

discrepancy and are thus preparing a rat study to examine the effect of ER $\beta$  on VSMC proliferation in vivo.

### Acknowledgements

We thank Ms. Kaori Sato and Junko Motohashi for excellent technical assistance. This work was supported by grants from the Ministry of Education, Science, and Culture of Japan (13557062 and 11470157). We thank Dr. Benita S. Katzenellenbogen for the gift of CWK-F12 anti-ER $\beta$  antibody.

### References

- [1] Ross R. The pathogenesis of atherosclerosis: a perspective for the 1990s. *Nature* 1993;362:801–809.
- [2] Bush TL, Barrett-Connor E, Cowan LD et al. Cardiovascular mortality and noncontraceptive use of estrogen in women: results from the Lipid Research Clinics Program Follow-up Study. *Circulation* 1987;75:1102–1109.
- [3] Stampfer MJ, Colditz GA, Willett WC et al. Postmenopausal estrogen therapy and cardiovascular disease. Ten-year follow-up from the Nurses' Health Study. *New Engl J Med* 1991;325:756–762.
- [4] Hisamoto K, Ohmichi M, Kurachi H et al. Estrogen induces the Akt-dependent activation of endothelial nitric-oxide synthase in vascular endothelial cells. *J Biol Chem* 2001;276:3459–3467.
- [5] Akishita M, Ouchi Y, Miyoshi H et al. Estrogen inhibits cuff-induced intimal thickening of rat femoral artery: effects on migration and proliferation of vascular smooth muscle cells. *Atherosclerosis* 1997;130:1–10.
- [6] Morey AK, Pedram A, Razandi M et al. Estrogen and progesterone inhibit vascular smooth muscle proliferation. *Endocrinology* 1997;138:3330–3339.
- [7] Dubey RK, Gillespie DG, Mi Z et al. Estradiol inhibits smooth muscle cell growth in part by activating the cAMP–adenosine pathway. *Hypertension* 2000;35:262–266.
- [8] Dubey RK, Jackson EK, Gillespie DG et al. Clinically used estrogens differentially inhibit human aortic smooth muscle cell growth and mitogen-activated protein kinase activity. *Arterioscler Thromb Vasc Biol* 2000;20:964–972.
- [9] Rossouw JE, Anderson GL, Prentice RL et al. Risks and benefits of estrogen plus progestin in healthy postmenopausal women: principal results from the Women's Health Initiative randomized controlled trial. *J Am Med Assoc* 2002;288:321–333.
- [10] Hulley S, Grady D, Bush T et al. Randomized trial of estrogen plus progestin for secondary prevention of coronary heart disease in postmenopausal women. Heart and Estrogen/progestin Replacement Study (HERS) Research Group. *J Am Med Assoc* 1998;280:605–613.
- [11] Teede HJ, McGrath BP, Smolich JJ et al. Postmenopausal hormone replacement therapy increases coagulation activity and fibrinolysis. *Arterioscler Thromb Vasc Biol* 2000;20:1404–1409.
- [12] Kuiper GG, Enmark E, Pelto-Huikko M, Nilsson S, Gustafsson JA. Cloning of a novel receptor expressed in rat prostate and ovary. *Proc Natl Acad Sci USA* 1996;93:5925–5930.
- [13] Giguere V, Tremblay A, Tremblay GB. Estrogen receptor beta: re-evaluation of estrogen and antiestrogen signaling. *Steroids* 1998;63:335–339.
- [14] Altucci L, Addeo R, Cicatiello L et al. 17beta-Estradiol induces cyclin D1 gene transcription, p36D1–p34cdk4 complex activation and p105Rb phosphorylation during mitogenic stimulation of G(1)-arrested human breast cancer cells. *Oncogene* 1996;12:2315–2324.
- [15] Ernst M, Heath JK, Schmid C, Froesch RE, Rodan GA. Evidence for a direct effect of estrogen on bone cells in vitro. *J Steroid Biochem* 1989;34:279–284.
- [16] Orimo A, Inoue S, Ikegami A et al. Vascular smooth muscle cells as target for estrogen. *Biochem Biophys Res Commun* 1993;195:730–736.
- [17] Karas RH, Patterson BL, Mendelsohn ME. Human vascular smooth muscle cells contain functional estrogen receptor. *Circulation* 1994;89:1943–1950.
- [18] Hodges YK, Tung L, Yan XD et al. Estrogen receptors alpha and beta: prevalence of estrogen receptor beta mRNA in human vascular smooth muscle and transcriptional effects. *Circulation* 2000;101:1792–1798.
- [19] Iafrati MD, Karas RH, Aronovitz M et al. Estrogen inhibits the vascular injury response in estrogen receptor alpha-deficient mice. *Nat Med* 1997;3:545–548.
- [20] Karas RH, Hodgin JB, Kwoun M et al. Estrogen inhibits the vascular injury response in estrogen receptor beta-deficient female mice. *Proc Natl Acad Sci USA* 1999;96:15133–15136.
- [21] Karas RH, Schulten H, Pare G et al. Effects of estrogen on the vascular injury response in estrogen receptor alpha, beta (double) knockout mice. *Circ Res* 2001;89:534–539.
- [22] Pare G, Krust A, Karas RH et al. Estrogen receptor-alpha mediates the protective effects of estrogen against vascular injury. *Circ Res* 2002;90:1087–1092.
- [23] Lindner V, Kim SK, Karas RH et al. Increased expression of estrogen receptor-beta mRNA in male blood vessels after vascular injury. *Circ Res* 1998;83:224–229.
- [24] Watanabe T, Yoshizumi M, Akishita M et al. Induction of nuclear orphan receptor NGFI-B gene and apoptosis in rat vascular smooth muscle cells treated with pyrrolidinedithiocarbamate. *Arterioscler Thromb Vasc Biol* 2001;21:1738–1744.
- [25] Ogawa S, Inoue S, Orimo A et al. Cross-inhibition of both estrogen receptor alpha and beta pathways by each dominant negative mutant. *FEBS Lett* 1998;423:129–132.
- [26] Nakaoka T, Gonda K, Ogita T et al. Inhibition of rat vascular smooth muscle proliferation in vitro and in vivo by bone morphogenetic protein-2. *J Clin Invest* 1997;100:2824–2832.
- [27] Ohyama KI, Nagai F, Tsuchiya Y. Certain styrene oligomers have proliferative activity on MCF-7 human breast tumor cells and binding affinity for human estrogen receptor. *Environ Health Perspect* 2001;109:699–703.
- [28] Inoue K, Okumura H, Higuchi T et al. Characterization of estrogenic compounds in medical polyvinyl chloride tubing by gas chromatography–mass spectrometry and estrogen receptor binding assay. *Clin Chim Acta* 2002;325:157–163.
- [29] Jones PS, Parrott E, White IN. Activation of transcription by estrogen receptor alpha and beta is cell type- and promoter-dependent. *J Biol Chem* 1999;274:32008–32014.
- [30] Lazennec G, Alcorn JL, Katzenellenbogen BS. Adenovirus-mediated delivery of a dominant negative estrogen receptor gene abrogates estrogen-stimulated gene expression and breast cancer cell proliferation. *Mol Endocrinol* 1999;13:969–980.
- [31] Lazennec G, Bresson D, Lucas A, Chauveau C, Vignon F. ER beta inhibits proliferation and invasion of breast cancer cells. *Endocrinology* 2001;142:4120–4130.
- [32] Lee EJ, Duan WR, Jakacka M, Gehm BD, Jameson JL. Dominant negative ER induces apoptosis in GH(4) pituitary lactotrope cells and inhibits tumor growth in nude mice. *Endocrinology* 2001;142:3756–3763.
- [33] Paech K, Webb P, Kuiper GG et al. Differential ligand activation of estrogen receptors ERalpha and ERbeta at API sites. *Science* 1997;277:1508–1510.

- [34] Paige LA, Christensen DJ, Gron H et al. Estrogen receptor (ER) modulators each induce distinct conformational changes in ER alpha and ER beta. *Proc Natl Acad Sci USA* 1999;96:3999–4004.
- [35] Poelzl G, Kasai Y, Mochizuki N et al. Specific association of estrogen receptor beta with the cell cycle spindle assembly checkpoint protein, MAD2. *Proc Natl Acad Sci USA* 2000;97:2836–2839.
- [36] Liu MM, Albanese C, Anderson CM et al. Opposing action of estrogen receptors alpha and beta on cyclin D1 gene expression. *J Biol Chem* 2002;277:24353–24360.
- [37] Girard F, Strausfeld U, Fernandez A, Lamb NJ. Cyclin A is required for the onset of DNA replication in mammalian fibroblasts. *Cell* 1991;67:1169–1179.
- [38] Takeda-Matsubara Y, Nakagami H, Iwai M et al. Estrogen activates phosphatases and antagonizes growth-promoting effect of angiotensin II. *Hypertension* 2002;39:41–45.
- [39] Andersson C, Lydrup ML, Ferno M et al. Immunocytochemical demonstration of oestrogen receptor beta in blood vessels of the female rat. *J Endocrinol* 2001;169:241–247.

## Results of a phase I clinical study using autologous tumour lysate-pulsed monocyte-derived mature dendritic cell vaccinations for stage IV malignant melanoma patients combined with low dose interleukin-2

Hitomi Nagayama<sup>a</sup>, Katsuaki Sato<sup>a</sup>, Mariko Morishita<sup>b</sup>, Kaoru Uchimaru<sup>b</sup>, Naoki Oyaizu<sup>c</sup>, Takeshi Inazawa<sup>b</sup>, Tomoko Yamasaki<sup>b</sup>, Makoto Enomoto<sup>a</sup>, Takashi Nakaoka<sup>b</sup>, Tetsuya Nakamura<sup>d</sup>, Taira Maekawa<sup>e</sup>, Akifumi Yamamoto<sup>g</sup>, Shinji Shimada<sup>h</sup>, Toshiaki Saida<sup>i</sup>, Yutaka Kawakami<sup>j</sup>, Shigetaka Asano<sup>f</sup>, Kenzaburo Tanif<sup>f</sup>, Tsuneo A. Takahashi<sup>a</sup> and Naohide Yamashita<sup>b</sup>

We conducted a pilot study to assess the feasibility and efficacy of immunotherapy for stage IV malignant melanoma patients resistant to conventional therapies involving vaccination with mature dendritic cells (mDCs) combined with administration of low dose interleukin-2. Autologous monocytes were harvested from a single apheresis and cultured for 7 days with granulocyte-macrophage colony-stimulating factor and interleukin-4, yielding immature dendritic cells (iDCs), which were then cryopreserved until use. For 4 days prior to vaccination, iDCs were exposed to autologous tumour lysate combined with tumour necrosis factor- $\alpha$  to induce terminal differentiation into mDCs. Patients were then vaccinated weekly with  $10^7$  mDCs for 10 weeks and given 350–700 kIU of interleukin-2 three times per week. Of the 10 patients in the study, one showed stable disease, seven showed progressive disease, and two showed mixed responses, including partial tumour regression, and were therefore given 20 additional injections. Only minimal adverse events were noted, including localized skin reactions and mild fever (NIH-CTC grade 0–1). Median survival from the first vaccination was 240 days (range 31–735 days). *In vitro*, melanoma patient-derived dendritic cells (DCs) showed reduced cell surface expression of CD1a antigen on iDCs and reduced CD86 and HLA-DR expression on mDCs. In addition, antigen uptake,

chemotaxis and antigen presentation were all attenuated in DCs from the patients. In summary, although improvement of clinical efficacy will require further research, autologous tumour lysate-pulsed monocyte-derived mDCs could be safely harvested, cryopreserved and administered to patients without obvious complications. *Melanoma Res* 13:521–530 © 2003 Lippincott Williams & Wilkins.

*Melanoma Research* 2003, 13:521–530

**Keywords:** Dendritic cells, vaccine, immunotherapy, melanoma, human

<sup>a</sup>Division of Cell Processing, <sup>b</sup>Department of Advanced Medical Science, <sup>c</sup>Department of Laboratory Medicine, <sup>d</sup>Department of Infectious Disease, <sup>e</sup>Division of Transfusion Medicine and <sup>f</sup>Division of Molecular Therapy, The Institute of Medical Science, The University of Tokyo, Tokyo, Japan, <sup>g</sup>Division of Dermatology, National Cancer Central Hospital, Tokyo, Japan, <sup>h</sup>Department of Dermatology, Yamanashi Medical University, Yamanashi, Japan, <sup>i</sup>Department of Dermatology, Shinsyu University School of Medicine, Matsumoto, Japan, and <sup>j</sup>Division of Cellular Signaling, The Institute of Advanced Medical Science, Keio University School of Medicine, Tokyo, Japan.

Sponsorship: This work was supported by a Grant-in-Aid for Scientific Research from the Ministry of Education, Science and Culture of Japan.

Correspondence and requests for reprints to N. Yamashita, Department of Advanced Medical Science, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639, Japan. Tel: +81 3 5449 5698; fax: +81 3 5449 5456; e-mail: yama-nao@ims.u-tokyo.ac.jp

Received 29 July 2002

Accepted 8 May 2003

### Introduction

Dendritic cells (DCs) are unique major specialist antigen-presenting cells (APCs) capable of stimulating naive T-cells during primary immune responses more potently than either peripheral blood monocytes/macrophages or B-cells [1]. Previous studies have shown that immature DCs (iDCs) exhibit several characteristic features, including (i) vigorous endocytotic ability; (ii) a high capacity to produce pro-inflammatory cytokines; and (iii) potent chemotactic responses to inflammatory chemokines such as regulated on activation normal T-

cell expressed and secreted (RANTES) and macrophage inflammatory protein (MIP)-1 $\alpha$  via chemokine receptors CCR-1 and CCR-5, respectively [1–3]. The development of iDCs into mature DCs (mDCs) can be induced by a variety of stimuli, including bacterial components such as lipopolysaccharide, pro-inflammatory cytokines such as tumour necrosis factor- $\alpha$  (TNF $\alpha$ ) and cognate CD4+ T-cell help via interaction with CD40/CD40 ligand. These events are accompanied by proteolytic cleavage within phagolysosomes, presentation of antigens at the cell surface by major histocom-

patibility complex (MHC) proteins, upregulation of co-stimulatory molecules, the ability to stimulate T-cells, downregulation of the internalization of exogenous soluble antigen, and production of pro-inflammatory cytokines [1-3]. This maturation process also involves the downregulation of the cell surface expression of CCR-1 and CCR-5, resulting in diminished chemotactic responses to inflammatory chemokines, and enhanced expression of CCR-7, resulting in mDCs homing into secondary lymphoid tissues via the interaction of CCR-7 with MIP-3β, where they prime naive T-cells and initiate primary immune responses [1-3].

Evidence from both humans and animal models suggests that by enhancing tumour-specific T-cell responses, DCs actively contribute to a protective immunity against cancer [1]. Unfortunately, the use of DC-based tumour vaccines in clinical applications has been limited by the fact that there are relatively few DCs present in peripheral blood and other tissues, making isolation of sufficient cells for vaccination difficult [1-4]. Recently, however, a method was developed to generate DCs from peripheral blood, *in vitro*, by culturing their progenitor cells in cytokine-driven systems, a procedure that has profoundly changed preclinical research as well as the clinical evaluation of these cells [5,6]. Indeed, several groups have reported that vaccination with peripheral blood monocyte-derived iDCs or mDCs pulsed with tumour lysate or a cocktail of peptides derived from tumour-associated antigens (TAAs) appears to be potentially useful as an antitumour immunotherapy, although the clinical effectiveness and the impact on the survival of tumour patients remains unclear [7-9].

In this report, we describe a clinical pilot study in which vaccination with autologous, tumour lysate-pulsed, monocyte-derived mDCs combined with administration of recombinant human (rh) interleukin-2 (IL2) was used as immunotherapy to treat stage IV malignant melanoma patients resistant to conventional therapy. In addition, we also examined the immunological features of these DCs derived from peripheral blood monocytes from cancer patients to assess their suitability for the preparation of a DC-based tumour vaccine.

**Materials and methods**

**Patient selection**

This study protocol was approved by the Institutional Review Board of the Institute of Medical Science, University of Tokyo, Japan. All eligible patients were histologically proven to have melanoma with distant metastases. Ten patients (five males, five females; aged 24-75 years, mean 47 years) who had previously tried various other therapies entered the study (Table 1). No alternative therapy was given. Inclusion criteria were an

**Table 1 Patient characteristics, status before DC vaccination and response to DC vaccination**

Patient no.	Age (years)	Sex	Site of primary tumour	Status before vaccination		No. of DC vaccinations	Clinical response	Response to DTH skin test			Survival (days from first vaccination)
				Previous therapy	Sites of metastases			Prevaccination	5 weeks	10 weeks	
1	24	Male	Skin	Surgery, chemotherapy	Liver, lymph node	6	PD	ND	ND	ND	59
2	27	Female	Skin	Surgery, chemotherapy	Skin, lymph node, lung	7	PD	±	+	ND	50
3	48	Female	Skin	Surgery, chemotherapy	Brain, liver, stomach, lymph node, lung	10	PD	±	+	+	328
4	24	Female	Unknown	None	Skin, lymph node, multiple organs (brain, lung, liver, ovary, etc.)	10	PD	±	ND	+	152
5	75	Male	Skin	Surgery, chemotherapy	Skin, lymph node	10	PD	ND	+	+	629
6	74	Male	Skin	Surgery, chemotherapy	Skin, adrenal, brain, orbit	10	SD	ND	+	+	342
7	35	Male	Skin	Surgery, chemotherapy	Skin, muscle, lung, pancreas, pelvis	8	PD	+	-	ND	52
8	58	Female	Unknown	Surgery, chemotherapy	Lymph node, skin, pericardium, retroperitoneum	30	MR	-	-	-	561
9	57	Male	Skin	Surgery, chemotherapy	Lung, muscle, adrenal	30	MR	-	±	ND	735
10	43	Female	Skin	Surgery, chemotherapy	Skin, bone, dura mater	5	PD	-	±	-	31

PD, progressive disease; SD, stable disease; MR, mixed response; ND, not done.

European Cooperative Oncology Group (ECOG) score of 0–2, adequate hepatic and renal function (total bilirubin < 2 mg/dl, serum creatinine < 2 mg/dl) and a life expectancy of more than 12 weeks. Patients with severe cardiac, pulmonary or psychiatric disease, or with acute uncontrollable infection, were excluded. As a control, peripheral blood samples from 12 normal healthy volunteers (five males, seven females; aged 23–79 years, mean 43 years) were collected and analysed. All of the study participants gave signed informed consent according to the Declaration of Helsinki before enrolling in the study.

#### Media and reagents

The medium used throughout was RPMI 1640 (Sigma, St Louis, Missouri, USA) supplemented with antibiotic-antimycotic (Gibco BRL, Gaithersburg, Maryland, USA) and 5% heat-inactivated human serum type AB (BioWhittaker, Walkersville, Maryland, USA). Recombinant human granulocyte-macrophage colony-stimulating factor (rhGM-CSF) was kindly provided by Kirin Brewery (Tokyo, Japan); rhIL2, rhIL4, rhTNF $\alpha$ , rhRANTES and rhMIP-3 $\beta$  were purchased from Pepro-Tech (London, UK).

#### *In vitro* generation and culture of iDCs from stage IV malignant melanoma patients and normal healthy volunteers

iDCs were generated from leukapheresis products as described elsewhere [10–12]. Briefly, peripheral blood mononuclear cells (PBMCs) were collected from single 15 l leukaphereses from the patients and healthy volunteers using a COBE SPECTRA (Cobe Laboratories, Lakewood, Colorado, USA). The collected cells were then separated by density gradation using Ficoll-Hypaque (Pharmacia Biotech, Uppsala, Sweden), and the light density fraction from the 42.5–50% interface was recovered. The cells were then resuspended in cold phosphate buffered saline (PBS) and allowed to adhere to 10 cm plastic dishes (Primaria, Becton Dickinson, Mountain View, California, USA) for 30 min at 37°C, after which non-adherent cells were removed and the remaining adherent cells were collected for DC preparation. The collected cells (> 10<sup>8</sup> cells/apheresis) were > 95% pure, as indicated by anti-CD14 monoclonal antibody (MAb) staining (BD Pharmingen, San Diego, California, USA).

To prepare the iDCs, the cells were cultured in 10 cm plastic dishes (> 10<sup>7</sup> cells/dish) with 6 ml of medium containing rhGM-CSF (final concentration 50 ng/ml) and rhIL4 (250 ng/ml) for 7 days at 37°C under a humidified atmosphere of 5% CO<sub>2</sub> in air, after which the resultant iDCs were harvested. Phenotypical analysis of the iDCs was carried out using a FACS Calibur flow cytometer (Becton Dickinson), CELL Quest Software (Becton Dickinson) and fluorescein isothiocyanate

(FITC)- or phycoerythrin (PE)-conjugated isotype-matched control MAbs (BD Pharmingen). DC family markers (CD1a and CD11c), co-stimulatory molecules (CD40, CD80 and CD86) and HLA-DR were detected in iDCs from both melanoma patients and healthy donors. To assess the endocytotic activity of the iDCs, mannose receptor-mediated endocytosis of FITC-conjugated dextran (FITC-DX) (Molecular Probes, Eugene, Oregon, USA) and macropinocytosis of lucifer yellow (LY) (Molecular Probes) via cytoskeleton-dependent fluid-phase endocytosis were evaluated [10,11]. Chemotaxis of DCs to RANTES or MIP-3 $\beta$  (100 ng/ml) was assessed as described previously [10,11]. Data were expressed as the number of migrated cells/high power field (HPF).

#### Freezing and thawing procedures

The washed and counted iDCs were diluted in ice-cold medium consisting of 50% human type AB serum, 10% dimethyl sulphoxide and 40% RPMI 1640. The cells were distributed in 1 ml aliquots (> 10<sup>7</sup> cells) into pre-cooled plastic vials, after which the vials were placed in an isopropanol-containing biofreezing vessel (BICELL, Nihon Freezer, Japan) and the temperature was reduced to –135°C at a rate of 1°C/min. The cryopreserved samples were then stored until used.

For experimentation, the frozen vials were quickly thawed in a 37°C water bath, after which the cells were washed twice in washing medium consisting of 10% human type AB serum, 5% dextran and 85% RPMI 1640, plated in 10 cm plastic dishes, and cultured in the absence of cytokine for 24 h at 37°C. Preliminary studies showed that frozen and thawed samples contained greater than 95% viable cells as determined by trypan blue exclusion (data not shown).

#### Tumour lysate extraction

Tumour lysates were used as a tumour antigen since TAA-derived antigenic peptides suitable for our melanoma patients were not available. To prepare the lysates, tumour mass was obtained by exclusion of non-malignant tissues from tumour biopsies with a scalpel. The isolated mass was then homogenized, and tumour cells were obtained by depleting lymphocytes from the total cell suspension using immunomagnetic bead-conjugated MAbs against CD2, CD14 and CD19 (Dyna, Oslo, Norway) according to the manufacturer's instructions. Aliquots of the isolated tumour cells (> 10<sup>7</sup> = melanoma cells/tube) were then lysed by putting them through three freeze (in liquid nitrogen) and thaw (in a 37°C water bath) cycles. The lysed cells were centrifuged at 800 g for 5 min, and the supernatants were passed through a 0.22  $\mu$ m filter (Millipore Corporation, Bedford, Massachusetts, USA). The protein contents of the resultant cell-free lysates were determined using DC protein assay kits (Bio-Rad Laboratories, Hercules,

California, USA). Aliquots (500 µg/tube) were then stored at -135°C until use.

**Preparation of tumour lysate-pulsed mDCs for vaccination**  
iDCs ( $> 10^7$  cells/dish) in 10 cm plastic dishes were cultured for 24 h in 3 ml of medium containing 100 µg/ml of autologous tumour lysates, and then in 6 ml of medium containing 50 ng/ml rhTNFα for an additional 4 days to induce terminal differentiation into mDCs.

#### **Vaccination of patients with tumour lysate-pulsed monocyte-derived mDCs**

One week before vaccination, the patients were interviewed and medical histories taken, and the following baseline studies were carried out. A physical examination and complete blood work up consisting of differentiation, blood chemistry and serology, including assays for C-reactive protein and tumour markers lactate dehydrogenase (LDH) and 5-S-cysteinyldopa (5-SCD) were performed. Whole-body computed tomography (CT) and delayed-type hypersensitivity (DTH) skin testing was carried out. Antinuclear antibodies (ANA) titres were determined in all patients upon enrolment in the study and after receiving 10 vaccinations to detect any serological autoimmune reaction. Each week for 10 weeks the eligible patients were injected intradermally with tumour lysate-pulsed monocyte-derived mDCs ( $10^7$  cells/injection) in close proximity to the cervical and inguinal lymph nodes. The clinical response was evaluated according to World Health Organization (WHO) criteria. Patients were deemed to have had a 'mixed response' if some of their tumours showed regression by  $> 25\%$  of the pretreatment mass while others showed progression by  $> 25\%$  of the pretreatment mass or new metastases appeared. Adverse events were evaluated by grading the toxicity according to the National Cancer Institute (NCI) Common Toxicity Criteria (CTC) guidelines version 2. Two patients with mixed responses were enrolled in an additional 20-vaccination protocol after obtaining signed informed consent and the recommendation of the institutional review board.

#### **Concurrent administration of rhIL2 with DC-based tumour vaccine**

rhIL2 (Imunace, 350 000 IU/vial, Shionogi Pharmacy, Osaka, Japan) was administered subcutaneously into the forearm three times a week. The dose of rhIL2 was generally 700 000 IU/day, though it had to be reduced in one patient because of side effects (mainly fever lower than 38°C and eosinophilia).

#### **DTH skin testing**

DTH skin tests were performed 4 days before the first vaccination and then 5 and 10 weeks after it. Irradiated (150 Gy from a  $^{137}\text{Cs}$  source) autologous melanoma cells ( $10^6$  cells) or their lysates were injected intradermally

into the patients' forearm. In addition, irradiated autologous PBMCs ( $10^6$  cells) and their lysates were used as a negative control. Forty-eight hours after each injection, the diameter of the erythema and the induration were measured. Erythemas  $< 10$  mm in diameter were defined as negative (-), those 10 mm in diameter were defined as neutral ( $\pm$ ), and those  $> 10$  mm in diameter were defined as positive (+). Erythemas  $> 10$  mm with induration were defined as strongly positive (++)

#### **Migration assay**

In order to investigate the chemotactic migration of iDCs and mDCs toward RANTES and MIP-3β, polycarbonate membrane filters (pore size 8.0 µm) were precoated with 5 µg of gelatin. The lower chambers contained 600 µl of medium with or without 100 ng/ml RANTES and MIP-3β. The upper chamber contained 100 µl of cell suspension ( $10^6$  cells). The chambers were incubated at 37°C for 4 h. After staining with haematoxylin and eosin, the number of migrated cells per HPF was counted (magnification  $\times 400$ ).

#### **Allogeneic MLR**

T-cells were isolated to  $> 98\%$  purity from PBMCs as described previously [13]. Allogeneic T-cells ( $10^5$  cells) were co-cultured with irradiated (15 Gy from a  $^{137}\text{Cs}$  source) DCs ( $10^4$  cells) in 96-well flat-bottom microplates (Coster, Cambridge, Massachusetts, USA). Thymidine incorporation was measured on day 5 following an 18 h pulse with [ $^3\text{H}$ ]thymidine (1 µCi/well, specific activity 5 Ci/mmol, Amersham Life Science, Little Chalfont, Buckinghamshire, UK).

#### **Cytotoxicity assay**

PBMCs were obtained from four melanoma patients (patients 5, 6, 8 and 9) 10 weeks after the first vaccination and cultured for 4 days in medium containing 100 U/ml rhIL2 plus 100 µg/ml autologous tumour lysates. CD2+ lymphocytes were then positively selected from IL2-activated tumour lysate-pulsed PBMCs using anti-CD2 MAb conjugated immunomagnetic beads. CD2+ cells ( $10^4$  to  $5 \times 10^5$  cells) were co-cultured for 4 h in 96-well round-bottom plates with autologous or allogeneic melanoma cells ( $10^4$  cells) labelled with  $\text{Na}_2^{51}\text{CrO}_4$  (100 µCi/ $10^6$  cells, NEN Life Science Products, Boston, Massachusetts, USA) at effector cell-to-target cell ratios ranging from 25 to 200 (Coster). The supernatants were subsequently harvested, the radioactivity counted, and the percentage of specific lysis calculated [13]. The counts per minute (c.p.m.) for spontaneous release were  $< 20\%$  of the total release c.p.m.

#### **Statistical analysis**

The statistical significance of differences between the two groups was evaluated using the non-parametric Mann-Whitney *U*-test.

## Results

### Patient characteristics and clinical outcome

Ten stage IV malignant melanoma patients whose clinical status varied widely were enrolled into our study; their previous therapies and clinical responses are summarized in Table 1. Following the 10 week therapeutic protocol, analysis of total body CT images revealed a reduction in tumour metastasis in two patients (patients 8 and 9). Figure 1a–c shows the disappearance of multiple lung metastases in patient 9, while Figure 1d–f shows necrosis and regression of a metastatic lesion in patient 8. Necrosis of numerous metastatic lesions was observed during treatment in both patients 8 and 9. Figure 2 shows a pathological specimen from a metastatic skin lesion after treatment in patient 8; note the prominent central necrosis. Necrosis of metastatic lesions was accompanied by local pain, elevated C-reactive protein and sometimes fever; necrosis of skin lesions was also accompanied by local erythema. However, because other metastatic lesions in patients 8 and 9 showed increased tumour mass, the final response of these patients was deemed to be mixed. The clinical response of patient 6 was stable disease, while that of the remaining seven patients was

progressive disease, although the rate of increase in total tumour mass declined slightly following treatment in patient 5 (data not shown).

Adverse events are summarized in Table 2. Mild fever (38°C) was occasionally seen for 1–2 days, or a transient erythema and induration occurred around the vaccination sites. We observed no clinical signs of autoimmune disease, and the antinuclear antibody titres were not elevated after therapy. There was no sign of NCI-CTC grade 3–4 toxicity following DC-based vaccination. We therefore conclude that our phase I immunotherapy protocol using autologous, tumour lysate-pulsed, monocyte-derived mDCs plus rhIL2 can be applied repeatedly without substantial side effects.

### DTH reaction of melanoma patients

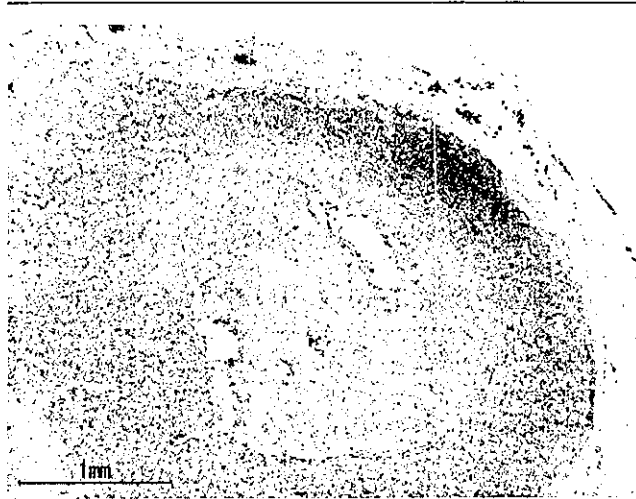
To evaluate tumour-specific immunity, we examined the DTH responses toward irradiated autologous melanoma cells and their lysates (Table 1). Before treatment, there was little or no DTH response against these samples, except in patient 7. By contrast, 5 and 10 weeks after the first vaccination, DTH responses against irradiated autologous melanoma cells and their

Fig. 1



CT of the lungs at about the same level in patients 9 (a–c) and 8 (d–f) obtained before (a,d), during (b,e) and after (c,f) treatment. Arrows indicate metastases. The disappearance of multiple lung metastases in patient 9 (a–c) and the regression of retroperitoneal metastasis in patient 8 (d–f) can be seen.

Fig. 2



Resected specimen of a metastatic skin lesion from patient 8; note the central necrosis. Scale bar: 1 mm.

lysates were detected in five of the patients (patients 2, 3, 4, 5 and 6). No responses to irradiated autologous PBMCs or their lysates were detected (data not shown).

**Quality control of administered patient-derived DCs and comparison with those from healthy volunteers**

To compare the biological properties of monocyte-derived DCs from melanoma patients and healthy volunteers, we first examined the cell surface expression of DC-family markers (CD1a and CD11c), costimulatory molecules (CD40, CD80 and CD86) and HLA-DR (Fig. 3). Flow cytometric analysis revealed levels of CD1a expression on iDCs from melanoma patients that were significantly lower than on those from healthy donors (Fig. 3a,  $P < 0.001$ ). Expression of

the other molecules tested was similar in the two groups of iDCs (Fig. 3b-g). TNF $\alpha$ -stimulated mDCs from melanoma patients and healthy volunteers expressed similar levels of CD83, which is known to be a maturation marker for a family of DCs [6] (Fig. 3e). On the other hand, expression of CD1a, CD40, CD80, CD86 and HLA-DR was upregulated on mDCs from healthy volunteers, but not on those from melanoma patients (Fig. 3a, c, d, f and g); indeed, cell surface expression of CD86 and HLA-DR was significantly downregulated ( $P < 0.001$ ) on melanoma patient-derived mDCs (Fig. 3f,g).

To assess induction of DC endocytotic activity by TAA/tumour lysates from melanoma patients and healthy volunteers, mannose-receptor-mediated endocytosis of FITC-DX and macropinocytosis of LY via cytoskeleton-dependent fluid-phase endocytosis were evaluated (Fig. 4). The amounts of FITC-DX (Fig. 4a) and LY (Fig. 4b) internalized by iDCs from melanoma patients tended to be lower than the amounts internalized by iDCs from healthy volunteers, though the effect was only significant for LY. The capacity to internalize FITC-DX and LY was downregulated in both types of mDC.

iDCs are known to migrate toward sources of inflammatory chemokines, while mDCs migrate toward sources of homeostatic chemokines [2,3,11]. To compare the responsiveness to chemokines of DCs from melanoma patients and healthy volunteers, we examined the chemotactic migration induced by RANTES and MIP-3 $\beta$ . We found that iDCs from melanoma patients responded less to RANTES than those from healthy volunteers (Fig. 5a,  $P < 0.05$ ), and that RANTES-induced chemotaxis was diminished in both types of mDC (Fig. 5b). mDCs from both melanoma patients and healthy volunteers responded to MIP-3 $\beta$ , though

Table 2 Adverse events accompanying DC vaccination (NCI-CTC grading)

	Patient no.									
	1	2	3	4	5	6	7	8	9	10
Blood/bone marrow										
Platelets	0 <sup>a</sup>	0	0	0	0	0	0	0	0	0
Constitutional symptoms										
Fever	0	0	0	0	0	0	0	0	0	0
Weight gain	0	0	0	0	0	0	0	0	0	0
Weight loss	0	0	0	0	0	0	0	0	0	0
Dermatology/skin										
Injection site reaction	1	0	0	0	0	0	1	0	0	0
Tumour site reaction concurrent with tumour regression	0	0	0	0	0	0	0	1	1	0
Autoimmune reaction										
ANA before treatment <sup>b</sup>	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x
ANA after completion of treatment <sup>b</sup>	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x	< 40x

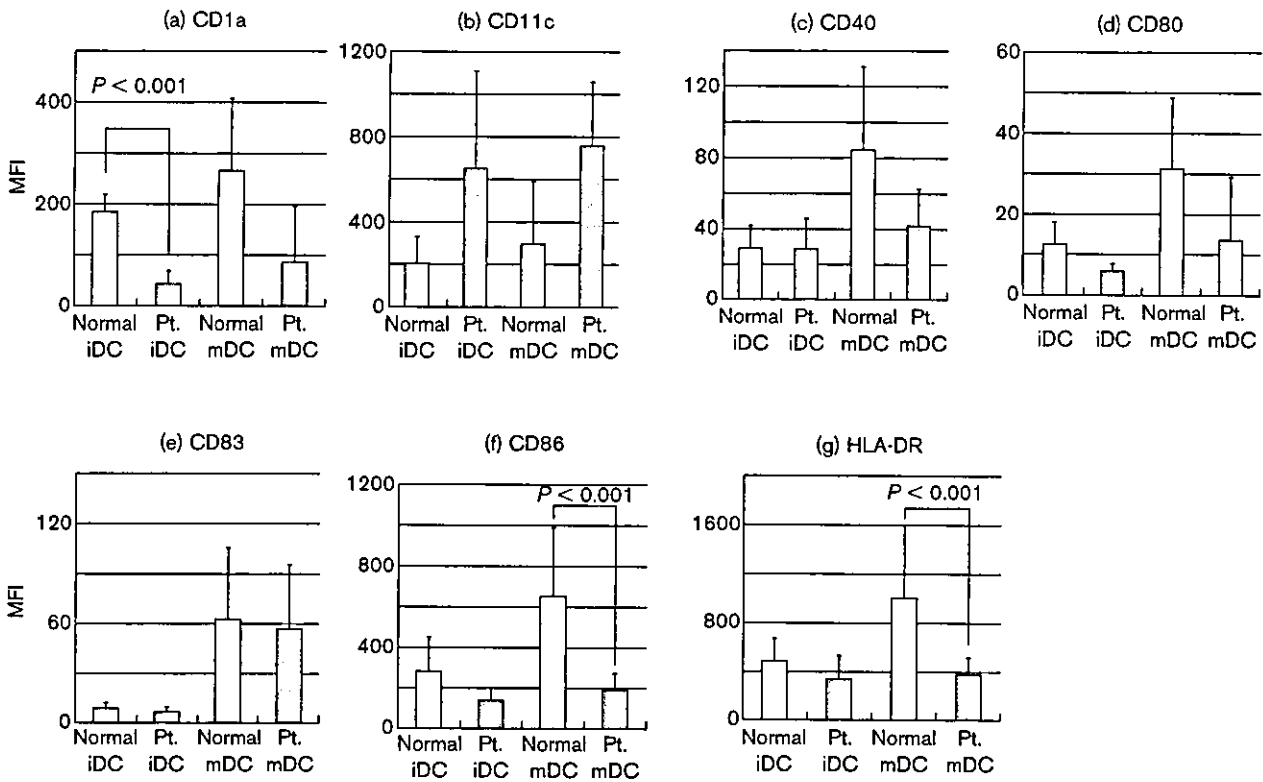
ANA, antinuclear antibody titre.

<sup>a</sup>This case exhibited lower platelet counts (grade 2-3) due to complicated disseminated intravascular coagulation; consequently this adverse event was considered to be unrelated to the DC vaccination.

<sup>b</sup>Fold of titre.

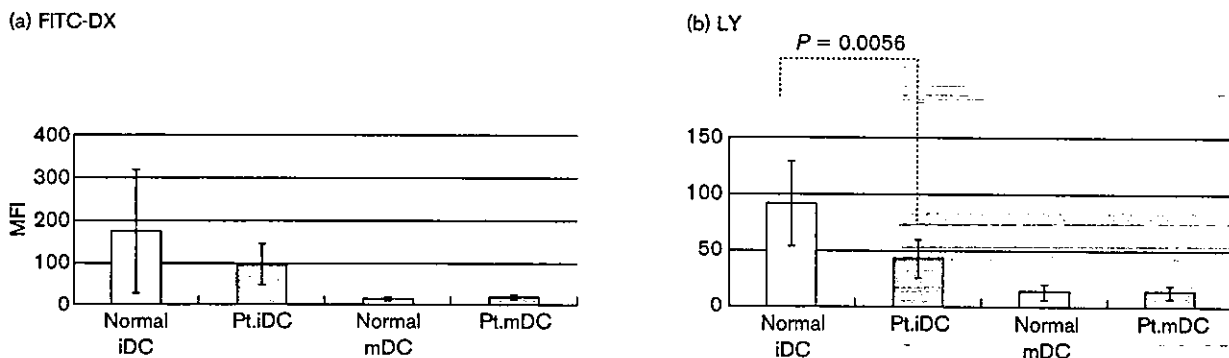


Fig. 3



Immunophenotyping of iDCs and normal mDCs derived from patients and healthy volunteers. Cell surface expression of CD1a (a), CD11c (b), CD40 (c), CD80 (d), CD83 (e), CD86 (f) and HLA-DR (g) was analysed by flow cytometry. The bars depict the mean  $\pm$  SD for iDCs and normal mDCs from healthy volunteer donors (Normal;  $n = 12$ ; white bars) and melanoma patients (Pt.;  $n = 10$ ; grey bars). Values were compared using the Mann-Whitney's *U*-test. MFI, mean fluorescence intensity.

Fig. 4



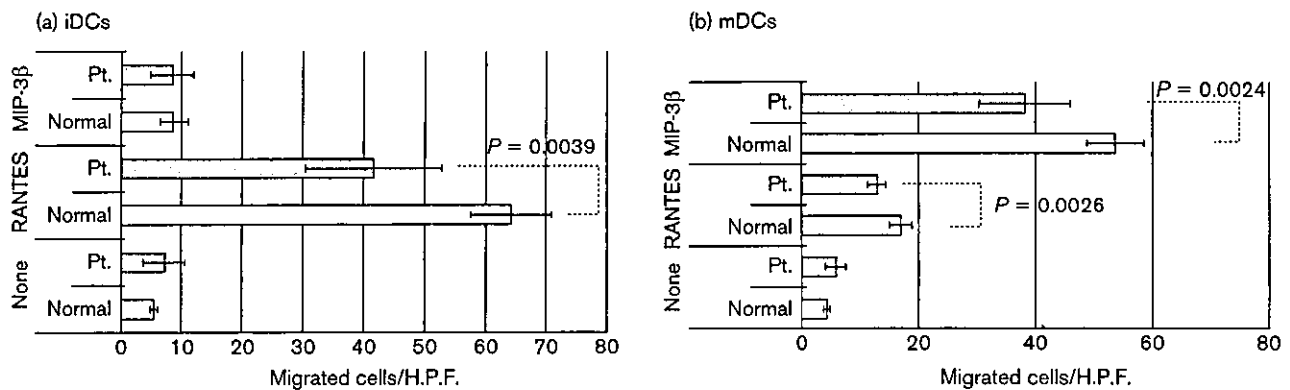
Flow cytometric analysis of the antigen uptake capacities of iDCs and mDCs. The bars depict the mean  $\pm$  SD amount of FITC-DX (a) and LY (b) internalized at 37°C over a period of 1 h by iDCs and mDCs from healthy volunteers (Normal, white bars) and melanoma patients (Pt., grey bars). MFI, mean fluorescence intensity.

patient-derived mDCs were less responsive than those derived from healthy volunteers (Fig. 5b,  $P < 0.05$  for both RANTES and MIP-3 $\beta$ ).

We also found that iDCs from the patients and healthy

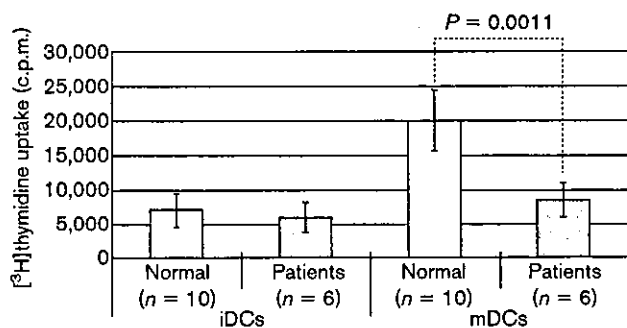
volunteers exhibited similar capacities to stimulate allogeneic T-cells (Fig. 6). This capacity was not enhanced in mDCs from melanoma patients, but was enhanced in mDCs from healthy volunteers ( $P < 0.05$ ). It thus appears that changes in the expression of MHC pro-

Fig. 5



Chemotactic migration of iDCs (a) and mDCs (b) from melanoma patients (grey bars) and healthy volunteer donors (white bars) toward RANTES and MIP-3 $\beta$ . Polycarbonate membrane filters (pore size 8.0  $\mu$ m) were precoated with 5  $\mu$ g of gelatin. The lower chambers contained 600  $\mu$ l of medium with or without 100 ng/ml RANTES and MIP-3 $\beta$ . The upper chamber contained 100  $\mu$ l of cell suspension ( $10^6$  cells), which were incubated at 37°C for 4 h. After staining with haematoxylin and eosin, the numbers of migrated cells per HPF were counted at magnification  $\times 400$ .

Fig. 6



Stimulation of allogeneic T-cells by DCs from melanoma patients and normal healthy volunteers. T-cells isolated from peripheral blood were cultured for 5 days with irradiated DCs ( $10^4$  cells), after which [ $^3$ H]thymidine incorporation was determined.

duct/co-stimulatory molecules paralleled the ability of these cells to stimulate allogeneic T-cells.

#### **In vitro cytolytic activities of IL2-activated CD2+ lymphocytes**

We examined the cytotoxic activity of PBMCs against autologous and allogeneic melanoma cells derived from four melanoma patients (patients 5, 6, 8 and 9). When PBMCs were stimulated with tumour lysate plus rhIL2 *in vitro*, we detected cytolytic activity by CD2+ lymphocytes against autologous melanoma cells, but not against HLA-mismatched allogeneic melanoma cells (Fig. 7). In contrast, no such activity was detected with CD2+ lymphocytes obtained from melanoma patients before treatment (data not shown).

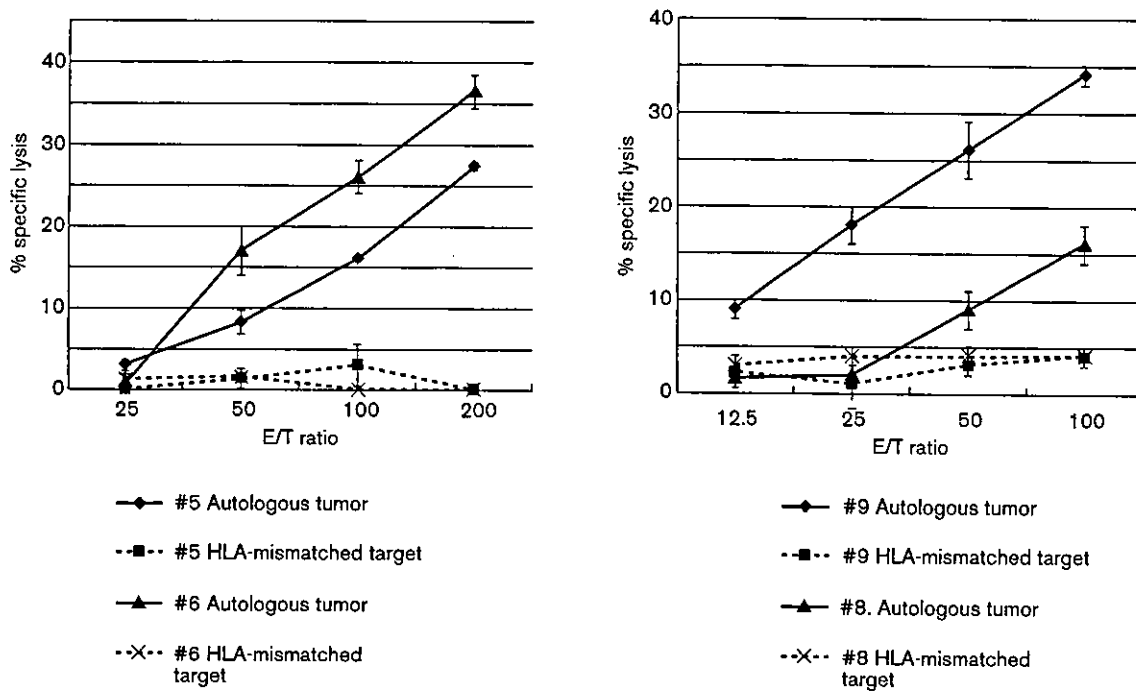
#### **Discussion**

We have described a pilot study in which an immunotherapy protocol involving administration of a DC-

based vaccine plus low dose rhIL2 was used to treat stage IV malignant melanoma patients unresponsive to other therapies. Our protocol entailed several modifications of a previously described immunotherapy with a DC-based vaccine [7]. First, we carried out a single 15 l leukapheresis on each melanoma patient to obtain  $> 10^8$  monocyte-derived DCs, which enabled us to vaccinate each patient 10 times ( $10^7$  tumour lysate-pulsed mDCs per injection). Thus, leukapheresis provided us with a means to obtain large numbers of DC-based cells without requiring patients to undergo frequent blood collection. Second, we used mDCs, rather than iDCs, as a source of DC-based vaccine because (i) mDCs show superior ability to induce Th1 and cytotoxic T-lymphocyte responses [1]; (ii) mDCs are more resistant to the immunosuppressive effect of IL10 than iDCs [14]; and (iii) mDCs more efficiently home into and accumulate within T-cell-dependent areas of secondary lymphoid tissues than iDCs [1]. Third, we combined DC-based vaccination with low dose administration of rhIL2. Shimizu *et al.* [15] reported that administration of IL2 enhances the therapeutic efficacy of DC-based vaccines in murine experimental models [15]; it is also well known that IL2 acts not only as a growth factor for lymphocytes but also enhances their cytolytic activities. Moreover, DCs directly activate natural killer (NK) [16] and natural killer T (NKT) [17] cells, and rhIL2 may potentiate this effect.

Our data show that the side effects of this approach were negligible, though most of the patients remained unresponsive to therapy. However, two patients did show a mixed response, and others showed enhancement of *in vivo* DTH responses against irradiated autologous melanoma cells and their lysates (Table 1) as well as cytolytic responses of *in vitro*-activated CD2+ lymphocytes against autologous melanoma cells (Fig.

Fig. 7



Cytolytic activity of *in vitro*-activated, patient-derived CD2+ lymphocytes against autologous or allogeneic melanoma cells. Lysis was measured in terms of the percentage  $^{51}\text{Cr}$  release as described in Materials and methods.

7). Moreover, our finding that patient-derived melanoma cells are a heterogeneous population in terms of their expression of MHC products and the CD86 co-stimulatory molecule suggests that tumour lysate-pulsed DCs and rhIL2 synergistically activated lymphocytes to kill melanoma cells through both MHC-dependent and MHC-independent mechanisms. It therefore appears that immunotherapy with a DC-based vaccine and rhIL2 has the potential to give protective immunity against melanoma cells in stage IV with no NCI-CTC grade 3–4 side effects. Taken together, these findings provide a clinical safety rationale for the use of a DC-based vaccine in patients with advanced melanoma and indicate that further research into improving the efficacy of this approach is clearly warranted.

We were also interested in whether our therapeutic protocol could induce tumour-specific immunity in melanoma patients. Our data showed enhanced DTH responses against melanoma cells and enhanced cytolytic responses by *in vitro*-activated CD2+ lymphocytes against autologous melanoma cells in some patients. The diminished lung metastasis observed in patient 9 and the central necrosis observed in both patients 8 and 9 appear to reflect the antitumour immunity induced by DC therapy, though the precise cause of these

effects is not yet certain. Nestle *et al.* [7] previously reported an association between the clinical response and the DTH response in advanced melanoma patients receiving DC-based vaccine. However, our findings and those of Thurner *et al.* [8] suggest that, although we were able to induce a degree of protective immunity against melanoma cells (e.g. DTH responses), there was not necessarily an association with clinical outcome. This discrepancy probably reflects differences in the clinical status of the patients and the experimental designs, which suggest that a balance between the degree of protective immunity induced and the patient status (e.g. the tumour burden) may determine the clinical outcome in malignant melanoma patients given this type of immunotherapy.

Central necrosis of metastatic tumours was a prominent feature of the antitumour response observed in our study. It is now well established that tumour angiogenesis is regulated by the vascular endothelial growth factor (VEGF) family of cytokines [18–20], and that DCs produce large amounts of interferon- $\gamma$  (IFN $\gamma$ ) in response to IL12 stimulation [12]. The observed necrosis may therefore reflect inhibition of the VEGF cascade, and thus tumour angiogenesis, by IFN $\gamma$  released from administered DCs or from activated Th1/NK cells.

Why the treatments were ineffective in most patients remains unclear. It may be that melanoma cells escape host protective immunity during the course of treatment. For example, melanoma cells reportedly produce large amounts of IL10 and transforming growth factor- $\beta$  (TGF $\beta$ ), which suppress host anticancer immunity [21–23]. Yue *et al.* [21] described the transcription and translation of IL10 and IL10 receptor (IL10R) in patient-derived melanoma cells, as well as decreased cell surface expression of HLA class I, class II and intercellular adhesion molecule-1 (ICAM-1), which reciprocally regulates IL10R expression [21]. In addition, we have previously shown that IL10 interferes with TNF $\alpha$ -induced maturation of iDCs [10], and expression of HLA-DR was diminished on mDCs from melanoma patients in the present study. Taken together, these findings strongly suggest an IL10-mediated escape of melanoma cells from host immune surveillance. Steinbrink *et al.* [22] described a melanoma antigen-specific anergy caused by treating DCs with IL10, and Enk *et al.* [23] suggested that DCs themselves mediate tumour-induced tolerance in metastatic melanoma. This means that IL10 and TGF $\beta$ 1 may induce the dysfunctional immune properties seen in the patient-derived DCs examined in the present study. The use of HLA-matched unrelated donor-derived monocytes as sources of DCs, or gene transduction of appropriate immunostimulatory molecules into autologous DCs and tumour cells, may enable us to overcome the impaired host antitumour immunity.

### Acknowledgements

We would like to thank Ms K. Kinoshita for her excellent secretarial assistance and Ms K. Sato for her kind technical assistance.

### References

- Banchereau J, Briere F, Caux C, Davoust J, Lebecque S, Liu Y-J, *et al.* Immunobiology of dendritic cells. *Annu Rev Immunol* 2000; **18**: 767–811.
- Cyster JG. Chemokines and the homing of dendritic cells to the T cell areas of lymphoid organs. *J Exp Med* 1999; **189**:447–450.
- Sozzani S, Allavena P, Vecchi A, Mantovani A. The role of chemokines in the regulation of dendritic cell trafficking. *J Leukoc Biol* 1999; **66**:1–9.
- Hsu FJ, Benike C, Fagnoni F, Liles TM, Czerwinski D, Taidi B, Engleman EG. Vaccination of patients with B-cell lymphoma using autologous antigen-pulsed dendritic cells. *Nat Med* 1996; **2**:52–58.
- Sallusto F, Lanzavecchia A. Efficient presentation of soluble antigen by cultured human dendritic cells is maintained by granulocyte/macrophage colony-stimulating factor plus interleukin 4 and downregulated by tumor necrosis factor  $\alpha$ . *J Exp Med* 1994; **179**:1109–1118.
- Zhou L-J, Tedder TF. CD14<sup>+</sup> blood monocytes can differentiate into functionally mature CD83<sup>+</sup> dendritic cells. *Proc Natl Acad Sci USA* 1996; **93**:2588–2592.
- Nestle FO, Aljagic S, Gillet M, Sun Y, Grabbe S, Dummer R, *et al.* Vaccination of melanoma patients with peptide- or tumor lysate-pulsed dendritic cells. *Nat Med* 1998; **4**:328–332.
- Thurner B, Haendle I, Roder C, Diekmann D, Keikavoussi P, Jonuleit H, *et al.* Vaccination with mage-3A1 peptide-pulsed mature, monocyte-derived dendritic cells expand specific cytotoxic T cells and induce regression of some metastasis in advanced stage IV melanoma. *J Exp Med* 1999; **190**:1669–1678.
- Kugler A, Stuhler G, Walden P, Zoller G, Zobywalski A, Brossart P, *et al.* Regression of human metastatic renal cell carcinoma after vaccination with tumor cell-dendritic cell hybrids. *Nat Med* 2000; **6**:332–336.
- Sato K, Nagayama H, Tadokoro K, Juji T, Takahashi TA. Extracellular signal-regulated kinase, stress-activated protein kinase/c-Jun N-terminal kinase, and p38<sup>mapk</sup> are involved in IL-10-mediated selective repression of TNF- $\alpha$  induced activation and maturation of human peripheral blood monocyte-derived dendritic cells. *J Immunol* 1999; **162**:3865–3872.
- Sato K, Kawasaki H, Nagayama H, Enomoto M, Morimoto C, Tadokoro K, *et al.* TGF- $\beta$ 1 reciprocally controls chemotaxis of human peripheral blood monocyte-derived dendritic cells via chemokine receptors. *J Immunol* 2000; **164**:2285–2295.
- Nagayama H, Sato K, Kawasaki H, Enomoto M, Morimoto C, Tadokoro K, *et al.* IL-12 responsiveness and expression of IL-12 receptor in human peripheral blood monocyte-derived dendritic cells. *J Immunol* 2000; **165**:59–66.
- Sato K, Nagayama H, Takahashi TA. Aberrant CD3- and CD28-mediated signaling events in cord blood T cells are associated with dysfunctional regulation of Fas ligand-mediated cytotoxicity. *J Immunol* 1999; **162**:4464–4471.
- Steinbrink K, Jonuleit H, Muller G, Schuler G, Knop J, Enk AH. Interleukin-10-treated human dendritic cells induce a melanoma-antigen-specific anergy in CD8<sup>+</sup> T cells resulting in a failure to lyse tumor cells. *Blood* 1999; **93**:1634–1642.
- Shimizu K, Fields RC, Giedlin M, Mule JJ. Systemic administration of interleukin 2 enhances the therapeutic efficacy of dendritic cell-based tumor vaccines. *Proc Natl Acad Sci USA* 1999; **96**:2268–2273.
- Fernandez NC, Lozier A, Flament C, Ricciardi-Castagnoli P, Bellet D, Suter M, *et al.* Dendritic cells directly trigger NK cell functions: cross-talk relevant in innate anti-tumor immune responses in vivo. *Nat Med* 1999; **5**:405–411.
- Kawano T, Nakayama T, Kamada N, Kaneko Y, Harada M, Ogura N, *et al.* Antitumor cytotoxicity mediated by ligand-activated human V $\alpha$ 24NKT cells. *Cancer Res* 1999; **59**:5102–5105.
- Dias S, Boyd R, Balkwill F. IL-12 regulates VEGF and MMPs in a murine breast cancer model. *Int J Cancer* 1998; **78**:361–365.
- Trompezinski S, Denis A, Vinche A, Schmitt D, Viac J. IL-4 and interferon-gamma differentially modulate vascular endothelial growth factor release from normal human keratinocytes and fibroblasts. *Exp Dermatol* 2002; **11**:224–231.
- Bolling B, Fandrey J, Frosch PJ, Acker H. VEGF production, cell proliferation and apoptosis of human IGR 1 melanoma cells under rIFN- $\alpha$ /beta and rIFN- $\gamma$  treatment. *Exp Dermatol* 2000; **9**:327–335.
- Yue FY, Dummer R, Geertsens R, Hofbauer G, Laine E, Manolio S, Burg G. Interleukin-10 is a growth factor for human melanoma cells and down-regulates HLA class-I, HLA class-II and ICAM-1 molecules. *Int J Cancer* 1997; **71**:630–637.
- Steinbrink K, Jonuleit H, Muller G, Schuler G, Knop J, Enk AH. Interleukin-10-treated human dendritic cells induce a melanoma-antigen-specific anergy in CD8<sup>+</sup> T cells resulting in a failure to lyse tumor cells. *Blood* 1999; **93**:1634–1642.
- Enk AH, Jonuleit H, Saloga J, Knop J. Dendritic cells as mediators of tumor-induced tolerance in metastatic melanoma. *Int J Cancer* 1997; **73**:309–316.



## 17 $\beta$ -Estradiol inhibits cardiac fibroblast growth through both subtypes of estrogen receptor

Tokumitsu Watanabe,<sup>a</sup> Masahiro Akishita,<sup>b</sup> Hong He,<sup>a</sup> Yukiko Miyahara,<sup>a</sup> Koichiro Nagano,<sup>a</sup> Takashi Nakaoka,<sup>c</sup> Naohide Yamashita,<sup>c</sup> Koichi Kozaki,<sup>a</sup> and Yasuyoshi Ouchi<sup>a,\*</sup>

<sup>a</sup> Department of Geriatric Medicine, Graduate School of Medicine, University of Tokyo, Tokyo 113-8655, Japan

<sup>b</sup> Department of Geriatric Medicine, Kyorin University School of Medicine, Tokyo 181-8611, Japan

<sup>c</sup> Department of Advanced Medicine, Institute of Medical Science, University of Tokyo, Tokyo 108-8639, Japan

Received 29 September 2003

### Abstract

The effect of 17 $\beta$ -estradiol (E2) on the proliferation of cardiac fibroblasts (CFs) remains controversial. This study investigated which subtype of estrogen receptor (ER), ER $\alpha$  or ER $\beta$ , mediated the effect of E2 on CF growth by the gain of function analysis using an adenovirus vector. One hundred nanomoles per liter of E2 attenuated DNA synthesis by up to 10%, and transactivated the estrogen-responsive element determined by luciferase assay in rat neonatal CFs. We constructed replication-deficient adenoviruses bearing the coding region of human ER $\alpha$ , ER $\beta$ , or the dominant-negative form of ER $\beta$  (designated AxCAER $\alpha$ , AxCAER $\beta$ , and AxCADNER $\beta$ , respectively). When CFs were infected with AxCAER $\alpha$  or AxCAER $\beta$  at multiplicity of infection of 20 or higher, DNA synthesis was decreased by 50% in response to E2 and the effect was abolished by co-infection with AxCADNER $\beta$ . Similarly, transcriptional activity of ER in CFs infected with AxCAER $\alpha$  or AxCAER $\beta$  was markedly enhanced and co-infection with AxCADNER $\beta$  abolished the effects. These results suggest that E2 inhibits CF growth and that both ER subtypes mediate the effect comparably and redundantly.

© 2003 Elsevier Inc. All rights reserved.

**Keywords:** Cardiac remodeling; Cardiac fibroblast; Hormones; Receptor; Adenovirus

Structural remodeling of the ventricular wall takes place in several cardiac disorders including acute myocardial infarction, cardiomyopathy, and hypertensive heart disease. Histopathologically, it is characterized by a structural rearrangement of components of the normal chamber wall that involves cardiomyocyte hypertrophy, proliferation of cardiac fibroblast (CFs), fibrosis, and cell death [1]. In the adult heart, CFs substantially constitute the non-myocyte cells [2] and contribute to cardiac remodeling by undergoing proliferation, depositing extracellular matrix proteins which are mainly produced by CFs in the myocardium, and eventually replacing myocytes with fibrotic scar tissue. CFs also produce matrix metalloproteinases, growth factors, and cytokines, all of which are involved in the maintenance

of myocardial structure, and in diseased hearts play pivotal roles in remodeling [3]. Recent studies have shown that the interactions between CFs and cardiomyocytes are essential for the progression of cardiac remodeling [3]. Thus, it is clinically important to inhibit CF growth in the process of cardiac remodeling.

From several epidemiological studies, estrogen (E2) is thought to have a protective effect against left ventricular hypertrophy which is an important cardiovascular risk factor for morbidity and mortality [4–6]. Premenopausal women have a lower prevalence of left ventricular hypertrophy than their age-matched male counterparts [4]. Left ventricular mass is significantly greater in men than in women even after indexing for body surface area [5,6]. Experimental studies have shown cardioprotective roles of E2 [7–10], however, the direct effect of E2 on cardiac cell growth remains to be determined. Previous studies have demonstrated that the

\* Corresponding author. Fax: +81-3-5800-6530.

E-mail address: [youchi-tky@umin.ac.jp](mailto:youchi-tky@umin.ac.jp) (Y. Ouchi).

exogenous administration of E2 either decreased [7], increased [11], or had no effect on DNA synthesis in cultured CFs [12,13].

Most biological effects of E2 are mediated by the estrogen receptor (ER). ER has two subtypes, classical ER $\alpha$  and newly identified ER $\beta$  [14]. It is reported that both ER subtypes are expressed in CFs [13,15]. However, little is known about the involvement of ER in CF growth, although many transcriptional factors including nuclear receptors regulate the functions of CFs in the process of cardiac remodeling [3]. There is only one report showing that the inhibitory effect of E2 on CF growth is independent of ER [16]. Adenovirus-mediated gene transfer is a useful tool to clarify the precise role of a specific gene. We constructed replication-deficient adenovirus vectors carrying ER $\alpha$ , ER $\beta$  or dominant-negative form of ER $\beta$ . In this study, to determine the effect of E2 on CF growth and which ER subtype plays a pivotal role in the cell growth, we evaluated DNA synthesis in CFs overexpressing each ER subtype using adenovirus vector. Here we show that E2 attenuated DNA synthesis by up to 10% in rat neonatal CFs and that adenovirus-mediated overexpression of either of the ER subtypes in CFs augmented growth inhibition in a ligand-dependent manner.

## Methods

**Cell culture.** Rat CFs were harvested from the heart of Wistar neonatal rats at birth, as previously reported by Zang et al. [17]. Briefly, the hearts were removed from neonatal rats and minced with scissors until very small pieces were produced. The pellet of minced tissue was then resuspended in 1% collagenase and incubated at 37°C for 2 h. Next, the tissue was resuspended in 0.25% trypsin and incubated at 37°C for 2 h. The digested tissue was resuspended in Dulbecco's modified Eagle's medium (DMEM; Nikken Bio Medical Laboratory, Tokyo, Japan) supplemented with 10% fetal bovine serum (FBS) (Intergen, Purchase, NY), 25 mM Hepes (pH 7.4), penicillin (100 U/ml), and streptomycin (100  $\mu$ g/ml) at 37°C in a humidified atmosphere of 95% air and 5% CO<sub>2</sub>. Twenty-four hours later, the medium was aspirated and the fresh medium was added. CFs at 6–9 passages were used in the experiments. At the time of experiments, we used dextran-coated charcoal-stripped FBS (DCC-FBS) and phenol-red-free M199 medium to avoid contamination with steroids or estrogen receptor agonists.

**Construction of adenovirus vectors carrying estrogen receptor subtypes and transfer into CFs.** Replication-deficient adenovirus vectors carrying the CMV-IE enhancer, chicken  $\beta$ -actin promoter, and the coding region of human ER $\alpha$ , ER $\beta$ , or dominant-negative form of ER $\beta$ , were constructed by use of adenovirus expression vector kit (Takara Shuzo, Kyoto, Japan) as described before [18] and named AxCAER $\alpha$ , AxCAER $\beta$ , and AxCADNER $\beta$ , respectively. CFs were exposed to different multiplicities of infection (MOI) of either AxCAER $\alpha$ , AxCAER $\beta$ , AxCADNER $\beta$ , or a replication-deficient recombinant adenovirus carrying the *Escherichia coli*  $\beta$ -galactosidase gene (AxCALacZ) for 2 h in DMEM with 5% FBS. Then, the cells were rinsed with phosphate-buffered saline once and used for the experiments.

**RNA isolation, reverse transcription polymerase chain reaction.** Total RNA was prepared from CFs and rat ovary as positive control, using Isogen (Wako Pure Chemical Industries, Osaka, Japan). Then, 1  $\mu$ g total RNA was reverse transcribed into cDNA and one-twentieth of the product was amplified for 35 cycles. Negative control reverse

transcription polymerase chain reactions (RT-PCRs) were performed by omitting reverse transcriptase. The primer pairs used in PCR are: CTAAGAAGAATAGCCCCGCC (forward, +1126 to +1145) and CAGACCAGACCAATCATCAGG (reverse, +1402 to +1382) for rat ER $\alpha$  (GenBank Accession No. NM\_012689), and CGACTGAGCAC AAGCCCCAATG (forward, +76 to +97) and ACGCCGTAA TGATACCCAGATG (reverse, +353 to +332) for rat ER $\beta$  (GenBank Accession No. AB012721).

**Measurement of [<sup>3</sup>H]thymidine incorporation.** CFs seeded onto 24-well tissue culture plates were grown until 70–90% confluent and then made quiescent by culturing in phenol-red-free M199 medium (Gibco) for 24 h. Then, the cells were stimulated with 5% DCC-FBS in the presence of water-soluble 17 $\beta$ -estradiol (Sigma-Aldrich, Japan) for 24 h, followed by pulse-labeling with 1  $\mu$ Ci/ml [<sup>3</sup>H]thymidine for 3 h. [<sup>3</sup>H]Thymidine incorporated into DNA was determined as previously described [19].

**Number of CFs.** CFs were seeded onto six-well multiplates and cultured until a confluent state was obtained. After infection of CFs with adenovirus vectors, the medium was replaced with phenol-red-free M199 to arrest the growth. After 24 h, the medium was replaced again with phenol-red-free M199 containing 5% DCC-FBS with E2 or vehicle. After incubation for 48 h, the cells were trypsinized and suspended. Then the number of cells was determined using a Coulter Counter (model ZM, Coulter Electronics, Hialeah, FL).

**Luciferase assays.** CFs were transfected with ERE-TK-Luc reporter plasmid and pRL-SV40 control plasmid using FuGENE6 (Roche) for 24 h according to the manufacturer's instructions [20]. Then, CFs were incubated in phenol-red-free M199 medium with 1% DCC-FBS for 24 h and exposed to E2 for additional 24 h. We measured two kinds of luciferase activity using a dual-luciferase reporter assay system (Promega) according to the manufacturer's protocol, and the ratio of firefly luciferase activity to that of *Renilla* luciferase in each sample was used as a measure of normalized luciferase activity [20].

**Western blotting.** After infection with adenovirus vector, cells were incubated with serum-free M199 medium for 24 h to detect ER subtypes. Cells were washed quickly with phosphate-buffered saline twice and lysed in RIPA buffer (50 mM Tris/HCl, pH 7.5, 150 mM NaCl, 1% Nonidet P-40, 0.5% sodium deoxycholate, 0.1% SDS, and protease inhibitors cocktail; Complete, Mini; Roche). The samples were separated on 12% SDS-PAGE, electroblotted onto nitrocellulose membrane, and immunoblotted with anti-ER $\alpha$  polyclonal antibody (H-184; Santa Cruz, 1:1000 dilution), anti-ER $\beta$  monoclonal antibody (CWK-F12, kindly provided by Dr. Benita S. Katzenellenbogen, thanks and details are given in Acknowledgements, 1:1000 dilution). Antibody was detected with a horseradish peroxidase-linked secondary antibody using an enhanced chemiluminescence system (Amersham Life Science).

**Statistical analysis.** The dose-response effect of E2 or ER overexpression on DNA synthesis in CFs was analyzed using one-way factor ANOVA. If a statistically significant effect was found, Newman-Keuls test was performed to isolate the difference between the groups. A value of  $P < 0.05$  was considered statistically significant. All data in the text and figures are expressed as means  $\pm$  SE.

## Results

### *Endogenous expression of ER subtypes and the effect of E2 on CF growth*

To investigate the endogenous expression of ER in rat CFs, RT-PCR amplification was performed. Both rat ER $\alpha$  and ER $\beta$  were expressed in CFs (Fig. 1A). At physiological concentrations, E2 inhibited the proliferation of CFs dose-dependently by up to 10% (Fig. 1B).

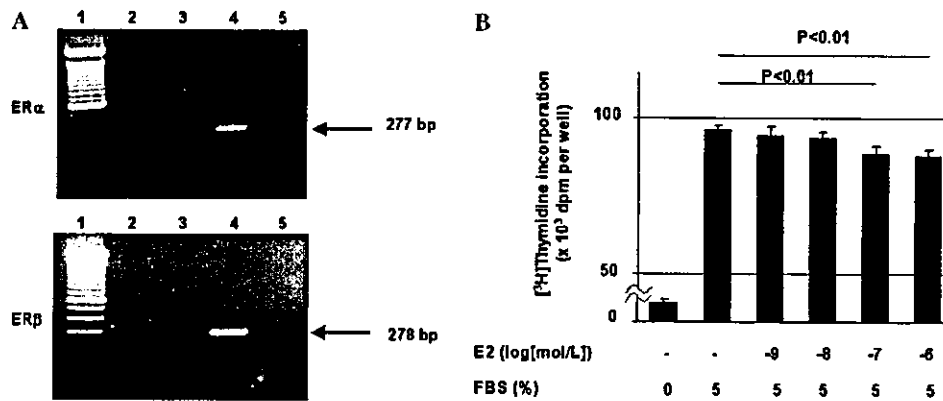


Fig. 1. ER gene expression and the effect of E2 on rat CF proliferation. (A) RT-PCR was performed using the cDNA of rat ovary as a positive control with (lane 4) or without (lane 5) reverse transcriptase and using the cDNA of rat CFs with (lane 2) or without (lane 3) reverse transcriptase. Lane 1 shows the molecular weight marker. (B) Serum-starved CFs were stimulated with 5% DCC-FBS in the absence or presence of 10–1000 nmol/L 17 $\beta$ -estradiol for 24 h. [<sup>3</sup>H]Thymidine incorporation into DNA was determined by pulse-labeling for the last 3 h of incubation. Results are shown as means  $\pm$  SE ( $n = 3$ ). Similar results were obtained in three independent experiments.

#### Expression of ER subtype in CFs by adenovirus-mediated transfer of the ER subtype genes

Expression of the ER $\alpha$  and ER $\beta$  protein was confirmed by Western blot analysis (Fig. 2A). Although both ER subtypes were detected by RT-PCR, the protein expression was undetectable in non-transfected CFs; the bands corresponding to ER $\alpha$  (65 kDa) or ER $\beta$  (55 kDa) were seen in CFs infected with AxCAER $\alpha$ , or with AxCAER $\beta$ , respectively (Fig. 2A), and also in MCF-7 cells or rat ovary which were used as positive controls (data not shown). The protein expression was increased by overexpression MOI-dependently. We also checked the protein level of both ER subtypes in non-infected cells after the addition of E2. However, E2 did not induce the protein of either ER subtype in our experimental conditions (data not shown).

#### Effect of adenovirus-mediated transfer of the ER subtype genes on CF growth

When AxCALacZ was introduced into CFs at more than 60 MOI, DNA synthesis reduced in a MOI-dependent manner in the absence of E2 (data not shown). Therefore, we examined DNA synthesis at 60 MOI or less to avoid the influence of adenovirus itself on DNA synthesis. CFs infected with AxCALacZ showed no additional decrease in DNA synthesis in response to E2 (Fig. 2B). In contrast, when CFs were infected with AxCAER $\alpha$  or AxCAER $\beta$  at more than 10 MOI, DNA synthesis was significantly inhibited in a MOI-dependent manner in response to E2 to grossly similar extent. To confirm this, the cell number was counted in the presence or absence of E2. Comparable to the thymidine incorporation assay, overexpression of either ER $\alpha$  or ER $\beta$  enhanced the inhibitory effect of E2 on CF growth (Fig. 2C). Moreover, in CFs infected with either AxCAER $\alpha$  or AxCAER $\beta$  at 20 MOI, E2

decreased DNA synthesis in a concentration-dependent manner at 10<sup>-11</sup>–10<sup>-6</sup> mol/L (Fig. 3). Taking these results together, the effects of AxCAER $\alpha$  and AxCAER $\beta$  seemed comparable. To examine whether the effect of ER transfer is truly ER subtype dependent, we investigated DNA synthesis in CFs co-infected with AxCAER $\alpha$  or AxCAER $\beta$  and AxCAERDN $\beta$ . The reduction of DNA synthesis in CFs infected with AxCAER $\alpha$  or AxCAER $\beta$  alone at 20 MOI was abolished by co-infection with AxCAERDN $\beta$  (Fig. 4).

#### Transcriptional activity of ERE in CFs infected with ER genes

We examined the transcriptional activity of ER by luciferase activity of the ERE reporter plasmid. In non-infected CFs, 100 nmol/L E2 augmented the luciferase activity of ERE by approximately 1.3-fold compared to vehicle ( $p = 0.02$ ) (Fig. 5). CFs infected with AxCAER $\alpha$  or AxCAER $\beta$  at 20 MOI showed a strong increase in transcriptional activity in the presence of E2; 3.3-fold increase with AxCAER $\alpha$  and 3.9-fold increase with AxCAER $\beta$  in response to E2. This increase was completely abolished by co-infection with AxCADNER $\beta$ .

#### Discussion

Conflicting results have been reported concerning the effect of E2 on CF growth. One group demonstrated that CF growth was not affected by E2 [12]. Two groups showed that E2 inhibited CF growth [7,13], whereas another has shown that E2 enhanced CF growth through mitogen-activated protein kinase-dependent pathway [11]. Thus, the effect of estrogen on CF growth remained to be addressed. In this study, E2 inhibited DNA synthesis in CFs by up to 10%, and this inhibition was augmented by overexpression of either of ER subtypes,

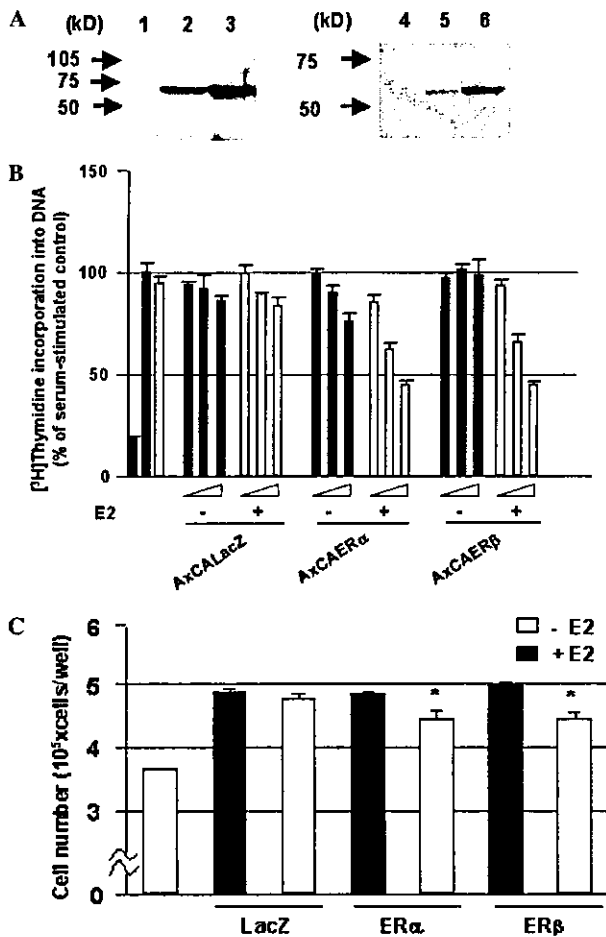


Fig. 2. Induction of ER protein and inhibition of CF growth by adenovirus-mediated transfer of ER genes. (A) CFs were infected without (lanes 1 and 4), or with 10 and 100 MOI of AxCAER $\alpha$  (lanes 2 and 3, respectively) or 10 and 100 MOI of AxCAER $\beta$  (lanes 5 and 6, respectively). Western blot analysis was performed with 40  $\mu$ g of protein per lane by using an anti-ER $\alpha$  polyclonal antibody (left panel) or anti-ER $\beta$  monoclonal antibody (right panel). CFs seeded onto a 24-well plate (B) or 6-well plate (C) were exposed to DMEM containing either AxCALacZ, AxCAER $\alpha$ , or AxCAER $\beta$  (1, 10, and 30 MOI (B), respectively, from left to right, or 30 MOI (C)) for 2 h and serum-deprived for 24 h. [ $^3$ H]Thymidine incorporation into DNA (B) was determined at 24 h after the stimulation with 5% DCC-FBS in the presence or absence of 100 nmol/L E2 and presented as a percentage of the serum-stimulated control. The left-sided 3 lines indicate non-infected CFs with serum-free medium, 5% DCC-FBS in the absence of E2, and 5% DCC-FBS in the presence of 100 nmol/L E2, respectively (B). Cell numbers were counted after 48 h of stimulation with 5% DCC-FBS in the presence or absence of 100 nmol/L E2 (C). The left-sided line indicates non-infected CFs before the stimulation. \* $P$  < 0.01 vs CFs without E2. Results are shown as means  $\pm$  SE ( $n$  = 3) (B,C). Similar results were obtained in three independent experiments.

indicating that both ER subtypes work to inhibit CF growth in a redundant fashion.

Both ER subtypes are expressed in cardiac myocytes and CF as shown by Western blotting [13,15,21], and are transcriptionally active [15], suggesting that ER subtypes play a role in cardiac cells. Moreover, it is reported that the expression of ER subtypes in cardiac cells was regulated by physiological or pathophysiological stimuli

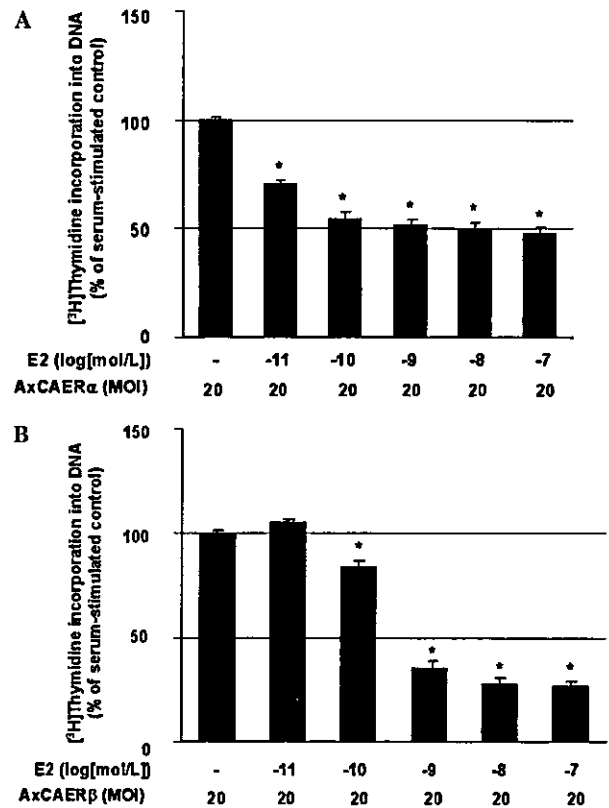


Fig. 3. Dose-response effect of E2 on DNA synthesis in CFs overexpressing ER subtypes. CFs seeded onto a 24-well plate were exposed to DMEM containing 10 MOI of AxCAER $\alpha$  (A) or AxCAER $\beta$  (B) for 2 h, and were serum-deprived for 24 h. [ $^3$ H]Thymidine incorporation into DNA was determined at 24 h after the stimulation with 5% DCC-FBS in the absence or presence of the indicated concentrations of E2 and presented as a percentage of CFs without E2. \* $P$  < 0.01 vs CF without E2. Results are shown as means  $\pm$  SE ( $n$  = 4). Similar results were obtained in three independent experiments.

such as E2 [15] and hypoxia [22]. Protein levels of both ER subtypes were increased in CFs and cardiac myocytes in response to E2 [15]. Under hypoxic condition, the protein level of ER $\beta$  but not of ER $\alpha$  was upregulated while the presence of E2 decreased the level of ER $\beta$  protein in CFs [22]. Modulation of ER subtype expression by E2 was not confirmed in the present study (data not shown) presumably because the expression in non-transfected CFs was too low to detect by Western blotting. Changes of ER expression in cardiac cells associated with cardiovascular disease are currently unknown. However, the gain-of-function analysis implies the physiological relevance by mimicking the conditions of the previous reports [13,15,21,22]. Another rationale in using the overexpression system was to compare the effects on CF growth between ER subtypes. Because adenovirus vectors successfully induced ER subtypes to a similar extent, we could interpret the results clearly.

Several reports have examined the role of ER subtypes in proliferation using the gene transfer techniques into cell lines [23–26]. Cheng and Malayer [26] have reported that overexpression of ER $\alpha$  in an ER-negative



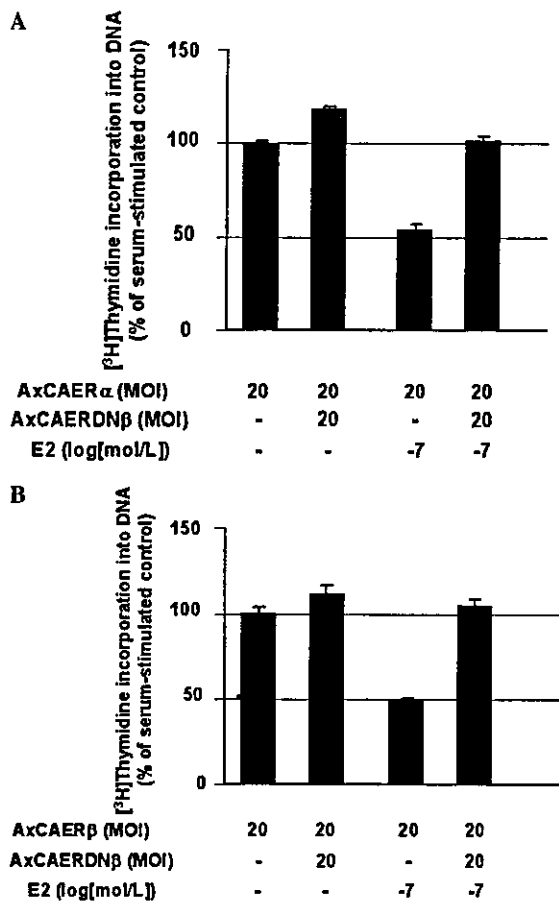


Fig. 4. The effect of dominant negative ER on ER subtype overexpression in CFs. CFs seeded onto a 24-well plate were exposed to DMEM containing 20 MOI of AxCAER $\alpha$  (A) or AxCAER $\beta$  (B) and the indicated MOI of AxCADNER $\beta$ . After infection, CFs were serum-deprived for 24 h. [ $^3$ H]Thymidine incorporation into DNA was determined at 24 h after the stimulation with 5% DCC-FBS in the absence or presence of 100 nmol/L of E2, and were presented as a percentage of CFs infected with AxCAER $\alpha$  alone (A) or AxCAER $\beta$  alone (B) without E2. Results are shown as means  $\pm$  SE ( $n = 3$ ). Similar results were obtained in three independent experiments.

rat fibroblast cell line, rat-1, resulted in an estrogen-dependent small (<10%) but significant increase in cell proliferation but overexpression of ER $\beta$  did not affect proliferation. In contrast, Lazennec et al. [24] have shown that overexpression of ER $\alpha$  in an ER-negative human breast cancer cell line, MDA-MB-231, led to a hormone-dependent inhibition of proliferation, whereas overexpression of ER $\beta$  caused a hormone-independent inhibition. Taken these results together with other reports examining the effect of ER overexpression in non-CF cells [23–25], the role of ER subtypes in cell proliferation may be different between cell types. This may also be the case with our results and the results in fibroblasts by Cheng and Malayer [26]. We used neonatal primary cultured CFs that expressed low levels of both ER subtypes, while Cheng et al. used a cell line derived from embryo fibroblasts that did not express ER.

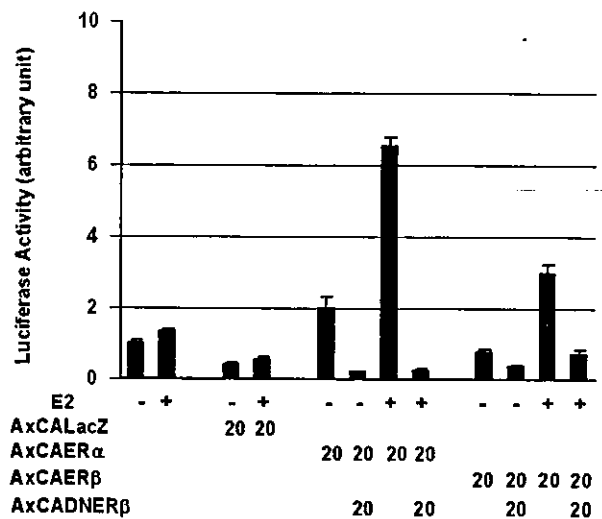


Fig. 5. The influence of ER overexpression on the promoter activity of ER responsive enhancer elements in CFs. CFs were infected with AxCALacZ, AxCAER $\alpha$ , AxCAER $\beta$ , or AxCADNER $\beta$  at the indicated MOI for 2 h, and transfected with the luciferase reporter plasmids containing ERE and the pRL-SV40 control plasmid. Twenty-four hours after transfection, the cells were treated with or without 100 nmol/L E2 for 24 h. Results are shown as means  $\pm$  SE ( $n = 3$ ). Similar results were obtained in three independent experiments.

The divergent roles of ER subtypes can be explained by the differential induction of estrogen response genes, the different interactions with promoter elements including AP-1 sites [27] and SP-1 sites [28] in an ERE-independent manner, or differential recruitment of transcriptional co-factors. In the present study, however, ER $\alpha$  and ER $\beta$  inhibited CF growth and transactivated the ERE similarly in response to E2. The only difference between ER subtypes observed in this study was that overexpression of ER $\alpha$  exerted the effects on cell growth and transcriptional activity ligand-independently (Figs. 2B and 5), although these effects were slight and might be non-specific. Accordingly, it is suggested that ER $\alpha$  and ER $\beta$  mediate the inhibitory effect of E2 on CF growth in a redundant or compensatory fashion as is the case with some gene superfamilies [29,30].

Our findings provide a mechanistic insight into the understanding of how E2 acts in CFs in the process of cardiac remodeling. Our data imply that the proliferation of CFs involved in cardiac hypertrophy and fibrosis can be inhibited by E2 as is shown in clinical and experimental settings [4–10], and that both ER subtypes expressed in CFs mediate the inhibitory effects of E2. Unfortunately, recent clinical trials [31,32] have failed to show beneficial effects of hormone replacement therapy on cardiovascular disease. Alternatively, specific ligands such as selective ER modulators [33] might exert beneficial clinical effects, particularly in combination with the gene transfer of ER subtypes, to inhibit cardiac remodeling. To test this possibility, in vivo experiments using ER overexpression and selective ER modulators should be performed in the future.

## Acknowledgments

We thank Ms. Yuki Ito for excellent technical assistance. This work was supported by grants from the Ministry of Education, Science, Sports and Culture of Japan (13557062), by a Grant-in-Aid for Science Research from the Ministry of Health, Labor and Welfare of Japan (H13-Choju-016; H15-Choju-015), and, in part, by the Japan-China Sasakawa Medical Fellowship grant. We thank Dr. Benita S. Katzenellenbogen, Department of Molecular and Integrative Physiology, University of Illinois College of Medicine, for donating CWK-F12 anti-ER $\beta$  antibody.

## References

- [1] J.N. Cohn, R. Ferrari, N. Sharpe, Cardiac remodeling—concepts and clinical implications: a consensus paper from an international forum on cardiac remodeling. Behalf of an International Forum on Cardiac Remodeling, *J. Am. Coll. Cardiol.* 35 (2000) 569–582.
- [2] M. Eghbali, M.J. Czaja, M. Zeydel, et al., Collagen chain mRNAs in isolated heart cells from young and adult rats, *J. Mol. Cell. Cardiol.* 20 (1988) 267–276.
- [3] I. Manabe, T. Shindo, R. Nagai, Gene expression in fibroblasts and fibrosis: involvement in cardiac hypertrophy, *Circ. Res.* 91 (2002) 1103–1113.
- [4] J.M. Gardin, L.E. Wagenknecht, H. Anton-Culver, et al., Relationship of cardiovascular risk factors to echocardiographic left ventricular mass in healthy young black and white adult men and women. The CARDIA study. Coronary Artery Risk Development in Young Adults, *Circulation* 92 (1995) 380–387.
- [5] D. Levy, R.J. Garrison, D.D. Savage, W.B. Kannel, W.P. Castelli, Prognostic implications of echocardiographically determined left ventricular mass in the Framingham Heart Study, *N. Engl. J. Med.* 322 (1990) 1561–1566.
- [6] C.S. Hayward, C.M. Webb, P. Collins, Effect of sex hormones on cardiac mass, *Lancet* 357 (2001) 1354–1356.
- [7] R.K. Dubey, D.G. Gillespie, E.K. Jackson, P.J. Keller, 17 $\beta$ -estradiol, its metabolites, and progesterone inhibit cardiac fibroblast growth, *Hypertension* 31 (1998) 522–528.
- [8] S. Nuedling, S. Kahlert, K. Loebbert, et al., 17 $\beta$ -estradiol stimulates expression of endothelial and inducible NO synthase in rat myocardium in-vitro and in-vivo, *Cardiovasc. Res.* 43 (1999) 666–674.
- [9] M. van Eickels, C. Grohe, J.P. Cleutjens, B.J. Janssen, H.J. Wellens, P.A. Doevendans, 17 $\beta$ -estradiol attenuates the development of pressure-overload hypertrophy, *Circulation* 104 (2001) 1419–1423.
- [10] H.B. Xin, T. Senbonmatsu, D.S. Cheng, et al., Oestrogen protects FKBP12.6 null mice from cardiac hypertrophy, *Nature* 416 (2002) 334–338.
- [11] H.W. Lee, M. Eghbali-Webb, Estrogen enhances proliferative capacity of cardiac fibroblasts by estrogen receptor- and mitogen-activated protein kinase-dependent pathways, *J. Mol. Cell. Cardiol.* 30 (1998) 1359–1368.
- [12] C. Grohe, S. Kahlert, K. Lobbart, et al., Effects of moexiprilat on oestrogen-stimulated cardiac fibroblast growth, *Br. J. Pharmacol.* 121 (1997) 1350–1354.
- [13] I. Mercier, F. Colombo, S. Mader, A. Calderone, Ovarian hormones induce TGF- $\beta$ (3) and fibronectin mRNAs but exhibit a disparate action on cardiac fibroblast proliferation, *Cardiovasc. Res.* 53 (2002) 728–739.
- [14] G.G. Kuiper, E. Enmark, M. Peltö-Huikko, S. Nilsson, J.A. Gustafsson, Cloning of a novel receptor expressed in rat prostate and ovary, *Proc. Natl. Acad. Sci. USA* 93 (1996) 5925–5930.
- [15] C. Grohe, S. Kahlert, K. Lobbart, et al., Cardiac myocytes and fibroblasts contain functional estrogen receptors, *FEBS Lett.* 416 (1997) 107–112.
- [16] R.K. Dubey, D.G. Gillespie, Z. Mi, E.K. Jackson, Exogenous and endogenous adenosine inhibits fetal calf serum-induced growth of rat cardiac fibroblasts: role of A2B receptors, *Circulation* 96 (1997) 2656–2666.
- [17] X. Zhang, G. Azhar, K. Nagano, J.Y. Wei, Differential vulnerability to oxidative stress in rat cardiac myocytes versus fibroblasts, *J. Am. Coll. Cardiol.* 38 (2001) 2055–2062.
- [18] T. Nakaoka, K. Gonda, T. Ogita, et al., Inhibition of rat vascular smooth muscle proliferation in vitro and in vivo by bone morphogenetic protein-2, *J. Clin. Invest.* 100 (1997) 2824–2832.
- [19] M. Akishita, Y. Ouchi, H. Miyoshi, et al., Estrogen inhibits cuff-induced intimal thickening of rat femoral artery: effects on migration and proliferation of vascular smooth muscle cells, *Atherosclerosis* 130 (1997) 1–10.
- [20] T. Watanabe, M. Yoshizumi, M. Akishita, et al., Induction of nuclear orphan receptor NGFI-B gene and apoptosis in rat vascular smooth muscle cells treated with pyrrolidinedithiocarbamate, *Arterioscler. Thromb. Vasc. Biol.* 21 (2001) 1738–1744.
- [21] Y. Xu, I.A. Arenas, S.J. Armstrong, S.T. Davidge, Estrogen modulation of left ventricular remodeling in the aged heart, *Cardiovasc. Res.* 57 (2003) 388–394.
- [22] M. Griffin, H.W. Lee, L. Zhao, M. Eghbali-Webb, Gender-related differences in proliferative response of cardiac fibroblasts to hypoxia: effects of estrogen, *Mol. Cell. Biochem.* 215 (2000) 21–30.
- [23] G. Lazennec, J.L. Alcorn, B.S. Katzenellenbogen, Adenovirus-mediated delivery of a dominant negative estrogen receptor gene abrogates estrogen-stimulated gene expression and breast cancer cell proliferation, *Mol. Endocrinol.* 13 (1999) 969–980.
- [24] G. Lazennec, D. Bresson, A. Lucas, C. Chauveau, F. Vignon, ER $\beta$  inhibits proliferation and invasion of breast cancer cells, *Endocrinology* 142 (2001) 4120–4130.
- [25] E.J. Lee, W.R. Duan, M. Jakacka, B.D. Gehm, J.L. Jameson, Dominant negative ER induces apoptosis in GH (4) pituitary lactotrope cells and inhibits tumor growth in nude mice, *Endocrinology* 142 (2001) 3756–3763.
- [26] J. Cheng, J.R. Malayer, Responses to stable ectopic estrogen receptor- $\beta$  expression in a rat fibroblast cell line, *Mol. Cell. Endocrinol.* 156 (1999) 95–105.
- [27] K. Paech, P. Webb, G.G. Kuiper, et al., Differential ligand activation of estrogen receptors ER $\alpha$  and ER $\beta$  at API sites, *Science* 277 (1997) 1508–1510.
- [28] B. Saville, M. Wormke, F. Wang, et al., Ligand-, cell-, and estrogen receptor subtype ( $\alpha$ / $\beta$ )-dependent activation at GC-rich (Sp1) promoter elements, *J. Biol. Chem.* 275 (2000) 5379–5387.
- [29] L.E. Cheng, F.K. Chan, D. Cado, A. Winoto, Functional redundancy of the Nur77 and Nor-1 orphan steroid receptors in T-cell apoptosis, *EMBO J.* 16 (1997) 1865–1875.
- [30] D.M. Muoio, P.S. MacLean, D.B. Lang, et al., Fatty acid homeostasis and induction of lipid regulatory genes in skeletal muscles of peroxisome proliferator-activated receptor (PPAR)  $\alpha$  knock-out mice. Evidence for compensatory regulation by PPAR  $\delta$ , *J. Biol. Chem.* 277 (2002) 26089–26097.
- [31] S. Hulley, D. Grady, T. Bush, et al., Randomized trial of estrogen plus progestin for secondary prevention of coronary heart disease in postmenopausal women. Heart and Estrogen/progestin Replacement Study (HERS) Research Group, *JAMA* 280 (1998) 605–613.
- [32] J.E. Rossouw, G.L. Anderson, R.L. Prentice, et al., Risks and benefits of estrogen plus progestin in healthy postmenopausal women: principal results From the Women's Health Initiative randomized controlled trial, *JAMA* 288 (2002) 321–333.
- [33] B.L. Riggs, L.C. Hartmann, Selective estrogen-receptor modulators—mechanisms of action and application to clinical practice, *N. Engl. J. Med.* 348 (7) (2003) 618–629.

# Thyroglobulin-pulsed human monocyte-derived dendritic cells induce CD4<sup>+</sup> T cell activation

MARIKO MORISHITA<sup>1,4,5</sup>, KAORU UCHIMARU<sup>2</sup>, KATSUAKI SATO<sup>3</sup>, AKIRA OHTSURU<sup>4</sup>, SHUNICHI YAMASHITA<sup>4</sup>, TAKASHI KANEMATSU<sup>5</sup> and NAOHIDE YAMASHITA<sup>1</sup>

Departments of <sup>1</sup>Advanced Medical Science, <sup>2</sup>Internal Medicine, The Institute of Medical Science, The University of Tokyo, 4-6-1 Shirokanedai, Minato-ku, Tokyo 108-8639; <sup>3</sup>Department of Immunology and Medical Zoology, School of Medicine, Kagoshima University, 8-35-1 Sakuragaoka, Kagoshima City, Kagoshima 890-8520; Departments of <sup>4</sup>Molecular Medicine, <sup>5</sup>Surgery II, Nagasaki University Postgraduate School of Biomedical Sciences, 1-12-4 Sakamoto, Nagasaki City, Nagasaki 852-8523, Japan

Received June 24, 2003; Accepted July 25, 2003

**Abstract.** Although thyroglobulin (Tg) would be expected to act as a tumor-associated antigen that might be exploitable by immunotherapy against thyroid cancers, it remains unclear how to effectively enhance the immune response to Tg in human since it is a self-component glycoprotein. We therefore tested whether and how human peripheral blood (PB) monocyte-derived dendritic cells (DCs) pulsed with human (h)Tg would induce activation of hTg-specific T cells. We found that immature DCs (iDCs) exhibited a higher endocytic capacity for fluorescein isothiocyanate-conjugated hTg than did mature DCs (mDCs). Although freshly isolated T cells responded poorly to mDCs, hTg-primed T cells responded much more strongly to hTg pulsed mDCs, which selectively induced IFN- $\gamma$ -secreting T cells. These results suggest that hTg-pulsed mDCs enhance the responses of Tg-specific T cells, raising the possibility that vaccination with hTg-pulsed mDCs may be an effective approach as immunotherapy to potentiate thyroid cancer specific therapy.

## Introduction

Thyroid carcinomas, which are the most common malignancy in endocrine tumors, are histologically classified as papillary, follicular, medullary and anaplastic tumors. Although thyroid cancers are generally controllable by surgery or internal radiation therapy using <sup>131</sup>I, cancers often metastasize to the regional lymph nodes. Once thyroid cancer has metastasized to bone or the lung, particularly in older patients, it is known to be resistant to <sup>131</sup>I therapy or chemotherapy (1). The

quality of life (QoL) of these patients is impaired, and their prognosis is not good (1). The transformation from papillary and follicular cancers to the much more virulent anaplastic form occurs in some patients, resulting in a very poor prognosis (the median survival is 2-6 months) (2). Therefore, a new therapy for metastatic or recurred thyroid cancers is now highly desirable to suppress the development of advanced or inoperable cancers.

Thyroglobulin (Tg) is a macromolecular glycoprotein consisting of two apparently identical polypeptide chains that together make up the mature 660 kDa, 19S dimer. This protein is secreted specifically by thyroid cells and provides a matrix for the synthesis of the thyroid hormones and a vehicle for their subsequent storage. All differentiated and some undifferentiated thyroid cancers also express Tg; in fact, blood Tg levels increase in thyroid cancer patients and are used clinically as a marker of the recurrence of a thyroid tumor or of the presence of residual tumors following surgical resection (3).

For the past several years, much attention has been paid to the development of cancer immunotherapies. Unfortunately, an earlier study has shown that immunotherapy involving vaccination with Tg elicits a poor clinical response in the thyroid cancer patients (4).

Recently, dendritic cells (DCs) are the most effective of the professional antigen (Ag)-presenting cells (APCs), which initiate and regulate immune responses (5), and the efficacy of immunotherapy using DCs pulsed with a tumor-associated antigen has been demonstrated in several phase I clinical studies (6,7). On the other hand, immunization with DCs pulsed with tissue specific Ag has been shown to cause several autoimmune diseases in animal models (8,9). From these points, thyroid cancer would seem to be a good candidate for immunotherapy using DCs. The thyroid gland is well known to be the target of autoimmune diseases such as Hashimoto's disease (10), in which thyroid tissues are destroyed by infiltrating T cells and replaced with fibrotic tissue (11,12). In an animal model of autoimmune thyroiditis, immunization with Tg plus adjuvant induces an experimental thyroiditis mediated through induction of Tg-specific T cells (13-15). The

---

*Correspondence to:* Dr Mariko Morishita, Department of Molecular Medicine, Nagasaki University Graduate School of Biomedical Sciences, 1-12-4 Sakamoto, Nagasaki City, Nagasaki 852-8523, Japan

E-mail: morishi-@za2.so-net.ne.jp

**Key words:** thyroglobulin, dendritic cell, CD4<sup>+</sup> T

immunization using DC pulsed with Tg (Tg-pulsed DCs) yields autoimmune thyroiditis (16). However, it can be tolerable unlike other organ specific antigens, because autoimmune thyroiditis is controllable by T3 supplement and normal thyroid gland is commonly resected in the operation of thyroid cancers. Tg, thus appears to have the potential to serve as a thyroid tumor-associated antigen for the induction of anti-thyroid, cancer-specific, T cell-mediated immunity. However it is still unclear whether Tg-pulsed DCs would elicit Tg-specific T cell responses in humans. Recently, mature DCs (mDCs) were established to induce T cell immunity, whereas immature DCs (iDCs) were involved in T cell tolerance (17).

In this study, therefore, we tested whether human monocyte-derived mDCs pulsed with hTg, even though it is a self antigen, induces activation of autologous hTg-specific T cells.

## Materials and methods

**Subjects.** The study protocol was approved by the Ethics Committee of the Institute of Medical Science, University of Tokyo. Six healthy volunteers enrolled in this study. Before their enrollment, the details of the study protocol were explained to each subject and their written consent was obtained. Data were collected from only four subjects, however, as the lymphocytes from two subjects did not survive in culture. Anti-Tg antibody was not detected in the serum of the four subjects who had no familial history of thyroid disease.

**Media and reagents.** The complete culture medium (CM) used throughout was RPMI 1640 (Sigma, St. Louis, MO) supplemented with antibiotic-antimycotic (Gibco BRL, Gaithersburg, MD) and 10% heat-inactivated FCS (Sigma). Recombinant human (rh) granulocyte-macrophage colony-stimulating factor (GM-CSF) was kindly provided by Kirin Brewery (Tokyo, Japan). rh interleukin (IL)-2, rhIL-4 and rh tumor necrosis factor (TNF)- $\alpha$  were purchased from PeproTech (London, UK). *Staphylococcal* enterotoxin B (SEB) was obtained from Sigma (St. Louis, MO). Human (h)Tg was obtained from Cortex Biochem, Inc. (San Leandro, CA, USA).

**Generation of human monocyte-derived dendritic cells.** Immature DCs (iDCs) were generated from peripheral blood as described previously (18-20). Briefly, peripheral blood mononuclear cells (PBMCs) from healthy volunteer donors were separated by Ficoll density centrifugation. The cells were then resuspended in cold PBS and allowed to adhere to 10-cm plastic dishes (Primaria, Becton Dickinson, Mountain View, CA) for 30 min at 37°C, after which the non-adherent cells were removed. Labeling the remaining adherent cells with anti-CD14 monoclonal antibody (mAb) (BD PharMingen, San Diego, CA) revealed the cell population to be >95% pure. iDCs were prepared by culturing the adherent cells in CM containing rhGM-CSF (50 ng/ml) and rhIL-4 (50 ng/ml) for 7 days; mature DCs (mDCs) were in turn prepared by incubating the iDCs with rhTNF- $\alpha$  (50 ng/ml) for an additional 4 days. To prepare Ag-pulsed mDCs, iDCs ( $10^6$ ) were cultured with hTg (10  $\mu$ g/ml) for 24 h, after which the cells were stimulated with TNF- $\alpha$  (50 ng/ml) for 3 days.

**Flow cytometry.** For surface marker analysis, cells were labeled with fluorescein isothiocyanate (FITC)- or phycoerythrin (PE)-conjugated mAbs against CD1a, CD83 (both from Coulter Immunology, Hialeah, FL), CD4, CD8, CD40, CD80, CD86 or HLA-DR (all from BD PharMingen). Alternatively, cells were labeled with the corresponding FITC- or PE-conjugated isotype-matched control mAb (BD PharMingen). The cells were then washed twice and suspended in cold PBS containing 25  $\mu$ g/ml propidium iodide (Sigma) to exclude dead cells. Analysis of the fluorescent signal from the cells was carried out using a FACSCalibur (Becton Dickinson) with CellQuest Software (Becton Dickinson). Expression levels of cell surface products are presented as mean fluorescence intensities (MFI).

**Preparation of FITC-labeled human thyroglobulin (FITC-hTg) protein.** Human Tg was labeled with FITC using an antibody labeling system (FITC labeling kit, American Qualex Antibodies) according to the manufacturer's instructions. The concentration of FITC-conjugated hTg was calculated from the absorbances at 495 and 280 nm.

**Endocytosis of hTg by DCs.** Cells ( $2-3 \times 10^5$  cells) were incubated for 10-60 min with various concentrations (0.1-10  $\mu$ g/ml) of FITC-hTg at 37°C or 4°C. After incubation, the cells were extensively washed twice with cold PBS, and the internalization of FITC-hTg was determined by flow cytometry. Alternatively, internalization was measured following an additional chase in tracer-free CM at 37°C.

**Analysis of internalization of hTg by the single cell.** FITC-hTg-internalized iDCs, prepared as described above, were incubated in the absence of tracer at 37°C for 1-6 h, or were stained with PE-labeled HLA-DR. After extensive washing, the cells were cytocentrifuged onto glass slides, and the stained cells were visualized under a fluorescence microscope (Olympus IX70, Olympus, Tokyo, Japan).

**Preparation of T cells.** Purified T cells were prepared using a T cell negative isolation kit (Dyna, Oslo, Norway) according to the manufacturer's instructions. The purity of the resultant T cell population was typically >98% as determined by flow cytometry with anti-CD3 mAb (BD PharMingen).

**Preparation of hTg-specific T cells.** PBMCs obtained from healthy volunteers were cultured for 18-21 days in CM containing hTg (10  $\mu$ g/ml) and rhIL-2 (100 IU/ml). The medium was changed every 7 days, and rhIL-2 was added to the culture every 3 days. Following the culture period, non-adherent cells were harvested, and the T cells were isolated as described above.

**Ag presentation assay.** To assess Ag-specific T cell proliferation, Ag-primed T cells ( $10^5$ ) were cultured with irradiated (15 Gy from a  $^{137}\text{Cs}$  source) autologous DCs ( $10^4$ ) that had been pulsed with or without hTg (10  $\mu$ g/ml) for 5 days in 96-well plates (Corning, NY). Thymidine incorporation was measured on day 5 following an 18-h pulse with [ $^3\text{H}$ ]-thymidine (1  $\mu$ Ci/well, specific activity, 5 Ci/mmol; Amersham Life Science, Buckinghamshire, UK). In another experiment, the culture supernatants were collected and assayed for IFN- $\gamma$