular and humoral immune responses to tumor cells and peptides in HLA-A24 positive hormone-refractory prostate cancer patients by peptide vaccination. *Prostate* 57: 80-92, 2003
17. Mine T, Gouhara R, Hida N, Imai N, Azuma K, Rikimaru T, Katagiri K, Nishikori M, Sukehiro A, Nakagawa M, Yamada A, Aizawa H, Shirouzu K, Itoh K, Yamana H: Immunological evaluation of CTL, precursor-oriented vaccines for advanced lung cancer patients. *Clin Sci* 94: 548-556, 2003
18. O'Malley FP, Parkes R, Latta E, Tjan S, Zadro T, Mueller R, Armeson N, Blackstein M, Andrusis I: Comparison of HER2/*neu* status assessed by quantitative polymerase chain reaction and immunohistochemistry. *Am J Clin Pathol* 115: 504-511, 2001
19. Kawamoto N, Yamada A, Ohkouchi S, Maeda T, Tanaka S, Hashimoto T, Saijo Y, Saijo S, Nukiwa T, Shichijo S, Aizawa H, Itoh K: IgG reactive to CTL-directed epitopes of self-antigens is either lacking or unbalanced in atopic dermatitis patients. *Tissue Antigen* 61: 352-361, 2003
20. Azuma K, Shichijo S, Maeda Y, Nakatsura T, Nonaka Y, Fujii T, Koike K, Itoh K: Mutated *p53* gene encodes a non-mutated epitope recognized by HLA-B*4601-restricted and tumor cell-reactive CTLs at tumor site. *Cancer Res* 63: 854-858, 2003
21. Imanishi T, Akaza T, Kimura A, Tokunaga K, Gojobori T: Allele and haplotype frequencies for HLA and complement loci in various ethnic groups. In: Proceedings of the Eleventh International Histocompatibility Workshop and Conference Oxford, Oxford University Press, United Kingdom, 1992, pp 1065-1220

22. Sato Y, Shomura H, Maeda Y, Mine T, Une Y, Akasaka Y, Kondo M, Takahashi S, Shinohara T, Katagiri K, Sato S, Okada S, Matsui K, Yamada A, Yamana H, Itoh K, Todo S: Immunological evaluation of peptide vaccination for patients with gastric cancer based on pre-existing cellular response to peptide. *Cancer Sci* 94: 802-808, 2003
23. Tsuda N, Mochizuki K, Harada M, Sukchiro A, Kawano K, Yamada A, Ushijima K, Sugiyama T, Nishida T, Yamana H, Itoh K, Kamura T: Vaccination with pre-designated or

evidence-based peptides for patients with recurrent gynecologic cancers. *J Immunother* (in press)

Address for offprints and correspondence: Shigeki Shichijo, Department of Immunology, Kurume University School of Medicine, 67 Asahi-machi, Kurume, Fukuoka 830-0011, Japan; *Tel.:* +81-942-31-7551; *Fax:* +81-942-31-7699; *E-mail:* shichijo@med.kurume-u.ac.jp

Identification of parathyroid hormone-related protein-derived peptides immunogenic in human histocompatibility leukocyte antigen-A24⁺ prostate cancer patients

A Yao¹, M Harada^{*1}, S Matsueda¹, Y Ishihara¹, H Shomura¹, M Noguchi², K Matsuoka², I Hara³, S Kamidono³ and K Itoh¹

¹Department of Immunology, Kurume University School of Medicine, Fukuoka, Japan; ²Department of Urology, Kurume University School of Medicine, Fukuoka, Japan; ³Division of Urology, Department of Organs Therapeutics, Faculty of Medicine, Kobe University Graduate School of Medicine, Hyogo, Japan

Parathyroid hormone-related protein (PTHrP) is a key factor in the development of bone metastases, which are a major barrier in treating prostate cancer patients. In this study, we attempted to identify PTHrP-derived peptides immunogenic in human histocompatibility leukocyte antigen (HLA)-A24⁺ prostate cancer patients. Among four different PTHrP peptides carrying the HLA-A24 binding motif, both the PTHrP_{36–44} and PTHrP_{102–111} peptides efficiently induced peptide-specific cytotoxic T lymphocytes from peripheral blood mononuclear cells (PBMCs) of HLA-A24⁺ prostate cancer patients. Peptide-stimulated PBMCs showed cytotoxicity against prostate cancer cells in an HLA-A24-restricted manner. Experiments using antibodies and cold inhibition targets confirmed that their cytotoxicity was dependent on PTHrP peptide-specific and CD8⁺ T cells. Immunoglobulin G reactive to the PTHrP_{102–111} or PTHrP_{110–119} peptide was frequently detected in the plasma of prostate cancer patients, suggesting that the PTHrP_{102–111} peptide is able to elicit cellular and humoral immune responses in cancer patients. These results indicate that the PTHrP could be a promising target molecule for specific immunotherapy of HLA-A24⁺ prostate cancer patients with metastases. *British Journal of Cancer* (2004) **91**, 287–296. doi:10.1038/sj.bjc.6601960 www.bjcancer.com

Published online 15 June 2004

© 2004 Cancer Research UK

Keywords: prostate cancer; parathyroid hormone-related protein (PTHrP); cytotoxic T lymphocyte; peptide; HLA-A24

Prostate cancer is one of the most common cancers among elderly men (Greenlee *et al*, 2000). Prostate cancer frequently metastasises to bone. Androgen withdrawal therapy has been applied for patients with bone metastases. Although hormone therapy can temporarily inhibit the progress of the disease in these patients, a progression to hormone-refractory prostate cancer inevitably occurs in most cases. Therefore, the development of new therapeutic modalities is needed.

Recent advances in tumour immunology have allowed us to identify the genes encoding human cancer-related antigens, and the epitopes, which are recognized by cytotoxic T lymphocytes (CTLs), in patients with various types of cancers (Boon *et al*, 1997; Rosenberg, 1999; Renkvist *et al*, 2001). The identified tumour antigens and their peptides have been applied for specific immunotherapy (Nestle *et al*, 1998; Rosenberg *et al*, 1998; Marchand *et al*, 1999). In the case of prostate cancer, tissue-specific antigens, which are expressed in the normal prostate, can also be target molecules for specific immunotherapy for patients

with this disease. Immunotherapy targeting prostate-specific antigens or prostate-specific membrane antigens has been carried out, and antitumour effects have been observed in limited cases (Murphy *et al*, 1996, 1999; Tjoa *et al*, 1998; Small *et al*, 2000; Gulley *et al*, 2002).

Parathyroid hormone-related protein (PTHrP) is an autocrine or paracrine factor that binds to receptors on osteoblasts, and stimulates bone formation and reabsorption. Parathyroid hormone-related protein has limited homology with PTH at its NH₂ terminus, and can bind to the same receptor as PTH, resulting in similar biological activity (Suva *et al*, 1987; Juppner *et al*, 1991). Parathyroid hormone-related protein plays a variety of physiological roles, including calcium transport, keratinocyte differentiation, smooth muscle relaxation, and cartilage development (Philbrick *et al*, 1996). In parathyroid cells, a high extracellular calcium concentration inhibits parathyroid hormone (PTH) secretion and the proliferation of parathyroid cells as a result of negative feedback regulation, whereas it evokes further PTHrP secretion and promotes worsening bone resorption (Sanders *et al*, 2001). Therefore, PTHrP has been considered to be responsible for the hypercalcemia associated with malignancy (Guise, 1997). In addition, prostate cancers have been reported to produce PTHrP (Francini *et al*, 2002). These lines of evidence suggest that PTHrP could be a promising target molecule for the immunotherapy of prostate cancer patients with bone metastases. In this study, we

*Correspondence: Dr M Harada, Department of Immunology, Kurume University School of Medicine, 67 Asahi-machi, Kurume, Fukuoka 830-0011, Japan; E-mail: haramamo@med.kurume-u.ac.jp
Received 8 December 2003; revised 6 April 2004; accepted 27 April 2004; published online 15 June 2004

attempted to identify new, PTHrP-derived peptides that are immunogenic in HLA-A24⁺ prostate cancer patients.

MATERIALS AND METHODS

Patients

Informed consent was obtained from all of the HLA-A24⁺ prostate cancer patients and HLA-A24⁺ healthy volunteers who were enrolled in this study. None of the participants were infected with HIV. In total, 20 ml of peripheral blood was obtained, and the PBMCs were prepared by Ficoll-Conray density gradient centrifugation. The expression of HLA-A24 molecules on the PBMCs of the cancer patients and healthy donors was determined by flow cytometry.

Cell lines

C1R-A24 is an HLA-A*2402-expressing subline of C1R lymphoma (Dr M Takiguchi, Kumamoto University, Japan). LNCaP is an HLA-A24 negative prostate cancer cell line. To establish LNCaP cells that stably express HLA-A24 molecules (designated as LNCaP-A24), an HLA-A*2402 gene was inserted into a pcDNA3.1/Hygro vector (Invitrogen, CA, USA), and electroporated into the LNCaP cell line (ATCC, Manassas, VA, USA), and selection was carried out with hygromycin B (Invitrogen) at a dose of 170 µg ml⁻¹. All cell lines were maintained in RPMI-1640 medium (Gibco BRL, Grand Island, NY, USA) supplemented with 10% FCS.

Peptides

Four PTHrP-derived peptides (listed in Table 1) were prepared based on the HLA-A24 binding motif (Parker et al, 1994; Rammensee et al, 1995). All peptides were of >90% purity and were purchased from Biologica Co., Nagoya, Japan. Influenza (Flu) virus-derived (RFYIQMCYEL), EBV-derived (TYGPVFMCL), and HIV-derived peptides (RYLRQQLGI) with the HLA-A24 binding motif were used as controls. All peptides were dissolved with DMSO at a dose of 10 mg ml⁻¹.

Assay for peptide-specific CTLs in PBMCs

The assay for the detection of peptide-specific CTLs in PBMCs was performed according to a previously reported method (Hida et al, 2002). In brief, PBMCs (1 × 10⁵ cells per well) were incubated with 10 µg ml⁻¹ of each peptide in a U-bottom-type 96-well micro-culture plate (Nunc, Roskilde, Denmark) at a volume of 200 µl of culture medium. The culture medium consisted of 45% RPMI-1640, 45% AIM-V medium (Gibco BRL), 10% FCS, 100 U ml⁻¹ of IL-2, and 0.1 mM MEM nonessential amino-acid solution (Gibco, BRL). Half of the culture medium was removed and replaced with new medium containing a corresponding peptide (20 µg ml⁻¹) every 3 days. On the 15th day of culture, the cultured cells were separated into four wells; two wells were used for the PTHrP peptide-pulsed C1R-A24 cells, and the other two wells were used for the HIV peptide-pulsed C1R-A24 cells. After an 18-h incubation period, the supernatants were collected, and the level of IFN-γ was determined by ELISA (limit of sensitivity: 10 pg ml⁻¹).

Table 1 Reactivity of PTHrP peptide-stimulated PBMCs from HLA-A24⁺ healthy donors and prostate cancer patients

PBMCs Derived from	Name Amino-acid sequence Score ^a	Peptides				Flu RFYIQMCTEL	EBV TYGPVFMCL
		PTHrP ₃₆₋₄₄ RAVSEHQLL 14.4	PTHrP ₁₀₂₋₁₁₁ RYLTQETNKV 19.8	PTHrP ₂₅₋₃₄ RSVEGLSRRL 17.3	PTHrP ₁₁₀₋₁₁₉ KVETYKEQPL 14.4		
IFN-γ production (pg/ml) ^b							
<i>Healthy donors</i>							
#1		154	352	10	394	306	0
#2		156	132	8	17	0	207
#3		497	0	7	20	17	0
#4		0	0	37	2	59	14
#5		184	0	166	38	0	27
#6		1354	0	0	357	124	168
#7		166	38	0	0	1017	0
#8		0	194	0	1017	0	0
#9		0	5624	5	61	123	228
#10		0	168	1354	0	0	3
Total		6/10	5/10	2/10	3/10	4/10	3/10
<i>Cancer patients</i>							
#1		180	154	145	0	0	15
#2		122	138	15	9	5	0
#3		699	8	17	38	0	21
#4		31	105	24	19	0	159
#5		799	28	16	10	130	20
#6		500	4	1	14	198	15
#7		317	0	0	0	ND	ND
#8		4	1060	411	23	115	189
#9		17	101	1	0	709	3
#10		180	198	196	118	40	27
Total		7/10	6/10	3/10	1/10	4/9	2/9

^aThe score represents the estimated half-time of dissociation of the PTHrP peptides binding to HLA-A24 molecules. ^bThe PBMCs of HLA-A24⁺ healthy donors and prostate cancer patients were stimulated *in vitro* with the indicated PTHrP peptide, as described in Material and Methods. On the 15th day, the cultured PBMCs were tested for their reactivity to C1R-A24 cells, which were prepulsed with the corresponding peptide or the HIV peptide. The values represent the mean of two wells, and the background IFN-γ production in response to the HIV peptide was subtracted. Significant values (P < 0.05 by two-tailed Student's t-test) are underlined. ND = not done.

Molecular and Cellular Pathology

Cytotoxicity assay

After *in vitro* stimulation with the PTHrP peptides, the peptide-stimulated PBMCs were additionally cultured with 100 U ml^{-1} IL-2 for approximately 10 days, in order to obtain a sufficient number of cells to carry out a cytotoxicity assay. These cells were then tested for cytotoxicity against both LNCaP and LNCaP-A24 by a 6-h ^{51}Cr -release assay. A total of 2000 ^{51}Cr -labelled cells per well were cultured with effector cells in 96-round-well plates at the indicated effector/target ratios. In some experiments, either anti-HLA class I (W6/32: mouse IgG2a), anti-HLA-DR (L243: mouse IgG2a), anti-CD4 (NU-TH/I: mouse IgG1), anti-CD8 (NU-TS/C: mouse IgG2a), or anti-CD14 (H14: mouse IgG2a) mAb was added to the wells at a dose of $20 \mu\text{g ml}^{-1}$ at the initiation of the assay.

Cold inhibition assay

The specificity of the PTHrP peptide-stimulated CTLs was confirmed by a cold inhibition assay. In brief, ^{51}Cr -labelled target cells (2×10^3 cells per well) were cultured with the CTLs (4×10^4 cells per well) in 96-round-well plates with 2×10^4 cold target cells. C1R-A24 cells, which were prepulsed with either the HIV peptide or a corresponding PTHrP peptide, were used as cold targets.

Detection of peptide-specific IgG

The peptide-specific IgG levels in the plasma were measured by ELISA, as previously reported (Nakatsura *et al*, 2002; Ohkouchi *et al*, 2002). In brief, peptide ($20 \mu\text{g}$ per well)-immobilised plates were blocked with Block Ace (Yukijirushi, Tokyo, Japan) and washed with 0.05% Tween-20-PBS, after which $100 \mu\text{l}$ per well of plasma sample diluted with 0.05% Tween-20-Block Ace was added to the plate. After a 2-h incubation at 37°C , the plates were washed and further incubated for 2-h with a 1:1000-diluted rabbit anti-human IgG (γ -chain-specific) (DAKO, Glostrup, Denmark). The plates were washed, and then $100 \mu\text{l}$ of 1:100-diluted goat anti-rabbit IgG-conjugated horseradish peroxidase (EnVision, DAKO) was added to each well, and the plates were then incubated at room temperature for 40 min. After the plates were washed once, $100 \mu\text{l}$ per well of tetramethyl benzidine substrate solution (KPL, Guildford, UK) was added, and the reaction was stopped by the addition of 1 M phosphoric acid. The values are shown as optical density (OD) units ml^{-1} . IgG reactive to a corresponding PTHrP peptide was judged to be positive when the difference of the OD in 1:100-diluted plasma exceeded 0.05. To confirm the specificity of IgG to the indicated PTHrP peptide, sample plasma was cultured with plates coated with either the corresponding PTHrP peptide or an irrelevant PTHrP peptide. Thereafter, the levels of PTHrP peptide-specific IgG in the resulting supernatant were determined by ELISA.

Statistics

The statistical significance of the data was determined using a two-tailed Student's *t*-test. A *P*-value of less than 0.05 was considered to be statistically significant.

RESULTS

Induction of PTHrP peptide-specific CTLs from HLA-A24⁺ healthy donors and prostate cancer patients

First, four PTHrP-derived peptides were prepared based on their binding affinity to HLA-A24 molecules (Parker *et al*, 1994; Rammensee *et al*, 1995) (Table 1). Although the PTHrP₁₋₃₆ peptide is a propeptide (Suva *et al*, 1987; Juppner *et al*, 1991), the

PTHrP₂₅₋₃₄ peptide was included. With regard to the difference in amino acids, three amino acids were found to differ between the PTHrP₃₆₋₄₄ peptide and PTH, and all of the amino acids were found to differ between the other three PTHrP peptides and PTH. Next, to investigate the immunogenicity of these four PTHrP peptides, the PBMCs of 10 HLA-A24⁺ healthy donors and 10 HLA-A24⁺ prostate cancer patients were stimulated with each of four PTHrP peptides, and were then examined for their IFN- γ production in response to C1R-A24 cells, which were prepulsed with either a corresponding PTHrP peptide or the HIV peptide (Table 1). Flu- and BEV-derived peptides were used as controls. The assay was carried out in quadruplicate. The cultured cells in one well were separated into four wells. Two wells were used for the PTHrP peptide-pulsed C1R-A24 cells, and the other two wells for the HIV peptide-pulsed C1R-A24 cells. The background IFN- γ production in response to the HIV peptide was subtracted, and the results that showed the best response are shown in Table 1. The successful induction of peptide-specific CTLs was judged to be positive when significant values ($P < 0.05$ by two tailed Student's *t*-test) were observed. The results showed that the PTHrP₃₆₋₄₄, PTHrP₁₀₂₋₁₁₁, PTHrP₂₅₋₃₄, and PTHrP₁₁₀₋₁₁₉ peptides induced peptide-specific CTLs in six, five, two, and three of 10 HLA-A24⁺ healthy donors, respectively. These PTHrP peptides also induced peptide-specific CTLs in seven, six, three, and one of 10 HLA-A24⁺ prostate cancer patients, respectively. The net IFN- γ production of the cases with 10 HLA-A24⁺ prostate cancer patients in response to the corresponding PTHrP peptide or the HIV peptide are shown in Figure 1. In total, these findings indicate that both the PTHrP₃₆₋₄₄ and PTHrP₁₀₂₋₁₁₁ peptides are promising candidates to generate peptide-specific CTLs from HLA-A24⁺ prostate cancer patients.

Induction of prostate cancer-reactive CTLs using PTHrP₃₆₋₄₄ and PTHrP₁₀₂₋₁₁₁ peptides

In order to investigate the HLA-A24-restricted and prostate cancer-reactive cytotoxicity of peptide-stimulated PBMCs, we prepared an HLA-A24-expressing LNCaP cell line, which we designated LNCaP-A24 (Figure 2). LNCaP has previously been reported to produce PTHrP (Francini *et al*, 2002). A parental LNCaP cell line was negative for the cell surface expression of HLA-A24 molecules, whereas the LNCaP-A24 cell line expressed HLA-A24 molecules on their cell surface. It was then determined whether PBMCs stimulated by either the PTHrP₃₆₋₄₄ or PTHrP₁₀₂₋₁₁₁ peptide could induce prostate cancer-reactive CTLs from HLA-A24⁺ healthy donors and prostate cancer patients. PBMCs from HLA-A24⁺ healthy donors and cancer patients were repeatedly stimulated with the indicated PTHrP peptide, based on the culture protocol described in Materials and Methods. After confirming that these peptide-stimulated cells could produce IFN- γ in response to PTHrP peptide-pulsed C1R-A24 cells, the peptide-stimulated PBMCs were examined for their cytotoxicity against three targets. It was found that the PTHrP peptide-stimulated PBMCs from HD#2, Pt#1, and Pt#2 produced higher levels of IFN- γ in response to the corresponding PTHrP peptide-pulsed C1R-A24 cells than to the HIV peptide-pulsed C1R-A24 cells (Figure 3A). These peptide-stimulated PBMCs also showed higher levels of cytotoxicity against the LNCaP-A24 cell line than against the LNCaP line and HLA-A24⁺ PHA-induced T cell blasts (Figure 3B). In addition, their cytotoxicity against LNCaP-A24 was significantly inhibited by the addition of anti-HLA-class I and anti-CD8 mAbs, but not by the addition of other anti-HLA-class II, anti-CD4, or anti-CD14 mAbs (Figure 4A). Furthermore, their cytotoxicity against the LNCaP-A24 cell line was significantly suppressed by the addition of the corresponding PTHrP peptide-pulsed C1R-A24 cells, as a cold target, but this suppression was not observed with the addition of HIV peptide-pulsed C1R-A24 cells (Figure 4B). In